Speciation in the face of gene flow within the toothed whale superfamily Delphinoidea

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Abstract

Understanding speciation is a central aspect in Biology. The formation of new species
was once thought to be a simple bifurcation process. However, recent advances in genomic
resources now provide the opportunity to investigate the role of post-divergence gene flow in
the speciation process. The diversification of lineages in the presence of gene flow appears
almost paradoxical. However, with enough time and in the presence of incomplete physical
and/or ecological barriers to gene flow, speciation can and does occur. Speciation without
complete isolation seems especially likely to occur in highly mobile, wide-ranging marine
species, such as cetaceans, which face limited geographic barriers. The toothed whale
superfamily Delphinoidea represents a good example to further explore speciation in the
presence of interspecific gene flow. Delphinoidea consists of three families (Delphinidae,
Phocoenidae, and Monodontidae) and within all three families, contemporary interspecific
hybrids have been reported. Here, we utilise publicly available genomes from nine species,
representing all three Delphinoidea families, to investigate signs of post-divergence gene
flow across their genomes, and to address the speciation processes that led to the diversity
seen today within the superfamily. We use a multifaceted approach including: (i)
phylogenetics, (ii) the distribution of shared derived alleles, and (iii) demography-based. We
find that the divergence and evolution of lineages in Delphinoidea did not follow a simple
bifurcating pattern, but were much more complex. Our results indicate multiple, ancestral
gene flow events within and among families, which occurred millions of years after initial
divergence.

Introduction

The formation of new species involves the divergence of lineages through
reproductive isolation. Such isolation can initially occur in allopatry (geographical isolation)
or in sympatry (biological/ecological isolation). Over time, these barriers are maintained and
strengthened, ultimately leading to the formation of new species (Norris and Hull, 2012).
While allopatric speciation requires geographical isolation plus time, sympatric speciation
often requires a broader and more complicated set of mechanisms (Turelli et al., 2001). These
mechanisms mostly rely on ecologically-mediated natural selection. Parapatric speciation, on

the other hand, encompasses intermediate scenarios of partial, but incomplete, physical
restrictions to gene flow leading to speciation.
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Through the analysis of whole-genome datasets, the detection of post-divergence gene
flow between distinct species is becoming more commonplace (Árnason et al., 2018; Barlow
et al., 2018; Westbury et al., 2020), demonstrating that speciation is much more complex than
a simple bifurcating process (Campbell and Poelstra, 2018; Feder et al., 2012). Speciation is
not an instantaneous process, but requires tens of thousands to millions of generations to
achieve complete reproductive isolation (Butlin and Smadja, 2018; Coyne and Orr, 2004; Liu
et al., 2014). The duration it takes to reach this isolation may be especially long in highly
mobile marine species, such as cetaceans, due to a relative lack of geographic barriers in the
marine realm, and therefore high potential for secondary contact and gene flow (Árnason et
al., 2018).
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The apparent inability to undergo allopatric speciation in marine species has been
termed the marine-speciation paradox (Bierne et al., 2003). However, over the past decade,
genomic studies have provided some insights into how speciation can occur within cetaceans
(Árnason et al., 2018; Moura et al., 2020). For example, in killer whales (*Orcinus orca*) it has
been proposed that initial phases of allopatry may have led to the accumulation of ecological
differences between populations, which strengthened population differences even after they
came into secondary contact (Foote et al., 2011; Foote and Morin, 2015). However, whether
these initial phases of allopatry caused the divergence, or whether speciation occurred purely
in sympatry, remains debated (Moura et al., 2015). Yet these two hypotheses are not
necessarily mutually exclusive. Instead, differentiation in parapatry, encompassing features of
both allopatric and sympatric speciation, may have been key in the evolutionary history of
cetaceans.
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The toothed whale superfamily Delphinoidea represents an interesting opportunity to
further explore speciation in the presence of putative interspecific gene flow. The root of
Delphinoidea has been dated to 19 million years ago (Ma) (95% CI 19.73 - 18.26 Ma)
(McGowen et al., 2020) and has given rise to three families: (i) Delphinidae, the most
species-rich family, which comprises dolphins and 'black-fish' (such as killer whales and
pilot whales (*Globicephala spp.*)); (ii) Phocoenidae, commonly known as porpoises; and (iii)
Monodontidae, which comprises two surviving lineages, belugas (*Delphinapterus leucas*) and
narwhals (*Monodon monoceros*).
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Delphinoidea is of particular interest, as contemporary interspecific hybrids have been
reported within all three families (Delphinidae: (Espada et al., 2019; Miyazaki et al., 1992;
Silva et al., 2005); Phocoenidae: (Willis et al., 2004) Monodontidae:(Skovrind et al., 2019).
However, these hybrids represent recent hybridization events that occurred long after species
divergence, and their contribution to the parental gene pools is mostly unknown. The
presence of more ancient introgressive hybridization events between families, and during the
early radiations of these families, has yet to be investigated. With the rapid increase of
genomic resources for cetaceans, and in particular for species within Delphinoidea, we are

presented with the ideal opportunity to investigate post-divergence gene flow between
lineages, furthering our understanding of speciation processes in cetaceans.
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Here, we utilise publicly available whole-genome data from nine species of
Delphinoidea, representing all three families, to investigate signs of post-divergence gene
flow across their genomes. Our analyses included five Delphinidae (killer whale, Pacific
white-sided dolphin (*Lagenorhynchus obliquidens*), long-finned pilot whale (*Globicephala*
melas), bottlenose dolphin (*Tursiops truncatus*), Indo-Pacific bottlenose dolphin (*T.*
aduncus)); two Phocoenidae (harbour porpoise (*Phocoena phocoena*), finless porpoise
(*Neophocaena phocaenoides*)); and two Monodontidae (beluga, narwhal). Moreover, we
compare their species-specific genetic diversity and demographic histories, and explore how
species abundances may have played a role in interspecific hybridisation over the last two
million years.
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101 **Results and discussion**
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Detecting gene flow
To assess the evolutionary relationships across the genomes of the nine Delphinoidea
species investigated, we computed non-overlapping sliding-window maximum-likelihood
phylogenies of four different window sizes in RAxML (Stamatakis, 2014). These analyses
resulted in 43,207 trees (50 kilobase (kb) windows), 21,387 trees (100 kb windows), 3,705
trees (500 kb windows), and 1,541 trees (1 megabase (Mb) windows) (Fig. 1, Supplementary
Fig. S1, Supplementary table S1). The 50 kb windows retrieved a total of 96 unique
topologies, 100 kb windows retrieved 47 unique topologies, 500 kb windows retrieved a total
of 16 unique topologies, and 1 Mb windows retrieved a total of 15 unique topologies.
Regardless of window size, we retrieve consensus support for the species tree previously
reported using target-sequence capture (McGowen et al., 2020). However, when considering
the smallest window size (50 kb), we find a considerable proportion of trees (up to 76%) with
an alternative topology to the known species tree (Fig. 1A). These alternative topologies
could be due to incomplete lineage sorting (ILS) or interspecific gene flow (Leaché et al.,
2014). Moreover, the higher prevalence of this pattern in the 50 kb windows (for example,
21% of windows show an alternative topology in the 1 Mb dataset (Fig. 1B)), may indicate
that inconsistencies in topology are caused by ancient, rather than recent, events.
We explored whether the large number of phylogenetic discrepancies in the 50kb
windows could be linked to the GC content (%GC) of the windows. Discrepancies could
arise, as elevated levels of GC content can result from higher levels of GC-Biased Gene
Conversion (gBGC) in regions with higher levels of recombination (Lartillot, 2013). When
binning windows into either high, medium, or low levels of GC content, the most common
topologies are consistent, but with slight differences in overall values (Supplementary table
S2). This result suggests that the topological discrepancies are not arising purely due to GC-
content linked biases and recombination rate.
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To investigate whether the alternative topologies could simply be explained by ILS,
or whether a combination of ILS and gene flow was a more probable cause, we ran

Quantifying Introgression via Branch Lengths (QuIBL) (Edelman et al., 2019) on every
twentieth tree from the 50 kb sliding-window analysis (Supplementary table S3), as well as
on a dataset that contained trees constructed using 20 kb windows with a 1Mb slide
(Supplementary table S4). As we did not recover any large number of phylogenetic
discrepancies between families, we were only able to look at the potential cause of
discrepancies in the Delphinidae family. Our QuIBL analyses suggest that the different
retrieved topologies cannot be explained by ILS alone, but a combination of both ILS and
gene flow.

To further explore potential gene flow while taking ILS into account, we applied D-
statistics. D-statistics uses a four-taxon approach [[H1, H2], H3], Outgroup] to uncover the
differential distribution of shared derived alleles, which may represent gene flow between
either H1/H3 or H2/H3. Here we used baiji (*Lipotes vexillifer*) as the outgroup, and alternated
ingroup positions based on the consensus topology. We find that 85 out of 86 tests show
signs of gene flow within and between families (Supplementary table S5), suggesting the
evolutionary history of Delphinoidea was more complex than a simple bifurcating process.

Due to the inability of the four-taxon D-statistics approach to detect the direction of
gene flow, as well as whether gene flow events may have occurred between ancestral
lineages, we used D-foil. D-foil enables further characterization of the D-statistics results,
which may be particularly relevant, given the complex array of gene flow putatively present
within Delphinoidea. D-foil uses a five-taxon approach [[H1, H2] [H3, H4], Outgroup] and a
system of four independent D-statistics in a sliding-window fashion to uncover (i) putative
gene flow events, (ii) donor and recipient lineages, and (iii) whether gene flow events
occurred between a distantly related lineage and the ancestor of two sister lineages, which is
indicative of ancestral-lineage gene flow. However, due to the input topology requirements of
D-foil, we were only able to investigate gene flow between families, and not within families,
using this analysis. Hence, we tested for gene flow between Delphinidae/Phocoenidae,
Delphinidae/Monodontidae, and Monodontidae/Phocoenidae.

The D-foil results underscore the complex pattern of post-divergence gene flow
between families indicated by the D-statistics. We find support for interfamilial gene flow
events between all nine species investigated, to varying extents (Supplementary table S6).
This could reflect multiple episodes of gene flow between all investigated species.
Alternatively, the pattern could reflect ancient gene flow events between the ancestors of H1-
H2 and H3-H4 (in the topology [[H1, H2] [H3, H4], Outgroup]), with differential inheritance
of the admixed loci in subsequent lineages. Such ancestral gene flow events have previously
been shown to lead to false positives between species pairs using D-statistics (Moodley et al.,
2020). A further putative problem with these results can be seen when implementing D-foil
on the topology [[Delphinidae, Delphinidae], [Monodontidae, Phocoenidae], Outgroup]. We
find the majority of windows support a closer relationship between Delphinidae (ancestors of
H1 and H2) and Monodontidae (H3), as opposed to the species tree. If this result is correct, it
suggests the input topology was incorrect, implying that Delphinidae and Monodontidae are
sister lineages, as opposed to Phocoenidae and Monodontidae. However, this contrasts with

the family topology of [Delphinidae, [Phocoenidae, Monodontidae]] retrieved in our
phylogenetic analyses (Fig. 1) and reported by others (McGowen et al., 2020; Steeman et al.,
2009). Instead, we suggest our result reflects the limited ability of D-foil to infer gene flow
between these highly divergent lineages.
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False positives and potential biases in D-statistics and D-foil can arise due to a
number of factors including (i) ancestral population structure, (ii) introgression from
unsampled and/or extinct ghost lineages, (iii) differences in relative population size of
lineages or in the timing of gene flow events, (iv) different evolutionary rates or sequencing
errors between H1 and H2, and (v) gene flow between ancestral lineages (Moodley et al.,
2020; Slatkin and Pollack, 2008; Zheng and Janke, 2018). These issues are important to
consider when interpreting our results, as the deep divergences of lineages suggest there were
probably a number of ancestral gene flow events, as well as gene flow events between now-
extinct lineages, that may bias results.
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Cessation of gene flow
To further elucidate the complexity of interspecific gene flow within Delphinoidea,
we implemented F1 hybrid PSMC (hPSMC) (Cahill et al., 2016). This method creates a
pseudo-diploid sequence by merging pseudo-haploid sequences from two different genomes,
which in our case represents two different species. The variation in the interspecific pseudo-
F1 hybrid genome cannot coalesce more recently than the emergence of reproductive
isolation between the two parental species, and the method can therefore be used to infer
when gene flow between species ceased.
198
When considering the uppermost limit of when gene flow ended (equating to the most
ancient date) and the lower confidence interval of each divergence date (equating to the most
recent date), the majority of comparisons (29/36) show that post-divergence gene flow
occurred for >50% of the post-divergence branch length (Fig. 2, Supplementary results). This
finding suggests that reaching complete reproductive isolation in Delphinoidea was a slow
process. The occurrence of post-divergence gene flow long after initial divergence may
reflect the ability of these cetacean species to travel long distances, and the lack of significant
geographical barriers in the marine environment. Alternatively, if geographic barriers did
lead to the initial divergences, the pattern could reflect recontact before complete isolation.
208
Despite our finding of long-term gene flow in the majority of comparisons, our results
suggest gene flow ceased more rapidly within the Delphinidae family, relative to within
Phocoenidae and Monodontidae (Fig. 2). Only three out of ten pairwise comparisons (killer
whale *vs* Indo-Pacific white-sided dolphin, killer whale *vs* long-finned pilot whale, and
bottlenose dolphin *vs* Indo-Pacific bottlenose dolphin), showed gene flow at >50% of the
branch length post divergence. The remaining seven comparisons showed gene flow along
48% - 24% of the post-divergence branch length. This finding may reflect the inability of
hPSMC to detect low levels of migration until the present day, leading to large estimated
intervals around the time point at which gene flow ceased.
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Simulations have shown that in the presence of as few as 1/10,000 migrants per
generation, hPSMC suggests continued gene flow. However, this does not happen with a rate
of less than ~1/100,000 migrants per generation. Rather, in the latter case, the exponential
increase in Ne of the pseudo-hybrid genome, which is used to infer the date at which gene
flow ceased between the parental individuals, becomes a more gradual transition, leading to a
larger estimated time interval (Cahill et al., 2016). Within Delphinidae, we observe a
corresponding, less pronounced increase in Ne in the pseudo-hybrids, suggestive of
continued, but very low migration rates (Supplementary results). This finding suggests that
gene flow within Delphinidae may have continued for longer than shown by hPSMC, which
may not be sensitive enough to detect the low rates of recent gene flow. Furthermore,
persistent gene flow is supported by confirmed fertile contemporary hybrids between some of
our study species; for example, bottlenose dolphins can produce fertile offspring with both
Indo-Pacific bottlenose dolphins (Gridley et al., 2018) and Pacific white-sided dolphins
(Crossman et al., 2016; Miyazaki et al., 1992). Either way, our hPSMC results within and
between all three families show a consistent pattern of long periods of interspecific migration
in Delphinoidea, some lasting up to more than ten million years post divergence.

We further investigated the robustness of our hPSMC results to the inclusion or
exclusion of repeat regions in the pseudodiploid genome. We compared the hPSMC results
when including and removing repeat regions for three independent species pairs of varying
levels of phylogenetic distance. These included a shallow divergence (bottlenose and Indo-
Pacific bottlenose dolphins), medium divergence (beluga and narwhal), and deep divergence
(bottlenose dolphin and beluga) (Supplementary figs S2 - S4). For all species pairs, results
showed that the pre-divergence Ne is almost identical, and the exponential increase in Ne is
just slightly more recent when removing the repeat regions compared to when repeat regions
are included. This gives us confidence that the inclusion of repeats did not greatly influence
our results.

Interspecific hybridisation

Making inferences as to what biological factors lead to interspecific hybridisation is
challenging, as many variables may play a role. One hypothesis is that interspecific
hybridization may occur at a higher rate during periods of low abundance, when a given
species encounters only a limited number of conspecifics (Crossman et al., 2016; Edwards et
al., 2011; Westbury et al., 2019). When considering species that have not yet undergone
sufficient divergence, preventing their ability to hybridise, individuals may mate with a
closely-related species, instead of investing energy in finding a rarer conspecific mate.

To explore the relationship between susceptibility to interspecific hybridisation and
population size, we calculated the level of genome-wide genetic diversity for each species, as
a proxy for their population size (Fig. 3A). Narwhal, killer whale, beluga and long-finned
pilot whale have the lowest diversity levels, and should therefore be more susceptible to
interspecific hybridization events. A beluga/narwhal hybrid has been reported (Skovrind et
al., 2019), as has hybridisation between long-finned and short-finned pilot whales (Miralles et

al., 2016). However, hybrids between species with high genetic diversity, including harbour porpoise (Willis et al., 2004), Indo-Pacific bottlenose dolphin (Baird et al., 2012), and bottlenose dolphin (Espada et al., 2019; Herzingl and Johnsonz, 1997) have also been reported, suggesting genetic diversity alone is not a good proxy for susceptibility to hybridisation.

To investigate whether interspecific gene flow took place during past periods of low population size, we estimated changes in intraspecific genetic diversity through time (Fig. 3B-D). The modeled demographic trajectories, using a Pairwise Sequentially Markovian Coalescent model (PSMC), span the past two million years. We could therefore assess the relationship for the three species pairs, where the interval for the cessation of gene flow was contained within this period: harbour/finless porpoise (Phocoenidae), beluga/narwhal (Monodontidae), and bottlenose/Indo-Pacific bottlenose dolphin (Delphinidae) (Fig. 2).

In the harbour porpoise, we observe an increase in effective population size (Ne) beginning ~1 Ma, the rate of which increases further ~0.5 Ma (Fig. 3C). The timing of expansion overlaps the period during which gene flow with the finless porpoise ceased (~1.1 - 0.5 Ma, Fig. 2), suggesting gene flow between the two species occurred when population size in the harbour porpoise was lower. We observe a similar pattern in belugas; an increase in Ne ~1 Ma, relatively soon after the proposed cessation of gene flow with narwhals ~1.8 - 1.2 Ma (Fig. 3D). An increase in Ne may coincide with an increase in relative abundance, which would increase the number of potential conspecific mates, and in turn reduce the level of interspecific gene flow. Although we are unable to test the direction and levels of gene flow between these species pairs, we expect a relative reduction of gene flow into the more abundant species. A relative reduction of such events would in turn lessen genomic signs of interspecific gene flow, despite its occurrence.

We observe a different pattern in the bottlenose/Indo-Pacific bottlenose dolphins. In the previous examples, we find a relatively low population size when gene flow was ongoing, and only in one of the two hybridizing species. In the dolphins, we find a relatively high population size during the period of gene flow in both species; Ne declines ~1 - 0.5 Ma, coinciding with the putative end of gene flow ~1.2 - 0.4 Ma. The decline in Ne could either reflect a decline in abundance, or a loss of connectivity between the two species. In the latter, we expect levels of intraspecific diversity (and thereby inferred Ne) to decline with the cessation of gene flow, even if absolute abundances did not change. This is indeed suggested by our data, which shows both species undergoing the decline simultaneously, indicative of a common cause.

Seven of the nine Delphinoidea genomes investigated show a similar pattern of a rapid decline in Ne starting ~150 - 100 thousands of years ago (kya) (Fig. 3B-D; the exceptions are Pacific white-sided dolphin and narwhal). This concurrent decline could represent actual population declines across species, or, alternatively, simultaneous reductions in connectivity among populations within each species. Based on similar PSMC analyses, a decline in Ne at this time has also been reported in four baleen whale species (Árnason et al.,

2018). Although this could reflect demographic factors, such as the loss of population connectivity, the unique life histories, distributions, and ecology of these cetacean species suggests that decreased population connectivity is unlikely to have occurred simultaneously across all studied species.

Rather, the species-wide pattern may reflect climate-driven environmental change. The period of 150 - 100 kya overlaps with the onset of the last interglacial, when sea levels increased to levels as high, if not higher, than at present (Polyak et al., 2018), and which may have had a marine-wide effect on population sizes. A similar marine-wide effect has been observed among baleen whales and their prey species in the Southern and North Atlantic Oceans during the Pleistocene-Holocene climate transition (12-7 kya) (Cabrera et al., 2018). These results lend support to the ability of marine-wide environmental shifts to drive changes in population sizes across multiple species.

Although currently speculative based on our demographic results, we suggest recent species-wide declines may have facilitated the resurgence of hybridization between the nine Delphinoidea species analysed here. If hybridisation did increase, species may already have been sufficiently differentiated that offspring fertility was reduced. Even if offspring were fertile, the high level of differentiation between species may have meant hybrids were unable to occupy either parental niche (Skovrind et al., 2019) and were therefore strongly selected against. A lack of significant contribution from hybrids to the parental gene pools may be why we observe contemporary hybrids, despite lacking evidence of this in the hPSMC analysis.

Conclusions

Allopatric speciation is generally considered the most common mode of speciation, as the absence of gene flow due to geographical isolation can most easily explain the evolution of ecological, behavioural, morphological, or genetic differences between populations (Norris and Hull, 2012). However, our findings suggest that within Delphinoidea, speciation in the presence of gene flow was commonplace, consistent with sympatric/parapatric speciation, or allopatric speciation and secondary contact.

The ability for gene flow events to occur long after initial divergence may also explain the presence of contemporaneous hybrids between several species. In parapatric speciation, genetic isolation is achieved relatively early due to geographical and biological isolation, but species develop complete reproductive isolation relatively slowly, through low levels of migration or secondary contact events allowing hybridization to continue for an extended period of time (Norris and Hull, 2012). The prevalence of this mode of speciation in cetaceans, as suggested by our study and previous genomic analyses (Árnason et al., 2018; Moura et al., 2020), may reflect the low energetic costs of dispersing across large distances in the marine realm (Fish et al., 2008; Williams, 1999) and the relative absence of geographic barriers preventing such dispersal events (Palumbi, 1994). Both factors are believed to be

350 important in facilitating long-distance (including inter-hemispheric and inter-oceanic)
351 movements in many cetacean species (Stone et al., 1990).
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Our study shows that speciation in Delphinoidea was a complex process and involved
multiple ecological and evolutionary factors. Our results take a step towards resolving the
enormous complexity of speciation through a multifaceted analysis of nuclear genomes.
However, we also uncover difficulties in precisely interpreting some results due to the high
levels of divergence between species included in the analysis Despite this, we are still able to
form hypotheses about general patterns and major processes we uncovered in our data that we
hope can be addressed as more genomic data and new analyses become available.
360
361 **Methods**
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Data collection
We downloaded the assembled genomes and raw sequencing reads from nine toothed
whales from the superfamily Delphinoidea. The data included five Delphinidae: Indo-Pacific
white-sided dolphin (NCBI Biosample: SAMN09386610), Indo-Pacific bottlenose dolphin
(NCBI Biosample: SAMN06289676), bottlenose dolphin (NCBI Biosample:
SAMN09426418), killer whale (NCBI Biosample: SAMN01180276), and long-finned pilot
whale (NCBI Biosample: SAMN11083132); two Phocoenidae: harbour porpoise (Autenrieth
et al., 2018), finless porpoise (NCBI Biosample: SAMN02192673); and two Monodontidae:
beluga (NCBI Biosample: SAMN06216270), narwhal (NCBI Biosample: SAMN10519625).
To avoid biases that may occur when mapping to an ingroup reference (Westbury et al.,
2019), we used the assembled baiji genome (Genbank accession code: GCF_000442215.1) as
mapping reference in the gene flow analyses. Delphinoidea and the baiji diverged ~24.6 Ma
(95% CI 25.2 - 23.8 Ma) (McGowen et al., 2020).
376
Initial data filtering
To determine which scaffolds were most likely autosomal in origin, we identified
putative sex chromosome scaffolds for each genome, and omitted them from further analysis.
We found putative sex chromosome scaffolds in all ten genomes by aligning the assemblies
to the Cow X (Genbank accession: CM008168.2) and Human Y (Genbank accession:
NC_000024.10) chromosomes. Alignments were performed using satsuma synteny v2.1
(Grabherr et al., 2010) with default parameters. We also removed scaffolds smaller than 100
kb from all downstream analyses.
385
Mapping
We trimmed adapter sequences from all raw reads using skewer v0.2.2 (Jiang et al.,
2014). We mapped the trimmed reads to the baiji for downstream gene flow analyses, and to
the species-specific reference genome for downstream demographic history and genetic
diversity analyses using BWA v0.7.15 (Li and Durbin, 2009) and the mem algorithm. We
parsed the output and removed duplicates and reads with a mapping quality lower than 30
with SAMtools v1.6 (Li et al., 2009). Mapping statistics can be found in supplementary tables
S7 and S8.

Sliding-window phylogeny

For the sliding-window phylogenetic analysis, we created fasta files for all individuals mapped to the baiji genome using a consensus base call (-dofasta 2) approach in ANGSD v0.921 (Korneliussen et al., 2014), and specifying the following filters: minimum read depth of 5 (-mininddepth 5), minimum mapping quality of 30 (-minmapq 30), minimum base quality (-minq 30), only consider reads that map to one location uniquely (-uniqueonly 1), and only include reads where both mates map (-only_proper_pairs 1). All resultant fasta files, together with the assembled baiji genome, were aligned, and sites where any individual had more than 50% missing data were filtered before performing maximum likelihood phylogenetic analyses in a non-overlapping sliding-window approach using RAxML v8.2.10 (Stamatakis, 2014). We performed this analysis four times independently, specifying a different window size each time (50 kb, 100 kb, 500 kb, and 1 Mb). We used RAxML with default parameters, specifying baiji as the outgroup, and a GTR+G substitution model. We computed the genome-wide majority rule consensus tree for each window size in PHYLIP (Felsenstein, 2005), with branch support represented by the proportion of trees displaying the same topology. We simultaneously visualised all trees of the same sized window using DensiTree (Bouckaert, 2010).

We tested whether results may be linked to GC content in the 50kb windows. To do this, we calculated the GC content for each window and binned the windows into three bins: The 33% with the lowest levels of GC content, the 33% with intermediate levels, and the 33% with the highest levels of GC content.

Quantifying Introgression via Branch Lengths (QuIBL)

To test hypotheses of whether phylogenetic discordance between all possible triplets can be explained by ILS alone, or by a combination of ILS and gene flow, we implemented QuIBL (Edelman et al., 2019) and two different datasets. The first dataset leveraged the results of the above 50 kb-window analysis, by taking every twentieth tree from the 50kb sliding-window analysis and running it through QuIBL. The second dataset was created specifically for this test, and contained topologies generated from 20 kb windows with a 1 Mb slide using the phylogenetic methods mentioned above. We ran QuIBL specifying the baiji as the overall outgroup (totaloutgroup), to test either ILS or ILS with gene flow (numdistributions 2), the number of total EM steps as 50 (numsteps), and a likelihood threshold of 0.01. We determined significance of gene flow by comparing the BIC1 (ILS alone) and BIC2 (assuming ILS and gene flow). If BIC2 was lower than BIC1, with a difference of greater than 10, then we assumed incongruent topologies arose due to both ILS and gene flow. Triplet topologies supporting the species tree, and those that had <5 alternative topologies were excluded from interpretations.

D-statistics

To test for signs of gene flow in the face of incomplete lineage sorting (ILS), we ran D-statistics using all individuals mapped to the baiji genome in ANGSD, using a consensus base call approach (-doabbababa 2), specifying the baiji sequence as the ancestral outgroup sequence, and the same filtering as for the fasta file construction with the addition of setting

the block size as 1Mb (-blocksize). Significance of the results was evaluated using a block

jackknife approach with the Rscript provided in the ANGSD package. |Z| > 3 was deemed

significant.

D-foil

As D-statistics only tests for the presence and not the direction of gene flow, we ran

D-foil (Pease and Hahn, 2015), an extended version of the D-statistics, which is a five-taxon

test for gene flow, making use of all four combinations of the potential D-statistics

topologies. For this analysis, we used the same fasta files constructed above, which we

converted into an mvf file using MVFtools (Pease and Rosenzweig, 2018). We specified the

5-taxon [[H1, H2], [H3, H4], baiji], for all possible combinations, following the species tree

(McGowen et al., 2020)Fig. 1) and a 100 kb window size. All scaffolds were trimmed to the

nearest 100 kb to avoid the inclusion of windows shorter than 100 kb.

Mutation rate estimation

For use in the downstream demographic analyses, we computed the mutation rate per

generation for each species. To do this, we estimated the pairwise distances between all

ingroup species mapped to the baiji, using a consensus base call in ANGSD (-doIBS 2), and

applying the same filters as above, with the addition of only considering sites in which all

individuals were covered (-minInd). The pairwise distances used in this calculation were

those from the closest lineage to the species of interest (Supplementary tables S9 and S10).

The mutation rates per generation were calculated using the resultant pairwise distance as

follows: mutation rate = pairwise distance x generation time / 2 x divergence time.

Divergence times were taken from the full dataset 10-partition AR (mean) values from

McGowen et al. (McGowen et al., 2020) (Supplementary table S10). Generation times were

taken from previously published data (Supplementary table S11).

Cessation of gene flow

To estimate when gene flow may have ceased between each species pair, we used the

F1-hybrid PSMC (hPSMC) approach (Cahill et al., 2016). As input we used the haploid

consensus sequences mapped to the baiji that were created for the phylogenetic analyses.

Despite the possibility of producing consensus sequences when mapping to a conspecific

reference genomes, we chose the baiji for all comparisons as previous analyses have shown

the choice of reference genome to not influence the results of hPSMC (Westbury et al.,

2019). We merged the haploid sequences from each possible species pair into pseudo-diploid

sequences using the scripts available in the hPSMC toolsuite. We independently ran each

resultant species pair pseudo-diploid sequences through PSMC, specifying atomic intervals

4+25*2+4+6. We plotted the results using the average (i) mutation rate per generation and (ii)

generation time for each species pair being tested. From the output of this analysis, we

visually estimated the pre-divergence Ne of each hPSMC plot (i.e. Ne prior to the point of

asymptotic increase in Ne) to be used as input for downstream simulations. Based on these

empirical results, we ran simulations in ms (Hudson, 2002) using the estimated pre-

divergence Ne, and various predefined divergence times to find the interval in which gene

flow may have ceased between a given species pair. The time intervals and pre-divergence

Ne for each species pair used for the simulations can be seen in supplementary table S12. The
ms commands were produced using the scripts available in the hPSMC toolsuite. We plotted
the simulated and empirical hPSMC results to find the simulations with an asymptotic
increase in Ne closest to, but not overlapping with, the empirical data. The predefined
divergence times of the simulations showing this pattern within 1.5x and 10x of the pre-
divergence Ne were taken as the time interval in which gene flow ceased.
488
489 We repeated the above analysis for three species pairs bottlenose/Indo-Pacific
490 bottlenose dolphins, beluga/narwhal, and beluga/bottlenose dolphin, but with an additional
491 step, where we masked repeat elements of the haploid genomes using bedtools (Quinlan,
492 2014) and the repeat annotations available on Genbank. Once we masked the repeat elements,
493 we reran the hPSMC analysis as above.
494
Heterozygosity
As a proxy for species-level genetic diversity, we estimated autosome-wide
heterozygosity for each of the nine Delphinoidea species. We estimated autosomal
heterozygosity using allele frequencies (-doSaf 1) in ANGSD (Korneliussen et al., 2014),
taking genotype likelihoods into account (-GL 2) and specifying the same filters as for the
fasta file construction with the addition of adjusting quality scores around indels (-baq 1), and
the subsample filter (-downSample), which was uniquely set for each individual to result in a
20x genome-wide coverage, to ensure comparability between genomes of differing coverage.
Heterozygosity was computed from the output of this using realSFS from the ANGSD
toolsuite and specifying 20 Mb windows of covered sites (-nSites).
505
Demographic reconstruction
To determine the demographic histories of all nine species over a two million year
time scale, we ran a Pairwise Sequentially Markovian Coalescent model (PSMC) (Li and
Durbin, 2011) on each diploid genome independently. We called diploid genome sequences
using SAMtools and BCFtools v1.6 (Narasimhan et al., 2016), specifying a minimum quality
score of 20 and minimum coverage of 10. We ran PSMC specifying atomic intervals
4+25*2+4+6 and performed 100 bootstrap replicates to investigate support for the resultant
demographic trajectories. PSMC outputs were plotted using species-specific mutation rates
and generation times (Supplementary table S11).
515
Figure legends:
517
Figure 1: Sliding-Window Maximum likelihood trees of nine Delphinoidea species and
the baiji. Simultaneously plotted trees constructed using non-overlapping sliding windows of
(A) 50 kb in length and (B) 1 Mb in length. Black lines show the consensus tree. Grey lines
show individual trees. Numbers on branches show the proportion of windows supporting the
node. Branches without numbers show 100% support. Baiji, killer whale, white-sided
dolphin, pilot whale, harbour porpoise, finless whale, beluga, and narwhal silhouettes: Chris
huh, license CC-BY-SA-3.0 (https://creativecommons.org/licenses/by-sa/3.0/). Bottlenose
dolphin silhouette: license Public Domain Dedication 1.0.

Figure 2: Estimated divergence times (dark colour) and time intervals during which gene flow ceased (light colour) between species (A) within families and (B) between families. Estimated time intervals of when gene flow ceased between species pairs are based on hPSMC results and simulated data. Divergence time estimates are taken from the full dataset 10-partition AR results of McGowen et al 2020.

Figure 3: Autosome-wide heterozygosity and demographic histories over the last two million years. (A) Autosome-wide levels of heterozygosity calculated in 20 Mb windows of consecutive bases. (B-D) Demographic history of all studied species within (B) Delphinidae, (C) Phocoenidae, and (D) Monodontidae, estimated using PSMC. Thick coloured lines show the autosome-wide demographic history. Faded lines show bootstrap support values.

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Author contributions

Conceptualization, MVW; Formal analysis, MVW, AAC, AR-I, BDC, SH; Writing – Original Draft MVW; Writing – Review & Editing All authors; Supervision, MVW, EDL; Funding Acquisition, EDL;

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A

0.46
0.47
0.99

Baiji
Killer whale
White-sided dolphin
Pilot whale
Bottlenose dolphin
Indo bottlenose dolphin
Harbour porpoise
Finless porpoise
Beluga
Narwhal

0.01   0

B

0.91
0.85

0   0.01

● Outgroup   ● Delphinidae   ● Phocoenidae   ● Monodontidae

A Within families

Bottlenose	Killer whale	
Bottlenose	Pilot whale	
Bottlenose	White-sided	
IndoBottlenose	Bottlenose	
IndoBottlenose	Killer whale	
IndoBottlenose	Pilot whale	
IndoBottlenose	White-sided	
Pilot whale	Killer whale	
White-sided	Killer whale	
White-sided	Pilot whale	
Harbour	Finless	
Beluga	Narwhal	

20 15 10 5 0

Millions of years ago (Ma)

Baiji
Killer whale
White-sided
Pilot whale
Bottlenose
IndoBottlenose
Harbour
Finless
Beluga
Narwhal

B Between families

Bottlenose	Finless	
Bottlenose	Harbour	
IndoBottlenose	Finless	
IndoBottlenose	Harbour	
Killer whale	Finless	
Killer whale	Harbour	
Pilot whale	Finless	
Pilot whale	Harbour	
White-sided	Finless	
White-sided	Harbour	
Bottlenose	Beluga	
Bottlenose	Narwhal	
IndoBottlenose	Beluga	
IndoBottlenose	Narwhal	
Killer whale	Beluga	
Killer whale	Narwhal	
Pilot whale	Beluga	
Pilot whale	Narwhal	
White-sided	Beluga	
White-sided	Narwhal	
Beluga	Finless	
Beluga	Harbour	
Narwhal	Finless	
Narwhal	Harbour	

20 15 10 5 0

Millions of years ago (Ma)

🔴 Delphinidae   🟠 Delphinidae / Phocoenidae   ⊢●⊣ Divergence time

🟡 Phocoenidae   🟣 Delphinidae / Monodontidae   ⊢⊣ End of gene flow

🔵 Monodontidae   🟢 Monodontidae / Phocoenidae