Title: Asymmetric directed functional connectivity

within the frontoparietal motor network during motor

imagery and execution

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Abbreviations: L/R-ME: motor execution with left/right hand; L/R-MI: motor imagery with left/right hand; L/R-FMG: left/right frontomarginal gyrus; L/R-DLPFC: left/right dorsolateral prefrontal cortex; L/R-vPMC: left/right ventral premotor cortex; L/R-dPMC: left/right dorsal premotor cortex; L/R-M1: left/right primary motor cortex; L/R-SPL: left/right superior parietal lobule; L/R-IPS: left/right inferior parietal sulcus; L/R-IPL: left/right inferior parietal lobule; L/R-A1: left/right primary auditory cortex; CS: cue stimulus; DAG: directed acyclic graph; DCM: dynamic causal modeling; EPI: echo planar image; GCM: granger causality mapping; ICA: independent component analysis; IS: instruction stimulus; LiNGAM: linear non-Gaussian acyclic causal model; PCA: principle component analysis; ROI: regions of interest; SMA: supplementary motor area;

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Abstract:

Both imagery and execution of motor controls consist of interactions within a neuronal network, including frontal motor-related regions and posterior parietal regions. To reveal neural representation in the frontoparietal motor network, two approaches have been proposed thus far: one is decoding of actions/modes related to motor control from the spatial pattern of brain activity; another is to estimate directed functional connectivity, which means a directed association between two brain regions within motor regions. However, directed connectivity among multiple regions of the motor network during motor imagery (MI) or motor execution (ME) has not been investigated. Here, we attempted to characterize the directed functional connectivity within the frontoparietal motor-related networks between the MI and ME conditions. We developed a delayed sequential movement and imagery task to evoke brain activity associated with data under ME and MI via functional magnetic resonance imaging scanning. We applied a causal discovery approach, linear non-Gaussian acyclic causal model, to identify directed functional connectivity among the frontoparietal motor-related brain regions for each condition. We demonstrated higher directed functional connectivity from the contralateral dorsal premotor cortex (dPMC) to the primary motor cortex (M1) in ME than in MI. We mainly identified significant direct effects of the dPMC and ventral premotor cortex (vPMC) to the parietal regions. In particular, connectivity from the dPMC to the superior parietal lobule in the same hemisphere showed significant positive effects across all conditions. Contrastingly, interlateral connectivities from the vPMC to the superior parietal

lobule showed significantly negative effects across all conditions. Finally, we found positive effects from A1 to M1 in the same hemisphere, such as the audio-motor pathway. These results indicated that the sources of motor command originated in d/vPMC influenced M1 and parietal regions as achieving ME and MI. Additionally, sequential sounds may functionally facilitate temporal motor processes.

Keywords:

Motor imagery, finger tapping, fMRI, causal discovery, frontoparietal network

1. Introduction

Achievements of motor imagery (MI) and motor execution (ME) consist of interactions within a neuronal network, including frontal motor regions and posterior parietal regions. According to previous studies, MI is defined as a mental simulation or a mental rehearsal of movements without any overt physical movements (Hanakawa et al., 2008; Lorey et al., 2014; Pilgramm et al., 2016). Neural activities during MI can be predominantly modulated by tasks containing visual, auditory, and kinesthetic aspects (Hanakawa, 2016). Neural substrates of the motor system have common and specific representations corresponding to MI or ME.

Previous studies have reported that information associated with MI can be extracted from activation patterns represented in the frontoparietal network (Apšvalka et al., 2018; Gallivan et al., 2011, 2013; Gao et al., 2011; Hanakawa et al., 2008; Kasess et al., 2008; Lorey et al., 2014; Nambu et al., 2015; Pilgramm et al., 2016; Yokoi & Diedrichsen, 2019; Zabicki et al., 2017). Anatomical brain regions in the frontoparietal network are associated with the following motor processes: i) the primary motor cortex (M1) and primary somatosensory cortex (S1) are activated during sequential movement; ii) the dorsal premotor cortex (dPMC), ventral premotor cortex (vPMC), and supplementary motor area (SMA) are activated during the preparation period of the movement (Hanakawa et al., 2008; Nambu et al., 2015); iii) neural activation in parietal regions, including the inferior parietal sulcus (IPS), inferior parietal

lobule (IPL), and superior parietal lobule (SPL) represents gestures (Pilgramm

et al., 2016; Zabicki et al., 2017) and target locations depending on head- or

eye-centered coordinates and arm/limb-centered coordinates (Gallivan et al.,

2011). These findings suggest that MI and ME are achieved by interactive

communications between brain regions in the frontoparietal network.

To evaluate the functional relationship between multiple brain regions

associated with MI and ME, it is important to investigate the directed functional

connectivity of the frontoparietal network, including both hemispheres

(Hanakawa, 2016). Previously, several studies have identified the directed

functional connectivity of ME and MI, such as the relationship between SMA

and M1 using dynamic causal modeling (DCM) (Kasess et al., 2008; Penny et

al., 2004) and a frontoparietal network using Granger causality mapping (GCM)

(Deshpande et al., 2008; Gao et al., 2011). DCM is one of the approaches to

estimate directed functional connectivity based on a neurophysiological model.

However, selecting the best model (i.e., connectivity pattern) from all possible

ones is often infeasible in DCM, especially, given many brain regions and

related factors of interest (Smith et al., 2011). GCM is a data-driven method and

can be more efficient than DCM. Its variant named conditional GCM (CGCM:

Ding et al., 2006) was applied to analyzing fMRI data of ME/MI conditions (Gao

et al., 2011; Wang et al., 2019), demonstrating an asymmetry of directed

functional connectivity in right-handed subjects. However, GCM/CGCM for

many brain regions is not very straightforward as it typically needs to evaluate

the Granger causality measure separately for every single connection.

In the frontoparietal network, asymmetry between brain regions contralateral

and ipsilateral to a manipulating hand is a characteristic aspect of motor-related

processing that arises depending on handedness. In general, M1 in both

hemispheres is activated during MI and ME, but the region contralateral to a

controlled hand shows relatively higher activity than the ipsilateral region

because of inhibition from the contralateral M1 to the one ipsilateral to the hand

in adults (Hanakawa et al., 2005). Ipsilateral M1, however, also encodes single

finger movements during ME, even though the mean activation is lower as

revealed by a decoding analysis (Diedrichsen et al., 2013). The asymmetric

properties of motor control can appear not only in the mean activation or

decoding accuracies, but also in directed functional connectivity. Using

conditional GCM, bidirectional edges of directed functional connectivities were

extracted in the left-hand task among L-SPL, L-IPL, and L-dPMC; therefore,

selected edges for the right-hand and left-hand tasks were not the same (Gao

et al., 2011). However, asymmetric network structures of the various motor-

associated regions in the frontoparietal network and related networks, in

particular with both hemispheres, have been poorly understood.

Here, we investigated the asymmetric network structure related with moto-

associated regions represented by the directed functional connectivity during MI

and ME. To investigate the network structure, we developed a delayed

sequential movement and imagery (dSMI) task by extending the one proposed by Hanakawa et al. (2008) to acquire enough fMRI data samples under ME and MI. We applied a novel causal discovery approach, DirectLiNGAM (Shimizu et al., 2011) with the fMRI time courses in the motor-related regions of interest (ROIs) in order to estimate their directed functional connectivity for each condition. DirectLiNGAM is an algorithm to extract causal links based on a linear non-Gaussian acyclic causal model (LiNGAM: Shimizu et al., 2006). It is particularly suitable to analyze large-scale connectivity structures with many ROIs, as compared to DCM/GCM. Finally, we statistically evaluated the directed functional connectivity within frontal regions, between frontoparietal regions, and between audio-motor regions, and illustrated the symmetric/asymmetric structure of the network.

2. Methods

2.1 Participants

A total of 27 adults (3 female, mean age = 25.4 ± 5.9 years) participated in this study. Participants received cash remuneration for their involvement in this experiment. All participants had normal or corrected-to-normal vision, no reported history of drugs that affected the central nervous system, and no neurological disease. All participants were right-handed, as assessed by the Edinburgh Handedness Inventory (Oldfield, 1971). All participants provided written informed consent prior to the experiment. This study was approved by the ethical committee of the Advanced Telecommunications Research Institute

International and followed the Declaration of Helsinki.

2.2 Delayed sequential movement and imagery task

We conducted a dSMI task, which was modified from the original version

(Hanakawa et al., 2008) because we needed to collect enough trials within a

limited fMRI experiment time. Because of our modification, we collected data

samples that were sufficient to optimize the directed functional connectivity with

a causal discovery approach. We wrote an in-house program coded for the

dSMI task using Psychophysics Toolbox extensions (Brainard, 1997; Kleiner et

al., 2007; Pelli, 1997) in MATLAB (MathWorks Inc., Natick, USA). Briefly, each

run incorporated both performance modes (ME, MI, and PL: passive listening)

in a pseudorandom manner. Three different classes of visual stimuli (Move: ME;

Image: MI; Listen: PL) were alternately presented (Fig. 1). As an instruction

stimulus (IS), an Arabic numeral 1, 2, or 3 was visually presented indicate the

initial finger of tapping sequence (1 = the pointing finger, 2 = the middle finger, 3

= the ring finger). The initial finger was semi-randomly selected at each trial.

After the IS, a cue stimulus (CS) was presented for 2 s. Each participant was

instructed to sequentially tap following short beep sounds (Move or Image) or

passively listening to the beep sounds (Listen) in one of the modes, ME, MI,

and PL, respectively. The participants were required to press the response

buttons corresponding to the beep sound (duration = 0.2 s; tone = 500 Hz;

frequency = 0.667 Hz) following the instructed sequence in response to the

Move stimulus (Move trials) during the induction period (IP), and press the

response button corresponding to the next finger. In response to the Image

stimulus, the participants were asked to image the finger tapping during the IP

(7 s) from the instructed finger as performed by him/her (first-person

perspective) without actual finger tapping (Image trials), and then, were required

to press the response button that corresponds to the next finger. In the case of

Listen, the participants were asked to respond not only without the movement of

their fingers but also without imagining their finger movements during the IP and

answer periods. During the MI and PL conditions, we confirmed the absence of

finger movement in the absence of the button's response. The Move, Image,

and Listen stimuli were pseudo-randomly assigned to the cue stimuli; therefore,

the participants were unable to predict the forthcoming performance mode. The

interstimulus interval (ISI) pseudo-randomly lasted for 8, 10, or 12 s. We did not

analyze the PL condition in further analysis. Finally, we collected 30 trials in four

conditions (right ME: R-ME; right MI: R-MI; left ME: L-ME; left MI: L-MI).

2.3 MRI data acquisition

Images were acquired with a 3T MRI scanner, MAGNETOM Trio Tim (Siemens

Medical Systems, Erlangen, Germany) installed in the Brain Activity Imaging

Center in the ATR. High-resolution T1-weighted structural images were

acquired for normalization to a standard brain for echo planar image (EPI)

registration purposes (repetition time: TR = 2300 ms, echo time: TE = 2.98 ms,

flip angle = 9°, inversion time: TI = 900 ms, matrix = 256 × 256, field of view =

256 mm, slice thickness = 1 mm, iso-voxel). Functional images were acquired

with an EPI sequence (TR = 2000 ms, TE = 30 ms, flip angle = 80°, matrix = 64

× 64, field of view = 212 mm, slice thickness = 3.2 mm, gap: 0.8 mm, 33 slices,

scan sequences: interleaved) of 536 volumes in the dSMI task for 17 min 52 s.

2.4 Data analysis

2.4.1 Preprocessing of fMRI data

We followed a preprocessing protocol from a previous study (Ogawa et al.,

2018). The data were processed using SPM12 (Wellcome Trust Centre for

Neuroimaging). The first four volumes were discarded to allow for T1

equilibration. The remaining data were corrected for slice timing and realigned

to the mean image of that sequence to compensate for head motion. Next, the

structural image was co-registered to the mean functional image and

segmented into three tissue classes in the MNI space. Using associated

parameters, the functional images were normalized and resampled in a 2 × 2 ×

2 mm grid. Finally, they were spatially smoothed using an isotropic Gaussian

kernel of 8 mm full-width at half maximum.

2.4.2 Regions of interest

We followed a previous study (Zabicki et al., 2017) which used sixteen brain

regions and added bilateral dorsolateral prefrontal cortex (DLPFC) as control

regions. Therefore, we defined eighteen ROIs (Table 1) as follows: frontal motor

regions (M1, dPMC, vPMC), posterior parietal regions (SPL, IPL, IPS), and

unrelated regions (primary auditory cortex: A1, fronto-marginal gyrus: FMG,

dorsolateral prefrontal cortex: DLPFC). The anatomical masks were

reconstructed from the Destrieux Atlas (Destrieux et al., 2010) in the Wake

Forest University Pick Atlas (Maldjian et al., 2003). These masks were resliced

to match the preprocessed EPIs with the same spatial resolution.

For each participant, we extracted fMRI time courses within each ROI. To

remove several sources of spurious variance along with their temporal

derivatives, linear regression was performed, including six motion parameters in

addition to averaged signals over gray matter, white matter, and cerebrospinal

fluid. Furthermore, to reduce spurious changes caused by head motion, the

data were checked by a method that reduces motion-related artifacts. A band-

pass filter (transmission range, 0.008−0.1 Hz) was applied to these sets of time

courses prior to the following regression procedure. Eleven participants were

excluded from the fMRI analysis because the participants did not perform all

sessions of the experiment or had excessive head/body movements during the

fMRI scans.

2.4.3 DirectLiNGAM

Linear non-Gaussian acyclic causal model (LiNGAM: Shimizu et al., 2006) is a

causal discovery model that utilizes higher-order distributional statistics to

determine directed network connections. The assumptions of LiNGAM are as

follows: 1) each node (variable) is linearly related to the other nodes, 2) its

residual or external input has non-Gaussian distributions, and 3) the

1   corresponding directed dependency graph is acyclic, i.e., the nodes have a

2   natural ordering. Letting x_i denote the i-th observation variable ($i = 1, ..., n$), its

3   linear association to the preceding/parent variable can be represented as

$$x_i = \sum_{k(j)<k(i)} b_{ij} x_j + e_i \tag{1}$$

5   where b_{ij} is the connection weight, quantifying the direct causal effect, and $k(i)$

6   is the causal order for each variable. The external influences e_i have non-

7   Gaussian distributions, with non-zero variances, and are independent of each

8   other. Rearranging equation (1) into a matrix form, we have

$$\mathbf{x} = \mathbf{Bx} + \mathbf{e} \tag{2}$$

where $\mathbf{x}$ and $\mathbf{e}$ are the vectors of observed variables x_i and external influences e_i,

respectively; $\mathbf{B} = (b_{ij})$ is an $n \times n$ connectivity matrix that can be permuted to a

strictly lower triangular matrix (i.e. with all zeros in the main diagonals as well as

the upper triangular part). Then, the identifiability of matrix $\mathbf{B}$ and the causal

ordering/strength among the variables can be rigorously proven (Shimizu et al.,

2006) based on the theory of independent component analysis (Hyvärinen et al.,

2001).

We specifically used the DirectLiNGAM algorithm to estimate the strength of

directed functional connectivity (i.e. nonzero entries of B) among brain regions

for each of the MI/ME conditions. DirectLiNGAM requires no algorithmic

parameters and is guaranteed to converge to a solution within a small number

of steps if the data strictly follows the assumptions with sufficiently large

samples, e.g., > 2,000 data points as suggested by previous studies (Smith et

al., 2011; Xu et al., 2014). During the optimization process, the algorithm also prunes redundant edges and selects significant edges in an acyclic manner. This is important to concisely visualize the directed acyclic graph (DAG) with multiple ROIs and interpret differences across conditions. We used an open-source MATLAB implementation of DirectLiNGAM (Shimizu et al., 2011).

We first prepared a matrix of time courses of the fMRI signal that extracted six data sample epochs from the onset of the IP for each ROI (number of voxels × 720 samples; ME and MI conditions contained 30 trials; Fig.2A). After normalizing the matrix by the mean and variance of the matrix, we conducted a principal component analysis for each ROI to decompose the loadings and scores (Fig. 2B). We corrected the sign of the first principal component (PC1) so that it has a positive correlation with the mean time course within the ROI. We collected the corrected PC1 across all ROIs and concatenated the matrix across all subjects for each condition (18 ROIs × 2,880 samples [6 samples × 30 trials × 16 subjects], Fig. 2C). We applied DirectLiNGAM for each condition, and estimated the $\mathbf{B}$ matrix, the nonzero entries of which identify the direct causal effects, i.e., directed functional connectivity, between two nodes, as well as the acyclic causal ordering of the nodes.

2.4.4 Statistics of network strength

To evaluate the stability of directed functional connectivity across the subjects, we applied the bootstrapping method to estimate the lower and upper boundary

of the strength of the connections with 100 repetitions following a method

described by Xu et al. (2014). We assumed that the fMRI data, $\mathbf{x}$, can be

linearly represented by Eq. (2). To check the validity of the DirectLiNGAM

approach, we confirmed non-Gaussianity of innovations, $\mathbf{e} = (\mathbf{I}-\mathbf{B})\,\mathbf{x}$, of the

estimated model by the Kolmogorov–Smirnov test ($p < 0.05$, "kstest.m", in

Statistics toolbox, MATLAB). We then estimated 100 models for each condition

(R-ME, R-MI, L-ME, and L-MI) and applied the bootstrapped t-test for each

condition (t-test, $p < 0.001$ corrected by the Bonferroni method). Additionally, to

evaluate statistical difference of the direct effects between the two conditions,

we applied the two-sample t-test ($p < 0.001$ corrected by Bonferroni method).

2.4.5 General linear model

A first-level subject-wise analysis was performed using the general linear model

(GLM) in SPM12. T-statistics were calculated for each subject using boxcar

regressors, convoluted with a canonical hemodynamic response function

without time and dispersion derivatives. The preprocessed individual functional

images were high-pass filtered with a 128 s cutoff period to remove the effect of

low-signal drift. Additionally, the six head movement parameters derived from

the realignment procedure were used as regressors of no interest. fMRI data of

each session were modeled with two regressors of interest (ME and MI)

corresponding to the task blocks included in the session. All brain activity during

the 7 s of the IP was included in the analysis. To identify the activated brain

areas during the MI and ME conditions, contrast images were calculated

including (i) R-ME > L-ME; (ii) L-ME > R-ME; (iii) R-MI > L-MI; (iv) L-MI > R-MI;

(v) R-ME > R-MI; (vi) R-MI > R-ME; (vii) L-ME > L-MI; (viii) L-MI > L-ME. The

contrast images were used as the input data in group-level random-effect

analyses using one-sample *t*-tests. The threshold for statistical significance was

set at an uncorrected p-value < 0.001 with a cluster-based family-wise-error

correction of p-value < 0.05.

3. Results

3.1 Directed functional connectivity on fMRI data

Applying the DirectLiNGAM algorithm, we estimated the weight coefficient

matrices, $\mathbf{B}$, from data $\mathbf{x}$ for each condition (R-ME, R-MI, L-ME, L-MI). By

shuffling the subjects' order with 100 repetitions for each condition, we

illustrated colormaps of the mean weights over repetitions, such that only

significant ones were shown (Fig.3A). According to the colormaps, we drew

DAGs to visualize directed effects between two regions (Fig.3B). To indicate

graphs of the network, we counted number of edges a node has to or from other

nodes as a measure of node importance in the network, (i.e., out-degree refers

to the number of edges from the node to other nodes, and in-degree refers to

the number of the edges from other nodes to the node) in Fig.3CD.

First, brain regions in the bilateral d/vPMC showed high out-degrees and low in-

degrees. This indicates that the bilateral d/vPMC strongly influences other

regions, but direct effects from other regions are small (out-degree > in-degree,

Fig. 3C and 3D). According to the colormaps and DAG, we determined the most

dominant connection from dPMC to M1 contralateral to the hand (L-ME and L-

MI: R-dPMC → R-M1; R-ME and R-MI: L-dPMC → L-MI; Fig.3AB, Supp Table

1). We identified positive direct effects from the dPMC to M1 ipsilateral to the

hand (R-ME and R-MI: R-dPMC → R-M1; L-ME and L-MI: L-dPMC → L-M1). As

a bilateral interaction, we found positive direct effects (all conditions: L-vPMC →

R-vPMC; L-ME, R-ME, L-MI: L-dPMC → R-dPMC). Additionally, we found

strong negative direct effects from the L-dPMC to R-M1 in R-ME, but also weak

negative effects in the other three conditions (all conditions: L-dPMC → R-M1).

Most of positive direct effects in the same hemisphere showed symmetric

structure; however, the interhemispheric directed functional connectivity with

negative effects showed inhibitions in the target region and an asymmetric

structure.

Second, we also found that brain regions in the bilateral A1 regions showed

high out-degrees and low in-degrees (Fig. 3C and 3D). In the colormap of the B

matrix and DAG, we found a significant positive effect, e.g., in the audio-motor

network (L-ME and L-MI: R-A1 → R-M1; R-ME and R-MI: L-A1 → L-M1), and a

weak negative connection to the parietal regions. We added A1 into our

analysis as control regions based on a previous study (Zabicki et al., 2017),

which was not expected motor processes associated with MI and ME. However,

our results suggest that A1 may influence not only M1, but also parietal regions

similar to d/vPMC during the dSMI task, because we used a sequential pitch to

lead finger tapping smoothly for the participants.

Third, we found that in- and out-degree in the parietal regions were not

obviously different compared to that in the frontal, auditory, and control regions.

Connections in the frontoparietal network, in particular, from the d/vPMC to

SPL/IPS/IPL were identified. We found direct effects from the frontal regions

(d/vPMC) to parietal regions (SPL, IPL, IPL), for instance, L-ME (L-dPMC → L-

SPL, L-IPS; L-vPMC → L-IPS; R-vPMC → R-IPS), L-MI (L-dPMC → L-IPS; R-

vPMC → L-IPS, R-IPS, R-IPL), R-ME (R-vPMC → R-IPL; L-vPMC, L-dPMC →

L-IPS), and R-MI (L-dPMC → L-SPL, L-IPS; R-vPMC → R-IPL, R-IPS).

Meanwhile, connectivity from the parietal regions to frontal regions was not

selected by DirectLiNGAM. The direct effects from the frontal to parietal regions

showed an asymmetric structure. In the parietal regions, the bilateral IPS seed

regions seemed to influence neighboring regions such as the IPL and SPL

across all conditions. Thus, to achieve MI and ME, direct effects may show

interactions within the frontoparietal network and intraparietal network.

Regarding the usage of bilateral FMG and DLPFC as control regions, profiles of

their in-/out-degrees were opposite to those of the d/vPMC and A1, such that

the in-degrees of these regions were higher than the out-degrees. Additionally,

directed connections from the FMG and DLPFC to frontal motor regions, the

parietal regions, and A1 were not selected by DirectLiNGAM (Fig.3A) across all

conditions. This result indicates that brain activity in the FMG and DLPFC were

not caused by the achievements of ME and MI.

3.2 Differences of direct effects due to modes and laterality

To identify the direct effects between the two conditions, we evaluated

differences between two samples (A: L-ME vs. L-MI, B: R-ME vs. R-MI, C: L-ME

vs. R-ME, and D: L-ME vs. L-MI in Fig.4) with a two-sample t-test ($p < 0.001$

corrected by Bonferroni method). Each element showed a difference between

two conditions of a direct effect which was displayed only if the difference was

statistically significant. First, we found higher effects from the dPMC to M1

contralateral to the hand (green dotted boxes in Fig. 4C and 4D). Second,

frontoparietal connectivity found L-dPMC → R-IPS and R-vPMC → R-IPS in L-

ME, and R-dPMC → R-IPS and L-vPMC → R-IPS in R-ME (orange dotted

boxes).

Obvious differences in directed functional connectivity are represented in the

edges dPMC → M1 and A1 → M1, contralateral to the hand (Fig. 4A and 4B).

The direct effects, dPMC → M1, are more strongly affected in ME than in MI.

This edge is essential to achieve motor control and related imagery. Second,

the strength of the edges, A1 → M1, is also higher in ME and MI. It may

represent a clear neural representation in both regions in the case of ME.

Comparing the laterality of ME (Fig. 4C) and MI (Fig. 4D), the edges dPMC →

M1 contralateral to the hand clearly show differences in laterality. In comparison

to ME, we identified edges L-M1 → R-IPL, R-dPMC → R-IPS larger in R-ME,

and edges R-IPS → R-IPL in L-ME (Fig.4C). In the case of MI, we identified

edges L-M1 → L-SPL, R-M1 → L-FMG, R-IPL → R-DLPFC larger in R-MI, and

edges, L-IPL → L-DLPFC, R-A1 → R-M1 larger in L-MI (Fig. 4D).

3.3 Results of general linear model of event related activity

To confirm brain activations between left and right ME/MI, we performed GLM

analysis for comparisons of contrasts. First, we compared contrasts between R-

ME and L-ME and summarized statistical results in Table 2. The brain regions

that emerged from a contrast of R-ME > L-ME included the postcentral gyrus

(M1), insula including the Rolandic operculum (RO) and Heschl gyrus (A1),

putamen, SMA, and thalamus in the left hemisphere contralateral to the moving

hand. In the opposite contrast, L-ME > R-ME, we identified clusters in the

precentral gyrus, thalamus, putamen in the right hemisphere contralateral to the

moving hand. In the case of MI, we found similar tendencies (R-MI > L-MI: L

postcentral gyrus [L-M1], L insula; L-MI > R-MI: R postcentral gyrus [L-M1]).

These results were consistent with previous findings that brain activity in the

contralateral hemisphere is predominantly higher than that in the ipsilateral

hemisphere. Therefore, the clusters were symmetrically colocalized in

comparisons between lateralities (Hanakawa et al., 2008).

Next, we compared contrasts between ME and MI such as modes for each

hand (Table 3). The brain regions that emerged from a contrast of R-ME > R-MI

included the left postcentral gyrus (L-M1), which means that L-M1 is more

activated at R-ME than R-MI. Similarly, we identified clusters with a contrast of

L-ME > L-MI including those in the right precentral (R-M1) and right thalamus.

On the other hand, opposite contrasts (R-MI > R-ME, L-MI > L-ME) showed

clusters in the prefrontal (L inferior frontal gyrus, triangle part: IFG Tri, L middle

frontal gyrus: MFG, R MFG), parietal (L IPL, L SPL), and occipital (L superior

occipital gyrus: SOG, L middle occipital gyrus: MOG) regions. Brain activity

corresponding to ME in M1 was higher than that of MI, but brain activity

corresponding MI was lateralized in the left hemisphere.

4. Discussion

In this study, we demonstrated the asymmetric representation of the direct

functional connectivity during ME and MI. By applying DirectLiNGAM and GLM

to the fMRI data, we characterized functional asymmetry in the d/vPMC and A1,

parietal regions, and prefrontal control regions. We found higher direct

functional connectivity from the contralateral dPMC to M1 compared to that from

the ipsilateral dPMC to M1, as well as activations in M1. Regarding the following

of finger tapping with beep sounds, A1 showed high out-degrees that affected

M1 predominantly, as well as other regions. Regarding the parietal regions,

strong connections were observed mainly from the d/vPMC, as well as intra-

connections. The frontal control regions were affected by other regions, but not

vice versa. However, higher activations during MI were distributed in the left

hemisphere than ME in both cases of the right and left hand, such that MI

showed functional asymmetry. Here, we would like to discuss the network structure with directed functional connectivity and its brain activations across hands and modes.

4.1 Functional roles of d/vPMC evidenced by activation patterns and causal discovery during ME and MI

Our results of the GLM in Tables 2 and 3 showed that the brain activity is generally contralateral to the movement/imagery side, and that brain activity in M1 during ME was higher than that during MI. They were consistent with findings from previous neuroimaging studies (Kawashima et al., 1993; Hanakawa et al., 2003; Gao et al., 2011). From a viewpoint of the causal discovery analysis, strong positive direct effects, dPMC → M1, in the same hemisphere contralateral to the tapping hand during MI and ME were selected (Fig. 3). Previously, positive directed functional connectivity has been found from the dPMC/SMA to M1 (CGCM: Gao et al., 2011, Wang et al., 2019; DCM: Kasess et al., 2008), therefore, the positive directed functional connectivity shows active inference from a source region to a target region. Gao et al. demonstrated that the out-degrees of the dPMC contralateral to the performing hand were higher than the in-degrees regardless of ME/MI tasks with right/left hand. Our results also showed that the out-degrees of dPMC were higher than the in-degrees. This indicates that dPMC is one of the generators of neural representation associated with ME/MI, not just in M1 but also in the parietal regions.

We also found positive effects, dPMC → M1, ipsilateral to hand (Supp. Table 1).

Our task requires the participants to tap or imagine tapping with one hand;

therefore, we did not expect to identify the ipsilateral connections between the

dPMC and M1 in this analysis. Comparisons the right and left hemispheres, the

contralateral connections were higher than the ipsilateral ones in both ME and

MI. In a previous study by Gao et al. (2011), functional connectivity was

estimated by CGCM with ME/MI of the right/left hand similar to our task;

however, they did not include ipsilateral M1 into the model. Wang et al. (2019)

also estimated functional connectivity of five ROIs by CGCM during ME and MI

of young and old subjects, and they found bilateral connections between the

PMC and M1. We successfully identified the ipsilateral connections, dPMC →

M1, despite the high dimensional network compared to the previous studies. It

suggests that neural representations in the ipsilateral pathway may partly help

to achieve ME and MI.

4.2 Audio-motor network during ME and MI

Regarding the audio-motor network, we found i) high out-degree and low in-

degree, ii) positive effects, A1 → M1 bilaterally, and iii) negative effect, A1 →

parietal regions, in particular, connections within the same hemisphere. We did

not expect to find connections related to A1 when we designed this study

because we assumed that A1 was a control region. The decoding accuracies of

modality (ME vs. MI) in A1 obtained by multi-voxel pattern analysis were

significant in a study by Zabicki et al. (2017). It suggests that the voxel pattern

in A1 contains information that can distinguish between ME and MI. Lima et al.

(2016) reviewed the roles of the SMA and pre-SMA in auditory processing and

auditory imagery and concluded that activating sound-related motor

representation in the SMA and pre-SMA might facilitate behavioral responses to

sounds. On the other hand, Morillon et al. (2015) mentioned that connections

between auditory and premotor/motor areas had not been established and were

either weak or absent in primates. Our results indicate that there are direct

effects on the audio-motor pathway, to M1 bilaterally and positively, and to the

parietal regions negatively in the same hemisphere. In our task, the participants

were instructed to follow the beep sounds to tap their finger or imagine finger

tapping, without the inclusion of spatial information in sounds. Periodic sounds

associated with motor control may facilitate the preparation of the next finger

movement following the sound sequence and inhibit spatial audio-motor

processes according to negative effects from A1 to the parietal regions

observed in L-MI and R-MI (Fig. 3A).

4.3 Functional asymmetry of the parietal regions and left DLPFC

Regarding networks associated with the parietal region, we found functional

asymmetry, frontoparietal connections (negative effects: bilateral-vPMC →

bilateral SPL; positive/negative effects: bilateral dPMC → bilateral SPL, L-IPS),

common connections within the parietal regions (L-SPL → L-IPL; R-SPL → L-

IPL; L-IPL → L-SPL, R-IPS, L-IPL; R-IPS → R-SPL, R-IPL), and that the left

parietal regions were highly activated in MI compared to ME. In a previous study that used CGCM, bidirectional directed functional connectivity between the dPMC and SPL/IPL in the same hemisphere was identified from fMRI data during ME and MI (Gao et al., 2011). In their results, bidirectional directed functional connectivity represents the forward and backward interactions between the dPMC and SPL during the visual-cued motor task. It suggests that SPL may be associated with the process of somatosensory modality and visual information and its integration. There are functional similarities and differences in PMC across the dorsal-ventral axis, such as the prediction of sequential finger movement (Nambu et al., 2015), representation of chuck of sequential finger movement (Yokoi & Diedrichsen, 2019), encoding of spatial location (Gallivan et al., 2011), and linguistic motor planning of fingers (Hanakawa et al., 2005). On the other hand, a specific role of vPMC is to encode target locations depending on head/eye-centered coordinates and arm/limb-centered coordinates (Gallivan et al., 2011). In a study by Hanakawa et al. (2005), neural representations in vPMC mediate the mapping of linguistic stimulus onto the motor planning of fingers. Due to motor and non-motor sequences, activations in the vPMC are enhanced during ME and MI tasks. It suggests that functions in the vPMC are associated with encoding effectors and spatial coordination.

Additionally, regarding the comparisons of brain activity, the left parietal regions had significantly greater activation during MI compared to ME (Table 2 and 3). L-IPS has positive effects to the L-SPL, R-IPS, and L-IPL across all conditions.

Interestingly, these results indicate the functional asymmetry of the parietal

regions. This is inconsistent with the results of Gao et al., such as the directed

functional connection between frontoparietal regions (Gao et al., 2011). The

parietal regions are important to encode spatial processing of target or effector-

specific coordinates, such that neural representation of gestures/actions with

the hand/foot have been predicted by classification methods (three right-hand

actions: aiming, extension-flexion, and squeezing: Pilgramm et al., 2016; three

right-hand actions and their imagery: Zabicki et al., 2017; touching left/right and

gazing left/right: Gallivan et al., 2011). Our result was comparable to previous

findings even though our task involves the modulation of the laterality of the

hands (right/left) and modes (MI/ME) with just finger movement.

Regarding the left DLPFC, we identified clusters satisfying the condition MI >

ME (Table 3). In early neuroimaging studies, functional asymmetry was found in

the M1 and left prefrontal regions (Kawashima et al., 1993), precuneus, and

middle frontal gyrus (Hanakawa et al., 2003). The results of DirectLiNGAM

showed that the DLPFC had high in-degree and low out-degree with weak

connections, indicating that it may collect sensory information. In particular, MI

induced not only motor-related activity in the frontoparietal network, but also

activity in the prefrontal regions which integrates information from the body and

the environment and participates in higher-order motor control (van der Meulen

et al., 2014).

4.4 Limitation of DirectLiNGAM

The DirectLiNGAM algorithm is a suitable approach to explore the causal relationships between multiple nodes (Shimizu et al., 2011). The conventional methods, such as DCM or GCM, requires large computational costs in treating four or more time series. One main limitation is that if brain regions A and B are both driven by region C but with a different lag, an effective connectivity will be shown an edge between A and B in the DCM. To address this problem, the GCM method has been proposed and applied to field potential data from macaque monkeys when performing a go/no-go visual pattern discrimination task (Zhang et al., 2008) and human fMRI data during a face matching task (Wang et al., 2017). Afterwards, GCM has also improved to enable its application to more than the data of three nodes employing a conditional variable similar to the partial correlation analysis (Wang et al., 2019).

Contrastingly, the DirectLiNGAM algorithm is identifiable by a multivariate dataset of more than three variables. The advantages of this algorithm are as follows: i) a full and unique causal structure can be identified, provided all assumptions hold and the sample size of the observations is sufficiently large, ii) no prior knowledge of the network structure is needed, and iii) compared to DCM, which may involve large numbers of conditional independent tests, the computational complexity of LiNGAM is much lower (Smith et al., 2011). However, DirectLiNGAM is assumed to be DAG and to prune weakly contributed edges with sparseness; therefore, there is a possibility of missing

bidirectional directed functional connectivity. In this study, comparisons of a cyclic model or a LiNGAM model with hidden variables/confounders were out of scope. It is necessary to evaluate how the different bidirectional effects or latent confounders are represented in GCM and DirectLiNGAM in future studies.

5. Conclusions

By applying the causal discovery approach to the fMRI data, we demonstrated that DAG represented the frontoparietal motor network underlying ME and MI. To support the estimation of the directed functional connectivity, we also confirmed spatial brain activity with GLM during ME and MI. We developed a dSMI task based on that described by Hanakawa et al. (2008) to acquire enough fMRI data samples under the ME and MI conditions. We found predominant directed functional connectivity, d/vPMC → M1, which is a core of the motor control. Additionally, we identified audio-motor connections from A1. The parietal regions and DLPFC showed functional asymmetry during ME and MI. The parietal regions may not only receive movement related information from d/vPMC but may also communicate with neighboring regions. MI can cause higher cognitive load than ME. Our approach has the limitations of representing bidirectional interactions and the assumption of independence for each variable. It is necessary to compare several methods of the representation of the causalities of multiple brain regions. Our findings might help to understand large-scale interactions across bilateral frontoparietal networks during ME and MI.

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Declaration of interest

None

Author contributions

Takeshi Ogawa designed this study and performed data acquisition. Takeshi Ogawa and Hideki Shimobayashi analyzed the data. Takeshi Ogawa, Hideki Shimobayashi, Jun-ichiro Hirayama, and Motoaki Kawanabe discussed data analysis and results. Takeshi Ogawa and Jun-ichiro Hirayama wrote the original draft.

Data and code availability

3 The data that support the findings of this study are available from the

4 corresponding author, Takeshi Ogawa, upon reasonable request.

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Figure captions

Figure 1:

Design of the delayed sequential motor imagery and execution (dSMI) task.

A participant was asked to remember the instruction stimuli (IS), which were presented for 2 s (1: pointing finger; 2: middle finger; 3: ring finger) and specified a segment of the cue stimuli (CS), which showed the following conditions: Move, Image, and Listen. Based on the CS, the participants sequentially tapped their fingers (Move: motor execution, ME), or imagined the tapping (Image: motor imagery, MI), or did nothing (Listen: passive listening, PL) during the induction period (IP).

Figure 2:

Preprocessing of input data matrix for DirectLiNGAM. (A) An example of a

data matrix in single ROI. (B) Time courses of scores of the principal

component analysis (PCA) after normalizing the matrix by mean and variance of

the matrix for each ROI to decompose loadings and scores. (C) Input data

matrix, x, for DirectLiNGAM algorithm. x was collected from only the first

principal component (PC1) with sign correction using temporal correlation

between the PC1 and a mean time course of the same ROI and concatenated

across all subjects for each condition (ME, MI: 18 ROIs × 2,880 samples).

L/R-ME: motor execution with the left/right hand; L/R-MI: motor imagery with the

left/right hand; L/R-FMG: left/right frontomarginal gyrus; L/R-DLPFC: left/right

dorsolateral prefrontal cortex; L/R-vPMC: left/right ventral premotor cortex; L/R-

dPMC: left/right dorsal premotor cortex; L/R-M1: left/right primary motor cortex;

L/R-SPL: left/right superior parietal lobule; L/R-IPS: left/right inferior parietal

sulcus; L/R-IPL: left/right inferior parietal lobule; L/R-A1: left/right primary

auditory cortex.

1
2

Figure 3:

Direct acyclic graphs and matrices of directed functional connectivity

estimated by DirectLiNGAM. The colormaps (A) and direct acyclic graphs (B)

show mean strengths of directed functional connectivity, $\mathbf{B}$, between two brain

regions. Significant edges were connected between two ROIs (bootstrapping

with 100 repetitions, thresholding p<0.001 corrected by Bonferroni method).

ROIs were divided into three parts, frontal motor regions (black bars and dots in

B), parietal regions (pink bars and dots) and control regions (green bars and

dots) in A and B. The width of the edge in B shows the absolute value of the

strength of the directed functional connectivity and an arrow of the edge is the

direction. Positive weights are brightly colored and negative weights are in a

blue colored. C and D: out-/in-degrees were counted with respect to significant

functional directed connectivity for each ROI. Bars were colored by blue for R-

ME, orange for L-ME, yellow for R-ME, and purple for L-MI.

Figure 4:

Directed graphs representing the differences between two conditions.

From two samples estimated from bootstrapping, we illustrated significantly

different edges with a two-sample t-test (p < 0.001 corrected by Bonferroni

method). In (A) L-ME vs L-MI, (B) R-ME vs R-MI, it shows differences between

ME and MI. The edges are colored by blue (ME) to red (MI). In (C) R-ME vs L-

ME, (D) R-MI vs L-MI, it shows differences between R and L. The edges are

colored by blue (ME) to red (MI). ROIs were divided into three parts, frontal

motor regions (black bars), parietal regions (pink bars) and control regions

(green bars)

L/R-ME: motor execution with the left/right hand; L/R-MI: motor imagery with the

left/right hand; L/R-FMG: left/right frontomarginal gyrus; L/R-DLPFC: left/right

dorsolateral prefrontal cortex; L/R-vPMC: left/right ventral premotor cortex; L/R-

dPMC: left/right dorsal premotor cortex; L/R-M1: left/right primary motor cortex;

L/R-SPL: left/right superior parietal lobule; L/R-IPS: left/right inferior parietal

sulcus; L/R-IPL: left/right inferior parietal lobule; L/R-A1: left/right primary

auditory cortex.

1 **Table**

2 **Table 1.**

3 **A list of regional interests applying for the network analysis**

#	ROI	Network	#	ROI	Network
1	L-M1	Frontal, motor	10	R-M1	Frontal, motor
2	L-dPMC	Frontal, motor	11	R-dPMC	Frontal, motor
3	L-vPMC	Frontal, motor	12	R-vPMC	Frontal, motor
4	L-SPL	Parietal	13	R-SPL	Parietal
5	L-IPL	Parietal	14	R-IPL	Parietal
6	L-IPS	Parietal	15	R-IPS	Parietal
7	L-A1	Control, auditory	16	R-A1	Control, auditory
8	L-FMG	Control, cognition	17	R-FMG	Control, cognition
9	L-DLPFC	Control, cognition	18	R-DLPFC	Control, cognition

4 L/R-DLPFC: left/right dorsolateral prefrontal cortex; L/R-vPMC: left/right ventral

5 premotor cortex; L/R-dPMC: left/right dorsal premotor cortex; L/R-M1: left/right

6 primary motor cortex; L/R-SPL: left/right superior parietal lobule; L/R-IPS:

7 left/right inferior parietal sulcus; L/R-IPL: left/right inferior parietal lobule; L/R-A1:

8 left/right primary auditory cortex; ROI: regions of interest

Table 2.

Results for the contrasts between right and left hands [R-ME > L-ME], [L-

ME > M-ME], [R-MI > L-MI], and [L-MI > R-MI].

MNI coordinate is corresponding to the peak voxels within each cluster.

Clusters were set at a threshold of p < 0.001 and cluster level family-wise-error

at p < 0.05. Note: Brain regions were labelled by the AAL toolbox in the SPM

extension. SPL: Superior parietal lobule; PCL: Paracentral lobule; IPL: Inferior

parietal lobule; RO: Rolandic operculum; SMA: Supplementary motor area;

MCIN: Middle cingulate gyrus, middle part; SFG: Superior frontal gyrus.

Brain regions	Peak coordinate (MNI)			Cluster size		Details
	X	Y	Z	Voxels	Peak of T score	
R-ME > L-ME						
L Postcentral	-36	-26	52	1093	17.08	L postcentral L precentral L SPL L PCL L IPL
L Insula	-38	-26	18	155	14.96	L insula L RO L Heschl
L Putamen	-32	-12	-2	29	10.39	L Putamen
L SMA	-6	-28	48	107	9.24	L SMA L MCIN L PCL
L Thalamus	-16	-22	0	7	8.14	L Thalamus
L-ME > R-ME						
R precentral	34	-24	62	820	15.68	R Precentral R Postcentral R SFG 2
R Thalamus	16	-20	6	40	10.24	R Thalamus
R Putamen	30	-12	2	13	8.38	R Putamen

						R Pallidum
R-MI > L-MI						
L Postcentral	-46	-30	58	60	8.77	L Postcentral
L Postcentral	-32	-28	52	43	8.32	L Postcentral L Precentral
L Insula	-32	-24	22	3	7.83	L Insula
L-MI > R-MI						
R Postcentral	42	-28	58	3	7.57	R Postcentral

Table 3.

Results for the contrasts between ME and MI [R-ME > R-MI], [R-MI > R-ME],

[L-ME > L-MI], and [L-MI > L-ME].

MNI coordinate is corresponding to the peak voxels within each cluster.

Clusters were set at a threshold of $p < 0.001$ and cluster level family-wise-error

at $p < 0.05$. Note: Brain regions were labelled by the AAL toolbox in the SPM

extension. IFG: Inferior frontal gyrus; IFG Tri: IFG triangular; SFG: Superior

frontal gyrus; MFG: Middle frontal gyrus; IFG Oper: IFG, opercular; SMA:

Supplementary motor area; IPL: Inferior parietal lobule; SMG: Supramarginal

gyrus; SPL: Superior parietal lobule; MTG: middle temporal gyrus; SOG:

Superior occipital gyrus; MOG: Middle occipital gyrus; PCL: Paracentral lobule.

Brain regions	Peak coordinate (MNI)			Cluster size		Details
	X	Y	Z	Voxels	Peak of T score	
R-ME > R-MI						
L Postcentral	-38	-26	54	219	9.49	L Postcentral L Precentral
R-MI > R-ME						
L IFG Tri	-36	10	18	3254	6.43	L IFG tri L MFG 2 L Precentral L IFG Oper L SFG 2 L SMA L Postcentral L Insula
L IPL	-56	-44	50	1143	6.26	L IPL L SMG L Angular L SPL L MTG

L SOG, L SPL	-10	-82	44	453	5.38	L SOG L SPL L MOG L Precuneus L Cuneus L IPL
L-ME > L-MI						
R Precentral	34	-22	68	218	10.22	R Precentral R Postcentral
R Thalamus	20	-22	10	24	8.73	R Thalamus
L-MI > L-ME						
L MFG 2	-34	60	16	2544	7.36	L MFG 2 L IFG Tri L SFG 2 L IFG Oper L IFG Orb 2 L Precentral L Insula
L MFG 2	-30	4	60	234	6.14	L MFG 2 L SFG 2 L Precentral
L IPL	-6	-74	32	1902	6.06	L IPL L SPL L Precuneus L Cuneus L SOG L MOG R PCL L Angular
R MOG	36	-80	34	210	5.92	R MOG R SOG R SPL R Cuneus R Precuneus R Angular
R MFG 2	40	42	34	207	5.52	R MFG 2 R IFG Tri R IFG Oper

A Time course of fMRI signal

ROI 1
(Subject1)

\# Voxel

R-ME   R-MI   L-ME   L-MI

1   200   400   600

(Number of voxels x 720 samples)

PCA
with sign correction

B Time course of PCA scores (Subject 1)

ROI 1
PC1

R-ME   R-MI   L-ME   L-MI

ROI 18
PC1

1   200   400   600   (sample)

C

R-ME
(18 ROIs x (6 samples x 30 trials x 16 subjects))

R-MI
(18 ROIs x (6 samples x 30 trials x 16 subjects))

R-DLPFC
L-DLPFC
R-FMG
L-FMG
R-A1
L-A1
R-IPL
L-IPL
R-IPS
L-IPS
R-SPL
L-SPL
R-vPMC
L-vPMC
R-dPMC
L-dPMC
R-M1
L-M1

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16

L-ME

L-MI

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16

Subject