## 1 Differential alteration of plant functions by homologous

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### fungal candidate effectors

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#### 16 Abstract:

Rust fungi are plant pathogens that cause epidemics that threaten the production of important 17 18 plant species, such as wheat, soy, coffee and poplar. *Melampsora larici-populina (Mlp)* causes the poplar rust and encodes at least 1 184 candidate effectors (CEs), however their functions are 19 20 poorly known. In this study, we used Arabidopsis plants constitutively expressing CEs of Mlp 21 to discover processes targeted by these fungal proteins. For this purpose, we sequenced the 22 transcriptome and used mass spectrometry to analyse the metabolome of Arabidopsis plants 23 expressing individually one of the 14 selected CEs and of a control line. We found 2 299 24 deregulated genes across the experiment. Among the down-regulated genes, the KEGG 25 pathways "MAPK signaling pathway" and "Plant-pathogen interaction" were respectively over-26 represented in six and five of the 14 transgenic lines. Moreover, genes related to hormone 27 response and defense were down-regulated across all transgenic lines are. We further observed 28 that there were 680 metabolites deregulated in at least one CE-expressing transgenic line, with 29 highly unsaturated and phenolic compounds enriched in up-regulated metabolites and peptides 30 enriched among down-regulated metabolites. Interestingly, we found that transgenic lines 31 expressing unrelated CEs had correlated patterns of gene and metabolite deregulation, while 32 expression of CEs belonging to the same family deregulated different genes and metabolites. 33 Taken together, our results indicate that the sequence of effectors and their belonging to families 34 may not be a good predictor of their impact on the plant.

#### 35 **Importance:**

Rust fungi are plant pathogens that threaten the production of important crops, including wheat,
soy, coffee and poplar. Effectors are used by pathogens to control the host, however in the case
of *Melampsora larici-populina*, the causal agent of the poplar rust, and other rust fungi these

39 proteins are poorly known. We used *Arabidopsis* plants expressing candidate effectors (CEs) of 40 *Mlp* to better understand the interaction between this pathogen and its hosts. We found that 41 expression of unrelated CEs led to similar patterns of gene and metabolite deregulation, while 42 transgenic lines expressing CEs belonging to the same family showed different groups of 43 different genes and metabolites deregulated. Thus, our results suggest that functional annotation 44 of effectors based on sequence similarity may be misleading.

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46 Keywords: Transcriptome, Metabolome, Plant-microbe interactions, Rust fungi, Effector
47 biology, *Melampsora larici-populina*.

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### 49 Introduction

50 Plants have to defend themselves against different types of pathogens. Their first line of 51 defense consists of passive barriers, such as the cuticle and cell wall, which prevent pathogens 52 from entering the plant tissue and its cells. Upon successful entry of a pathogen, conserved 53 pathogenic motifs, called Microbe-Associated Molecular Patterns (MAMPs), may be detected 54 and activate the Pattern-Triggered Immunity (PTI) [1]. PTI includes the transient accumulation 55 of reactive oxygen species (ROS), callose deposition, alteration of hormone networks and 56 activation of defense genes [2, 3]. Finally, microorganisms secrete effectors into their host to 57 modulate the host metabolism in favor of the pathogen. If detected, these effectors will activate 58 the Effector-Triggered Immunity (ETI), leading to plant cell death in order to avoid pathogen 59 spreading to surrounding cells [4].

60 Rust fungi are the largest group of fungal plant pathogens, infecting ferns, gymnosperms 61 and angiosperms and causing important losses in food production [5, 6]. They are obligate 62 biotrophs, produce two to five types of spores and infect one or two unrelated species to 63 complete their life cycle [6]. To guard themselves against the defense mechanism of two 64 different host species and to be able to feed on them, rust fungi deploy a large arsenal of 65 effectors. To better comprehend the interaction between these pathogens and their hosts, and to 66 provide new mechanisms to target in order to improve plant immunity, it is imperative that we 67 understand how these effectors are secreted into host cells, how they evolve and how they act to 68 promote pathogen growth [7, 8]. While the precise number of bona fide effectors carried by 69 each rust fungi species is unknown, Duplessis and colleagues [9] established that the poplar rust 70 (Melampsora larici-populina) genome encodes 1 184 small secreted proteins (SSPs) whereas 71 the wheat stripe rust (*Puccinia graminis* f. sp. *tritici*) genome encodes 1 106 SSPs [9], which are

considered candidate effectors (CEs). These CEs are grouped within families based on sequence
homologies [10, 11]. Furthermore, effectors in the same family have been shown to interact
with homologous R-proteins [12], however the virulence function of these effectors has seldom
been investigated.

76 Previous studies have proposed different criteria to screen the genome of plant 77 pathogenic fungi for high-priority CEs, including having less than 300 amino acids, high 78 cysteine content, being expressed in infection structures during host infection or being detected 79 in the host tissue during infection [11, 13, 14]. Once identified, putative effectors must be 80 functionally characterized. In pathogens that are not obligate biotrophs, this can be achieved by silencing or overexpressing the gene encoding the CEs and analysing the outcome of an 81 82 infection [15, 16]. For rust fungi and other obligate biotrophs, which are not amenable to 83 genetic transformation, this direct investigative approach is not possible. The alternative 84 solution proposed by different research groups is to use heterologous systems, either by 85 transforming model plants to express the CE-encoding gene or by infecting model plants with 86 pathogens able to express these genes [17, 18]. This way, it is possible to evaluate if immunity 87 is compromised, as it was shown that effectors expressed in heterologous systems conserve their 88 capacity to alter the plant's susceptibility to pathogens [19-24]. The stable and transient 89 expression of CEs from M. larici-populina in Arabidopsis thaliana and Nicotiana benthamiana, 90 from Phakopsora pachirhyzi in N. benthamiana and from Hyaloperonospora arabidopsidis in 91 A. thaliana allowed the study of their subcellular localization in planta, their impact on the 92 growth of different pathogens and the search for host proteins potentially targeted by CEs [19, 93 25-27].

94 Still, the impact of CEs in the plant may not be easy to detect or the isolated effect of a 95 single CE may be too subtle to affect pathogen growth. In the study of Germain and colleagues, 96 14 CEs impacted the growth of *H. arabidopsidis* or *Pseudomonas syringae* pv tomato. Eleven 97 of the analyzed CEs displayed nucleocytoplasmic localization *in planta*, providing very limited 98 information on possible host targets or helpers of these protein [19]. Petre and colleagues found 99 seven CEs of wheat yellow rust fungus (out of 16) with specific accumulation pattern in plant 100 cells (other than nucleocytoplasmic) and discovered specific plant protein interactors for six 101 CEs [28]. Only three of the 16 CEs studied had both specific accumulation pattern in N. 102 benthamiana cells and specific plant protein interactors. Although the pathogen growth readout 103 is informative regarding the impairment of the immune pathway, it is opaque with regards to 104 which pathway has been tampered with or which metabolites are off-balance. Transcriptomic 105 and metabolomic studies of stable transgenic plants expressing CEs have been useful in these 106 cases, since they allow the detection of more subtle changes, unlikely to have a quantifiable 107 impact on pathogen growth on their own [22, 29, 30].

108 Here we studied the transcriptome and metabolome of 14 transgenic Arabidopsis plant 109 lines expressing *Mlp* CEs known to affect plant susceptibility to pathogen. We identified 2 299 110 deregulated genes using this approach, including many related to response to biotic and abiotic 111 stress, metabolism of specialized metabolites and plant development. Four lines expressing CEs 112 from different families showed correlated patterns of gene deregulation demonstrating that the 113 current grouping based on sequence homology does not reflect the virulence function of these 114 CEs. We also found important down-regulation of highly unsaturated and phenolic compounds 115 and up-regulation of peptides in almost all CE-overexpressing lines. Overall, our results show a 116 lack of correlation between the sequence similarity of the studied CEs and their overall

deregulation of genes or metabolites. Taken together, our results demonstrate that CEs that have completely different sequences can alter the expression of the same genes sets, while CEs of the same family can target completely different gene sets. Therefore, it is not possible to estimate the function of a CE, its impact on the transcriptome or on the metabolome of the plant, based solely on its sequence, or its similarity to another CE.

122 **Results** 

# *In planta* expression of candidate fungal effectors results in important deregulation at the transcriptome level

125 *Melampsora larici-populina* CEs have been previously studied in heterologous systems 126 for functional characterization [19, 22, 25, 30, 31]. In Table 1, we present features of the 14 CEs 127 studied here. Mlp37347 is a homolog of the well studied AvrL567 group from M. lini [32, 33], 128 and accumulates at the plasmodesmata in Arabidopsis. Mlp72983 accumulates in the 129 chloroplast [19] and Mlp124357 is found in the tonoplast and was shown to interact with 130 Arabidopsis and poplar Protein Disulfide Isomerase [30]. The other 11 CEs selected here have 131 nucleocytosolic accumulation, the same as the marker protein GFP used. Although information 132 about these CEs is scarce, all of them impacted Arabidopsis susceptibility to either 133 Pseudomonas syringae or to Hyaloperonospora arabidopsidis.

To better understand the mechanism through which these 14 CEs impact plants, we studied the transcriptome and the metabolome of transgenic *Arabidopsis* plants constitutively expressing them. In total, we found 2 299 differentially expressed genes (DEGs) across the experiment. However, the number of DEGs in each line was variable, from 84 in Mlp106078 to 898 DEGs in Mlp123531 (Fig 1), indicating each CE affects the plant transcriptome to a different degree. The list of deregulated genes in each transgenic line is available in Table S1. We further assessed if the level of transgene expression could explain the number of DEGs in each sample and plotted the number of deregulated genes per transgenic line against expression level (in transcript per million) of the CE:GFP fusion transcripts. Linear regression shows a poor relation between the two ( $R^2 = 0.1016$ , Fig S1) suggesting that the number of deregulated genes per line depends more on the identity of the expressed CE than on the strength of its expression.

## Hierarchical clustering based on gene expression groups effectors independently of amino acid sequence homology

148 CEs are typically grouped into families based on their amino acid sequences [11] and it 149 has been shown that R-protein recognize related effectors [12]. Nevertheless, the virulence 150 activity of effectors from the same family has rarely been studied. To search for gene 151 deregulation patterns of related and unrelated CEs, we used WGCNA to cluster the co-152 expressed DEGs and Pearson's correlation coefficient to cluster the transgenic lines (Fig 2). We 153 found in total 208 GO terms enriched in the gene sets from WGCNA. A summary is presented 154 in Table 2, and the full list of enriched terms is available at dos Santos et al. [34]. Set 0 clusters 155 714 genes deregulated across the 14 transgenic lines, 63.17% of which were down-regulated. 156 Functions enriched in this gene set are related to defense, specialized metabolism, stress, and 157 signaling pathways. Set 1 is composed of down-regulated genes enriched in GO terms related to 158 defense responses and all transgenic lines have down-regulated genes in this set. Of the 379 159 genes in Set 2, 76.5% were down-regulated and this set is enriched GO terms related to 160 specialized metabolite biosynthesis. In the case of Set 3, 81.8% of the genes were down-161 regulated, but we did not find enriched GO terms in this gene set. Interestingly, this set is 162 composed of genes with the same pattern of deregulation in 4 transgenic lines expressing

163 effectors without sequence similarity (Mlp72983, Mlp102036, Mlp123218, and Mlp123531, 164 Table S2) which accumulate in two separate cell compartments (Table 1). Set 4 is related to 165 metabolism and abiotic stress and 77.6% of its genes were down-regulated. Sets 5, 6, and 7 are 166 composed almost exclusively of up-regulated genes (Table 2). Set 5 has genes deregulated in 167 most transgenic lines that are related to abiotic stress and development. Set 6 is comprised of 168 up-regulated genes almost exclusively found in the transgenic line Mlp124466 and related to 169 transcription, vascular histogenesis, and response to different types of stress. Finally, Set 7 is 170 made of genes related to photosynthesis and deregulated in the lines Mlp124256 and 171 Mlp124518. In the cases of the Sets 0, 2, 3 and 4, there is mix of genes up and down-regulated, 172 thus the enriched GO terms may be either up or down-regulated, or both. Interestingly, the 173 dendrogram at the top of Fig 2 shows that CEs belonging to the same family (Mlp124497, 174 Mlp124499 and Mlp124518; Mlp124256 and Mlp124266) fall in separate clusters despite their 175 similarity at the amino acid level (Table S2).

176 To analyze the relation between the sequence of each effector and its influence on the 177 plant transcriptome, we compared the sequence alignment dendrogram to the differential 178 expression dendrogram. After removal of the signal peptide, we aligned the sequences of the 179 studied CEs, and compared the resulting dendrogram with the one obtained from the gene 180 deregulation correlation (Fig 3). Pearson's correlation showed that transgenic lines expressing 181 CEs from different families had correlated patterns of gene deregulation. Only one cluster was 182 present in both dendrograms, Mlp102036 and Mlp123218, however this grouping is not 183 supported in the effector sequence dendrogram (bootstrap value 8%) while it is in the gene 184 deregulation dendrogram (bootstrap 100%). This analysis indicates that the sequence similarity 185 between the CEs is not a good predictor of the impact they have on plant gene expression.

## 186 Effectors converge on deregulating the same metabolic pathways while others display unique 187 patterns.

188 Even though the transcripts affected by related effectors are different, in theory they 189 could fall within the same metabolic pathway and therefore similarly alter the plant. To test this 190 hypothesis, we searched for KEGG pathways over-represented in the up- and down-regulated 191 genes in each transgenic line. "Biosynthesis of secondary metabolites" and "Metabolic 192 pathways" were enriched among gene sets (either up-, red, or down-regulated, blue) of eight 193 transgenic lines, while "MAPK signaling pathway" and "Plant-pathogen interaction" were 194 enriched only among the down-regulated genes of six and five transgenic lines, respectively 195 (Fig 4). We also found that "Starch and sucrose metabolism" was down-regulated in the 196 transgenic lines Mlp123227 and Mlp124266, but up-regulated in the lines Mlp123218 and 197 Mlp124497, whereas several transgenic lines showed impact on specialized metabolism. This 198 was also visible in the enriched GO terms found on the WGCNA gene sets (Table 2 and [34]). 199 File S1 shows heatmaps of 11 different metabolic pathways in which there were at least 10 200 genes deregulated across the experiment. The circadian rhythm pathway, although enriched only 201 among the down-regulated genes of the lines Mlp124499, Mlp37347 and Mlp123531 and up-202 regulated genes in the Mlp124357 transgenic line, has several genes deregulated in all the 203 transgenic lines studied. The plant-hormone signal transduction pathway is enriched among 204 down-regulated genes in the transgenic lines Mlp37347, Mlp123531, and Mlp124497, and 205 which we found several down-regulated genes (17, 23, and 17 DEGs, respectively) related to 206 auxin response. From these results we conclude that CEs with similar sequences not only 207 deregulate different genes but also alter different pathways.

208 As both primary and specialized metabolisms were affected at the transcriptomic level 209 and their levels can have an important role in the outcome of an infection, we proceeded with an 210 untargeted analysis of the metabolome of these plants. We extracted metabolites with solutions 211 containing 20% and 80% methanol and used ultra-high resolution mass spectrometry in 212 negative mode. A total of 5 192 masses were assigned across the experiment, ranging from 213 2 679 (Mlp123227) to 3 151 (Mlp124357) masses in each transgenic line (Table S3). When 214 separated in biochemical categories, assigned formula belonged mostly to highly unsaturated 215 and phenolic and aliphatic categories, while peptides, sugars, condensed aromatics and 216 polyphenolics were less important both in number of formulas and in relative abundance (Fig 217 5). Compared to the control, we found 680 assigned molecular formulas with a  $|\log_{2^{-1}}$ 218 transformed Fold change | > 2 (Fig 6A), ranging from 69 metabolites in the line Mlp124466 219 (1.95% of the masses detected in this sample and/or in the control) to 353 in the line Mlp123227 220 (9.68% of the masses detected in this line and/or in the control, Table S3). In all transgenic 221 lines, with exception of Mlp72983 and Mlp124256, there was over-representation of highly 222 unsaturated and phenolic compounds among the down-regulated metabolites (accumulation 223 level lower than in the control line) whereas up-regulated metabolites (accumulation level 224 higher than in the control line) were enriched in peptides in all samples, except Mlp72983, 225 Mlp106078 and Mlp124466 (Fig 6B, Table S4). As done with the transcriptomic data, we 226 assessed whether the variation in the number of metabolites deregulated in each transgenic line could be explained by the level of expression of the transgene. For this, we plotted the number 227 228 of deregulated metabolites per transgenic line (left Y-axis, blue) against the average expression 229 level of the CEs in each transgenic line (X-axis, Fig S2). As the number of metabolites detected 230 in each transgenic line varied (Fig 5A), we also plotted the ratio of deregulated

metabolites:identified (detected either in the control or in the corresponding sample) metabolites in the right Y-axis (red). We found that the variation in transgene expression could explain neither the number ( $R^2$ =0.0063, p-Value=0.7872) nor the ratio of deregulated metabolites ( $R^2$ =0.0033, p-Value=0.8444), suggesting that the magnitude of the impact on the metabolome depends on the identity of the CE expressed in the plant rather than the strength of the CE expression.

237 In order to find shared patterns of metabolite deregulation across the transgenic lines 238 studied, we used Pearson's correlation to group metabolites with correlated deregulation across 239 the experiment and transgenic lines which deregulated the same metabolites. As observed with 240 the gene deregulation, we found that transgenic lines expressing CEs without sequence 241 similarity have correlated patterns of metabolite deregulation (Fig 6B). In the case of the 242 CPGH1 family (CEs Mlp12497, Mlp124499, Mlp124518), lines Mlp124499 and Mlp124518 243 are correlated at 0.77 (Pearson's correlation), but their correlation with the line Mlp124497 is 244 less strong (Mlp12497-Mlp124499: 0.59; Mlp124497-Mlp124518: 0.64). The two AvrP4 245 homologues, Mlp124256 and Mlp124266, have 46.3% of amino acid sequence similarity [34], 246 but the correlation in metabolites deregulation patterns of the transgenic lines expressing these 247 CEs is of 0.32. On the other hand, although Mlp124266 and Mlp124357 have 21.2% of amino 248 acid sequence similarity (Table S2), multiple sequence alignment groups the AvrP4 homologues 249 with the CE Mlp124357 (Fig 7) and their metabolite deregulation correlation is 0.69.

Remarkably, there was no correlation between the dendrograms gene and metabolite deregulation (cophenetic correlation of 0.1046, Fig 7). When considering the number of genes and metabolites deregulated in each sample, the correlation was also low (Pearson's correlation = -0.1182). These results suggest these two omics approaches are needed to

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understand the magnitude of the impact of the CEs in the plant. Nevertheless, the possibility that the metabolic pathways deregulated at the metabolite level are the same as those deregulated in the gene level cannot be discarded.

To identify the molecular formula assigned in each sample and associate the metabolomic results with metabolic pathways, we searched for compounds with matching formula or matching m/z values in the KEGG database. From the 5 192 m/z detected across the experiment, 385 (7.42%) had a single match in KEGG database, while other 600 corresponded to multiple metabolites. When only considering the 680 deregulated metabolites, 54 (7.07%) matched a single metabolite and 82 (12.06%) matched multiple metabolites [34], leaving 546 unmatched.

#### 264 **Discussion**

265 Effector biologists have tackled both the identification and the functional 266 characterization of candidate effectors (CEs) [14, 35], as this is a key step towards a better 267 understanding of plant-microbe interactions. In rust fungi, different approaches are used in the 268 functional characterization of these proteins, including analysis of subcellular localization in 269 planta [19, 25, 28, 30, 31], infection assays in true host or in a model plant, and 270 induction/repression of plant cell death [19, 30, 36, 37]. The transcriptome or metabolome of 271 the host in responses to the pathogen are frequently evaluated [38-43], but the assessment of the 272 role of individual CEs in these processes is not easily measured and seldom analyzed [22, 44]. 273 Here we investigated 14 CEs from Melampsora larici-populina by evaluating their individual 274 impact on the transcriptome and metabolome of stable transgenic Arabidopsis plants. By 275 studying the impact of several individual CEs, we were able to compare patterns of gene and 276 metabolite deregulation. Unexpectedly, we found that transgenic lines expressing CEs

belonging to the same family did not have comparable patterns of gene or metabolitederegulation.

279 Previous studies in *M. larici-populina* have shown that genes encoding fungal effectors 280 are expressed in waves in the telial host [45] and that members of the same family may be 281 expressed during the infection of different hosts [46]. This reflects the functional diversification 282 of effectors, indicating that the fungus uses different sets of effectors for each stage of the 283 infection and suggesting that effector families can have different functions, may target different 284 host proteins or the same host protein that diverged in different hosts. The concurrent study of 285 individual *M. larici-populina* CEs allows the comparison of their individual impact in the plant 286 [19]. We found variability in the magnitude of the impact of each CE on the transcriptome 287 (from 84 to 898 DEGs) and the metabolome (from 69 to 363 metabolites deregulated, Figs 1 288 and 6) of the transgenic plants, a variability which is not related to the level of expression of the 289 transgenes (Figs S1 and S2). This suggests that the identities of the CEs are orienting the 290 deregulations. By comparing the correlation of gene and metabolite deregulation patterns with 291 the CEs sequence similarity (Figs 3 and 7), we show that CEs belonging to the same family do 292 not deregulate the transcriptome or the metabolome in a same way nor do they deregulate the 293 same metabolic pathways (Fig 4). These results corroborate the infection assays from Germain 294 and colleagues [19]. In their study, Arabidopsis plants, constitutively expressing Mlp CEs, were 295 infected with P. syringae DC3000 or H. arabidopsidis Noco2. Mlp124497, Mlp124499 and 296 Mlp124518 (family CPGH1) and Mlp124256 and Mlp124266 (family CPG5464) [47], all 297 increased Arabidopsis susceptibility to H. arabidopsidis. However, only Mlp124266, 298 Mlp124497 and Mlp124499 made *Arabidopsis* more susceptible to *P. syringae*.

299 It has been suggested that proteins with higher sequence similarity have higher 300 probability of having the same function [48], thus small secreted proteins from many fungal and 301 oomycete plant pathogens [9, 11, 49-51] have been grouped in protein families to guide 302 functional annotation and to help understand effector evolution. Nevertheless, recent studies 303 have hypothesized that effectors from the same family may have different functions in the same 304 host. This is the case for HopAF1 effectors from P. savastanoi [52] and GALA effectors from 305 Ralstonia solanacearum [53], which impact differently the plant defense. It is also the case for 306 XopD effectors from plant pathogenic bacteria, which show different levels of SUMO protease 307 activity and have different impacts in *Nicotiana* leaves [54]. This hypothesis is also supported 308 by the evolution of the Tin2 effector in Ustilaginaceae. Tin2 from Ustilago maydis interacts 309 with Zea mays TTK1 protein to stabilize it, leading to accumulation of anthocyanin. However, 310 Tin2 from Sporosorium reiliannum interacts with Zea mays TTK2 and TTK3, inhibiting their 311 activity [55].

312 The CEs studied here deregulate diverse biochemical pathways in the plant (Fig 4). In 313 relation to primary metabolism, genes in the "starch and sucrose metabolism" pathway were 314 over-represented among up-regulated genes in the transgenic lines expressing the CEs 315 Mlp123218 and Mlp124497, comparable to what is observed in susceptible wheat infected with 316 *Puccinia triticina* [43]. On the other hand, the plants expressing Mlp123227 and Mlp124266 317 showed an enrichment of this pathway among down-regulated genes and the transgenic lines 318 Mlp72983 and Mlp124266 had several genes down-regulated in this pathway as well (File S1), 319 a pattern seen in resistant wheat infected with *P. triticina* [43]. This difference in the direction 320 of gene deregulation within the same pathway by different CEs may be an indication that 321 deregulated genes have different functions. It can also suggest that these CEs are used in

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322 different stages of the infection. When considering pathways related to defense, the 323 transcriptomic deregulations found in this study differ from previous reports of susceptible 324 plants infected by rust fungi. While genes encoding Glutathione-S-transferase are down-325 regulated in at least one of 12 transgenic lines studied here (File S1), these genes are up-326 regulated in apple leaves infected with *Gymnosporangium yamadae* [39]. Moreover, Tremblay 327 and colleagues [40] reported up-regulation of genes in the "photosystem" and "nitrogen 328 metabolism" pathways in susceptible Glycine max infected with P. pachyrhizi, whereas genes 329 from these pathways were down-regulated in our transgenic lines.

330 There are several possible explanations for the differences between previous studies and 331 our own. First, our results may be due to the long-term exposure of our plants to CEs, as they 332 are stable transgenic lines, whereas during the infection rust fungi secrete effectors in waves 333 [45], these proteins are not constitutively present in the host. It is also possible that results from 334 Tao and colleagues [39] and Tremblay and colleagues [40] included the activation of PTI as 335 well as the combinatory effect of multiple effectors, as they investigated plant response to the 336 fungal infection, not to individual CEs. Our approach was to express CEs from M. larici-337 *populina* in a plant that cannot be infected by this fungus, thus should not recognize these 338 proteins nor mount active defense responses against them. Nevertheless, as we evaluated the 339 impact of each CE in the plant using one single transgenic line, it is not possible to know for 340 sure if the impact on the transcriptome and metabolome is caused by the CE or is a secondary 341 effect of the DNA insertion site in each of these transgenic lines. Yet, the probability that the 342 insertion site impacted in the same manner the results of all the 14 transgenic lines studied here 343 is low, thus the results that consider the 14 transgenic lines are robust. Finally, although there 344 are limitations in the use of heterologous systems, they allow faster functional characterization

of CEs [17, 56] and they may be indispensable for high-throughput studies of CEs of obligate
 biotrophic pathogens or other microorganisms not amenable to genetic manipulation [57, 58].

347 Taken together, our results reinforces the hypothesis that the CEs studied here and 348 functionally characterized by Germain and colleagues [19] are *bona fide* effectors. Nevertheless, 349 future studies interested in CEs evaluated here should analyze more independent transgenic 350 lines. In addition, since our methodology for the metabolomic analysis is semi-quantitative and 351 does not allow the distinction of metabolites with the same m/z, follow up studies should use 352 chromatography in tandem with mass spectrometry and should analyze more replicates for the 353 mass spectrometry. Our study also questions the validity of grouping CEs by sequence 354 similarity. The importance of this approach for understanding the evolution of effectors is 355 obvious [9], but basing functional characterization on sequence similarity may be misleading 356 [52, 53, 55].

357 Materials and Methods

#### 358 Plant growth conditions

Arabidopsis thaliana transgenic plants in Columbia-0 background expressing GFP alone
(control) or fused to a candidate effector of the fungus *Melampsora larici-populina* (Mlp37347,
Mlp72983, Mlp102036, Mlp106078, Mlp123218, Mlp123227, Mlp123531, Mlp124256,
Mlp124266, Mlp124357, Mlp124466, Mlp124497, Mlp124499, Mlp124518) previously
obtained in our laboratory [19, 30], were grown at 22°C at 12h/12h light/dark cycles.

#### 364 **RNA extraction and transcriptome analysis**

RNA was extracted from pooled aerial tissue of 2-week-old soil-grown plants, using three replicates per genotype, with the Plant Total RNA Mini Kit (Geneaid) using RB buffer following manufacturer's protocol. The samples were treated with DNAse, then RNA quality 368 was assessed using agarose gel electrophoresis. QC was performed using a 2100 Bioanalyzer 369 (Agilent) and only samples having an RNA Integrity Number higher than 7 were kept for 370 library preparation. Libraries were generated with the NeoPrep Library Prep System (Illumina) 371 using the TruSeq Stranded mRNA Library Prep kit (Illumina) and 100 ng of total RNA as per 372 the manufacturer's recommendations. The libraries were then sequenced with Illumina HiSeq 373 4000 Sequencer with paired-end reads of 100 nt at the Genome Quebec Innovation Centre 374 (McGill University, Montreal, Canada).

375 The bioinformatic analyses were done with Compute Canada servers, the parameters 376 used are presented in Table S5. We trimmed the reads using Trimmomatic [59] and we aligned 377 the surviving paired reads to the genome of A. thaliana assembly TAIR10 with HISAT2 [60]. 378 Unmapped reads were aligned to the sequences of the CEs, without signal peptide, attached to 379 eGFP. We counted the reads assigned to each transcript with the R (v3.6) packages Rsamtools 380 (v2.2.3 [61]), GenomicAlignments and GenomicFeatures [62]. The general information of the 381 sequencing results and mapping data is presented in Table S6. Before comparing the samples, 382 we used the CustomSelection package [63] to select as reference genes the top 5% genes with 383 lowest coefficient of variation of TPM among the 45 samples [34]. We assessed the variation 384 between the replicates and the similarity of the samples with principal component analysis (Fig 385 S3). Differential expression analysis was performed with DeSeq2 [64], using the un-normalized 386 counts as input, and genes with  $|\log_2 \text{ Fold change}| \ge 2$  (p-Value  $\le 0.01$ ), when comparing each 387 CE-expressing lines to the control line, were considered as deregulated. We used clusterProfiler 388 [65] for GO term enrichment analysis and KEGGprofile (v1.24.0 [66]) for KEGG enrichment 389 analysis. Sets of deregulated genes were computed using WGCNA [67]. We calculated the

390 similarity of gene deregulation of different transgenic lines with the R package pvclust (v2.2-0

- 391 [68]), using Pearson's correlation and 5 000 bootstrap replications.
- 392 Metabolite extraction and metabolomics analysis

393 Metabolites were extracted from pooled aerial tissue of 2-week-old soil-grown plants, 394 with four replicates per genotype. After pulverizing the tissues with a TissueLyser (30 cycles 395 per second for 45 seconds repeated 3 times), we added 300  $\mu$ L of distilled water to it. From the 396 mix of tissue and water, we used 100  $\mu$ L of tissue slurry for an extraction with 1 mL of 20% 397 methanol and a separate 100  $\mu$ L for an extraction with 1 mL of 80% methanol. After agitation 398 with the solvent, we pooled the samples of the same genotype and extraction together and 399 filtered them using glass microfiber filters (Whatman GF/F CAT No. 1825-025). We evaporated 400 the extracts with a speed vacuum at room temperature and chamber vacuum of 7.4 torr's and 401 resolubilized them in 2 mL of distilled water. Then, we solid phase extracted 50 µg of dissolved 402 organic carbon (DOC) of each sample, using Agilent PPL cartridges, and eluted it in 1mL of 403 100% methanol.

404 The mass spectrometry was performed in an Orbitrap LTQ-Velos calibrated and tuned to 405 maximize the peak at 369.1 in Suwannee River Fulvic Acid (SRFA) reference material. The 406 extracts were analysed by direct injection in negative mode at a resolution setting 100 000, with accumulation time set to a maximum of 500 ms and a target of  $1 \times 10^6$  ions. Peaks were only 407 408 considered for formula assignment if their intensity was higher than 10x the median noise 409 baseline. We assigned formulas to masses using an in-house MATLAB script [69] and we 410 allowed assignments with mass error < 2 ppm. Briefly, formulas were considered over the 411 ranges  $C_{4-50}H_{4-100}O_{2-40}N_{0-2}$  under the conditions  $O \le C$ ;  $0.3C \le H \le 2.2C$ . For each sample, the 412 intensity of the peaks was normalised so that the sum of the intensities equalled 10 000.

Following analyses were performed using R software (v4.0). We used the molecular formulas to calculate the modified aromaticity index (AImod) of each metabolite [70] and the compound categories were defined as: condensed aromatic (AImod > 0.66), polyphenolic ( $0.66 \ge AImod >$ 0.5), highly unsaturated and phenolic (AImod < 0.5 and H/C < 1.5), aliphatic ( $2 \ge H/C \ge 1.5$ , N = 0), peptide ( $2 \ge H/C \ge 1.5$ , N > 0) or sugar (O/C > 0.9) [71].

418 The results of the two extractions, with 20% and 80% methanol, were combined and the fold changes (FC) were calculated as  $\log_2(\frac{0.5+Mx^y}{0.5+Mc^y})$ , where  $M_x^y$  is the relative abundance of the 419 420 metabolite y in the CE-sample x and  $M_c^y$  is the relative abundance of the metabolite y in the 421 control. For each sample, only metabolites with |FC| > 2 were considered to have relative 422 abundance different to that of the control. Categories enriched among up- and down-regulated 423 genes were found by applying Fisher's test. We calculated the similarity of metabolite 424 deregulation of different transgenic lines with the R package pvclust (v2.2-0 [68]), using 425 Pearson's correlation and 5 000 bootstrap replications. Pairwise correlation of metabolite 426 deregulation between specific transgenic lines was calculated with the function *cor* from the R 427 package stats, using the method "pearson". We were not able to analyze the extraction with 80% 428 methanol of the transgenic line Mlp123218, thus the results presented for this line are only of 429 the extraction with 20% methanol and they are compared to the results of the Control for the 430 same extraction for consistency.

We searched the molecular formulas, obtained with the in-house script, in KEGG database using the R package KEGGREST (version 1.24.0 [66]) for identification of the metabolites detected. We also used Pathos [72] to search for metabolites with the same m/z(settings: negative mode, all organisms, -H<sup>+</sup> as adduct and mass error at 3 ppm).

#### 435 Sequence analysis and integration

Multiple sequence alignment of CE amino acid sequences without signal peptides was performed with the software MEGA X [73] using Muscle [74] default settings. Evolutionary history was inferred using UPGMA method and 1 000 bootstrap replicates. Comparisons of dendrograms from CE sequence alignment, gene and metabolite deregulation correlation were done with dendextend R package [75] by calculating the cophenetic correlation between two dendrograms. We performed pairwise sequence alignment of the 14 CEs using Needle [76], with default parameters.

#### 443 **Data availability**

444 Transcriptomics: Raw reads and count matrices are available in NCBI GEO under the 445 accession GSE158410 [77].

446 Metabolomics: Raw and mzXML files along with annotation of metabolites and their
447 relative abundances in each sample are available at MetaboLights under the accession
448 MTBLS2096 [78].

449 Data underlying figures, full list of enriched GO terms in the WGNCA gene sets,
450 information on the deregulated metabolites and the list of selected reference genes is available
451 at [34].

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- 698

CE	Length (Cysteine)	Family (members)	Subcellular localization <sup>a</sup>	U, P, B, L <sup>b,c</sup>
Mlp37347	151 (2)	-	Plasmodesmata	E, HE, E, E
Mlp72983	220 (8)	CPG332-CPG333(13)	Chloroplast	E, HE, E, HE
Mlp102036	107 (0)	CPG2528(5)	Nucleocytosolic	E, HE, E, E
Mlp106078	137 (10)	-	Nucleocytosolic	E, HE, E, E
Mlp123218	209 (6)	CPG543(7)	Nucleocytosolic	E, HE, E, E
Mlp123227	124 (3)	CPG1059(2)	Nucleocytosolic	E, HE, E, HE
Mlp123531	102 (8)	CPG4557(3)	Nucleocytosolic	E, HE, E, E
Mlp124256	89 (6)	CPG5464(13)	Nucleocytosolic	N, N, E, E
Mlp124266	92 (7)	CPG5464(13)	Nucleocytosolic	N, N, E, E
Mlp124357	98 (6)	CPG4890	Tonoplast	N, N, E, E
Mlp124466	76 (0)	-	Nucleocytosolic	-
Mlp124497	77 (4)	CPGH1(33)	Nucleocytosolic	N, N, N, N
Mlp124499	72 (3)	CPGH1(33)	Nucleocytosolic	N, N, E, HE
Mlp124518	76 (3)	CPGH1(33)	Nucleocytosolic	N, N, E, E

#### 699 Table 1. Features of the CEs investigated in this study.

<sup>a</sup> Subcellular localization was evaluated in Arabidopsis [19]. <sup>b, c</sup> U, P, B, L refers to expression
 on: U) urediniospores, P) poplar leaves, B) basidiospores or L) larch needles [46], where E, HE,
 and N indicate that the CE is expressed, highly expressed, or was not detected, respectively,

and - indicates no data is available.

#### 704 Table 2. Summary of "biological process" GO terms enriched in the WGCNA gene sets.

Set	Genes in the	Up-	Down-	Enriched GO terms
	set	regulated <sup>a</sup>	regulated <sup>a</sup>	
Set 0	714	262	451	Response to water deprivation
				Cold acclimation; Leaf senescence
				Response to fungus, to chitin, to ROS
				Response to salt stress and to hypoxia
				Defense response to fungus
				Response to toxic substance
				Response to nitrogen compound and to ET

				Isoprenoid, triterpenoid and terpenoid biosynthesis
				Plant-type cell wall loosening
				Phosphorelay signal transduction system
Set 1	624	10	615	Response to drug, nitrogen, ROS and ozone
				Response to SA, JA and karrikin
				Response to wounding, to herbivore and insect
				Cellular response to light stimulus and hypoxia
				Cellular response to acid chemical
				Defense response (incompatible interaction)
				Defense response by callose deposition in cell wall
				Defense response by cell wall thickening
				SAR and ISR
				Camalexin, indole phytoalexin and SA biosynthesis
				Sulfur compound biosynthesis
				Toxin and phenol-containing compound biosynthesis
Set 2	379	89	290	Response to karrikin, to nutrient levels and to copper
				ion
				S-glycoside and unsaturated fatty acid biosynthesis
				Chlorophyll biosynthesis
				Tetraterpenoid, terpenoid and carotenoid biosynthesis
				Isoprenoid, glycosyl and xanthophyll metabolism
				Sulfur compound, cofactor and leucine biosynthesis
				Defense response to insect
				De-etiolation; Chloroplast organization
Set 3	253	47	207	No GO term enriched
Set 4	140	32	109	Response to water deprivation
				Response to salt stress and to starvation
				Cellular amino acid catabolism/metabolism
				ET-activated signaling pathway

				Indole-containing compound metabolism
Set 5	116	113	4	Circadian rhythm; Starch catabolism
				Response to cold
				Regulation of reproductive process
				Regulation of post-embryonic development
Set 6	40	38	2	Response to hypoxia and to wounding,
				Response to drug, to chitin and to salt stress
				Transcription; Phloem or xylem histogenesis
Set 7	32	32	0	Photosynthesis; Proton transmembrane transport

<sup>a</sup> Up- and down-regulated indicate the number of genes in the set the are up- or down-regulated
 in at least one transgenic line, thus there may be genes that are deregulated in both directions in
 the set because they are deregulated in opposite directions in different samples.

Fig 1. *In planta* expression of candidate fungal effector results in important deregulation at the transcriptome level. Blue and red bars indicate the number of down- and up-regulated genes, respectively, in each CE-expressing transgenic line compared to the control line. The underlying data for this figure can be found at dos Santos et al. [34].

Fig 2. Heatmap of genes deregulated in each CE-expressing transgenic line. Transgenic lines are displayed as columns and deregulated genes as lines. Sets of co-expressed genes (Sets 0 to 7) were calculated with WGCNA. Transgenic lines were grouped by correlation of gene deregulation using Pearson's correlation coefficient. The underlying data for this figure can be found at dos Santos et al. [34].

717 Fig 3. Hierarchical clustering of gene deregulation groups effectors independently of 718 amino acid sequence homology. CE sequence alignment was computed with Muscle alignment 719 and tree (left) was calculated with UPGMA. Dendrogram based on correlation of gene 720 deregulation (right) was calculated with Pearson's correlation coefficient of Fold Change levels 721 and bootstrap values were obtained with pvclust. Branches with bootstrap support < 70% are 722 shown in grey. Central lines indicate shared clusters and cophenetic correlation between the 723 dendrograms is shown in the bottom. The underlying data for this figure can be found at dos 724 Santos et al. [34].

Fig 4. Effectors converge on deregulating the same metabolic pathways while others display unique patterns. KEGG pathways over-represented among the sets of genes down-(blue) and up-regulated (red) in each transgenic line (columns) were calculated with KEGGprofile. Transgenic lines are ordered according to dendrogram of sequence similarity calculated with Muscle. The underlying data for this figure can be found at dos Santos et al. [34].

**Fig 5. Metabolic composition of samples in number of formulas (A) and relative abundance of compounds (B).** Samples were analyzed in negative mode and estimated molecular formulas were separated in six categories: highly unsaturated and phenolic (green), aliphatic (purple), peptide (orange), polyphenolic (yellow), condensed aromatic (blue), and sugar (pink). The underlying data for this figure can be found at dos Santos et al. [34].

736 Fig 6. (A) Metabolites down-regulated (left) are enriched in highly unsaturated and 737 phenolic compounds while peptides are over-represented among those up-regulated 738 (right). Samples were analyzed in negative mode and relative abundance of metabolites in 739 samples was compared to that in the control plants. Estimated molecular formulas were 740 separated in six categories: highly unsaturated and phenolic (green), aliphatic (purple), peptide 741 (orange), polyphenolic (yellow), condensed aromatic (blue), and sugar (pink). (B) Transgenic 742 lines expressing candidate effectors with no similarity in amino acid sequence have correlated 743 patterns of metabolite deregulation. Both metabolites and transgenic lines were clustered using Pearson's correlation. \* indicates transgenic lines with CEs from the CPG5464 family; # 744 745 indicates transgenic lines with CEs from the CPGH1 family. The underlying data for this figure 746 can be found at dos Santos et al. [34].

Fig 7. Hierarchical clustering based on metabolite deregulation groups effectors independently of amino acid sequence homology and gene deregulation patterns are not correlated to metabolite deregulation patterns in CE-expressing lines. CE sequence alignment was computed with Muscle alignment and tree (left) was calculated with UPGMA. Dendrograms based on correlation of metabolite deregulation (center) or gene deregulation (right) were calculated with Pearson's correlation coefficient of Fold Change levels and

bootstrap values were obtained with pvclust. Branches with bootstrap support < 70% are shown</li>
in grey. The underlying data for this figure can be found at dos Santos et al. [34].

**Fig S1. Magnitude of impact of CE on the plant's transcriptome is independent of its level** of expression. Reads not mapped to *Arabidopsis* genome were aligned to the transgene sequences (CE:GFP fusion) and average expression (in transcripts per million) across replicates of each transgenic line was calculated. Linear regression was performed using the number of genes deregulated in each transgenic line as the dependent variable and the average expression of the CE as the independent variable. The underlying data for this figure can be found at dos Santos et al. [34].

762 Fig S2. Magnitude of impact of CE on the plant's metabolome is independent of its level of 763 expression, considering either the absolute number of deregulated metabolites (triangles, 764 linear regression results in blue) or the ratio of metabolites deregulated by those identified 765 (circles, linear regression results in red). Reads not mapped to Arabidopsis genome were 766 aligned to the transgene sequences (CE:GFP fusion) and average expression (in transcripts per 767 million) across replicates of each transgenic line was calculated. Two separate linear regressions 768 were performed using the number of metabolites deregulated and the ratio between metabolites 769 deregulated by those detected in each transgenic line as the dependent variables and the average 770 expression of the CE as the independent variable in both cases. The underlying data for this 771 figure can be found at dos Santos et al. [34].

Fig S3. Principal component analysis of the replicates of 14 transgenic lines expressing candidate effectors from *Melampsora larici-populina* attached to GFP and a control line expressing only GFP (black dots). Replicates of the same transgenic lines are close together, indicating the homogeneity of the sample, with exception of one replicate of each of the following transgenic lines: Mlp102036 (yellow), Mlp106078 (red), Mlp124256 (sky blue) and Mlp124357 (dark green). The underlying data for this figure can be found at dos Santos et al. [34]. File S1. Heatmaps of deregulated genes separated by KEGG pathway. Pathways with at least 10 genes deregulated across the experiment were selected for display of genes deregulated in each transgenic line. The underlying data for this figure can be found at dos Santos et al. [34].

Table S1. List of deregulated genes across the experiment with log<sub>2</sub>-transformed fold
changes (FC) and false discovery rates (FDR) for each transgenic line.

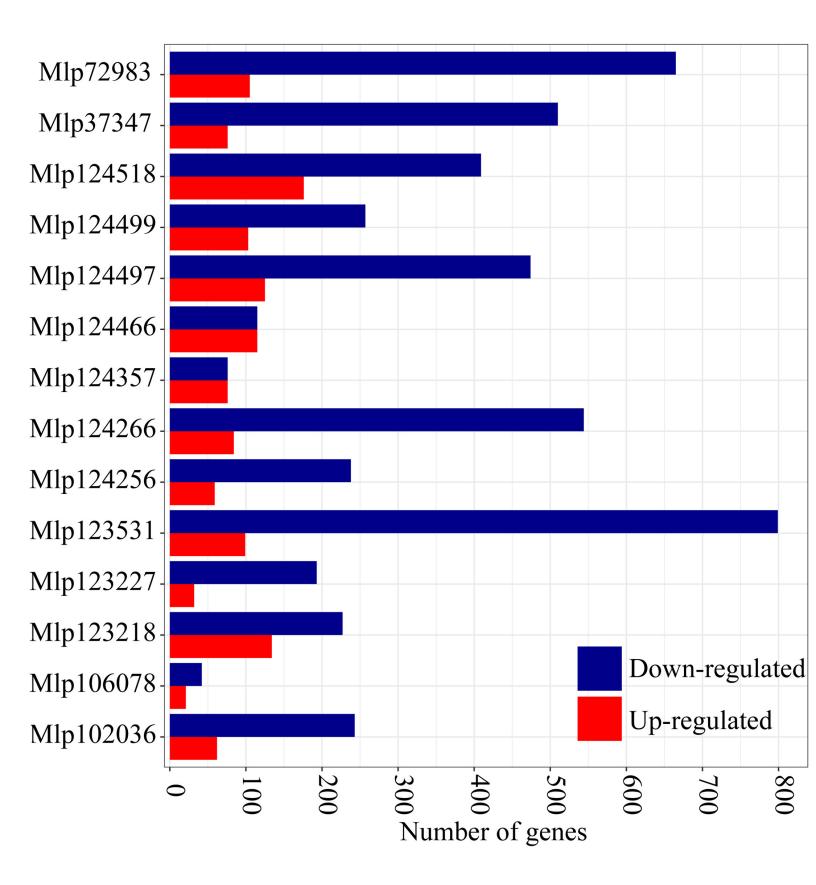
Table S2. Percentage of identity and similarity, presented as "ID (SIM)", calculated with
 pairwise sequence alignment of CEs using Needle.

Table S3. Summary of metabolomic analysis in negative mode of extractions with 20% and 80% methanol combined. Assigned, CHO, CHON and Mean mass refer exclusively to the sample in question, while the amount of deregulated formulas considers those m/z detected in the sample or in the Control.

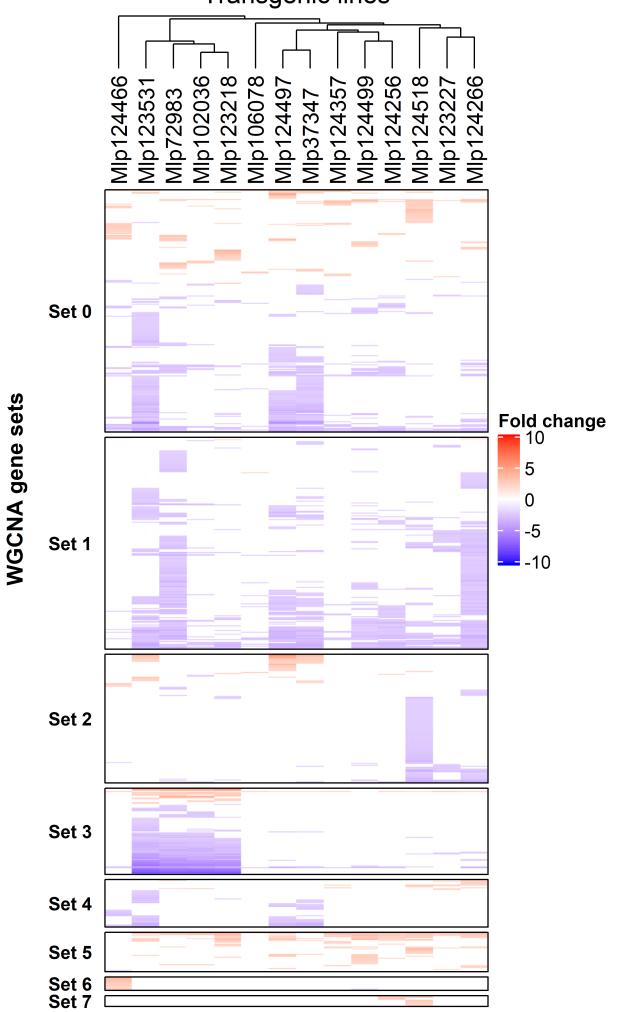
790**Table S4. Metabolites assigned and deregulated in each sample separated by category.**791Identified metabolites are m/z detected either in the sample or in the control. The percentages792were calculated by dividing the number of formulas assigned or deregulated in the sample in793each category by the number of formulas identified in that sample and multiplying by 100.

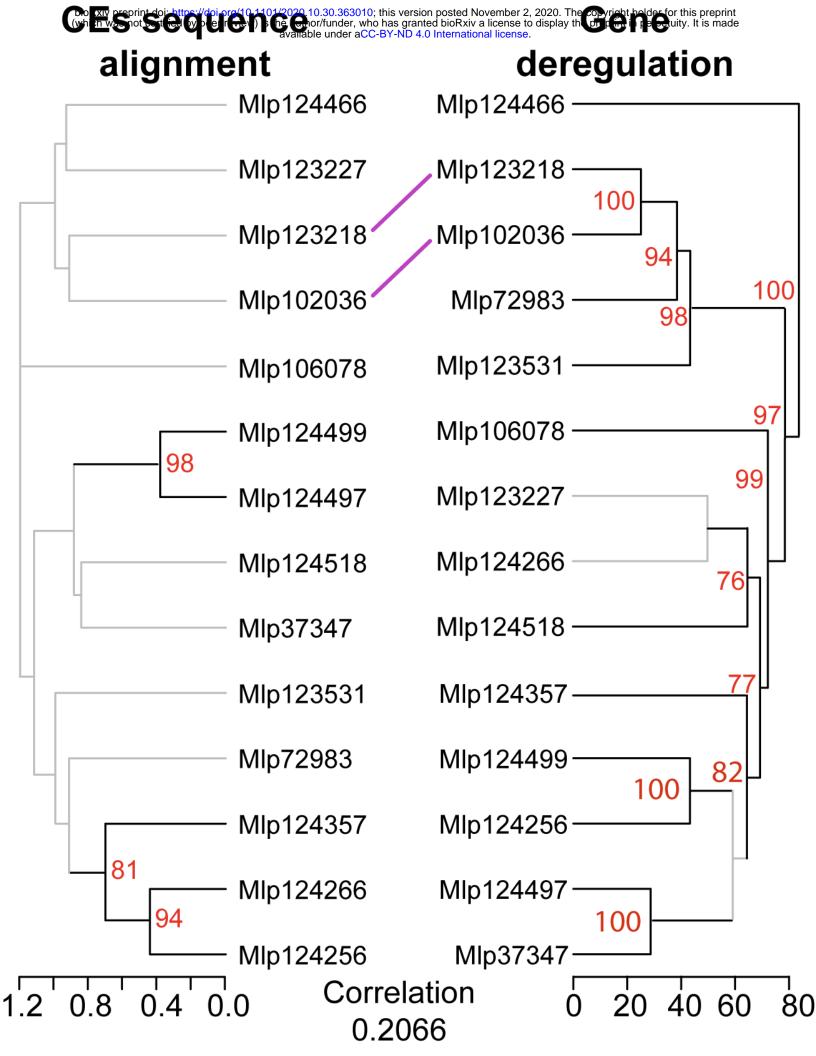
794 **Table S5. Parameters used for bioinformatic analyses.** 

795 **Table S6. Sequencing results and alignment summary.** 

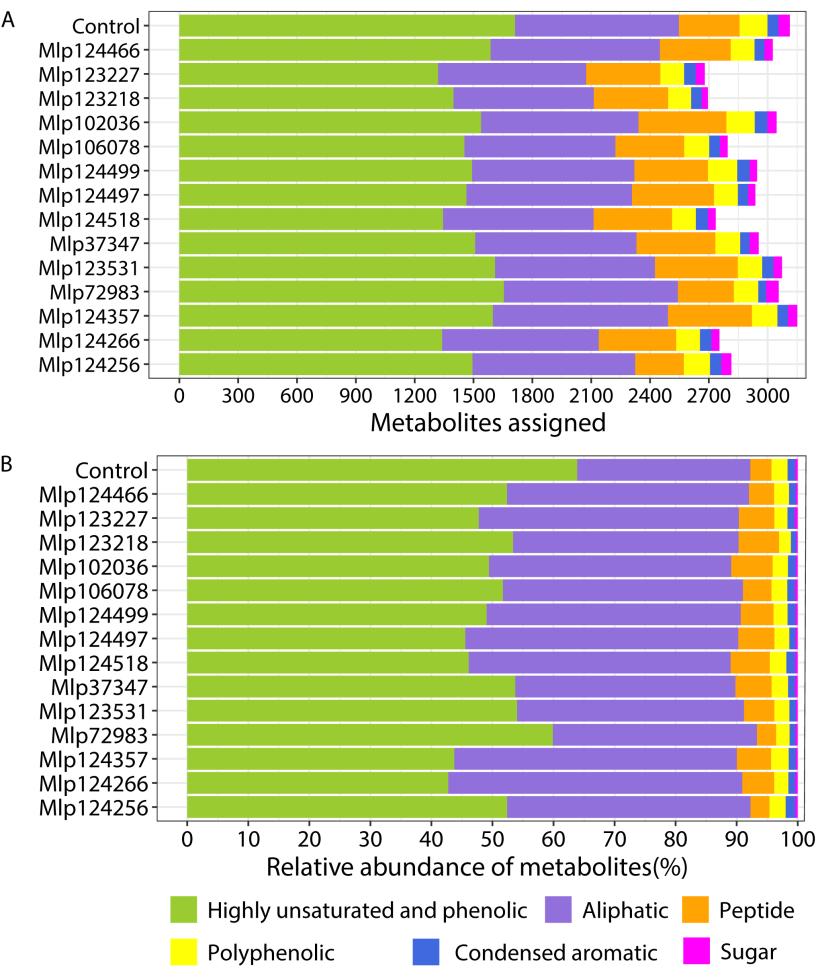


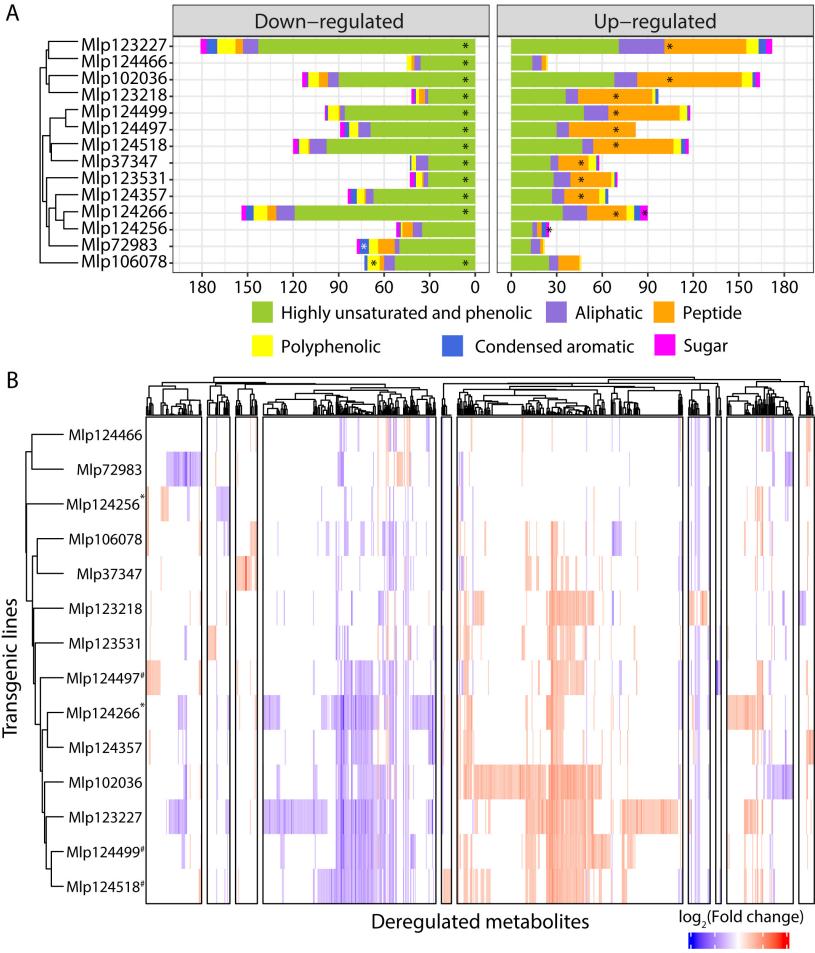
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MIP 124256 MIP 124353 MIP 124353 MIP 124353 MIP 12449 MIP 12449 MIP 123218 MIP 124466 MIP 124466 Metabolic pathways -Biosynthesis of secondary metabolites MAPK signaling pathway-Plant-pathogen interaction -Starch and sucrose metabolism Circadian rhythm · Plant hormone signal transduction -Phenylpropanoid biosynthesis Glyoxylate and dicarboxylate metabolism-Glutathione metabolism -Glucosinolate biosynthesis 2-Oxocarboxylic acid metabolism Metabolic pathways -Biosynthesis of secondary metabolites -Starch and sucrose metabolism -Circadian rhythm -Phenylpropanoid biosynthesis-Ribosome





-10 -5 0 5 10

