Cell group analysis reveals changes in upper-layer neurons associated

with schizophrenia

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Abstract

Genome-wide association studies (GWAS) of schizophrenia (SCZ) have revealed over 100 risk loci. We investigated whether these SCZ-associated variants regulate gene expression by cell type. Using a fully unsupervised deconvolution method, we calculated gene expression by clusters of estimated cell types (cell-groups, CGs). Five CGs emerged in the dorsolateral prefrontal cortices (DLPFC) of 341 donors with and without SCZ. By mapping expression quantitative trait loci (eQTL) per CG, we partitioned the heritability of SCZ risk in GWAS by CGs. CG-specific expressions and eQTLs were replicated in both a deconvoluted bulk tissue data set with a different method and also in sorted-cell expression data. Further, we characterized CG-specific gene differential expression and cell proportion changes in SCZ brains. We found upper-layer neurons in the DLPFC to be associated with SCZ based on enrichment of SCZ heritability in eQTLs, disease-related transcriptional signatures, and decreased cell proportion. Our study suggests that neurons and related anomalous circuits in the upper layers of the DLPFC may have a major contribution to SCZ risk.

Introduction

Schizophrenia (SCZ) is a complex psychiatric disorder, with approximately 80% of phenotypic variation explained by genetics[1]. In recent years, a surge of genetic markers associated with SCZ has flowed from genome-wide association studies (GWAS)[2]. Nonetheless, interpreting the biological meaning of these GWAS signals remains a challenge. SCZ GWAS loci are located largely within non-coding regions of the genome, pointing to a mechanistic involvement in gene regulation[3]. Expression quantitative trait loci (eQTL) mapping provides an effective means for connecting and interpreting GWAS results with underlying relevant expression regulation[4]. The PsychENCODE[5], CommonMind[6], Brain Cloud[7], and UK Brain Expression Consortium[8] projects produced large-scale eQTL resources. These data have been integrated with GWAS in the effort to pinpoint risk genes[9-11].

A primary pitfall of current brain eQTL analyses, however, is the indifference to cell-type specificity[12]. All existing brain eQTL resources originate from homogenate bulk tissues in the brain, a mixture of many cell types or subtypes. Bulk tissue eQTL mapping offers only averaged effects across the composite cell types. It is therefore confounded by variations in proportion and gene expression. Reports of cell-type specificity of eQTLs from blood[13] provide evidence that at least some common genetic variants shape gene expression by cell types[14]. However, the cell-type-specific eQTLs in the human brain have not yet been reported.

To date, the majority of transcriptomic studies of SCZ have similarly relied on data derived from bulk tissue,using differential analysis and co-expression analyses[15,16]. Such studies bear two major limitations: 1) it is unclear whether genes that are differentially expressed between individuals with SCZ and controls are attributed to differences in expression or changes in cell proportion, and 2) it is unknown whether

changes in SCZ-related expression occur only within one specific cell type or multiple

cell types.

Researchers investigate cell-type-specific expression using either of two approaches:

1) sequencing individual or sorted cells using single-cell or single-nuclei sequencing

(scRNA-seq or snRNA-seq), or 2) the computational deconvolution of bulk tissue

transcriptomic data. To date, fewer than 50 human brain samples have been

sequenced and published using scRNA-seq or snRNA-seq[17,18]. For the time being,

such methods are impractical for most genetic or case-control studies due to the

large sample size and associated costs required to achieve adequate statistical

power.[19] Alternatively, the computational approach can deconvolute bulk tissue data

into cell-type-specific data with sufficient accuracy[20].

The computational deconvolution of bulk tissue data can estimate cell type proportion

and cell type-specific expression in either a supervised or an unsupervised manner.

Supervised deconvolution relies on an accurate reference, for example, cell type-

specific expression from the same species and brain region. However, suitable

references are often unavailable, and whether references developed from control

samples can be used to deconvolute patient data is uncertain. In contrast, using

unsupervised deconvolution sidesteps this problem by estimating cell-type-specific

expression and cell proportion without prior information. Unsupervised deconvolution

classifies a group of cells algorithmically to differentiate them from other groups.

Since these groups may not always reflect biologically homogeneous cell types, we

instead use the term cell groups (CGs). The resulting CGs represent an estimated

cluster of cell sub-types extractable from bulk tissue. Using unsupervised

deconvolution methods, like convex analysis of mixture (CAM),[21,22] identifies CGs

based on gene expression patterns from all tested individuals.

Some advanced deconvolution methods can *infer* cell-type-specific expression per

individual, based upon the methylation[23] or gene expression[24] profile from the donor's

bulk tissue. Again, such supervised methods rely on suitable references. Ideally,

sample-wise and unsupervised deconvolution methods are needed to investigate

CG-specific expressions from bulk tissue data in a cost-effective manner.

We hypothesized that GWAS variants associated with the risk for SCZ regulate gene

expression within one or more specific brain cell types. To identify CG-specific

expressions at the sample level, we applied the newly-developed method[25,26]

sample-wise convex analysis of mixtures (swCAM) to RNA-seq data from 93

postmortem brain samples of donors with SCZ and 248 healthy donors

(Supplemental fig.1). An independent postmortem brain dataset of 605 samples was

used to replicate the swCAM deconvolution results. Further, we generated CG-

specific eQTLs and tested whether the CG-specific eQTLs were enriched for SCZ

GWAS signals. To detect SCZ-related transcriptional changes per CG, we performed

differential expression analyses, followed by co-expression network and

transcriptome-wide association analyses of the deconvoluted expression data. We

integrated results from GWAS enrichment, cell proportion, and transcriptomic

changes to target SCZ-associated CGs. The processed data and results are

accessible on our website (http://lbpg.upstate.edu/module_search/).

Results

Sample-wise deconvolution of RNA-seq data from bulk brain tissue of donors

with and without SCZ

Five CGs emerged from swCAM deconvolution of bulk tissue data. Their identities

were first annotated by enrichment testing of known cell-type marker genes. We

calculated the enrichment of marker genes of major brain cell types[27] (Supplemental

table 1) in the top cell-type differentially expressed genes (ctDEG) for each CG. Top

ctDEGs were selected by differential expression analysis of target CG versus the

remainder of CGs (log2FC>2, False Discovery Rate [FDR] q <0.05, Wilcoxon signed-

rank test). The five annotated CGs represented astrocytes, two neuronal groups, a

mixture of astrocytes, microglia, and endothelial cells, and oligodendrocytes (p value

<0.05, Fisher's exact test, Fig. 1A, Supplemental Fig. 2). We also used Expression

Weighted Cell Type Enrichment (EWCE) test as a replication for annotating CGs. We

applied EWCE to two snRNA-seq data sets[17,28] from the human frontal cortex and

tested to determine if ctDEGs are expressed more highly in a given cell type than

that by chance. The EWCE results were consistent with previous CG annotations

except for CG1 (Fig. 1B). Because CG1 was enriched for two glial cell subtypes in

the EWCE test of two snRNA-seq data sets, we defined CG1 as a mixture of

astrocyte and endothelial cells.

To test the expression similarity between estimated CGs and corresponding cell

types, we performed a Spearman correlation test between CG expressions and

snRNA-seq data from the human frontal cortex[28]. We corrected the batch effects

between the tested data prior to the correlation test. The three CGs that only

enriched for single cell types showed high correlations with corresponding cell types

(averaged rho_{neuron_CG2} =0.83, rho_{neuron_CG3} =0.81, $rho_{oligodendrocyte\_CG5}$=0.86, all three p

values < 0.05, Supplemental Fig. 3) The averaged correlation coefficient for two glial

mixture CGs was 0.55 (SD=0.15).

To further differentiate the two neuronal clusters, marker gene-sets of standard

neuronal subtypes were applied. We initially suspected that CG2 and CG3

represented inhibitory and excitatory neurons but were dissuaded because there was

not convincing statistical differentiation provided by marker genes of these neuron

types (Fisher's exact test p>0.05, Supplemental Fig. 4). We then speculated that

CG2 and CG3 may reflect the origin of specific cortical layers. With only two detected

neuronal CGs and six cortical layers, we took a quantitative approach in allocating

gene expression to gradient cortical layers: 770 *upper-layer (upperL) genes* were

expressed most abundantly in the uppermost layer (layer 1) steadily decreasing by

layer with the least expression in the layer 6, while 875 *deep-layer (deepL) genes*

were distributed in the opposite direction (FDR<0.05, linear regression). *UpperL* and

deepL genes corresponded to the CG2 and CG3 clusters respectively (Fisher's exact

test, p_{upperL}<2.2e-16, p_{deepL}=7.90e-10, Fig. 1C).

The expression data of manually isolated neurons from each of the six layers of the

middle temporal cortex[29] was used to validate the identity of neuronal CGs. We

calculated the CG-specific difference for each gene by comparing the expression of

CG2 and CG3 individually to that of the other CGs. Layer-specific differences were

also calculated for each gene by comparing the neuronal expression of one specific

layer to that of the other layers. CG-specific differences and layer-specific differences

were then tested for correlation. CG3-specific differences were highly correlated to

layer-specific differences of neurons from layers 1 through 3, while CG3-specific

differences were poorly correlated to layer-specific differences of neurons from layers

4 through 6. In contrast, correlations for CG2-specific differences ran in the opposite

direction (Supplemental Fig. 5). We also performed an EWCE test on this snRNA-

seq data. The EWCE results showed CG2 enriched for neurons from layer 4

(FDR<0.05) and layer 5 (FDR<0.05) and CG3 enriched for neurons from layer 2

(FDR=0.006), layer 3 (p=0.04, FDR=0.40), and layer 4 (FDR=0.002, Fig. 1D). Based

on these tests, we defined CG2 as representing deep-layer neurons (deepN) and

CG3 as upper-layer neurons (upperN). At this juncture, the gene expression profiles

for the five CGs were now garnered from the bulk brain tissue of donors with and

without SCZ, i.e., upperN, deepN, a mixture of astrocytes and endothelial cells

(ast_endo), oligodendrocytes (oli), and a mixture CG of astrocytes, microglia, and

endothelial cells (mic_ast_endo) (Fig. 1E).

Results of this swCAM deconvolution analysis were replicated with another sample-

wise deconvolution method, bMIND[30]. We applied bMIND to our tested data and

identified expressions of five CGs with similar composition to those identified by

swCAM. We used the Spearman correlation test to examine the similarity between

CG-specific expressions estimated by swCAM and bMIND. The correlation

coefficients were 0.85±0.03 (FDR<0.05) across corresponding CGs (Supplemental

Fig. 6A). The showed that our deconvolution results were robust to different methods.

swCAM deconvolution results were replicated on a second RNA-seq dataset of 605

brain samples[31]. We detected five CGs in the replication dataset. (Supplemental Fig.

7). Through marker gene enrichment tests and EWCE test, we found the five CGs in

these data were upperN (FDR of layer 2 neuron <0.05, p value of layer 1 neuron =

0.03), deepN (FDR of layer 4 neuron and layer 5 neuron <0.05), astrocyte

(FDR<0.05), a mixture of microglia and endothelial cells (FDR<0.05) and a CG

showing oligodendrocyte expression with non-significant p value (p =0.14). The

dominant CGs such as upperN and deepN CGs were consistently identified.

Generation of eQTLs for CGs

eQTL mapping was used to examine the genetic regulation of CG-specific

expression. We included 72 additional brain samples from individuals with bipolar

disorder (BD) to increase the sample size. Since we regressed out the affection

status, the inclusion of BD patients did not interfere with the eQTL mapping results.

The same five CGs were still detected by swCAM deconvolution after adding the BD

samples. After removing known and hidden covariates in the CG-specific

transcriptome, we focused on local eQTLs (cis-eQTLs), searching for any

associations between individual expression of genes with common variants within ±1

Mb of the gene body region. In total, we identified 1,878,815 genome-wide significant

eQTLs (FDR q < 0.05). After subtracting shared eQTL across all CGs, we observed

more eQTL signals in neuronal CGs (both of upperN and deepN) than in glial CGs

(Fig. 2A). The number of single nucleotide polymorphisms of eQTL (eSNPs) of

neuronal CGs (n=302,608) was more than that of eSNPs of oligodendrocyte CGs

(n=80,229). No eQTL survived multiple testing corrections in mixture CGs of

astrocyte and endothelial cell data. We annotated the genomic regions for eSNPs

with Ensembl annotations using ANNOVAR software[32]. The majority of eSNPs were

located in intergenic and intronic regions within non-coding RNAs (Fig. 2C).

To examine the replication rate of eQTLs of the three CGs enriched for singular cell

types (deepN, upperN, and oli), we compared our results to a sorted-cell study[33],

which profiled the gene expression and genotype of neurons (n =42) and

oligodendrocytes (n =36). Using the same eQTL mapping pipeline described earlier,

we calculated the eQTLs using these replication data. From this small sorted-cell

dataset, we could identify 644 eQTLs for neurons and 190 eQTLs for

oligodendrocytes (FDR q<0.05). We used $\pi1$ from Storey's method[34] to calculate the

proportion of replicated eQTLs. We applied this method to the nominal p-values of

replicated eQTLs in sorted cell populations, uncorrected for multiple comparisons.

We found that upperN ($\pi1$=0.56) and deepN ($\pi1$=0.55) had greater reproducibility

than oli ($\pi1$=0.35). Because $\pi1$ is the estimated proportion of the true alternative

hypothesis, it has loose criteria for power and p-values. We used replication rate to

quantify the replications, which was calculated by the ratio of replicated eQTLs

among eQTLs in sorted populations with the criteria FDR<0.05. We found 29% of the

neuron eQTLs were specifically replicated in neuronal CGs and 15% of the

oligodendrocyte eQTLs were replicated in all CGs (Supplemental Fig. 8).

We compared our eQTL results with CG-specific eQTLs identified by bMIND. We

found our results were well replicated by bMIND, with the $\pi 1$ >0.98 for all CGs

(Supplemental Fig. 6B). We also compared our results with eQTLs from bulk brain

tissue samples from BrainGVEX. CG-specific eQTLs showed high reproducibility in

the BrainGVEX dataset ($\pi 1$ >0.97 in four CGs, $\pi 1$= 0.92 in mic_ast_endo, Fig. 2B).

Neuronal CGs and a mixture CG containing astrocyte and endothelial cells

explain the risk heritability of SCZ

To associate brain cell type with SCZ, we used CG-specific eQTLs to assess the

SCZ GWAS risk heritability. We hypothesized that if a CG is associated with SCZ,

their eQTLs will explain greater GWAS heritability. To test this hypothesis, we applied

stratified linkage disequilibrium (LD) score regression[35] (sLDSC) on GWAS summary

statistics and CG-specific eQTLs to partition risk heritability from summary statistics.

The SNP-based heritability analysis showed neuronal CGs and a CG mixture

containing astrocyte and endothelial cells significantly enriched for risk heritability of

SCZ (Fig. 3A). The upper-layer neuron CG explained 19.24% of heritability with 14%

SNPs (adjusted p value=2.97e-04, Fig. 3B). The deep-layer neuron CG explained

19.03% of heritability with 14% SNPs (adjusted p value=4.81e-04). Mixture CG of

astrocyte and endothelial cells explained 12% of heritability with 8% of SNPs

(adjusted p value=1.04e-03). These results suggest the regulatory regions in the

neuronal CGs and a mixture CG of astrocyte and endothelial cells have a higher

proportion of SCZ common variants than that in oligodendrocyte CG and a CG

mixture containing microglia, astrocyte, and endothelial cells. We also compared

enrichment score in bulk tissue eQTLs and CG-specific eQTLs. We found the

enrichment scores at bulk level (1.29±0.07) and cell levels (1.42±0.17) were very

close (Fig. 3A).

Decreased proportion of upper-layer neuron CGs from donors with SCZ

To determine if the proportion of each CG was altered in the brains of patients with

SCZ, we estimated the proportion of CGs for each sample using CAM. The

proportion of upperN was reduced by 2.76% in patients with SCZcompared with

controls, and the mixture CG of mic_ast_endo increased by 0.8% in patients with

SCZ (Wilcoxon signed-rank test, p value<0.05, Fig. 4A). Other CGs did not show

significant change. The proportional change in upper-layer neurons was statistically

significant in a permutation test (p<0.001, Supplemental Fig. 9).

Based on our testing for genetic enrichment, we hypothesized that the changes in

CG proportion could arise from genetic risk variants. We used two strategies to test

this hypothesis. First, we performed QTL mapping using CG proportions as the

phenotype, but we did not detect any significant CG proportion-QTL signals. Second,

we used polygenic risk score (PRS) as a measure of genetic risk burden for each

individual and tested the correlation between PRS and CG proportions. We observed

weak negative correlations between SCZ PRS and the upperN proportion and the p

value did not survive multiple testing (r=-0.1, p value=0.04, FDR=0.1, Fig. 4B).

CG-specific differential gene expression in SCZ

We further analyzed CG-specific differential expression comparing individuals with

and without SCZ using Wilcoxon signed-rank test with significance by FDR q <0.05,

(Supplemental Table 2). We compared our results with differential expression results

from bMIND and observed significant consistency (Fisher's exact test p value<0.05,

Supplemental Fig. 6C). We found substantial overlap in differentially expressed

genes (DEG) across five CGs, with 330 upregulated genes and 344 downregulated

genes shared across five CGs (Supplemental Fig. 10). The number of shared DEGs

across all CGs was greater than the number of CG-specific DEGs, except for

upregulated genes in CG mic_ast_endo, with 367 genes. Approximately 33% of the

genes (n=14,865, Gencode v19) were affected in at least one CG in the SCZ cohort.

The two neuronal CGs possessed greater transcriptional changes than the three glial

CGs, (fold change: 0.20 in neuronal CGs versus 0.09 in glial related CGs, p

value<2.2e-16, Kolmogorov–Smirnov test). We performed permutation tests to

determine the empirical probability of transcriptional changes in CGs. We found that

the fold changes observed in all CGs were significant (p<0.001). Larger fold changes

in neuronal CGs than that in the glia CG were also significant in permutations

(p<0.001, Supplemental Fig. 11).

We used Gene Ontology (GO) enrichment to annotate the function of DEGs (Table

1), focusing on the functions of dysregulated genes in neuronal groups. A total of

1,537 downregulated DEGs were detected in the upperN. These were enriched for

protein folding (FDR q= 3.20e-09) and antigen processing (FDR q= 8.01e-05). The

1,407 downregulated DEGs within deepN were enriched for positive regulation of cell

proliferation (FDR q= 2.24e-06) and trans-synaptic signaling (FDR q=4.10e-06).

Upregulated genes in both the upperN (n=229) and deepN (n=223) GCs were

enriched for carboxylic acid breakdown (FDR q_{deepN}=1.04e-06, FDR q_{upperN} =6.93e-

08).

Transcriptome-wide association analysis identified SCZ-associated genes in all

CGs

To determine which genes had CG-specific dysregulated expression driven by

genetics, we performed a TWAS analysis using the CG-specific eQTLs. We identified

51 SCZ risk genes across 43 genetic loci (adjusted p value<0.05, Supplemental

Table 3). We compared our TWAS results to the 193 SCZ risk genes identified in bulk

tissue by TWAS in Gandal et al[16]. Twelve genes in our results had been previously

identified in Gandal's results. Our analysis attributed these 12 genes to all 5 CGs:

deepN (*CYP17A1-AS1, AS3MT, CSPG4P11, EMB*), upperN (*CYP17A1-AS1, AS3MT,*

CSPG4P11, EMB, GATAD2A, NAGA, RP11-73M18.8, TYW5), ast_endo (*RP11-*

73M18.8, CYP17A1-AS1, EMB), oli (*SNX19, AC011330.5, CSPG4P11, EMB*), and

mic_ast_endo (*BTN3A2, TOM1L2, CSPG4P11*). Additionally, 39 CG-specific TWAS

genes are novel to bulk tissue-based TWAS.

Out of the 51 TWAS genes, 17 genes are in deepN and 30 in upperN. Eight genes

existed in both subtypes (*CYP17A1-AS1, AS3MT, PIGB, GOLGA6L9, CSPG4P11,*

CNPPD1, EMB, ENDOG). Twenty-two genes were uniquely identified in upperN

(*DIABLO, C12orf65, RP11-73M18.8, STRC, AP3B2, WHAMM, SLX1B, ARL17B,*

GATAD2A, RPS5, ADAM15, RP1-130H16.16, WBP2NL, NAGA, ALMS1, TYW5,

ITIH4, TMEM110, SFMBT1, NDUFAF2, BTN2A1, DNPH1). Nine genes were

identified in the deepN only (*CWF19L2, MORN3, TIE1, RBBP5, CBR3, RFTN2,*

RP11-10L12.4, REEP2, STK4). Twenty-four TWAS genes are specific to glial CGs (9

from ast_endo, 10 from oli and 5 from mic_ast_endo).

Co-expression network analysis refined CG-specific biological processes

associated with SCZ

To investigate the coordinated expression changes in each CG, we performed

weighted gene correlation network analysis[36] (WGCNA) on CG-specific expressions

(Supplemental Table 4). For this study, we identified *CG-specific modules,* a group of

genes showing concordant variation across samples in one specific CG. Modules of

upperN and deepN were highly consistent. In total, we identified eight CG-specific

modules out of the 149 detected modules. Seven of the eight showed either

differential expression of eigengenes in SCZ or enrichment of SCZ GWAS signals.

These seven modules were defined as "SCZ-associated modules" (Table 2).

The neuronal CGs shared five of the seven SCZ-associated modules (Fig. 5). The

eigengenes of two modules showed upregulated expression in SCZ: neuron_ME28

(log2FC=0.02, FDR=6.8e-03) and neuron_ME30 (log2FC=0.03, FDR=6.3e-07).

These two were enriched in the pathways of neural stem cell maintenance and

carbohydrate transmembrane transport (FDR q<0.05). The other three modules

showed only enrichment of GWAS signals (neuron_ME32, neuron_ME27, and

neuron_ME29)and were enriched within pathways of regulation of actin filament

polymerization, regulation of dendritic spine morphogenesis, and SWI/SNF

superfamily-type complex, respectively (FDR q<0.05).

The other two SCZ-associated modules were specific to glial CGs. In the mixed CG

of astrocytes and endothelial cells, we identified ast _endo_ME28, enriched for SCZ

GWAS signals, and involved with the RNA splicing pathway (FDR q<0.05). In the

oligodendrocyte CG-related module (oli_ME14), the eigengene was downregulated in

SCZ (log2FC=-0.01, FDR=0.04). Member genes in this oli_ME14 were involved in

neuron ensheathment (FDR q<0.05).

Discussion

Combining CG-specific eQTL analysis and GWAS can reveal *how* (the regulation of gene expression) and *where* (within which CGs) variants contribute to disease risk. Recent studies have employed this approach identifying cell-type-specific eQTLs in blood that have heightened risk heritability for immune-related diseases[37,38] However, cell-type-specific eQTLs for human brains are not available and are difficult to obtain. To bridge genetic findings of SCZ to brain cell types, we generated CG, a proxy of cell type, using an unsupervised deconvolution method. We then mapped CG-specific eQTLs using computationally partitioned gene expression specific to each CG per individual. Based on GWAS data, we found enrichment for risk heritability in the eQTLs of upper-layer DLPFC neuronal CGs (upperN). Furthermore, we found that the upperN CGs also displayed dramatic gene expression changes and altered cell proportions in the individuals with SCZ.

Our results are consistent with previous bulk tissue studies of human and cell-type-specific studies of mice in implicating neurons in the risk for SCZ. In a case-control study of bulk brain tissues, Gandal et al. showed the neuron-specific co-expression module to be downregulated in SCZ[16]. Skene et al. calculated the neuronal specificity of the human-homologous gene in mouse scRNA-seq data and correlated this specificity to risk heritability enrichment within the genetic region[39]. They found that four neuron subtypes in the mouse brain (i.e., medium spiny neurons, pyramidal cells in hippocampal CA1, pyramidal cells in the somatosensory cortex, and cortical interneurons) are associated with SCZ. Our study expands upon those findings, confirming that a subtype of neurons, the group of upper-layer neurons in human DLPFC, are associated with SCZ.

Our study provides genetic support for an association between the upper-layer neurons of the DLPFC and SCZ. This is supported by the enrichment of GWAS signals, dysregulated gene expression, and decreased proportions within the upperN CGs. Previous research has shown upper-layer neurons of the DLPFC are over-represented in humans compared with other species,[40] and to be actively engaged in the delayed activity of working memory[41], the impairment of which is a major feature of SCZ. Some phenotypes of upper-layer neurons within the DLPFC have been reported to be associated with SCZ in terms of cell shape[42], dendritic abnormalities[43,44], and candidate mRNA expression[45]. However, no genetic evidence that we are aware of to date has pinpointed upper-layer neurons as having a role in SCZ risk. In this study, we observed that GWAS risk variants contribute to SCZ by altering gene expression within the upper-layer neurons. Our coexpression network analysis and TWAS analysis further narrowed down specific genes and pathways. Therefore, we suspect that previously reported phenotypic changes[42-46] within the upper-layer neurons of patients with SCZ may be rooted in these genetic variants and their related gene expression regulation.

Our findings of SCZ-associated changes in upper-layer neurons were validated by multiple lines of experiments from other studies. In a recent snRNA-seq study of cortical layers from seven SCZ patients and 11 controls, the selective vulnerability of supragranular layer neurons was revealed in SCZ in terms of dramatic transcriptomic changes and cell proportion changes[47]. A decreased proportion of upper-layer neuron subtypes was also observed in mouse model SCZ-predisposing 22q11.2 microdeletion[48] and human postmortem brains[49,50]. Moreover, the functional mechanism of a differential expressed gene in upper-layer neuron CYFIP1 (log2FC=-0.13, FDR=0.0004) has been discovered in the mouse cortex. It has been reported that CYFIP1 deficiency causes upper-layer neurons to present in deep layers and

deep-layer neurons to present in upper layers[51]. Moreover, the changes in upper-

layer neurons also have been noted in association with another psychiatric disorder,

autism, based on snRNA-seq study[18]. In summary, this study strengthened the

findings of upper-layer neuron dysfunction in SCZ and provided more detailed cell-

subtype evidence based on a relatively large brain collection. It provides strong

support to previous studies of animal models, cell models, and brain transcriptome

studies with limited samples.

Our findings suggest the SCZ-associated changes in upper-layer neurons may occur

in neuron development. Neuron development in human brain includes proliferation,

differentiation, neuron migration and synaptic formation. This process is coupled with

substantial transcriptome changes[52]. We found that the DEGs in upperN were

enriched in the pathways of cell proliferation and trans-synaptic signaling, which has

previously been suggested as involved in SCZ risk. For example, the disrupted

proliferation of neurons has been reported in primate and murine models of SCZ[53].

Five TWAS genes in upperN are related to neuronal development as well: *AS3MT,*

CSPG4P11, ADAM15, STRC, and WHAMM. For example, *AS3MT* participates in

neural stem cell differentiation and is a risk gene for SCZ[54]. *CSPG4P11* is also an

eQTL gene in fetal brains, and its expression has also been linked to SCZ risk[55,56].

ADAM15, STRC, and *WHAMM* function in cell-matrix adhesion, which is linked to

neurodevelopment and SCZ[57,58]. Therefore, our findings support the hypothesis that

the disturbed gene expression in neurodevelopment is involved in SCZ molecular

pathology. While our study cannot provide causality of these genes in SCZ pathology,

future functional experiments in cells and useful animal models could potentially

confirm the functional mechanism of genes.

While swCAM yielded reproducible unsupervised deconvolution results, particularly

for neuronal CGs, it also has its limitations. The CGs estimated by swCAM represent

groupings of cells with similar transcriptional profiles. Correspondingly, swCAM has

relatively poor resolution in separating rare cell types. Glial subtypes with small

compositions, such as microglia, have been particularly challenging. As single-cell

data are accumulating, a hybrid model integrating a suitable reference may boost

swCAM's resolution. Despite these constraints, swCAM enhances the merit of

existing bulk-tissue data by deriving expression for major CGs. With this method, it is

possible to determine whether disease-related gene expression is a feature of

specific CGs or a pattern of composite CGs. Furthermore, gene networks and

genetic regulatory relationships can emerge that may help to ferret out hidden clues

to the molecular mechanisms of complex psychiatric disorders.

Conclusion

Of five clusters of cell types estimated by gene expression *in silico*, the upper-layer

neurons within the DLPFC display distinctive enrichment of risk heritability and

transcriptional changes in the brain tissue of donors with SCZ. Furthermore,

decreased proportion of upper-layer neurons relative to al cell groups was observed

within the SCZ brain. The uniquely dysregulated genes and pathways associated

with upper-layer neurons, particularly those related to neurodevelopment, should be

targeted in future experimental studies and quantitative analysis of SCZ to further

understand the molecular mechanism of SCZ.

Materials and methods

Brain tissues

The PsychENCODE/BrainGVEX project has 427 postmortem DLPFC samples,

including healthy controls, BD and SCZ patients. Brain samples originated from the

Stanley Medical Research Institute (SMRI) and the Banner Sun Health Research

Institute (BSHRI). Detailed information can be found at

https://www.synapse.org/#!Synapse:syn4590909.

RNA sequencing

Total RNA was isolated from brain tissue at the University of Illinois at Chicago and at

the University of Chicago with the Qiagen miRNeasy mini kit. Quality of RNA samples

was measured with an Agilent Bioanalyzer RNA 6000 Nano assay kit and all samples

had a RIN score of 5.5 or greater. All total RNAs were processed into stranded,

rRNA-depleted libraries for sequencing in an Illumina HiSeq2000. Libraries were

triplexed per lane to reach 40 million paired-end reads per library. Details of library

preparation and RNA sequencing can be found in our previous study[16].

Data processing

Data processing included three steps: reads processing, quantification, and post-

quantification processing. Fastq files underwent adapter removal using cutadapt

(https://pypi.python.org/pypi/cutadapt), after which the resulting adapter-trimmed

fastq files were checked for quality using FastQC

(http://www.bioinformatics.babraham.ac.uk/projects/fastqc/). A subset of 10,000 reads

estimated the insert mean size and standard deviation for use with Tophat. STAR

was used to align trimmed reads to the GENCODEv19 reference (modified to include

artificial ERCC RNA ExFold spike-in sequences). BAM files were sorted in samtools

(v1.3). Expression level was then calculated using RSEM (v1.2.29). Quality control

metrics were calculated using RNA-SeQC (v1.1.8), featureCounts (v1.5.1),

PicardTools (v1.128), and Samtools (v1.3.1). The quantified fragments per kilobase

of transcript per million mapped reads (FPKM) were used for downstream analysis.

Mitochondrial genes, pseudoautosomal genes, and genes with FPKM fewer than 1 in

more than 75% of samples were dropped. We calculated the distance between

samples and removed samples with a z-score of normalized connectivity to other

samples lower than -2. After filtering, 341 samples and 14865 genes were retained

for subsequent analyses. Linear regression removed the effect of covariates

including age, sex, RIN, PMI, brain bank, batches, and principal components of

sequencing statistics (seqPC). The seqPCs was composed of the top 29 principal

components analyzed from the sequencing statistics. Covariates were selected by

multivariate adaptive regression splines (MARs).

Genotype processing

Genotypes were called from three platforms, including 277 samples by whole

genome sequencing, 257 samples by Psych v1.1 beadchips, and 137 samples by

Affymetrix 5.0 450K. Genotypes were imputed by Michigan Imputation Server using

HRC (r1.1 2016) EUR samples as references from each platform. Variants with R

squared less than 0.3 or HWE (Hardy-Weinberg Equilibrium) p-value less than 1e-6

were removed. We used DRAMS software[59] to correct mixed-up data IDs for the

three platforms based on relationships across various omics data. We had 251

samples genotyped by whole genome sequencing and beadchip. The genotypes

called from the two platforms were highly consistent (r= 0.986). Genotypes of the

three platforms were combined, with discordant genotypes marked as missing.

Polygenic risk scores were calculated using PRSice (2.1.4) with GWAS summary

data from Ripke et al[2] as base data set.

527 *Sample-specific deconvolution*

To isolate CGs *in-silico*, we developed a novel sample-wise deconvolution technique

(swCAM[26]), applying it to the processed RNA-seq data. The original CAM framed the

deconvolution of mixture expression to solve the blind source separation problem

(sBSS), which was accomplished by nuclear norm regularization. The basic

assumption in the deconvolution was

X=AS+E (1)

which is the mixture sample being the weighted sum of the CG-specific expression S.

In the classic deconvolution method, S is a common matrix for all mixture samples.

However, each sample may have its sample-specific S_i:

S_i= + ΔS_i (i=1, …, M) (2)

The associated sample-specific BSS model is given by

x_i=a_i (+ ΔS_i) + e_i (3)

If some samples have a group pattern in a particular source or some molecules'

expression are more highly correlated in one particular source, the associated

samples may share a similar pattern of ΔS_i, leading to the following swCAM objective

function:

$\min \frac{1}{2}\sum_{i=1}^{M}||x_i - a_i(\bar{S} + \Delta S_i)||_2^2 + \lambda \sum_{k=1}^{K}||T_k||_*$ (4)

Where T_k consists of the kth column in all ΔS_i, representing between-sample

variability in source K; $||T_k||^*$ is the nuclear norm of T_k. λ is the regularization

parameter of nuclear norms. We solved equation (4) using quadratic programming

and the alternating direction method of multipliers (ADMM). Code of swCAM can be

accessed at https://github.com/Lululuella/swCAM.

We used CAM to estimate the CG proportion in an unsupervised manner. The

processed expression data was the input of CAM. The minimum description length

(MDL) algorithm was used in CAM[21] to automatically determine the number of CGs

(K).   MDL is a widely-adopted and consistent information theoretic criterion in model

selection. The shortest MDL denotes the best model. Shortest MDL was shown when

K=5 for the data. The proportions of five CGs and processed RNA-seq data were

entered into swCAM to infer the CG-specific expression for each sample. To obtain

the top ctDEGs and thereby differentiate the CGs, Wilcoxon signed-rank test

determined the difference of expression in each CG (log2FC>2, FDR<0.05).

Processing of single-cell datasets

Three single-cell datasets provided further confirmation of CG identities[28,29,60]. We

downloaded raw counts from frontal cortices and used the Seurat package (v2.0) for

data processing[61]. We filtered out the following: genes expressing in less than half of

the smallest CGs, cells with unique feature counts (i.e., >5,000 and <200), and cells

with mitochondrial counts >5%. The data were then normalized by library size and

log-transformed. The cell identities from the original studies were used for cell

clustering. The averaged expression was calculated to represent gene expressions

for the specific cell type.

Processing the ROSMAP dataset for replication

The synapse website (10.7303/syn3388564) provided a replication dataset with 640

postmortem human DLPFCs from the Religious Orders Study and Memory and Aging

Project (ROSMAP)[31]. Gene expression was measured by RNA-seq. The downloaded

raw expression matrix was quantified in FPKM. After quality control, the data retained

19,144 genes and 605 samples. Expression was log2-transformed and normalized

by quantile normalization. The confounder effects from age, sex, diagnosis,

sequencing batch, study, ancestry, education year, and PMI were removed by linear

regression.

Cell group identification

We used two strategies to annotate the CGs; gene sets enrichment and correlation

with scRNA-seq data. In the identification of five general CGs, the marker genes of

five major cell types were collected from Zhang et al[27] and the marker genes for

inhibitory neurons and excitatory neurons were collected from Lake et al[63]. Fisher's

exact test was used to test the enrichment of marker genes in CGs. Meanwhile,

Spearman correlation testing with scRNA-seq datasets[28,60] was used to measure

similarities between CG expression and that of known cell types. To identify genes

expressed in gradient cortical layers, we downloaded RNA-seq data across human

DLPFC layers from the He et al. study[64]. Gene expression was set as a linear

regression function for DLPFC layers (from layer 1 to layer 6). Genes with coefficient

>0 and FDR <0.05 were named "deep-layer genes." Those with coefficient <0 and

FDR <0.05 were named "upper-layer genes". scRNA-seq data used for correlation

testing with neuronal CGs was downloaded from https://portal.brain-map.org/atlases-

and-data/rnaseq, which provided neuronal expression for the multiple layers of

human middle temporal gyri[29]. Spearman correlation test was performed on layered

neuronal expression and that of CG2 and CG3.

Expression Weighted Cell Type Enrichment[65] (EWCE) test was used as a replication

of CG annotations. The purpose of EWCE is to determine the chance of a target

gene list having higher expression in a specific cell type than a random gene list. The

ctDEGs identified in each CG were used as target lists. Two snRNA-seq data sets

from frontal cortex[17,28] and one snRNA-seq data set from six cortical layers[29] were

used as tested data. The test was repeated 10000 times. The p values were

corrected by the Benjamini-Hochberg method.

CG-specific differential expression

Differential expression analysis was conducted in each CG with the Wilcoxon rank-sum test. The p values were corrected by FDR. Genes with FDR q value <0.05 were identified as differentially expressed genes. To calculate the empirical probability of observed fold changes, we conducted a permutation test as follows. In each CG, we permutated the case/control labels and calculated the fold changes of case-control differential expression. The permutation test was repeated 1000 times.

Pathway analysis

Gene ontology enrichment tests for biological processes, molecular function, and cellular components were performed using gProfileR[66], with *p*-values FDR-corrected.

CG-specific eQTL mapping

To identify CG-specific eQTLs in the brain, we performed cis-eQTL mapping using package FastQTL[67].   eQTL mapping was performed independently for each CG. We used CG-specific expression estimations from swCAM as phenotype data. The phenotype data were gene expression matrixes for five CGs (five matrixes formatted in genes * samples). A cis-window was defined for genes in each CG as 1 mega base up- and down-stream of the gene body. Each CG expression matrix was adjusted for hidden covariates using PEER[68] with FDR-corrected *p*-values. eQTLs with FDR <0.05 were retained.

To compare CG-specific eQTLs with sorted-cell eQTLs and bulk brain eQTLs, we

used Storey's Qvalue package[34] and replication rate. The proportion of true

associations (π1) was estimated for significant CG-specific eQTLs in the sorted-cell

eQTLs/BrainGVEX DLPFC eQTLs. With the distribution of corresponding *p* values for

the overlapping eQTLs, we calculated π0, i.e., the proportion of true null associations

based on distribution. Then, π1 = 1 - π0 estimated the lowest bound for true-positive

associations. The replication rate is the ratio of replicated eQTLs with FDR<0.05

among all significant eQTLs in sorted cell populations.

Heritability estimation with GWAS summary statistics

Partitioned heritability was measured through LD Score Regression v1.0.0[69],

identifying enrichment of GWAS summary statistics among CG-specific eQTLs by

accounting for LD. CG-specific eQTL annotation files were created by eSNPs

detected in each CG. The annotation file was produced by marking all HapMap3

SNPs that fell within the eQTL annotations. LD-scores were calculated for SNPs

within the file (LD window of 1cM). The LD reference panel was downloaded from

1000 Genomes European Phase Three. SNP LD-scores were interwoven in the

computation of partitioned heritability (proportion of h^2). Enrichment for each

annotation file was calculated by the proportion of heritability explained by each

annotation file divided by the proportion of SNPs falling in that annotation file.

Enrichment *p* values were then corrected by multiple testing.

Estimation and case-control comparison of cell proportions

To compare the CG composition in SCZ patients and healthy controls, we used CAM

to estimate the proportion of each CG. Wilcoxon rank-sum test was used to compare

the CG proportions between SCZ patients and healthy controls. FDR correction was

performed on the p values. To determine the empirical probability of proportion

changes in upper-layer neuron CG, we permutated the case/control labels and

calculated the case-control proportion changes in upper-layer neuron CG. This

process was repeated 1000 times.

Transcriptome-wide association study

We performed TWAS analysis on the GWAS summary statistics[70] and the CG-

specific eQTLs using the TWAS/FUSION package[9]. To identify risk genes with

evidence of genetic control, we used genome-wide complex trait analysis to estimate

cis-SNP heritability h2g (±1MB window around gene body). We identified genes with

significant h2g (nominal p-value <0.05), which were used to calculate the SNP-based

predictive weights per gene. Using the FUSION package, we calculated five-fold

cross-validation of five models of expression prediction and evaluated the prediction

models for accuracy. The five models are best cis-eQTL, best linear unbiased

predictor, Bayesian sparse linear mixed model [BSLMM], and elastic-net regression,

LASSO regression. The model with the largest cross-validation R^2 was chosen for

downstream association analyses. TWAS statistics were calculated using different

cell-group weights, LD SNP correlations from the 1000 Genomes European Phase 3

reference panel. TWAS association *p* values were FDR-corrected and were corrected

for multiple CGs.

Gene co-expression analysis

We constructed the gene co-expression network for each CG using Weighted Gene

Co-Expression Network Analysis (WGCNA) on deconvoluted CG-specific expression

data. A correlation matrix was calculated for the genes, which was then weighted

after a scale-free topology was approximated. The following weighted powers were

chosen for the respective CGs: 12 for ast_endo and deepN, 14 for upperN,

mic_ast_endo, and oli. The signed adjacency, biweight midcorrelation, and

blockwiseModules parameters were selected to build the network. Other parameter

settings included the following: mergeCutHeight= 0.1, minModuleSize= 30,

pamStage=FALSE, and deepSplit=4.

Associating the gene modules with SCZ

Two strategies were used to test the association between gene modules and SCZ.

First, we constructed a linear model in which the dependent variable is the module

eigengene across all samples and the independent variable is the disease state for

the samples (FDR-adjusted *p* value<0.05). Second, we tested the correlation

between GWAS significance and the module membership (kME), which is the

expression correlation between each module member gene and the module

eigengene. GWAS significance of each module member gene was calculated by

MAGMA v1.06[71] using the European subset of the 1000 Genomes as a reference

panel for LD. An annotation step was performed first in which GWAS significant

SNPs were mapped to genes, based on the presence of a GWAS significant SNP in

the region between a gene's start and stop sites +/- 10kb. A competitive gene-set

analysis was then performed using sets defined by gene co-expression modules.

Resulting *p* values were FDR-corrected for multiple comparisons. Modules meeting

any of the two criteria above were defined as "SCZ-associated modules".

Gene module preservation test

To test the similarity among the CG-specific modules, we tested preservation among

the modules detected per CG using $Z_{summary}$. The network-based preservation test

generated two types of statistics: 1) density-based preservation statistics to

determine whether the nodes in the reference network were still highly connected in

the test network; 2) connectivity-based preservation statistics to determine whether

the connectivity pattern in the reference network was preserved in test network. We

applied $Z_{summary}$ test by Peter Langfelder et al[72], in the formula

$$Z_{\mathrm{summary}} = \frac{Z_{density} + Z_{connectivity}}{2}$$

$Z_{density}$ summarizes density preservation statistics, and $Z_{connectivity}$ summarizes

connectivity-based statistics.

Data availability

Access to the raw BrainGVEX data is controlled by the NIMH Repository and

Genomics Resources (NRGR), https://www.nimhgenetics.org/. Instructions can be

found in the PsychENCODE Knowledge Portal:

https://www.synapse.org/#!Synapse:syn4921369. Deconvoluted data can be reached

at http://lbpg.upstate.edu/module_search/. Source data are provided with this paper.

Code availability

Scripts used in the data analysis for this manuscript can be found at GitHub:
https://github.com/RujiaDai/CellSpecificAnalysis. Code for swCAM can be found at
https://github.com/Lululuella/swCAM.

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736 Data processing: R.D., S.L., Y.J. Bioinformatics analysis: R.D., S.L., Y.C, J.D.

737 Algorithm development: L.C., C.W., G.Y., Y.W. Web design: R.D., Q.W. The

738 conception of study design: R.D., S.L, C.C., C.L. Writing of the manuscript: R.D.

739 Substantive revisions of the manuscript: R.D, C.L., C.C., Y.W., R.K.

741 Competing interests

742 The authors have no competing financial interests to declare.

744 Additional information

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 Figures and tables

Fig. 1 Cell-group-specific expression for each individual. (A) Expression of brain cell-type
marker genes per individual estimated cell groups (CGs). Oli: oligodendrocytes, Mic:
microglia, Ast: astrocytes, Endo: endothelial cells. (B) Annotation of CGs with Expression
Weighted Cell Type Enrichment (EWCE) test. Two snRNA-seq data sets from human frontal
cortex were used. The black asterisks denote enrichment p value <0.05. (C) Gradients of
gene expression across cortical layers in deconvoluted neuronal CGs. The red column
denotes upper-layer genes (upperL genes), which express in a decreasing gradient from
layers 1 to 6, and the blue column denotes deep-layer genes (deepL genes), which express in
an increasing gradient from layers 1 to 6 (FDR<0.05, Spearman correlation). (D) EWCE

results showed neuronal CGs enriched in neurons of different cortical layers. The black

asterisks denote enrichment p value <0.05 and the yellow asterisk denotes nominal p value

<0.05. (E) tSNE plot of deconvoluted groups, color-coded by the annotated CG. Each dot

denotes one deconvoluted cell within a sample. Ast_endo: mixture CG of astrocyte and

endothelial cells, deepN: deep-layer neuron CG, upperN: upper-layer neuron CG,

mic_ast_endo: mixture CG of microglia, astrocyte, and endothelial cells, oli: oligodendrocyte

CG.

Fig. 2 Summary of cell-group-specific eQTLs. (A) The number of eQTLs and eSNPs detected in each cell group (CG) (FDR corrected p value<0.05). (B) The similarity between CG-specific eQTLs, bulk brain tissue eQTLs, and single-cell eQTLs, evaluated by π1 values. (C) Genomic annotation of eSNPs. Ast_endo: mixture CG of astrocyte and endothelial cells, deepN: deep-layer neuron CG, upperN: upper-layer neuron CG, mic_ast_endo: mixture CG of microglia, astrocyte, and endothelial cells, oli: oligodendrocyte CG.

Fig. 3 Genetic risk heritability enrichment in cell groups. GWAS heritability was
partitioned in five estimated cell groups (CGs). The SNPs of CG-specific eQTLs (FDR
corrected p value<0.05) were cataloged in annotated files in sLDSC. (A) the SCZ risk
heritability enrichment in different categories (calculated by the proportion of heritability (h2) /
proportion of SNPs) and (B) the h2 explained by different categories. The asterisk denotes a
corrected p value <0.05 in the enrichment test. ast_endo: mixture CG of astrocyte and
endothelial cell, deepN: deep-layer neuron CG, upperN: upper-layer neuron CG,
mic_ast_endo: mixture CG of microglia, astrocyte, and endothelial cells, oli: oligodendrocyte
CG.

Fig. 4 Proportion per cell group and association with SCZ. (A) Proportions of the five cell

groups (CGs) for donors with and without SCZ, estimated with the CAM method. The asterisk

denotes corrected p-values of the Wilcoxon rank-sum test <0.05. (B) Spearman correlation

between polygenic risk score (PRS) and CG proportion. The red asterisk denotes corrected p-

values of correlation test <0.05 and the yellow asterisk denotes nominal p value <0.05.

Ast_endo: mixture CG of astrocyte and endothelial cells, deepN: deep-layer neuron CG,

upperN: upper-layer neuron CG, mic_ast_endo: mixture CG of microglia, astrocyte, and

endothelial cells, oli: oligodendrocyte CG.

841 **Fig. 5 Neuron-specific co-expression modules related to SCZ.** Top 10 genes ordered by

842 module membership (kME, i.e., correlation between gene expression and module eigengene)

843 are shown for each neuron-group-specific module.

855 **Table 1 Summary of cell group-specific differential gene expression**

Cell group	#Upreg-ulated gene	#Downreg-ulated gene	Mean ($\|\log_2$fold-change$\|$) ± sd	Most prominent functional pathways of upregulated genes	Most prominent functional pathway of downregulated genes
ast_endo	950	1660	0.11±0.18	carboxylic acid catabolic process	defense response
deepN	1254	1297	0.22±0.26	organic acid metabolic process	positive regulation of cell proliferation
upperN	1304	1882	0.26±0.25	carboxylic acid catabolic process	protein folding
mic_ast_en do	1197	1083	0.11±0.18	regulation of cellular component size	positive regulation of immune system process
oli	747	1445	0.14±0.18	modulation of chemical synaptic transmission	positive regulation of immune system process

Ast_endo: mixture CG of astrocytes and endothelial cells, deepN: deep-layer neuron CG, upperN: upper-layer neuron CG, mic_ast_endo: mixture CG of microglia, astrocyte, and endothelial cells, oli: oligodendrocyte CG.

869 **Table 2 Cell group-specific co-expression modules**

Cell group	Module name	Trait association	GWAS enrichment	Representative GO term (FDR<0.05)	Top 3 hub genes
ast_nedo	Ast_endo _ME28	-	SCZ2014, Education, IBD	RNA splicing	SEC62, PPIG, PNN
neuron	neuron_ ME28	SCZ↑	SCZ2014, Education	neuronal stem cell population maintenance	TSC22D1, ENTPD3, SYNE1
	neuron_ ME29	-	SCZ2014, Education	SWI/SNF superfamily-type complex	WDR48, STOML2, OS9
	neuron_ ME30	SCZ↑	SCZ2014, Education, Neuroticism	carhydrate transmembrane transport	FKBP5, SORT1, WIPF3
	neuron _ME32	-	SCZ2014, Education	negative regulation of actin filament polymerization	GRIA4, CUX2, EPHX4
	neuron _ME27	-	SCZ2014, Education, Neuroticism, BD2016	regulation of dendritic spine morphogenesis	RIMS3, NGEF, LZTS3
mic_ast _endo	mic_ast _endo_ ME25	-	-	Defense response to virus	RSAD2, DDX58, PSME2
oli	oli_ME14	SCZ↓	-	Ensheathment of neuron	RNASE1, GPR37, PLP1

Ast_endo: mixture CG of astrocyte and endothelial cells, deepN: deep-layer neuron CG, upperN: upper-layer neuron CG, mic_ast_endo: mixture CG of microglia, astrocyte, and endothelial cells, oli: oligodendrocyte CG.

Supplemental materials

Supplemental Fig. 1 Overview of the study. Using the sample-wise convex analysis of

mixtures (swCAM) deconvolution method, we extracted cell-group-specific (CG-specific)

expression from RNA-seq data from bulk brain tissue of 341 samples (SCZ=93, CTL=248). To

annotate the identified CGs, maker gene enrichment and correlation testing with scRNA-seq

data were used. To discover SCZ-associated cell types and genes, we performed CG-specific

eQTL mapping, GWAS heritability enrichment, CG-specific differential expression, CG-

specific co-expression, and transcriptome-wide association study. These analyses offer a

framework for discerning cell subtypes and underlying genes and pathways associated with

SCZ. We found upper-layer neuron CGs in human DLPFC to be associated with SCZ.

	CG1	CG2	CG3	CG4	CG5
oligodendrocyte	0.44	0.7	0.26	0.75	20.25
neuron	0.83	4.73	4.15	0.16	0.17
microglia	0.43	0.36	0.3	2.64	0.48
endothelial	0.54	0.28	1.16	2.39	0.6
astrocyte	1.37	0.44	1.14	1.98	1.25

-log10(pval): 250, 200, 150, 100, 50

884 **Supplemental Fig. 2** Cell-group enrichment of brain cell marker genes.   Enrichment

885 of the top cell-type differentially expressed genes (ctDEGs) in estimated cell groups

886 (CGs) ($\log_2 FC > 2$, FDR <0.05) for human brain cell marker genes. Color denotes

887 $\log_{10}$-scaled p values of enrichment for significant associations and text in cell

888 denotes odds ratio (Fisher's exact test).

Supplemental Fig. 3 Correlations between estimated cell-group-specific (CG-

specific) expression and (A) single-cell expression (Darmanis et al. 2015) and (B)

single-nuclei expression (Habib et al. 2017). The Spearman correlation test was

used. The number and the color both denote the correlation coefficient. ASC:

astrocytes, END: endothelial cells, MG: microglia, ODC: oligodendrocytes, OPC:

oligodendrocyte precursor cells, EX: excitatory neurons, IN: inhibitory neurons.

Supplemental Fig. 4 Expression of marker genes of the inhibitory and excitatory neuronal subtypes in deconvoluted neuronal cell groups (CGs). Ex: excitatory neurons; In: inhibitory neurons. The data of subtypes was from a single-nucleus study of human brains (Lake et al, 2017).

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909
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911

Supplemental Fig. 5 Spearman correlation between expressions of estimated

neuronal cell groups (CGs) and expressions of neurons from different layers of the

middle temporal gyrus.

Supplemental Fig. 6 Comparison with an alternative deconvolution method bMIND. (A)

Spearman correlations between CG-specific expressions estimated from swCAM (rows) and

bMIND (columns). (B) Replication of CG-specific eQTLs by bMIND. (C) Comparison of SCZ

differentially expressed genes identified by swCAM and bMIND.

Supplemental Fig. 7 Deconvolution of replication dataset from ROSMAP project. (A) Marker gene expression in estimated cell groups (CGs). (B) To annotate the identity of CGs, an EWCE test with snRNA-seq data from human cortex was conducted. (C) Expressions of upper-layer and deep-layer genes in estimated CGs. (D) To annotate the identity of neuronal CG1 and CG5, EWCE test with snRNA-seq data of neurons from cortical layers was conducted. Ast: astrocytes, End: endothelial cells, Mic: microglia, Oli: oligodendrocytes, Opc: oligodendrocyte precursor cells, Ex: excitatory neurons, In: inhibitory neurons.

A

B

938 **Supplemental Fig. 8** Replication of CG-specific eQTLs in eQTLs from sorted cell

939 populations. (A) The degree of replication is indexed by Π1 and (B) replication rate.

940 Π1 is the proportion of true alternative hypothesis of replicated eQTLs in sorted

941 population. Replication rate is the ratio of replicated eQTLs over eQTLs in sorted

942 populations (FDR<0.05).

Proprotion change of upper−layer neurons

947 **Supplemental Fig. 9** Permutation test of proportion changes observed in upper-layer

948 neurons. The sample labels were shuffled and the proportion changes were

949 calculated from two randomly selected groups. This process was permutated 1000

950 times. The black line is the distribution of proportion changes in permutations and the

951 red line is the observed proportion changes.

upregulated

downregulated

Supplemental Fig. 10 Overlap of differentially expressed genes detected in each

cell group. The differential expression of genes in patients with SCZ was calculated

with Wilcoxon signed-rank test (p value <0.05) per cell group (CG). The left-side bars

denote the number of differentially expressed genes in each CG. The upper bars

denote the intersection size between sets of differentially expressed genes. Dark

connected dots on the bottom panel denote which substrates are considered for each

intersection.

Supplemental Fig. 11 Permutation test of fold changes of differentially expressed

genes in schizophrenia. The sample labels were shuffled and fold changes were

calculated from two randomly selected groups. This process was repeated 1000

times. The black lines are the distribution of permutated fold changes and the red

lines are the observed fold changes in each CG.

Supplemental table 1 Collected brain cell marker genes

Supplemental table 2 Differential expressed genes in SCZ per cell group

Supplemental table 3 TWAS genes in SCZ per cell group

Supplemental table 4 Coexpression-module member genes and their module

membership in each cell group

Supplemental table 5 Source data used in figures and tables

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