

Genetic Evidence for Selective Transfer of Microbes Between the International Space Station and an Astronaut

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Abstract

Microbial transfer of both pathogenic and non-pathogenic strains from the environment can influence a person's health, but such studies are rare and the phenomenon is difficult to study. Here, we use the unique, isolated environment of the International Space Station (ISS) to track environmental movement of microbes in an astronaut's body. We identified several microbial taxa, including *Serratia proteamaculans* and *Rickettsia australis*, which appear to have been transferred from the environment of the gut and oral microbiomes of the on-board astronaut, and also observed an exchange of genetic elements between the microbial species. Strains were matched at the SNP and haplotype-level, and notably some strains persisted even after the astronaut's return to Earth. Finally, some transferred taxa correspond to secondary strains in the ISS environment, suggesting that this process may be mediated by evolutionary selection, and thus, continual microbial monitoring can be important to future spaceflight mission planning and habitat design.

1 Introduction

Human commensal microbiomes have a known hereditary component (Goodrich et al., 2016), but the non-hereditary, acquired portion of the human microbiome is mediated by a large number of factors. An ideal study for microbial transfer would utilize a longitudinal sampling of subjects in a hermetically-sealed environment that was already profiled with strain-level resolution. The microbiome can change as a function of age, developmental stage, environmental exposures, antibiotic use, diet, and lifestyle, yet strain-level mapping and longitudinal tracking of such dynamics are limited. In particular, the movement of non-pathogenic microbes and how they can colonize an adult commensal microbiomes in a defined, quantified, and hermetically-sealed environment, is almost completely unknown (Schwendner et al., 2017).

Evidence for the transfer environmental microbes into adult commensal microbiomes could have important health implications, as it would provide a mechanism for how regional environmental microbiomes impact a person's microbiome. Cities in particular are known to host diverse environmental microbiomes (Danko et al., 2019) and transfer between commensal and environmental microbiomes may add to explanations for health differences between otherwise similar regions (Nicolaou et al., 2005). The selective transfer of certain microbial strains may also carry evolutionary implications for the microbes being transferred. If a microbial species can be shown to follow distinct selective patterns inside and

30 outside of human commensal microbiomes it is possible that these patterns would eventually lead to
31 strain or even species differentiation.

32 The ISS presents several advantages for the study of microbial transfer. As an environment, the ISS
33 is well studied (as are its occupants), it is a uniquely sealed environment with essentially no chance of
34 infiltration by exterior microbes between regular supply missions, and microgravity may lead to a general
35 diffusion of microorganisms not present in more ordinary environments. Here, we present evidence for
36 the transfer of environmental strains to an adult's gut and oral microbiome while on the International
37 Space Station (ISS), during an almost year-long mission (Garrett-Bakelman et al., 2019). Of note, several
38 of these strains were continuously observed after the mission, providing evidence of a persistent influence
39 on the astronaut's microbiome, which may help to inform future studies on human microbial interaction.

40 2 Results

41 We collected 18 fecal and 23 oral microbiome samples from two identical twin human astronauts, one
42 flight subject (TW, 9 stool, 6 saliva, 5 buccal) and one control who did not leave earth (HR, 9 stool,
43 7 saliva, 5 buccal), taken from 2014-2018. These were compared to 42 time-matched, environmental
44 samples from the ISS that corresponded to the flight subject's mission duration. All samples were
45 sequenced with 2x150bp read length to a mean depth of 12-15M reads (12.01, 14.96, and 14.97M mean
46 reads for ISS, fecal, and saliva, respectively), then aligned to the catalog of NCBI RefSeq complete
47 microbial genomes, examined for single nucleotide polymorphisms (SNPs), and then run with strain
48 analysis with the MetaSUB CAP pipeline and Aldex2 (see methods).

49 2.1 Taxonomic profiles show evidence of continual microbial exchange

50 **New taxa in flight subject (TW) match environmental and commensal microbiomes** We
51 first examined the proportion of taxa observed in a given sample that were not observed in a previous
52 sample from the same donor. Any newly observed taxa in sample of a given type (e.g. stool) was
53 annotated relative its presence in samples from other body or environmental sites (e.g saliva). For fecal
54 samples, we segmented the previously unobserved taxa from each sample into four groups: taxa observed
55 in any saliva sample taken before the given fecal samples, taxa observed in ISS samples but not observed
56 in saliva, taxa observed in both ISS and saliva samples, and taxa that were not observed in either the
57 ISS or the saliva. The same process was repeated for saliva samples but swapping fecal and saliva in the
58 hierarchy. As expected, the time series of samples taken from the flight subject (TW) and ground control
59 subject (HR) showed that earlier samples exhibited a greater proportion of novel organisms (Figure 1,
60 S1).

61 Of note, each sample contains a number of unobserved taxa that matched taxa from saliva/feces
62 or the ISS (even before flight), indicating these are common commensal species on Earth or possibly
63 organisms absorbed in previous missions. Indeed both astronauts had previously been in the space
64 station across multiple missions though with a 10-fold difference in duration (TW has logged 520 total
65 days on the ISS vs. 54 days for HR). Interestingly, when we examined the fraction of taxa that match
66 ISS taxa in pre-flight samples from TW compared to other samples from HR, a higher average rate (
67 56%) of ISS-matching taxa was observed in pre-flight samples for TW relative to HR (51%), although
68 not significant (p -value = 0.21). The fraction of taxa that matched different environments are listed in
69 Table 1. For both saliva and fecal microbiomes the large majority of taxa at each time point had already
70 been observed in a previous sample from that site.

71 A small number of taxa were never observed in any pre-flight sample from any body site but were
72 observed in peri- and post-flight samples from TW. We filtered for taxa that had no reads observed in
73 pre-flight samples and had at least ten reads in at least two peri- or post-flight samples. These taxa
74 were further filtered for taxa that were observed in at least two ISS samples. The resulting list included
75 five taxa: two viral genera, two viral species (both phage), and one bacterial species: *Rickettsia australis*
76 (Figure 2). Given the generally low abundance of these taxa we cannot definitively rule out that they
77 were present at an undetectable low threshold pre-flight. For comparison only 2 taxa (both viruses) met
78 the above requirements in TW but were not identified in ISS samples.

79 **Emergence of new taxa in gut microbiomes exceeds repeated sampling** To place these tax-
80 onomic trends in context, we investigated whether the sampling time series from TW and HR would
81 identify more new taxa than repeated assays on an unchanging fecal sample. We compared the fecal
82 microbiome time series of TW and HR to 243 repeated samples taken from a single fecal sample (Sasada

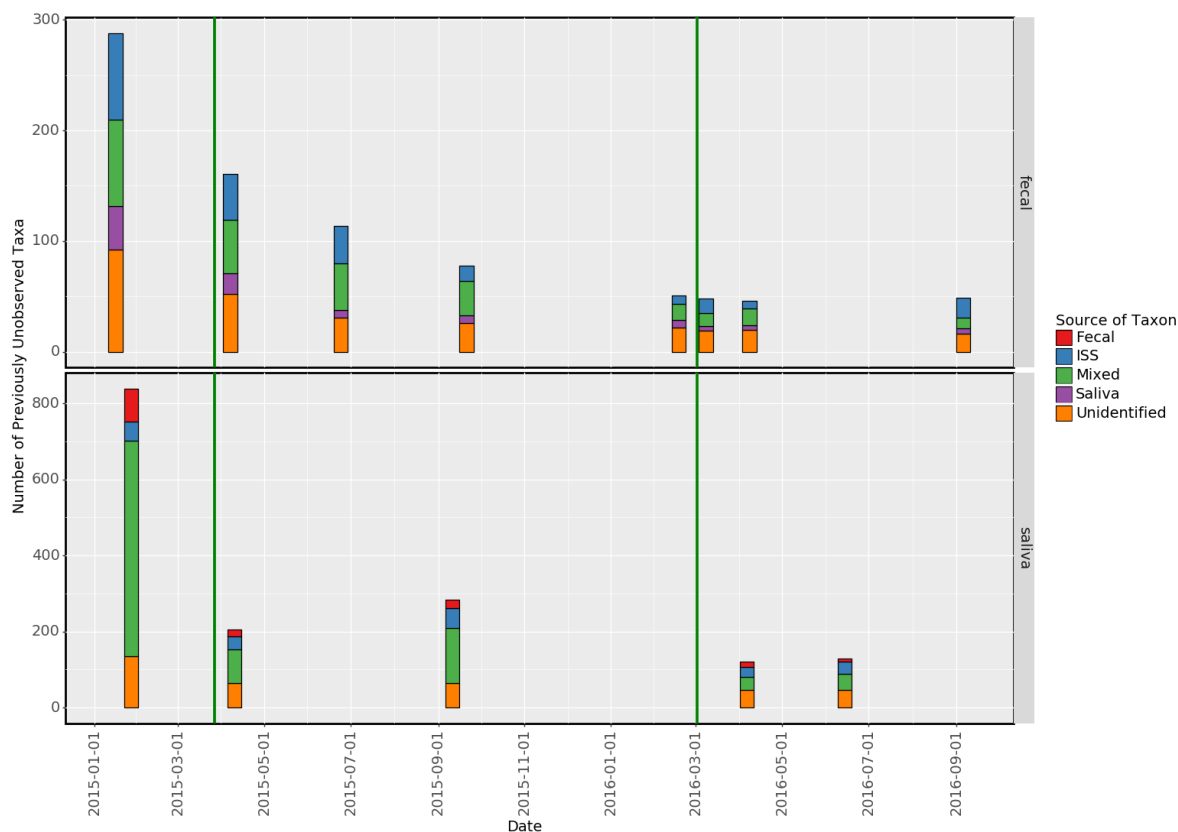


Figure 1: This plot shows the number of taxa at each time point that were not observed at any previous timepoint for fecal and saliva samples from TW. The colors indicate the likely source of the new taxon if it was found previously in the saliva (for fecal samples, vice versa for saliva samples), the ISS, both (Mixed), or neither.

Table 1: This table gives the average overlap between emergent taxa in fecal and saliva microbiomes and microbiomes in other sites.

Commensal Type	Fecal	Saliva
Sites Where Taxa Originated		
Fecal Only	n/a	8.7
Saliva Only	10.2	n/a
ISS Only	24.5	17.6
Both ISS & Saliva/Fecal	29.9	44.6
Taxa not identified in another site	35.5	29.1

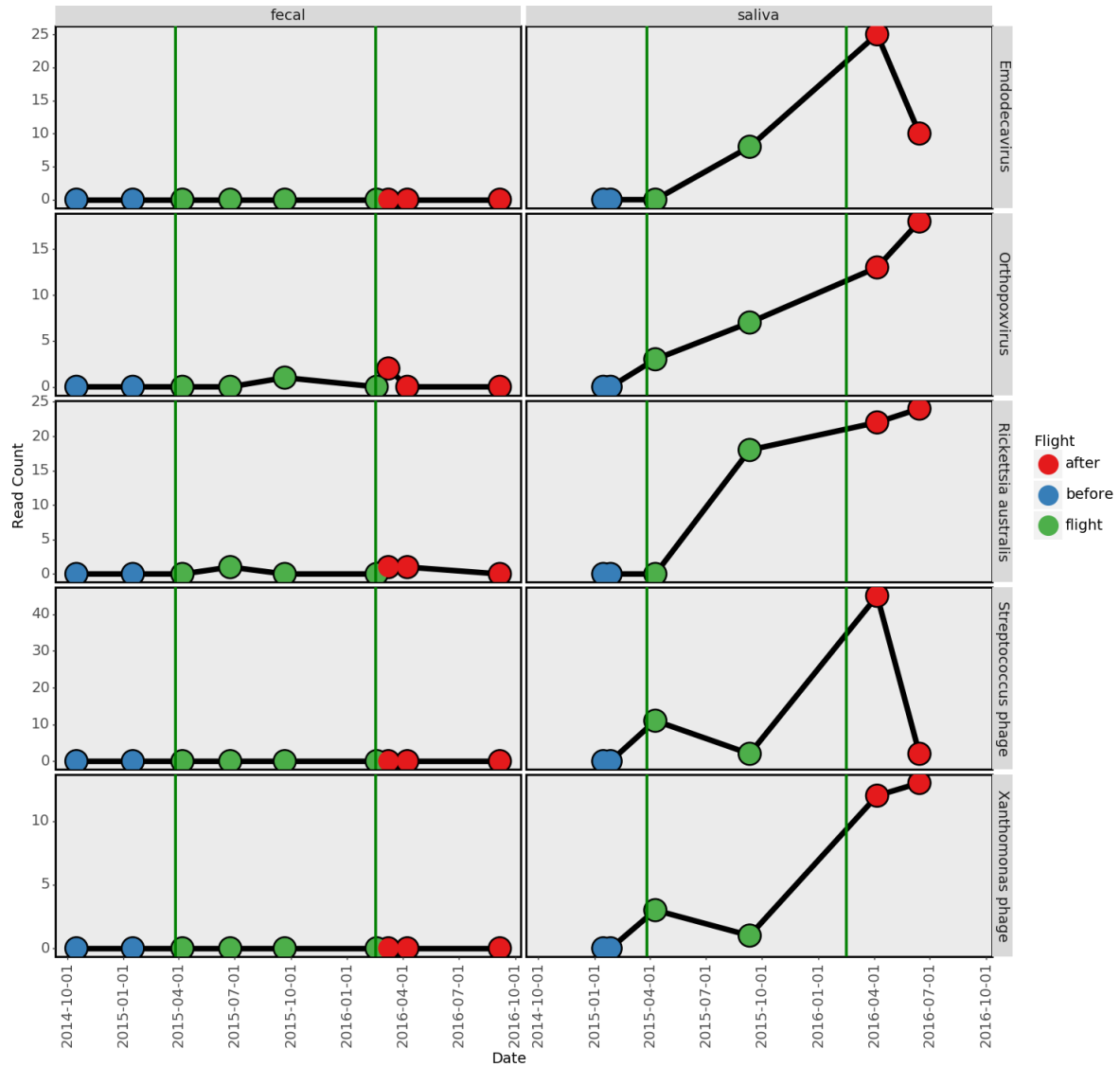


Figure 2: Total number of reads observed in TW for different taxa not observed before flight. Green vertical bars indicate the start and end of flight. The *Streptococcus phage* referenced is *phiARI0004*, *Xanthomonas phage* is *vB XveM DIBBI*,

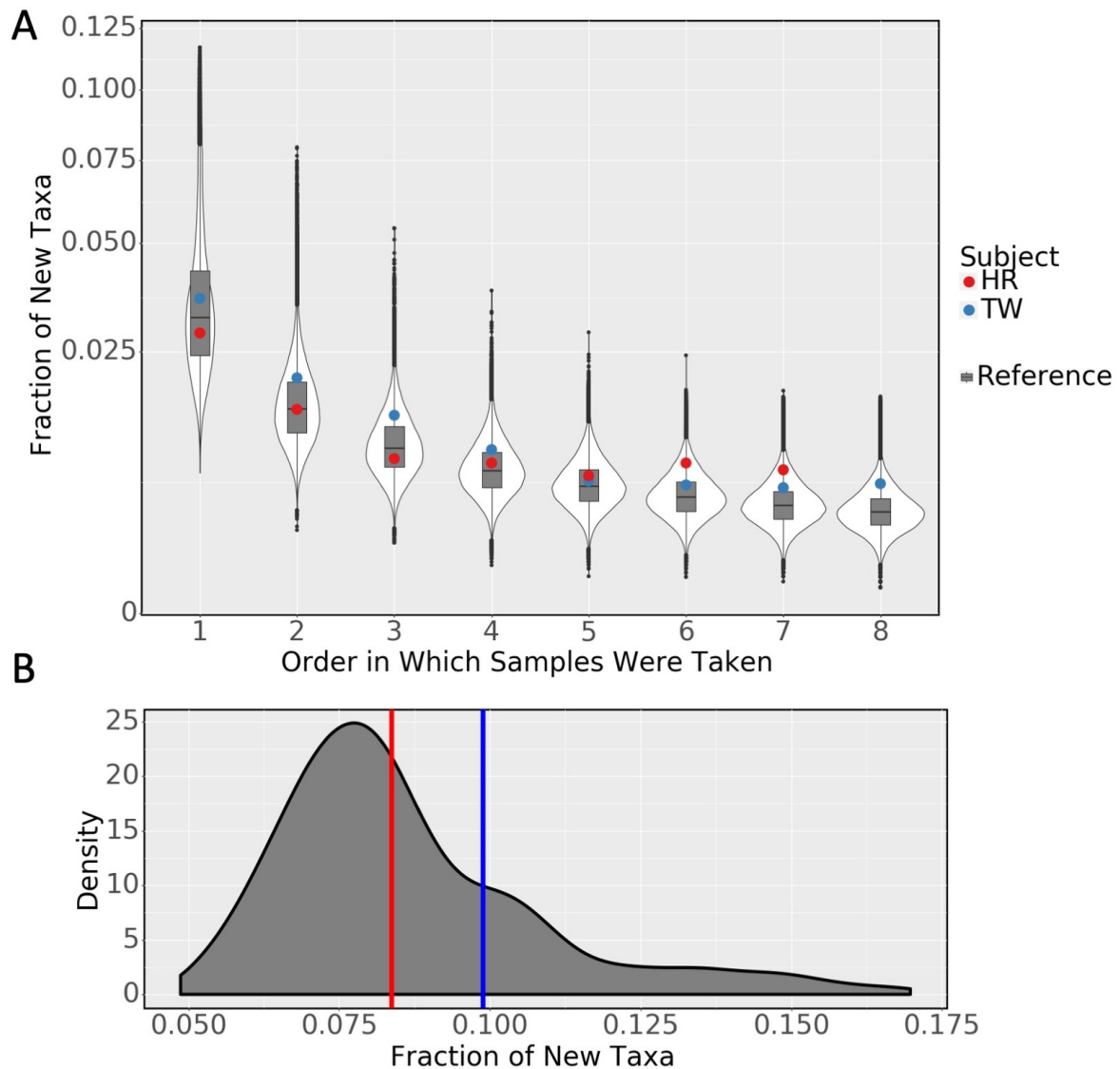


Figure 3: A) The number of new taxa observed in TW and HR are higher than repeated resampling of the same fecal sample. The y-axis gives the number of new taxa at each time point (not observed at any previous time point) divided by the number of taxa in the first sample. The first time point is omitted from the plot because it is always 1 by construction. The x-axis gives the order of each sample (arbitrary for random subsample). Boxplots show the distribution of random subsamples. Colored points are the actual time series. B) The number of unique taxa observed after the first time point divided by the number of taxa at the first time point. Same legend as (A)

83 *et al.*, 2020), using 100,000 random sets of 9 samples (to match the twins' set size). The number of taxa
84 in each sample that had not been observed in any previous sample were counted for each subset and
85 normalized by the total number of taxa in the first sample. The time series for HR showed significantly
86 more new taxa than 99,971 ($p = 2.9e-4$) random stool subsets, and TW more than 99,990 ($p = 1e-4$)
87 random subsets (Figure 3). These results shows that the time series for TW and HR both consistently
88 had more taxa than would be expected from resampling an unchanged fecal sample.

89 We note that differences between TW and HR may have a large number of causes including diet,
90 environment, and exposure to other people. For this analysis it is relevant that both TW and HR show
91 more new taxa than resampling a single sample since it implies transmission may also occur on earth.

92 **Evidence of higher transfer rates on board the ISS** We next calculated taxonomic diversity using
93 Shannon's entropy for species profiles of each sample (Figure S2). For both fecal and saliva samples from
94 TW, the highest diversity was observed during flight, and this trend was not observed for HR in the
95 same time intervals. However, given the small sample size this trend was not significant ($p=0.21$).

96 We identified a significant increase in the number of previously unobserved taxa for samples taken from
97 TW during flight (Figure S3) compared to random permutations. To further characterize the significance
98 of such transfer relative to the sampling set and the source, we performed a series of permutation tests.
99 We first established the number of previously unobserved species found at each time point in the actual
100 data from TW. We then randomly shuffled and relabeled these samples and counted species again for a
101 total of 10,000 random permutations. We then counted the number of permutations where the number
102 of species observed 'during flight' in the shuffled data was higher than the real data. For the fecal
103 microbiome the actual number of observed taxa was higher than the shuffled data in 96.7% of cases, for
104 saliva 98.2% of cases and for buccal the observed was higher than all other permutations. Repeating the
105 same procedure on data from HR ('flight' status was arbitrarily assigned to the second, third, and fourth
106 samples) we observed 45.9% for feces 98.2% for saliva, and 80.1% for buccal (more buccal samples were
107 available for HR).

108 Results were similar when the above procedure was repeated only with taxa found in ISS environ-
109 mental samples (TW fecal 97.5%, TW saliva 97.7%, TW buccal all permutations, HR fecal 33.6%, HR
110 saliva 98.3%, HR buccal 81.1%). An analogous analysis performed on ISS samples (Figure S4) showed
111 that real data during TW's flight did not have significantly more new taxa than shuffled time periods
112 (higher than 557 of 1,000 permutations). This is expected since the ISS is under continual habitation
113 and merely is meant to show the converse of HR as a second control.

114 2.2 Strain level variation confirms microbial transfer

115 **Novel genome regions in flight found in environmental and commensal microbiomes** Given
116 the higher overall transfer rate of species on the ISS, we next examined the strain emergence and per-
117 sistence (post-flight) of such species. We selected a set of candidate taxa that showed significantly
118 greater abundance during and after flight in TW than before flight. We mapped reads to known refer-
119 ence genomes from these taxa. We looked at the coverage of reference genomes at each stage of flight
120 (concatenating samples from the same stage) and in the ISS and grouped regions into three categories:
121 regions which were covered before flight, regions that were covered before flight in either gut or saliva
122 samples but not observed in the other until flight, and regions that were not observed in either gut or
123 saliva samples until flight but were found in the environment. Example coverage plots are shown for two
124 taxa: *Fusobacterium necrophorum* and *Serratia proteamaculans* (Figure S5 and Figure 6 respectively).
125 The total size of these genomic regions for all tested taxa are listed in Table 2.

126 For the selected taxa, the average environmental transfer of genomic regions were 32.2% of the size of
127 pre-flight regions, whereas gut-saliva transfers were lower at 19.9%. The taxa with the (proportionally)
128 largest transferred regions *Cronobacter condimenti*, had 55.9% gut-saliva transfer and 123.7% environ-
129 mental transfer. The presence of (in some taxa) large genomic regions that were not covered until flight
130 strongly suggests that individual species are undergoing flux with new strains and genes migrating into
131 commensal microbiomes.

132 **Microbial SNPs match environmental and commensal microbiomes** Once the candidate ge-
133 nomic regions were identified, we next mapped co-occurring clusters of SNPs (haplotypes) in the selected
134 taxa listed above in all samples from TW, HR, and the ISS (Figure 4). We matched microbial haplo-
135 types from TW during flight to possible sources in pre-flight TW samples and ISS samples. Pre-flight
136 fecal samples we considered four groups: haplotypes found in pre-flight fecal samples, haplotypes found

Table 2: Size of regions that may have been transferred in kilobases. Gut-Saliva transfer means that a region was found in either the gut or saliva microbiome pre-flight, then found in the other during-flight. Environment transfer means a region was not found in either fecal or saliva microbiomes from TW pre-flight but was found during flight and was also present in the ISS.

	Pre-flight	Gut-Saliva transfer	Environment transfer
<i>Bifidobacterium pseudocatenulatum</i>	243.9	92.4	85.2
<i>Brevibacterium siliguriense</i>	18.7	2.6	3.1
<i>Gordonibacter urolithinfaciens</i>	37.8	12.9	21.2
<i>Bacillus albus</i>	87.6	7.0	14.1
<i>Gluconobacter albidus</i>	10.2	2.5	1.3
<i>Fusobacterium necrophorum</i>	86.4	18.0	56.8
<i>Geobacillus stearothermophilus</i>	73.5	13.7	13.8
<i>Bifidobacterium catenulatum</i>	258.8	17.5	40.3
<i>Streptococcus viridans</i>	2319.6	92.9	221.8
<i>Vibrio alginolyticus</i>	211.0	10.6	89.7
<i>Staphylococcus sciuri</i>	179.0	19.4	37.4
<i>Pectobacterium parmentieri</i>	269.0	22.7	56.7
<i>Campylobacter lari</i>	42.0	8.7	18.1
<i>Atlantibacter hermannii</i>	66.4	15.7	30.6
<i>Bacillus tequilensis</i>	57.4	6.0	8.7
<i>Achromobacter ruhlandii</i>	49.8	13.6	11.7
<i>Serratia proteamaculans</i>	70.0	11.2	6.7
<i>Leptotrichia hongkongensis</i>	115.2	0.7	21.5
<i>Exiguobacterium antarcticum</i>	21.5	4.4	6.2
<i>Anoxybacillus amylolyticus</i>	11.5	2.2	2.3
<i>Kosakonia sacchari</i>	65.4	16.0	30.8
<i>Yersinia canariae</i>	18.2	8.2	7.7
<i>Providencia heimbachae</i>	76.0	12.1	6.5
<i>Spirochaeta perfilievii</i>	2.7	0.4	0.7
<i>Cronobacter condimenti</i>	15.2	8.5	18.8
<i>Brenneria rubrifaciens</i>	13.2	5.7	7.3
<i>Staphylococcus simiae</i>	20.8	1.5	6.2

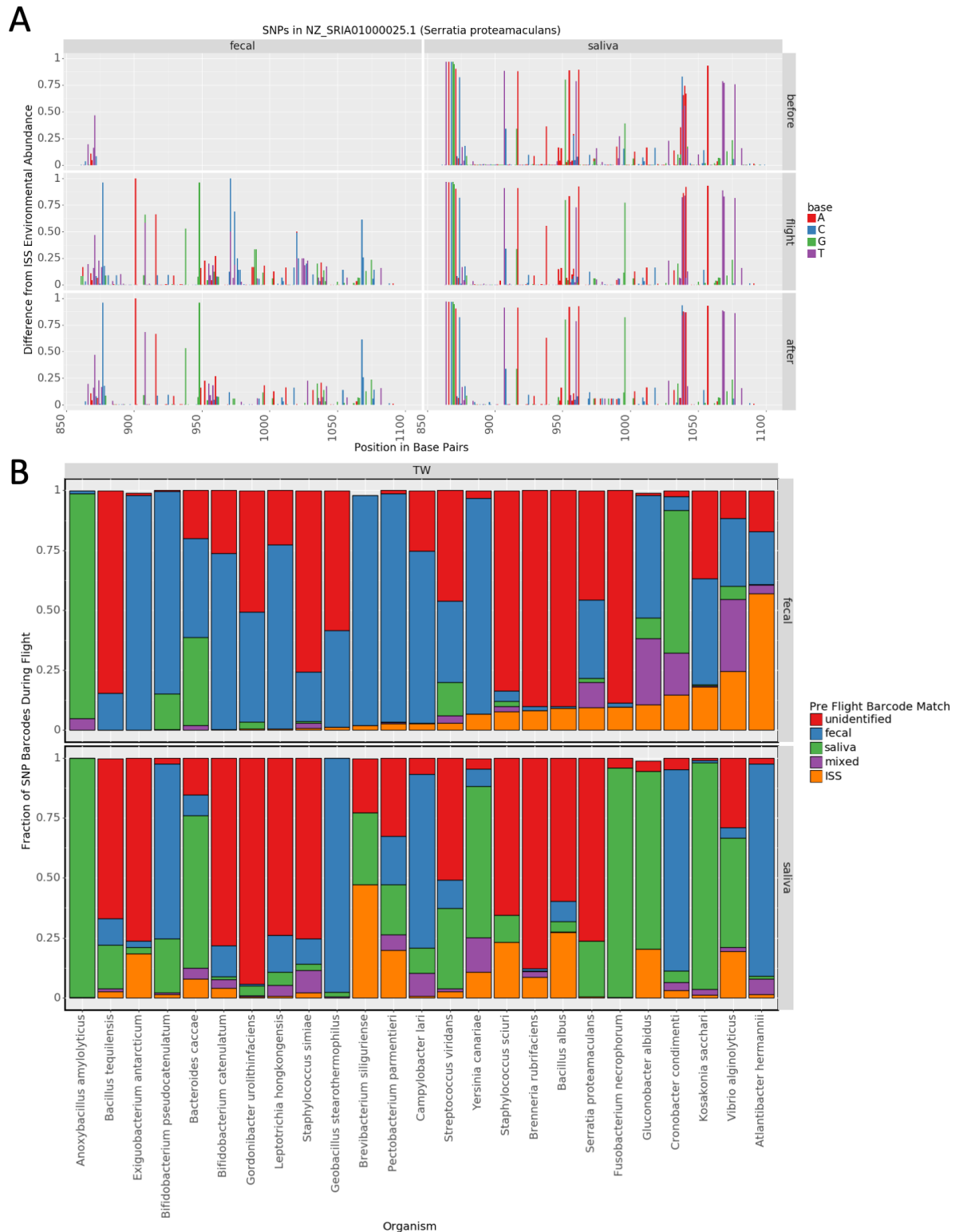


Figure 4: A) An example set of SNPs found in *Serratia proteamaculans*. The abundance of each SNP is shown relative to the frequency of the base found in the ISS at each position. A tall column indicates a base was low abundance in the ISS environment and constitute a candidate for transfer from the environment to the gut microbiome. In this case the SNPs shown for the fecal (left) strain match a secondary strain in the environment and constitute a candidate for transfer from the environment to the gut microbiome. B) Pre-flight sources of different SNP barcodes observed in TW during flight. Each SNP barcode in peri-flight samples from TW was matched to barcodes in pre-flight samples from TW and ISS samples. The fraction of barcodes matching each source is shown. For fecal samples barcodes labeled as saliva did not match fecal samples and vice versa. Barcodes labeled as matching ISS were not found in either fecal or saliva samples.

137 in pre-flight saliva but not fecal samples, haplotypes found in the ISS but neither saliva nor fecal, and
138 haplotypes not observed in any other group.

139 The pre-flight sources of haplotypes varied by the species being investigated (Figure 4B). Some species,
140 such as *Cronobacter condimenti* showed an apparent flip of strains from the gut microbiome to saliva and
141 vice versa. Other taxa, like *Atlantibacter hermannii*, showed a large fraction of haplotypes that matched
142 environmental haplotypes in the gut microbiome. Some taxa, like *Bifidobacterium catenulatum* showed
143 little similarity to any potential external source.

144 2.3 Transfer case study: *Serratia proteamaculans*

145 ***Serratia proteamaculans* (SP) is a candidate persistent transfer** We identified SP as a can-
146 didate persistent transfer, a species that was found in ISS environmental samples and was significantly
147 more abundant in peri and post flight fecal samples from TW than in fecal samples from TW pre-flight
148 and HR samples. As a whole SP was only found at low levels in fecal samples in TW pre-flight, was sig-
149 nificantly more abundant during flight, and dropped to an intermediate level after flight (Figure 5). No
150 major variation in abundance was observed for the control twin HR. SP was roughly uniformly abundant
151 in the saliva before during and after flight.

152 **Regions of the SP genome are found in TW fecal samples only after arrival at the ISS** We
153 identified regions of the SP genome which appeared in fecal samples after TW was on board the ISS. We
154 found three such regions totaling about 1.5kbp. The abundance of these regions roughly matched the
155 overall pattern seen for SP: very low or undetectable pre-flight, a high during flight, and an intermediate
156 level post flight (Figure 6). These regions were all well covered from ISS environmental samples.

157 Total coverage of the SP genome in TW from all available fecal samples was 29.2kbp. Before flight
158 8.9kbp was covered, during 17.2kbp and after 19.0kbp. However some of these regions were either quite
159 small or not covered in both peri and post flight. As such 1.5kbp represents a reasonable fraction of
160 the amount of SP genome covered in TW but should only be interpreted as evidence for the transfer of
161 particular genes.

162 2.4 SNPs in post-arrival regions match a secondary environmental strain

163 We analyzed one of the above regions (of about 250bp) for SNPs (Figure 4A) and identified SNPs
164 in samples from TW which were either not found in the ISS environment or were found at different
165 proportions. We identified 9 SNPs in this region during flight that were found in fewer than half of the
166 ISS environmental samples. Of these 9 SNPs 6 were found after the conclusion of flight. We note that
167 all of these 9 SNPs were found in ISS environmental samples at some proportion. We also note that this
168 region did not match any other reference genome in RefSeq besides SP.

169 Next we used the SNP clustering technique described in the methods to determine if the 9 peri-flight
170 SNPs we identified could come from the same strain. We identified corresponding groups of 8 SNPs
171 in TW and 9 SNPs in the ISS environment. The 8 SNP group in TW included 8 out of the 9 peri-
172 flight SNPs. The 9 SNP group from the ISS environment included these 8 SNPs as well as one SNP
173 not identified in TW. This leads us to the conclusion that the strain found in TW likely represented a
174 secondary strain in the ISS environment.

175 3 Methods

176 3.1 Experimental setup and samples

177 We analyzed 18 fecal samples from two human subjects (9 each) and 42 environmental samples from the
178 ISS. All samples were assayed with 2x150bp DNA shotgun sequencing and analyzed as described below.
179 Exact sample handling and processing is described in the supplementary methods.

180 Human fecal samples were taken from two identical twins TW and HR both astronauts who had
181 previously been in space. During the study TW was sent on a roughly 1 year flight to the ISS while
182 HR remained on earth and functioned as a control. For many parts of this study samples from TW are
183 grouped into pre-flight, peri-flight, and post-flight groups. As much as practically possible samples from
184 HR were handled in an identical manner to samples from TW.

185 We note that the sampling of the ISS was initially planned and designed separately from the sampling
186 of the human subjects.

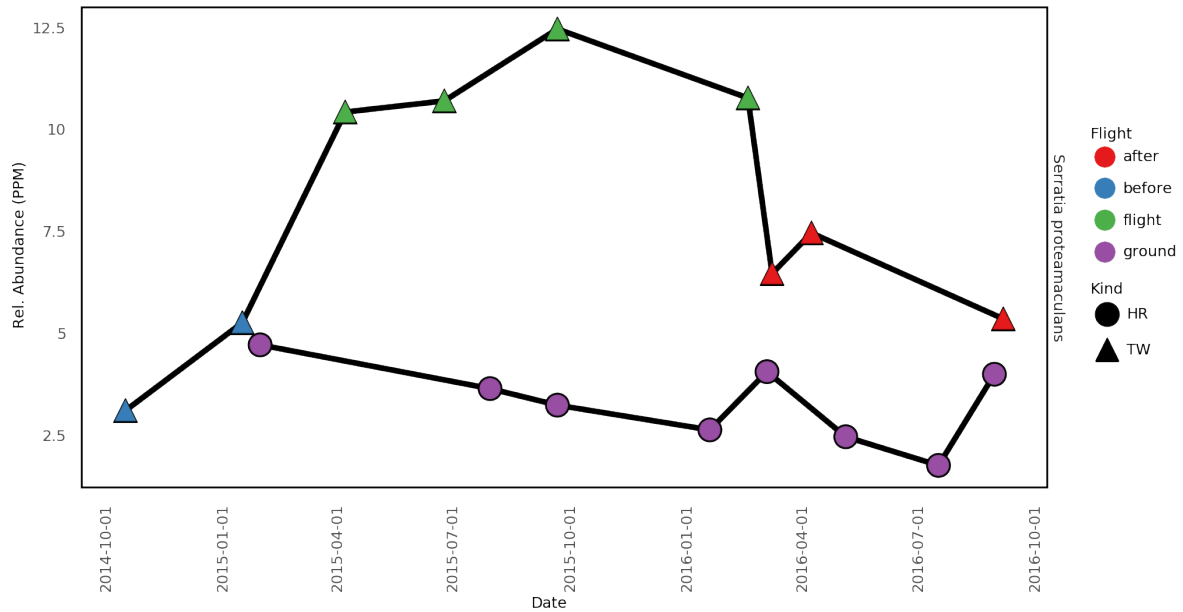


Figure 5: Relative abundance of *Serratia proteamaculans* in fecal samples from TW and HR. Relative abundance is given in units of parts per million.

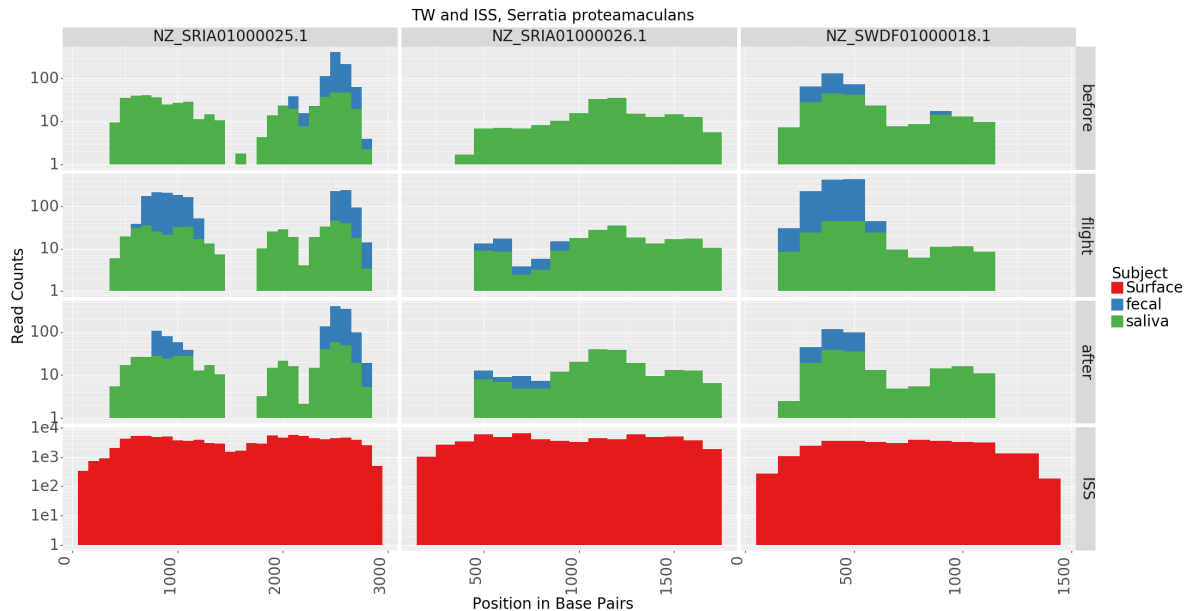


Figure 6: Coverage of candidate persistent transfer regions of the *Serratia proteamaculans* genome.

187 3.2 Sequencing

188 Samples from the human subject were extracted with a DNA extraction protocol adapted from the
189 Maxwell RSC Buccal Swab DNA kit (Catalogue number AS1640: Promega Corporation, Madison WI).
190 Briefly, 300 μ l of lysis buffer and 30 μ l of Proteinase K was mixed and added to each swab tube. Swab
191 tubes were then incubated for 20 min at 56 C using a Thermo Fisher water bath, removed from the
192 tubes, and fluid was transferred to well 1 of the Maxwell RSC Cartridge. The swab head was centrifuged
193 using a ClickFit Microtube (Cat. # V4741), and extracted fluid was added to the corresponding well of
194 Maxwell Cartridge, and eluted in 50 μ l of provided elution buffer.

195 Extracted DNA was taken forward to the Nextera Flex protocol by Illumina. Briefly, 30 μ l of extracted
196 DNA was taken into library prep protocol and run with 12 cycles of PCR. Libraries were cleaned up with
197 a left sided size selection, using a bead ratio of 0.8x. The right sided size selection was omitted. Libraries
198 were then quantified using a Thermo Fisher Qubit Fluorometer and an Advanced Analytical Fragment
199 Analyzer. Libraries were sequenced on an Illumina HiSeqPE 50 \times 2 at the Weill Cornell Epigenomics
200 Core.

201 Samples from the ISS were sequenced according to the protocol described in [Singh et al. \(2018\)](#).

202 3.3 Processing Short Read Sequencing Data

203 **Preprocessing and Taxonomic Profiling** We processed raw reads from all samples into taxonomic
204 profiles for each sample using the MetaSUB Core Analysis Pipeline ([Danko and Mason, 2020](#)). This
205 includes a preprocessing stage that consists of AdapterRemoval ([Schubert et al., 2016](#)), Human se-
206 quence removal with Bowtie2 ([Langmead and Steven L Salzberg, 2013](#)), and read error correction using
207 BayesHammer ([Nikolenko et al., 2013](#)). Subsequently reads were assigned to taxonomic groups using
208 Kraken2 ([Wood et al., 2019](#)). We generated a table of read counts giving the number of reads assigned
209 to each species for each sample.

210 **Identification of candidate species for strain level analysis** We analyzed our table of species level
211 read counts to identify candidate lists of *transient* and *persistent* transfer species. We held a transient
212 species to be one that was transferred from the ISS into the astronaut only while the astronaut remained
213 in the ISS and which was cleared after return to earth. We held persistent species to be those that
214 were transferred from the ISS to the astronaut which remained after return to earth.

215 We statistically analyzed our table of read counts using Aldex2 ([Fernandes et al., 2013](#)). Remaining
216 samples (from astronauts) were split into two groups. The first group was the control group and consisted
217 of all samples from TW before flight and all samples from HR at any point. The second group was the
218 case group and consisted of all samples from TW during flight. Samples from TW after flight were
219 assigned to the control group for analysis of transients and to the case group for analysis of persistents.
220 Aldex2 was used to identify differentially abundant taxa between the two groups. We selected all taxa
221 that were significantly ($q < 0.05$ by Welch's t-test with Benjamini Hochberg correction) more abundant
222 in the case group than in the control group. We then filtered these two list (persistent and transient) to
223 include only species found in the ISS samples (minimum 10 reads in 25% of samples).

224 **Strain Analysis** Reads were further processed for strain level analysis using the MetaSUB Core Anal-
225 ysis Pipeline. Given a specified organism to examine we downloaded all available reference genomes from
226 RefSeq. If more than 100 reference genomes were available we selected 100 at random. Human-depleted
227 reads were mapped to each genome using Bowtie2 (sensitive presets) and pileup files were generated
228 using from alignments using samtools ([Li et al., 2009](#)). Pileups were analyzed for coverage patterns using
229 purpose built code (see availability for access). SNPs were identified by comparing aligned bases from
230 short reads to reference sequences, SNP filtering was performed as part of identifying co-stranded SNPs.

231 **Identifying co-stranded SNPs** We developed a technique to identify SNPs that occurred on the
232 same genetic strand. The technique is, in practice, limited to identifying co-stranded SNPs within 1kbp
233 of on another. The technique works by formulating SNP recovery as an instance of the multi-community
234 recovery problem. We start by building a graph of SNPs. Each SNP forms a node in the graph and is
235 identified by its genomic position and base. Edges are added between SNPs that are found on the same
236 read. Edges are undirected but weighted by the number of times a pair of SNPs is found on the same
237 read. The SNP graph is then filtered to remove SNPs that occur only once as these are likely to be
238 errors and are uninformative in any case. The remaining graph is clustered into groups of SNPs using

239 the approach to the multi-community recovery problem by Blondel et al. (2008). The final result of this
240 are sets of SNPs that are often found on the same read.

241 This technique is similar to techniques used for phasing SNPs to one strand of a diploid genome such
242 as Zheng et al. (2016). The key difference between this technique and ours is that there may be more
243 than two communities in our case and that we make only attempt to cluster proximal SNPs.

244 4 Conclusion

245 We have identified genetic evidence of microbial transfer between the fecal and saliva microbiomes of
246 an adult and between these microbiomes and their environment. These results demonstrate that non-
247 pathogenic microbes from the environment can establish themselves in adults and suggests the possibility
248 of ongoing microbial flux between humans and the unique ISS environment. Moreover, these provide
249 candidate "ISS mobile" species and also enable a key estimate of the fraction of taxa that could be
250 transferred from different sources of the body while in the spaceflight environment.

251 A number of open questions remain. We have made a first attempt to quantify the rate of transfer
252 between different microbiomes and given an estimate for the total number of emergent species in a
253 gut microbiome which cannot be explained as the result of repeated sampling alone. However, these
254 estimates necessarily suffer from the small sample sizes available in this study and the unusual situation
255 under which the samples were taken. To conclusively establish the scope of microbial transfer will require
256 broader studies targeting earth based environments, food, and communities as well as confirmation using
257 culture-based techniques. Nonetheless, the unusual nature of spaceflight provides as strongly controlled
258 an environment as is likely to be possible making this a near-optimal model set up to study microbial
259 transfer.

260 The emergence of new taxa, while intriguing, must be placed into the context of expected stool
261 sampling variation. To account for such sampling dynamics, we also conducted a rigorous re-sampling
262 study. Our data showed that TW and HR had more newly observed taxa at some (but not all) of the
263 time points relative to the 100,000 subset. Importantly, the number of new taxa that were observed in
264 subsets dropped off quickly for later time points as the subsets reached saturation. Subsets generally
265 showed an adversarial selection, wherein many new taxa at one time point would lead to fewer new taxa
266 at later time points. The 243 fecal replicates had similar read counts to the time series from HR and
267 TW, reducing a source of potential bias, but could also be examined in greater detail in future studies.

268 Of note, repeated sampling can identify low abundance species which were dropped out of previous
269 samples and because different sample preparation techniques can yield different sets of taxa. A series
270 of samples taken from a microbiome that is exchanging taxa with an external environment will have
271 an additional source of new taxa. These taxa would not be identified in earlier samples because they
272 were not present, and this is another source of variation that could be mapped and quantified for future
273 missions (more sampling of more areas of the body and the ISS, and at greater depth).

274 Taken together, the matching genomic regions across 16 taxa and matching SNPs haplotypes within
275 the regions strongly supports the conclusion that novel taxa in pre-flight commensal microbiomes from
276 TW could come from the environment or from other commensal microbiomes. The size of transferred
277 regions and number of SNPs suggests that "taxa transfer" between commensal microbiomes occurs
278 more frequently than they transfer from the environment to commensal microbiomes. However, these
279 rates may prove to be anomalous for either TW, habitation in the ISS, or both, since non-pathogenic
280 microbial exchange with the environment represents a significant unknown for its impact on human and
281 astronaut health. Nevertheless, accurate quantification of microbial strains and their movements can
282 lead to targeted interventions, shed light on the hygiene hypothesis (broadly and on the ISS), and help
283 in planning for future missions and astronaut monitoring.

284 5 Availability and Access

285 All analysis and figure generating code may be found on GitHub at [https://github.com/dcdanko/
286 twins_iss_transfer](https://github.com/dcdanko/twins_iss_transfer). All results and raw data may be found on Pangea at [https://pangea.gimmbio.
287 com/sample-groups/62661efb-a433-4ae5-bcec-de704a80e217](https://pangea.gimmbio.com/sample-groups/62661efb-a433-4ae5-bcec-de704a80e217).

288 6 Author Contribution

289 DCD performed all bioinformatics analyses and defined the structure of the study. NS led the collection
290 of samples from the ISS. DJB and CM prepared samples for sequencing. PJ, AK, MMC, GC, EA, coord-
291 inated sampling. FGB prepared samples for sequencing. SJG and MHV handled sample coordination,
292 sequencing, collection, analysis. KV led coordination with NASA and led collection of samples on board
293 the ISS. CEM led and conceived this study.

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354 Supplement

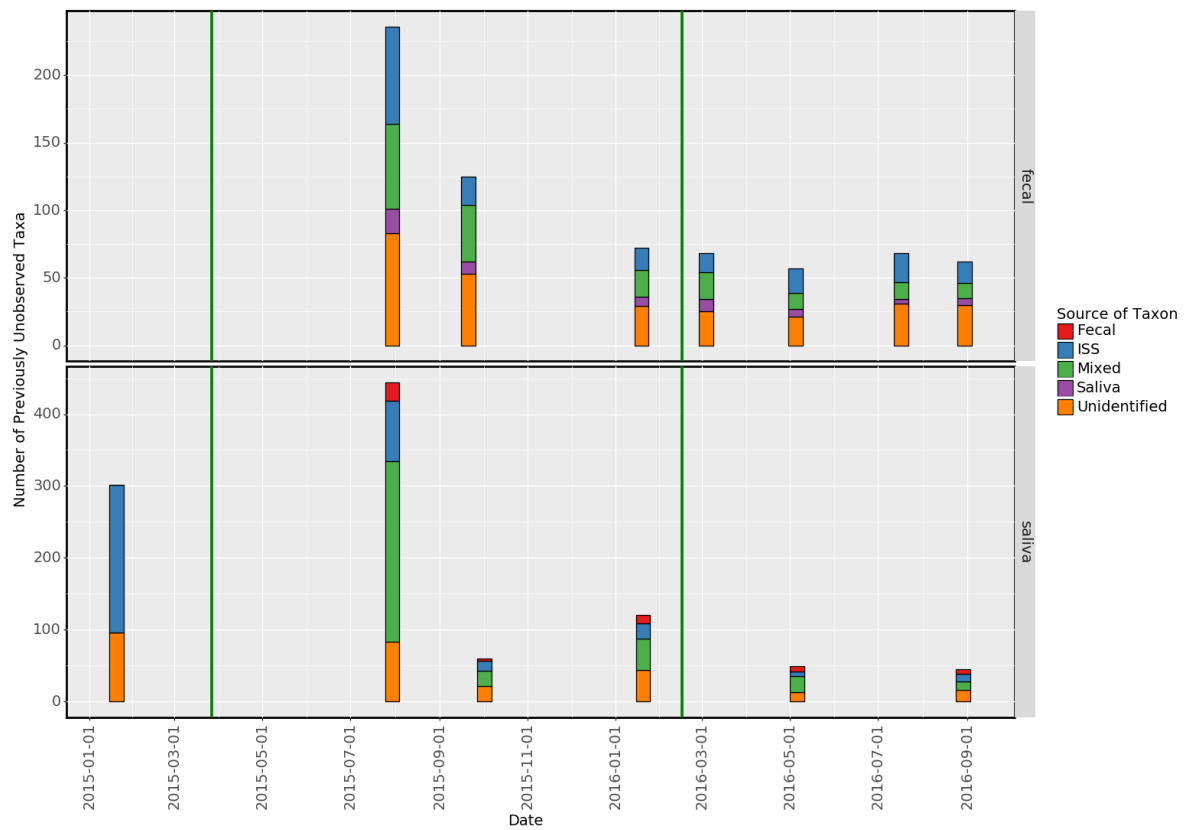


Figure S1: This plot shows the number of taxa at each time point that were not observed at any previous timepoint for fecal and saliva samples from HR. The colors indicate the likely source of the new taxon if it was found previously in the saliva (for fecal samples, vice versa for saliva samples), the ISS, both (Mixed), or neither.

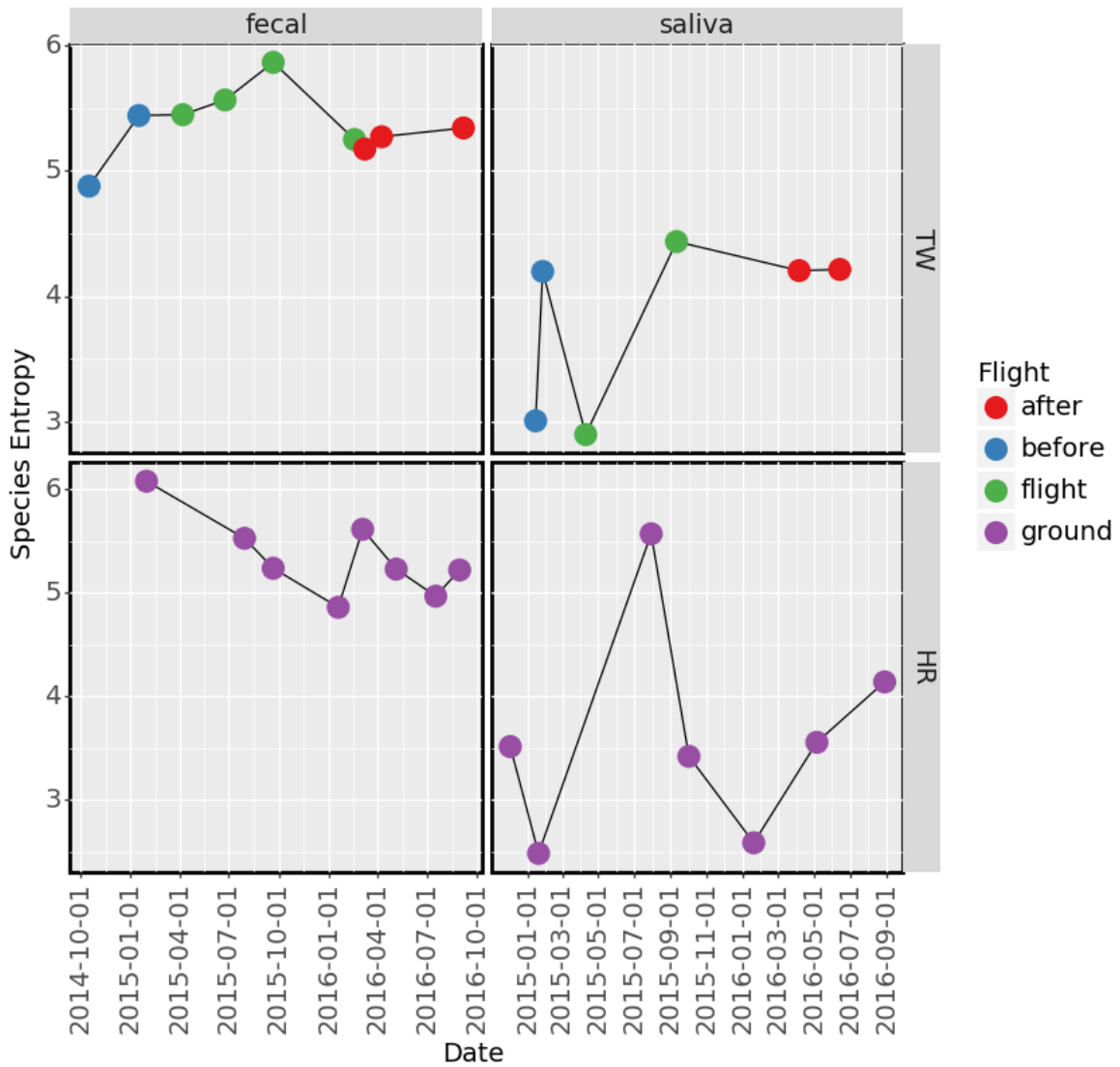


Figure S2: Vertical shows species entropy (Shannon entropy of species relative abundances) for sample types in both twins.

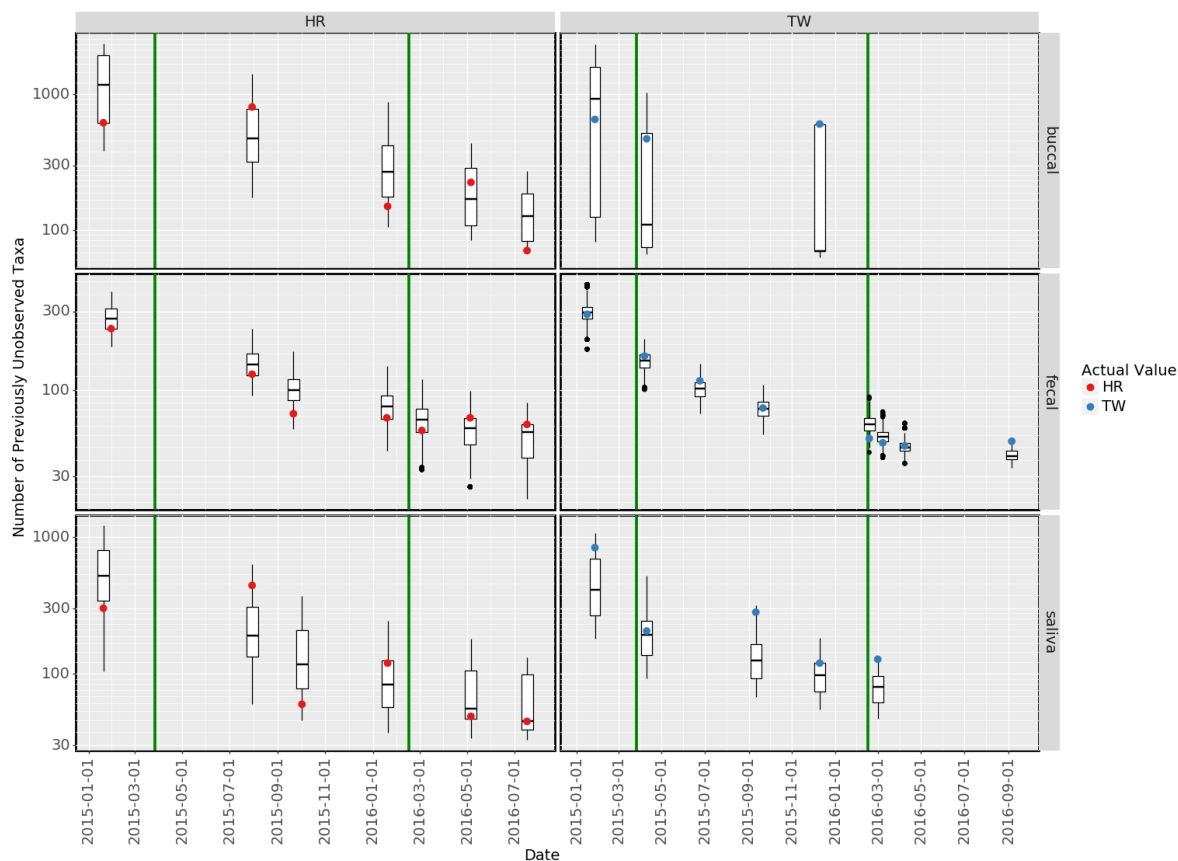


Figure S3: This plot shows the number of taxa at each time point that were not observed at any previous timepoint. The first timepoint is omitted from the plot since no taxa had been previously observed. Boxplots indicate an artificial reference distribution generated by randomly permuting timestamps. Red and blue dots indicate actual values.

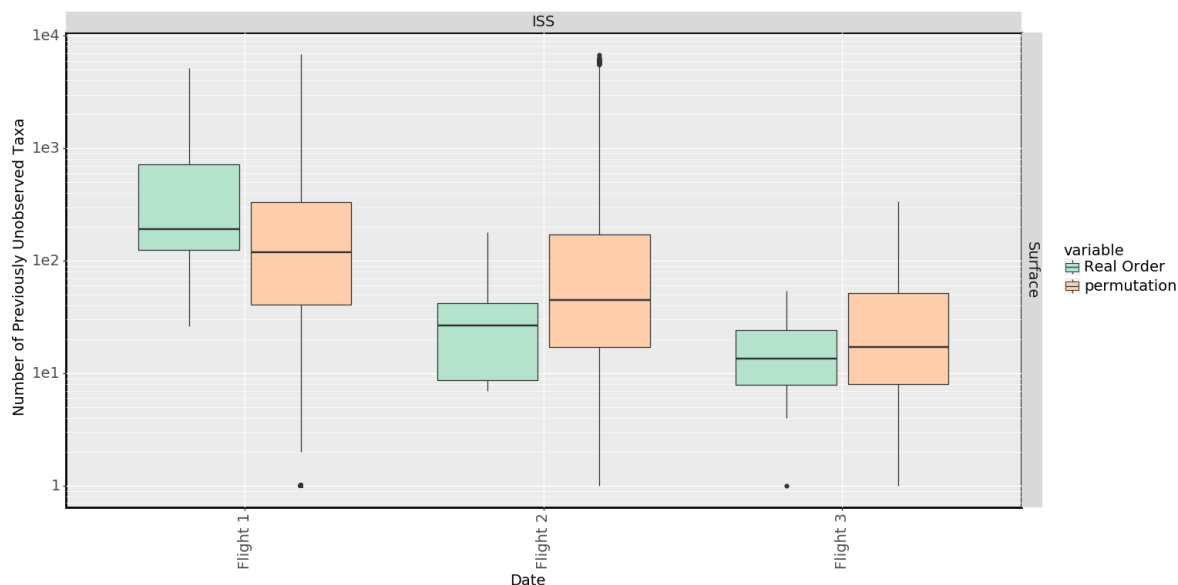


Figure S4: This plot shows the number of taxa at each time point that were not observed at any previous time point for the ISS. ISS samples are grouped into 'flights' where each sample in the same flight was taken on the same day. One sample from flight 1 is arbitrarily chose as the 'first' sample and used as the comparison. Boxplots indicate the real distribution of new taxa as well as an artificial reference distribution generated by randomly permuting timestamps.

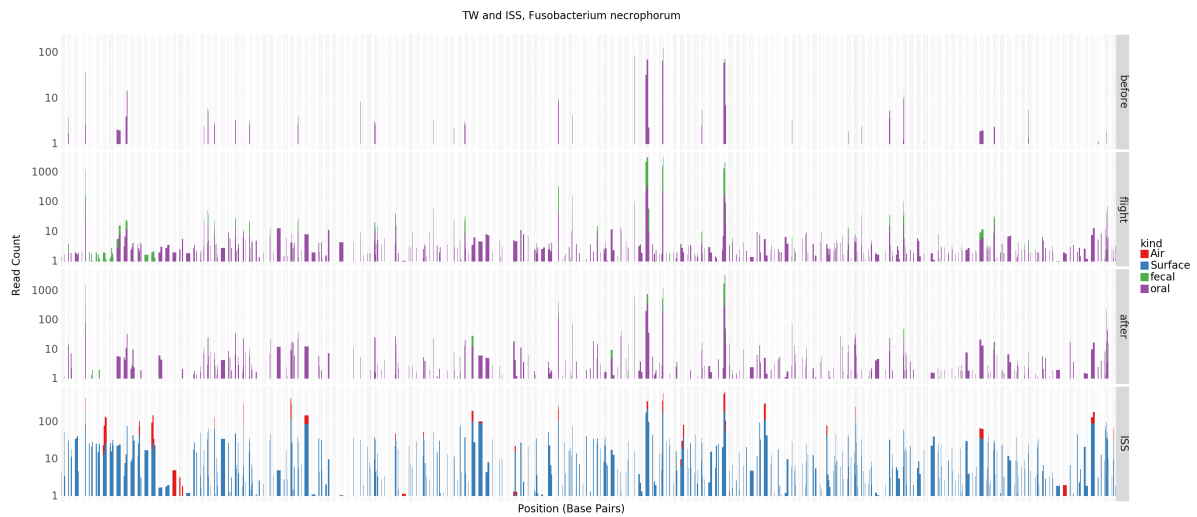


Figure S5: Rows show consolidated samples from before, during and after flight (or from the ISS at any point) from TW. Columns represent all available contigs for taxon. Colored bars represent 100bp covered, on average, at the specified read depth. A number of contigs are only covered in TW during and after flight.