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The adaptive benefit of evolved increases in hemoglobin-O_2 affinity is

contingent on tissue O_2 diffusing capacity in high-altitude deer mice

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Abstract

Background. Complex organismal traits are often the result of multiple interacting genes and

sub-organismal phenotypes, but how these interactions shape the evolutionary trajectories of

adaptive traits is poorly understood. We examined how functional interactions between

cardiorespiratory traits contribute to adaptive increases in the capacity for aerobic thermogenesis

(maximal O_2 consumption, $V\text{O}_2$max, during acute cold exposure) in high-altitude deer mice

(*Peromyscus maniculatus*). We crossed highland and lowland deer mice to produce F_2 inter-

population hybrids, which expressed genetically based variation in hemoglobin (Hb) O_2 affinity on

a mixed genetic background. We then combined physiological experiments and mathematical

modeling of the O_2 transport pathway to examine links between cardiorespiratory traits and

$\dot{V}O_2$max.

Results. Physiological experiments revealed that increases in Hb-O_2 affinity of red blood cells

improved blood oxygenation in hypoxia, but were not associated with an enhancement in

$\dot{V}O_2$max. Sensitivity analyses performed using mathematical modeling showed that the

influence of Hb-O_2 affinity on $\dot{V}O_2$max in hypoxia was contingent on the capacity for O_2 diffusion

in active tissues.

Conclusions. These results suggest that increases in Hb-O_2 affinity would only have adaptive

value in hypoxic conditions if concurrent with or preceded by increases in tissue O_2 diffusing

capacity. In high-altitude deer mice, the adaptive benefit of increasing Hb-O_2 affinity is contingent

on the capacity to extract O_2 from the blood, which helps resolve controversies about the general

role of hemoglobin function in hypoxia tolerance.

Keywords

Evolutionary physiology, high-altitude adaptation, O_2 transport pathway, complex trait evolution,

hemoglobin adaptation

Background

A long-standing goal of evolutionary biology is to understand how the functional integration of

traits influences patterns of phenotypic change and adaptation (1). Complex physiological

phenotypes often represent an emergent property of functional interactions among different

tissues and organ systems, which in turn may be developmentally interrelated and genetically

correlated. The functional, developmental, and genetic interdependence of traits may facilitate

environmental adaptation if semi-autonomous components of a complex phenotype respond

synergistically to selection. Alternatively, functional integration and genetic correlations among

components of a trait can limit and channel pathways of phenotypic evolution (2, 3). Evolutionary

questions about phenotypic integration and adaptation can be addressed most profitably by

examining well-defined traits with well-characterized functions and well-documented associations

with fitness under natural conditions.

The capacity for aerobic thermogenesis in small mammals at high altitude is a complex

performance trait that is well suited to experimental studies of how patterns of phenotypic

integration affect the process of adaptation. At high altitude, cold temperatures challenge the

ability of endotherms to maintain body temperature and activity, which is especially difficult in

smaller animals that have high surface area to volume ratios. Unsurprisingly, aerobic

thermogenesis (quantified as maximal O_2 consumption, $V\text{O}_2$max, during acute cold exposure) in

hypoxia is under strong directional selection in some small mammals at high altitude (4), which

have evolved higher thermogenic $V\text{O}_2$max (5-9). Thermogenic $V\text{O}_2$max is supported by the

integrated function of the O_2 transport pathway, the conceptual steps (ventilation, pulmonary

diffusion, circulation, tissue diffusion, and mitochondrial O_2 utilization) involved in transporting O_2

from inspired air to thermogenic tissues where O_2 is used by mitochondria to support oxidative

phosphorylation (10, 11). Therefore, studies of thermogenic $V\text{O}_2$max in high-altitude natives are

ideal for understanding the mechanisms underlying the adaptive evolution of complex traits.

Evolved increases in the O_2 affinity of hemoglobin (Hb) are pervasive in high-altitude

taxa, and have become classic examples of biochemical adaptation (12). However, the nature of

the direct adaptive benefit conferred by increases in Hb-O_2 affinity in highland species is

controversial. Many highland taxa have evolved increases in Hb-O_2 affinity independently, and in

many cases, the molecular mechanisms underlying these changes in protein function are

documented in detail (12-18). These increases in Hb-O_2 affinity are often presumed to safeguard

arterial O_2 saturation in hypoxia and thus help improve tissue O_2 delivery and aerobic capacity (5,

6, 19-26), although this has rarely been tested. Nonetheless, the relationship between Hb-O_2

affinity and $V\text{O}_2$max in hypoxia remains contentious (12, 25, 27-29). Theoretical modeling of the

O_2 transport pathway in humans suggests that increases in Hb-O_2 affinity do not increase aerobic

capacity in hypoxia on their own (30), because the advantage of increasing Hb-O_2 affinity may be

offset by a trade-off in O_2 offloading at tissues (11, 31, 32). A recent study in humans with rare

genetic Hb variants found that increases in Hb-O_2 affinity attenuated the hypoxia-induced decline

in aerobic capacity, but subjects with high Hb-O_2 affinity also had compensatory polycythemia

(33). Considering the strong functional integration of Hb within the O_2 transport pathway, the

advantages of increasing Hb-O_2 affinity in high-altitude taxa may be contingent on the evolution of

other cardiorespiratory traits, but this has not been experimentally investigated.

We sought to determine the effects of evolved increases in Hb-O_2 affinity in high-altitude

deer mice (*Peromyscus maniculatus*) on thermogenic $V\mathrm{O}_2$max in hypoxia, and to examine

whether the adaptive benefit of changes in Hb-O_2 affinity is contingent on other cardiorespiratory

changes. Deer mice have the broadest altitudinal range of any North American mammal (34),

ranging from near sea level to montane environments up to approx. 4,350 m above sea level

(35), and high-altitude populations have evolved elevated thermogenic $V\mathrm{O}_2$max in hypoxia in

response to directional selection (4-9). In conjunction with a higher $V\mathrm{O}_2$max in chronic hypoxia,

high-altitude deer mice also exhibit higher pulmonary O_2 extraction, arterial O_2 saturation, cardiac

output, and tissue O_2 extraction than their lowland counterparts (9, 36). The latter is associated

with several evolved changes in skeletal muscle phenotype and mitochondrial function (37-41).

Highlanders have also evolved a higher Hb-O_2 affinity as a result of amino acid replacements in

duplicated genes that encode the α- and β-chain subunits of the $\alpha_2\beta_2$ Hb tetramer (5, 6, 15, 20,

21, 23, 24, 26, 34, 42). This evolved increase in Hb-O_2 affinity in highlanders is not

complemented by an enhanced Bohr effect to augment O_2 unloading (43). We initially

hypothesised that these evolved increases in Hb-O_2 affinity would be responsible for higher

thermogenic capacity in highland deer mice, compared to their lowland conspecifics. To

investigate the effect of genetically based changes in Hb-O_2 affinity on whole-animal performance

in hypoxia, we created F_2 hybrids between high- and low-altitude deer mice (F_2 intercross

breeding design) to randomize associations between allelic globin variants, and we then

examined the effects of α- and β-globin variants on red blood cell P_{50} (the O_2 pressure, $P\mathrm{O}_2$, at

which Hb is 50% saturated), arterial O_2 saturation, thermogenic $V\mathrm{O}_2$max, and other

physiological traits on an admixed genetic background (see Additional file 1: Fig. S1 for graphical

overview of experimental design). We performed physiological measurements before and after

chronic exposure to hypoxia to test for effects of Hb genotype on trait-specific acclimation

responses. We then used our empirical data in an *in silico* model of the O_2 transport pathway to

examine the interactive effects of Hb-O_2 affinity and the O_2 diffusing capacity of tissues (D_TO_2) on

$\dot{V}O_2$max. Our results suggest that increases in Hb-O_2 affinity only contribute to the adaptive

enhancement of thermogenic $\dot{V}O_2$max in hypoxia if accompanied by a corresponding increase

in D_TO_2 to augment tissue O_2 extraction.

Results

We measured thermogenic $\dot{V}O_2$max, arterial O_2 saturation, and other cardiorespiratory traits *in*

vivo during acute exposure to cold heliox in normoxia (21% O_2) and hypoxia (12% O_2) in male

and female F_2 hybrid mice (mean ± SEM of body mass before hypoxia acclimation, 23.8 ± 0.9 g;

see Additional file 2: Fig. S2 for body masses for each genotype before and after hypoxia

acclimation) that possessed a diverse array of different α- and β-globin genotypes. We also

performed *in vitro* measurements of red blood cell P_{50} using erythrocyte suspensions from the

same set of mice. The F_2 hybrids were generated by crossing wild mice from populations at high

and low altitudes to produce F_1 inter-population hybrids, followed by full-sibling matings to create

4 families of F_2 hybrid progeny with admixed genetic backgrounds. Measurements of

physiological phenotypes were made before and after a 6-week acclimation period to hypobaric

hypoxia (12 kPa O_2, simulating ~4,300 m above sea level). In general, hypoxia acclimation was

associated with increased $\dot{V}O_2$max in hypoxia, along with increases in pulmonary ventilation,

arterial O_2 saturation, heart rate, hematocrit (Hct) and blood Hb concentration ([Hb]), but also

increases in red blood cell P_{50} (Fig. 1, Additional file 3: Tables S1 and S2). However, hypoxia

acclimation did not affect $\dot{V}O_2$max under normoxic conditions. Below, we describe the effects of

Hb genotype on thermogenic VO$_2$max and hematological traits in mice acclimated to normoxia,

and then we describe how Hb genotype affects acclimation responses to chronic hypoxia.

Genetically based decreases in red blood cell P_{50} improved arterial O$_2$ saturation in

hypoxia. In normoxia-acclimated mice, there was a significant main effect of Hb genotype on red

blood cell P_{50} (P = 0.0048; Fig. 2A, Additional file 3: Table S3), which appeared to be largely

attributable to the effects of α-globin variants. Mice possessing highland α-globin variants had a

lower red blood cell P_{50} compared to those possessing lowland variants, reflecting a higher

affinity for O$_2$. In contrast, Hb genotype did not affect Hct (P = 0.8339), [Hb] (P = 0.9351), or the

Hill coefficient (*n*) that quantifies the cooperativity of Hb-O$_2$ binding (P = 0.8053; Additional file 4:

Fig. S3, Additional file 3: Table S3).

Arterial O$_2$ saturation varied in association with red blood cell P_{50} in hypoxia, but not in

normoxia. There were significant main effects of both Hb genotype (P = 0.0189) and inspired PO$_2$

(P < 0.0001) on arterial O$_2$ saturation at VO$_2$max, with mice exhibiting reduced saturation in

hypoxia (Fig. 2B, Additional file 3: Table S3). However, the effect of inspired PO$_2$ on arterial O$_2$

saturation was influenced by genotype (genotype x PO$_2$ interaction, P = 0.0389), as mice with the

highland α-globin genotype exhibited a smaller reduction in arterial O$_2$ saturation under hypoxia

compared to those with the lowland genotype. Consequently, mice with highland α-globin

maintained 9-14% higher arterial O$_2$ saturation on average than those with lowland α-globin at

hypoxic VO$_2$max. Higher red blood cell O$_2$ affinity was associated with higher arterial O$_2$

saturation in hypoxia, as indicated by a significant negative relationship between arterial O$_2$

saturation and red blood cell P_{50} (P = 0.0103, R^2 = 0.2441; Fig. 2C).

Genetically based variation in red blood cell P_{50} and arterial O$_2$ saturation had no effect on

thermogenic VO$_2$max in hypoxia. VO$_2$max was significantly reduced in hypoxia compared to

normoxia by ~24% on average (P < 0.0001; Fig. 3A, Additional file 3: Table S3). However,

although Hb genotype had a significant main effect on VO$_2$max (P = 0.0416), VO$_2$max in

hypoxia did not follow the pattern of variation seen for arterial O_2 saturation. As such, hypoxic

$V O_2$max was not correlated with arterial O_2 saturation in hypoxia (Fig. 3B). Instead, the

observed variation in $V O_2$max appeared to be associated with variation in heart rate, which was

also significantly affected by inspired PO_2 (P < 0.0001), though the effect of genotype was only

marginally significant (P = 0.0545; Additional file 5: Fig. S4A, Additional file 3: Table S3). Total

ventilation, tidal volume, and breathing frequency were unaffected by Hb genotype (Additional file

5: Fig. S4, Additional file 3: Table S3).

Hb genotype influenced the acclimation responses of red blood cell P_{50} and arterial O_2

saturation to chronic hypoxia. There were main effects of hypoxia acclimation that tended to

increase both red blood cell P_{50} (P = 0.0002) and arterial O_2 saturation measured at $V O_2$max in

hypoxia (P = 0.0005), but the acclimation response appeared to differ between genotypes (Fig. 4,

Additional file 3: Table S2). Mice with the lowland α-globin variant exhibited no plasticity in red

blood cell P_{50} in response to hypoxia acclimation, whereas mice with highland α-globin increased

red blood cell P_{50} to values that were comparable to mice with lowland α-globin. Conversely, mice

with lowland α-globin showed much greater plasticity in arterial O_2 saturation in hypoxia following

hypoxia acclimation, with all individuals increasing saturation (on average by ~13% saturation

units). Mice with highland α-globin showed little to no change in saturation after hypoxia

acclimation. Hypoxia acclimation increased Hct (P < 0.0001) and [Hb] (P < 0.0001; Fig. 1), but

neither these traits nor the Hill coefficient were influenced by Hb genotype (Additional file 4: Fig.

S3, Additional file 3: Table S2).

$V O_2$max in hypoxia increased after hypoxia acclimation (P = 0.0013), but this response

was not influenced by Hb genotype (P = 0.1764; Additional file 6: Fig. S5, Additional file 3: Table

S2). The magnitude of change in hypoxic $V O_2$max following hypoxia acclimation was not

associated with the magnitude of change in arterial O_2 saturation (Fig. 4C). Hypoxia acclimation

also increased heart rate (P = 0.0031), total ventilation, (P < 0.0001), tidal volume (P = 0.0005),

and breathing frequency (P < 0.0001) measured at $V O_2$max in hypoxia, but none of these traits

were affected by Hb genotype (Additional file 7: Fig. S6, Additional file 3: Table S2). Normoxic

VO$_2$max was not affected by hypoxia acclimation or Hb genotype, nor were the measurements

of heart rate, total ventilation, tidal volume, or breathing frequency at normoxic VO$_2$max affected

by Hb genotype (Additional file 8: Fig. S7, Additional file 3: Table S4). However, there was a main

effect of genotype on arterial O$_2$ saturation measured at VO$_2$max in normoxia (P = 0.0291) that

appeared to result from slightly lower saturation values in mice with characteristic lowland α- and

β-globin genotypes ($\alpha^{LL}\beta^{LH}$; Additional file 8: Fig. S7B, Additional file 3: Table S4).

Sensitivity analysis suggested that effects of Hb-O$_2$ affinity on VO$_2$max in hypoxia are

contingent on tissue O$_2$ diffusing capacity (D$_T$O$_2$). We examined the interactive effects of Hb-

O$_2$ affinity and D$_T$O$_2$ on VO$_2$max in hypoxia using a mathematical model of O$_2$ flux through the

O$_2$ transport pathway. We generated the initial solutions of the model using empirical data

collected for deer mice, and then performed a sensitivity analysis to determine the effects of

increasing D$_T$O$_2$ on VO$_2$max at each of the red blood cell P_{50} values for mice with characteristic

highland ($\alpha^{HH}\beta^{HH}$) and lowland ($\alpha^{LL}\beta^{LH}$) Hb genotypes. Increasing D$_T$O$_2$ by 50% increased

VO$_2$max, but the effect was greater with the P_{50} of the high-affinity $\alpha^{HH}\beta^{HH}$ genotype (11.8%)

than with the lower affinity $\alpha^{LL}\beta^{LH}$ genotype (8.5%; Fig. 5A). The effect of P_{50} was accentuated

when D$_T$O$_2$ was increased above 41%, when venous PO$_2$ (and thus venous O$_2$ saturation) fell to

zero at the higher P_{50} (Fig. 5B). These results indicate that an increase in Hb-O$_2$ affinity only

contributes to an enhancement of VO$_2$max in hypoxia if it is paired with an increase in D$_T$O$_2$ in

thermogenic tissues (i.e., skeletal muscle and/or brown adipose tissue).

Discussion

Our study provides evidence that the adaptive benefit of increasing Hb-O$_2$ affinity is contingent on

the capacity of active tissues to extract O$_2$ from the blood. In agreement with previous studies (5,

6, 20, 21, 24, 26, 43), our data from F$_2$ inter-population hybrids demonstrate that Hb variants from

high-altitude deer mice confer a higher Hb-O_2 affinity than Hb from lowland conspecifics, and that

this evolved increase in affinity augments arterial O_2 saturation in hypoxia by 9-14%. However,

these genetically based changes alone did not augment $V\dot{}O_2$max (*i.e.*, aerobic performance) in

hypoxia. Modeling of the O_2 transport pathway revealed that increases in Hb-O_2 affinity would

only be expected to enhance $V\dot{}O_2$max in hypoxia if O_2 diffusing capacity were increased to

augment tissue O_2 extraction. Importantly, recent evidence suggests that high-altitude mice have

evolved a highly aerobic skeletal muscle phenotype with an enhanced capacity for O_2 diffusion

(37-41). In particular, the gastrocnemius muscle of highland deer mice has greater capillary

density and a redistribution of mitochondria to a subsarcolemmal location that is closer to

capillaries, each of which would increase O_2 diffusing capacity. Our results therefore suggest that

increases in both Hb-O_2 affinity and tissue O_2 diffusing capacity likely contributed to the adaptive

increases in $V\dot{}O_2$max in high-altitude deer mice. These findings suggest the testable hypothesis

that other hypoxia-adapted, high-altitude vertebrates that have evolved derived increases in Hb-

O_2 affinity will also have evolved increases in tissue capillarity and/or other changes that augment

O_2 diffusing capacity.

230   The genetically based differences in Hb function led to predictable differences in arterial

O_2 saturation during acute and chronic hypoxia. Amino acid variation in Hb genes is not always

associated with changes in O_2-binding properties (16, 44), and even in cases where it has been

possible to document causal effects of specific mutations on Hb function (13, 15, 23-26, 34, 42,

45-48), the *in vivo* effects on blood oxygenation have rarely been examined. Our study suggests

that it is critically important to examine how genetic changes in proximal biochemical phenotypes

affect higher-level physiological phenotypes (*e.g.*, arterial O_2 saturation and $V\dot{}O_2$max in hypoxia)

to fully understand their potential adaptive significance.

238   Genetic variation in Hb altered the acclimation response to chronic hypoxia, as highland

α-globin genotypes were associated with increased plasticity in Hb-O_2 affinity of red blood cells.

This variation was likely a result of differences in sensitivity to 2,3-diphosphoglycerate (2,3-DPG),

an allosteric modulator of Hb-O_2 affinity. Concentrations of 2,3-DPG in erythrocytes are known to

increase in response in chronic hypoxia, which tends to reduce red blood cell Hb-O_2 affinity (49-

53). Previous studies have shown that Hb from high-altitude deer mice are more sensitive to 2,3-

DPG in the presence of Cl$^-$ than Hb from low-altitude mice (24, 26). Therefore, in the current

study, if red blood cell concentrations of 2,3-DPG were comparable across genotypes,

differences in 2,3-DPG sensitivity could explain the differences in plasticity of red blood cell Hb-O_2

affinity. This mechanism may also explain why genotypes differed in the magnitude of plasticity in

arterial O_2 saturation in response to chronic hypoxia. Several physiological adjustments contribute

to increasing arterial O_2 saturation after hypoxia acclimation, including increases in total

ventilation (Fig. 1) and adjustments in lung function to augment pulmonary O_2 diffusion (36, 54),

and these effects could potentially be counteracted by reductions in red blood cell Hb-O_2 affinity.

Such reductions in affinity did not occur in mice with lowland α-globin, such that they experienced

greater improvements in arterial O_2 saturation after hypoxia acclimation.

Our results indicate that the adaptive benefit of increasing Hb-O_2 affinity is contingent on

the O_2 diffusing capacity of active tissues. Our study provides empirical evidence that genetically

based increases in Hb-O_2 affinity and arterial O_2 saturation alone are not sufficient to improve

aerobic capacity in hypoxia. We also demonstrate that the adaptive benefit of increasing Hb-O_2

affinity is contingent on having a tissue O_2 conductance (D_TO_2) that is sufficiently high to take

advantage of the greater arterial O_2 saturation and extract more O_2 from the blood. The

relationship between Hb-O_2 affinity and $V\square O_2$max in hypoxia is a contentious topic (12, 25, 28),

with different empirical studies and theoretical models providing contradictory results (5, 27, 29,

30, 33, 55). In fact, previous investigation in deer mice has shown that mice possessing highland

α-globin alleles with higher Hb-O_2 affinity did have higher $V\square O_2$max in hypoxia than mice with

lowland α-globin haplotypes (5). However, in this previous study (in which genotyping was based

on protein electrophoresis), different α-globin alleles were backcrossed into a highland genetic

background (5), unlike the current study in which alternative allelic variants were randomized

against an admixed highland/lowland background. As discussed above, highland deer mice

appear to have evolved a higher capacity for O_2 diffusion and utilization in skeletal muscles than

their lowland conspecifics, comparable to some differences between high-altitude and low-altitude

human populations (56). It is therefore possible that the highland mice used in this previous study

(5) had a higher D_TO_2 than the F_2 inter-population hybrids used in our present study, which would

explain the observed differences in the relative influence of Hb genotype on $V\square O_2max$. Indeed,

our modeling shows that the adaptive benefits of increasing Hb-O_2 affinity are critically dependent

on D_TO_2. Together, our findings suggest adaptive increases in $V\square O_2max$ in high-altitude deer

mice may have been facilitated by evolved increases in D_TO_2, which were required in order for

increases in Hb-O_2 affinity to confer an adaptive benefit at high-altitude.

Conclusions

Complex organismal traits are often the result of multiple interacting genes and phenotypes, but

the role of these interactions in shaping adaptive traits is poorly understood. Our findings

demonstrate that adaptive increases in thermogenic capacity result from a functional interaction

between blood hemoglobin and active tissues, in which the adaptive benefit of increasing

hemoglobin O_2 affinity is contingent on the capacity for O_2 diffusion from the blood. This helps

reconcile controversy about the general role of hemoglobin in hypoxia tolerance, and provides

insight into physiological mechanisms of high-altitude adaptation.

Methods

Animals. Wild deer mice (*Peromyscus maniculatus*) were live-trapped at high altitude on the

summit of Mount Evans (Clear Creed County, CO, USA at 39°35'18"N, 105°38'38"W; 4350 m

above sea level) and at low altitude on the Great Plains (Nine Mile Prairie, Lancaster County, NE,

USA at 40°52'12"N, 96°48'20.3"W; 430 m above sea level), and were transported to the

University of Montana (elevation 978 m). The wild mice were used to produce one family of first-

generation inter-population hybrids (F_1), created by crossing a highland male and a lowland

female. These F_1 hybrids were raised to maturity and used for full-sibling matings to produce 4

families of male and female second-generation hybrid progeny (F_2). These F_2 hybrids (n = 26)

were raised to adulthood (1-1.5 years old), a small volume of blood was obtained for genotyping

(sampled from the facial vein and then stored at -80°C), and mice were then transported to

McMaster University (near sea level) for subsequent experiments (see below). Prior to

experimentation, all mice were kept in standard holding conditions (24-25°C, 12:12-h light-dark

photoperiod) under normal atmospheric conditions, with unlimited access to water and standard

mouse chow. All animal protocols were approved by institutional animal research ethics boards.

Each mouse was genotyped for determination of α- and β-globin haplotypes. Tetrameric

hemoglobin isoforms of adult *P. maniculatus* incorporate α-chain subunits that are encoded by

two tandem gene duplicates, HBA-T1 and HBA-T2 (separated by 5.0 kb on Chromosome 8), and

β-chain subunits that are encoded by two other tandem duplicates, HBB-T1 and HBB-T2

(separated by 16.2 kb on Chromosome 1) (34, 57, 58). A reverse-transcriptase PCR (RT-PCR)

approach was used to obtain sequence data for all four of the adult-expressed α- and β-globin

transcripts (26, 34). Total RNA was extracted from red blood cells using the RNeasy Plus Mini Kit

(Qiagen, Valencia, CA, USA). Globin transcripts were then amplified from 1 μg of extracted RNA

using the One-Step RT-PCR system with Platinum Taq DNA polymerase High Fidelity

(Invitrogen, Carlsbad, CA, USA). PCR cycling was performed with 1 cycle at 50 °C for 30 min, 1

cycle at 95°C for 15 min, 34 cycles at 94°C for 30 s, 55°C for 30 s, and 72°C for 1 min, and then a

final extension cycle at 72°C for 3 min. For the α-globin transcripts, the same primer pair was

used for HBA-T1 and HBA-T2 (forward: CTGATTCTCACAGACTCAGGAAG, reverse:

CCAAGAGGTACAGGTGCGAG). For the β-globin transcripts, the same RT-PCR primer pair was

used for HBB-T1 and HBB-T2 (forward: GACTTGCAACCTCAGAAACAGAC, reverse:

GACCAAAGGCCTTCATCATTT). Gel-purified RT-PCR products were then cloned into pCR4-

TOPO vector using the TOPO TA cloning kit (Invitrogen), and automated DNA sequencing of

cloned PCR products was performed using Big Dye chemistry (ABI 3730 capillary sequencer;

Applied Biosystems, Foster City, CA, USA). For each mouse, we sequenced 6 clones containing

products of HBA-specific RT-PCR, and 6 clones containing products of HBB-specific RT-PCR.

Thus, full-length inserts representing cDNAs of all expressed HBA and HBB genes were

sequenced at 6-fold coverage, and the haplotype phase of all variable sites was determined

experimentally. We thus identified 5 distinct combinations of highland (H)- and lowland (L)-

associated α- and β-globin haplotypes: n = 5 $\alpha^{LL}\beta^{LH}$, 2 male, 3 female; n = 3 $\alpha^{LL}\beta^{HH}$, 1 male, 2

female; n = 8 $\alpha^{HH}\beta^{LL}$, 5 male, 3 female; n = 5 $\alpha^{HH}\beta^{LH}$, 5 male; and n = 5 $\alpha^{HH}\beta^{HH}$, 5 male.

Acclimation treatments. Physiological measurements (see below) were taken for each mouse

both before (mean ± SEM body mass, 23.8 ± 0.9 g) and after (23.7 ± 0.8 g) a six-week

acclimation to hypobaric hypoxia (approx. 12 kPa PO_2), approximating the O_2 levels experienced

by highland deer mice living at 4,350 m above sea level in the wild (see Additional file 2: Fig. S2

for body masses for each genotype before and after hypoxia acclimation). This was achieved by

placing mice into custom-made hypobaric chambers inside which barometric pressure was

maintained at 60 kPa using a vacuum pump, as previously described (37, 59). Mice were

removed from the chambers for <20 min twice per week for cage cleaning.

Respirometry, plethysmography, and pulse oximetry. We combined open-flow respirometry,

plethysmography and pulse oximetry to simultaneously measure aerobic capacity for

thermogenesis (thermogenic VO_2$max), pulmonary ventilation, arterial O_2 saturation, and heart

rate during acute cold (-5°C) exposure in heliox (9, 36). We used established methods of

measuring thermogenic VO_2$max that have been shown to elicit values of VO_2$max that equal

or exceed those measured during exercise VO_2$max in deer mice (22, 60, 61). These

measurements were performed twice before mice were acclimated to hypoxia: once in normoxic

heliox (21% O_2, 79% He) and once in hypoxic heliox (12% O_2, 88% He) in random order. After

hypoxia acclimation, both normoxic and hypoxic VO_2$max trials were repeated. VO_2$max was

measured inside a 530-ml plethysmography chamber that has been previously described in detail

(62), and was kept in a regulated freezer to maintain the internal chamber temperature at -5°C

(measured with a PT-6 thermocouple, Physitemp). Two days prior to initial trials, the neck fur of

each mouse was removed with Nair[TM] hair-removal product to facilitate pulse oximetry.

Immediately before each trial, each mouse was weighed, fitted with a MouseOx pulse oximetry

neck collar (Starr Life Sciences, Oakmont, PA, USA), and placed inside the chamber for 10 min

of continuous recording. Incurrent gas flowed through the animal chamber at a rate of 1500 ml

min^{-1} (controlled by an MFC-2 mass flow controller, Sable Systems, Las Vegas, NV, USA), and

was cooled before entering the plethysmograph by passing through copper coils that were also

placed in the freezer. Excurrent gas was subsampled at a rate of 200 ml min^{-1}, and dried with pre-

baked Drierite before passing through O_2 and CO_2 analyzers to determine the fractional

concentrations of each gas (FoxBox Respirometry System, Sable Systems). Breathing-induced

changes in flow across a pneumotachograph in the chamber lid were measured from pressure

oscillations in the animal chamber relative to an identical reference chamber using a differential

pressure transducer (Validyne DP45; Cancoppas, Mississauga, ON, Canada) and carrier

demodulator (Validyne CD15, Cancoppas), and signals were volume-calibrated before each trial

with 300-µl injections using a gas-tight syringe. The core body temperature (T_b) of each mouse

was obtained (RET-3-ISO; Physitemp, Clifton, NJ, USA) immediately after being removed from

the plethysmograph, and then again at room temperature exactly 24 h afterwards, allowing us to

estimate T_b at $V\dot{}O_2$max for use in tidal volume calculations (see below) by assuming that T_b

dropped linearly throughout the trial. All data were acquired and recorded using a PowerLab 8/32

and LabChart 8 Pro Software (ADInstruments, Colorado Springs, CO, USA), with the exception of

pulse oximetry data, which were obtained using Starr Life Sciences acquisition hardware and

software.

Breathing, heart rate, and arterial O_2 saturation were recorded at thermogenic $V\dot{}O_2$max

for each trial. O_2 consumption rate ($V\dot{}O_2$) was calculated from gas concentration and flow

measurements using established equations (63). $V\dot{}O_2$max was defined as the maximal $V\dot{}O_2$

measured over a 10-s period during the 10-min trial. $V\dot{}O_2$ usually increased to $V\dot{}O_2$max within

5-6 min of entering the chamber and would then decline to less than 90% $V\dot{}O_2$max, and all mice

had depressed T_b by the end of each 10-min cold exposure. Tidal volume was calculated (in

volumes at BTPS) using established equations for the barometric method in flow-through

conditions (64, 65). Total ventilation was calculated as the product of tidal volume and breathing

frequency.

Hematology. Hematology was measured both before and after hypoxia acclimation. Blood

samples were taken from the facial vein 3 days after $V\Box O_2$max measurements. We measured Hb

content using Drabkin's reagent (according to instructions from the manufacturer, Sigma-Aldrich)

and hematocrit by spinning the blood in capillary tubes at 12,700 g for 5 min. The O_2 affinity of

intact erythrocytes was measured using 10 μl blood in 5 ml buffer containing 0.1 M Hepes, 0.05 M

EDTA, 0.1 M NaCl, 0.1% bovine serum albumin, and 0.2% antifoaming agent at pH 7.4. Oxygen

dissociation curves were generated at 37 °C using a Hemox Analyzer (TCS Scientific), and red

blood cell P_{50} and Hill coefficient (n) were calculated using Hemox Analytic Software.

Statistics. We used linear mixed effects models to test for the effects of Hb genotype and

acclimation condition using the lme4 (66) package in R (v.3.1.3, R Core Team, 2013). We carried

out one set of models to examine the fixed effects of Hb genotype in normoxia-acclimated mice,

in absence of the effects of hypoxia acclimation, and with inspired PO_2 as an additional fixed

effect. We then carried out a second set of models including data from both before and after

chronic hypoxia exposure to examine the effects of Hb genotype, hypoxia acclimation, and their

interaction. We used a backwards model selection approach, in which initial models included sex,

family, and individual subject as random factors, as well as body mass as a covariate. If these

terms had P values above 0.1, they were removed by stepwise backward deletion (starting with

the term with the highest P value) and the model was re-run until all terms in the model (with the

exception of fixed factors and individual subject) had P values below 0.1. Family was thus

included in only 6 of the models (see Additional file 3: Tables S1-S4), while the effects of sex

were never significant and were removed from all models. Tukey's HSD *post hoc* tests were

performed to test for pairwise differences between genotypes within an acclimation/PO_2

treatment, and between acclimation/PO_2 treatment groups within each genotype. Data are

presented as individual values and as mean ± SEM, unless otherwise stated.

Modeling the O_2 transport pathway. Mathematical modeling of the O_2 transport pathway of deer

mice was used to determine the interactive effects of blood-O_2 affinity and tissue O_2 diffusing

capacity on $V\dot{}O_2$max in hypoxia. This was done using established equations that have been

used previously to build similar models (30, 67-71). The Fick equation describes the diffusion of

oxygen from the alveoli into the blood along capillaries in the lung:

$$\frac{d[O_2]_L}{dt} = \frac{D_L O_2}{t_L \dot{Q}} \cdot (P_A O_2 - P_L O_2)$$

413   (Equation 1)

where $[O_2]_L$ and t_L are the instantaneous O_2 content and transit time of the lung capillaries, $D_L O_2$

is the physiological O_2 diffusing capacity of the lungs, $\dot{Q}$ is cardiac output, and $P_A O_2$ and $P_L O_2$

are the PO_2 in the alveoli and instantaneously along lung capillaries, respectively. $P_L O_2$ began at

mixed venous PO_2 ($P_v O_2$) and the equation was then integrated over the length on the lung

capillaries to determine arterial PO_2 ($P_a O_2$) using the Hill equation (Equation 2) to relate $[O_2]$ and

PO_2 in the blood (dependent on blood O_2 affinity and hemoglobin content):

$$[O_2] = 4[Hb] \cdot \frac{PO_2{}^n}{PO_2{}^n + P_{50}{}^n}$$

420   (Equation 2)

421   where $[Hb]$ is the hemoglobin content of the blood, P_{50} is the PO_2 at which blood is 50% saturated

422   with O_2, and n is the Hill coefficient that describes the cooperativity of blood-O_2 binding. The Fick

423   equation also describes O_2 diffusion from the blood in tissue capillaries to the mitochondria:

$$\frac{d[O_2]_T}{dt} = \frac{-D_T O_2}{t_T \dot{Q}} \cdot (P_T O_2 - P_M O_2)$$

424   (Equation 3)

where $[O_2]_T$ and t_T are the instantaneous O_2 content and transit time of the tissue capillaries, D_TO_2

is the O_2 diffusing capacity of the tissues, and P_TO_2 and P_MO_2 are the PO_2 instantaneously along

the tissue capillaries and at mitochondria, respectively. P_MO_2 was set to zero to facilitate

modeling, but mitochondrial PO_2 is likely quite low and relatively close to zero at $\dot{V}O_2$max (69).

In this case, P_TO_2 begins at P_aO_2 and the equation is integrated along the tissue capillaries to

determine P_vO_2. Mass conservation then matches $\dot{V}O_2$max measured from O_2 extraction at the

lungs to that at tissues:

$$\dot{V}_A.(F_IO_2 - F_AO_2) = \dot{Q}.([O_2]_a - [O_2]_v)$$

432   (Equation 4)

where $\dot{V}_A$ is alveolar ventilation, F_IO_2 and F_AO_2 and the O_2 fractions of inspired and alveolar gas,

respectively, and $[O_2]_a$ and $[O_2]_v$ are arterial and venous oxygen content, respectively.

The above equations were solved using an iterative approach for the key unknown

outcome variables, P_AO_2, P_aO_2, and P_vO_2, from which $\dot{V}O_2$max was calculated. This was

achieved using a F_IO_2 of 0.123, our empirical measurements of total ventilation at hypoxic

$\dot{V}O_2$max, red blood cell P_{50} and n, and [Hb] in normoxia acclimated $\alpha^{LL}\beta^{LH}$ mice, a body mass

specific lung dead space volume of 6.4 µl g^{-1} (72) to calculate alveolar ventilation from total

ventilation, and cardiac output at hypoxic $\dot{V}O_2$max from our previous measurements in low-

altitude deer mice (36). Values for D_LO_2 and D_TO_2 were chosen by trial and error to re-produce *in*

vivo measurements of P_aO_2, P_vO_2, and $\dot{V}O_2$max. All of the initial values used to solve the model

are listed in Additional file 3: Table S5. The model was solved iteratively as follows. Using

previously recorded *in vivo* P_vO_2 (36) and an initial estimate of P_AO_2 as a starting point, Equation

1 was integrated to calculate a predicted value of P_aO_2. This P_aO_2 value was then used to

calculate P_vO_2 by integrating Equation 3. The two above steps were repeated until the P_aO_2 and

P_vO_2 values became stable to within 0.05% (< 10 iterations). $\dot{V}O_2$max was then calculated using

both the left and right sides of Equation 4. If the values did not agree to within 0.05%, P_AO_2 was

adjusted and the above steps were repeated until the left and right sides of Equation 4 were

equal to within 0.05%. Reaching a stable solution of the model generally took less than 10

iterations, and the final outcome was independent of the starting estimate of P_vO_2.

We conducted a sensitivity analysis of the effects of increasing D_TO_2 on $V\!O_2$max using

the mean for the most ancestral 'lowland' P_{50} that was measured in $\alpha^{LL}\beta^{LH}$ mice, and then again

using the mean for the 'highland' P_{50} that was measured in $\alpha^{HH}\beta^{HH}$ mice, with all other parameters

in the model kept constant (including the potential effects of variation in blood pH on P_{50}), with the

exception of P_AO_2, P_aO_2, and P_vO_2 (which were under the influence of the changes in D_TO_2 and

P_{50}). We also used the mean + SEM and mean - SEM values of P_{50} for each genotype in order to

examine the influence of biological variation in P_{50} within each genotype. $V\!O_2$max and D_TO_2

values are expressed here relative to the initial solution generated using the data here from

$\alpha^{LL}\beta^{LH}$ mice or from previous measurements in lowland deer mice (Additional file 3: Table S5),

which we have termed the 'ancestral' values. The calculations were carried out using

spreadsheet software (Microsoft Excel), as in some previous models of the O_2 transport pathway

(71), and we have included the spreadsheet template with values for the initial lowland P_{50} model

(Additional file 9: Dataset and Modeling).

Declarations

Ethics approval and consent to participate. All animal protocols were approved by institutional

animal research ethics boards.

Consent for publication. Not applicable

Availability of data and materials. The dataset supporting the conclusions of this article is

included within the article and its additional files.

Competing interests. The authors declare that they have no competing interests.

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Author's contributions. GRS, JFS, and ZAC conceived and designed the study. OHW, CMI, JPV, NG-P, SCC-S, and CN acquired the data. OHW analyzed the data. OHW and GRS interpreted the data and drafted the manuscript. All authors read and approved the final manuscript.

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Figure Legends

Figure 1. Acclimation responses to chronic hypoxia in all F_2 inter-population hybrid deer mice of

all genotypes for measurements at $V\dot{O}_2$max in normoxia (21 kPa O_2) and hypoxia (12 kPa O_2),

and for measurements of hematology. Hct, hematocrit; [Hb], blood hemoglobin content; P_{50}, O_2

pressure at 50% saturation. *P < 0.05 between measurements in normoxia versus hypoxia within

an acclimation condition. [†]P < 0.05 vs. pre-acclimation value. Bars display mean ± SEM (n = 26)

with individual data superimposed (circles).

Figure 2. Variation in red blood cell O_2 affinity and arterial O_2 saturation associated with

hemoglobin (Hb) genotype in F_2 inter-population hybrid deer mice acclimated to normoxia. **A**) Red

blood cell P_{50} (O_2 pressure at 50% saturation). **B**) Arterial O_2 saturation at $V\dot{O}_2$max measured in

normoxia (21 kPa O_2) and hypoxia (12 kPa O_2). Bars display mean ± SEM (n = 3-8) with

individual data superimposed (circles). Different α- and β- globin genotypes are shown as

superscripts with 'L' representing the lowland haplotype and 'H' representing the highland

haplotype. *P < 0.05, hypoxia vs. normoxia within a genotype. P < 0.05 between genotypes for

values not sharing a letter. **C**) Linear regression of arterial O_2 saturation in hypoxia and red blood

cell P_{50} for individual data (P = 0.0103, R^2 = 0.2441; dotted line represents 95% confidence

interval). Symbol colors reflect Hb genotype, as shown in A and B.

Figure 3. Variation in $V\dot{O}_2$max was unrelated to variation in arterial O_2 saturation in F_2 inter-

population hybrid deer mice acclimated to normoxia. **A**) $V\dot{O}_2$max measured in normoxia and

hypoxia. See Fig. 2 for details on hemoglobin (Hb) genotypes and symbols. **B**) There was no

correlation between hypoxic $V\dot{O}_2$max and arterial O_2 saturation in hypoxia (P = 0.8103) across

individuals (mean ± SEM for each genotype are shown as error bars).

Figure 4. The effects of hypoxia acclimation on red blood cell O_2 affinity and arterial O_2 saturation

differed between hemoglobin (Hb) genotypes in F_2 inter-population hybrid deer mice, but the

effects of hypoxia acclimation on $V\square O_2$max did not. **A**) Red blood cell P_{50} (O_2 pressure at which

Hb is 50% saturated) and **B**) arterial O_2 saturation at $V\square O_2$max in hypoxia, measured before and

after a 6-wk acclimation to hypobaric hypoxia (12 kPa O_2). †P < 0.05 vs. pre-acclimation value

within a genotype. P < 0.05 between genotypes within an acclimation condition for values not

sharing a letter. **C**) The change in hypoxic $V\square O_2$max plotted against the change in arterial O_2

saturation in hypoxia in individuals in response to hypoxia acclimation (mean ± SEM for each

genotype are shown as error bars). See Fig. 2 for other details on Hb genotypes.

Figure 5. Effects of increasing tissue O_2 diffusing capacity (D_TO_2) on hypoxic $V\square O_2$max and O_2

partial pressures (PO_2) using mathematical modeling of the O_2 transport pathway. **A**) Relative

changes in hypoxic $V\square O_2$max and **B**) changes in alveolar (P_AO_2), arterial (P_aO_2) and venous

(P_vO_2) PO_2 in response to relative increases in D_TO_2. Effects were modeled using the mean (bold

lines) ± SEM (fine lines) values of red blood cell P_{50} for mice with hemoglobin genotypes that

were most characteristic of lowlanders ($\alpha^{LL}\beta^{LH}$) and highlanders ($\alpha^{HH}\beta^{HH}$).

Legends to Combined Additional files

Additional file 1: Figure S1. Graphical overview of the experimental design of our study. Deer

mice from high- (H) and low- (L) altitude populations were crossed in captivity to produce F_1

interpopulation hybrids that were then mated with siblings to produce the F_2 interpopulation

hybrids that were used in our experiments before and after a 6-wk acclimation to hypobaric

hypoxia (12 kPa O_2). These hybrids were grouped based on the altitudinal origin of their α- and β-

globin genotype.

Additional file 2: Figure S2. Body mass of F_2 inter-population hybrids both before and after a 6-

wk acclimation to hypobaric hypoxia. Each individual's mass was measured before normoxic and

hypoxic $V\square O_2$max trials, with the mean of these values used to create each individual's data point

in the figure. Different α- and β- globin genotypes are shown as superscripts with [L] representing

the lowland haplotype and [H] representing the highland haplotype. There was no effect of

genotype (P = 0.2977), acclimation (P = 0.4018), or their interaction (P = 0.3362) on body mass.

Bars display mean ± SEM (n = 3-8) with individual data superimposed (circles).

Additional file 3: Tables S1-S5. Table S1 - Effects of inspired PO_2 and acclimation to hypoxia on

cardiorespiratory physiology of F_2 inter-population hybrid deer mice at $V\square O_2$max, without

accounting for effects of genotype. Table S2 - Effects of acclimation to hypoxia and globin

genotype on cardiorespiratory physiology in hypoxia of F_2 inter-population hybrid deer mice.

Table S3 - Effects of inspired PO_2 and hemoglobin genotype on cardiorespiratory physiology of F_2

inter-population hybrid deer mice acclimated to normoxia. Table S4 - Effects of acclimation to

hypoxia and globin genotype on cardiorespiratory physiology in normoxia of F_2 inter-population

hybrid deer mice. Table S5 - Parameters used to generate the initial solution in the model of the

oxygen transport pathway representing the 'ancestral condition' with the most lowland P_{50}.

Additional file 4: Figure S3. Hematology of F_2 inter-population hybrids measured before and

after a 6-wk acclimation to hypobaric hypoxia (12 kPa O_2). Hct, hematocrit; [Hb], blood

hemoglobin content. Different α- and β- globin genotypes are shown as superscripts with [L]

representing the lowland haplotype and [H] representing the highland haplotype. [†]P < 0.05 vs. pre-

acclimation value within a genotype. Bars display mean ± SEM (n = 3-8) with individual data

superimposed (circles).

Additional file 5: Figure S4. Physiological parameters for F_2 inter-population hybrids acclimated

to normoxia, measured at $V\square O_2$max in normoxia (21 kPa O_2) and hypoxia (12 kPa O_2). Different

α- and β- globin genotypes are shown as superscripts with 'L' representing the lowland haplotype

and 'H' representing the highland haplotype. *P < 0.05 vs. normoxia value within a genotype. P <

0.05 between genotypes for hypoxic values not sharing a letter. Bars display mean ± SEM (n = 3-

8) with individual data superimposed (circles).

Additional file 6: Figure S5. Hypoxic VO$_2$max before and after a 6-wk acclimation to hypobaric

hypoxia (12 kPa O$_2$). Different α- and β- globin genotypes are shown as superscripts with 'L'

representing the lowland haplotype and 'H' representing the highland haplotype. †P < 0.05 vs. pre-

acclimation value within a genotype. Bars display mean ± SEM (n = 3-8) with individual data

superimposed (circles).

Additional file 7: Figure S6. Physiological parameters for F$_2$ inter-population hybrids measured

at VO$_2$max in hypoxia (12 kPa O$_2$) both before and after a 6-wk acclimation to hypobaric

hypoxia. Different α- and β- globin genotypes are shown as superscripts with 'L' representing the

lowland haplotype and 'H' representing the highland haplotype. †P < 0.05 vs. pre-acclimation value

within a genotype. P < 0.05 between genotypes within an acclimation condition for values not

sharing a letter. Bars display mean ± SEM (n = 3-8) with individual data superimposed (circles).

Additional file 8: Figure S7. Physiological parameters for F$_2$ inter-population hybrids measured

at VO$_2$max in normoxia (21 kPa O$_2$) both before and after a 6-wk acclimation to hypobaric

hypoxia. Different α- and β- globin genotypes are shown as superscripts with 'L' representing the

lowland haplotype and 'H' representing the highland haplotype. †P < 0.05 vs. pre-acclimation value

within a genotype. P < 0.05 between genotypes within an acclimation condition for values not

sharing a letter. Bars display mean ± SEM (n = 3-8) with individual data superimposed (circles).

Additional file 9: Dataset and modeling.

A

Red blood cell P_{50} (kPa)

Before acclimation
After acclimation

Hb genotype: $\alpha^{LL}\beta^{LH}$, $\alpha^{LL}\beta^{HH}$, $\alpha^{HH}\beta^{LL}$, $\alpha^{HH}\beta^{LH}$, $\alpha^{HH}\beta^{HH}$

B

Arterial O_2 saturation in 12 kPa O_2 (%)

Hb genotype: $\alpha^{LL}\beta^{LH}$, $\alpha^{LL}\beta^{HH}$, $\alpha^{HH}\beta^{LL}$, $\alpha^{HH}\beta^{LH}$, $\alpha^{HH}\beta^{HH}$

C

Change in VO_2max after acclimation (Δml min^{-1} g^{-1})

Change in arterial O_2 saturation after acclimation (Δ%)

$\alpha^{LL}\beta^{LH}$
$\alpha^{LL}\beta^{HH}$
$\alpha^{HH}\beta^{LL}$
$\alpha^{HH}\beta^{LH}$
$\alpha^{HH}\beta^{HH}$

A

Highlander P_{50}

Lowlander P_{50}

VO_2max
in 12 kPa O_2 (% ancestral)

D_TO_2 (% ancestral)

B

P_AO_2

P_aO_2

Lowlander P_{50}
······ Highlander P_{50}

P_vO_2

PO_2 (kPa)

D_TO_2 (% ancestral)