Establishment of Multi-stage Models of Drug Taking and Seeking in Mice

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ABSTRACT

A major impediment to identifying the molecular substrates of addiction and developing effective therapeutics has been the lack of animal models that both recapitulate clinical disease presentation and are amenable to genetic manipulation. Intravenous self-administration is the "gold standard" for modeling cocaine and opioid addiction-associated behaviors in animals, but technical limitations have precluded its widespread use in mice, the mammalian species for which the most genetic tools are available. Here, we describe the establishment and demonstrate the utility of multi-stage cocaine and remifentanil paradigms that overcome classic challenges in murine intravenous self-administration. We evaluated self-administration acquisition, maintenance, extinction, and cue-induced reinstatement of drug seeking longitudinally in large cohorts of mice with indwelling catheters. In short daily sessions, mice without prior operant conditioning engaged in drug-associated lever responding that was fixed ratio- and dose-dependent, extinguished by the withholding of drugs, and reinstated by the reintroduction of paired cues. Multivariate statistics, to which this type of longitudinal data is well-suited, revealed that patterns of cocaine and remifentanil taking were similar while those of cocaine and remifentanil seeking were distinct. Individual performance in both drug paradigms was a function of two latent variables we termed incentive motivation and discriminative control. These latent variables identified drug class-specific self-administration phenotypes and were differentially predicted by *a priori* novelty- and drug-induced locomotor activity in the open field. Application of this behavioral and statistical analysis approach to genetically engineered mice will facilitate the identification of the cell types and neural circuits driving addictive behaviors and their underlying constructs.

INTRODUCTION

The United States is experiencing an addiction and drug overdose epidemic. Drug overdose is the leading cause of injury-related mortality in the U.S., claiming more than 70,000 lives in 2017 alone [1] and costing an estimated $78.5 billion per year in healthcare, lost productivity and criminal justice involvement [2]. While synthetic opioids, including fentanyl and its derivatives, are responsible for the spike in overdose deaths in recent years, mortality associated with cocaine and methamphetamine use disorders also tripled between 2012 and 2016 [3]. The efficacy of available pharmacotherapies for opioid use disorders are limited, and there are no Food and Drug Administration (FDA)-approved agents for the treatment of stimulant use disorders. This paucity of treatments exists despite a medical consensus that opioid and stimulant addictions are psychiatric disorders with a biological basis in the brain and, as such, should be amenable to pharmacological intervention [4]. The lack of effective therapeutics may be due to chemical addictions being a family of disorders whose multiple etiologies remain ill-defined. An understanding of the genetic and molecular determinants of addiction-associated behaviors will facilitate the identification of anti-addiction drug targets and at-risk individuals.

An understanding of the genetic and molecular determinants of addiction may be gained through the study of animal models. Animal studies permit levels of control and manipulations that are neither practically nor ethically feasible with human subjects. Multiple paradigms, with varying levels of complexity, exist for modeling the effects of drug use in animals. Intravenous (iv) self-administration with operant conditioning is the "gold standard" for studying the effects of stimulants (e.g., cocaine, methamphetamine) and opioids (e.g., morphine, fentanyl) in animals. Pioneered in non-human primate and rat studies [5-9], this approach has proven to be the most translationally relevant addiction model, having greater face validity

than locomotor and place preference protocols and demonstrated predictive validity [10-12].

The vast majority of iv self-administration studies conducted over the last 50 years have continued to employ non-human primates and rats. Far fewer studies have utilized mice. Compared to other mammalian species, the mouse genome has proven the most amenable to genetic engineering. As such, many genetic tools, including global, conditional, inducible, and conditional-inducible transgenic strategies are available in mice. Other techniques that are well-developed in mice, but not other mammals, include optogenetic and chemogenetic approaches; strategies that permit the direct, real-time assessment of specific cell types and neural circuits to behavior.

The relatively smaller number of mouse iv self-administration studies to date is due, in part, to the technical challenges of applying this model to mice [13]. Advances in materials, surgical techniques, and operant protocols have, however, recently led to unprecedented success in mouse self-administration approaches. While studies utilizing genetic techniques and evaluating genetically modified mice are becoming more prevalent [14-20], self-administration in mice continues to be plagued by a low rate of study completion (e.g., 20-30% of trained mice), limited data collection (e.g., evaluation of a single drug dose on a single delivery schedule), and abbreviated study length [16, 21, 22].

Few studies to date have employed a longitudinal design in which acquisition, maintenance, extinction and reinstatement of self-administration are assessed within the same mice. Longitudinal, multi-stage studies afford several advantages, including the opportunity to describe the relationship between risk factors (e.g., trait constructs, behavioral signatures in *a priori* behavioral testing) and the development of addiction-associated behaviors (e.g., drug taking, drug seeking). Moreover, because of the chronicity of addictive behaviors, with discrete stages associated with distinct neurobiological

determinants [23], longitudinal studies better approximate the clinical disease trajectory.

The primary objectives of the present study were two-fold. One goal was to develop multi-stage iv stimulant and opioid self-administration paradigms for mice. A second purpose was to use statistics to evaluate the relationships among observed variables and advance our understanding of their underlying behavioral constructs. To achieve these objectives, we evaluated self-administration of the stimulant cocaine and the short-acting opioid remifentanil. Here, we demonstrate that iv cocaine or remifentanil self-administration acquisition, maintenance, extinction, and reinstatement can be studied in the same cohort of mice and provide a detailed description of drug-reinforced behavior in these paradigms. Exploratory factor analysis, an unsupervised machine learning approach, revealed that individual mouse performance during both the cocaine and remifentanil self-administration paradigms was a function of two latent variables that were differentially associated with *a priori* novelty- and drug-induced behavior in the open field. Critically, our behavioral and statistical analysis approach can be readily applied to genetically engineered mice and adapted for the study of other drugs under diverse operant protocols.

METHODS

Animals. This study employed adult male and female β-arrestin2$^{flox/flox}$ mice on a C57BL/6J background. These inbred mice were selected for study because they could also serve as controls in conditional β-arrestin2 knock-out studies, the results of which will be presented elsewhere. At the start of studies, animals were 12-24 weeks old and 19–30 g body weight. Prior to self-administration testing, mice were group housed and maintained on a 12:12 hour light:dark cycle. Following jugular catheter implantation, mice were singly housed and maintained on a 14:10 hour light:dark cycle. Experiments were conducted during the light period, with the exception of extended access self-administration training, which took place predominantly during the dark period. To control for circadian effects [24, 25], the time of self-administration was held constant for individual animals throughout the study. Tap water and standard laboratory chow were supplied *ad libitum*, except during testing. Studies were conducted with 3 and 2 replicate cohorts of animals, for cocaine and remifentanil, respectively. Replicate cohorts were run sequentially. All mouse studies were conducted in accordance with the National Institutes of Health Guidelines for Animal Care and Use and with approved animal protocols from the Duke University Institutional Animal Care and Use Committee.

Drug treatment. Cocaine hydrochloride (cocaine) was purchased from MilliporeSigma (St. Louis, MO) and remifentanil hydrochloride (remifentanil) was provided by the National Institute on Drug Abuse (NIDA), through the NIDA Drug Supply Program. Both drugs were dissolved in sterile physiological saline (Henry Schein, Raleigh, NC). For open field studies, cocaine (20 mg/kg, ip) or remifentanil (0.01 – 10 mg/kg, ip) was injected in a volume of 10 ml/kg body weight. For iv self-administration studies, cocaine (0.1-3 mg/kg/infusion) or remifentanil (0.01-3 mg/kg/infusion) was administered intravenously in a volume of 18 µl/infusion to animals with indwelling jugular catheters according to the test protocol and their lever responding.

Jugular catheterization. Indwelling catheters (Instech Laboratories, Inc., Plymouth Meeting, PA) were implanted into the right jugular vein of adult male and female C57BL/6J mice, as described for CD-1 mice [26], with several modifications. Specifically, changes were made in the type and route of anesthesia, the design/supplier of the catheters and vascular access buttons, the material of the vascular access buttons, and the size of the insertion needle. Briefly, mice were anaesthetized with a freshly prepared ketamine/xylazine (12/1 mg/ml) cocktail. Catheters were inserted into the right jugular vein and threaded under the skin of the shoulder to a mid-scapular vascular access button. Polyurethane catheters had a bead 1.2 cm from the catheter tip (Instech, Plymouth Meeting, PA; Part #VAB62BS/25). To prepare the catheters for insertion, the excess catheter tubing was cut 3.5 cm from the bead and affixed to a vascular access button (Instech,

Plymouth Meeting, PA; Part #KVAH62T). A modified 18-gauge, 1.5 inch guide needle (Becton Dickinson, Franklin Lakes, NJ; Part # BD-305196) was used for catheter insertion. On post-surgery days 1-3, animals received amikacin at a dose of 10 mg/kg (s.c.) to prevent perioperative infection. To maintain patency and prevent infection for the duration of the study, catheters were flushed 1-2x daily with 50 µl of a heparin/gentamicin solution.

Jugular catheter patency testing. The patency and optimal placement of jugular catheters was determined by infusing 20-30 µl of a 15 mg/ml ketamine solution in sterile saline [26, 27]. In this test, the ketamine infusion resulted in rapid sedation (i.e., within 3 sec), as evidenced by loss of muscle tone in animals with patent catheters. Catheter malfunctions were manifested as a delayed time to onset of sedation (i.e., >3 sec) [27, 28] or an inability to infuse the ketamine; these mice were excluded from the study. Animals that showed evidence of sedation within 3 sec of infusion were considered to have patent catheters. Patency testing was conducted with all mice on post-surgery day 7 to verify successful catheter placement, as well as according to behavioral performance criteria throughout the experiment, and at the completion of the study. Behavioral performance that qualified animals for catheter patency testing included a failure to progress toward training criteria after 4 consecutive sessions on the same protocol, loss of the active lever preference in trained animals, and/or inconsistencies in lever responding that were not concurrent with changes in the animal's body weight, nesting activity or activity level.

Locomotor activity. The VersaMax activity monitor (Omnitech Electronics, Inc.) was used to assess locomotor activity, as previously described [29]. Male and female mice with indwelling jugular catheters were acclimated to the open field for 30 min prior to administration (ip) of cocaine (20 mg/kg, 10 ml/kg) or remifentanil (0.1, 1.0 and 10 mg/kg, 10 ml/kg, sequentially). After cocaine treatment, animals were immediately returned to the open field and locomotion was monitored over the next 90 min. After each successive remifentanil treatment, animals were immediately returned to the open field and activity was monitored over the next 20 min.

Self-Administration. See **Figures S1 and S2** for protocol summaries.

Acquisition. Following a 7-14 day post-surgical recovery period, mice that completed *a priori* open field assessments and passed a ketamine test of catheter patency [26] were trained to iv self-administer cocaine (0.5 mg/kg/infusion) or remifentanil (0.1 mg/kg/infusion) paired with a cue light through lever responding in operant chambers (Med Associates, Inc., Fairfax, VT). Active, reinforced lever(s) were denoted by a solid cue light. Reinforcements were delivered by a single speed syringe pump (Med Associates) in a volume of 18 µl over a 4 sec period. Each infusion was followed by a 40-sec time-out period during which no additional reinforcements were provided. This time-out period was imposed to prevent adverse health consequences associated with excess cocaine or remifentanil administration [30]. For the first 20 sec of this time-out period, the stimulus light blinked and the levers remained available, but lever responses (i.e., time-out responses) had no programed consequences. For the following 20 sec, the levers were retracted. Training began with an autoshaping session followed by a 12 hr extended access session in which responding on either of two levers resulted in reinforcement. Subsequently, in 1 hr daily sessions, mice were trained to discriminate an active drug-delivering lever from an inactive non-drug-delivering lever and the fixed lever response to reinforcement ratio (i.e., fixed ratio, FR) was increased from FR1 to FR2 to FR4. Training followed the contingent advancement protocols provided (**Figure S1, S2**). A small control group was given access to the vehicle (saline) rather than cocaine or remifentanil. This group completed a single autoshaping and a single extended-access session followed by 5 consecutive sessions of each training protocol.

Maintenance dose-response testing. After drug self-administration was acquired, stable lever responding at FR4 was assessed at 5 cocaine and 6 remifentanil doses. For cocaine, doses (mg/kg/infusion) were presented as: 0.5, 0.3. 0.1, 1.0, 3.0. The remifentanil, doses (mg/kg/infusion) were presented in the following order: 0.1, 0.03, 0.01,

0.3, 1.0, 3.0. The 3.0 cocaine and remifentanil doses were added after the first replicate cohort had completed the study to better define the descending portion of the drug dose-effect curves. Stable responding was considered to have been achieved when self-administered reinforcements in two consecutive sessions varied by ≤ 20% with ≥ 50% of the total responses occurring at the active lever. Animals that failed to achieve or maintain stable responding and ≥ 50% active lever discrimination over 4 consecutive sessions were subjected to a patency test. Non-patent animals were removed from the study.

Extinction. After completing maintenance dose-response testing, mice were subjected to once daily, 1-hour sessions in which the cue light was absent and lever responses were recorded but they had no programmed consequences. For the cocaine paradigm, daily extinction sessions continued until lever responses at the animal's previously active lever were reduced to ≤ 20% of the animal's previous responses for the 1 mg/kg/infusion cocaine dose over 2 consecutive sessions or until 40 total sessions were completed. Because preliminary cocaine data suggested the rate of extinction plateaued by session 20, in the remifentanil paradigm, all animals completed 20 daily extinction sessions regardless of performance.

Cue-induced reinstatement. After meeting extinction criteria, mice completed a single cue-induced reinstatement session. In this session, the drug-associated light was illuminated over the previously active lever and responses on this lever resulted in presentation of other drug-associated cues, including the cue light blinking, lever movements, and the sound of the syringe pump delivery, in the absence of drug reinforcement.

Data capture and storage. Self-administration data were collected and managed in a customized REDCap (Research Electronic Data Capture) database created using REDCap electronic data capture tools hosted at Duke University [31, 32]. Note, REDCap is a secure, clinically-utilized web-based software designed to support data capture for research studies.

Statistical analysis. All data are represented as mean ± SEMs, unless otherwise indicated. Primary behavioral data were analyzed and plotted using the software GraphPad Prism version 8.0. Information on curve fitting and statistical assessments are provided in the text, figure legends or supplemental tables.

To investigate the potential dimensional inter-relationships between self-administration behaviors at the stages of acquisition, maintenance, extinction, and cue-induced reinstatement, within-drug, correlation matrices and R-type exploratory factor analyses were performed using the IBM SPSS Statistics version 26 program. A total of 12 variables from the cocaine self-administration paradigm and 11 variables from the remifentanil self-administration paradigm were included in the models. Linear relationships were visually confirmed using bivariate scatterplots. All variables remained on their natural scales and the robustness of the models to the assumption of multivariate normality was assessed. Missing values were replaced with the within-drug variable mean. Although 4 factors with eigen values >1.0 were identified in the cocaine and remifentanil models, because the objective was dimension reduction, we extracted by maximum likelihood estimation only the two factors with the highest explanatory values. Unrotated loading plots and factor matrices were generated. Extracted factor 1 and extracted factor 2 scores were calculated for individual mice by regression. Only animals that met acquisition criteria and achieved stable responding at FR4 with 0.5 mg/kg/infusion of cocaine or 0.1 mg/kg/infusion of remifentanil were included in the models (n=38 cocaine; n=34 remifentanil), yielding a case to variable ratio of ~3:1.

To determine whether cocaine and remifentanil reinforcement produced distinct global self-administration phenotypes, a third factor analysis was performed that included data from 11 common variables with all mice irrespective of reinforcer (n = 72). Missing values were replaced with the global variable mean. The two factors with the highest eigen values were again extracted, by maximum likelihood estimation. Extracted factor 1 and extracted factor 2 scores from the unrotated solution were calculated for individual animals by regression. Factor 1 and 2 scores were plotted and compared by reinforcer.

To assess the relationship between behavior in the open field and self-administration parameters, Pearson's R correlation coefficients were calculated between novelty- and drug-induced open field activity measures and within-drug factor scores (n= 28 cocaine; n= 34 remifentanil). Since open field data were not collected from an initial set of 10 mice in the cocaine self-administration paradigm, they were excluded from the analysis.

RESULTS

Jugular catheterization and maintenance of catheter patency

IV self-administration requires the placement of and maintenance of indwelling jugular catheters. In our optimized paradigm, post-surgical survival was high (i.e., 84% at post-surgery day 7; **Fig S3A**) and jugular catheter placement effective (i.e., 98% patent at post-surgery day 7; **Fig S3B**). Throughout the duration of the study, attrition due to poor health was modest, with more than 70% of trained animals surviving to study completion or catheter patency loss (**Fig S3C**). The catheters proved to be long-lasting, with a patency half-life of ≥ 100 days (**Fig S3D**). These data suggest that, with optimized materials and handling, jugular catheters can be placed reliably and maintained in mice. The newly established longevity of the animals and the catheters in this paradigm permitted the longitudinal study of iv cocaine and remifentanil self-administration acquisition, maintenance intake, extinction and cue-induced reinstatement in the same mice.

Acquisition of cocaine and remifentanil self-administration

Following catheter placement and prior to self-administration training, novelty-induced exploratory behaviors and cocaine (20 mg/kg, i.p.)- or remifentanil (0.1, 1 and 10 mg/kg, i.p.)-induced locomotor activity was assessed in the open field (**Fig S4**). Like cocaine (**Fig S4A**) and other opioids, remifentanil produced dose-dependent increases in locomotor activity consistent with its short half-life (**Fig S4B**). Mean values were within anticipated ranges for all parameters.

Mice with patent indwelling jugular catheters were trained in operant chambers to self-administer iv cocaine (0.5 mg/kg/infusion) or remifentanil (0.1 mg/kg/infusion) by lever responding paired with a cue light. We established a contingent advancement protocol (**Fig S1, 2, 3**) to address the challenges of behavioral inconsistency and high inter-mouse variability. Our protocol used predominantly short access, 1-hour daily sessions to reduce the required chamber time per mouse per day and, thus increase the number of animals that could be run as a cohort.

Eighty four percent and 94% of mice with patent catheters acquired cocaine (0.5 mg/kg/infusion) and remifentanil (0.1 mg/kg/infusion) self-administration, respectively (**Fig 1A,B**); this proportion did not differ by drug class (**Table S1**). Mean session number required to meet final acquisition criteria was lower for remifentanil (10.8 ± 0.2 sessions) than for cocaine (13.5 ± 0.6 sessions), although peaks of frequency histogram curves were indistinguishable (**Fig 1C,D, Table S1**). Notably, variability in this time-to-train measure was significantly lower for remifentanil relative to cocaine ($F_{(36, 32)} = 9.077$, $p<0.001$, F test for variances), suggesting that, at these doses, remifentanil may be the more effective reinforcer.

Because cues themselves can be reinforcing [33] and some mice initially display high rates of responding in the absence of a reward [19, 20], specificity of responding in these paradigms for the drug reinforcers was assessed. Like mice in the cocaine and remifentanil reinforcement groups, animals in a vehicle reinforcement group initially engaged in moderate levels of lever responding. However, they failed to develop active vs. inactive lever discrimination, and their lever responding was unaffected by increasing the FR (**Fig 1E,F**). Together, these data demonstrate that iv cocaine and remifentanil self-administration can be readily and rapidly acquired in short, daily sessions by mice without prior operant training.

Cocaine and remifentanil self-administration are fixed-ratio-dependent

As the response requirement to earn a reinforcement increases,

Figure 1. Acquisition of cocaine and remifentanil self-administration. Mice with indwelling jugular catheters were trained to self-administer iv cocaine (0.5 mg/kg/infusion) or remifentanil (0.1 mg/kg/infusion) via lever responding in operant chambers at FR1, FR2 and FR4. Group means are represented by solid lines and individual replicates by dashed lines.

(A, C) Acquisition success rates. Proportions of animals with patent catheters that acquired and failed to acquire intravenous cocaine (A) or remifentanil (C) self-administration at FR4 using contingent advancement protocols.

(B, D) Sessions to self-administration acquisition. Frequency plot of the number of sessions required to meet final cocaine (B) or remifentanil (D) self-administration acquisition criteria at FR4. The minimum session requirements and group means are indicated by dashed vertical lines.

(E, F). Lever responses by acquisition session type. Lever responses by mice that acquired cocaine (E) or remifentanil (F) self-administration. Data are presented from the first sessions of each session type, as indicated.

(G, I) Active lever responses. Total active lever presses by mice self-administering cocaine (G) or remifentanil (I) at FR1, FR2 and FR4. Responses include both those that contributed to earned reinforcements and those that occurred during the post-reinforcement time-out period.

(H, J) Inactive lever responses. Total inactive lever presses by mice self-administering cocaine (H) or remifentanil (J) at FR1, FR2 and FR4.

(K, M) Lever accuracy. Percent of total lever responses that occurred at the designated active lever by mice self-administering cocaine (K) or remifentanil (M) at FR1, FR2 and FR4.

(L, N) Reinforcements. Earned reinforcements by mice self-administering cocaine (L) or remifentanil (N) at FR1, FR2 and FR4.

For details on statistical comparisons, see **Tables S1, S2**. Also see **Figures S1, S2**.

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the extent of self-administration decreases. This inverse relationship generalizes across species and drug classes [34]. As described for rats, non-human primates, and humans, self-administration behaviors in the present paradigms in mice changed as the FR was increased. In both drug paradigms, active lever responses increased from FR1 to FR2 and from FR2 to FR4 (**Fig 1G,I**). During these transitions, inactive lever responding increased only modestly for cocaine (**Fig 1H**) and remained unchanged for remifentanil (**Fig 1J**). Consequently, lever discrimination (i.e., accuracy) increased between FR1 and FR4 (**Fig 1K,M**). At FR4, 80 ± 2% and 82 ± 2% of lever responses occurred at

the active, drug-delivering lever for cocaine and remifentanil, respectively. The increase in active lever responding with the increasing FR was not sufficient to maintain levels of drug intake. The number of self-administered reinforcements of both drugs was reduced at FR4 compared to FR1 and FR2 (**Fig 1L,N**). These results demonstrate that the number and percent of active lever responses, and thus drug intake, is a function of the FR schedule in mice. mice trained using other paradigms, which have employed different acquisition protocols and longer sessions [16]. The number of reinforcements earned per hour and the amount of cocaine or

Cocaine

Remifentanil

Figure 2. Dose-response relationships of cocaine and remifentanil self-administration behaviors. Stable self-administration of cocaine at FR4 was assessed in 60 min sessions at doses of 0.1, 0.3, 0.5, 1.0 and 3.0 mg/kg/infusion. Stable self-administration of remifentanil at FR4 was assessed in 60 min sessions at doses of 0.01, 0.03, 0.1, 0.3, 1.0 and 3.0 mg/kg/infusion. Ranges of axes were selected to highlight curve fits and differ by drug class.

(A, I) Reinforcements. Earned reinforcements vs. log cocaine (A) or remifentanil (I) dose. Data were fit to a second order polynomial curve.
(B, J) Active lever responses. Active lever presses vs. log cocaine (B) or remifentanil (J) dose. Responses include both those that contributed to earned reinforcements and those that occurred during the post-reinforcement time-out period. Data were fit to a second order polynomial curve.
(C, K) Inactive lever responses. Inactive lever presses vs. log cocaine (C) or remifentanil (K) dose. Data were fit to a line.
(D, L) Drug intake. Cocaine (D) or remifentanil (L) consumed vs. log drug dose. Cocaine data were fit to a sigmoidal curve. Remifentanil data were fit to an exponential growth curve.
(E, M) Lever accuracy. Percent of total lever responses that occurred at the designated active lever vs. log cocaine (E) or remifentanil (M) dose. Data were fit to a line.
(F, N) Latency to first lever response. Time from the start of the session until the first lever response by vs. log cocaine (F) or remifentanil (N) dose. Data were fit to a line.
(G, O) Latency to first earned reinforcement. Time from the start of the session until the first earned reinforcement vs. log cocaine (G) or remifentanil (O) dose. Data were fit to a line.
(H, P) Post-reinforcement time-out responses. Total number of lever responses that occurred during the post-reinforcement time-out periods vs. log cocaine (H) or remifentanil (P) dose. Data were fit to a line.

For information on curve fits, see **Table S3**. Also see **Figures S4, S5, S6**

remifentanil intake at FR1 is consistent with published results for mice trained using other paradigms, which have employed different acquisition protocols and longer sessions [16].

Cocaine and remifentanil self-administration are drug dose-dependent

Once cocaine or remifentanil self-administration was acquired at FR4 at the training doses, we systematically varied the dose delivered per infusion. We assessed stable lever responding at 5 cocaine doses (0.1, 0.3, 0.5, 1.0, and 3.0 mg/kg/infusion) and 6 remifentanil doses (0.01, 0.03, 0.1, 0.3,1.0 and 3.0 mg/kg/infusion), permitting the creation of dose-effect curves. As demonstrated previously in rats and non-human primates [9, 35, 36], there was a clear drug dose-dependency to self-administration responses. For both cocaine and remifentanil, there was a characteristic inverted U-shape relationship [34, 37] between the earned reinforcements relative to log drug dose (**Fig 2A,I**) and active lever responses relative to log drug dose (**Fig 2B,J**). Earned reinforcements did not differ by sex (**Fig 2S6**). The cocaine intake vs. log cocaine dose data were fit to a sigmoidal curve (**Fig 2D**) and the remifentanil intake vs log remifentanil dose data were fit to an exponential growth curve (**Fig 2L**). Notably, inactive lever responding for both drugs was also drug dose-dependent, decreasing linearly with the log cocaine or remifentanil dose (**Fig 2C,K**). Lever accuracy increased linearly with log drug dose for both drugs (**Fig**

2E,M). Two latency measures, latency to initiate lever responding and latency to earn the first reinforcement, are considered indices of urgency or motivation to initiate drug taking [38, 39]. While the latency to the first lever response of the session was unaffected by drug dose (**Fig 2F,N**), the latency to the first reward decreased linearly with log drug dose (**Fig 2G,O**). The number of lever responses that occurred during the post-reinforcement time-out periods, a parameter that may be related to action impulsivity [40], was also drug dose-dependent, as it decreased linearly with the log cocaine and remifentanil dose (**Fig 2H,P**). This time-out responding vs. log drug dose relationship remained significant after normalizing the data to total lever responding and, thus, the length of the time-out interval for cocaine but not for remifentanil (**Fig S5**). Total earned reinforcements and active lever responses were higher and the latency to initiate drug taking was lower in the remifentanil paradigm, suggesting that, in these dose ranges, remifentanil may be a stronger reinforcer than cocaine.

To investigate the kinetics of self-administration during the 1-hour sessions, we constructed cumulative reinforcement time courses for all drug doses (**Fig S7**). These plots were linear for all remifentanil doses and for cocaine up to the 1.0 mg/kg/infusion dose, indicating that self-administration occurred at a constant rate over the course of the sessions. Together, these results demonstrate that, like other species, mice exhibit dose-dependent self-administration behaviors.

Figure 3. Extinction and cue-induced reinstatement of cocaine- and remifentanil-associated lever responding. In mice trained to self-administer cocaine or remifentanil at FR4, drug-associated lever responding was extinguished in consecutive sessions in which drugs and cues were withheld and reinstated by the reintroduction of drug-paired cues.

(A, E) Active and inactive lever responses during extinction. Active and inactive lever responding is presented by extinction session number for mice in the cocaine (A) and remifentanil (E) paradigms. Lever responding for 1 mg/kg/infusion drug (i.e., self-administration, SA) is included for reference.
(B, F) Total lever responses during extinction. Total lever responding is presented by extinction session number in gray bars for mice in the cocaine (B) and remifentanil (F) paradigms. Colored curves are one-phase exponential decay fits.
(C, G) Lever accuracy. The percent of total lever responses occurring at the active lever is presented by session type for mice in the cocaine (C) and remifentanil (G) paradigms. SA indicates accuracy during the 1.0 mg/kg/infusion drug active self-administration session.
(D, H) Active and inactive lever responses during reinstatement. Active and inactive lever responding is presented by session type for mice in the cocaine (D) and remifentanil (H) paradigms. SA indicates lever responding during the 1.0 mg/kg/infusion drug active self-administration session. Group means are represented by bars and individual values are shown as gray circles.

For curve parameters and details on statistical comparisons, see **Table S4**.

Cocaine- and remifentanil-associated lever responding can be extinguished and reinstated

It has been demonstrated in rats and non-human primates [41-43], and, more recently, in mice [17, 33, 44] that drug-associated lever responding can be extinguished in repeated sessions where no drug is available, drug-associated cues are withheld, and lever responses have no programmed consequences - a process known as extinction [45]. After mice completed dose-response testing, they completed once daily, 1-hour extinction sessions (**Fig S1, S2**). In the cocaine paradigm mice were extinguished to a criterion (i.e., ≤ 20% of active lever responses for 1.0 mg/kg/infusion cocaine), and in the remifentanil paradigm all mice completed 20 extinction sessions regardless of performance. For both drugs, active lever responding decreased as a function of extinction session number (**Fig 3A,E**), with mice requiring 20 ± 1.6 sessions to meet extinction criteria for cocaine. The reduction in total lever responding over the first 20 extinction sessions can be described using a one-phase exponential decay function (**Fig 3B,F**). Notably, the rate of extinction was comparable for both drugs. From the final 1.0 mg/kg/infusion self-administration session to the first extinction session, lever responding was increased (**Fig 3A,E**) and lever accuracy was reduced (**Fig 3C,G left**), suggesting the mice actively seek drug in this session. By the final extinction session, lever discrimination was lost in the cocaine group (**Fig 3C, middle**) and was diminished in the remifentanil group (**Fig 3G, middle**).

Presenting drug-experienced animals or humans with paired contextual or environmental cues can reinstate drug seeking [46]. In mice that completed extinction, re-introduction of drug-associated

cues, including the cue light, syringe pump sounds, and lever movements, increased responding at the previously active lever and reinstated lever discrimination (**Fig 3C, right; G, right; D,H**). These results suggest that mice trained to self-administer cocaine or remifentanil in once daily, 1-hour sessions display characteristic operant behaviors observed in other paradigms and species. Cocaine- and remifentanil-associated lever responding in mice with restricted daily access to drug can be extinguished by withholding the drug and reinstated by presentation of the drug-associated cues.

Dimension reduction of self-administration data identifies underlying constructs

Collecting multiple measures from a given subject and having groups of sufficient size permits the use of multivariate analysis approaches. Our data provide an ideal large data set (i.e., n > 30 mice per condition) to assess the latent variables underlying drug taking and seeking in mice.

Self-administration behaviors both within and across sessions were related. These relationships, can be seen in correlation matrix heat maps for 11 key variables from the cocaine and remifentanil paradigms (**Fig 4, Table S5, S6**).These variables included measures of drug taking averaged across the dose-response curve (i.e., self-administered reinforcements, active and inactive lever responding, lever accuracy and latency measures), as well as indices of drug seeking (i.e., lever responding during extinction and cue-induced reinstatement). The internal structures of the drug taking-associated behavioral measures were remarkably similar in the cocaine and remifentanil paradigms (**Fig 4**, variables 1-8). Differences between

Figure 4. Heat maps of Pearson correlations for self-administration assessments. The increasing saturation of orange and blue color correspond to increasing absolute values of positive and negative Pearson correlation coefficients, respectively. White indicates a correlation coefficient close to 0.

Correlation coefficient values and corresponding p-values are provided in **Tables S5** and **S6**.

drug classes were apparent, however, in the relationships between the drug seeking measures (**Fig 4**, variables 9-11).

For interrelated datasets such as these, dimension reduction strategies are useful. Such strategies may identify a reduced number of latent, orthogonal constructs contributing to common variance. Indeed, this approach has previously been employed to reduce the dimensionality of open field data in mice [47] and of self-administration and locomotor activity data in rats [48]. Bartlett's Test of Sphericity indicated that there was sufficient shared variance between the selected measures in **Fig 4** to warrant dimension reduction.

We conducted two within-drug exploratory factor analyses (EFAs) with 12 and 11 variables from the cocaine and remifentanil datasets, respectively (**Fig 5**, **Table S7**). We extracted 2 factors explaining a cumulative 52% of the total variance of the model for cocaine and 55% of the total variance of the model for remifentanil (**Fig 5**, **Table S7**). In loading plots (**Fig 5A,B**), the more variance within a measure that is explained by a given factor, the higher the factor score and the further from the origin the variable is located. Variables that are correlated will load onto a common factor, with variables that are directly proportional loading onto the same side of the origin, and variables that are inversely proportional loading onto opposite sides of the origin.

The first factor extracted accounted for 31% (eigen value = 3.728) of the cocaine model and 31% (eigen value = 3.396) of the remifentanil model. Based on variable loading, we determined that this factor represented an incentive motivation construct. The same variables (i.e., active lever responses, earned reinforcements, latencies to first lever response and first reinforcement during self-administration) loaded most heavily onto this factor in both models (**Fig 5A, Table S7**). The positions of these loadings indicate that animals with higher active lever responses and earned reinforcements had lower latencies to their first lever response and reinforcement.

The second factor extracted accounted for 21% (eigen value = 2.562) of variance in the cocaine model and 24% (eigen value = 2.673) of variance in the remifentanil model. We determined that factor 2 represented a discriminative control dimension, as the variables that loaded most heavily on this factor in both models were lever accuracy and inactive lever responding during self-administration (**Fig 5A, Table S7**). This finding indicates that, as expected, animals with lower lever accuracy produced more responses at the inactive lever during self-administration.

We next examined how drug seeking during extinction and cue-induced reinstatement loaded onto these factors. For cocaine, while early extinction lever responding was well-explained by the incentive

motivation factor 1, late extinction responding was not. Instead, late extinction responding loaded weakly on the discriminative control factor 2. For remifentanil, early and late drug seeking clustered together, but were only modestly well-explained by either factor. Interestingly, cue-induced reinstatement of cocaine seeking loaded exclusively on factor 1, while that of remifentanil seeking loaded exclusively on factor 2. Together, the correlation matrices and factor analysis suggest that patterns of cocaine and remifentanil *taking* are similar and those of cocaine and remifentanil *seeking* are distinct. Drug seeking during early extinction, late extinction and cue-induced reinstatement may be driven by distinct underlying biological and/or behavioral programs that differ by drug class.

Identification of drug class-specific self-administration phenotypes

To determine whether cocaine and remifentanil produced distinct self-administration phenotypes, a global exploratory factor analysis was performed with mice from both drug paradigms (**Fig S7, Table S7**). Individual mice were assigned regression factor scores (**Fig 5C**) and factor scores were compared between reinforcers. Animals in the cocaine and remifentanil paradigms differed on incentive motivation factor 1, but not on factor 2 (**Fig 5D**). This finding demonstrates that EFA can identify drug class-specific self-administration phenotypes.

Predicting self-administration performance from *a priori* open field assessments

Because self-administration is time and resource-intensive, it would be desirable to identify predictors of self-administration behaviors in other, more facile behavioral assays, including novelty- and drug-induced activity in the open field. As such, we assessed whether there was a relationship between the *a priori* exploratory or drug-induced behaviors in the open field and self-administration performance, as determined by factor 1 and 2 scores (**Fig 5E,F**). Drug-induced locomotion was positively and negatively associated with factor 1 for cocaine and remifentanil, respectively. Exploratory vertical episodes (i.e., rearing) correlated with factor 2 scores for remifentanil, but not for cocaine. These findings suggest that the relationship between open field activities and self-administration factor scores differ by drug class.

Figure 5. Dimension reduction of self-administration data permits feature discovery.

(A,B) Variable factor loading. Factor loading plots for self-administration variables included in exploratory factor analyses for cocaine (A) and remifentanil (B).
(C) Global factor scores. Factor scores for individual animals, obtained using the regression method, are represented as symbols. Ovals are drawn around animals in each drug/reinforcer paradigm. Each oval encompasses the genotype mean and contacts the closest 80% of individual values.
(D) Comparison of factor scores by reinforcer. Factor scores for components 1 and 2 were averaged by drug paradigm and compared using unpaired, two-tailed student's t tests. For component 1: t(70)=9.4, p<0.0001. For component 1: t(70)=1.3, p=0.2082.
(E,F) Heat maps of Pearson correlation coefficients. Correlation coefficients for cocaine (E) and remifentanil (F) are shown on top for heat maps in which the increasing saturation of orange and blue color correspond to increasing absolute values of positive and negative Pearson correlation coefficients, respectively. Significant values are indicated by an asterisk. *p<0.05.

For factor loadings, see **Table S7**.

DISCUSSION

Technical obstacles have largely precluded longitudinal, multi-stage self-administration studies in mice. Here, we describe expeditious paradigms for cocaine and remifentanil in which mice with indwelling jugular catheters progress through the self-administration stages of acquisition, maintenance, extinction, and reinstatement in a systematic manner that permits comparison of behavioral parameters across drug class and the application of predictive modeling.

IV self-administration requires placement of catheters into the jugular vein. Historically, jugular catheter placement, maintenance of catheter patency, and prevention of infection secondary to their use have limited the extent and length of self-administration studies in mice [13]. The jugular catheterization procedure in mice is not trivial, due in part to their small size [13]. We used a guide needle technique modified from [26], and used polyurethane catheters that are less thrombogenic than those composed of polyethylene or polyvinyl chloride. The new-found longevity of surgically-implanted mice and catheters in the current paradigms permitted longitudinal, multi-stage study designs.

In many self-administration paradigms, drug exposure is preceded by operant conditioning with food [33, 49]. To reduce the total time required for these types of studies, circumvent potential confounding effects of prior conditioning [33], and permit the future assessment of genotype differences in self-administration acquisition, no prior operant training was conducted. In acquisition sessions, mice progressively learned active vs. inactive lever discrimination and self-administered drugs paired with a cue light on FR1, FR2, and FR4 schedules. The extent of self-administration during this training period was consistent with publications for the dose, time frame and

reinforcement requirements in mice for cocaine [16, 26] and remifentanil [50]. The observed increase in total response output with increased response requirement is a hallmark of drug self-administration across species and drug class [34], and it was not observed in mice given access to saline vehicle rather than cocaine or remifentanil. The percent of mice achieving final cocaine self-administration acquisition criteria (~80%) was consistent with that previously reported for C57BL/6J mice, and is significantly higher than that reported for other mouse strains [16, 21]. In our experiments, mice achieved high levels of lever discrimination during training for both drugs, as evidenced by the percent of total responses occurring at the active lever.

Once cocaine or remifentanil self-administration was achieved, animals progressed through a maintenance dose-response phase in which the drug dose per infusion was systematically varied. For both cocaine and remifentanil, the number of reinforcements earned per hour and the extent of drug consumption across doses was consistent with published results [16, 26, 50]. Interestingly, except for latency to the first response, all behavioral indices showed dose-dependence for both drugs.

Following maintenance dose-response testing, animals moved into an extinction phase. Extinction is more protracted in mice than in rats and nonhuman primates [33]. The rate and extent of extinction in the present study is consistent with previous reports for C57BL/6 and A/J mice extinguished in the absence of cocaine-associated cues [33]. However, the extinction in the current study was more effective, in that mice achieved greater reductions in active lever responding than that seen in cocaine-conditioned C57BL/6J mice when extinction occurred in the presence of paired cues [33]. This indicates that the presence of paired cues may hinder behavioral extinction.

As documented in multiple species, including mice [17, 33], lever responding in our paradigm decreased with successive extinction sessions in a manner that was best fit by a two-phase model: rapidly at first (i.e., sessions 1-4) and then more slowly (i.e., sessions 5-20). At the time mice met final extinction criterion, active lever responding was not only reduced, but active vs. inactive lever discrimination was lost for cocaine and it was significantly reduced for remifentanil. For cocaine, these results suggest an absence of goal-directed drug seeking and successful extinction of drug-associated lever responding.

Following extinction, mice completed a reinstatement session in which drug-associated cues were presented. This reintroduction of drug-paired cues reinstated both responding at the previously active lever and active vs. inactive lever discrimination. The finding that limited access to cocaine or remifentanil afforded by once daily, 1-hour sessions is sufficient to produce this behavior fits with emerging evidence from the rat literature suggesting that exposure to large quantities of cocaine is not necessary for escalation of intake, incentive-sensitization, or drug or cue-induced reinstatement of drug seeking behavior [51]. Many reinstatement paradigms have been described, including those using various environmental cues, drug priming, and stress. Reinstatement of drug seeking induced by different triggers occurs through distinct mechanisms (for review, see [52]). We elected to use drug-paired cues as the trigger for reinstatement in our study because of clinical reports demonstrating a relationship between drug-associated cues and relapse [53]. In the future, this paradigm can be adapted for the study of reinstatement induced by other triggers.

The longevity of the jugular catheters and mice in our experiments permitted a longitudinal study design. One advantage of employing a longitudinal design with a larger sample size is the ability to examine the relationships between behaviors at different time points [54]. This approach may be especially useful for self-administration studies, where later time points, such as at the extinction and reinstatement stages, may be more clinically relevant and more time-intensive, costly, and technically challenging to assess as compared to the earlier behavioral acquisition and maintenance phases. We performed a series of EFAs to examine how the variables examined in this study relate to one another. We extracted two components from this analysis and, based on variable loading that was consistent between the drug paradigms, termed the one with the highest explanative value "incentive motivation" and the one with the next highest explanative value "discriminative control."

Several aspects of the resulting model are informative. The first is the high degree of similarity between the internal structure of the drug-taking measures for cocaine and remifentanil. The second is the contrast between the structures of cocaine and remifentanil seeking data and their differential relationships to active and inactive lever responding during self-administration.

In the cocaine EFA, variable loadings support the idea that there are two distinct phases of lever responding during the extinction phase of the longitudinal self-administration paradigm. While early extinction responding is highly correlated with the extent of self-administration and urgency to initiate drug taking, late extinction responding is more closely associated with variation in lever discrimination and inactive lever responding during self-administration. A two-phase extinction model is supported further by the shape of the total presses vs. extinction session number best-fit curve and by prior observations in support of the early- and late-stage extinction phases as biologically distinct. For example, loss of β-arrestin2 in the infralimbic prefrontal cortex affects extinction responding only in its early stages (i.e., sessions 1-4), and not in subsequent sessions [17]. Another noteworthy observation is that the number of sessions required to reach final extinction criteria in the cocaine-administered mice was almost entirely unrelated to the extent of self-administration during the maintenance stage, suggesting that the rate of extinction learning is independent of the degree of cocaine consumption. Conversely, the rate of extinction was closely associated with the rate of self-administration acquisition, consistent with the notion that acquisition and extinction are both learning processes [55].

Additionally, it is significant that late extinction responding in the cocaine cohort and both early and late extinction responding in the remifentanil cohort were related more closely to inactive lever responding than to active lever responding during the maintenance dose-response phase. At least two interpretations of this finding are possible. One is that lever responding in later extinction sessions does not reflect active drug seeking, but instead is a perseverative response manifested from poor action impulse control. An alternative interpretation is that responding at the inactive lever during the maintenance dose-response phase is not solely a reflection of discriminative control, but it is also an indication of active drug seeking. Drug seeking during the cue-induced reinstatement session was explained moderately by Factor 1 (incentive motivation) in the cocaine paradigm and by Factor 2 (discriminative control) in the remifentanil paradigm, suggesting that patterns of drug-seeking behaviors may differ by drug class.

A correlation between novelty-induced locomotor activity and vulnerability to psychostimulant but not opioid self-administration has been reported in rats [56, 57]. Here, we document a parallel phenomenon in mice. Exploratory (i.e., novelty-induced) locomotor activity was positively correlated with the extent of cocaine self-administration, captured by cocaine EFA Factor 1, but not with the extent of remifentanil self-administration, captured by remifentanil EFA Factor 1. Notably, drug-induced hyperlocomotor responses were a positive and negative predictor of Factor 1 scores for cocaine and remifentanil, respectively.

While sex effects on self-administration behaviors have been reported in rats, particularly in long-access paradigms (i.e., >6 hr) [58], and in C57BL/6J mice on progressive ratio schedules [59], no such effect on self-administration was identified in the current FR-based paradigms. Our finding agrees with a report for cocaine self-administration [59], and others for alcohol [60] and food reinforcement [61], that sex differences were evident for C57BL/6 mice only when reinforcements were delivered on more behaviorally demanding schedules.

Together, the present findings analyze cocaine- and remifentanil-reinforced behaviors in longitudinal self-administration paradigms in which cohorts of mice progressed through the stages of acquisition, maintenance, extinction, and reinstatement. The systematic progression of mice through these paradigms will permit the comparison of genetically engineered mouse lines, reinforcing substances, and potential anti-addiction treatments. In the future, incorporation of other drug access schedules (e.g., intermittent access) and reinforcement schedules (e.g., progressive ratio) will expand its utility. Critically, through the application of this multi-stage self-administration paradigm to the large and growing number of genetically engineered mice, we can conduct clinically relevant, detailed studies on the contributions of genes to addiction. The knowledge gained from these studies may facilitate the identification of at-risk individuals and permit the development of mechanism-based therapeutics for substance use disorders.

SUPPLEMENTAL INFORMATION

Attached as a separate file.

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AUTHOR CONTRIBUTIONS

Study design: LMS, AP, YB, NC, VMP, KT, WCW, LSB and MGC. Obtained funding: LMS, LSB, MGC. Data collection: LMS, AP, YB, NC, KT and FP. Data analysis: LMS, AP, NC, FP and EH. Data interpretation: LMS, ERH, VMP, KT, JDG, WCW, LSB and MGC.

Drafted paper: LMS. Revised paper critically for intellectual content: LMS, ERH, JDG, VMP, WCW, LSB and MGC.

DECLARATION OF INTERESTS

The authors declare no competing interests.

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