1 Interrogation of SLFN11 in pediatric sarcomas uncovers an unexpected biological role and a 2 novel therapeutic approach to overcoming resistance to replicative stress

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36 Abstract

Pediatric sarcomas represent a heterogeneous group of malignancies that exhibit variable 37 38 response to DNA damaging chemotherapy. Schlafen family member 11 protein (SLFN11) 39 increases sensitivity to replicative stress, and SLFN11 gene silencing has been implicated as a common mechanism of drug resistance in tumors in adults. We found SLFN11 to be widely 40 expressed in our cohort of pediatric sarcomas. In sarcoma cell lines, protein expression strongly 41 correlated with response to the PARP inhibitor talazoparib (TAL) and the topoisomerase I 42 inhibitor irinotecan (IRN), with SLFN11 knockout resulting in significant loss of sensitivity in vitro 43 44 and in vivo. However, SLFN11 expression was not associated with favorable outcomes in a 45 retrospective analysis of our patient cohort; instead, the protein was retained and promoted tumor growth and evasion. Furthermore, we show that pediatric sarcomas develop resistance to 46 TAL and IRN through impaired intrinsic apoptosis, and that resistance can be reversed by selective 47 inhibition of BCL-XL. 48

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50 Statement of Significance

The role of SLFN11 in pediatric sarcomas has not been thoroughly explored. In contrast to its activity in adult tumors, SLFN11 did not predict favorable outcomes in pediatric patients, was not silenced, and promoted tumor growth. Resistance to replicative stress in SLFN11-expressing sarcomas was reversed by selective inhibition of BCL-XL.

55 **INTRODUCTION**

Pediatric sarcomas are a heterogeneous group of malignancies disproportionately affecting adolescents and young adults. Multimodal therapy with chemotherapy, surgery, and radiation therapy (RT) has improved outcomes for those patients with localized disease. However, progress has stalled for patients with metastatic disease, who continue to have survival rates of <30% for the most common subtypes [1-5]. Therefore, novel therapeutic strategies and biomarkers that predict sensitivity to therapy are needed.

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63 Previously, we reported that combining the poly (ADP-ribose) polymerase inhibitor (PARPi) 64 talazoparib (TAL) with the topoisomerase I inhibitor (Topo1i) irinotecan (IRN) and temozolomide (TMZ) resulted in high rates of complete response (CR) in a murine model of Ewing sarcoma (ES) 65 [6]. This work motivated a clinical trial testing TAL plus IRN with and without TMZ in children with 66 refractory/recurrent solid tumors (NCT02392793). Results were encouraging: of 24 evaluable 67 68 patients, 1 with Ewing sarcoma (ES) had a complete response (CR), 5 others had a partial response (PR), and 18 had disease stabilization [7]. However, it remains unclear how the combination 69 works in this population. 70

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Although BRCA mutations are rare in ES, several mechanisms have been proposed to explain the PARPi sensitivity in ES, most notably *functional* BRCA deficiency and SLFN11 [8-11]. Fusion proteins involving the peptide encoded by *EWSR1* at the N-terminus are the oncogenic drivers in ES (which has *EWSR1-FLI1/ERG* fusion) and in a subset of aggressive sarcomas, such as

desmoplastic small round cell tumors (DSRCTs) (which have EWSR1-WT1 fusion) and clear cell 76 77 sarcomas (which have EWSR1-ATF1 fusion). Gorthi et al [11] reported that the EWSR1 fusion protein increases R-Loop formation, which sequesters BRCA1, rendering the tumor cell BRCA 78 deficient and susceptible to replicative stress. They speculated that BRCA deficiency might create 79 80 a liability in all tumors possessing an EWSR1-translocation. Tumors deficient in mediators of homologous recombination (HR) such as BRCA1 and BRCA2 are more susceptible to DNA single 81 strand breaks: consequently, PARPis are selectively lethal in these cells. However, in contrast to 82 83 PARPi treatment of adult tumors with BRCA1 or BRCA2 mutations, single-agent PARPi treatment in patients with relapsed/refractory ES elicited no significant responses or durable disease control 84 [12]. 85

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Ewing sarcomas express high levels of Schlafen family member 11 (SLFN11), a putative DNA/RNA 87 helicase whose expression has been associated with the response to DNA damaging agents 88 (DDAs), thymocyte maturation, viral immunity, and interferon production [13]. SLFN11 augments 89 sensitivity to replicative stress by stalling replication forks and impairing the DNA repair 90 91 checkpoint response [14, 15]. PARPis cause replicative stress through PARP trapping [9], whereby 92 the PARP protein becomes physically associated with DNA. This is similar to the mechanism of action of Topo1is, which are well-known inducers of replicative stress [16]. Additionally, PARP 93 94 inhibition augments Topo1i toxicity by preventing the recruitment of repair enzymes to the site 95 of damage, and TMZ enhances PARPi-mediated replicative stress by augmenting PARP trapping [17]. Therefore, the strategy of combining a PARPi, a Topo1i, and TMZ is a rational means of 96 97 exploiting replicative stress in cancer cells. In retrospective studies, patients with ovarian cancer

[18], breast cancer [19], prostate cancer [20], and ES-family tumors [10] who were treated with
DDAs had better prognosis if their tumors had high SLFN11 expression. Patients with small-cell
lung cancer expressing SLFN11 showed improved progression-free survival (PFS) and overall
survival (OS) when treated with the PARPi veliparib and TMZ [21].

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Determining whether SLFN11 or the EWSR1 fusion drives sensitivity to PARPi combinations in 103 pediatric sarcomas is crucial for identifying patients who might benefit the most from such 104 treatment. Although SLFN11 is highly expressed in ES, its expression pattern in other pediatric 105 106 sarcomas is largely unknown. SLFN11 mutations are rare, and epigenetic regulation has been suggested as a mediator of resistance [16, 22]. In this work, we show that SLFN11 is widely 107 108 expressed in common pediatric sarcoma subtypes and that the SLFN11 protein, not the presence of a EWSR1 fusion, drives sensitivity to TAL and IRN both in vitro and in vivo. Importantly, we 109 show that SLFN11 expression does not portend a better prognosis in these patients: in fact, we 110 reveal an unexpected oncogenic role for the protein. We also show that impairment of intrinsic 111 apoptosis, not loss of SLFN11 expression, is a primary means of resistance to PARPi combination 112 therapy in pediatric sarcoma, and that sensitivity to TAL+IRN can be restored by selective 113 inhibition of BCL-XL. Our work supports the use of combinations involving strong-trapping PARPis 114 115 and Topoi1s as targeted therapy for SLFN11-positive pediatric sarcomas, and it offers novel strategies to combat tumors resistant to replicative stress. 116

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118 **RESULTS**

120 SLFN11 Expression Is Highly Correlated with Sensitivity to SN-38 and TAL

To determine the extent to which SLFN11 expression is correlated with sensitivity to DDA, we 121 122 analyzed the Genomics of Drug Sensitivity in Cancer (GDSC) database which contains more than 123 1000 cell lines that have been assayed for cell viability 72 h after exposure to hundreds of drugs [23]. The efficacies of several DDAs, as measured by the area under the curve (AUC), were highly 124 correlated with SLFN11 expression, with those of the strong-trapping PARPi TAL, and SN-38, the 125 active metabolite of IRN, showing the highest statistical significance and the largest effect sizes 126 127 (Fig. 1A; Supplementary Table S1A). In contrast, the microtubule inhibitors vinorelbine and 128 vinblastine and the weak-trapping PARPi olaparib showed poor associations. The Pearson 129 correlation between the mean AUC of SN-38 and TAL was 0.51 (P < 0.001), and there was a clear 130 trend between this average and the increasing quintiles of SLFN11 expression (P < 0.001, 1-way ANOVA) (Fig. 1B; Supplementary Table S1B). We observed no correlation between drug 131 132 response and those genetic lesions known to impair HR and sensitize tumor cells to both PARPis and Topo1is, such as BRCA1, BRCA2, and ATM (Fig. 1C; Supplementary Fig. S1A and S1B; 133 Supplementary Table S1C-S1E) [24-26]. The short timescale of the GDSC viability assay (72 h) 134 suggests that SLFN11 induces rapid cytotoxicity, and this phenotype appears to be distinct from 135 that induced by HR defects. 136

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We further explored the GDSC database by first defining cell lines within the top quintile of expression as "SLFN11 High" and all others as "SLFN11 Low" then examining the distribution of AUCs by tumor subtype (**Fig. 1D**). The correlation between SLFN11 expression and average SN- 38/TAL activity was present in ES, rhabdomyosarcoma (RMS), and osteosarcoma (OST) cell lines, although sampling was low for the latter 2 tumor types. In contrast, all but 1 neuroblastoma model expressed low SLFN11, despite 11/24 cell lines (46%) showing a drug response comparable to that of the highest quintile of SLFN11 expressors. Moreover, there was little difference in the drug response of high and low SLFN11 expressors in glioma cell lines, suggesting that the potential for SLFN11 to act as a biomarker predicting sensitivity to SN-38/TAL varies considerably across tumor types.

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To better understand the relation between SLFN11 levels and DNA repair defects, we calculated 149 mutational signatures (MS) in tumors from pediatric patients with ES, RMS, and OST (Fig. 1E and 150 1F; Supplementary Table S1F), using data from BRCA-deficient and BRCA-wild-type cohorts used 151 as control [27]. As expected, expression of MS3, a signature associated with HR repair defects, 152 was highest in the BRCA-deficient group. Consistent with recent reports, OST also showed 153 elevated expression of this signature [28]. In contrast, ES tumors had MS3 levels comparable to 154 those in BRCA-wild-type tumors, a finding inconsistent with reports that suggest translocations 155 involving EWSR1 induce functional BRCA deficiency. Consistent with previously reported whole-156 genome sequencing studies [29, 30], ES tumors have low genomic instability as assessed by the 157 158 total number of mutations—an observation incompatible with the presence of HR deficiency.

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Given the high level of SLFN11 expression in ES and the relative scarcity of data on its expression
in other pediatric sarcoma, we developed an immunohistochemistry (IHC) protocol that uses a

commercially available antibody to assess protein levels in pediatric sarcomas directly. We 162 163 assayed 353 samples from 220 different patients with non-CNS solid tumors who had sufficient material for staining at St. Jude Children's Research Hospital (Fig. 1G; Supplementary Table S1G 164 and S1H). The patient demographics and diagnosis groups are shown in Table 1. SLFN11 had 165 166 variable expression, but was nearly universal in ES and DSRCT, with 90% and 100% of those tumors showing SLFN11 positivity (H-score > 0), respectively. SLFN11 was detected in 75% of the 167 samples from patients with OST or embryonal RMS (eRMS). Quantification of SLFN11 in the other 168 169 tumor types was limited by the small sample sizes. Using H-score at diagnosis, we found the 170 highest SLFN11 expression in ES, followed by eRMS, OST, and DSRCT (Fig. 1H), with a few samples of the latter 3 tumor types having high expression levels similar to those observed in ES. Overall, 171 SLFN11 was expressed in 69% of pediatric sarcoma sampled, and 76% of the most common 172 pediatric sarcomas—a significantly higher percentage than has been implicated in adult tumors 173 174 [31].

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176 SLFN11, Not EWSR1 Translocation, Is Required for Sensitivity to SN-38 and TAL In vitro

To further assess SLFN11 and *EWSR1* translocation as potential drivers of sensitivity to TAL and SN-38, we profiled 14 sarcoma cell lines that varied by translocation type, p53 status, and histology, then we assessed SLFN11 expression by IHC, Western blot, and qPCR analysis (**Fig. 2A**, **Supplementary Table S2A and S2B**). The Pearson correlation between SLFN11 protein and mRNA levels was 0.64 (P = 0.018) (**Supplementary Fig. S2A**). Protein levels were correlated with sensitivity to single-agent SN-38 and TAL, with Pearson correlations of 0.72 (P = 0.003) and 0.74

(P = 0.003), respectively (**Supplementary Fig. S2B**); and with the mean AUC of the 2 compounds, 183 184 with a Pearson correlation of 0.77 (P = 0.001) (Fig. 2B). We found no association between sensitivity to these drugs and p53 status (P = 0.12, t-test) (Supplementary Fig. S2C). Although 185 most EWSR1-translocated cell lines were more sensitive to drug treatment when compared to 186 187 non-translocated cell lines, they also tended to express the highest levels of SLFN11. The exception was SU-CCS-1, a SLFN11-negative (no protein detected by Western; IHC H-score = 0) 188 EWSR1-ATF1-translocated clear cell sarcoma, suggesting that the EWSR1 translocation alone was 189 190 insufficient to drive drug sensitivity.

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To confirm SLFN11 as the primary driver of sensitivity to these agents, we knocked out the gene 192 193 by using CRISPR/Cas9 in our 3 highest-expressing models, generating the isogenic pairs: ES8/ES8-KO, JN-DSRCT/JN-DSRCT-KO, and A673/A673-KO. We also over-expressed the protein in U2OS 194 cells, which showed little baseline expression, to create U2OS-OE cells (61% protein relative to 195 total ES8 by Western); and in ES8-KO cells, to create ES8-KO+OE cells (37% protein relative to 196 total ES8). Knockout and over-expression were confirmed by Western blot analysis and IHC 197 (Supplementary Figure S2D and S2E; Supplementary Table S2A and S2B). Loss of SLFN11 protein 198 significantly reduced sensitivity to both SN-38 and TAL in all three knockout lines, whereas over-199 200 expression in U2OS and ES8-KO cells increased drug sensitivity (Fig. 2C and 2D). Consistent with our GDSC analysis, SLFN11 loss had little effect on vincristine sensitivity. Despite expressing a 201 202 lower level of the same engineered SLFN11 protein construct, ES ES8-KO+OE cells had higher AUC 203 values for SN-38 and TAL when compared to OST U2OS-OE cells (0.40 vs. 0.25 for SN-38 and 0.26 vs. 0.10 for TAL), consistent with the hypothesis that the magnitude of sensitization induced by 204

SLFN11 varies between tumor types (**Supplementary Fig. S2F**). Ionizing radiation is another means to induce replicative stress [32]. In agreement with our SN-38 and TAL experiments, ES8-KO and JN-DSRCT-KO were more viable, and U2OS-OE was less viable, compared to their wildtype counterparts at 72 h after exposure to 4 Gy radiation (**Supplementary Fig. S2G**).

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To further study the effect of SLFN11 KO in our models, we used flow cytometry to compare cell 210 cycle effects and the degree of cell death induced by "Low" (10 nM SN-38 + 10 nM TAL) and 211 212 "High" (1000 nM SN-38 + 1000 nM TAL) dose combinations after 24 h exposure. Based on our 213 previous pharmacokinetic assessment, "Low" approximates to the upper bound of clinically relevant concentrations for both drugs, whereas "High" is physiologically unobtainable but useful 214 215 for studying mechanism and resistance [6]. Wild-type ES8 cells showed near-complete loss of viability at both "Low" and "High" doses of the combination, whereas the ability of SN-38+TAL to 216 induce cell death in ES8-KO cells was significantly diminished, even at high concentrations (Fig. 217 **2E)**. A similar decrease in cell viability was observed in JN-DSRCT compared to JN-DSRCT-KO cells, 218 and the combination was also less cytotoxic in SLFN11-negative SU-CCS-1 cells (Supplementary 219 Fig. S2H). SLFN11 selectively induces death in cells arrested in S-phase because of replicative 220 stress [15]. Consistent with this finding, we observed a significant build-up of S-phase-arrested 221 222 ES8-KO cells (Fig. 2F).

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Previous studies have shown that SLFN11 is a transcriptional target of EWS-FLI1 [10]. To determine whether SLFN11 itself contributes to the regulation of EWSR1-FLI1 target genes, we assessed gene expression in ES8 and ES8-KO cells at 4 h and 24 h following exposure to 0 or 2 Gy
of ionizing radiation (baseline and stress conditions). EWSR1-FLI known targets mapped equally
between upregulated and downregulated genes, and we found no enrichment of directional
activation or inhibition of those EWSR1-FLI1 target genes when using Gene Set Enrichment
Analysis (GSEA, FDR > 0.05) (Fig. 2G; Supplementary Table S2C). Therefore, although SLFN11 is
regulated by EWS-FLI1, it appears to perturb gene expression independently of the fusion
protein.

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To determine whether SLFN11 influenced the extent of DNA damage induced by SN-38 and TAL, 234 we performed an alkaline comet tail assay after exposing ES8 and ES8-KO cells to DMSO and the 235 "High" concentration of SN-38 and TAL for 2 h (Fig. 2H). The amount of DNA damage was similar 236 in both cell lines after drug treatment, indicating that SLFN11 does not enhance the degree of 237 damage but rather increases the probability of cell death following drug insult. Finally, given the 238 findings of high levels of R-loops in EWSR1-translocated tumors [11], we quantified R-loop 239 expression in ES8 and ES8-KO cells. Despite a remarkable difference in their response to SN-38 240 and TAL, we found no significant difference in R-loop levels in the wild-type and SLFN11 KO 241 models (Fig. 2I). 242

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244 SLFN11, Not EWSR1 Translocation, Is required for Sensitivity to TAL and IRN In Vivo

To confirm our *in vitro* finding indicating that SLFN11 was an important driver of drug response
in *EWSR1*-translocated tumors, we conducted *in vivo* efficacy studies, as described previously [6],

using luciferase-labeled xenografts of ES8, ES8-KO, JN-DSRCT, JN-DSRCT-KO, and SU-CCS-1 cells. Mice were screened weekly by Xenogen[®] imaging and enrolled in the study after a target bioluminescence signal of 10⁷ photons/s/cm² or a palpable tumor was obtained. We used clinically relevant doses and schedules for all treatment groups tested (**Fig. 3A**) [6] and administered 4 courses of therapy (21 days/course).

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253 In ES8 (high SLFN11) xenografts, mice treated with TAL+IRN+TMZ had the best response, with 100% surviving the 84 days of therapy, and 75% experiencing a CR or PR as determined by the 254 255 bioluminescence signal (Fig. 3B and 3C; Supplementary Table S3). Mice treated with TAL+IRN survived an average of 60.5 days, with 50% surviving all 4 courses of therapy. In sharp contrast 256 to mice with wild-type ES8 xenografts, mice with ES8-KO xenografts treated with TAL+IRN and 257 TAL+IRN+TMZ survived an average of 7.5 days and 23.1 days, respectively, with 100% having 258 progressive disease (PD) (Fig. 3D and 3E; Supplementary Table S3). No mice in any ES8-KO 259 treatment cohort survived all 4 courses of therapy, although 1 control mouse appeared to have 260 lost its engraftment signal after 2 weeks and survived the study. Compared to ES8 mice, survival 261 in ES8-KO mice was significantly lower when treated with either TAL+IRN (P < 0.001) or 262 TAL+IRN+TMZ (*P* < 0.001) (**Fig. 3F**). 263

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Testing JN-DSRCT (high SLFN11) xenografts *in vivo* was challenging, as these tumors grew slower and had lower engraftment rates by comparison with ES8 xenografts. Five wild-type mice were successfully enrolled and treated with either TAL+IRN or TAL+IRN+TMZ, and all experienced a CR

by 84 days (Fig. 3G and 3H; Supplementary Table S3). One untreated mouse was also enrolled 268 269 and maintained stable disease (SD) throughout the 4 courses of therapy. Interestingly, JN-DSRCT-KO cells engrafted poorly and were unable to be tested. In SU-CCS-1 (no SLFN11) xenografts, 270 disease stabilized in mice treated with TAL+IRN+TMZ and 90% of the mice had SD or a PR at the 271 272 end of therapy (Fig. 31). However, once therapy was stopped, all mice regrew tumors within a few weeks (Fig. 3J). Together, these findings confirm the importance of SLFN11 in driving in vivo 273 274 sensitivity to combinations involving SN-38 and TAL in EWSR1-translocated tumors. The most 275 striking result was the near complete loss of efficacy in ES8-KO xenografts.

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Finally, we explored efficacy in 143B cells, an aggressive OST cell line (*EWSR1* fusion negative) with low SLFN11 expression (19% of that in ES8 cells), and observed an intermediate response. Mice treated with TAL+IRN survived an average of 13.4 days, with 100% having PD (**Supplementary Fig. S3; Supplementary Table S3**). However, mice treated with TAL+IRN+TMZ survived much longer, with an average of 77.8 days on study, although none experienced a CR.

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283 SLFN11 Positivity Is Not Associated With Better Outcomes in Children With Sarcoma

Motivated by the strong evidence that SLFN11 sensitized pediatric sarcomas to PARPi combination therapy *in vitro* and *in vivo*, we performed a retrospective analysis of the patient cohort profiled in our IHC study to determine how SLFN11 status changed as therapy progressed and whether protein levels predicted clinical outcome. Only patients who had a sample available prior to recurrence or progression were included in the survival analysis (N = 143, **Table 1**). 98.4% of patients were treated with at least one DDA and 66.7% received radiation at some point in their therapy. This population was more refractory than would be expected historically, with patients with NRSTS, ES, and eRMS all having OS and event-free survival (EFS) of <50% at 5 years (Fig. 4A; Supplementary Fig. S4A).

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Loss of SLFN11 expression has been proposed as a mechanism of DDA resistance in adult tumors 294 [33]. To explore the trend of SLFN11 levels in our cohort, we identified 18 patients with at least 295 296 2 IHC measurements spanning different points in treatment (Fig. 4B; Supplementary Fig. S4B). 297 We found no evidence that SLFN11 was silenced over time: 10/18 of patients remained positive 298 throughout, 3/18 went from being SLFN11 negative to SLFN11 positive, 3/18 went from being 299 SLFN11 positive to SLFN11 negative, and 2/18 were initially SLFN11 positive then had a negative sample followed by another positive sample. When including all patients in our IHC study, 37.2% 300 of those with a sample at diagnosis were positive, compared with 46.2% at progression and 53.7% 301 at relapse (Supplementary Table S4A). We observed no clear trend in the mean values for SLFN11 302 H-score in samples obtained at diagnosis, relapse, or autopsy. 303

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Using receiver-operating characteristic (ROC) analysis, we found that SLFN11 expression as measured by H-score failed to discriminate patients by EFS, OS, recurrence-free survival (RFS), or PFS across all tumor types (**Fig. 4C; Supplementary Fig. S4C-S4E**), or within individual disease cohorts (**Fig. 4D; Supplementary Fig. S4F and S4G**). Surprisingly, SLFN11 positivity was a statistically significant predictor of *worse* outcome in terms of RFS (*P* = 0.045, HR = 1.76 [1.01-

3.05]) in univariate analysis (**Supplementary Table S4B**). The metrics OS (P = 0.072, HR = 1.82 310 311 [0.95-3.49]), EFS (P = 0.10, HR = 1.58 [0.92-2.73]), and PFS (P = 0.078, HR = 1.72 [0.94-3.13]) were nonsignificantly associated with poorer outcomes in patients with SLFN11 expressing tumors. 312 313 Although statistical significance was not achieved for any metric in multivariate analysis 314 controlling for age, metastatic status, and disease, the hazard ratio for positivity remained at or greater than unity (Fig. 4E; Supplementary Fig. S4H-S4J). ROC analysis using the H-scores failed 315 to discriminate patients with metastatic disease (AUC = 0.605). Moreover, neither SLFN11 H-316 317 score nor positivity were statistically significant predictors of metastasis in multivariate analysis 318 that controlled for diagnosis (P = 0.58 and 0.35, respectively).

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320 To further interrogate the impact of SLFN11 expression in pediatric sarcoma, we developed and 321 characterized an orthotopic xenograft model, SJEWS049193_X1, using a tumor obtained at 322 autopsy from a patient with metastatic ES treated with multiple salvage regimens, including 323 treatment on the TAL+IRN+TMZ clinical trial NCT02392793 (Fig. 4F). Despite expressing a high level of SLFN11 (H-score = 285), this tumor failed to respond to TAL+IRN+TMZ in vivo, and 100% 324 325 of mice showed PD (Fig. 4G-4I, Supplementary Table S3). Taken together, our clinical findings indicated that (a) SLFN11 positivity is common in pediatric sarcoma; (b) pediatric sarcomas do 326 327 not acquire DDA resistance via SLFN11 silencing; and (c) SLFN11 positivity does not predict 328 improved patient outcomes, and might, in fact, do the opposite. These results suggest an 329 oncogenic role for SLFN11 in these tumors.

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331 SLFN11 Knockout Induces Widespread Transcriptional Changes in Models of ES and DSRCT

To investigate the effect of SLFN11 beyond its role as a sensitizer to DNA damage, we expanded 332 333 the microarray study described earlier to include JN-DSRCT and JN-DSRCT-KO cells (Fig. 5A). After controlling for cell of origin, 4960/21148 of the genes studied (23%) were differentially expressed 334 335 in ES8 and JN-DSRCT upon SLFN11 loss (ANOVA FDR < 0.05). GSEA using the MsigDB Hallmark 336 sets [34] revealed significant upregulation of genes involved in "Interferon Alpha Response", "Interferon Gamma Response", and "TNFA Signaling via NFKB"; and downregulation of genes 337 enriched in "E2F targets" and "G2M Checkpoint" (FDR q-value < 0.001, Fig. 5B; Supplementary 338 339 Fig. S5A) in SLFN11-KO cells. Ingenuity Pathway Analysis (IPA) [35], based on differentially 340 expressed genes showing concordant change greater than 0.2 log in both cell backgrounds, confirmed "Interferon Signaling" activation in SLFN11-KO cells. The top regulator effect identified 341 by IPA was enhanced "Quantity of MHC Class I on cell surface" in SLFN11-KO cells (Fig. 5C). This 342 expression study suggests that loss of SLFN11 decreases proliferation and reduces evasion of the 343 344 host innate immune response in these models.

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The most upregulated gene in SLFN11-KO cells was that encoding arginase succinate synthetase 346 1 (ASS1), an enzyme involved in the arginine biosynthetic pathway (**Fig. 5D**). Others have shown 347 that tumor cells repress the ASS1 gene to bypass the urea cycle and increase cytosolic aspartate 348 levels, thereby stimulating pyrimidine biosynthesis and promoting cancer proliferation [36, 37]. 349 ASS1 deficiency is common in sarcomas, and renders them vulnerable to depletion of arginine 350 351 from their environment via pegylated arginine deiminase (ADI-PEG 20) [38, 39]. To test whether changes in ASS1 expression had functional consequences, we treated ES8 and JN-DSRCT wild-352 type and SLFN11-KO cells with ADI-PEG 20 in a dose-response experiment and then evaluated 353

cell viability with the CellTiter-Glo (CTG) assay. Consistent with our expression study, wild-type
 cells were more sensitive to arginine depletion than were SLFN11-KO cells (Fig. 5E).

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357 To determine whether the presence of SLFN11 conferred a general growth advantage to sarcoma 358 cells, we measured the growth rate of wild-type and SLFN11-KO cells in culture. We observed decreases of 9%, 17%, and 27% in the growth rates for KO cells compared to wild-type ES8, JN-359 DSRCT, and A673 cells, respectively; and an increase of 7% for U2OS-OE cells compared with 360 U2OS cells (Figure 5F; Supplementary Fig. S5B). In vivo, ES8 and ES8-KO models maintained the 361 362 aggressive growth observed in vitro and we were unable to detect a difference in survival between untreated mice from these two groups (P = 0.316) (Supplementary Table S3). Strikingly, 363 364 SLFN11 deletion caused a complete loss of the ability of JN-DSCRT-KO cells to form tumors in our xenograft models, despite multiple attempts to optimize conditions both intraperitoneally and 365 subcutaneously (Fig. 5G). 366

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368 Mechanisms of Resistance to SN-38 and TAL in SLFN11-Expressing Pediatric Sarcomas

The lack of SLFN11 silencing in the pediatric sarcoma population led us to seek alternative mechanisms of resistance in cell lines with high levels of SLFN11 expression. Unfortunately, SJEWS049193_X1, the resistant ES model described earlier, was not amenable to prolonged cell culture and *in vitro* investigation. Therefore, we mined the GDSC database to identify the sarcoma cell lines with the highest levels of SLFN11 expression that were refractory (having less than the median activity observed in the GDSC) to both SN-38 and TAL. We identified 3 lines of

interest: EW-13, EW-18, and EW-24 (Supplementary Fig. S6A, bottom-left quadrant). These lines 375 376 showed broad resistance to oncology drugs, including vincristine, when compared to sensitive ES models with high SLFN11 expression (Fig. 6A; Supplementary Table S6A). We obtained EW-13 377 378 and EW-18, as well as EW-11 which showed intermediate sensitivity in the database. Separately, 379 we acquired CHLA258, which was reported to be less sensitive to Topo1is [40]. We confirmed drug resistance with CTG assays and flow cytometry (Fig. 6B; Supplementary Fig. S6B). Western 380 blot analysis indicated strong SLFN11 expression, with bands of appropriate size, in EW-11, EW-381 382 13, and CHLA258; however, protein expression in EW-18 was weaker and the band migration corresponded to a lower molecular weight (Fig. 6C; Supplementary Fig. S6C). Cross-referencing 383 with the COSMIC database (https://cancer.sanger.ac.uk/cosmic) revealed that the SLFN11 gene 384 385 in EW-18 had a frameshift mutation, c.1928 1929insA, which resulted in a C-terminal truncation that was predicted to limit nuclear localization and, therefore, reduce DDA sensitization [14]. 386 387 Indeed, IHC confirmed that SLFN11 was predominantly expressed in the cytoplasm, and consequently, the cell line was assigned an H-score of 0 (Fig. 6D; Supplementary Fig. S6D). It is 388 important to note that, as far we are aware, all commercially available antibodies target the N-389 terminus of SLFN11 and could fail to detect C-terminal truncations unless a distinction is made 390 between nuclear and cytoplasmic staining as we did in this study. 391

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Although the *SLFN11* mutation in EW-18 could explain its weak response to DDAs, our analysis of the GDSC and COSMIC databases indicated that the frequency of *SLFN11* mutation in the resistant population was low, with 18/22 cell lines (82%) having a wild-type sequence. We chose SLFN11-wild-type CHLA258 for further investigation. We confirmed strong nuclear expression of the protein by IHC (H-score = 225) (Fig. 6D). Consistent with the other tumor lines, SN-38+TAL induced a high level of DNA damage as assessed by the alkaline comet-tail assay (Fig. 6E). R-loop expression was comparable to that observed in ES8 and ES8-KO cells (Fig. 6F). The "Low" combination of SN-38 and TAL did not substantially increase apoptosis but instead induced a build-up of S-phase cells similar to that observed with ES8-KO cells (Supplementary Fig. 6SE). However, the S-phase population was depleted by the "High" concentration.

403

Overlaying the SN-38 dose-response curves for the ES8, ES8-KO and resistant cell lines revealed 404 405 2 distinct phenotypes (Fig. 6G). The dose-response curves for EW-11, EW-13, CHLA258, and A673 406 (the least sensitive ES cell line in our original panel) showed an inflection at concentrations of <10 407 nM, as seen with ES8 cells, but did not show the same level of maximum efficacy (percentage inhibition of viability). Consistent with the lack of SLFN11 nuclear localization, EW-18 behaved 408 more like the ES8-KO cells. The efficacy of TAL was substantially less in all cell lines, and its 409 potency was 2 to 6-fold within that in ES8 cells. The exceptions to this were EW-13, in which TAL 410 was more potent than in ES8 cells, and EW-18, in which TAL was inactive (Supplementary Fig. 411 S6F). 412

413

To probe the mechanism of cytotoxicity induced by TAL and SN-38, we treated ES8 cells exposed to each drug with the caspase inhibitor Z-VAD-FMK, and discovered a significant reduction in efficacy suggesting that apoptosis was the primary mode of cell death with this drug combination (data not shown). To investigate the role of the intrinsic apoptosis pathway in mediating drug sensitivity, we knocked out BAX, BAK, and both BAX and BAK in ES8 cells. The potency of SN-38
and TAL in BAX and BAX/BAK KO cells remained within 2-fold of that in wild-type ES8 cells, but
their efficacy was significantly reduced—a phenotype similar to that observed in EW-11, EW-13,
CHLA258, and A673 (Fig. 6H). BAX deletion alone reduced sensitivity to the DDAs doxorubicin and
etoposide to levels comparable to those in ES8-KO cells, but the deletion also reduced sensitivity
to vincristine—a phenotype distinct from that of SLFN11 KO cells and comparable to that
observed in the resistant ES cell lines described earlier (Fig. 6I).

425

426 During this project, it was reported that resistance to the PARPi olaparib in ES cell lines could be overcome with the pan-BCL2 inhibitor navitoclax [41]. Motivated by that work and by our own 427 findings, we screened small molecules inhibitors that were selective for individual BCL2 family 428 members: venetoclax (BCL2), S63845 (MCL), and A-1331852 (BCL-XL). BCL-XL inhibition alone 429 sensitized sarcoma cells to the combination of SN-38 and TAL (Fig. 6J). Addition of A-1331852 430 decreased cell viability in A673 and CHLA-258 by a factor of 5.2- and 7.9, respectively, relative to 431 that with the "Low" concentration alone. Although BCL-XL inhibition enhanced the efficacy of the 432 combination in SLFN11-negative U2OS cells, the change in U2OS-OE cells was significantly greater 433 (a 7.5-fold increase vs. a 2.8-fold increase). 434

435

These mechanistic studies suggest that (a) impairment of the intrinsic apoptotic pathway, rather
than reduced SLFN11 expression, constitutes a primary means of resistance to TAL and SN-38 in

pediatric sarcomas; and (b) selective inhibition of BCL-XL can restore sensitivity to the drug
combination in SLFN11 expressing resistant tumors.

440

441 **DISCUSSION**

The heterogeneous and aggressive nature of pediatric sarcomas makes it imperative to identify biomarkers for drug response and new therapeutic targets. Preliminary results from the phase I clinical trial NCT02392793 showed that the combination of TAL and IRN was tolerable and yielded encouraging results in several patients with sarcoma. In a phase I/II trial, TAL+TMZ was also well tolerated; however, no tumor response was seen in the 10 patients with ES treated on that trial, although 2 had prolonged SD [42]. Here, we have shown that SLFN11, not *EWSR1*-fusion or functional BRCA deficiency, is a significant driver of sensitivity to TAL and IRN in this population.

449

450 Using IHC, we found that SLFN11 was widely expressed in our cohort of patients with pediatric sarcomas, with H-scores reaching near maximum in some samples. The sensitivity to TAL and SN-451 38 in sarcoma cell lines correlated with protein levels both in vitro and in vivo and was 452 independent of the EWSR1 translocation. However, despite the strong link between protein 453 454 expression and DDA sensitivity in our preclinical studies, we found no association between SLFN11 status and improved outcome in a retrospective analysis of our patient cohort. Moreover, 455 we found no evidence that SLFN11 was silenced in recurrent disease. These findings contradict 456 recent reports indicating that certain SLFN11-positive tumors have better outcomes with DDA 457 therapy and that gene silencing is a principal route to DDA resistance. 458

459

The contrast between our findings and studies in other tumor backgrounds could be attributed 460 461 to the unique role that SLFN11 plays in pediatric sarcomas. SLFN11 KO in sarcoma cells induced 462 significant changes in transcription, including upregulation of interferon signaling and MHC Class I antigen presentation, and downregulation of genes required for cellular proliferation and 463 oncogenic metabolism. SLFN11-wild-type cells were more sensitive than SLFN11 KO cells to 464 arginine depletion using ADI-PEG-20, confirming the functional consequence of our finding that 465 SLFN11 deletion increased levels of ASS1, the rate-limiting factor in arginine biosynthesis. 466 467 Moreover, SLFN11 expressing cells grew faster in cell culture, and JN-DSRCT cells engrafted better 468 in orthotopic xenograft models compared to JN-DSCRT-KO cells.

469

Importantly, we identified sarcoma models expressing high levels of SLFN11 that were resistant 470 to TAL and SN-38. With the exception of EW-18, in which the SLFN11 gene was truncated, these 471 tumor cell lines expressed wild-type protein as determined by Western blot analysis, sequence 472 473 analysis, and nuclear localization. We saw no changes in R-loop levels or in the extent of druginduced DNA damage. These resistant models compensate for the DDA vulnerability induced by 474 SLFN11 expression by attenuating intrinsic apoptosis. Selective inhibition of BCL-XL increased the 475 cytotoxicity of the combination of TAL and SN-38 in resistant ES cell lines, and resulted in 476 enhanced drug efficacy in OST U2OS cells engineered to over-express SLFN11. 477

Our retrospective analysis of SLFN11 and outcome in pediatric sarcoma was clearly limited. 479 480 Although most patients received at least 1 DDA, the treatment modalities and disease types varied widely. Moreover, our population appeared to be more refractory to therapy than what 481 would be expected, likely owing to the categories of patients treated at our institution. 482 483 Consequently, our work strongly supports the prospective evaluation of SLFN11 as a biomarker predicting the response to PARPi and Topo1i combinations in newly diagnosed pediatric 484 sarcomas, which are less likely to have developed widespread chemo-resistance. The ability to 485 486 rescue tumors by selective BCL-XL inhibition warrants further investigation of strategies that target both replicative stress and the intrinsic apoptotic pathway. Finally, enhanced ASS1 487 deficiency in SLFN11-positive sarcomas may render them more susceptible to arginine depletion 488 489 strategies. Ultimately, our work provides a framework to develop rational, targeted combination therapy approaches for both treatment naïve and refractory SLFN11-positive pediatric sarcoma. 490

491

492 METHODS

493 Genomics of Drug Sensitivity in Cancer (GDSC) Correlations

Drug sensitivity (v17.3) and expression data were downloaded from the GDSC website (<u>https://www.cancerrxgene.org/gdsc1000/GDSC1000 WebResources/Home.html</u>) in May 2018 and June 2018, respectively. COSMIC mutation data (CosmicMutantExport.tsv.gz) was downloaded from <u>https://cancer.sanger.ac.uk/cosmic/download</u> in March 2020.

499 *Mutational Signatures*

500 The set of 30 mutational signatures, a 96 x 30 matrix *Z*, were obtained from COSMIC 501 (<u>https://cancer.sanger.ac.uk/cosmic/signatures</u>). Details of the fitting procedure are provided in 502 Supplemental Data.

503

504 Immunohistochemical staining of pediatric tumor samples

Immunohistochemistry was performed with the Dako Omnis instrument (Agilent) on 4-μm-thick formalin-fixed paraffin-embedded whole-tissue sections, using a rabbit anti-SLFN11 (anti-Schlafen family member 11) polyclonal antibody (Sigma-Aldrich Cat# HPA023030, RRID:AB_1856613) (1:25 dilution, 60 min incubation), Dako Low pH Target Retrieval Solution, and the Dako EnVision Flex Detection Kit. Immunoreactivity was scored using H-scores as described in Supplemental Data.

511

512 Cell culture and viability assays

Description, sourcing, and culture conditions for the sarcoma cell lines used in this work are reported in **Supplementary Table S2A**. Cell lines were authenticated using short tandem repeat analysis via PowerPlex (Promega), and tested for mycoplasma using MycoAlert (Lonza). Translocation status was confirmed using PCR and Fluorescence In Situ Hybridization (FISH). Cell viability was assessed using the CellTiter-Glo (CTG) assay (Promega). ADI-PED 20 was provided by Polaris Pharmaceuticals (San Diego, CA). Cell line characterization by Western Blot and qPCR analysis is described in Supplemental Data.

520

521 Cell Engineering

- 522 SLFN11 cDNA (OriGene Technologies Cat# RC226247L4) and the pVector control vector (OriGene
- 523 Technologies Cat# PS100093) were used to generate the ES8-KO+OE, U2OS-OE, and U2OS-PV
- 524 models, respectively. hSLFN11^{-/-} cells were generated using CRISPR-Cas9 technology.

525

526 Comet Assay

527 Alkaline single cell electrophoresis was performed using the CometAssay Reagent kit (Trevigen

528 Cat# 4250-050) in accordance with the manufacturer's instructions. Comets were imaged by

using the LionHeart FX automated microscope (Biotek) and the Gen5 Image Prime software to

530 construct image montages that were analyzed using TriTek CometScore 2.0.0.38.

531

532 **R-Loop Immunofluorescence**

R-loops were quantified based on the total nuclear intensity of the S9.6 antibody (Kerafast Cat#
ENH001, RRID:AB_2687463). Cells were imaged with a Leica microscope using 40x and 63x
objectives. Images were acquired using the Photon Counting 3D Nyquist technique.

536

537 Expression Analysis by Microarray

Expression profiles were generated from biological triplicates of wild-type and SLFN11-null cells
from two parental cell lines (ES8, JNDS). Cells were treated with 0 or 2 Gy of gamma irradiation

- then harvested at 4 h or 24 h post-irradiation. Total RNA (100 ng) was purified from treated cells
- 541 with a RNeasy Mini Kit (Qiagen Cat# 74104) and analyzed using the Affymetrix Clariom S Human
- assay (ThermoFisher Scientific Cat# 902927).
- 543

544 Patient Correlations

The St. Jude electronic database was surveyed for solid tumor patients enrolled on St. Jude trials from 2000 to 2018 to identify potential samples. Samples were assessed for viability and availability. Once staining was performed, a retrospective review of the electronic medical record was conducted. Patient data were matched with the IHC samples, and the results were analyzed for correlation by an independent statistician.

550

551 In Vivo Experiments

Athymic nude immunodeficient mice were purchased from Charles River (strain code 553). This study was carried out in strict accordance with the recommendations in the Guide to Care and Use of Laboratory Animals of the National Institute of Health. The protocol was approved by the Institutional Animal Care and Use Committee at St. Jude Children's Research Hospital. Drug dosing and efficacy studies were performed as described previously [6].

557

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668

	All, N=220 (%)	Survival Studies, N=143(%)
Age (years)		
N	185	135
Mean ± SD	9.2 ± 6.1	9.0 ± 6.4
Median (min - max)	9.6 (0.3 - 23.5)	8.8 (0.3 - 23.5)
Sex		
Female	82 (44.3)	63 (46.7)
Male	103 (55.7)	72 (53.3)
NA/missing	35	8
Race		
White	129 (74.1)	91 (71.7)
Black	34 (19.5)	26 (20.5)
Other	11 (6.3)	10 (7.9)
NA/missing	46	16
Ethnicity		
Non-Hispanic	123 (87.2)	97 (88.2)
Hispanic	18 (12.8)	13 (11.8)
NA/missing	79	33
Metastatic Disease		
No	68 (40.2)	43 (34.1)
Yes	101 (59.8)	83 (65.9)
NA/missing	51	17
Chemotherapy		
No	3 (1.7)	2 (1.6)
Yes	171 (98.3)	124 (98.4)
NA/missing	46	17
Radiation		
No	64 (36.8)	42 (33.3)
Yes	110 (63.2)	84 (66.7)
NA/missing	46	17
Surgery		
No	32 (18.4)	20 (15.9)
Yes	142 (81.6)	106 (84.1)
NA/missing	46	17
Transplantation		
No	127 (73.8)	84 (67.2)
Yes	45 (26.2)	41 (32.8)
NA/missing	48	18
Diagnosis Group		
DSRCT	7 (3.2)	5 (3.5)
aRMS	8 (3.6)	5 (3.5)
oRMS	1 (0.5)	5 (3.5)
eRMS	12 (5.5)	20 (14.0)
OS	55 (25.0)	42 (29.4)
NB	44 (20.0)	34 (23.8)
ES	48 (21.8)	13 (9.1)
NRSTS	19 (8.6)	19 (13.3)
sNOS	26 (11.8)	5 (3.5)

Table 1. Demographics of the pediatric cohort.

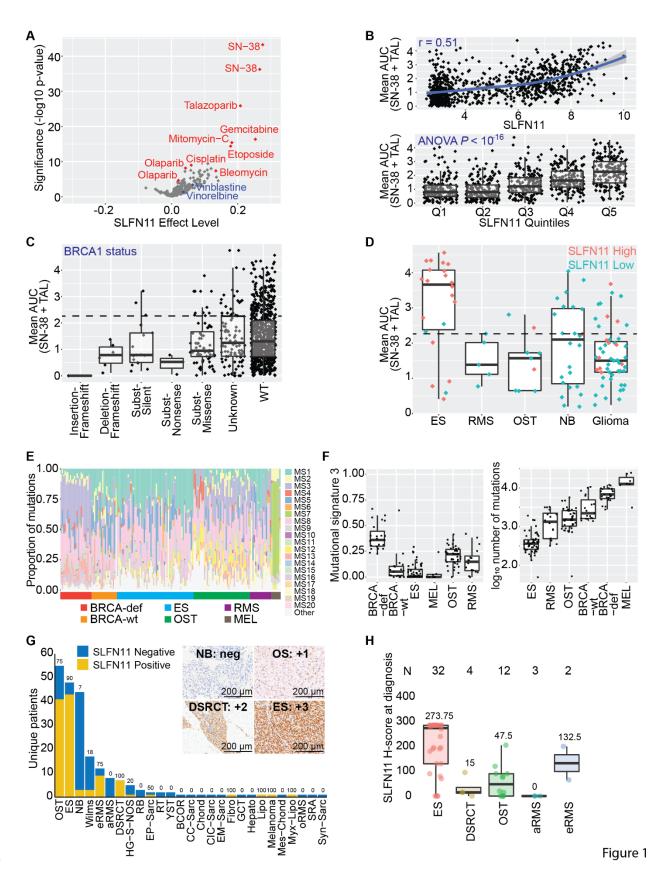
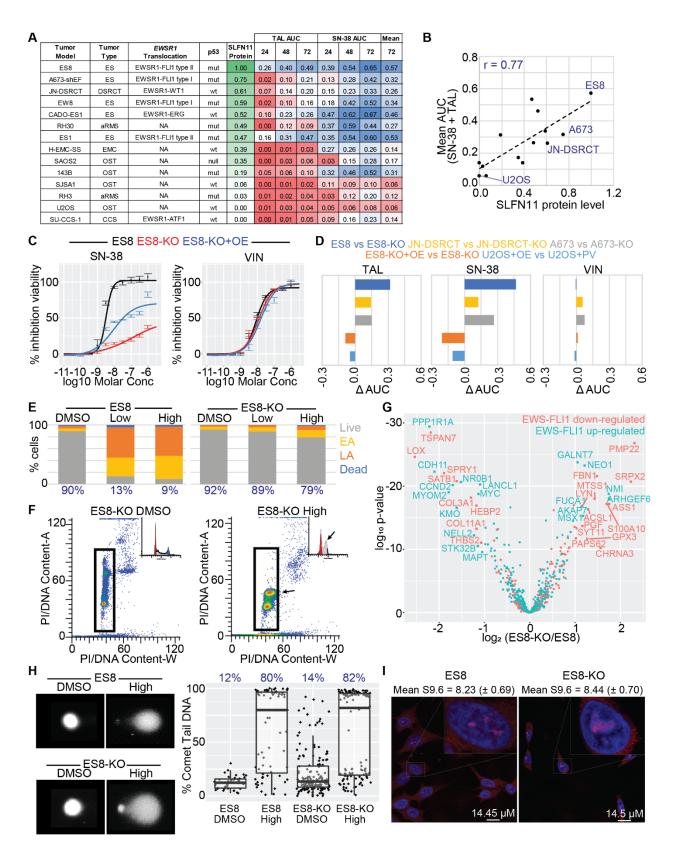
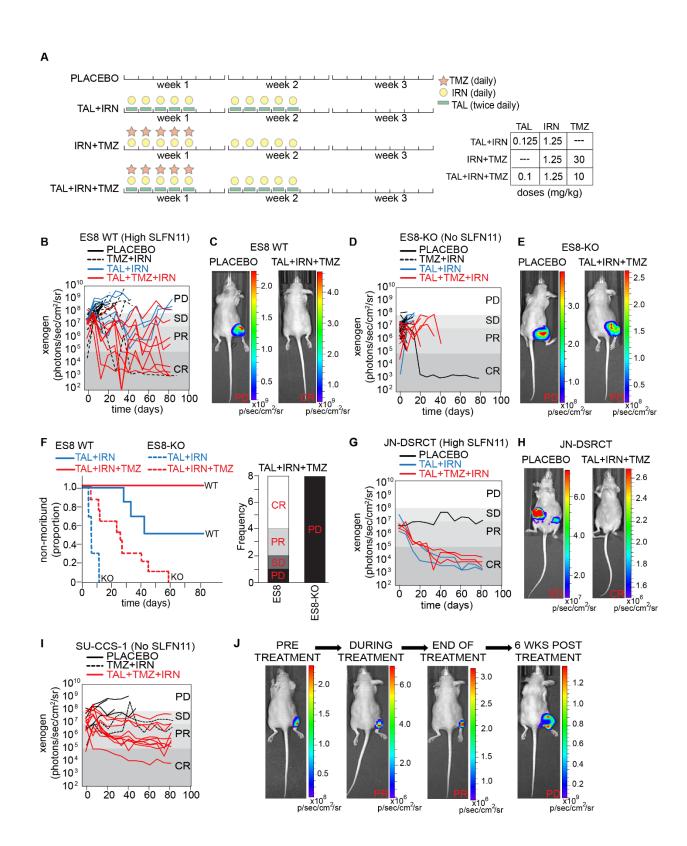


Figure 1. SLFN11 was highly correlated with the efficacy of SN-38 and TAL, and was widely 673 674 expressed in pediatric sarcoma. Correlation between SLFN11 expression and the single-agent AUC (A) or mean (B) of the AUC for SN-38 and TAL after 72 h drug exposure as reported in the 675 GDSC database. Olaparib and SN-38 appeared as two different batches in the database. (C) 676 677 Correlation between the mean of the AUC for SN-38 and TAL from the GDSC and BRCA1 mutational status as annotated in the COSMIC database. Dotted line equals the median activity 678 of the highest quintile of SLFN11 expression ("Q5") from (B). (D) Distribution of the mean of the 679 680 AUC for SN-38 and TAL from the GDSC in Ewing sarcoma (ES), rhabdomyosarcoma (RMS), OST 681 (osteosarcoma), NB (neuroblastoma), and glioma cell lines. Cells marked "SLFN11 High" (salmon) expressed the highest quintile of SLFN11 expression ("Q5") from (B), while all others were 682 defined as "SLFN11 Low" (teal). Dotted line equals the median activity of the highest quintile of 683 SLFN11 expression ("Q5") from (B). (E-F) Mutational signatures and total number of mutations 684 685 calculated from ES, RMS, and OST tumor samples from pediatric patients. BRCA-wt and BRCAdeficient samples were included as controls for MS3. Melanoma was included as a positive 686 control for MS7. (G) SLFN11 status as assessed by immunohistochemistry in 353 samples from 687 220 unique patients treated on solid tumor protocols at our institution. "SLFN11 Negative" was 688 defined as H-score = 0, and "SLFN11 Positive" as H-score > 0. (H) SLFN11 H-score at diagnosis for 689 690 select pediatric sarcoma. The mean H-score is reported for each tumor type.



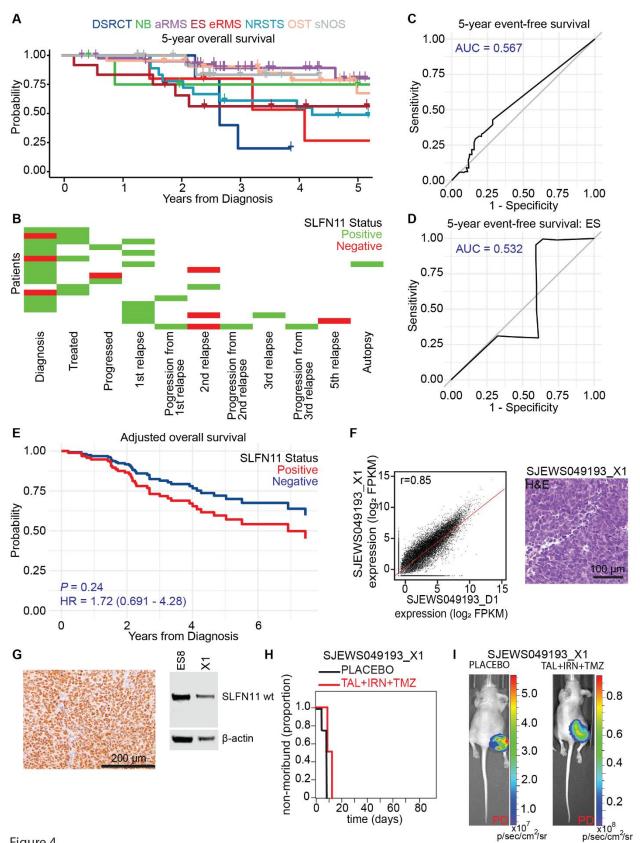
693	Figure 2. SLFN11, not EWSR1-translocation or R-loop expression, predicted sensitivity to SN-38
694	and TAL in vitro. (A) SLFN11 protein levels and CellTiter-Glo (CTG) results for 14 sarcoma cell lines
695	that varied by translocation status, p53 status, and histology. Protein levels were normalized to
696	ES8. The area-under-the-curve (AUC) for the dose-response curve was calculated at 24, 48, and
697	72 hours in the concentration range 10 ⁻¹¹ to 10 ⁻⁴ Molar. Each value was then normalized to 700
698	– the maximum observed AUC for 100% efficacy at all concentrations in the range – yielding a
699	number from 0 to 1. Although extraskeletal myxoid chondrosarcoma (EMC) cancers such as H-
700	EMC-SS typically have EWSR1-NR4A3 fusions, we did not detect a EWSR1-translocation in this
701	line. n ≥ 2. (B) Correlation between SLFN11 protein levels and the mean of the AUC for SN-38 and
702	TAL for the cell panel in (A). (C) CTG dose-response following 72h drug exposure of SN-38 and
703	vincristine in ES8, ES8-KO, and ES8-KO+OE cells. $n \ge 2$. (D) Difference in normalized CTG AUC
704	following 72 h drug exposure of TAL, SN-38, and vincristine in knockout and over-expression
705	models. $n \ge 2$. (E) Flow cytometry assessment of cytotoxicity in ES8 and ES8-KO cells following
706	24h exposure to 'Low' (10 nM SN-38 + 10 nM TAL) and 'High' (1 μ M SN-38 and 1 μ M TAL) drug
707	combinations. The percent live cells is reported in blue. EA = early apoptosis. LA = late apoptosis.
708	$n \ge 2$. (F) Cell cycle analysis of ES8-KO cells following 24 h exposure to 'Low' SN-38+TAL. Arrows
709	highlight the build-up of S-phase cells induced by the drug combination. (G) Volcano plot showing
710	the difference in expression of reported EWS-FLI1 downregulated (salmon) and upregulated
711	(teal) genes between ES8 and ES8-KO cells. Expression was assessed by microarray at 4 h and 24
712	h following exposure to 0 and 2 Gy. n = 3. (H) Exemplar image and quantification of the alkaline
713	comet tail assay of ES8 and ES8-KO cells following 2.5h exposure to the 'High' concentration of
714	SN-38 and TAL. Mean percent comet tail DNA is reported in blue. (I) Immunofluorescence

- quantification of R-loops in untreated ES8 and ES8-KO cells. Nuclei were stained with DAPI (blue)
- and R-loops were stained with S9.6 antibody (red). Mean (sem) S9.6 nuclear intensity is reported.



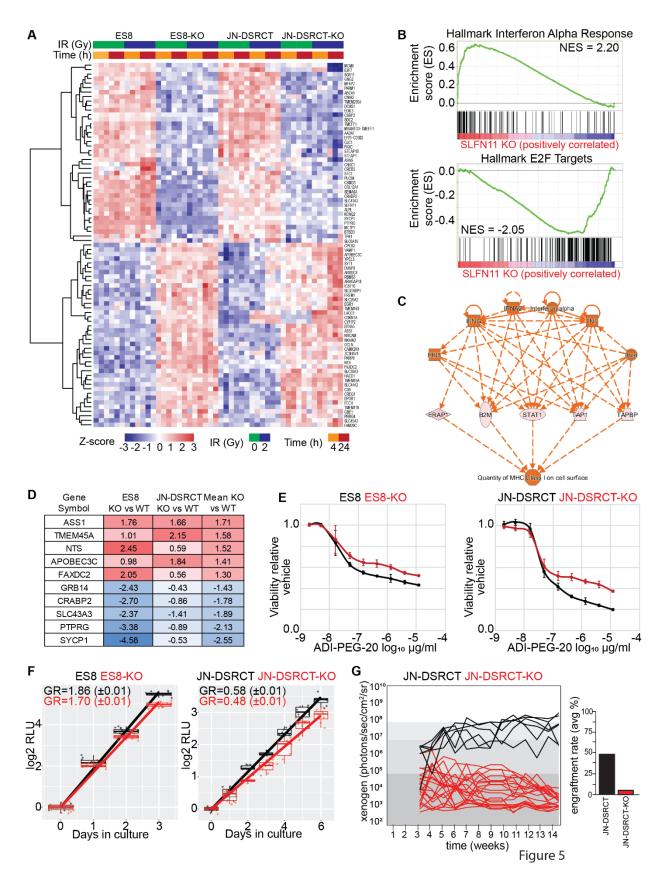
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720	Figure 3. SLFN11, not EWSR1-translocation, was required for sensitivity to SN-38 and TAL in
721	vivo. (A) Drug schedule selected for each combination treatment regimen. (B) Line plot of tumor
722	burden over time as measured by bioluminescence for ES8 xenografts. Each line is a different
723	mouse. (C) Representative images from the study in (B) are shown with PD in the placebo control
724	group and a CR in the TAL+TMZ+IRN group. (D) Line plot of tumor burden over time for ES8-KO
725	xenografts. (E) Representative images from the study in (D) are shown with PD in both the
726	placebo and TAL+TMZ+IRN groups. (F) Survival curves and response at end of treatment with
727	TAL+TMZ+IRN for ES8 and ES8-KO models. (G) Line plot of tumor burden over time for JN-DSRCT
728	xenografts. (H) Representative images from the study in (G) are shown with PD in the placebo
729	group and a CR in the TAL+TMZ+IRN group. (I) Line plot of tumor burden over time for SU-CCS-1
730	xenografts. (J) Representative images of a mouse treated over time in the TAL+TMZ+IRN group
731	from (I) showing a PR during treatment and regrowth of tumor upon stopping therapy. PD,
732	progressive disease; SD, stable disease; PR, partial response; CR, complete response.



734 Figure 4

Figure 4. SLFN11 expression was not associated with better outcomes in children with 735 736 sarcomas. (A) Five-year overall survival rates by diagnosis for the sarcoma patients in our IHC study. (B) SLFN11 status for 18 patients with at least two SLFN11 IHC measurements spanning 737 different points in treatment. "Negative" and "Positive" SLFN11 status were defined as H-score 738 739 equal to zero and H-score greater than 0, respectively. (C) ROC curve for five-year event free survival of all sarcoma patients as a function of H-score. (D) ROC curve for five-year event free 740 survival of ES patients as a function of H-score. (E) Adjusted overall survival as a function of 741 742 SLFN11 status after controlling for age, metastatic status, and disease. (F) RNA-seq expression profile comparing the ES patient-derived orthotopic xenograft model SJEWS049193 X1 and the 743 matched primary tumor (SJEWS049193 D1, Pearson r = 0.85), and hematoxylin and eosin stain 744 of SJEWS049193 X1. (G) SLFN11 IHC and Western blot from a SJEWS049193 X1 tumor sample. 745 (H) Survival curves for SJEWS049193 X1 and (I) representative images from the efficacy study 746 747 showing progressive disease (PD) in both the placebo and TAL+TMZ+IRN groups.



expressed genes (≥0.5 log ₂ unit change in the same direction) from a microarray experim comparing ES8 and JN-DSRCT WT and KO cell lines at 4 h and 24 h following exposure to 0 a Gy. n = 3. (B) Highest scoring GSEA Hallmark gene sets enriched in KO (top) and wild- (bottom) lines. (C) The top regulator in KO cells identified via IPA upstream regulator analysis Top five most differentially activated or inhibited genes (log ₂ change in expression) in KO vs v type cells. (E) CTG assay assessing viability in KO and wild-type cells exposed to the pegyl arginine deiminase ADI-PEG-20. (F) Growth rate (GR) determination in KO vs wild-type of expressed as doublings/day (sem). (G) <i>In vivo</i> growth rate assessment comparing JN-DSRCT v type and KO models. Each cell line was injected at a cell density of 1 million cells/mouse. Xenc measurements were started after 3-4 weeks for JN-DSRCT or JN-DSRCT-KO, then contin	750	Figure 5. SLFN11 augmented metabolism, evasion from the innate immune response, and
comparing ES8 and JN-DSRCT WT and KO cell lines at 4 h and 24 h following exposure to 0 a Gy. n = 3. (B) Highest scoring GSEA Hallmark gene sets enriched in KO (top) and wild- (bottom) lines. (C) The top regulator in KO cells identified via IPA upstream regulator analysis Top five most differentially activated or inhibited genes (log ₂ change in expression) in KO vs v type cells. (E) CTG assay assessing viability in KO and wild-type cells exposed to the pegyl arginine deiminase ADI-PEG-20. (F) Growth rate (GR) determination in KO vs wild-type of expressed as doublings/day (sem). (G) <i>In vivo</i> growth rate assessment comparing JN-DSRCT v type and KO models. Each cell line was injected at a cell density of 1 million cells/mouse. Xeno measurements were started after 3-4 weeks for JN-DSRCT or JN-DSRCT-KO, then contin	751	proliferation in pediatric sarcomas. (A) Heatmap of the most consistently differentially
Gy. n = 3. (B) Highest scoring GSEA Hallmark gene sets enriched in KO (top) and wild- (bottom) lines. (C) The top regulator in KO cells identified via IPA upstream regulator analysis Top five most differentially activated or inhibited genes (log ₂ change in expression) in KO vs v type cells. (E) CTG assay assessing viability in KO and wild-type cells exposed to the pegyla arginine deiminase ADI-PEG-20. (F) Growth rate (GR) determination in KO vs wild-type of expressed as doublings/day (sem). (G) <i>In vivo</i> growth rate assessment comparing JN-DSRCT v type and KO models. Each cell line was injected at a cell density of 1 million cells/mouse. Xend measurements were started after 3-4 weeks for JN-DSRCT or JN-DSRCT-KO, then contin	752	expressed genes (\geq 0.5 log ₂ unit change in the same direction) from a microarray experiment
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type cells. (E) CTG assay assessing viability in KO and wild-type cells exposed to the pegyla arginine deiminase ADI-PEG-20. (F) Growth rate (GR) determination in KO vs wild-type of expressed as doublings/day (sem). (G) <i>In vivo</i> growth rate assessment comparing JN-DSRCT v type and KO models. Each cell line was injected at a cell density of 1 million cells/mouse. Xeno measurements were started after 3-4 weeks for JN-DSRCT or JN-DSRCT-KO, then contin	755	(bottom) lines. (C) The top regulator in KO cells identified via IPA upstream regulator analysis. (D)
 arginine deiminase ADI-PEG-20. (F) Growth rate (GR) determination in KO vs wild-type of expressed as doublings/day (sem). (G) <i>In vivo</i> growth rate assessment comparing JN-DSRCT v type and KO models. Each cell line was injected at a cell density of 1 million cells/mouse. Xend measurements were started after 3-4 weeks for JN-DSRCT or JN-DSRCT-KO, then contin 	756	Top five most differentially activated or inhibited genes (log $_2$ change in expression) in KO vs wild-
 expressed as doublings/day (sem). (G) <i>In vivo</i> growth rate assessment comparing JN-DSRCT v type and KO models. Each cell line was injected at a cell density of 1 million cells/mouse. Xend measurements were started after 3-4 weeks for JN-DSRCT or JN-DSRCT-KO, then contin 	757	type cells. (E) CTG assay assessing viability in KO and wild-type cells exposed to the pegylated
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761 measurements were started after 3-4 weeks for JN-DSRCT or JN-DSRCT-KO, then contir	759	expressed as doublings/day (sem). (G) In vivo growth rate assessment comparing JN-DSRCT wild-
	760	type and KO models. Each cell line was injected at a cell density of 1 million cells/mouse. Xenogen
	761	measurements were started after 3-4 weeks for JN-DSRCT or JN-DSRCT-KO, then continued
702 WCCNIY.	762	weekly.

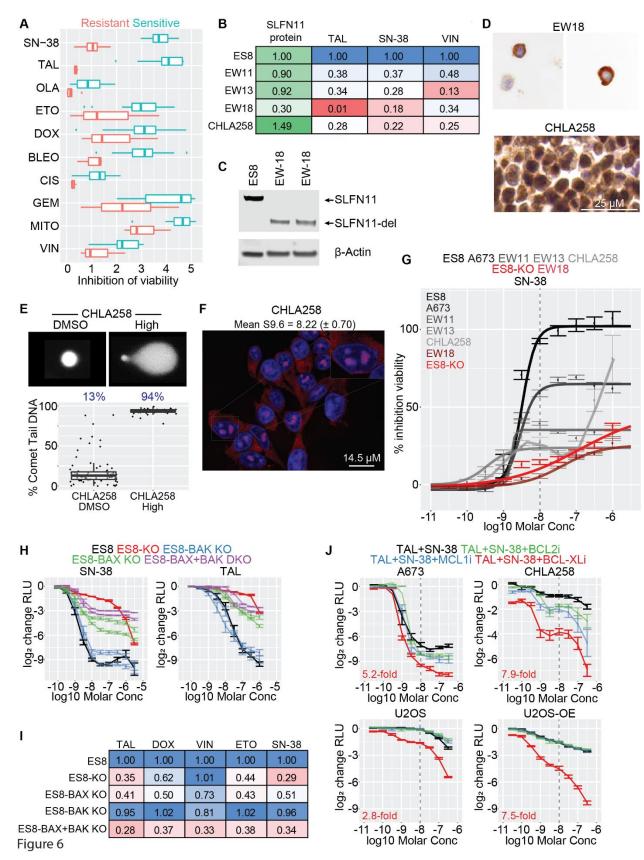


Figure 6. BCL-XIi restored sensitivity to SN-38 and TAL in resistant SLFN11 expressing sarcoma 765 766 cells. (A) Boxplot comparing drug sensitivity in resistant and sensitive ES cell lines from the GDSC. (B) Heatmap of AUC values in four resistant ES cell lines normalized to ES8 (CTG assay, 72h drug 767 exposure). $n \ge 2$. (C) Western blot from two biological replicates of EW-18 confirming expression 768 769 of a truncated SLFN11 protein. (D) Confirmation of cytoplasmic and nuclear staining of SLFN11 in 770 EW-18 and CHLA258, respectively. (E) Exemplar image and guantification of the alkaline comet 771 tail assay of CHLA258 cells following 2.5 h exposure to the "High" concentration of SN-38 and 772 TAL. Mean percent comet tail DNA is reported in blue. (F) Immunofluorescence quantification of 773 R-loops in untreated CHLA258 cells. Nuclei were stained with DAPI (blue) and R-loops were stained with S9.6 antibody (red). Mean (sem) S9.6 nuclear intensity is reported. (G) Dose-774 response curves for SN-38 (CTG, 72 h) in ES8 (black), SLFN11 expressing resistant ES cell lines 775 (grays), ES8 KO (red), and EW-18 (dark red). $n \ge 2$. (H) Dose-response curves for SN-38 and TAL 776 777 (CTG, 72 h) in ES8 (black), ES8-KO (red), 2 ES8 BAK KO models (blue), 2 ES8 BAX KO models (green), and 2 ES8 BAK-KAX double KO models (purple). $n \ge 2$. (I) Heatmap of AUC values in ES8-KO and 778 the BAK, BAX, and BAK-BAX KO models normalized to ES8 (CTG assay, 72 h drug exposure). $n \ge 2$. 779 (J) Dose-response curves for a 1:1 mixture of SN-38 and TAL combined with either vehicle (DMSO, 780 black), 1 μM venetoclax ("BCL2i", green), 1 μM S63845 ("MCL1i", blue), or 1 μM A-1331852 ("BCL-781 782 Xli", red) (CTG, 72 h). $n \ge 2$.