1	Structural requirements for dihydrobenzoxazepinone		
2	anthelmintics: actions against medically important and model		
3	parasites - Trichuris muris, Brugia malayi, Heligmosomoides		
4	polygyrus and Schistosoma mansoni		
5			
6	Short title: (70 characters) Dihydrobenzoxazepinone efficacy on human and model helminth parasites		
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# 38 Abstract

39 Nine hundred million people are infected with the soil-transmitted helminths Ascaris lumbricoides 40 (roundworm), hookworm, and Trichuris trichiura (whipworm). However, low single-dose cure rates 41 of the benzimidazole drugs, the mainstay of preventative chemotherapy for whipworm, together with 42 parasite drug resistance, mean that current approaches may not be able to eliminate morbidity from 43 Trichuriasis. We are seeking to develop new anthelmintic drugs specifically with activity against 44 whipworm as a priority, and previously identified a hit series of dihydrobenzoxazepinone (DHB) 45 compounds that block motility of ex vivo Trichuris muris. Here we report a systematic investigation 46 of the structure-activity relationship of the anthelmintic activity of DHB compounds. We synthesised 47 47 analogues, which allowed us to define features of the molecules essential for anthelmintic action, 48 as well as broadening the chemotype by identification of dihydrobenzoquinolinones (DBQ) with 49 anthelmintic activity. We investigated the activity of these compounds against other parasitic 50 nematodes, identifying DHB compounds with activity against Brugia malayi and Heligmosomoides 51 polygyrus. We also demonstrated activity of DHB compounds against the trematode Schistosoma 52 mansoni, a parasite that causes schistosomiasis. These results demonstrate the potential of DHB and 53 DBQ compounds for further development as broad-spectrum anthelmintics.

54

# 55 Author summary

56 Around a billion people are infected by the soil transmitted helminths Ascaris, hookworm and 57 whipworm. In the case of whipworm, the benzimidazole drugs, which are distributed to school 58 children in affected areas, have low cure rates. This means that finding an improved treatment for 59 whipworm is a priority. We previously identified five DHB compounds in a screen for new 60 compounds active against whipworm. Here we systematically dissect these molecules, making 47 61 modified versions of the compounds. This allowed us to define the features of these compounds that 62 are important for activity against whipworm. We also demonstrate activity of DHB compounds 63 against other parasitic nematodes, and against Schistosoma mansoni, a trematode parasite. These

results show the potential for further development of DHB compounds as broad-spectrumanthelmintics.

66

# 67 Introduction

900 million people are infected with soil-transmitted helminths causing a global burden of around two million disability-adjusted life years [1,2]. Because of this, the World Health Organization has set a goal to achieve and maintain elimination of soil-transmitted helminth morbidity by 2030 [3]. Huge mass drug administration efforts are underway, distributing hundreds of millions of doses of benzimidazole drugs (albendazole and mebendazole) to school-age children in affected areas annually or biannually as preventative chemotherapy (PCT).

74 Benzimidazole drugs are partially effective against whipworm (Trichuris trichiura) when 75 administered as a course of treatment, reaching cure rates of around 43% [4]. However, for mass drug 76 administration, practicalities and scale mean that only one dose is given. In contrast to Ascaris 77 lumbricoides, where a single dose of benzimidazole drugs cures around 90-95% of infected 78 individuals, the single dose cure rate for whipworm is low, around 30% [5,6]. The current mass drug 79 administration protocol may therefore not be able to break transmission and reduce the prevalence of 80 moderate to heavy whipworm infections to below 2% as required to eliminate morbidity [3]. Due to 81 the poor single dose efficacy of the benzimidazole drugs against whipworm, there have been 82 extensive efforts to identify more efficacious drug combinations [6]. Of these the most promising to 83 date is a combination of albendazole plus the N-type nicotinic acetylcholine receptor agonist oxantel 84 pamoate, which has a single dose cure rate reported to be between 31 and 83% [7–10]. A second drug, 85 moxidectin, also shows promise to be added to albendazole for improved control of whipworm [7].

Of concern, however, is the possibility that drug resistance may become prevalent, derailing the push towards control of whipworm. Currently there is only indirect evidence of this possibility. In a metaanalysis, it has been shown that egg reduction rates and cure rates after albendazole treatment are decreasing over time [11]. Polymorphisms in the beta-tubulin gene that are associated with

90 benzimidazole resistance are found in populations of human whipworm, and the frequency of these 91 polymorphisms increased after albendazole treatment [12,13]. The Starworms project will establish a 92 valuable system to monitor benzimidazole drug efficacy and the potential emergence of anthelmintic 93 resistance due to soil-transmitted helminth control programs [14]. Because of these two problems – 94 low efficacy of existing drugs against whipworm, and concerns about development of resistance to 95 these drugs – we and others have been pursuing a strategy of identifying new anti-whipworm 96 compounds, via a mixture of repurposing and *de novo* small molecule screening [15–22].

97 Beyond soil transmitted helminths (STH), lymphatic filariasis and schistosomiasis are two medically 98 important tissue helminthiases prioritised for global or regional elimination via mass PCT, as outlined 99 in the WHO Roadmap 2030 implementation targets [23]. A related filarial nematode, Onchocerca 100 volvulus, is also targeted for regional elimination. Reliance on a few, or in the case of onchocerciasis 101 and schistosomiasis, a single chemotherapeutic agent (ivermectin and praziquantel, respectively) used 102 'en masse' for PCT, is a vulnerability of current elimination strategies, considering the potential for 103 development of drug resistance. As with STH, annual or semi-annual mass drug administrations 104 extending upward of 20 years are required to break transmission with current drugs due to incomplete 105 adulticidal / selective larvicidal activity profiles of the implemented anti-filarial or schistosomicidal 106 agents. Alternative strategies, for instance, development of a short-course curative treatment for 107 filariasis, would be a step-change to reduce elimination time frames [24,25].

We previously described a hit series of five dihydrobenz[e][1,4]oxazepin-2(3H)-one (DHB) compounds with anthelmintic activity against  $ex \ vivo \ T. \ muris$  [18]. Here we report our progress in expanding this hit series and understanding the relationship between structure and anthelmintic activity. We also extend our investigations of the activity of the DHB compounds against *Brugia* malayi, a causative agent of lymphatic filariasis, *Heligmosomoides polygyrus bakeri*, a mouse gastrointestinal nematode model, and the human blood fluke, *Schistosoma mansoni*.

114

# **Materials and Methods**

## 116 Ethics statement

- 117 All experimental procedures involving T. muris were approved by the University of Manchester
- 118 Animal Welfare and Ethical Review Board and performed within the guidelines of the Animals
- 119 (Scientific Procedures) Act, 1986.
- 120 All experiments involving *Brugia malayi* were approved by the ethical committees of the University
- 121 of Liverpool and Liverpool School of Tropical Medicine (LSTM) and conducted under Home Office
- 122 Animals (Scientific Procedures) Act 1986 (UK) requirements and the ARRIVE guidelines.
- 123 The work on Heligmosomoides polygyrus was approved by the local veterinary agency, based on
- 124 Swiss cantonal and national regulations (permission no. 2070).
- 125 For experiments involving Schistosoma mansoni, all procedures performed on mice adhered to the
- 126 United Kingdom Home Office Animals (Scientific Procedures) Act of 1986 (project licenses PPL
- 127 40/3700 and P3B8C46FD) as well as the European Union Animals Directive 2010/63/EU and were
- 128 approved by Aberystwyth University's (AU) Animal Welfare and Ethical Review Body (AWERB).

129

### 130 Chemical synthesis

Compounds were synthesised from commercially available starting materials, and fully characterised
by Nuclear Magnetic Resonance Spectroscopy and Mass Spectrometry. Full experimental details and
analytical data are provided in the Supporting Information.

## 134 Isolation of *T. muris* adults

Male and female severe combined immunodeficient (SCID) mice were bred in house at the University
of Manchester and used at age 8-12 weeks. Mice were maintained at a temperature of 20-22°C in a
12h light, 12h dark lighting schedule, in sterile, individually ventilated cages with food and water *ad lib*.

139 The parasite was maintained and the infectivity of the administered T. muris eggs was assessed as 140 previously described [26,27]. For generation of adult T. muris worms 150 infective eggs were given 141 per oral gavage in water to each SCID mouse. 35 days post infection mice were sacrificed via 142 schedule one methods. At necropsy the caecae and colons were removed, opened longitudinally and 143 washed with pre-warmed RPMI-1640 media supplemented with penicillin (500U/ml) and 144 streptomycin (500µg/ml). Adult T. muris worms were gently removed using fine forceps under a 145 dissecting microscope and maintained at 37°C in RPMI-1640 media supplemented with penicillin 146 (500U/ml) and streptomycin (500µg/ml).

#### 147 *T. muris* adult motility assay

148 Single adult worms were placed in microplate wells containing 100 µL of RPMI-1640 medium, 149 penicillin (500 U/mL), streptomycin (500 µg/mL) and 1 µl (1% v/v) dimethylsulfoxide (DMSO) or 150 compound dissolved in DMSO. Assay plates were incubated at 37°C with 5% CO<sub>2</sub>. The INVAPP 151 system was used to quantify worm motility [28,29]. Movies of the whole plate were recorded (20 152 frames, 100 ms interval) and motility determined by thresholding the variance of each pixel in the 153 image over time [30]. Compounds were initially tested at 100  $\mu$ M. Those showing activity were also 154 tested at lower concentrations, typically 50 and 75  $\mu$ M, and EC<sub>50</sub> estimates were measured for 155 compounds of interest using the a log-logistic model and the R package drc [31].

### 156 *B. malayi* parasite production

157 The life cycle of *B. malayi* was maintained in *Aedes aegypti* mosquitoes (Liverpool strain) and inbred 158 Mongolian gerbils housed at the Biomedical Services Unit, University of Liverpool under specific 159 pathogen-free conditions. Microfilariae were harvested from experimentally infected Mongolian 160 gerbils via catheterization under anaesthesia and fed to mosquitoes in human blood at 20,000 mf / ml 161 using artificial membranes heated to 37 °C. Mosquitoes were reared for 14 days with daily sugar-162 water feeding to allow development to larval stage (BmL3). At day 14, BmL3 were collected from 163 infected mosquitoes by stunning at 4 °C, crushing and concentrating using a Baermann's apparatus and RPMI-1640 media. Male IL-4R $\alpha^{-/-}$ IL-5<sup>-/-</sup> BALB/c mice (gifted by Prof. Achim Hoerauf, 164

165 University of Bonn, Germany) aged 6-8 weeks, weighing 18-24 g were infected intraperitoneally with

166 150 *Bm*L3 and left for 12 weeks to develop to patent adult stage as previously detailed [32].

#### 167 B. malayi microfilaria assay

*Brugia malayi* microfilariae (mf) were harvested from Mongolian gerbils via intraperitoneal lavage and purified using PD-10 columns (Amersham). Mf densities were then adjusted to 8,000 / well in complete medium consisting of RPMI-1640 supplemented with 1% penicillin-streptomycin, 1% amphotericin B and 10% FBS within 96 well plates.

172 33 test compounds (10 mM stock in 100% DMSO) were initially tested against mf. Compounds were 173 diluted to 10 µM in complete medium and added to the plated mf. Three replicates were used for each 174 compound, and each plate included ivermectin (50  $\mu$ M) as a positive control and DMSO (0.5% v/v) as 175 a negative control. Assay plates were incubated for 6 days at 37°C, 5% CO<sub>2</sub>. Mf were scored daily for 176 motility as a proxy of nematode health, using a 5 point scoring system (4 = fully motile, 0 = no177 motility) as described previously [33]. Compounds found to reduce motility were progressed to a 178 secondary screen, whereby the MTT assay was employed at day 6 to assess parasite viability 179 quantitatively. For this, excess media was removed from wells and mf were incubated with 0.5 mg/ml 180 MTT (3-(4,5-Dimethylthiazol-2-yl)-2,5-Diphenyltetrazolium Bromide (Merck) in PBS at 37°C for 90 181 min. After washing in PBS and centrifugation, mf pellets were incubated in 100% DMSO for 1 h at 182 37°C to solubilize the blue formazan product. Samples were read at OD 490 nm on a 96-well plate 183 reader (Varioskan, Bio-Rad). Compounds exhibiting the greatest activity on parasite viability were 184 progressed further for drug dose titration assays.

#### 185 *B. malayi* adult assay

Adult female *B. malayi* of 12-24 weeks of age were isolated from susceptible IL-4R $\alpha^{-1}$ IL-5<sup>-/-</sup> immunodeficient mice, washed in PBS and added to lymphatic endothelial cell co-cultures (HMVECdly; LEC; Lonza) at a density of two parasites per well. Successful test compounds from the mf assay were diluted to 10  $\mu$ M and added to the trans-wells in 6 ml endothelial basal media with supplements (EGM-2 MV; Lonza). Twelve replicates (n = 6 wells) were set up per group, with

flubendazole (10  $\mu$ M; Sigma) and DMSO (0.5% v/v) added as controls. Plates were incubated for 14 days at 37 °C, 5% CO<sub>2</sub> with daily motility scoring, as above. Individual parasites were taken for MTT analysis at day 14.

## 194 Heligmosomoides polygyrus

195 H. polygyrus larvae (L3) were obtained by filtering the faeces of infected mice and cultivating the 196 eggs on an agar plate for 8-10 days in the dark at 24°C. 30-40 L3 were placed in each well of a 96-197 well plate for each compound in the presence of 100 µl RPMI 1640 (Gibco, Waltham MA, USA) 198 culture medium supplemented with 5% amphotericin B (250 µg/ml, Sigma-Aldrich, Buchs, 199 Switzerland) and 1% penicillin 10,000 U/ml, and streptomycin 10 mg/ml solution (Sigma-Aldrich, 200 Buchs, Switzerland) with the test drugs (100  $\mu$ M concentration). Worms were kept at room 201 temperature for 72 h and for evaluation 50-80  $\mu$ l of hot water ( $\approx$ 80°C) was added to each well and the 202 larvae that responded to this stimulus (the moving worms) were counted. The proportion of larval 203 death was determined. Compounds were tested in duplicate at 100 µM. Control wells were included 204 in each experiment, which included the highest amount of solvent (1% DMSO).

#### 205 S. mansoni Roboworm assay

206 Biomphalaria glabrata (NMRI and the previously described pigmented strains [34]) infected 207 previously with S. mansoni (Puerto Rican strain) miracidia were exposed for 1.5 hrs under light at 26 208  $^{\circ}$ C. Cercariae were collected and mechanically transformed into schistosomula as previously 209 described [35]. Mechanically-transformed schistosomula were subsequently prepared for high 210 throughput screening (HTS) on the Roboworm platform according to Crusco et. al. [36]. All 211 compounds were tested in duplicate during dose response titrations (50  $\mu$ M, 40  $\mu$ M, 30  $\mu$ M, 20  $\mu$ M, 212 10  $\mu$ M in 0.625% DMSO). Assay controls included 10  $\mu$ M (in 0.625% DMSO) auranofin (positive 213 control; Sigma-Aldrich, UK) and 0.625% DMSO (negative control). Schistosomula phenotype and 214 motility were quantified after 72 hr co-culture with compounds as previously described [37]. 215 Compounds passing both phenotype (-0.15) and motility (-0.35) thresholds were classified as hits. Z' 216 scores for all assays were above 0.35 [38].

217

# 218 **Results**

## 219 Novel DHB Chemistry

We have recently reported the identification of five dihydrobenzoxazepinone (DHB) hit compounds as a new family of molecules active against *T. muris* adult motility [18]. Further, one of the compounds **OX02983** was also found to be efficacious at reducing the ability of eggs to establish infection *in vivo*. As we identified a limited number of active DHB family members in the first instance via a library screen, we aimed to investigate the DHB chemotype systematically with the goal of understanding their structure-activity relationships (SARs) and improving potency. **OX02983** 

226 was used as a starting point of our investigation.

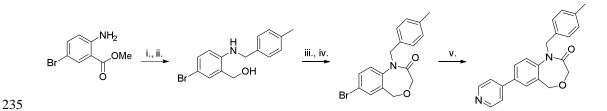
227



#### 229 Fig 1. Structure of OX02983 highlighting the four cycles labelled A-D

230

The synthesis used to prepare **OX02983** was adapted to systematically alter all the different cycles **A**-**D**, as shown in Fig 1. The first step was a reductive amination of the requisite aminobromobenzoate with the desired aldehyde to install cycles **A** and **C**. This was followed by a ring-closure step to generate cycle **B** and finally a cross-coupling reaction to add cycle **D** (Scheme 1).



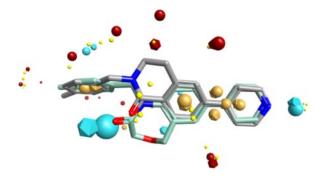
Scheme 1. Representative scheme for synthesis of DHB compounds. i. 4-methylbenzaldehyde (1.5 eq.), AcOH (0.5 eq.), NaBH(OAc)<sub>3</sub>, CH<sub>2</sub>Cl<sub>2</sub>, 0  $^{\circ}$ C to rt, 48 h; ii. LiAlH<sub>4</sub> (1 M in THF, 3.5 eq.); iii. chloroacetyl chloride (2.0 eq.), NEt<sub>3</sub> (2.0 eq.), THF, 0  $^{\circ}$ C to rt, 16 h; iv. NaOH (10 N, aq.), rt, 2 h; v. 4-pyridyl-B(OH)<sub>2</sub> (1.1 eq.), Pd(dppf)Cl<sub>2</sub> (5 mol%), K<sub>2</sub>CO<sub>3</sub> (3.0 eq.), 1,4-dioxane/H<sub>2</sub>O (4:1), 90  $^{\circ}$ C, 18 h.

241 It was decided to conduct a systematic structure activity relationship (SAR) investigation and alter the 242 four different cycles within the structure of **OX02983** to understand their importance in the activity 243 against T. muris with a view to improving efficacy. As the synthesis is linear, it was logical to 244 investigate from A to D. We therefore started with core B, to ascertain the importance of 245 regiochemistry and relative orientation of the substituents (Table 1). All the prepared compounds 246 were screened using an automated adult T. muris motility assay [28] at 100  $\mu$ M. Active compounds 247 were also tested at lower concentrations and/or an  $EC_{50}$  value determined to assess their relative 248 activity.

249 Using the appropriate starting materials (see S1 File for details of the syntheses), the different 250 structural analogues OX03701, OX03707 (where the 4-pyridyl ring is in position 8 and 6 of the 251 bicyclic core respectively, see Fig 1) and **OX03704** (the reverse amide equivalent of **OX02983**) were 252 prepared using a similar synthesis to OX02983 (Table 1). Interestingly, none of the structural 253 analogues exhibited any activity in our ex vivo adult T. muris motility assay, revealing that the 254 regiochemistry within **OX02983** is important for its activity. The next step was to investigate cycle **C**; 255 a small set of amines was used in the reductive amination step to prepare analogues **OX04118**, 256 OX04120, OX02993, OX03825, OX03144 bearing methyl, cyclopropyl, cyclohexyl, benzyl and p-257 trifluoromethylbenzyl groups respectively. From those, only the cyclohexyl substituted derivative 258 **OX02993** and the *p*-trifluoromethylbenzyl substituted derivative **OX03144** showed activity in the 259 motility assay, with EC<sub>50</sub> values of 52  $\mu$ M and 26  $\mu$ M respectively. The next step was to vary cycle **D**, 260 while keeping cycles A-C constant to allow a comparison with OX02983. Suzuki reactions were 261 therefore carried out on the 7-bromo precursor with an array of boronic acids and esters. The 262 regionsomers of the pyridyl ring (**D**) were tolerated with *meta* and *para* giving the best activity. 263 Analogues where the pyridyl ring was replaced with an aryl substituent were all inactive, be they 264 unsubstituted (OX03596), substituted with an electron withdrawing group (4-F, OX03600), or an 265 electron donating group (4-Me, **OX03601**) (Table 1). Different heterocycles were also trialled in place 266 of the pyridine; a similar level of activity was obtained with the isosteric thiazole (**OX04122**,  $EC_{50}$  of 267 45  $\mu$ M) and the methylimidazole (OX04123, EC<sub>50</sub> of 68  $\mu$ M) analogues. Substituting with a 268 pyrimidine (OX03705) led to a loss of activity, leading us to hypothesize that the basicity of the 269 substituent may be of importance to the activity. Following this, we prepared phenylamine and benzyl 270 amine-substituted analogues **OX03824** and **OX03710**, but neither exhibited activity against *T. muris*, 271 suggesting incorporating a linker between cycles A and D was not tolerated. We then turned our 272 interest to substituted pyridyl, and although the methoxy substituted pyridyl (OX04116) was not 273 active, the amino pyridyl OX04117 displayed modestly improved activity than OX02983 (EC50 26 274  $\mu$ M), which may be related to its moderately higher basicity.

In an effort to improve the efficacy further, we looked at more drastic modification to core **B**, by contracting the ring by removing the oxygen atom. Forge (Cresset) was used to overlay **OX02983** and its six-membered ring analogue **OX3699**; a good fit was obtained (~79% similarity) suggesting dihydrobenzoquinolinones (DBQ) as possible candidates for further improvement (Fig 2).

279



281	Fig 2. Overlay of OX02983 (light blue) and OX3699 (grey); blue spheres represent negation	ve
282	electrostatic field, red spheres represent positive electrostatic field, brown spheres represe	ent
283	hydrophobicity and small vellow sphere represent the van der Waals force.	

284

285 DBQs have been investigated quite extensively in medicinal chemistry; examples have been reported

as antiviral agents through inhibition of HIV replication [39,40]. Other analogues were found to

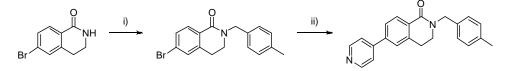
287 inhibit WDR5 protein-protein interactions, leading to inhibition of cancer cell proliferation [41–43]. <sup>3-</sup>

288

5

It was decided to prepare a small number of compounds only using those substituents and comparable regiochemistry that gave the most potent analogues so far.

The synthesis started with substitution at *N*2 of 6-bromo-3,4-dihydroisoquinolinone with 4methylbenzyl bromide, followed by a Suzuki coupling reaction with the requisite boronic acid to afford the desired ring contracted **OX02983** mimic (Scheme 2).



294

Scheme 2. Synthesis of OX3699: Reagents and conditions: i) 4-methylbenzyl bromide (2.0 eq.), NaH
(1.5 eq.), DMF, rt, 16 h (96 %); ii) 4-pyridyl-B(OH)<sub>2</sub> (1.1 eq.), Pd(dppf)Cl<sub>2</sub> (5 mol%), K<sub>2</sub>CO<sub>3</sub>
(3.0 eq.), 1,4-dioxane/H<sub>2</sub>O (4:1), 90 °C 18 h.

298 The DBQ bearing the 3-and 4-pyridyl substituents (OX03699 and OX04236) were active in the 299 motility assay and led to similar  $EC_{50}$ s to the best results from the DHB series (with  $EC_{50}$  values of 21 300  $\mu$ M and 46  $\mu$ M respectively). Unfortunately, as soon as we moved away from the simple pyridyl 301 substituent, all activity in the motility assay was lost again. The 2-amino pyrid-5-yl, the best example 302 of ring **D** in the DHB series, was surprisingly inactive (**OX04238** EC<sub>50</sub> >100  $\mu$ M vs. **OX04117** EC<sub>50</sub> 303  $26 \mu$ M). Similarly, the methyl imidazole and the thiazole-substituted analogues (OX04237 and 304 **OX4739** respectively), also exhibited no activity in the motility assay, in contrast to their DHB 305 counterparts suggesting that SARs did not correlate between the DHB and DBQ series. As the best 306 results from the DQB and the DHB series were largely similar, we felt that this alternative core was

307 not going to enhance substantially the potency of the compounds.

308 Collectively, these data have improved our understanding or provided insights into the SARs of the

309 DHB/DQB family of compounds. The structure of cycles A and B in OX02983 were found to be

310 critical to activity; variations of the toluyl group for ring C generally also led to inactive compounds.

311 Some variations of cycle **D** were tolerated, and there appeared to be a preference for a basic site

- 312 within the substituent. However, although we were able to alter the structure resulting in loss of
- 313 activity, we were unable to improve, only retain, activity.

314 Apart from the representative compounds presented in Table 1, further similar analogues and all

315 synthetic precursors were prepared and tested (S2 File). Together it gave us a library of 47 compounds

- that could then be used against different parasite species to understand whether these compound series
- 317 showed broad-spectrum anthelmintic activity.
- 318
- 319

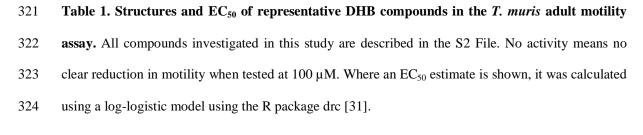
Compound	Structure	EC <sub>50</sub> (µM) in <i>T. muris</i> adult motility assay
OX02983		50
OX03701		no activity
OX03707		≥ 100

OX03704		≥75
OX03825		≥75
OX03144	F F F N C O	26
OX04118		≥75
OX04120		≥75
OX02993		52
OX04115		72
OX03153		57

OX03596		no activity
OX03600	F C C C C C C C C C C C C C C C C C C C	no activity
OX03601		no activity
OX03705		no activity
OX04122		45
OX04123		68
OX03710		no activity
OX03824		no activity

OX04116		no activity
OX04117		26
OX03146	Br	35
OX03699		21
OX04236		42
OX04238	H <sub>2</sub> N	no activity
OX04237		no activity
OX04239		no activity

320



## 326 DHB compounds are active in models of a range of helminth infections

327 Whipworm is only one of many widely prevalent human helminth infections, and there are continuing 328 efforts to improve drug treatments for these diseases. There have been recent successes, such as the 329 approval of the veterinary medicine moxidectin for onchocerciasis [44], and the establishment of the 330 triple therapy albendazole, diethylcarbamazine citrate plus ivermectin as an improved microfilaricide 331 treatment for lymphatic filariasis suitable for mass drug administration [45,46]. However, sub-optimal 332 efficacy, problematic contraindications, and concerns that mass drug administration could lead to the 333 spread of drug resistance, mean that repurposing of veterinary anthelmintics, improving drug 334 combinations, and the development of new anthelmintics remain priorities [47–49].

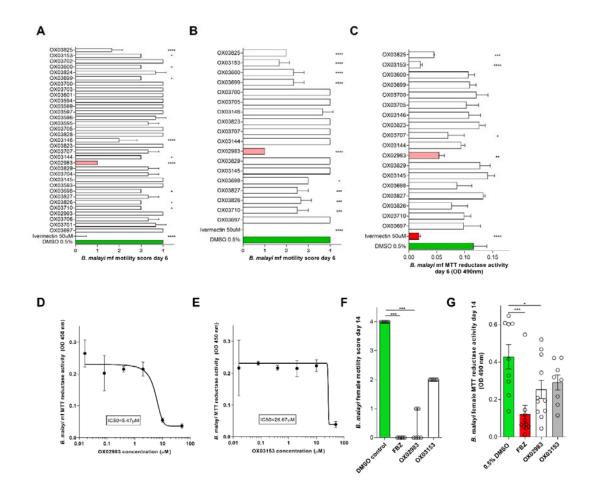
Development of a new anthelmintic is a long and expensive process, and funding for neglected tropical diseases is limited. Furthermore, multiple parasitic helminths, for example the soil transmitted nematodes, *Ascaris, Trichuris* and the human hookworms, the vector transmitted filarial nematodes, and the *Schistosoma* trematodes, are often endemic in the same regions. It would, therefore, be helpful if a new drug would have activity against several target species and which worked across the Nematoda and Platyhelminthes phyla. We therefore wanted to investigate whether the DHB series of compounds had a range of activities beyond *Trichuris*.

342 Activity against B. malayi

343 B. malayi is one of the tissue dwelling nematode parasites responsible for human lymphatic filariasis 344 [50]. We first examined single dose efficacy of 33 DHB compounds at 10 µM against the B. malayi 345 mf larval stage, with motility scored every 24 hours. The results after six days are shown in Fig 3A. 346 Ivermectin was used as a positive control in the mf assay. **OX02983** showed the most promise in this 347 assay, reducing average motility to a score of 1. From this primary screen, 15 compounds that were 348 determined to significantly impact *B. malayi* mf motility, plus an additional 5 with no discernible 349 effect, were retested in a secondary screen (Fig 3B). These results confirmed the significant reduction 350 in motility caused by 11 compounds and confirmed the paralytic effect of **OX02983**. After six days 351 drug exposure, mf were also tested for metabolic activity, a measure of parasite viability, using the 352 MTT assay (Fig 3C). OX02983 and OX03153, in particular, showed activity in this assay,

significantly reducing *B. malayi* mf MTT reductase activity on average by 53% and 82%, respectively (1-way ANOVA with Holm-Sidak's multiple comparison tests, P<0.01 and P<0.0001). To determine the dose-dependent efficacy of **OX02983** and **OX03153**, they were tested in a concentration response 6-day experiment (dose range 0.016-50  $\mu$ M) using MTT reductase activity as a quantitative viability readout (Fig 3D,E). From this an EC<sub>50</sub> concentration of 5.5  $\mu$ M was determined for **OX02983** and 26.7  $\mu$ M for **OX03153**.

359 Due to their efficacy against B. malayi mf, OX02983 and OX03153 were advanced for in vitro 360 activity against adult B. malayi, utilising a novel, long-term adult worm lymphatic endothelial cell 361 bilayer co-culture system. Adult female B. malayi exposed to vehicle control retained full survival 362 and motility in culture over 14 days whereas the positive control, flubendazole (10  $\mu$ M) mediated 363 complete paralytic activity by day 14 (Kruskal Wallis with Dunn's multiple comparisons tests, 364 P<0.001) and significantly reduced metabolic activity by an average of 72% (1-way ANOVA with 365 Holm-Sidak's multiple comparison tests, P<0.001) (Fig 3F-G). OX02983 (10 µM) also mediated 366 significant anti-filarial activities against adult *B. malayi* by day 14. Motility was completely hindered 367 in 4/6 adult parasites by **OX02983** (Kruskal Wallis with Dunn's multiple comparisons tests, P<0.001), 368 whilst **OX03153** mediated a 50% partial reduction in adult motility. OX02983 also significantly 369 impacted on adult female B. malayi metabolic activity, on average by 41% (1-way ANOVA with 370 Holm-Sidak's multiple comparison tests, P < 0.05). Taken together, these results are encouraging 371 because they show that compounds that are active against T. muris (a clade I nematode according to 372 the phylogeny of Blaxter) are also active against evolutionarily-distant nematodes, as B. malayi is a 373 clade III nematode [51].



375

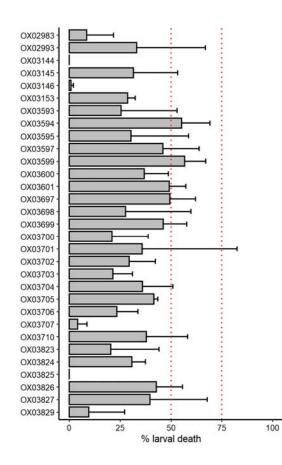
376 Fig 3. Activity of 33 DHB compounds against B. malayi microfilariae and adults. (A) Primary 377 screen – assessment of B. malayi mf motility (5 point scoring system) after six days continuous 378 exposure to 35 test compound screened at 10  $\mu$ M in triplicate. Ivermectin (50  $\mu$ M) was the positive 379 control. (B) Confirmatory mf motility and (C) metabolic activity screening of 15 active compounds 380 identified in (A) and five inactive compounds (10µM in triplicate). (D, E) 50% inhibitory 381 concentration (EC<sub>50</sub>) assays of active compounds OX02983 and OX03153 on B. malayi microfilarial 382 metabolic activity after 6-day continuous exposure. Metabolic activity (C-E) was assessed by 383 colormetric MTT assay, data is optical density of mf extracts measured at 490nm. (F) Effects on adult 384 female B. malayi motility and (G) metabolic activity following 14 day continuous exposure to 385 **OX02983** or **OX03153** (10  $\mu$ M). Flubendazole (10  $\mu$ M) was used as a positive control in the assay. 386 Data plotted is mean  $\pm$  SD of 3 replicates (A-E) median and range of 6 replicates (F) and mean  $\pm$ 387 SEM of 9-11 replicates (G). Significant differences were determined by 1-way ANOVA with Holm-

388	Sidak multiple comparisons test (A-C and G) or Kruskal-Wallis with Dunn's multiple comparisons
389	test (F). Significance is indicated ****P<0.0001, ***P<0.001, **P<0.01 and *P<0.05.

390

391 Activity against *H. polygyrus* 

392 H. polygyrus bakeri is an intestinal nematode parasite of laboratory mice [52]. It is a strongylid 393 nematode, related to human hookworm species. 31 DHB compounds were tested at 100  $\mu$ M against ex 394 vivo H. polygyrus L3 stage worms (n = 2). The results are shown in Fig 4. The cut-off used to 395 determine hits in this assay is 50% larval death [17]. Two compounds, OX03594 and OX03599, 396 exceeded this level of larval death and were therefore considered active. They did not however reach 397 the threshold for good activity (75%). Given the modest activity of these compounds against H. 398 polygyrus we have not further pursued this direction at this point. Activity of DHB compounds 399 against nematodes in three of the five clades of the phylum Nematoda, according to the phylogeny of 400 Blaxter, supports the potential for development of a pan-nematode control agent from this compound 401 series [51].



#### 403

#### 404 Fig 4. Measurement of the activity of 31 DHB compounds against *H. polygyrus* L3 stage worms.

405 Larval death is measured as the proportion of worms that respond to stimulus. Compounds were 406 tested in duplicate at 100  $\mu$ M. Dashed lines indicates the cut-off (50%) used to determine hits in this 407 assay and the cut-off for good activity (75%) [17]: <50%, not active, 50-75% moderate activity, 408 >75% good and >90% excellent activity.

409

### 410 Activity against *S. mansoni* schistosomula

411 Compared to *T. muris, H. polygyrus* and *B. malayi*, which are all parasitic worms within the phylum 412 Nematoda, *S. mansoni* is a more evolutionary distinct helminth – a trematode within the 413 Platyhelminthes phylum. It is a human parasite that infects around 150 million people, causing 414 schistosomiasis [1]. Praziquantel, an N-acylated quinoline-piperazinone, is the basis of 415 schistosomiasis treatment and is safe and efficacious against adult worms of all *Schistosoma* spp. as a 416 monotherapy. However, there is concern about the emergence of drug resistance [53] and praziquantel

417 has lower efficacy against juvenile forms, so immature parasites may survive drug exposure and418 continue the infection.

419 We screened 30 DHB compounds against S. mansoni schistosomula at 50 µM using the RoboWorm 420 system. This is an imaging-based screen that measures two parameters, motility and "phenotype," an 421 assessment of morphological and other features [37]. Auranofin, an inhibitor of S. mansoni 422 thioredoxin glutathione reductase (TGR) activity [54] was the positive control in this experiment. The 423 results are shown in Fig 5. The cut-offs for defining hit compounds in this assay have been previously 424 defined [37,55]. Nine compounds were hits in this assay for both motility and phenotype 425 measurements. Concentration-response curves were measured for these compounds (Table 2), with 426  $EC_{50}$  values in the range 14-41  $\mu$ M. It is encouraging that DHB compound series members show 427 activity against such evolutionarily distant pathogens to whipworm, particularly as DHB compounds 428 show little or no cytotoxicity in mammalian cell culture - so these compounds are not broadly toxic 429 [18].

430

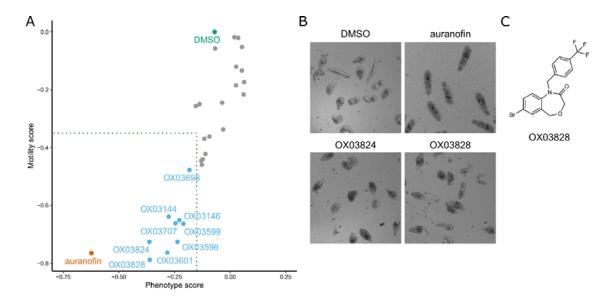


Fig 5. Measurement of the activity of 30 DHB compounds against *S. mansoni* schistosomula using the RoboWorm platform. (A) Each point is the measured effect of one compound on the two parameters – motility and phenotype. The phenotype score is calculated by a computational model that assesses morphological and texture properties of the schistosomula [37]. Compounds were

436 screened at 50  $\mu$ M. Auranofin was the positive control (screened at 10  $\mu$ M). Dotted box indicates the 437 threshold for activity in this assay: -0.15 for phenotype and -0.35 for motility; compounds must be 438 below this threshold for both parameters to be considered a hit [37,55]. Compounds were screened in 439 duplicate on two or three separate occasions and the data represents the average scores of these 440 experiments. (B) Representative images of schistosomula treated with controls, **OX03824** and 441 **OX03828**. (C) Structure of **OX03828**. The structure of **OX03824** is shown in Table 1.

442

443

	EC <sub>50</sub> in S. mansoni schi	stosomula Roboworm assay
Compound	(μΜ)	
	phenotype score	motility score
OX03144	28.4	28.0
OX03146	25.5	25.6
OX03596	29.1	26.8
OX03599	14.2	18.3
OX03601	24.4	20.6
OX03698	28.5	26.6
OX03707	32.7	29.3
OX03824	40.9	36.8
OX03828	25.7	20.7

#### 445 Table 2. EC<sub>50</sub> values for compounds active in the *S. mansoni* schistosomula Roboworm assay.

446 Compounds were screened at 10, 20, 30, 40 and 50 µM and EC<sub>50</sub> values were calculated for each of

448

449

# 450 **Discussion**

### 451 Investigation of the DHB structure-activity relationship

452 We previously identified a small hit series of five DHB compounds with activity against T. muris 453 adult motility [18]. In medicinal chemistry, it is important to understand how variations in the 454 structure of the compound affect activity, as this allows us to discover the critical aspects of the 455 compound for target binding, with the overall aim of increasing potency as well as improving 456 physicochemical properties. We therefore embarked upon a systematic, structure-activity relationship 457 investigation, taking advantage of the convenient synthesis of the DHBs, which allowed us to 458 systematically alter the four cyclic components of this class of compounds. A total of 47 variant 459 compounds were synthesised in this work.

460 This work has enabled us to define certain essential features of the anti-whipworm DHB compounds. 461 The 4-pyridyl ring (cycle D in Fig 1) must be in the 7 position, unlike the analogues **OX03701** and 462 OX03707. The amide moiety of the oxazepinone ring must be as in OX02983, and not as in 463 **OX03704.** The oxazepinone nitrogen can be substituted with methylbenzyl, cyclohexyl and p-464 trifluromethylbenzyl (OX02983, OX02993, and OX03144), but not methyl, cyclopropyl, or benzyl 465 groups. We also investigated in detail the replacement of cycle B. We found that removal of the 466 oxygen from the DHB core was also consistent with similar activity to OX02983 - the 467 dihydrobenzoquinolinone compounds OX03699 and OX04236 had  $EC_{50}$  values of 21 and 42  $\mu M$ 468 respectively.

#### 469 Targeting multiple helminth species with DHB family members

the screening parameters, phenotype and motility.

470 Despite being unable to improve efficacy against Trichuris substantially through structural 471 modifications, we were able to demonstrate activity of our compounds against other helminth 472 parasites. In drug discovery for NTDs, pan-anthelmintic activity is desirable given that polyparasitism 473 in the target population is the norm. Thus, being able to target multiple species of helminths with a 474 single drug administered via mass drug administration programmes is of significant benefit. Of 475 particular note was the commonality in DHB compounds active against T. muris that were also active 476 against the tissue dwelling nematode parasite B. malayi. The ability of the DHB compounds to act 477 against different clades within the nematode phylum is not unprecedented, indeed the co-478 administration of albendazole with ivermectin is currently advocated for control of Trichuris, and the 479 same drug combination (in some situations supplemented with diethylcarbamazine) is widely used 480 against lymphatic filariasis [46]. Indeed, the large-scale efforts to treat lymphatic filariasis have 481 indirectly enhanced the number of people being treated for soil transmitted helminths [56]. Similarly, 482 the alternative drug combination of albendazole and moxidectin is also being explored for the 483 treatment of Trichuriasis given that moxidectin is an approved treatment for onchocerciasis [57].

In contrast, there are currently no drugs used in MDA that have demonstrated cross-phyla efficacy against both schistosomes and nematodes. Currently only praziquantel is used for preventative chemotherapy against schistosomes, although co-administration with albendazole is recommended where STHs are co-endemic [58]. Therefore it was notable that DHB family members could work across phyla, showing some activity against both schistosomes and nematodes.

#### 489 Conclusions

In this study we have investigated the structure-activity relationship of the DHB compounds, defined essential features for anthelmintic action, and broadened the active series by the discovery of dihydrobenzoquinolinone compounds with activity against *T. muris* adult motility. We have also demonstrated that DHB and related compounds have activity against multiple helminths across different phyla – against the nematodes *B. malayi* and *H. polygyrus* as well as *T. muris*, and against the trematode *S. mansoni*. What we have not achieved however, is the substantive improvement in potency from the 20-50 µM range that would be desirable to progress this series with confidence to *in*  497 vivo testing. Open science, where information is disclosed more freely than in traditional models, is 498 proposed to accelerate drug discovery and make it more cost efficient, especially in the context of 499 neglected diseases [59,60]. We have therefore decided to report our progress at this point. We note 500 that we do not yet know the target of the DHB/DBQ compounds in helminths. Identifying this target 501 may facilitate the boost in activity we are striving for.

502

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- 513

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# 717 Supporting information Captions

- 718 S1 File. Supporting information for synthetic chemistry
- 719 S2 File. Summary table of compound structures and assay results. Shaded results are those
- 720 compounds that were active in each assay.