1 Spontaneous emergence of azithromycin resistance in independent lineages of Salmonella Typhi

2 in Northern India

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31	Key points
32	We identified ciprofloxacin/azithromycin-resistant Salmonella Typhi (S. Typhi) in Chandigarh in
33	Northern India. The independent emergence of ciprofloxacin/azithromycin-resistant typhoid in
34	Bangladesh, Pakistan, Nepal, and India and the continued spread of extensively-drug resistant (XDR)
35	typhoid in Pakistan highlight the limitations of licensed oral treatments for typhoid fever in South
36	Asia.
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38	Key words
39	Salmonella Typhi; typhoid fever; antimicrobial resistance; azithromycin resistance; ciprofloxacin
40	resistance; India
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59 Abstract

- 60 Background
- 61 The emergence and spread of antimicrobial resistance (AMR) pose a major threat to the effective
- 62 treatment and control of typhoid fever. The ongoing outbreak of extensively drug resistant (XDR)
- 63 Salmonella Typhi (S. Typhi) in Pakistan has left azithromycin as the only remaining broadly
- 64 efficacious oral antimicrobial for typhoid in South Asia. Ominously, azithromycin resistant S. Typhi
- organisms have been subsequently reported in Bangladesh, Pakistan, and Nepal.
- 66 *Methods*
- 67 Here, we aimed to understand the molecular basis of AMR in 66 S. Typhi isolated in a cross-sectional
- 68 study performed in a suburb of Chandigarh in Northern India using whole genome sequencing (WGS)
- 69 and phylogenetic analysis.
- 70 Results
- 71 We identified seven *S*. Typhi organisms with the R717Q mutation in the *acrB* gene that was recently
- found to confer resistance to azithromycin in Bangladesh. Six out of the azithromycin-resistant *S*.
- 73 Typhi isolates also exhibited triple mutations in gyrA (S83F and D87N) and parC (S80I) genes and
- 74 were resistant to ciprofloxacin. These contemporary ciprofloxacin/azithromycin-resistant isolates
- vere phylogenetically distinct from each other and from those reported from Bangladesh, Pakistan,
- and Nepal.

77 *Conclusions*

78 The independent emergence of azithromycin resistant typhoid in Northern India reflects an emerging

- broader problem across South Asia and illustrates the urgent need for the introduction of typhoid
- 80 conjugate vaccines (TCVs) in the region.
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87 Background

88	Salmonella enterica serovar Typhi (S. Typhi), the etiologic agent of typhoid fever, is associated with
89	an estimated 10.9 million infections and 116,800 deaths globally ¹ . The majority of this disease burden
90	is concentrated in South Asia, which has a modelled incidence rate of 592 cases per 100,000 person-
91	years ¹ . A pooled estimate of typhoid fever incidence of 377 cases per 100,000 in India has also been
92	calculated using limited population-based data; significant geographical heterogeneity was observed ² .
93	The ongoing Surveillance for Enteric Fever in India (SEFI) study is generating geographically
94	representative, age-specific incidence data, as well as additional information regarding cost of illness,
95	range of clinical severity, and antimicrobial resistance (AMR) patterns associated with S. Typhi in
96	India ³ . These data will undoubtedly provide a more comprehensive understanding of typhoid fever
97	incidence rates across the Indian sub-continent, and ultimately, in supporting decision-making
98	concerning typhoid conjugate vaccine (TCV) introduction in India ⁴ .
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100	Growing rates of AMR have made typhoid control increasingly challenging, beginning with the rise
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100 101 102	of multi-drug resistance (MDR; resistant to chloramphenicol, trimethoprim-sulfamethoxazole, ampicillin) in the 1990s, ⁵ and the subsequent increase in fluoroquinolone resistance in the early
100 101 102 103	of multi-drug resistance (MDR; resistant to chloramphenicol, trimethoprim-sulfamethoxazole, ampicillin) in the 1990s, ⁵ and the subsequent increase in fluoroquinolone resistance in the early 2000s, which was predominantly focused in South and Southeast Asia ^{6,7} . Ultimately, these phenotypic
100 101 102 103 104	of multi-drug resistance (MDR; resistant to chloramphenicol, trimethoprim-sulfamethoxazole, ampicillin) in the 1990s, ⁵ and the subsequent increase in fluoroquinolone resistance in the early 2000s, which was predominantly focused in South and Southeast Asia ^{6,7} . Ultimately, these phenotypic changes led to the common use of third generation cephalosporins for treatment of typhoid fever. The
100 101 102 103 104 105	of multi-drug resistance (MDR; resistant to chloramphenicol, trimethoprim-sulfamethoxazole, ampicillin) in the 1990s, ⁵ and the subsequent increase in fluoroquinolone resistance in the early 2000s, which was predominantly focused in South and Southeast Asia ^{6,7} . Ultimately, these phenotypic changes led to the common use of third generation cephalosporins for treatment of typhoid fever. The emergence and spread of extensively-drug resistant (XDR; resistant to chloramphenicol, ampicillin,
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100 101 102 103 104 105 106 107	of multi-drug resistance (MDR; resistant to chloramphenicol, trimethoprim-sulfamethoxazole, ampicillin) in the 1990s, ⁵ and the subsequent increase in fluoroquinolone resistance in the early 2000s, which was predominantly focused in South and Southeast Asia ^{6,7} . Ultimately, these phenotypic changes led to the common use of third generation cephalosporins for treatment of typhoid fever. The emergence and spread of extensively-drug resistant (XDR; resistant to chloramphenicol, ampicillin, cotrimoxazole, streptomycin, fluoroquinolones, and third-generation cephalosporins) typhoid in Pakistan has left azithromycin as the only available oral antimicrobial for effective treatment of
100 101 102 103 104 105 106 107 108	of multi-drug resistance (MDR; resistant to chloramphenicol, trimethoprim-sulfamethoxazole, ampicillin) in the 1990s, ⁵ and the subsequent increase in fluoroquinolone resistance in the early 2000s, which was predominantly focused in South and Southeast Asia ^{6,7} . Ultimately, these phenotypic changes led to the common use of third generation cephalosporins for treatment of typhoid fever. The emergence and spread of extensively-drug resistant (XDR; resistant to chloramphenicol, ampicillin, cotrimoxazole, streptomycin, fluoroquinolones, and third-generation cephalosporins) typhoid in Pakistan has left azithromycin as the only available oral antimicrobial for effective treatment of typhoid fever across South Asia ⁸ . Concerningly, azithromycin-resistant <i>S</i> . Typhi have subsequently

112 It is apparent that we have an escalating problem with drug resistant S. Typhi in South Asia, due in

- 113 part to empirical treatment of febrile patients and widespread community availability of
- antimicrobials. We are currently unsure of the regional distribution of azithromycin resistance or the

115	potential for the emergence of a specific sub-lineage with this phenotype; as has been observed for
116	MDR, XDR, and fluoroquinolone resistance. Here, we aimed to characterize the molecular basis of
117	AMR in S. Typhi in a cross-sectional study performed in a suburb of Chandigarh in Northern India.
118	Through whole genome sequencing (WGS), we describe the distribution of a collection of
119	azithromycin resistant S. Typhi and show that these organisms have arisen independently of those in
120	Pakistan and Bangladesh through the acquisition of an identical mutation in <i>arcB</i> . Our data support
121	the prioritization of TCV introduction to prevent the continued emergence and spread of drug-
122	resistant S. Typhi infections in South Asia.
123	
124	Methods
125	Ethics
126	Ethical clearance was granted by the Institutional Ethics Committee of the Government Multi-
127	specialty Hospital, Sector 16, Chandigarh (letter no GMSH/2018/8763 dated 26.7.2018) and the
128	Postgraduate Institute of Medical Education & Research (PGIMER) Institutional Ethics Committee
129	(IEC-08/2018-285 dated 24-9-2018). Administrative approval to carry out this collaborative study on
130	enteric fever was granted by the Chandigarh Health Department (CHMM-2017/2991 dated
131	28.8.2017). Approval was also granted by the Collaborative Research Committee of PGIMER (no
132	79/227-Edu-18/4997 dated 12/12/2018). Informed consent was a prerequisite for inclusion in the
133	study.
134	
135	Study design
136	The S. Typhi isolates were obtained from blood cultures taken from febrile patients presenting to Civil
137	Hospital Manimajra in Chandigarh (CHMM) between September 2016 and December 2017, where
138	passive blood culture surveillance has been conducted since November 2013. CHMM is a 100-bed
139	secondary health care facility located on the outskirts of Chandigarh, and serves a catchment area of

- 140 approximately 200,000 people, including referrals from four primary care centers. Data from patients
- 141 with blood culture confirmed invasive Salmonella infection, which includes Salmonella serovars
- 142 Typhi and Paratyphi A, B and C, from both inpatient and outpatient wards were used in the study.

- 143 Clinical history, laboratory test results, and risk factor data were recorded for each confirmed case of
- 144 enteric fever for patients residing in Manimajra. This analysis focuses solely on confirmed cases of *S*.
- 145 Typhi.
- 146
- 147 Identification and Antimicrobial Susceptibility Testing
- 148 Bacterial isolates were identified as S. Typhi using conventional biochemical tests; Motility agar,
- 149 Hugh–Leifson Oxidative-Fermentation (OF) test, the Triple Sugar Iron (TSI) test, citrate test, urease
- 150 test, phenyl pyruvic acid (PPA) test, and indole test. All isolates were eventually confirmed using
- 151 antisera from Central Research Institute (CRI), Kasauli. Antimicrobial susceptibility was determined
- 152 for the following antimicrobials by disc diffusion: ampicillin (10µg), chloramphenicol (30µg),
- trimethoprim/sulfamethoxazole (1.25/23.75μg), ceftriaxone (30μg), azithromycin (15μg),
- 154 ciprofloxacin (5μg), and pefloxacin (5 μg). Zone diameters were measured and interpreted as per
- 155 Clinical Laboratory Standards Institute (CLSI) guidelines¹². Minimum inhibitory concentration testing
- 156 was also conducted on all organisms showing resistance to any of the above antimicrobials by disc
- 157 diffusion using E-tests (bioMerieux, France).
- 158
- 159 Whole-Genome Sequencing and Phylogenetic Analysis

160 All S. Typhi were stored and shipped to PGIMER Chandigarh. Isolates from patients residing outside 161 of Manimajra were excluded, as were those for which there was inadequate clinical metadata, or the 162 DNA yield was below the amount required for WGS. Total genomic DNA was extracted from the S. 163 Typhi using the Wizard genomic DNA extraction Kit (Promega, Wisconsin, USA) and subjected to 164 WGS using the Illumina MiSeq platform (Illumina, San Diego, CA, USA) to generate 250 bp paired 165 end reads. We then aimed to put these sequences into global and regional context. These reads were 166 mapped against the CT18 reference sequence (accession no. AL513382) using the RedDog mapping 167 pipeline (available at: https://github.com/katholt/RedDog) to identify single nucleotide variants (SNVs)^{13–15}. After removing prophages and recombinant¹⁶ and repetitive sequences, we generated a 168 169 final alignment of 25,832 chromosomal SNVs for 3472 isolates. SRST2¹⁷ was used with ARGannot¹⁸ 170 and PlasmidFinder¹⁹ to identify AMR genes and plasmid replicons, respectively. Mutations in gyrA,

171 and *parC*, as well as the R717Q mutation in *acrB* were detected using GenoTyphi

172 (https://github.com/katholt/genotyphi). Maximum likelihood phylogenetic trees were inferred from

173 the chromosomal SNV alignments using RAxML $(v8.2.9)^{20}$, and then visualized in Microreact²¹

174 (https://microreact.org/project/nniNzBL2uq3XZXYDKgG374) and the Interactive Tree of Life

175 (ITOL)²². Raw read data were deposited in the European Nucleotide under accession number

176 ERP124488.

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178 Results

179 Epidemiological observations

180 Typhoid fever is a major public health concern among children and young adults in this region of

181 Northern India. It is thought that many cases in this area, a hub for the states of Punjab, Haryana, and

182 Himachal Pradesh, are associated with the mixing of large populations of seasonal workers from the

adjoining states. These workers generally live in informal dwellings with poor sanitation and limited

access to safe water. Approximately 1,500 suspected enteric fever patients present to the CHMM

185 facility annually and receive blood cultures, of which ~10% are positive for S. Typhi. There is a

186 seasonal peak of typhoid fever in this facility during the monsoon months from May to September

187 (Figure 1).

188

189 The S. Typhi organisms interrogated here by WGS were isolated between September 2016 and

190 December 2017 and all originated from blood cultures taken from febrile patients attending CHMM.

191 All patients with a positive blood culture for S. Typhi resided within 12.9 km of the healthcare facility

and were located in an area of $\sim 28 \text{ km}^2$ (Figure 2). S. Typhi was isolated throughout the specified

193 months, again with higher number of cases observed between May and September. The median age of

194 typhoid patients included in this analysis was seven years. The standard of care antimicrobials at this

195 facility for patients with suspected enteric fever in outpatient settings are cotrimoxazole, cefixime,

and/or azithromycin, and ceftriaxone for inpatients.

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200	The local phylogenetic structure of S. Typhi
201	Ultimately, after data quality control, we generated and analyzed 66 S. Typhi genome sequences from
202	Chandigarh. We observed that the population structure of S. Typhi around Chandigarh exhibited a
203	high level of genetic diversity with eight co-circulating genotypes, indicative of population mixing
204	and sustained introduction of organisms from a variety of locations across India (Figure 3). However,
205	and in an analogous manner to other locations in Asia (and East Africa), most organisms (80%;
206	53/66) belonged to lineage 4.3.1 (H58), with the majority of those (66%; 35/53) belonging to 4.3.1.2.
207	In total, 24% (16/66) of isolates were subclade 4.3.1, and 3% (2/66) were 4.3.1.1. Additional
208	genotypes were comprised of subclade 3.3 (7.5%, 5/66), clade 2.5 (7.5%, 5/66), clade 3.3.1 (1.5%,
209	1/66), clade 4.1 (1.5%, 1/66) and major lineage 2 (genotype 2; 1.5%, 1/66).
210	
211	Fluoroquinolone resistance
212	All (66/66) S. Typhi genome sequences, regardless of the genotype, possessed mutations in gyrA,
213	conferring reduced susceptibility to fluoroquinolones. Notably, given that these mutations were
214	observed in a range of genotypes, these had occurred independently, likely as a result of sustained
215	antimicrobial pressure from widespread fluoroquinolone use. We further observed multiple gyrA
216	mutation profiles in 4.3.1 organisms conferring intermediate resistance against fluoroquinolones
217	$(0.12\mu g/ml < ciprofloxacin MIC < 1\mu g/ml)$. These mutations included S83Y (29.1%; 16/55), S83F
218	(16.4%; 9/55), and D87N (1.8%, 1/55). Additionally, we identified a subclade of organisms that
219	represented 49.1% (27/55) of the 4.3.1 isolates, all of which belonged to 4.3.1.2, that contained the
220	classical triple mutations associated with fluoroquinolone resistance (S83F and D87N in gyrA and
221	S80I in $parC$) ¹⁴ . These organisms exhibited high-level fluoroquinolone resistance (ciprofloxacin MIC
222	$>24\mu g/ml$). Our observations with respect to ubiquitous fluoroquinolone resistance were concerning;
223	however, none of the S. Typhi isolates were MDR, which may be associated with a reduced reliance
224	on older classes of antimicrobials.
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228 Azithromycin resistance

229	We identified that $7/66 (10.6\%)$ of the sequenced isolates contained a mutation in <i>acrB</i> , a gene
230	encoding a component of the AcrAB efflux pump ²³ . Mutations in <i>acrB</i> have been previously observed
231	to be associated with resistance to azithromycin ⁹ . Here, the <i>acrB</i> mutation was non-synonymous
232	(R717Q) and identified in six genotype 4.3.1.2 organisms and in one genotype 3.3.1 organism. These
233	data are indicative of convergent mutation in different lineages, highlighting a potential increasing
234	reliance on azithromycin; this selective pressure is further accentuated by the small clonal expansion
235	in genotype 4.3.1.2 (Figure 3).
236	
237	The R717Q mutation in <i>acrB</i> has been linked to high azithromycin MICs in genotype 4.3.1.1 S. Typhi
238	isolates from Bangladesh ⁸ and also in genotype 4.3.1.1 S. Typhi in Pakistan ⁹ . The contemporary
239	Indian S. Typhi isolates identified here with the same R717Q mutation in acrB showed resistance to
240	azithromycin with an MIC >16 μ g/ml (range: 16 ->256 μ g/ml). Our data suggested that these R7171Q
241	mutations in the <i>acrB</i> gene have arisen spontaneously in India. To test this hypothesis, we constructed
242	an expanded phylogenetic tree comprising a global S. Typhi collection, including organisms from
243	across South Asia and the recently described azithromycin-resistant organisms from Bangladesh,
244	Pakistan, and Nepal. We found that the azithromycin-resistant S. Typhi from India were
245	phylogenetically distinct from those reported from Bangladesh, Pakistan, and Nepal (Figure 4).
246	Additionally, we found that the azithromycin-resistant organisms associated with acrB mutations
247	were dispersed around the tree and appear to have arisen on at least five different occasions, with a
248	differing <i>acrB</i> mutation in organisms from Nepal (R717L) ¹¹ .
249	
250	The azithromycin-resistant isolates from India described here were isolated in 2017, meaning that
251	they are contemporaneous with those reported from Bangladesh and Pakistan, and arose

252 independently in phylogenetically distinct lineages. Lastly, six of the seven Indian isolates with the

253 R717Q mutation in *arcB* (all 4.3.1.2) were also within the group of organisms with the triple mutation

associated with high level fluoroquinolone resistance, making these organisms highly resistant tothese two key oral antimicrobials.

256

257 Discussion

258 In this study, we aimed to describe the genomic aspects the S. Typhi causing disease in an endemic 259 region in Northern India. We investigated antimicrobial susceptibility patterns using phenotypic 260 testing and WGS data and then placed these data into a regional and global context using published 261 genomic data. Notably, we identified seven azithromycin resistant S. Typhi isolates. These organisms 262 belonged to two different lineages and were genetically distinct from azithromycin resistant isolates 263 recently reported from Bangladesh, Pakistan, and Nepal. Our observations suggest azithromycin 264 resistance mutations at codon 717 in *arcB* are occurring independently in locations where there is 265 substantial selective pressure induced by azithromycin. An increased reliance on azithromycin for 266 treatment of typhoid fever and other invasive bacterial infections in South Asia, along with ongoing 267 clinical trials measuring the impact of prophylactic administration of azithromycin on growth and mortality of infants and young children in Pakistan, Bangladesh, and India,²⁴ signal the inevitability of 268 what Hooda and colleagues have termed pan-oral drug-resistant (PoDR) Typhi²⁴. This scenario would 269 270 necessitate inpatient intravenous drug administration for effective treatment of typhoid fever in the 271 region at enormous cost to patients and to healthcare systems. Where intravenous drug administration 272 is not an option, typhoid could once again become a disease with a high mortality rate, as was 273 observed in the pre-antimicrobial era.

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While the catchment area of this study is not representative of the entire Indian sub-continent, the phenomenon described herein is unlikely to be restricted to Chandigarh. India is currently the largest consumer of antimicrobials of all LMICs, with a reported 6.5 billion Defined Daily Doses (DDDs) in 2015, or 13.6 DDDs per 1,000 inhabitants per day^{25,26}. With such widespread availability and use of antimicrobials nationally, selective pressure on circulating pathogens is likely immense. The SEFI study will soon yield additional concrete AMR data from multiple, geographically representative sites in India, which will further elucidate AMR patterns across the country. Ideally, these data will inform

local antimicrobial stewardship practices and may be used as a basis for prioritization of future

283 interventions.

284

285	How then to tackle this red queen dilemma? Drug development efforts cannot keep pace with
286	bacterial evolution. Therefore, there is an urgent need for preventative interventions, namely water,
287	sanitation and hygiene (WASH) interventions and TCV introduction, in India and across South Asia.
288	There is also a need for enhanced typhoid surveillance, in South Asia and globally, specifically to
289	monitor the emergence and spread of this and other resistance phenotypes. Historic genomic data
290	show us that drug-resistant S. Typhi lineages emerge in South Asia and then spread to East Africa and
291	even Latin America ²⁷ . With the widespread prophylactic deployment of azithromycin through clinical
292	studies and public health programs in West Africa and South Asia, ^{24,28} it will be critical to monitor
293	global AMR patterns to mitigate a public health catastrophe.

294

295 This emerging problem additionally represents an opportunity for use of genomics to inform policy. 296 WGS data provides clear information regarding AMR in organisms where molecular mechanisms of 297 resistance are understood. Genomic surveillance also enables the identification and characterization of new resistance phenotypes, as was the case for XDR typhoid⁸ and the new azithromycin resistant 298 299 organisms identified in Bangladesh and described further here⁹. The outputs of antimicrobial 300 susceptibility testing are not always straightforward, particularly in cases where susceptibility breakpoints have not been validated extensively using clinical data, as is the case for azithromycin²⁹. 301 302 Genomic AMR data can inform prioritization of TCV introduction, as well as implementation of 303 WASH interventions. Genomic surveillance should also be an important component of long-term 304 monitoring of the impact of widespread TCV deployment. Not only can genomic surveillance provide 305 additional information on the impact of TCV on AMR, it will also illustrate the impact of vaccine on 306 bacterial population structures and enable the identification of any vaccine escape mutants. Such 307 information is vital to understanding the long-term impact of vaccine, and to facilitate any potential 308 future efforts for global typhoid elimination.

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321			
322	Comp	peting interests	
323	The a	uthors declare no competing interests.	
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412 Figure legends

- 413 **Figure 1.** The annual seasonality of typhoid fever in Manimajra, Chandigarh
- 414 Plots showing the number of typhoid cases recorded the civil hospital in Manimajra from 2014 to
- 415 2019. The observed annual peak in typhoid cases corresponds with the monsoon season in Northern
- 416 India (May to September).
- 417
- 418 Figure 2. The spatial distribution of confirmed typhoid fever cases in Chandigarh
- 419 Map of Chandigarh (scale shown) of the residential locations of the S. Typhi cases recorded at the
- 420 civil hospital in Manimajra between September 2016 and December 2017. S. Typhi genotype is
- 421 indicated by color (see key), azithromycin-resistant isolates are indicated with stars, and the number
- 422 of cases in each coordinate is represented by the size of the circles. All cases were located within a 28
- 423 km² area. There is a cluster of cases of genotype 4.3.1.2 in a 0.25 km² area of central Manimajra,
- 424 which includes five of the six closely related azithromycin-resistant isolates.
- 425
- 426 Figure 3. The phylogenetic distribution of S. Typhi isolated at the civil hospital in Manimajra,
- 427 Chandigarh
- 428 Phylogenetic tree made in Ram of the 67 isolates genome sequenced. This collection shows
- 429 considerable genetic diversity, with eight genotypes represented (as colour coded on branches and in
- 430 the key). Mutations in the Quinolone Resistance Determining Region (QRDR), and presence of the
- 431 acrB-R717Q mutation are shown for each organism. There are two distinct clusters of organisms with
- the *acrB* mutation that confers azithromycin resistance; each of these individual organisms indicatedwith a star.
- 434
- 435 **Figure 4.** Azithromycin resistant *S*. Typhi in global context
- 436 Diagram depicts a maximum likelihood rooted phylogenetic tree with a final alignment of 25,832
- 437 chromosomal SNVs for 3,472 globally representative isolates, including all publicly available isolates
- 438 from India. The colour of the internal branches represents the genotype, the colored ring around the
- tree indicates the country or region of origin for each isolate, and the blue stars indicate which isolates

- 440 were originate from this study. Additionally, the tree contains each known S. Typhi isolate with an
- 441 *acrB* mutation in public databases, these originate from India, Nepal, Bangladesh, and Pakistan. The
- 442 location of the XDR isolates from Pakistan are added for context.







