# Measuring stimulus-evoked neurophysiological differentiation in distinct populations of neurons in mouse visual cortex

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#### Abstract

1	Despite significant progress in understanding neural coding, it
2	remains unclear how the coordinated activity of large populations
3	of neurons relates to what an observer actually perceives. Since
4	neurophysiological differences must underlie differences among
5	percepts, differentiation analysis—quantifying distinct patterns of
6	neurophysiological activity—is an "inside out" approach that
7	addresses this question. We used two-photon calcium imaging in
8	mice to systematically survey stimulus-evoked neurophysiological
9	differentiation in excitatory populations across 3 cortical layers
10	(L2/3, L4, and L5) in each of 5 visual cortical areas (primary,
11	lateral, anterolateral, posteromedial, and anteromedial) in
12	response to naturalistic and phase-scrambled movie stimuli. We
13	find that unscrambled stimuli evoke greater neurophysiological
14	differentiation than scrambled stimuli specifically in L2/3 of the
15	anterolateral and anteromedial areas, and that this effect is
16	modulated by arousal state and locomotion. Contrariwise,
17	decoding performance was far above chance and did not vary
18	substantially across areas and layers. Differentiation also differed
19	within the unscrambled stimulus set, suggesting that differentiation
20	analysis may be used to probe the ethological relevance of
21	individual stimuli.

#### <sup>22</sup> 1 Introduction

The visual system acts on incoming stimuli to extract meaningful features and guide behavior, a 23 process that transforms physical input into conscious visual percepts. Since the early 24 experiments of Hubel and Wiesel (1959), neuroscience has yielded considerable insight into the 25 visual system by analyzing neural response properties to uncover which features cells are tuned 26 to and how their activity relates to behavior. Modern decoding approaches have revealed 27 stimulus information present in population responses (Quiroga & Panzeri, 2009). However, that 28 a given population of neurons represents or encodes stimulus information does not imply that 29 this information is used to generate conscious percepts in the subject (Brette, 2019). 30 Consequently, despite the success of these "outside in" methods (Buzsáki, 2019) in 31 understanding neural coding, it remains unclear how the coordinated activity of large 32 populations of neurons relates to what the observer actually sees. 33 Is there an objective and quantitative approach to analyzing neural responses that can shed 34

light on this question? Differentiation analysis-measuring the extent to which a population of 35 neurons expresses a rich and varied repertoire of states—has been proposed as one such 36 approach (Boly et al., 2015; Mensen et al., 2017, 2018). Differentiation analysis exemplifies 37 "inside out" methodology (Buzsáki, 2019) in that the spatiotemporal diversity of neural activity 38 (neurophysiological differentiation or ND) is quantified without reference to the stimulus or other 39 experimental variables imposed a priori by the investigator, in contrast to feature tuning or 40 decoding analyses. Supporting this proposal, recent studies in humans have shown that the ND 41 evoked by a stimulus is correlated with subjective reports of its "meaningfulness" and the 42 "number of distinct experiences" it elicits (Mensen et al., 2017, 2018). 43

A visual stimulus can be considered meaningful to the observer if it evokes rich and varied 44 perceptual experiences (phenomenological differentiation). For example, an engaging movie is 45 meaningful in this sense, as it evokes many distinct percepts with high-level structure; 46 47 conversely, flickering 'TV noise' essentially evokes a single percept with no high-level structure to a human observer, even though, at the level of pixels, any two frames of noise are likely to be 48 more different from each other than a pair of frames from a movie (stimulus differentiation). 49 Since conscious percepts are generated by brain states, ND must underlie phenomenological 50 differentiation. Thus one can expect to see correlations between ND and subjective perception 51 of the "richness" or "meaningfulness" of stimuli, as has indeed been shown in human studies 52 using fMRI and EEG (Boly et al., 2015; Mensen et al., 2017, 2018). 53

Moreover, integrated information theory (IIT) posits a fundamental relationship between ND and 54 subjective experience itself. This theoretical framework predicts that consciousness requires the 55 joint presence of integration and differentiation: that is, a system is conscious if it is causally 56 irreducible to its parts and possesses a rich dynamical repertoire of states (Tononi, 2004; 57 Oizumi et al., 2014; Tononi et al., 2016). Theoretical work has demonstrated that ND can serve 58 as a proxy for integrated information in highly recurrent systems where integration can be 59 assumed, such as the brain (Marshall et al., 2016). Consistent with IIT's predictions, several 60 studies have employed differentiation analysis across a range of species and spatiotemporal 61 scales to show that loss of ND is implicated in loss of consciousness (Casali et al., 2013; 62 Hudetz et al., 2014; Barttfeld et al., 2015; Wenzel et al., 2019). 63

64 However, although the applications of differentiation analysis cited above suggest that ND can

65 provide a readout of stimulus-evoked phenomenological differentiation (Boly et al., 2015;

Mensen et al., 2017, 2018), the low spatial resolution of fMRI and EEG has so far precluded

identifying the specific cell populations that underlie this correspondence. Indeed, a
 longstanding question of fundamental importance is which populations of neurons contribute
 directly to prove the specific cell population of fundamental importance.

directly to generating conscious percepts (Koch et al., 2016; Tononi et al., 2016; Mashour et al.,

2020). According to the considerations above, differentiation analysis can shed light on this

71 question, but to do so it must be applied to recorded brain signals from specific populations of 72 neurons. This requires systematically measuring stimulus-evoked ND with cellular resolution.

To address this gap, we leveraged the Allen Institute for Brain Science (AIBS) pipeline for in 73 vivo two-photon calcium (Ca2+) imaging (de Vries et al., 2020) to measure stimulus-evoked ND 74 in the visual cortex of the mouse. The present work represents one of the first projects within the 75 OpenScope initiative, a collaborative model in which the capabilities of the AIBS "brain 76 77 observatory" are made available to the wider neuroscientific community. The standardized, high-throughput OpenScope data acquisition pipeline allowed us to conduct a systematic survey 78 of ND in excitatory cell populations across 3 cortical layers-layer (L) 2/3, L4, and L5-in each 79 of 5 visual cortical areas: primary (V1), lateral (L), anterolateral (AL), posteromedial (PM), and 80 anteromedial (AM), as awake mice were presented with visual stimuli. We used twelve 30 s 81 movie stimuli chosen to span different levels of putative ethological relevance. The stimuli 82 included naturalistic video clips of predators, prey, conspecifics, the home cage, movement 83 through the underbrush of a forest (putatively of high ecological relevance to mice); clips of 84 85 roadways, automobiles, and humans (putatively of low ethological relevance); and artificially generated clips with no ethological relevance. Some of the artificial stimuli were phase-86 scrambled versions of the naturalistic stimuli, which enabled us to contrast stimuli containing 87 high-level structure against meaningless stimuli while controlling for low-order statistics. 88

We hypothesized that unscrambled naturalistic stimuli, which presumably elicit meaningful 89 visual percepts, would evoke greater ND than their meaningless phase-scrambled counterparts. 90 Indeed, we find that unscrambled stimuli evoke greater ND than scrambled stimuli specifically in 91 L2/3 of areas AL & AM (*i.e.*, not in L4 or L5 of any area, nor in any sampled layer of areas V1, L, 92 93 and PM). This effect is modulated by arousal and behavioral state and is robust to different methods of measuring ND. We contrast this layer- and area-specific finding with a decoding 94 analysis that shows that information about the stimulus category, whether meaningful or 95 meaningless, is present in most cell populations. This highlights a key difference between the 96 methodological approaches: ND is more plausibly correlated with stimulus meaningfulness than 97 the information measured by decoding, since the latter may not be functionally relevant (Brette, 98 2019). Furthermore, we find differences in evoked ND among the unscrambled stimuli that 99 suggest that differentiation analysis can probe meaningfulness of individual stimuli. 100

## 101 2 Results

Using the AIBS OpenScope two-photon Ca<sup>2+</sup> imaging pipeline (de Vries et al., 2020; Figure 102 1A–D), we recorded from the left visual cortex of mice while they passively viewed stimuli 103 presented to the contralateral eye. We used the transgenic lines Cux2, Rorb, and Rbp4 (3 mice 104 each) in which GCaMP6f is expressed in excitatory neurons predominantly in L2/3, L4, and L5, 105 respectively. Visual cortical areas were delineated via intrinsic signal imaging (ISI; Figure 1B). 106 Data were collected from 5 areas (V1, L, AL, PM, and AM; Figure 1E) across 45 experimental 107 sessions (15 sessions per Cre line; 5 sessions per area; ~5 sessions per mouse). Mice were 108 head-fixed and free to move on a rotating disc. Pupil diameter and running velocity were 109 recorded. During each 70-minute session, twelve 30 s movie stimuli were presented in a 110 randomized block design with 10 repetitions, with 4 s of mean-luminance grey shown between 111 stimulus presentations (Figure 1F,G; see Stimuli). Stimuli were presented in greyscale but 112

- were not otherwise modified (in particular, it should be noted that spatial frequencies beyond the 113
- mouse acuity limit will appear blurred to the mice). Representative  $\Delta F/F_0$  traces and behavioral 114 data are shown in **Figure 1H**. One imaging session in L5 of AL was excluded from our analyses
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- because of technical problems with the two-photon recording. 116

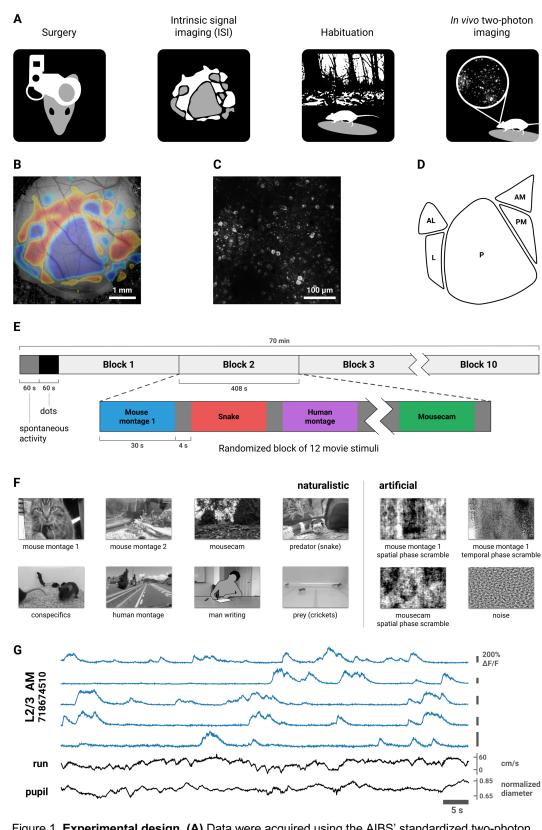
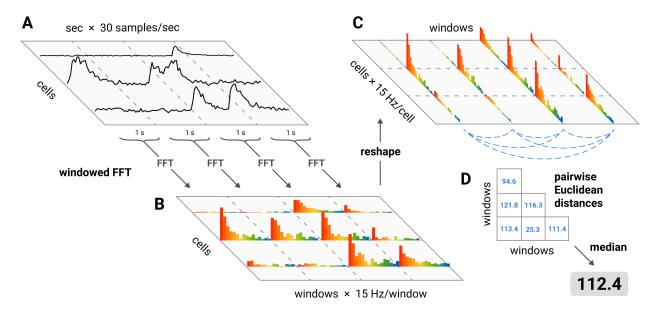




Figure 1. **Experimental design. (A)** Data were acquired using the AIBS' standardized two-photon calcium imaging pipeline (de Vries et al., 2020; Groblewski et al., 2020; see **Methods**). Briefly, a custom headframe was implanted; intrinsic signal imaging (ISI) was performed to delineate

121 122 123 124 125 126	retinotopically mapped visual areas; the mouse was habituated to the passive viewing paradigm over the course of ~2 weeks; and two-photon calcium imaging was performed in the left visual cortex while animals viewed stimuli presented to the contralateral eye in several experimental sessions. During the imaging sessions, head-fixed mice were free to run on a rotating disc. Locomotion velocity was recorded and pupil diameter was extracted from video of the animal's right eye. <b>(B)</b> Example of an ISI map. <b>(C)</b> Example frame from a two-photon movie. Imaging data was
120	processed as described in de Vries et al. (2020) to obtain $\Delta F/F_0$ traces. (D) Schematic of the 5
128	visual areas targeted in this study. (E) 10 randomized blocks of twelve 30 s movie stimuli were
129	presented. 4 s of mean-luminance grey was presented between stimuli. The first 60 s was mean-
130	luminance grey (spontaneous activity); the second 60 s period was a high-contrast sparse noise
131	stimulus (not analyzed in this work). <b>(F)</b> Still frames from the 8 naturalistic (left) and 4 artificial
132	(right) movie stimuli (see Stimuli). Two of the naturalistic stimuli, "mouse montage 1" and
133	"mousecam", were phase-scrambled to destroy high-level image features while closely matching
134	low-order statistics (see <b>Phase scrambling</b> ). (G) $\Delta F/F_0$ traces from 5 example cells, locomotion
135	velocity, and normalized pupil diameter from a representative experimental session. Note: the "man
136	writing" stimulus frame in (F) has been de-identified for presentation in this preprint in accordance
137	with bioRxiv policy.

To measure ND, we employed a method from Mensen et al. (2018) for analyzing a set of 138 timeseries recorded during the presentation of a continuous stimulus (Figure 2). Briefly, the 139 power spectrum of each cell's  $\Delta F/F_0$  trace was estimated in 1 s windows. The cells' power 140 spectra during simultaneous windows were concatenated to form a vector representing the 141 neurophysiological state of the population during that window. We calculated ND for each trial 142 as the median Euclidean distance between the 30 population states elicited over the course of 143 the 30 s stimulus. We computed distances in the frequency domain rather than the time domain 144 in order to focus on differences in overall population state rather than differences in precise 145 timing of  $\Delta F/F_0$  transients. To account for variability in the size of the imaged populations we 146 divided ND values by the square root of the number of cells (see Spectral differentiation). 147 Spectral differentiation will be zero when the set of  $\Delta F/F_0$  traces is perfectly periodic with a 148 period of 1 s (the window size), and it will be high when many traces exhibit temporally varied 149 patterns across the 30 seconds. The measure scales with the magnitude of the signal and thus 150 has no well-defined maximum. 151



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153Figure 2. Spectral differentiation analysis. ND was computed as follows: (A) for each cell, the154 $\Delta F/F_0$  trace of each cell during stimulus presentation was divided into 1 s windows; (B) the power155spectrum of each window was estimated; (C) the "neurophysiological state" during each 1 s window156was defined as a vector in the high-dimensional space of cells and frequencies (*i.e.*, the

157 concatenation of the power spectra in that window for each cell); (D) the ND in response to a given
 158 stimulus was calculated as the median of the pairwise Euclidean distances between every state
 159 that occurred during the stimulus presentation.

To compare the differentiation of responses to naturalistic and artificial stimuli, we generated Fourier phase-scrambled versions of two of our movie stimuli (Figure 1G). Phase-scrambling destroys the natural structure of the stimulus while closely matching the power spectrum (the spectrum was not conserved exactly because of numerical representational limitations of the stimulus format; see Phase scrambling). Note that operations that leave the power spectrum of a signal unchanged will not affect its spectral differentiation.

For the "mouse montage 1" stimulus (a montage of six 5 s naturalistic movie clips), we 166 performed the phase-scrambling in two ways: (1) along the temporal dimension, on each pixel 167 independently, and (2) along the two spatial dimensions, on all pixels. For the "mousecam" 168 stimulus (a continuous 30 s clip of movement at ground level through the underbrush of a forest) 169 we performed only the spatial phase-scrambling. This yielded 2 unscrambled stimuli and 3 170 scrambled stimuli. The full set of twelve stimuli was designed to span different levels of putative 171 ethological relevance; here, we focus on the comparison of the unscrambled stimuli to their 172 scrambled versions because low-order stimulus statistics are controlled and thus the contrast 173 can be more easily interpreted. 174

# 175 2.1 Unscrambled stimuli elicit more differentiated responses compared to 176 scrambled stimuli

We hypothesized that the unscrambled stimuli would elicit higher ND than their phasescrambled counterparts. We tested this by fitting linear mixed effects (LME) models with
experimental session as a random effect (see Linear mixed effects models); mean differences
in ND of responses to unscrambled vs. scrambled stimuli are shown in Figure 3. We obtained
similar results contrasting naturalistic vs. artificial stimuli across the entire stimulus set
(Supplementary Figure 1). ND values were approximately log-normally distributed, so we
applied a logarithmic transform to ND in all statistical analyses (see Statistics).

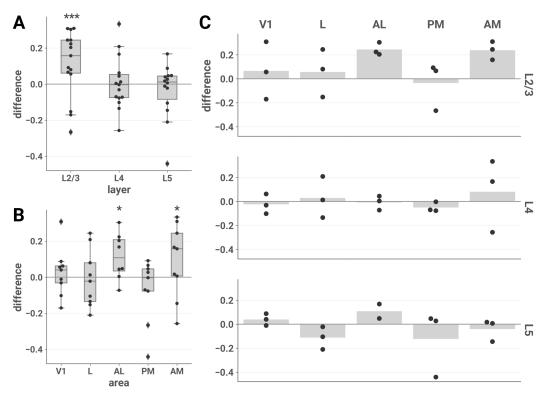
## 1842.1.1Increased differentiation for unscrambled stimuli is specific to excitatory cells in185L2/3

We found that unscrambled stimuli elicited more differentiated responses specifically in L2/3 (**Figure 3A**). We fitted an LME model with stimulus category (unscrambled or scrambled), layer, and their interaction as fixed effects and found a significant interaction (likelihood ratio test,  $\chi^2(2)$ = 13.379, p = 0.00124). Post hoc tests showed that the unscrambled vs. scrambled difference was specific to L2/3 (one-sided *z*-test; L2/3, z = 3.866, p = 1.66e–4; L4, z = 0.191, p = 0.810; L5, z = -1.168, p = 0.998; adjusted for multiple comparisons).

#### 192 **2.1.2** Increased differentiation for unscrambled stimuli is specific to areas AL and AM

<sup>193</sup> The increased ND in response to unscrambled stimuli was area-specific (**Figure 3B**). We fitted <sup>194</sup> an LME model with stimulus category, area, and their interaction as fixed effects and found a <sup>195</sup> significant interaction (likelihood ratio test,  $\chi^2(4) = 15.202$ , p = 0.00430). Post hoc tests showed <sup>196</sup> that the unscrambled vs. scrambled difference was specific to AL and AM (one-sided *z*-test; V1, <sup>197</sup> z = 0.704, p = 0.7479; L, z = -0.234, p = 0.9887; AL, z = 2.873, p = 0.0101; PM, z = -1.843, p > <sup>198</sup> 0.999; AM, z = 2.446, p = 0.0356; adjusted for multiple comparisons).

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Figure 3. ND elicited by unscrambled vs. scrambled stimuli is higher in L2/3 of areas AL and AM. The mean difference in ND of responses to unscrambled vs. scrambled stimuli is plotted for each session by layer (A), area (B), and layer-area pair (C). (A) and (B): asterisks indicate significant post hoc one-sided z-tests in the layer (A) and area (B) interaction LME models (\*, p < 0.05; \*\*\*, p < 0.001). Boxes indicate quartiles; whiskers indicate the minimum and maximum of data lying within 1.5 times the inter-quartile range of the 25% or 75% quartiles; diamonds indicate observations outside this range. (C) Mean values are indicated by bars.

#### 208 **2.2** Permutation tests for individual experimental sessions

The above analysis shows that the mean ND elicited by unscrambled stimuli is greater than for 209 their phase-scrambled counterparts, and that this effect is driven by L2/3 cells in areas AL and 210 AM. We also analyzed ND at the level of individual sessions with non-parametric permutation 211 tests. For each session, we obtained a null distribution by randomly permuting the trial labels 212 (unscrambled or scrambled) 20,000 times and computing the difference in mean ND on 213 unscrambled vs. scrambled trials for each permutation. P values were computed as the fraction 214 of permutations for which the permuted difference was greater than the observed difference. 215 The results of the individual session analyses were consistent with the LME analyses (Table 1). 216 In all sessions recorded from L2/3 of AL & AM, responses to unscrambled stimuli were 217

- significantly more differentiated than to scrambled stimuli (p < 0.05).
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	V1	L	AL	РМ	АМ	All areas
L2/3	1 / 3	1 / 3	3/3	0/3	3/3	8 / 15
L4	0/3	1 / 3	0/3	0/3	0/3	1 / 15
L5	0/3	0/3	0 / 2	0/3	0/3	0 / 14
All layers	1 / 9	2/9	3 / 8	0/9	3 / 9	

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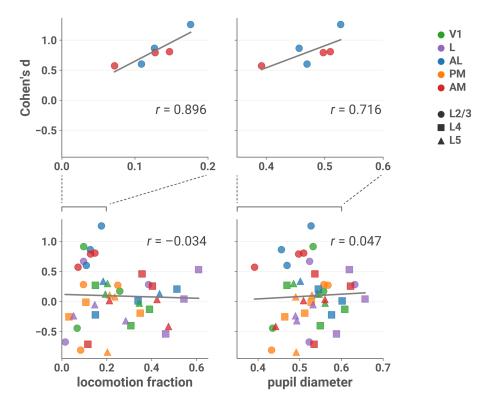
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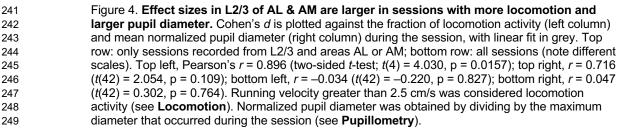
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Table 1. Permutation tests show increased ND for unscrambled vs. scrambled stimuli in L2/3 of AL & AM at the level of individual experimental sessions. Entries contain the fraction of sessions in which the mean ND of responses to unscrambled stimuli was significantly greater than responses to their scrambled counterparts vs. total number of sessions at a threshold of  $\alpha$  = 0.05. For each session, a null distribution was obtained by randomly permuting trial labels (unscrambled or scrambled) 20,000 times and computing the difference in mean ND on unscrambled and scrambled trials for each permutation. P values were computed as the fraction of permutations for which the permuted difference was greater than the observed difference.

Locomotion and pupil diameter can be considered behavioral indications of engagement with 229 the environment (Jacobs et al., 2018; Ganea et al., 2018; Bennett et al., 2013) and modulate 230 neuronal activity in visual cortex (Dadarlat & Stryker, 2017; McGinley, David, et al., 2015; 231 McGinley, Vinck, et al., 2015; Niell & Stryker, 2010; Polack et al., 2013; Reimer et al., 2014; 232 Salkoff et al., 2020; Vinck et al., 2015). We found that in L2/3 of AL & AM, effect sizes were 233 positively correlated with locomotion activity (Figure 4, top left; Pearson's r = 0.896; two-sided t-234 test; t(4) = 4.030, p = 0.0157) and pupil diameter (**Figure 4**, top right; r = 0.716; t(42) = 2.054, p 235 = 0.109), suggesting that the difference in ND is more clear when the animal is engaged with 236 the stimuli. This pattern was not evident when considering all cell populations (locomotion 237 activity: Figure 4, bottom left, r = -0.034 (t(42) = -0.220, p = 0.827); pupil diameter: Figure 4, 238 bottom right. r = 0.047 (t(42) = 0.302, p = 0.764). 239



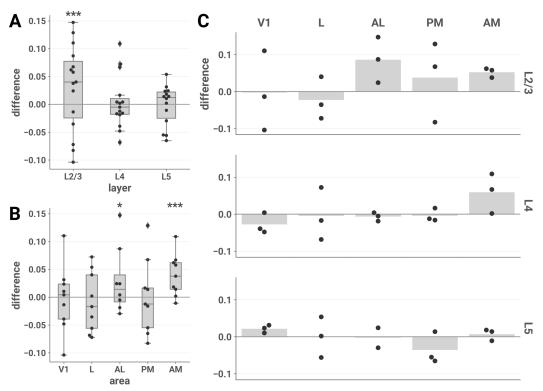




# 250 2.3 Multivariate analysis also shows increased differentiation for unscrambled 251 stimuli

Spectral differentiation is a univariate measure sensitive to differences within a given cell's responses across time. To ensure that our results were not due to this particular measure, we also employed a multivariate approach that considers spatiotemporal differences in activity patterns across the cell population. For each session, the dimensionality of the population response vectors was reduced to 10 using UMAP (McInnes et al., 2018). In the resulting 10dimensional space, ND was measured as the mean Euclidean distance to the centroid of the set of responses corresponding to that stimulus (see **Multivariate differentiation**).

The results of the multivariate analysis were consistent with those found using the spectral 259 differentiation measure. The mean centroid distance was higher in response to unscrambled 260 compared to scrambled stimuli (Figure 5), and this effect was specific to L2/3 (layer × stimulus 261 category interaction: likelihood ratio test,  $\chi^2(2) = 8.263$ , p = 0.0161; post hoc one-sided z-tests: 262  $L^{2/3}$ , z = 3.610, p = 0.000459; L4, z = 0.397, p = 0.720; L5, z = -0.202, p = 0.926) and areas AL 263 and AM (area × stimulus category interaction: likelihood ratio test,  $\chi^2(4) = 15.659$ , p = 0.00351; 264 post hoc tests: V1, z = -0.259, p = 0.990; L, z = -0.828, p > 0.999; AL, z = 2.546, p = 0.0270; 265 PM, z = -0.051, p = 0.975; AM, z = 3.668, p = 0.000612). 266





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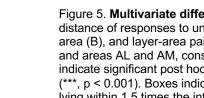
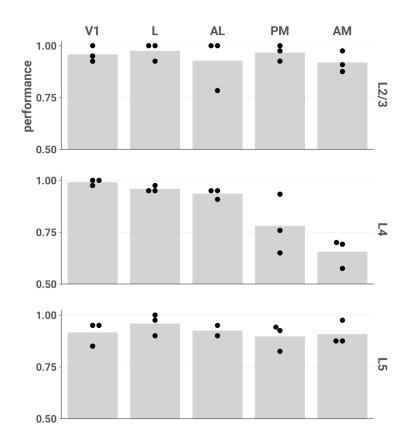


Figure 5. Multivariate differentiation analysis. The mean difference in the mean centroid distance of responses to unscrambled vs. scrambled stimuli is plotted for each session by layer (A), area (B), and layer-area pair (C). ND elicited by unscrambled vs. scrambled stimuli is higher in L2/3 and areas AL and AM, consistent with the spectral differentiation analysis. (A) and (B): asterisks indicate significant post hoc one-sided z-tests in the layer (A) and area (B) interaction LME models (\*\*\*, p < 0.001). Boxes indicate guartiles; whiskers indicate the minimum and maximum of data lying within 1.5 times the inter-quartile range of the 25% or 75% quartiles; diamonds indicate observations outside this range. (C) Mean values are indicated by bars.

#### 2.4 Decoding analysis does not reveal layer or area specificity 276

We next asked whether the layer and area specificity of our ND results would be reflected in our 277 ability to decode the stimulus category (unscrambled or scrambled) from population responses. 278 279 We performed fivefold cross-validated linear discriminant analysis to decode stimulus category for each session and scored the classifier using balanced accuracy (see **Decoding analysis**). 280 Decoding performance was high for most areas and layers (Figure 6), in contrast to the 281 unscrambled-scrambled difference in ND. Performance was also high across layers and areas 282 when we decoded stimulus identity, rather than category, using responses to all 12 stimuli 283 (Supplementary Figure 1). 284



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Figure 6. Stimulus category (unscrambled or scrambled) can be accurately decoded from most layers and areas. Each point represents the mean fivefold cross-validated balanced accuracy score of linear discriminant analysis performed on a single session (see **Decoding analysis**). Chance performance is 0.5.

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#### 291 **2.5 Differences in ND among individual stimuli**

We also investigated whether ND differed among stimuli within the same category. This analysis 292 was restricted to the set of unscrambled stimuli without jump cuts, *i.e.*, the 5 naturalistic 293 continuous 30 s clips, to avoid potential confounds in comparing stimuli with and without abrupt 294 transitions between different scenes. Here we used data from all lavers and areas, since 295 although L2/3 of AL & AM underlies unscrambled/scrambled differences, within-category 296 differences might not be restricted to that subset. We fitted an LME model with stimulus as a 297 fixed effect and found it was significant (likelihood ratio test,  $\chi^2(4) = 32.115$ , p = 1.812e–6). Post-298 hoc pairwise two-sided t-tests (adjusted for multiple comparisons), shown in Figure 7, revealed 299 that the predator stimulus (a snake) evoked significantly higher differentiation than clips of 300 conspecifics (t(2156) = 3.229, p = 0.0111); prev (crickets) (t(2156) = 3.928, p = 0.000839), and 301 a man writing (t(2156) = 5.248, p = 1.670e-6). The "mousecam" clip of movement through a 302 wooded environment also evoked a significantly higher differentiation than the clip of a man 303 writing (t(2156) = 3.396 p = 0.00625). Here we present the main effect of stimulus; for an 304 exploration of interactions with layer and area, and a comparison to decoding, see 305 Supplementary Figure 3. 306

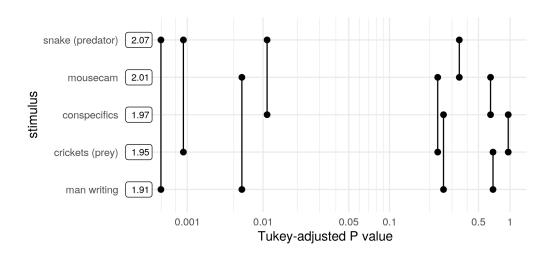


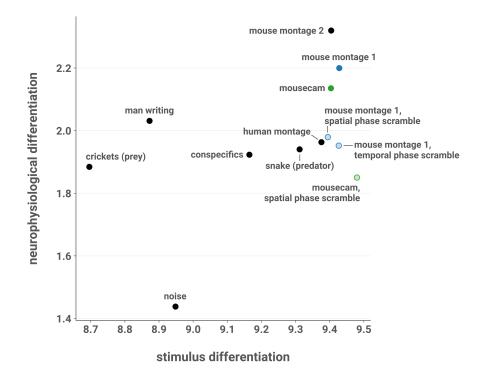


Figure 7. Pairwise differences in ND among unscrambled, continuous stimuli. Post hoc 309 pairwise comparisons using data from all neuronal populations are plotted against their p values 310 (adjusted for multiple comparisons). Boxes show mean ND for each stimulus. ND of the snake stimulus is significantly greater than that of crickets and man writing at a threshold of  $\alpha = 0.01$ , and 311 greater than conspecifics at  $\alpha$  = 0.05. ND of the mousecam stimulus is greater than that of man 312 writing at  $\alpha = 0.01$ . 313

#### 2.6 Stimulus differentiation does not explain ND 314

It is possible that ND does not reflect functionally relevant visual processing but is instead 315 merely inherited from the differentiation of the stimulus itself. To rule out this possibility, we 316 computed the stimulus differentiation (SD) by treating each pixel of the stimulus as a "cell" and 317 applying the spectral differentiation measure to the traces of pixel intensities over time. Within 318 L2/3 of AL and AM, the mean ND elicited by each stimulus was positively correlated with SD 319 (Pearson's r = 0.446, one-sided t-test; t(10) = 1.574, p = 0.0733). However, the noise stimulus is 320 an influential observation (Cook's D = 0.665, more than twice as large as the next most 321 influential observation). If we exclude this stimulus, we find a weaker correlation (r = 0.290; one-322 sided t-test; t(9) = 0.908, p = 0.194). Furthermore, there was no evidence of a relationship with 323 ND when considering only the scrambled stimuli and their unscrambled counterparts (r = -324 0.537; two-sided t-test; t(3) = -1.104, p = 0.350). Thus, we conclude that ND is not inherited 325 from SD. We also did not find a relationship with stimulus luminance, contrast, or spectral 326 energy (Supplementary Figure 4). 327

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330 331	Figure 8. <b>SD does not explain ND</b> . Mean ND elicited by each stimulus in L2/3 of AL and AM, plotted against SD. SD was computed by treating each pixel of the movie as a "cell" and applying
332	the spectral differentiation measure to traces of pixel intensities over time. Across all stimuli, mean
333	ND is positively correlated with SD (Pearson's $r = 0.446$ ; one-sided <i>t</i> -test; $t(10) = 1.574$ , p =
334	0.0733). However, here the noise stimulus is an influential observation (Cook's $D = 0.665$ , more
335	than twice as large as the next most influential observation). With the noise stimulus excluded, the
336	correlation is weaker ( $r = 0.290$ ; one-sided t-test; t(9) = 0.908, p = 0.194). Moreover, there was no
337	evidence of a relationship with ND when considering only the scrambled stimuli and their
338	unscrambled counterparts ( $r = -0.537$ ; two-sided <i>t</i> -test; $t(3) = -1.104$ , p = 0.350).

#### 339 3 Discussion

Our results show that excitatory L2/3 neurons in higher visual areas AL and AM have more 340 differentiated responses to movie stimuli with naturalistic structure than to phase-scrambled 341 stimuli with closely matched low-order statistics, indicating that these populations are uniquely 342 sensitive to high-level natural features in this stimulus set. We found this difference in 343 neurophysiological differentiation (ND) at the level of single experimental sessions, and it was 344 robust to complementary methods of measuring ND. Moreover, we found that effect sizes were 345 larger with increasing pupil diameter and locomotion, suggesting that the increased ND in L2/3 346 of AL and AM is dependent on the animal's arousal level and behavioral state. Decoding 347 analysis showed a marked lack of area and laver specificity: stimulus category could be 348 accurately decoded from the activity of most cell populations we surveyed. In addition to the 349 differences between unscrambled and scrambled stimuli, we found differences in ND among 350 unscrambled continuous stimuli. Finally, we argued that ND is not merely inherited from the 351 differentiation of the stimuli. 352

The precise functional specialization of individual higher visual areas in the mouse, as well as that of V1, remains unclear (Glickfeld & Olsen, 2017). Recent large-scale anatomical (J. A. Harris et al., 2019) and functional (Siegle et al., 2019) studies of feedforward and feedback connectivity in the mouse visual system have uncovered a "shallow hierarchy" in which V1 lies

at the base, followed by LM, RL, AL, and PM, with AM at the top. In this light, our findings that 357 ND in L2/3 of AL and AM is sensitive to high-level naturalistic structure could be interpreted as a 358 reflection of hierarchical processing, which may be constructing a richer dynamical repertoire for 359 perception of naturalistic stimuli at higher hierarchical levels. Interestingly, we did not find this 360 effect in PM, despite its intermediate position between AL and AM in the hierarchy, suggesting 361 that such hypothetical processing towards richer repertoires is not fully determined by the one-362 dimensional hierarchy, but may involve specific pathways through subsets of higher visual 363 areas. These observations indicate that differentiation analysis may be used to refine our 364 365 understanding of functional specialization of these areas and uncover differences between them that can be used to direct further investigations and generate hypotheses. 366

A recent study found that feedback projections from higher visual areas to L2/3 excitatory 367 neurons in V1 create a second receptive field (RF) surrounding the feedforward RF and that 368 these RFs are mutually antagonistic, pointing to a role for these neurons in predictive 369 processing (Keller et al., 2020). If this pattern is found at higher levels of the visual hierarchy, 370 then the layer specificity of our findings could be explained by a scenario in which top-down 371 feedback inputs to AL and AM from areas higher in the putative dorsal stream (Marshel et al., 372 2011; Wang et al., 2012) are integrated with feedforward inputs in L2/3 to compute and relay 373 prediction errors about high-level visual features. In this scenario, the naturalistic stimuli, which 374 375 contain high-level features that are presumably less predictable, would elicit more prediction errors and thus more differentiated activity. 376

Stimulus-evoked activity in cortex is powerfully modulated by arousal level and behavioral state 377 (McGinley, Vinck, et al., 2015; Salkoff et al., 2020). Locomotion is associated with heightened 378 arousal, increased membrane depolarization, increased firing rates, increased signal-to-noise 379 ratio, and enhanced stimulus encoding (Bennett et al., 2013; Dadarlat & Stryker, 2017; Niell & 380 Stryker, 2010; Polack et al., 2013; Vinck et al., 2015). Pupil diameter can serve as an index of 381 arousal (Larsen & Waters, 2018), and exhibits an inverted-U relationship with task performance 382 such that performance is optimal at intermediate arousal levels (McGinley, David, et al., 2015; 383 McGinley, Vinck, et al., 2015). Larger pupils are associated with increases in the gain, 384 amplitude, signal-to-noise ratio, and reliability of responses in V1 (Reimer et al., 2014). Thus, 385 our finding that increased pupil diameter and locomotion activity are associated with larger 386 effect sizes could be explained by an increase in response gain or amplitude in V1 that is 387 inherited by downstream AL and AM: since the ND in these areas is selective for naturalistic 388 structure, increased bottom-up drive could accentuate unscrambled-scrambled differences in 389 ND. 390

Alternatively, response gain or amplitude in higher visual areas could be modulated directly by 391 subcortical arousal systems. The noradrenergic and cholinergic systems are likely candidates, 392 although it is not clear why noradrenergic modulation would cause an effect specific to L2/3; as 393 for cholinergic modulation, Pafundo et al. (2016) showed that V1 and LM are differentially 394 modulated by basal forebrain stimulation such that the response gain and reliability of excitatory 395 L2/3 neurons was enhanced in V1 but not in LM, despite an even distribution of basal forebrain 396 axon fibers across all layers in both areas. However, neuromodulatory regulation of activity in 397 other higher visual areas, in particular AL and AM, has not yet been characterized in great detail 398 and would be a fruitful topic for future studies. Another possibility is a top-down effect, in which 399 increases in arousal and locomotion reflect increased cognitive or attentional engagement with 400 the stimuli that favors processing of high-level stimulus features, selectively increasing ND for 401 the unscrambled stimuli. In the passive viewing paradigm employed here, in which the animal is 402 not motivated to attend to the stimuli, it is likely that top-down modulation of sensory processing 403 varies considerably across the experimental session as arousal and attention fluctuate. 404

Though differentiation analysis revealed area- and layer-specific differences in responses to 405 unscrambled and phase-scrambled stimuli, our ability to decode stimulus category from neural 406 responses was remarkably similar across areas and layers. This contrast in our results 407 highlights an important methodological distinction: decoding is a powerful means to reveal 408 information content, but this information is necessarily measured from the extrinsic perspective 409 (Buzsáki, 2019, Tononi, 2004; Oizumi et al., 2014; Tononi et al., 2016). The presence of 410 information about a stimulus in a neural circuit does not imply that the information is functionally 411 relevant to the system in question (Brette, 2019). As an extreme example, stimulus category 412 413 would presumably be perfectly decodable from photons impinging on the retina, but this would reveal nothing of interest about perception. By contrast, ND is an intrinsic measure in the sense 414 that it is defined without reference to a stimulus (Boly et al., 2015; Mensen et al., 2017, 2018). In 415 the brain, a complex evolved system in which activity is energetically costly, ND may be a 416 signature of functionally relevant dynamics. The dissociation we find between ND and decoding 417 performance indicates that differentiation analysis can point to populations of interest that are 418 not revealed by detecting stimulus-relevant information. 419

Finally, we also found that the predator stimulus and the "mousecam" stimulus elicited 420 significantly higher ND than other unscrambled continuous stimuli. The predator stimulus finding 421 is intriguing because that stimulus has lower luminance, contrast, and spectral energy than the 422 clip of conspecifics in a home cage (Supplementary Figure 4). Given the importance of 423 detecting natural predators, it is plausible that the high ND evoked by this stimulus reflects its 424 particular salience to the visual system, driven by high-level features such as the presence of 425 the predator rather than low-order stimulus statistics. In any case, this demonstrates that 426 differentiation analysis can be used to probe differences in visual responses at the level of 427 individual stimuli. 428

It is important to keep in mind the limitations of the data we collected. Firstly, calcium imaging 429 provides only an imperfect proxy of neuronal activity: simultaneous juxtacellular 430 electrophysiology indicates that the fluorescence signal from Ca<sup>2+</sup> indicators is more sensitive to 431 bursts of action potentials than sparse, low-frequency spiking (Chen et al., 2013; Huang et al., 432 2020; Ledochowitsch et al., 2019; Siegle et al., 2020; Wei et al., 2020). Such activity may 433 contribute to ND but would not be present in this dataset. However, given the typically sparse 434 response properties of L2/3 excitatory neurons compared to those in deeper layers (Barth & 435 Poulet, 2012), it is possible that this limitation may only obscure even stronger L2/3 specificity. 436 Secondly, for this exploratory study we opted to use a range of naturalistic stimuli and a limited 437 number of phase-scrambled control stimuli in order to include diverse high-level features. Future 438 studies measuring stimulus-evoked ND could test our findings using a larger set of artificial 439 stimuli that control for other low-level stimulus characteristics, e.g. optical flow, in addition to the 440 power spectrum. Thirdly, there was considerable variability in arousal state and locomotor 441 activity in our passive viewing paradigm. Given these factors' modulation of effect size, future 442 work might uncover larger effects by employing an active paradigm in which the animal is 443 motivated by reward to attend to the stimuli. 444

In summary, we measured stimulus-evoked differentiation of neural activity with cellular 445 resolution and found increased ND in response to unscrambled versus scrambled stimuli. This 446 effect was driven by L2/3 excitatory cells in AL and AM and was enhanced at higher arousal 447 levels. To our knowledge, the present study is the first to systematically measure stimulus-448 evoked differentiation with cellular resolution across multiple cortical areas and layers. These 449 results advance our understanding of the functional differences among higher visual areas, and 450 future work should seek to integrate our findings into the emerging picture of a shallow hierarchy 451 in the mouse visual system, for example by investigating potential differences in 452

neuromodulation among these areas or the contrast between AL/AM and PM. Differentiation 453 analysis is motivated by IIT, and provides an "intrinsic" analytical approach that can complement 454 "extrinsic" measures such as decoding performance, which in this dataset did not distinguish 455 specific cell populations. This method can be used to compare individual stimuli and may 456 provide a readout of the degree to which a given stimulus induces a rich and varied perceptual 457 experience. Future studies should investigate stimulus-evoked differentiation with cellular 458 resolution in humans (and perhaps non-human primates), where subjective reports are 459 available, and thereby determine the relative contributions of distinct cell populations to ND 460 while correlating ND with phenomenology. 461

## 462 4 Methods

The AIBS optical physiology pipeline is described in detail in de Vries et al. (2020) and Groblewski et al. (2020). Analysis was performed with custom Python and R code using numpy (C. R. Harris et al., 2020), scipy (Virtanen et al., 2020), pandas (Reback et al., 2020), scikitlearn (Pedregosa et al., 2011), matplotlib (Hunter, 2007), seaborn (Waskom & the seaborn development team, 2020), lme4 (Bates et al., 2015, p. 4), multcomp (Hothorn et al., 2008), and emmeans (Lenth, 2020).

#### 469 **4.1 Transgenic mice**

All animal procedures were approved by the Institutional Animal Care and Use Committee at the 470 AIBS. We maintained all mice on reverse 12-hour light cycle following surgery and throughout 471 the duration of the experiment and performed all experiments during the dark cycle. We used 472 the transgenic mouse line Ai93, in which GCaMP6f expression is dependent on the activity of 473 both Cre recombinase and the tetracycline controlled transactivator protein (tTA) (Madisen et 474 al., 2010). Triple transgenic mice (Ai93, tTA, Cre) were generated by first crossing Ai93 mice 475 with Camk2a-tTA mice, which preferentially express tTA in forebrain excitatory neurons. 476 Cux2-CreERT2;Camk2a-tTA;Ai93(TITL-GCaMP6f) expression is regulated by the tamoxifen-477 inducible Cux2 promoter, induction of which results in Cre-mediated expression of GCaMP6f 478 predominantly in superficial cortical layers 2, 3 and 4. Rorb-IRES2-Cre;Cam2a-tTA;Ai93 exhibit 479

480 GCaMP6f in excitatory neurons in cortical layer 4 (dense patches) and layers 5 & 6 (sparse).

481 Rbp4-Cre;Camk2a-tTA;Ai93 exhibit GCaMP6f in excitatory neurons in cortical layer 5.

#### 482 **4.2 Surgery**

Transgenic mice expressing GCaMP6f were weaned and genotyped at ~P21, and surgery was
performed between P37 and P63. The craniotomy was centered at X = -2.8 mm and Y = 1.3
mm with respect to lambda (centered over the left mouse visual cortex). A circular piece of skull
5 mm in diameter was removed, and a durotomy was performed. A coverslip stack (two 5 mm
and one 7 mm glass coverslip adhered together) was cemented in place with Vetbond.
Metabond cement was applied around the cranial window inside the well to secure the glass
window.

#### 490 4.3 Intrinsic imaging

A retinotopic map was created using intrinsic signal imaging (ISI) in order to define visual area
 boundaries and target in vivo two-photon calcium imaging experiments to consistent retinotopic
 locations. These maps were generated while mice were lightly anesthetized with 1–1.4%
 isoflurane. See de Vries et al. (2020) for a complete description of this procedure and related
 processing steps.

#### 496 **4.4 Habituation**

Following successful ISI mapping, mice spent two weeks being habituated to head fixation and visual stimulation. During the second week, mice were head-fixed and presented with visual stimuli, starting for 10 minutes and progressing to 50 minutes of visual stimuli by the end of the week. During this week they were exposed to the "mouse montage 2" stimulus (see **Stimuli**).

#### 501 **4.5 Imaging**

<sup>502</sup> Calcium imaging was performed using a two-photon-imaging instrument (Nikon A1R MP+).

Laser excitation was provided by a Ti:Sapphire laser (Chameleon Vision – Coherent) at 910 nm.
 Mice were head-fixed on top of a rotating disc and free to run at will. The screen center was
 positioned 118.6 mm lateral, 86.2 mm anterior and 31.6 mm dorsal to the right eye. The
 distance between the screen and the eye was 15 cm. Movies were recorded at 30 Hz using
 resonant scanners over a 400 µm field of view.

508 Excitatory neurons from cortical L2/3, L4, and L5 were imaged (L2/3: 3 mice, 15 sessions; L4: 3

mice, 15 sessions; L5: 3 mice, 14 sessions) in 5 visual areas: V1 (9 sessions), L (9 sessions),
 AL (8 sessions), AM (9 sessions), and PM (9 sessions).

#### 511 **4.6 Behavioral data**

#### 512 **4.6.1 Locomotion**

513 Locomotion velocity data recorded from the running wheel were preprocessed as follows. First,

artifacts were removed using custom code that iteratively identified large positive or negative

peaks (indicative of artifactual discontinuities in the signal) in several passes of

scipy.signal.find\_peaks (specific parameters were manually chosen for each session).

517 Remaining artifacts were then manually removed by inspecting the resulting timeseries and

visually identifying clear discontinuities. The removed samples were filled using linear

519 interpolation (pandas.Series.interpolate).

520 The resulting signal was then low-pass filtered at 1 Hz using a zero-phase 4<sup>th</sup>-order Butterworth

filter (scipy.signal.butter(2, 1/15, btype='lowpass', output='ba', analog=False) applied with scipy.signal.filtfilt).

523 For the analysis in Figure 4, the fraction of time spent running was computed by binarizing the 524 preprocessed velocity timeseries at a threshold of 2.5 cm/s.

#### 525 **4.6.2 Pupillometry**

Pupil diameter was extracted from video of the mouse's ipsilateral eye (relative to the stimulus
 presentation monitor) using the AllenSDK (<u>https://github.com/AllenInstitute/AllenSDK</u>) as
 described in de Vries et al. (2020).

Briefly, for each frame of the video an ellipse was fitted to the region corresponding to the pupil as follows: a seed point within the pupil was identified via convolution with a black square; 18 rays were drawn starting at this seed point, spaced 20 degrees apart; the candidate boundary point between the pupil and iris along that ray was identified by a change in pixel intensity above a session-specific threshold; a RANSAC algorithm was used to fit the an ellipse to the candidate boundary points using linear regression with a conic section constraint; and fitted parameters of the regression were converted to ellipse parameters (coordinates of the center, lengths of the

- semi-major and semi-minor axes, and angle of rotation with respect to the x-axis). Pupil
- diameter was taken to be twice the semi-major axis of the fitted ellipse.
- 538 The resulting timeseries contained some artifacts, which we removed by the same combination 539 of automated and manual methods used for the locomotion timeseries (see **Locomotion**).
- 540 For the analysis shown in Figure 4, each pupil diameter timeseries was normalized by dividing 541 by the maximum diameter that occurred during stimulus presentations.

#### 542 **4.7 Stimuli**

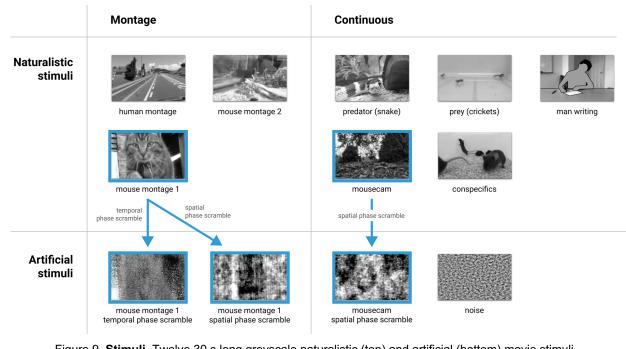
543 We created twelve 30 s greyscale naturalistic and artificial movie stimuli.

The eight naturalistic stimuli (Figure 9, top) consisted of three montages of six 5 s clips, spliced 544 together with jump cuts, and four continuous stimuli. The "mouse montage 1" stimulus contained 545 clips of conspecifics, a snake, movement at ground level through the underbrush of a wooded 546 environment, and a cat approaching the camera. The "mouse montage 2" stimulus contained 547 different footage of movement through the wooded environment; different footage of a cat 548 approaching the camera; conspecifics in a home cage filmed from within the cage; crickets in a 549 home cage filmed from within the cage; footage of the interior of the home cage with 550 environmental enrichment (a shelter, running wheel, and nesting material); and a snake filmed 551 at close range orienting towards the camera. The "human montage" contained clips of a man 552 talking animatedly to an off-screen interviewer; a café table where food is being served; 553 automobile traffic on a road viewed from above; a woman in the foreground taking a photo of a 554 city skyline; footage of a road filmed from the passenger seat of a vehicle; and a close shot of a 555 bowl of fruit being tossed. The four continuous stimuli were: footage of a snake at close range 556 orienting towards the camera; crickets in a home cage filmed from within the cage; a man 557 writing at a table; movement through a wooded environment at ground level; and conspecifics in 558 a home cage. No two stimuli contained identical clips. 559

The four artificial stimuli (**Figure 9**, bottom) consisted of two phase-scrambled versions of the mouse montage 1" stimulus, a phase-scrambled version of the "mousecam" stimulus (see **Phase scrambling**), and a high-pass-filtered 1/*f* noise stimulus.

The stimuli were presented in a randomized block design with 10 repetitions, with 4 s of static mean-luminance grey presented between stimuli (**Figure 1F**). 60 s of mean-luminance grey (to record spontaneous activity) and a 60 s high-contrast sparse noise stimulus were also

presented in the beginning of each session (this stimulus was not analyzed in this work).



567

Figure 9. Stimuli. Twelve 30 s long greyscale naturalistic (top) and artificial (bottom) movie stimuli
were presented. Left: montages of six 5 s clips; right: continuous 30 s clips. Stimuli used in the
main analysis are outlined in blue. Arrows indicate the phase-scrambling procedures. *Note:* the
"man writing" stimulus frame has been de-identified for presentation in this preprint in accordance
with bioRxiv policy.

#### 573 **4.7.1 Phase scrambling**

Two methods of phase scrambling were used: temporal and spatial, described in detail below. 574 Briefly, for the temporal scrambling we independently randomized the phase of each pixel's 575 intensity timeseries in contiguous, nonoverlapping windows of 1 s. For the spatial scrambling, 576 we randomized the phase of the spatial dimensions of the three-dimensional spectrum of each 577 window. The "mouse montage 1" stimulus was phase-scrambled using both procedures to 578 obtain the "mouse montage 1, temporal phase scramble" and "mouse montage 1, spatial phase 579 scramble" stimuli. The "mousecam" stimulus was scrambled using the spatial procedure to 580 obtain the "mousecam, spatial phase scramble" stimulus. 581

582 Temporal phase scramble

583 First, the stimuli were windowed into contiguous, nonoverlapping 1 s segments (30 frames 584 each). For each 1 s window, we applied the following procedure:

We estimated the one-dimensional spectrum of each pixel's intensity timeseries with the 585 discrete Fourier transform (DFT) using the NumPy function numpy.fft.fft. The phase and 586 magnitude of each spectrum were computed with numpy, angle and numpy, abs respectively. For 587 each pixel, we generated a 14-element random vector drawn uniformly from the interval [0,  $2\pi$ ]. 588 A randomized phase was then obtained for that pixel by concatenating the first element of the 589 original phase, the random vector, the 15<sup>th</sup> element of the original phase, and the negative 590 reversed random vector. This yielded a 30-element phase vector with the required conjugate 591 symmetry of the spectrum of a 1 s real-valued signal sampled at 30 frames per second. The 592 randomized phase was then combined with the spectral magnitude and transformed back into 593 the time domain with the inverse DFT using numpy.fft.ifft, yielding a temporally phase-594

scrambled version of that pixel's intensity timeseries. Each pixel's timeseries was independently
 phase-scrambled in this fashion.

597 This resulted in 30 independently phase-scrambled 1 s windows. These windows were then 598 concatenated to obtain the full 30 s temporally phase-scrambled stimulus.

#### 599 Spatial phase scramble

First, the stimuli were windowed into contiguous, nonoverlapping 1 s segments (30 frames 600 each). For each window, we applied the following procedure. The three-dimensional Fourier 601 spectrum (frame, width, and height) was estimated with the DFT using numpy.fft.fftn. The 602 phase and magnitude of the spectrum were computed with numpy.angle and numpy.abs 603 respectively. To randomize the phase in the spatial dimensions, we generated a random signal 604 605 in the time domain with the same dimensions as a stimulus frame (192 pixels wide by 120 pixels) high) and computed its phase in the frequency domain as described above. This two-606 dimensional random spatial phase was added to the spatial dimensions of the three-607 dimensional stimulus phase. After being randomized in this way, the stimulus phase was 608 recombined with the spectral magnitude and transformed back into a time-domain signal with 609 the inverse DFT using numpy.fft.ifftn. The 30 resulting phase-scrambled 1 s windows were 610 then concatenated to obtain the full 30 s spatially phase-scrambled stimulus. 611

#### 612 Effect of phase-scrambling

The greyscale movie stimuli were represented in the stimulus presentation software as arrays of unsigned 8-bit integers. The limitations of this representation resulted in phase-scrambled stimuli with power spectra that were close but not identical to the power spectrum of their unscrambled counterparts.

Specifically, although the phase scrambling procedures described above leave the power 617 spectrum unchanged, they do not necessarily preserve the range of the resulting real-valued 618 signal. In our case, applying these procedures to our stimuli resulted in phase-scrambled stimuli 619 in which the pixel intensities occasionally lay outside the range [0, 255]. Thus, in order to 620 represent the phase-scrambled stimuli with 8-bit integers, we truncated the result so that 621 negative intensities were set to 0 and intensities greater than 255 were set to 255. This 622 operation does affect the power spectra, and as a result the spectra of the unscrambled and 623 scrambled stimuli are closely matched but not equal. 624

#### 625 **4.8 Differentiation analysis**

#### 626 4.8.1 Spectral differentiation

Our analysis of the responses to the stimuli follows the techniques developed in previous work 627 in humans (Boly et al., 2015; Mensen et al., 2017, 2018). The spectral differentiation measure of 628 ND used by Mensen et al. (2018) was designed for analysis of timeseries responses to 629 continuous movie stimuli, and was found to be positively correlated with subjective reports of 630 stimulus "meaningfulness". We employed this measure with our  $Ca^{2+}$  imaging data: (A) for each 631 cell, the  $\Delta F/F_0$  trace of each cell during stimulus presentation was divided into 1 s windows; (B) 632 the power spectrum of each window was estimated using a Fourier transform; (C) the 633 "neurophysiological state" during each 1 s window was defined as a vector in the high-634 dimensional space of cells and frequencies (*i.e.*, the concatenation of the power spectra in that 635

window for each cell); (D) the ND in response to a given stimulus was calculated as the median

of the pairwise Euclidean distances between every state that occurred during the stimulus presentation. A schematic illustration is shown in **Figure 2**.

We normalized spectral differentiation values by the square root of the number of cells in the 639 recorded population, reasoning as follows. Consider a hypothetical population of cells that each 640 exhibit the same temporal pattern of activity. The spectral differentiation of such a population will 641 be proportional to the square root of its size, because the Euclidean distance is used to 642 compare neurophysiological states. If we have two such populations differing only in the number 643 of cells, their activity should be considered to be equally differentiated for our purposes, since 644 their temporal patterns are identical; any differences in spectral differentiation would be due to 645 the (arbitrary) number of cells captured in the imaging session. Thus, we divided by the square 646 647 root of the population size to remove this dependency.

#### 648 **4.8.2 Multivariate differentiation**

We also measured ND using a multivariate approach that considers spatiotemporal differences 649 in activity patterns. For each experimental session, we extracted  $\Delta F/F_0$  traces recorded during 650 all stimulus presentations and concatenated them to obtain an  $m \times n$  matrix of responses where 651 *m* is the number of two-photon imaging samples and *n* is the number of traces. This matrix was 652 then downsampled by summing the  $\Delta F/F_0$  traces within 100 ms bins. We used a nonlinear 653 dimensionality reduction procedure, Uniform Manifold Approximation and Projection for 654 Dimension Reduction (Python package umap-learn, McInnes et al., 2018), to reduce this matrix 655 to  $m \times 10$  with parameters UMAP(n components=10, metric="euclidean", n neighbors=100, 656 min dist=0.0). Each row of the resulting matrix was a 10-dimensional vector that represented 657 the state of the cell population during the corresponding 100 ms interval. We then grouped the 658 rows of the resulting matrix by stimulus. Each row vector can be thought of as a point in  $\mathbb{R}^{10}$ , so 659 that each stimulus was associated with a cloud of points corresponding to the population states 660 that the stimulus evoked over the course of all 10 trials. 661

The intuition motivating this approach is that we can operationalize the notion of neurophysiological differentiation by measuring the dispersion of this point cloud. The more distant two points are, the more different are the corresponding responses of the cell population; thus, if a stimulus evokes many different population states, the point cloud will be more spread out in response space. Therefore, we measured ND evoked by each stimulus by finding the centroid of its associated point cloud and taking the mean Euclidean distance of each point to the centroid.

#### 669 4.9 Statistics

#### 670 4.9.1 Linear mixed effects models

For aggregate statistics across all experimental sessions, we employed linear mixed effects models using the 1mer function from the 1me4 package in R with REML = FALSE (Bates et al., 2015, p. 4). The distributions of ND values for both spectral and multivariate differentiation measures were well-approximated by log-normal distributions, so we applied a logarithmic transformation to ND values prior to statistical modeling.

First we fit an LME model with cortical layer, stimulus category (unscrambled or scrambled), and their interaction as fixed effects, with experimental session as a random effect (1me4 formula: "differentiation ~ 1 + layer \* stimulus\_category + (1 | session)"). To test-layer

specificity, we then fit a reduced model with the interaction removed

- ("differentiation ~ 1 + area + stimulus\_category + (1 | session)") and used a likelihood
   ratio test to compare the two models.
- Next we fit an LME model with cortical area, stimulus category, and their interaction as fixed effects, with experimental session as a random effect (1me4 formula:
- 684 "differentiation ~ 1 + area \* stimulus\_category + (1 | session)"). To test-area
- specificity, we fit a reduced model with the interaction removed
- 686 ("differentiation ~ 1 + area + stimulus\_category + (1 | session)") and used a likelihood
- ratio test to compare the two models.

<sup>688</sup> We tested for differences among the unscrambled continuous stimuli ("snake (predator)",

- <sup>689</sup> "crickets (prey)", "man writing", "mousecam", and "conspecifics") by fitting an LME model with <sup>690</sup> stimulus as a fixed effect and experimental session as a random effect (1me4 formula:
- 691 "differentiation ~ 1 + stimulus + (1 | session)").

#### 692 **4.9.2** Post hoc tests

- Post hoc one-sided *z*-tests of layer and area specificity were performed calling the glht function from the multcomp package in R on each LME model with contrasts between stimulus categories (unscrambled or scrambled) within each layer and area, respectively. P values were adjusted for multiple comparisons using the single-step method in multcomp (Hothorn et al., 2008).
- Post hoc two-sided *t*-tests for pairwise differences among the unscrambled continuous stimuli were performed with the emmeans function from the emmeans package in R ("emmeans(model, pairwise ~ stimulus"), with p values adjusted for multiple comparisons using Tukey's method (Lenth, 2020).

#### 701 4.9.3 Permutation tests

Permutation tests were performed for each experimental session to test whether spectral differentiation evoked by unscrambled stimuli was greater than that evoked by scrambled stimuli. We obtained a null distribution by randomly permuting the trial labels (unscrambled or scrambled) 20,000 times and computing the difference in mean spectral differentiation on unscrambled and scrambled trials for each permutation. P values were computed as the fraction of permutations for which the permuted difference was greater than the observed difference, and significance is reported at the level of  $\alpha = 0.05$ .

#### 709 4.10 Decoding analysis

- For each experimental session, we decoded stimulus category (unscrambled or scrambled)
- using linear discriminant analysis with the Python package scikit-learn (Pedregosa et al.,
- 2011). First, the responses to each category were concatenated to form a  $s \times (n \cdot t)$  matrix,
- where *s* is the number of stimulus presentation trials, *n* is the number of cells recorded, and *t* is
- the number of two-photon imaging samples in a single trial. To obtain a tractable number of
- features for linear discriminant analysis, we used PCA to reduce the dimensionality of the matrix
- such that the number of components *c* was sufficient to retain 99% of the variance along the
- rows, yielding an *s* × *c* matrix (sklearn.decomposition.PCA(n\_components=0.99)). This was then
- used to train a shrinkage-regularized LDA classifier with fivefold cross-validation
- 719 (sklearn.discriminant\_analysis.LinearDiscriminantAnalysis(solver='lsqr',
- shrinkage='auto')). We report the mean balanced accuracy score
- (sklearn.metrics.balanced\_accuracy\_score) on the heldout test data across cross-validation
- folds. Chance performance is 0.5.

For the analysis shown in Supplementary Figure 2, we used the same procedure as described

above, but the classifier was trained to decode stimulus identity rather than category; chance

performance is 1/12. For Supplementary Figure 3, we used the same procedure but trained the

classifier using only responses to the 5 continuous naturalistic stimuli, and classifier

performance was evaluated for each stimulus separately with the F1 score.

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#### 740 Competing interests

The authors declare no competing interests.

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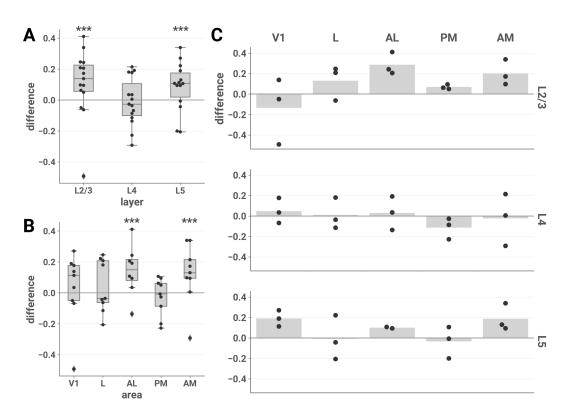
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#### Supplementary figures 930

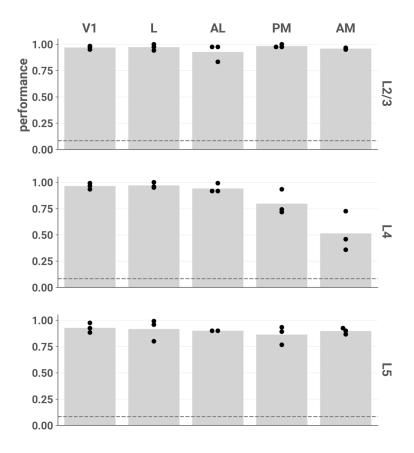
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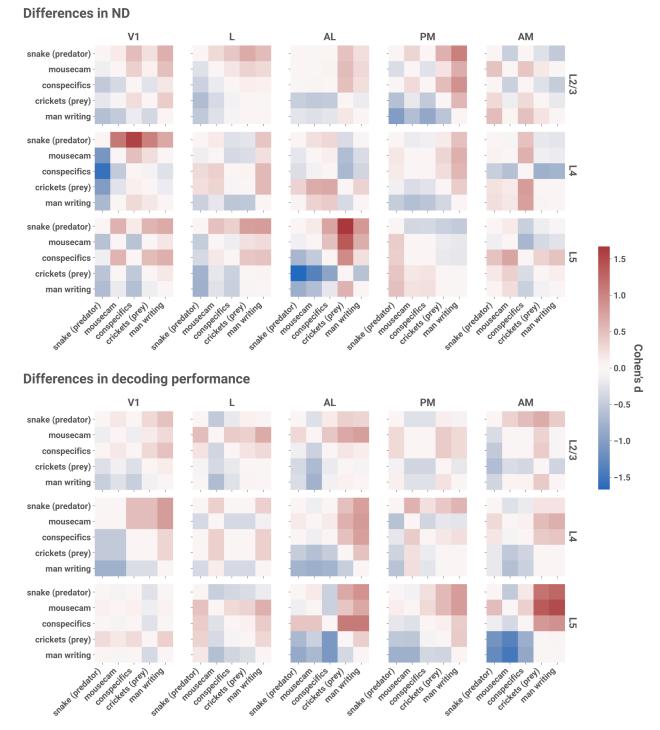
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Supplementary Figure 1. Naturalistic vs. artificial differences in ND across the entire stimulus set. The mean difference in ND of responses to all 8 naturalistic vs. all 4 artificial stimuli is plotted or each session by layer (A), area (B), and layer-area pair (C). Results are similar to the unscrambled vs. scrambled contrast shown in **Figure 2**. In this analysis, post hoc tests showed a significant effect also in L5; however, this contrast does not control for low-level stimulus characteristics and is thus harder to interpret. (A) We fit an LME model with stimulus category (naturalistic or artificial), layer, and their interaction as fixed effects and found a significant nteraction (likelihood ratio test,  $\chi^2(2) = 16.343$ , p = 0.000283). Post hoc one-sided z-tests (adjusted for multiple comparisons): L2/3, z = 4.974, p = 9.82e–7; L4, z = –0.450, p = 0.965; L5, z = 3.745, p = 0.000271. (B) We fit an LME model with stimulus category (naturalistic or artificial), area, and their interaction as fixed effects and found a significant interaction (likelihood ratio test,  $\chi^2(2)$  = 6.343, p = 0.000283). Post hoc one-sided z-tests (adjusted for multiple comparisons): V1, z = .207, p = 0.725; L, z = 1.523, p = 0.495; AL, z = 4.715, p = 1.21e–5; PM, z = -0.907, p = 0.896; AM, z = 4.249, p = 0.000107). (A) and (B): asterisks indicate significant post hoc tests in the layer (A) and area (B) interaction LME models (\*\*\*, p < 0.001). Boxes indicate quartiles; whiskers ndicate the minimum and maximum of data lying within 1.5 times the inter-quartile range of the 25% or 75% quartiles; diamonds indicate observations outside this range. (C) Mean values are ndicated by bars.



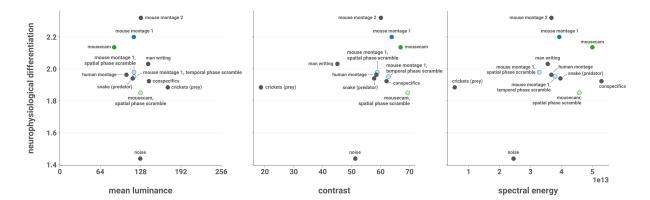
Supplementary Figure 2. Stimulus identity can be accurately decoded from most layers and
 areas using responses to all 12 stimuli. Each point represents the mean fivefold cross-validated
 balanced accuracy score of linear discriminant analysis performed on a single session (see
 Decoding analysis). Chance performance is 1/12, indicated by the dotted line.

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Supplementary Figure 3. Within-category differences in ND vs. within-category differences in ND
 decoding performance, by layer and area. Top: Cohen's *d* for pairwise mean differences in ND
 among naturalistic stimuli without jump cuts. Bottom: Cohen's *d* for pairwise mean differences in
 stimulus identity decoding performance. For each session, we trained a linear discriminant analysis
 classifier using only responses to these 5 stimuli; classification performance was evaluated as the
 mean fivefold cross-validated F1 score for each stimulus (see Decoding analysis).



Supplementary Figure 4. **ND vs. low-level stimulus characteristics.** ND is plotted against the mean luminance, contrast, and spectral energy of the stimuli. Mean luminance was computed as the average pixel intensity. Contrast was calculated as the standard deviation of pixel intensities. Spectral energy was computed as the sum of the energy spectral density of each pixel's intensity timeseries after removing the DC component.