1	Patterns of MHC-dependent sexual selection in a
2	free-living population of sheep
3	"MHC-dependent sexual selection in Soay sheep"
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12 13	Keywords : sexual selection, Major Histocompatibility complex, inbreeding avoidance, Soay sheep

## 15 Abstract

16 The MHC is one of the most polymorphic gene clusters in vertebrates and play an essential 17 role in adaptive immunity. Apart from pathogen-mediated selection, sexual selection can also 18 contribute to the maintenance of MHC diversity. MHC-dependent sexual selection could 19 occur via several mechanisms but at present there is no consensus as to which of these 20 mechanisms are involved and their importance. Previous studies have often suffered from 21 limited genetic and behavioural data and small sample size, and were rarely able to examine 22 all the mechanisms together, determine whether signatures of MHC-based non-random 23 mating are independent of genomic effects or differentiate whether MHC-dependent sexual 24 selection takes place at the pre- or post-copulatory stage. In this study, we use Monte Carlo 25 simulation to investigate evidence for non-random MHC-dependent mating patterns by all 26 three mechanisms in a free-living population of Soay sheep. Using 1710 sheep diplotyped at 27 the MHC class IIa region and genome-wide SNPs, together with field observations of 28 consorts, we found sexual selection against a particular haplotype in males at the pre-29 copulatory stage and sexual selection against female MHC heterozygosity during the rut. We 30 also found MHC-dependent disassortative mating at the post-copulatory stage, along with 31 strong evidence of inbreeding avoidance at both stages. However, results from generalized 32 linear mixed models suggest that the pattern of MHC-dependent disassortative mating could 33 be a by-product of inbreeding avoidance. Our results therefore suggest that while multiple 34 apparent mechanisms of non-random mating with respect to the MHC may occur, some of 35 them have alternative explanations.

## 37 Introduction

38 Major histocompatibility complex (MHC) genes encode cell surface proteins that present 39 pathogen-derived peptide to T cells to activate the adaptive immune response and are one of 40 the most variable loci across the vertebrate genome. Although pathogen-mediated balancing 41 selection is believed to be the major force shaping MHC diversity, sexual selection could also 42 contribute to the maintenance of MHC diversity (Jordan and Bruford 1998, Penn 2002, 43 Milinski 2006, Radwan, Babik et al. 2020). A potential role for MHC-dependent sexual 44 selection has been assessed theoretically (Ejsmond, Radwan et al. 2014) and documented in 45 empirical studies (Winternitz, Minchey et al. 2013, Kamiya, O'Dwyer et al. 2014, Winternitz, 46 Abbate et al. 2017). MHC-dependent sexual section could act through both intrasexual 47 selection via male-male competition and through intersexual selection including mate choice 48 based on the partner's MHC constitution (additive benefits) or based on the MHC 49 compatibility (non-additive benefits).

50 More specifically, MHC-dependent sexual selection could occur via three non-mutually 51 exclusive mechanisms: selection could favour particular MHC alleles or haplotypes, MHC 52 diversity or MHC compatibility. First, individuals with specific MHC alleles could be 53 favoured by intrasexual or intersexual selection and such a pattern has been reported in 54 several studies (Ekblom, Saether et al. 2004, Eizaguirre, Yeates et al. 2009). Second, some 55 studies have reported that individuals with higher diversity or heterozygosity at the MHC 56 were favoured as partners (Landry, Garant et al. 2001, Richardson, Komdeur et al. 2005, 57 Cutrera, Fanjul et al. 2012, Winternitz, Promerova et al. 2015). Third, in terms of MHC 58 compatibility, MHC-dependent disassortative mating has been reported, which could 59 maximise the MHC diversity of offspring (Schwensow, Eberle et al. 2008, Setchell, Charpentier et al. 2010, Hoover, Alcaide et al. 2018, Han, Sun et al. 2019). Several studies 60

61 have also demonstrated that MHC genes could be a cue for kin recognition enabling 62 avoidance of mating with relatives through MHC-associated odours (Grob, Knapp et al. 63 1998, Penn 2002, Milinski 2006). However, as excessive expression of MHC molecules 64 could lead to depletion of the mature T-cell repertoire and elevated risk of autoimmune 65 disease (Migalska, Sebastian et al. 2019), it has also been suggested that individuals may 66 optimise rather than maximise MHC diversity (Reusch, Haberli et al. 2001, Griggio, Biard et al. 2011, Rekdal, Anmarkrud et al. 2019). Fourth, some studies have found mate choice 67 68 favouring MHC-similar mates and explained such patterns as local adaption to endemic 69 pathogens (Bonneaud, Chastel et al. 2006, Bichet, Penn et al. 2014, Sin, Annavi et al. 2015). 70 Finally, some studies have found no evidence of MHC-dependent sexual selection and 71 suggested it may not be a universal phenomenon (Paterson and Pemberton 1997, Westerdahl 72 2004, Huchard, Knapp et al. 2010, Sepil, Radersma et al. 2015, Yu, Nie et al. 2018). 73 However, few previous studies have investigated all possible mechanisms together within the 74 same study. A meta-analysis of MHC-dependent sexual selection in non-model species 75 found support for female choice for MHC diversity and selection for dissimilarity when the 76 MHC is characterized across multiple loci, but selection for particular MHC alleles was not 77 examined (Kamiya, O'Dwyer et al. 2014). Therefore, more empirical studies are needed to 78 draw definite conclusions about MHC-dependent sexual selection.

Future studies on MHC-dependent sexual selection would ideally include advances in a number of aspects of data quality. First, accurate estimates of relatedness should be examined at the same time as MHC-dependent sexual selection. Rather than being the actual cues for sexual selection, MHC variation could be incidentally associated with signals of genomewide relatedness. Thus, signatures of MHC-dependent disassortative mating could be a byproduct of inbreeding avoidance (Hurst, Thom et al. 2005, Sherborne, Thom et al. 2007). In the previous studies reported above (Bonneaud, Chastel et al. 2006, Setchell, Charpentier et

86 al. 2010, Huchard, Baniel et al. 2013, Bichet, Penn et al. 2014, Winternitz, Promerova et al. 87 2015, Ferrandiz-Rovira, Allaine et al. 2016), relatedness was usually estimated from small 88 panels of microsatellite markers rather than genome-wide SNPs, which may have been 89 imprecise. Second, behavioural observations of mating are required to differentiate pre- and 90 post-copulatory MHC-dependent sexual selection, especially since pre- and post-copulatory 91 sexual selection on MHC genes could occur in opposite directions. For example, pre-92 copulatory sexual selection favouring MHC-dissimilar partners has been demonstrated in a 93 population of salmon (Salmo salar) (Landry, Garant et al. 2001) while post-copulatory sexual 94 selection favoured MHC-similar partners in another salmon study system (Yeates, Einum et 95 al. 2009). However, few studies have examined whether MHC-dependent sexual selection 96 occurs at the pre- and post-copulatory sexual selection stages using field observations of 97 mating behaviour in the same population, except for a study of mouse lemur (Microcebus 98 *murinus*)(Schwensow, Eberle et al. 2008). Third, detailed knowledge about MHC haplotype 99 structure are needed to study MHC-dependent sexual selection. MHC loci genes are usually 100 in strong linkage disequilibrium and inherited as haplotypes such that deviation of random 101 mating based on MHC genes may be imprecisely measured without haplotype information. 102 However, only a handful of studies in wild populations has managed to haplotype the MHC 103 region (Huchard, Weill et al. 2008, Niskanen, Kennedy et al. 2014, Sin, Annavi et al. 2014, 104 Gaigher, Burri et al. 2016) and few studies have investigated MHC-dependent sexual 105 selection using MHC haplotypes (Sin, Annavi et al. 2015). Finally, larger sample sizes are 106 essential in future studies to secure confident results (Kamiya, O'Dwyer et al. 2014, Hoover 107 and Nevitt 2016, Winternitz, Abbate et al. 2017). A recent study documented the impact of 108 sample size on error rates and effect sizes and suggested a sample size of 500 mating pairs is 109 required for testing MHC-dependent sexual selection (Hoover and Nevitt 2016) which was 110 not always available in previous studies.

111 In this study, we used a free-living population of Soay sheep (Ovis aries) on the island of 112 Hirta, St Kilda to investigate MHC-dependent sexual selection. An individual-based study 113 has been carried out on the population since 1985 (Clutton-Brock and Pemberton 2004). A 114 large number of male-female consorts has been recorded during the rut each year and a multi-115 generation pedigree including most study individuals has been constructed. Previous studies 116 have suggested intensive male-male competition and male mate choice in Soay sheep 117 (Preston, Stevenson et al. 2003, Preston, Stevenson et al. 2005). Also, selection on load and 118 tolerance of gastrointestinal parasites has been demonstrated (Hayward, Wilson et al. 2011, 119 Hayward, Nussey et al. 2014). Therefore, we assume there could be MHC-dependent sexual 120 selection to increase an offspring's fitness to better combat parasites. However, a previous 121 study using five MHC-linked microsatellite loci genotyped in between 887 and 1209 122 individuals born between 1985 and 1994 found no evidence for MHC-dependent assortative 123 or disassortative mating in this population (Paterson and Pemberton 1997). Recently, using 124 genotyping-by-sequencing, a total of eight MHC class II haplotypes have been identified in 125 the study populations and a large number of individuals alive between 1989 and 2012 have been diplotyped (Dicks, Pemberton et al. 2019, Dicks, Pemberton et al. 2020). In addition, 126 127 pairwise relatedness based on genome-wide SNPs is available between most individuals. This 128 genetic and genomic data combined with a large number of consort and parentage records 129 enabled us to test MHC-dependent sexual selection more thoroughly than before using Monte 130 Carlo simulations. We aimed to test for specific mechanisms of MHC-dependent sexual 131 selection by asking the following questions: 1) Are individuals carrying specific MHC 132 haplotypes favoured during mating? 2) Are MHC-heterozygote individuals favoured during 133 mating? 3) Is there MHC-dependent disassortative or assortative mating? 4) If there is 134 disassortative mating, is it based on haplotype divergence? 5) Is there inbreeding preference 135 or avoidance? 6) If any signature of non-random mating is detected, does it occur at the pre-

- 136 or post-copulatory stage? 7) If there is any signature of MHC-based mating, is this signature
- 137 independent of genome-wide heterozygosity or relatedness?

## 138 Methods

### 139 Study population and data collection

140 An unmanaged population of Soay sheep has resided unmanaged on the island of Hirta, St 141 Kilda since 1932 when they were introduced there from the nearby island of Soay. From 142 1985, a longitudinal individual-based study has been conducted on the sheep resident in the 143 Village Bay area of Hirta. Nearly all individual Soay sheep living in the study area have been 144 followed from birth, through all breeding attempts, to death. Lambs born as singletons, twins 145 or (rarely) triplets are ear tagged shortly after birth in April or May, sampled for genetic 146 analysis and weighed. Any missed lambs or immigrant adults are captured, tagged and 147 sampled in an August catch up or in the rut in November. The population is regularly 148 censused throughout the year with individual locations recorded (Clutton-Brock and 149 Pemberton 2004).

A large number of study area sheep alive since 1989 have been genotyped on the Illumina
Ovine 50K SNP array. Parentage was determined for each individual using a subset of 315
unlinked SNPs derived from the SNP array using the R library Sequoia (Berenos, Ellis et al.
2014, Huisman 2017).

## 154 Rut behavioural data

Soay sheep have a promiscuous mating system with intensive male-male competition as well as male mate choice (Preston, Stevenson et al. 2003, Preston, Stevenson et al. 2005). The onset of the rut in early November is marked by increasing male aggression as males roam 158 and search for oestrous females across the study area. Males compete to gain access to 159 oestrous females and the winner defends and mates with the female repeatedly over several 160 hours in a so-called 'consort'. However, only large and mature males with big horns can 161 defend a female for long. Younger, smaller males and those with scurred horns constantly 162 search for oestrous females, chase them and get quick matings if they can. Matings are 163 mostly between males and females aged one year or older, but some male lambs aged seven 164 months obtain matings and some female lambs give birth at the age of one (see 165 Supplementary table 1). Throughout the rut the study area is continually monitored for 166 consorts throughout each day, with consorts defined as being a close spatial relationship 167 between a male and female with frequent male courtship and defence of a receptive female 168 (Clutton-Brock and Pemberton 2004).

### 169 Molecular data

170 The molecular data used in this study included MHC class II diplotypes and pairwise 171 genomic relatedness. We used a two-step haplotyping method involving characterisation of 172 the MHC haplotypes present and then Kompetitive Allele-specific PCR (KASP) genotyping 173 to impute haplotypes of individuals that lived in the study area between 1989 and 2012. First, 174 seven expressed loci (DRB1, DQA1, DQA2, DQA2-like, DQB1, DQB2 and DQB2-like) 175 within the MHC class IIa region were characterized in 118 Soay sheep using genotyping-by-176 sequencing which identified eight haplotypes named A to H (Dicks, Pemberton et al. 2019). 177 Second, a panel of 13 SNPs located in the MHC class IIa region haplotypes including 11 178 SNPs from the Ovine Infinium high density SNP array (Illumina) and two other SNPs located 179 within DQA1 gene were selected to impute eight haplotypes using Kompetitive Allele-180 specific PCR (KASP) in 5951 Soay sheep (Dicks, Pemberton et al. 2020). After genotyping 181 quality control and pedigree checking, 5349 individuals were successfully diplotyped. The individual inbreeding coefficients and the pairwise genomic relatedness between all
individuals were calculated using GCTA (Yang, Lee et al. 2011) and DISSECT respectively
(Canela-Xandri, Law et al. 2015) based on 37K polymorphic SNPs from the Ovine 50K SNP
array (Illumina). The X chromosome and chromosome 20 where the MHC genes are located
were excluded when calculating the pairwise genomic relatedness.

### **Assortative mating analysis**

We performed Monte-Carlo simulations to examine whether there were MHC-dependent orrelatedness-dependent mating patterns in Soay sheep.

190 We first selected all females and males older than one year which were diplotyped at the 191 MHC and genotyped on the SNP chip into a "primary mating pool". We focused on mating 192 between adult sheep because relatively few offspring have a juvenile parent (that is, a male 193 lamb for a father and/or a female lamb for a mother; see Supplementary table 1) and 194 including the large number of non-reproductive male and female lambs present each breeding 195 season could have biased the simulations. We excluded individuals whose birth year was 196 unknown, as they do not live mainly in our study area and we had no information about when 197 they start to be involved in mating. For the few individuals with no recorded death year, death 198 year was estimated from the last-seen year recorded in the census data or the last year they 199 sired or gave birth to offspring. A total of 889 females and 821 males were included in the 200 primary mating pool dataset and each of them is recorded once per year they were alive 201 (Supplementary table 1).

Second, we extracted all the consorts between any male and female in the primary mating pool dataset as the "consort dataset". Multiple observations of the same pair together on the same day were counted as one consort observation.

205 Third, we assembled an "observed parentage dataset" comprising all mother-father-offspring 206 trios in which the offspring birth year was known and the parents were successfully 207 diplotyped at the MHC and genotyped on the SNP chip. To be consistent between the 208 primary mating pool and observed parentage dataset, we excluded trios in which either of the 209 parents was a lamb or an adult not included in primary mating pool, such that all parents 210 could be sampled from the primary mating pool. In total, 2068 trios were included in the 211 observed parentage dataset (Supplementary table 2). Twins and triplets were not common in 212 our dataset comprising less than a quarter of all individuals born. Twins are usually half-sibs 213 with different fathers, coming from different mating events (Supplementary table 3). Thus, 214 we treated each offspring as an independent data point. Finally, the null model of random 215 mating (adjusted random mating model) was defined for simulation.

216 For each year, we first randomly assigned a male or a female living in the same year from the 217 primary mating pool to replace each known mother and father in the observed parentage 218 dataset and then adjusted the record of the sampled sheep based on the annual breeding 219 success (number of offspring produced each year) of the replaced sheep to produce an 220 "adjusted mating pool". Then, each offspring in the observed parentage dataset was randomly 221 assigned a father and mother in the year before its birth year without replacement from the 222 adjusted mating pool. Annual breeding success in the simulated results was the same as the 223 observed parentage dataset, while lifetime breeding success differed slightly from the 224 observed parentage dataset with the mean of lifetime breeding success lower in the simulated 225 data than that from the observed parentage dataset (Supplementary figure 1). The model was 226 simulated for 10000 iterations in R v.3.5.2 using a custom script (R Core Team 2013).

Previous studies have suggested accounting for spatial distance when designing null models
of random mating (Huchard, Baniel et al. 2013, Sepil, Radersma et al. 2015). However,
during the rut, male sheep rove around the entire study area to search for oestrous females

such that there is little evidence of spatially-restricted mating patterns (Clutton-Brock and

Pemberton 2004). Therefore, we did not account for spatial distance in our null models.

232 After simulation, we summarised the results of all the iterations using various indices in 233 response to the questions we proposed: 1) The frequency of each haplotype in simulated mothers and fathers. 2) The ratio of homozygote: heterozygote in simulated mothers and 234 235 fathers. 3) The average number of shared MHC haplotypes between simulated parents and the 236 proportion of simulated parents sharing 0, 1 and 2 haplotypes. 4) To account for MHC 237 functional variation, the pairwise divergence of MHC haplotypes between each simulated 238 parent pair, which has been found to be associated with fitness and parasite resistance 239 (Wakeland, Boehme et al. 1990, Lenz, Mueller et al. 2013, Pierini and Lenz 2018), was first 240 measured by the proportion of the amino acid sequence that differed between them (p-241 distance) (Henikoff 1996). We then defined two indices AAdist and distmax as the mean and 242 maximum MHC divergence between the 4 possible haplotype combinations of each 243 simulated parent pair respectively. Finally, the mean of AAdist and distmax were calculated. 244 5) The mean and median of genomic relatedness between simulated parents.

245 These indices were also calculated for the real data using the "consort dataset" and "observed 246 parentage dataset". Specifically, the first two indices were measured in mated females and 247 males (consort dataset) or in mothers and fathers (observed parentage dataset) while the last 248 three indices were measured between consort pairs or between genetic parents. For each 249 index, statistical significance (p value) was determined by comparing the index in the real 250 data with the 2.5% and 97.5% tails of the distribution of the index in the simulated results. To 251 account for multiple testing, we applied a Bonferroni correction to the eight haplotype 252 frequency tests across two sexes, and significant results were determined based on the refined 253 critical *p*-value (p = 0.0015625).

254 Once significant results were identified, we determined whether the distortion occurred at the 255 pre- or post-copulatory stage based on the following logic. 1) If indices in the consort dataset 256 and observed parentage dataset both deviate from expectations of random mating, this is 257 evidence of pre-copulatory selection. 2) If indices in the consort dataset and observed 258 parentage dataset both deviate from expectations of random mating but in opposite directions, 259 or only indices in the consort dataset deviated, this is evidence of both pre- and post-260 copulatory selection acting in different directions. 3) If only indices in the observed parentage 261 dataset deviate from expectations of random mating, this is evidence of post-copulatory 262 selection. 4) If indices in the consort dataset and observed parentage dataset were both in line 263 with expectations of random mating, this suggests no evidence of sexual selection.

### 264 Generalized linear mixed models

265 We used generalized linear mixed models to differentiate MHC and genomic effects on non-266 random mating. We drew up a matrix of all pairwise combinations of males and females in 267 the primary mating pool in a given year. For each pair, we then recorded their consort and 268 breeding success (0/1) based on the real data from consort dataset and observed parentage 269 dataset respectively, with 0 meaning no successful consort or offspring observed and 1 270 meaning consort or offspring observed. Then, we investigated consort and breeding success 271 as response in separate binomial regressions. In each model, year and sire ID were fitted as 272 random effects while genome-wide heterozygosity of each mother and father measured as 273 inbreeding coefficient, MHC heterozygosity of each mother and father, genomic relatedness 274 and the number of shared MHC haplotypes between each pair were fitted simultaneously as 275 fixed effects. The model was run in R v.3.5.2 using R package lme4.

276

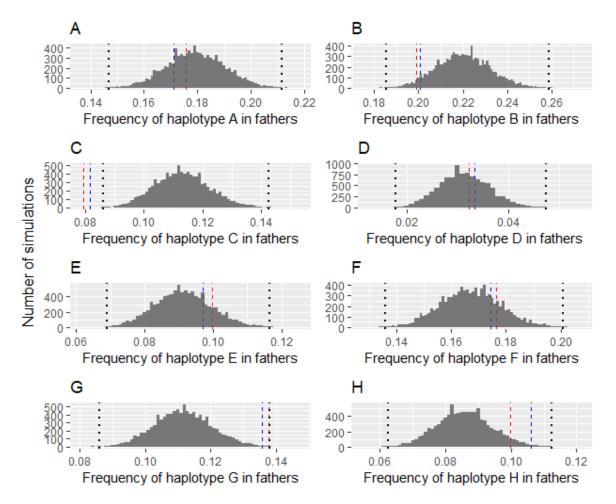
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# 277 **Results**

#### 278 Haplotype frequency tests

279	The frequency of haplotype C in both consort males and fathers was significantly lower than	
280	expected under the null model, even after Bonferroni correction. In addition, the frequency of	
281	haplotype G in both consort males and fathers and the frequency of haplotype H in fathers	
282	tended to be higher than expected but these results were not significant after Bonferroni	
283	correction (Figure 1).	

We did not find any significant deviation of haplotype frequency in either consort females or mothers relative to random mating. The frequency of haplotype F and H in consort females tended to be lower and higher respectively. In addition, the frequency of haplotypes A and G in mothers tended to be lower and higher respectively. However, none of these patterns was significant after Bonferroni correction (Supplementary figure 2). bioRxiv preprint doi: https://doi.org/10.1101/2020.11.18.387332; this version posted April 29, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

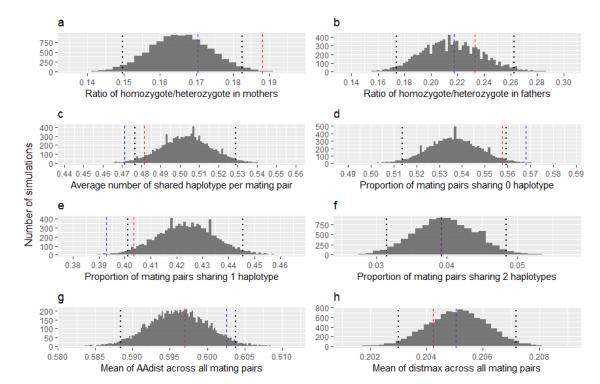


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Figure 1. Results of MHC haplotype frequency tests in fathers following Monte Carlo
simulation. Histograms represent the result of simulations with dotted black lines
representing the critical p-values after Bonferroni correction. The red and blue dashed blue
lines show the observed MHC haplotype frequency in the consort and observed parentage
dataset respectively. Males carrying Haplotype C are rarer than expected in both the consort
and parentage dataset.

#### 296 Diplotype-based tests

Regarding individual MHC heterozygosity, we found that the ratio of homozygote to heterozygote in consort females was significantly higher than expected under the null model However, the ratio in mothers was in line with expectation under the null model (Figure 2a). We found the average number of shared haplotypes between parents was significantly lower compared with the null expectation, but this pattern was not observed in consort dataset (Figure 2c). In addition, the proportion of parents sharing 0 haplotype was significantly higher than in the simulated results while the proportion of parents sharing 1 haplotype was
significantly lower than the simulated results (Figure 2d-f). However, MHC divergence
measured as amino acid sequence differences between parents was in line with expectation of
random mating (Figure 2g and h).



308

307

Figure 2. Results of MHC diplotype-based tests following Monte Carlo simulation.

310 Histograms represent the result of simulations, with dotted lines representing the 2.5% and

311 97.5% tails of the distributions. The red and blue dashed blue lines show observed values for

the indices in the consort and observed parentage datasets respectively. Homozygote females

are commoner than expected in the consort but not the parentage dataset and parents share

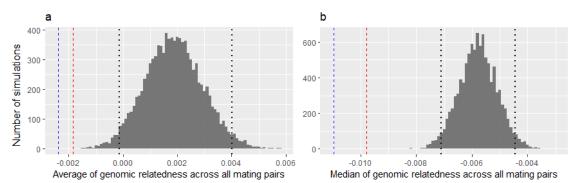
314 fewer haplotypes than expected.

#### 315 Genome-wide relatedness tests

316 We found mean and median genomic relatedness between consort pairs and between parents

317 were significantly lower than expected under the null model (Figure 3).

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Median of genomic relatedness across all mating pairs Figure 3. Results of tests of pairwise genomic relatedness following Monte Carlo simulation. Histograms represent the result of simulations, with dotted lines representing the 2.5% and 97.5% tails of the distributions. The red and blue dashed blue lines show observed values for genomic relatedness in the consort and observed parentage dataset respectively. Partners are less related than expected in both the consort and the parentage datasets.

#### 324 Generalized linear mixed models

We found a negative association between consort success and female MHC heterozygosity and positive associations between both consort and breeding success and male genome-wide heterozygosity measured as inbreeding coefficients. We found a negative association between both consort and breeding success and genomic relatedness, but no association between consort and breeding success and number of shared MHC haplotypes (Table 1).

#### 331

Table 1. Results of generalized linear mixed model testing associations between consort/breeding success and MHC/genomic heterozygosity and relatedness. Significant effects and standard errors are marked with asterisk (\* p<0.05, \*\*p<0.01) and shown in brackets respectively.

	Consort Success	Breeding success
Fixed effects		
MHC heterozygosity of mothers	-0.154 (0.046)**	-0.032(0.063)
MHC heterozygosity of fathers	-0.228 (0.217)	-0.137(0.167)
Inbreeding coefficient of mothers	0.335 (0.671)	-1.280(0.993)
Inbreeding coefficient of fathers	-12.611 (2.093)**	-10.591(3.845)**
Number of shared MHC haplotypes	-0.016 (0.031)	-0.064(0.041)
Genomic relatedness	-1.125 (0.359)**	-0.950(0.476)*
Random effects		
Sire ID	3.822(1.955)	1.731(1.316)
Year	1.248(1.117)	0.359(0.599)

336

## 337 **Discussion**

338 In this study, we investigated MHC-dependent sexual selection in a free-living sheep 339 population using Monte Carlo simulations. By comparing the result of simulated and real data, we examined whether there is deviation from random mating depending on MHC 340 341 variation. We found haplotype C was disfavoured in comparison with random expectation in 342 both consort males and fathers. We found no evidence that MHC heterozygotes were 343 favoured in either sex. Instead, we found MHC homozygote females were over-represented in 344 consort pairs but this pattern was not observed in actual mothers. We found the average 345 number of shared MHC haplotypes between parents was lower compared with null 346 expectation, but this pattern was not observed in the consort dataset. In addition, the 347 proportion of parent pairs sharing no haplotype was higher and that of parent pairs sharing 348 one haplotype was lower than expected under random mating. Finally, we found evidence of 349 inbreeding avoidance, as the mean and median pairwise genomic relatedness in the consort 350 and observed parentage datasets were significantly lower than expected under random 351 mating. When fitting MHC and genomic effects in the same model of consort or parentage,

we could not demonstrate an independent effect of disassortative mating based on MHC haplotype sharing, but we found the deviation towards MHC homozygote females in the consort data was independent of genome-wide heterozygosity.

355 In our study, sexual selection on a specific MHC haplotype (C) was probably due to 356 differences in male competitive ability, since the frequency of C was rarer than expected, not 357 only in male parents but also in consort males. Few previous studies have reported specific 358 MHC variants being favoured or disfavoured during mating as the high polymorphism of 359 MHC genes requires a large sample size to detect sexual selection on specific MHC variants 360 (Eizaguirre, Yeates et al. 2009). In this study, our finding for haplotype C in male parents was 361 consistent with a negative association between MHC haplotype C and male breeding success 362 found in a recent study on MHC-fitness associations (Huang, Dicks et al. 2020). The fact that 363 haplotype C males are also less often observed in consort than expected indicates that the 364 effect of haplotype C is expressed at the pre-copulatory rather than post-copulatory stage.

365 Our finding that females observed in consorts are more homozygous than expected, an effect 366 which is opposite to expectation, is not found in the observed parentage data and is 367 independent of genome-wide heterozygosity, is puzzling and requires explanation. One 368 hypothesis is that MHC-homozygous females are less likely to conceive in a given oestrus 369 cycle and therefore return to oestrus 14 days later. This in turn would enrich our consort data 370 set for such females. Alternatively, if homozygous females are less attractive in some way, 371 perhaps they are less likely to be in long, stable consorts and instead experience multiple 372 short consorts, which would again enrich the consort data set for homozygous females. These 373 possibilities require further investigation within our dataset but are beyond the scope of this 374 paper.

375 At first sight, our results also suggest that there is sexual selection based on MHC 376 compatibility, but our tests suggest this effect is not independent of an inbreeding avoidance 377 effect. In a population with limited dispersal and severe inbreeding depression, inbreeding 378 avoidance through kin recognition could arise to reduce the cost of inbreeding (Pusey and 379 Wolf 1996, Szulkin, Stopher et al. 2013, Duthie and Reid 2016). Previous studies have 380 proposed MHC variation could be used as a cue for inbreeding avoidance and MHC-381 associated odour variation has been reported in a wide range of taxa including fish (Olsen, 382 Grahn et al. 1998, Milinski, Griffiths et al. 2005), reptiles (Olsson, Madsen et al. 2003), birds 383 (Leclaire, Strandh et al. 2017) and mammals (Wedekind, Seebeck et al. 1995, Wedekind and 384 Furi 1997, Yamazaki, Beauchamp et al. 2000, Roberts, Gosling et al. 2008). However, if 385 MHC haplotype sharing is associated with relatedness, as we have shown in Soay sheep, 386 MHC-disassortative mating could be a by-product of inbreeding avoidance. Few studies have 387 been able to test this hypothesis, however a study of grey mouse lemur revealed both 388 inbreeding avoidance and MHC-dependent disassortative mating, and suggested that 389 observed deviations from random mating at the MHC are driven by the functionally 390 important MHC gene DRB rather than resulting passively from inbreeding avoidance. In that 391 study, MHC-dependent disassortative mating was detected at the DRB locus only for amino 392 acid sequence and functional similarity rather than number of shared MHC alleles (Huchard, 393 Baniel et al. 2013). In contrast, when studied in terms of MHC divergence measured as amino 394 acid sequence differences between parents in Soay sheep, our results were in line with the 395 expectation from random mating (Figure 2g and h). In Soay sheep, inbreeding depression has 396 been documented repeatedly using different approaches (Coltman, Pilkington et al. 1999, 397 Overall, Byrne et al. 2005, Berenos, Ellis et al. 2016, Stoffel, Johnston et al. 2020), so it is 398 possible the sheep have evolved inbreeding avoidance. Using genomic relatedness calculated 399 from a large number of SNPs, we demonstrated genomic inbreeding avoidance in Soays at the pre-copulatory stage for the first time. When tested in the same model, MHC haplotype
sharing was not significant. We therefore cannot claim that the apparent disassortative mating
based on haplotype sharing is anything but a correlated effect of inbreeding avoidance.

403 Our results differ from a previous study in Soay sheep (Paterson and Pemberton 1997) which 404 found no evidence for MHC-dependent assortative or disassortative mating. In the current 405 study, we found evidence of MHC-dependent disassortative mating, at the post-copulatory 406 stage which carried through to the parentage stage, based on the number of shared MHC 407 haplotypes. Reasons for this difference include the fact our data consisted of MHC class II 408 haplotypes rather than MHC-linked microsatellite markers, and these two approaches do not 409 have a perfect read through. Also, our sample sizes are very much larger and our 410 methodology (Monte Carlo simulation) is different from the previous study which used a 411 likelihood-based approach.

412 By using a large number of consort observations, we were able to differentiate MHC-413 dependent sexual selection at the pre- and post-copulatory stages. In this area, field 414 observations were first used in a study of mouse lemur which demonstrated post-copulatary 415 MHC-dependent disassortative mating (Schwensow, Eberle et al. 2008). Here, we used both 416 the consort and the observed parentage datasets to examine MHC-dependent sexual selection. 417 We found sexual selection against a specific MHC haplotype at pre-copulatory stage and 418 sexual selection favouring MHC compatibility at the post-copulatory stage. Interestingly, we 419 found that the ratio of homozygote:heterozygote was significantly higher in consort females 420 than the simulated results but this pattern were not observed in mothers. These results 421 indicate the value of using field observations to differentiate pre- and post-copulatory sexual 422 selection.

423 In this study, we examined whether there was MHC-dependent sexual selection in a 424 population of free-living Soay sheep. Benefiting from intermediate MHC polymorphism, 425 high quality genetic and genomic information, intensive field observations and large sample 426 size, we have demonstrated sexual selection based on a specific MHC haplotype at the pre-427 copulatory stage and MHC compatibility at the post-copulatory stage occurs simultaneously. 428 We have also demonstrated sexual selection against female MHC heterozygosity in 429 dependent of genome-wide heterozygosity during the rut. Finally, we report inbreeding 430 avoidance in this population for the first time and find that we cannot show an independent 431 effect of disassortative mating based on the MHC. Our results suggest that multiple 432 mechanisms of MHC-dependent sexual selection could act simultaneously in Soay sheep and 433 that it is necessary to have an exhaustive examination of all possible mechanisms when 434 investigating MHC-dependent sexual selection.

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# **446 Data Accessibility and Benefit-Sharing Statement**

447 All the data and R script pf this manuscript are available through the following link: 448 https://figshare.com/articles/dataset/MHC-sexual\_selection-St\_Kilda\_Soay\_sheep/13277081

449

# 450 Author contribution

W.H and J.M.P designed the study. J.G.P conducted the field observations. W.H analysed the
data and wrote the manuscript. All the authors contributed to the final version of the
manuscript.

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