

1 **Integrating thermodynamic and enzymatic constraints into genome-scale**
2 **metabolic models**

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14

15 **Abstract**

16 Stoichiometric genome-scale metabolic network models (GEMs) have been widely
17 used to predict metabolic phenotypes. In addition to stoichiometric ratios, other
18 constraints such as enzyme availability and thermodynamic feasibility can also limit
19 the phenotype solution space. Extended GEM models considering either enzymatic or
20 thermodynamic constraints have been shown to improve prediction accuracy. In this
21 paper, we propose a novel method that integrates both enzymatic and thermodynamic
22 constraints in a single Pyomo modeling framework (ETGEMs). We applied this
23 method to construct the EcoETM, the *E. coli* metabolic model iML1515 with
24 enzymatic and thermodynamic constraints. Using this model, we calculated the
25 optimal pathways for cellular growth and the production of 22 metabolites. When
26 comparing the results with those of iML1515 and models with one of the two
27 constraints, we observed that many thermodynamically unfavorable and/or high
28 enzyme cost pathways were excluded from EcoETM. For example, the synthesis
29 pathway of carbamoyl-phosphate (Cbp) from iML1515 is both thermodynamically
30 unfavorable and enzymatically costly. After introducing the new constraints, the
31 production pathways and yields of several Cbp-derived products (e.g. L-arginine,
32 orotate) calculated using EcoETM were more realistic. The results of this study
33 demonstrate the great application potential of metabolic models with multiple
34 constraints for pathway analysis and phenotype predication.

35

36 **Key words:**

37 Genome-scale metabolic network models, thermodynamics, enzymatic constraints,
38 *Escherichia coli*, pathway feasibility

39

40 **1. Introduction**

41 Constraint-based metabolic network modeling is a mathematical framework used to
42 analyze the feasible metabolic flux solution space through constrained optimization
43 methods (Bordbar et al., 2014). It has been widely used in genome-scale metabolic
44 network analysis to calculate the optimal synthesis pathways, as well as predict
45 growth phenotypes and modification targets for metabolic engineering or disease
46 treatment (Kim et al., 2012). Initially, only stoichiometric constraints and reaction
47 reversibility constraints were considered in a classical method called flux balance
48 analysis (FBA) (Orth et al., 2010). With the accumulation of enzyme kinetics data and
49 the availability of high-throughput omics data, it has become possible to incorporate
50 these data into the models to add boundary constraints for individual reactions or a
51 summarized constraint of enzyme resources (Liu et al., 2014). In 2007, the FBAwMC
52 model was constructed by introducing constraints of enzyme resources based on a
53 fixed cell volume (Beg et al., 2007). Subsequently, other integration methods of
54 protein resources were developed (Yang et al., 2018). There are two major trends in
55 the development of resource allocation models. One is the MOMENT (Adadi et al.,
56 2012) type models with only enzymatic constraints on the basis of GEMs, while the
57 other is ME (Lloyd et al., 2018) type models with more detailed description of cellular
58 processes, such as transcription and translation. In 2017, Sanchez et al. reported
59 GECKO (GEMs with Enzymatic Constraints using Kinetic and Omics data) method
60 and applied it in the construction of an enzymatic constraints model of
61 *Saccharomyces cerevisiae* (Sanchez et al., 2017). This method was soon extended and
62 applied in the construction of enzymatic constraints GEMs (ECGEMs) of other
63 species (Bekiaris and Klamt, 2020; Massaiu et al., 2019). By integrating k_{cat}
64 parameters for individual enzymes and total enzyme amount constraints, these models
65 can improve the simulation and prediction of biological phenomena, such as overflow

66 metabolism (Molenaar et al., 2009) and pathways switching (Chen and Nielsen,
67 2019).

68 In FBA models, certain reactions are set as irreversible by considering the
69 thermodynamic feasibility by introducing a zero-value constraint on upper/lower
70 bounds of a reaction. However, there are no clear criteria to determine whether a
71 reaction should be reversible or not, and reactions that are thermodynamically feasible
72 by themselves can form thermodynamically unfavorable pathways such as unlimited
73 ATP generation loops (Yuan et al., 2017). To address this problem, methods
74 combining thermodynamic constraints with GEMs have been developed to improve
75 the prediction accuracy (Soh and Hatzimanikatis, 2010). In 2007, Henry et al.
76 integrated thermodynamic constraints into the FBA calculation process and proposed
77 the TFMA method (Henry et al., 2007). Recently, Salvy et al. developed this method
78 into the pyTFA and matTFA toolkits (Salvy et al., 2019) and applied it to phenotypic
79 analysis in combination with the ME model (Salvy and Hatzimanikatis, 2020).
80 Reliable data on thermodynamic parameters of reactions is particularly important for
81 models with thermodynamic constraints (Du et al., 2018; Noor et al., 2012). In 2011,
82 Flamholz et al. developed the eQuilibrator, a biological thermodynamics calculator
83 that enables users to easily obtain thermodynamic parameters (Flamholz et al., 2012).
84 In 2014, Noor et al. introduced the concept of Max-min Driving Force (MDF) to
85 predict and optimize the thermodynamic bottleneck reactions in a pathway, and
86 integrated this function into the eQuilibrator website as a free tool (Noor et al., 2014).
87 Based on these studies, Hadicke et al. proposed the optMDFpathway method, which
88 can directly identify the optimal MDF (and hence the most thermodynamically
89 feasible) pathways in GEMs (Hadicke et al., 2018). Different from some workflows
90 such as Poppy (Asplund-Samuelsson et al., 2018), which requires defining the
91 pathway in advance and then evaluating its thermodynamic driving force, the
92 optMDFpathway method integrates the objects of MDF into the FBA solution process
93 and can therefore be directly applied to GEMs.

94 In this paper, we propose a novel method that integrates both enzymatic and
95 thermodynamic constraints into a single modeling framework, named ETGEMs. The

96 Python-based Pyomo modeling package (Hart et al., 2017; Hart et al., 2011) was used
97 to integrate multiple objects and constraints to satisfy the different expectations of the
98 optimal pathways, such as maximal yield, minimal enzyme cost and optimal
99 thermodynamic driving force. We applied this method to construct EcoETM, an *E.*
100 *coli* metabolic model with enzymatic and thermodynamic constraints based on the
101 iML1515 model (Monk et al., 2017). The simulation results indicated that the new
102 model can effectively reduce the solution space by excluding pathways that are
103 thermodynamically unfavorable or have high enzyme costs exceeding the available
104 resources. The integration of both thermodynamic and enzymatic constraints into a
105 genome-scale metabolic network model, the ETGEMs modeling framework, can be
106 applied to other organisms with available enzyme kinetics and reaction
107 thermodynamics data. The code for the construction and analysis of the model is
108 available at <https://github.com/tibbdc/ETGEMs>.

109

110 **2. Methods**

111 **2.1. Pretreatment of the initial model and data collection**

112 The *E.coli* iML1515 (Monk et al., 2017) model was selected as the initial model for
113 the integration of constraints and the range of reactions set for the collection of kinetic
114 parameters. All model construction and analysis was conducted using Python (version
115 3.6.5). The “convert_to_irreversible” function in the Cobrapy toolkit (version 0.13.1)
116 was used to split the reversible reactions, and an irre_iML1515 model was formed.
117 The newly divided reactions were named “original reaction ID_reverse”. The final
118 model contained 3375 one-way reactions, 663 of which were designated as
119 “_reverse”.

120 Collection of enzymatic parameters: The k_{cat} parameters are based on machine
121 learning predictions from databases performed by Heckmann et al. (Heckmann et al.,
122 2018). Among them, a small number of parameters were corrected in previous work
123 according to biomass and product synthesis. The protein subunit composition and
124 molecular weight data were downloaded from the EcoCyc database
125 (<https://ecocyc.org/>) (Karp et al., 2018). The value of total enzyme amount (e_pool),

126 0.228 g/gDW, was calculated based on protein abundance data in the PAXdb database
127 (<https://pax-db.org/>) (Wang et al., 2012) and intracellular protein content of g
128 protein/gDW (Bremer H and P, 1996). An average enzyme saturation value (σ) of 0.5
129 was used based on previous studies (Bennett et al., 2009; Sanchez et al., 2017). The
130 calculation of the enzymatic parameters was reported in a separate paper in detail
131 (<https://github.com/tibbdc/ECMpy>).

132 Collection of thermodynamic parameters: the biomass synthesis reactions (2) and
133 transport reactions (Hadicke et al., 2018) (1420) and exchange reactions (361) were
134 excluded first. Among the remaining 1592 reactions, we temporarily removed the 253
135 “_reverse” reactions. Therefore, the collection range of thermodynamic parameters
136 was reduced to 1339 reactions. The Gibbs energies of reactions were downloaded
137 from the eQuilibrator website (<http://equilibrator.weizmann.ac.il/download>). After
138 matching KEGG (used in eQuilibrator) and BIGG (used in iML1515) reaction IDs
139 and reaction directions, 586 $\Delta_r G_i^{\circ}$ parameters were determined. Then, by referring to
140 Table S5 in previous research (Hadicke et al., 2018), another 145 $\Delta_r G_i^{\circ}$ parameters
141 were added. In addition, 71 $\Delta_r G_i^{\circ}$ parameters were calculated using the eQuilibrator
142 calculator after manually matching KEGG reaction IDs by unifying reaction equations
143 (e.g. GLCS1: replacing “ADPglucose \rightleftharpoons ADP + Glycogen” with “ADPglucose +
144 0.25 H₂O \rightleftharpoons ADP + 0.25 Glycogen”) and metabolite names (e.g. MLTP1: replacing
145 “Maltopentaose” with “Cellopentaose”). Besides, 123 $\Delta_r G_i^{\circ}$ parameters were
146 estimated by referring to similar reactions that can be identified by the eQuilibrator
147 calculator. Among the resulting 925 reactions, 232 reactions had corresponding
148 “_inverse” reactions, and we assigned the $-\Delta_r G_i^{\circ}$ values to their “_inverse” reactions.
149 Finally, a total of 1157 $\Delta_r G_i^{\circ}$ values were obtained, and 435 reactions still lacked
150 $\Delta_r G_i^{\circ}$ parameters. All $\Delta_r G_i^{\circ}$ parameters are listed in Tables A-C (in Supplementary
151 file2), and supplementary methods (in Supplementary file1). For the $\Delta_r G_i^{\circ}$
152 calculated using eQuilibrator, the ionic strength was set to 0.1 M and the pH was set
153 to 7.5. The gas constant R was 8.31446 J mol⁻¹K⁻¹ (Flamholz et al., 2012) and the
154 temperature T was 310.15 K (37 °C), giving an RT value of 2.579 kJ/mol.

155

156 2.2. Setting the concentration range of metabolites

157 The concentration limits for all metabolites were set to 0.5 μM as lower bound and
 158 20 mM as upper bound (Bennett et al., 2009). The concentrations of CO_2 and O_2 were
 159 more strictly bounded to be in the ranges from 0.1 - 100 μM (Hadicke et al., 2018)
 160 and 0.5 - 200 μM (Baltazar Reynafarje et al., 1985; Murphy, 2009), respectively. The
 161 concentration ratios for ATP:ADP, ADP:AMP, NAD:NADH, NADPH:NADP and
 162 $\text{HCO}_3:\text{CO}_2$, were respectively fixed to 10:1, 1:1, 10:1, 10:1 and 2:1, based on the
 163 literature (Hadicke et al., 2018).

164

165 2.3. The principle of introducing constraints

166 Method for stoichiometric and flux balance constraint addition:

$$\mathbf{S} * \mathbf{r} = \mathbf{0} \quad (1)$$

$$r_{lb} \leq r_i \leq r_{ub} \quad (2)$$

167 where \mathbf{r} is the reaction flux, and \mathbf{S} represents the stoichiometric matrix
 168 (Orth et al., 2010).

169 A concise method for enzymatic constraint addition (Bekiaris and Klamt, 2020):

$$e_i = \frac{r_i \cdot MW_i}{\sigma \cdot k_{cat,i}} \quad (3)$$

$$\sum_{i=1}^n \frac{r_i \cdot MW_i}{\sigma \cdot k_{cat,i}} \leq e_{pool} \quad (4)$$

170 where e_i is the enzyme cost of a reaction flux r_i , MW_i is the molecular weight of
 171 enzyme i , and σ represents the average saturation of all enzymes.

172 Method for thermodynamic constraint introduction:

$$\ln(C_{i,min}) \leq x_i = \ln(C_i) \leq \ln(C_{i,max}) \quad (5)$$

$$x_i - x_j = \ln(h) \quad (6)$$

$$r_i \leq z_i \cdot r_{ub} \quad (7)$$

$$Df_i + (1 - z_i) \cdot K \geq Df_{min} \quad (8)$$

$$Df_i = -\Delta_r G'_i = -(\Delta_r G_i^\circ + RT \cdot \mathbf{S}_i^T \cdot \mathbf{x}) \quad (9)$$

$$Df_i \geq Df_{min} \quad (10)$$

$$Df_{min} \geq 0 \quad (11)$$

$$B = \max(Df_{min}) \quad (12)$$

173

174 where h is the concentration ratio of metabolites C_i and C_j , S_i^T is the transposed
 175 i -th reaction of the full stoichiometric matrix S . In order to realize the thermodynamic
 176 constraints only for the reactions involved in the pathway ($r_i > 0$), a binary variable
 177 z_i and a sufficiently large value K (Henry et al., 2007) must be introduced. In this
 178 work, the value of K was defined as $\max(Df_{i,max}) - \min(Df_{i,min})$. Due to the
 179 second law of thermodynamics, a pathway can only work if formula (11) is valid,
 180 When calculating the maximal thermodynamic driving force for implementing the
 181 MDF or optMDFpathway methods, it is necessary to set the lower bound of the
 182 driving force Df_i as B and turn it into an objective function.

183

184 2.4. Objective functions used in this work

185 Multiple objective functions were adopted in this work to calculate the optimal
 186 pathways satisfying different constraints, as listed in Table 1. In addition, other
 187 objective functions were also used for other analyses based on the constrained model,
 188 such as calculating the variability of metabolite and enzyme concentrations to identify
 189 the bottlenecks in the network. These objective functions are listed in Table 1 and
 190 Table S1 (in Supplementary file1).

191

192 **Table 1** Major objective functions used in the modeling framework.

Objects	Types	Constraints	Purposes
$r_{biomass}$ OR $r_{product}$	Maximize	$r_{substrate, ub}$, $epool$, x_i, lb , x_i, ub , h_i , Df_{min}	To solve the maximum synthesis rate r_i of biomass or product.
x_i	Maximize and Minimize	$r_{substrate, ub}$, $r_{product, lb}$, $epool$, x_i, lb , x_i, ub , h_i , Df_{min}	To calculate the variability of C_i to find the limiting metabolite.
Df_i	Maximize and Minimize	$r_{substrate, ub}$, $r_{product, lb}$, $epool$, x_i, lb , x_i, ub , h_i , Df_{min}	To calculate the variability of Df_i to find the bottleneck reaction.
$(r_i MW_i)/(\sigma k_{cat,i})$	Maximize and Minimize	$r_{substrate, ub}$, $r_{product, lb}$, $epool$, x_i, lb , x_i, ub , h_i , Df_{min}	To calculate the variability of enzyme usage to detect key enzymes.
$sum [(r_i MW_i)/(\sigma k_{cat,i})]$	Minimize	$r_{substrate, ub}$, $r_{product, lb}$, $epool$, x_i, lb , x_i, ub , h_i , Df_{min}	To calculate the minimum enzyme cost of pathways

B Maximize $r_{substrate, ub}, r_{product, lb}$ To calculate the MDF of pathways
 $epool, x_i, lb, x_i, ub, h_i$

193

194 **2.5. Tools for model construction and problem solving**

195 The Concrete model framework in the python-based Pyomo package (version 5.6.8)
196 was adopted to solve the constrained optimization problem. Gurobi solver (version
197 9.0.2) was used for the calculation of all the linear program (LP) and mixed integer
198 linear program (MILP) problems formulated in this work (Gurobi Optimization and
199 LLC, 2020).

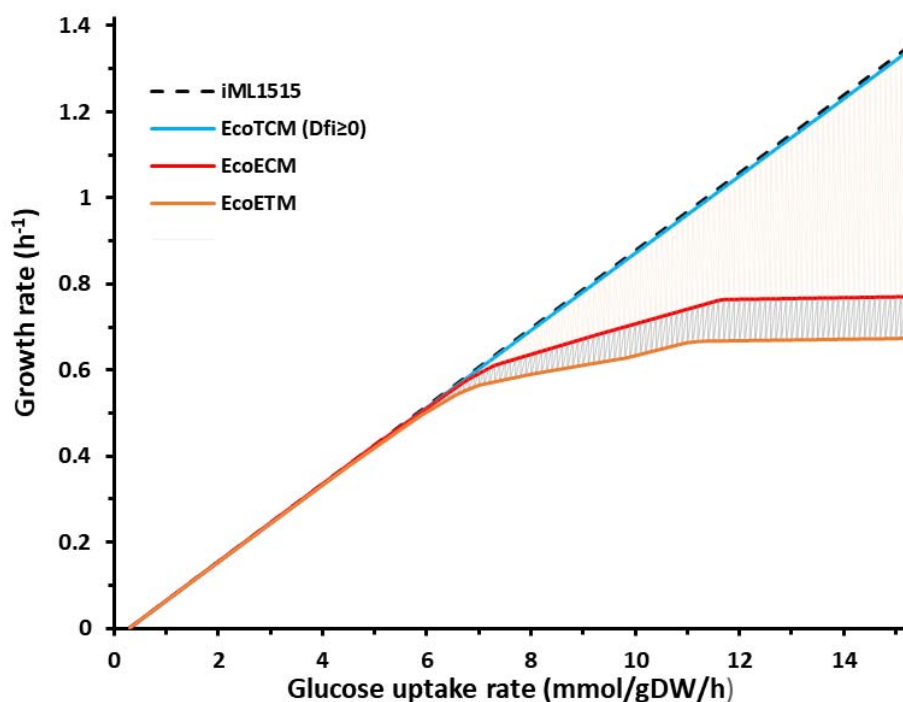
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201 **3. Results**

202 **3.1. The influence of different constraints on predicted growth rates**

203 In order to determine a proper K value for thermodynamic constraints according
204 to equation (8), we analyzed the Df_i variability for all the 1157 reactions with $\Delta_r G_i^\circ$
205 parameters in the irre_iML1515 model, and determined that a K value of 1249
206 kJ/mol is appropriate. At the same time, 24 thermodynamically unfavorable reactions
207 were obtained (Table D, $\max Df_i < 0$). Therefore, the 24 reactions cannot form feasible
208 pathways ($Df_i \geq 0$) predicted by EcoTCM and EcoETM. However, the results of flux
209 variability analysis (FVA) for the pathways with the maximum growth rate predicted
210 by the iML1515 model showed that the two thermodynamically unfavorable reactions
211 E4PD_reverse (catalyzed by erythrose 4-phosphate dehydrogenase) and CBMKr
212 (catalyzed by carbamate kinase) are involved in optimal pathways. Similarly, the two
213 thermodynamically unfavorable reactions DXYLTD_reverse (catalyzed by
214 D-xylonate dehydratase) and CBMKr, are necessary for pathways leading to the
215 maximum growth rate predicted by EcoECM. According to these results, the solution
216 space of iML1515 and EcoECM can be reduced by adding thermodynamic constraints
217 by only excluding individual thermodynamically unfavorable reactions.

218



219

220 **Fig. 1. The maximum growth rates predicted by different models.** iML1515 model
221 (black dotted line); EcoTCM (blue line); EcoECM (red line) and EcoETM (orange
222 line).

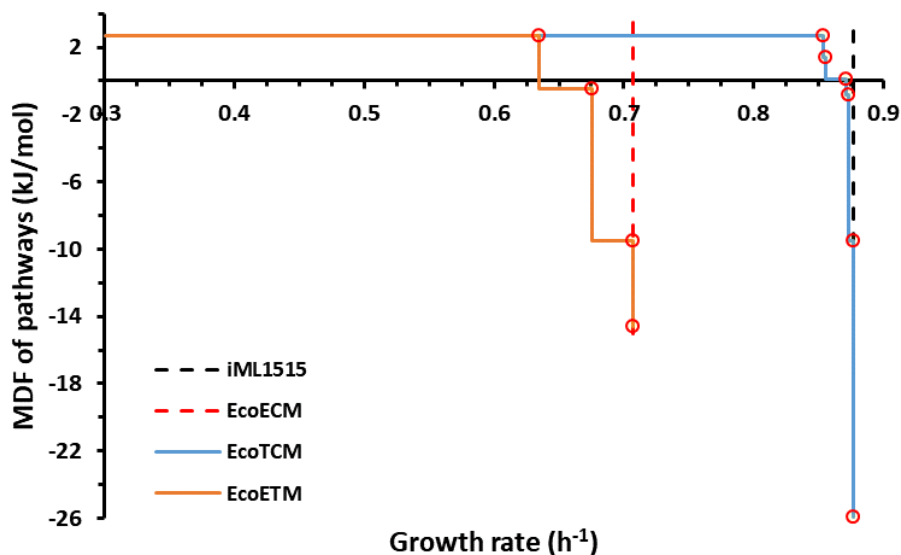
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224 Metabolic network models are often used to predict growth phenotypes and to
225 detect product synthesis pathways (Trudeau et al., 2018; Yang et al., 2019). The
226 integration of enzymatic and thermodynamic constraints into the GEMs is expected to
227 produce more biologically feasible results by reducing the process of subsequent
228 evaluation, screening and verification. Therefore, we further compared the optimal
229 growth calculated based on the iML1515 model and the models integrating these two
230 kinds of constraints separately and simultaneously. As shown in Fig. 1, integrating
231 thermodynamic constraints alone (EcoTCM) does not have any apparent effect on the
232 predicted growth rates, while enzymatic constraints had a more dramatic impact on
233 the predicted growth rates. Furthermore, they also amplify the effect of the
234 thermodynamic constraints as shown by the apparent differences between the results
235 of EcoETM and EcoECM. This indicates that more thermodynamically unfavorable
236 and enzyme costly pathways were excluded from the solution space by integrating

237 both constraints, resulting in more realistic pathway prediction. It should also be noted
238 that the growth rates are mainly constrained by substrate availability at low substrate
239 consumption rates. Therefore, the new constraints mainly affected the calculated
240 optimal growth rates at higher substrate consumption rates.

241 To verify the thermodynamic feasibility of the pathways from the models, we
242 calculated the MDF of pathways using the optMDFpathway method (Hadicke et al.,
243 2018), which required preset growth rates. We gradually increase the expected rate of
244 growth (by adjusting the lower bound of biomass synthesis reaction fluxes), and then
245 solved the MDF of the pathways before and after adding constraints. In Fig. 2, the
246 black dotted line indicates the maximum growth rate predicted by the iML1515 model
247 when the glucose uptake rate is set at 10 mmol/gDW/h. On this basis, the
248 optMDFpathway method was used to calculate the MDF distribution of biomass
249 synthesis pathways. The results revealed that in the whole feasible space of growth
250 rates (left side of the black dotted line), there is at least one thermodynamically
251 feasible pathway ($MDF \geq 0$) that can achieve the optimal thermodynamics ($MDF =$
252 $\max Df_i = 2.667$ kJ/mol). After introducing enzymatic constraints, the result showed a
253 similar trend that the MDF of pathways decreased gradually with the growth rate, and
254 the feasible space was reduced significantly, indicating that at high growth rates (such
255 as ≥ 0.63 /h), a certain number of pathways satisfying the enzymatic constraints are
256 not thermodynamically feasible.

257



258

259 **Fig. 2. The optimal thermodynamic driving force (MDF) of biomass synthesis**
260 **pathways under different constraints.** The maximum yields predicted by the
261 iML1515 (black dotted line), EcoTCM (blue line), EcoECM (red dotted line) and
262 EcoETM (orange line) models are shown. The points where the MDF suddenly
263 changes are circled in red.

264

265 3.2. Analysis of bottleneck reactions, limiting metabolites and key enzymes

266 One application of MDF is to identify the bottleneck reactions and limiting
267 metabolites, which in turn can help propose specific targets for pathway control and
268 optimization (Dash et al., 2019; Yang et al., 2019). On the other hand, ECGEM can
269 predict the optimal enzyme distribution, and thus discover the key enzymes in a
270 pathway as engineering targets (Zheng et al., 2017). As both the bottleneck reactions
271 and key enzymes depend on specific conditions (Trondle et al., 2020), we selected ten
272 turning points (Fig. 2, circled in red) to illustrate the analysis method of bottleneck
273 reactions, limiting metabolites and key enzymes in the ETGEMs framework and to
274 explore the possible reasons for the reduction of solution space by different
275 constraints in detail.

276 Specifically, we fixed the growth rate at the maximum value that can meet a MDF
277 (B value), and then performed Df_i variability analysis for the reactions constrained by
278 thermodynamics. Hence, we calculated the $\max Df_i$ and $\min Df_i$ of every reaction.
279 When both the growth rate and MDF are preset at maximum values, if the Df_i of a

280 reaction does not have variability ($\Delta Df_i = \max Df_i - \min Df_i = 0$) and is equal to the
281 B value, it is a bottleneck reaction (Hadicke et al., 2018). Then, the variability of x_i ,
282 which characterizes the metabolite concentration and the variability of enzyme costs,
283 was analyzed in the same way.

284 In the research of Hadicke et al., the reaction of CBMKr is thermodynamically
285 unfavorable and its stoichiometric relationship is controversial, so the reaction CBPS
286 catalyzed by carbamoyl-phosphate synthase was used to replace the CBMKr as the
287 only way to synthesize Cbp. It should be noted that when CBMKr is allowed to
288 participate in a pathway, the MDF of the pathway should be reduced to below -9.49
289 kJ/mol (Table D), as mentioned above. Because the enzyme efficiency of CBPS is not
290 considered in EcoTCM, the replacement will not have a particularly significant
291 impact on the growth rate. Similarly, the fact that CBMKr is thermodynamically
292 unfavorable is ignored in EcoECM, so it is allowed to participate in the biomass
293 synthesis process. However, when considering both the thermodynamic and
294 enzymatic constraints in EcoETM, CBMKr was excluded because of its poor
295 thermodynamics, and the problem of low efficiency of CBPS (Guillou et al., 1992)
296 was highlighted simultaneously. As shown in Table E (in Supplementary file2) and
297 Table 2, the CBPS reaction had the highest enzyme cost due to poor kinetic
298 parameters (accounting for 6.9% of the total enzyme cost of the whole pathway),
299 indicating that the replacement of the two reactions actually has a significant impact
300 on growth. It can be seen that the thermodynamic and enzymatic constraints offer two
301 different perspectives on the control steps of a pathway, so the key reactions
302 determined by the two approaches may be very different. Therefore, EcoETM can
303 anchor the thermodynamic bottlenecks and enzymatic key steps of a pathway more
304 effectively, which is conducive to the accurate and comprehensive optimization of a
305 pathway.

306 In addition, the reaction TPI is also a crucial reaction from both the thermodynamic
307 and enzymatic perspectives (Tables S2 and S4, in Supplementary file1). The higher
308 enzyme usage caused by high flux indicates its importance in the biomass synthesis
309 process. At the same time, it is a reversible reaction that is prone to reaching an

310 equilibrium state, and its product glyceraldehyde 3-phosphate (g3p) is also the
 311 substrate of another bottleneck reaction, GAPD (Table F, in Supplementary file2).
 312 Therefore, the concentration of g3p is strictly trapped. There is a close relationship
 313 between bottleneck reactions and limiting metabolites, and the sharing of metabolites
 314 among reactions is an important reason for the phenomenon of distributed bottleneck
 315 reactions (Hadicke et al., 2018; Mavrovouniotis, 1993), which suggests that we need
 316 to weigh the potential and comprehensive impact of bottleneck reactions when
 317 developing an optimization strategy according to an optimal distribution of metabolite
 318 concentrations.

319

320 **Table 2** Comparison of parameters for the two Cbp synthesis reactions

Reaction ID	Reaction Equation	k_{cat}/MW (reverse) $h^{-1} \cdot kDa^{-1}$	$d_r G'^0$ kJ/mol	Df_i range kJ/mol
CBPS	2.0 atp_c + gln__L_c + h2o_c + hco3_c --> 2.0 adp_c + cbp_c + glu__L_c + 2.0 h_c + pi_c	54.13	-13.4 ± 5.2	-21.65 ~ 105.48
CBMKr	atp_c + co2_c + nh4_c <=> adp_c + cbp_c + 2.0 h_c	4179.36 (1221.59)	19 ± 7.8	-81.96 ~ -9.49

321

322 Pathway evaluation performed in previous studies (Trudeau et al., 2018; Yang et al.,
 323 2019) indicated that although the theoretical maximum yield remains unchanged,
 324 many pathways should still be excluded due to criteria related to enzyme kinetics and
 325 thermodynamics. In section 3.1, the effect of thermodynamic constraints on reducing
 326 the yield space was not always apparent in Fig. 1. Because the number of solutions is
 327 more representative of the size of solution space than the maximum yield, the results
 328 do not necessarily indicate that thermodynamic constraints play a dispensable role in
 329 reducing the solution space. Based on this analysis, we can see that thermodynamic
 330 constraints can screen feasible pathways by either 1) determining the
 331 thermodynamically unfavorable reactions, thereby excluding all the pathways in
 332 which they are necessary, such as CBMKr, DXYLTD_reverse and E4PD_reverse, or 2)
 333 by eliminating reactions that are thermodynamically feasible in principle, but no
 334 longer meet the criteria due to shared limiting metabolites, which lead to distributed

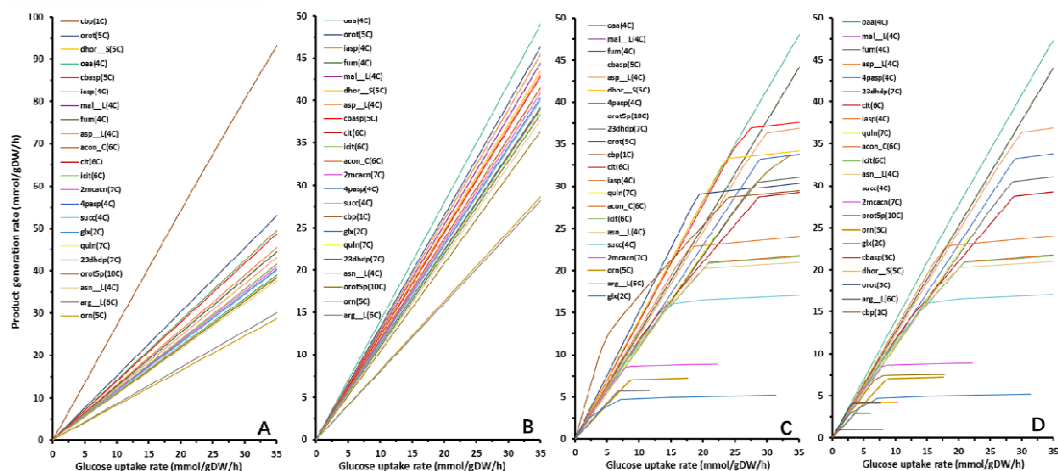
335 bottleneck reactions. For example, the simultaneous occurrence of PGCD, GAPD,
336 FBA, PGK and TPI precludes the feasibility of biomass synthesis (Tables E-G in
337 Supplementary file2).

338

339 **3.3. Analysis of products synthesis pathways using the four models**

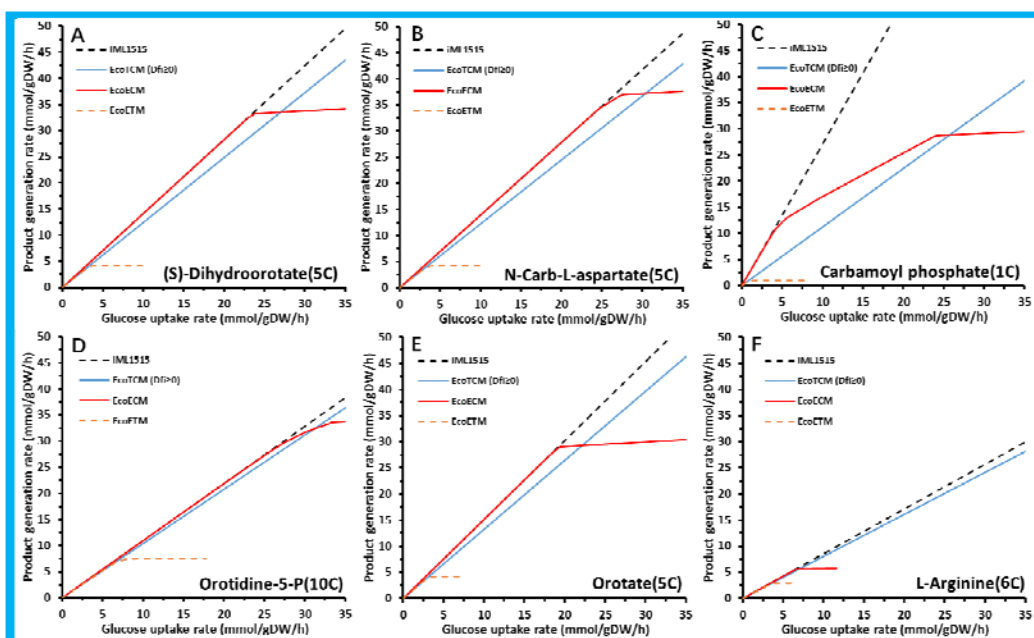
340 To further investigate the phenotypic prediction differences between models with
341 different constraints, we reanalyzed the pathways for the synthesis of 20 products
342 with the highest yield from glucose used in another study (Hadicke et al., 2018). The
343 prediction results of the iML1515 model show that Cbp is the product with the highest
344 yield. Since Cbp is an essential precursor of L-arginine (L-Arg), we also calculated
345 the yield of L-Arg and its other precursor, ornithine (Orn). As shown in Fig. 3A, the
346 calculated optimal product synthesis rates from iML1515 for the 22 products all had
347 linear relationships with the glucose uptake rate, and the synthesis rate of Cbp was
348 much higher than those of other products. As shown in Fig. 3B, with the addition of
349 thermodynamic constraints, the rate still increased linearly, but the synthesis rates for
350 Cbp, Orot, Dhor_S, Cbasp, Orot5p and L-Arg were lower than in iML1515 at the
351 same glucose uptake rates (also shown for individual products in Fig. 4). By
352 analyzing the MDF change curves of these products, we found that all the MDF
353 values of the maximum yield pathways predicted by iML1515 were -9.49 kJ/mol
354 (Figure B, in Supplementary file2) due to the participation of the bottleneck reaction
355 CBMKr. This very low value indicated that CBMKr is thermodynamically
356 unfavorable, and it was automatically excluded from the model with thermodynamic
357 constraints, generating more realistic pathway predictions than the iML1515 model
358 for Cbp-derived products such as Orot, Dhor_S, Cbasp, Orot5p and L-Arg.

359



360

361 **Fig. 3. The simulation results of 22 product synthesis rates based on various**
 362 **models. (A) iML1515; (B) EcoTCM; (C) EcoECM; (D) EcoETM.** The order of
 363 names in the legend is the same as the order of the final values of the production
 364 curves (from top to bottom). The molar amount of products was normalized based on
 365 glucose (6 C-atoms).
 366



367

368 **Fig. 4. The predicted synthesis rates for various products by various models. (A)**
 369 **(S)-Dihydroorotate (Dhor_S); (B) N-Carb-L-aspartate (Cbasp); (C) Carbamoyl**
 370 **phosphate (Cbp); (D) Orotidine-5-P (Orot5p); (E) Orotate (Orot); (F) L-Arginine**
 371 **(L-Arg).** The molar amount of products was normalized based on glucose (6
 372 C-atoms).
 373

374 In addition to these Cbp-derived products, the calculated maximum rate of
 375 oxaloacetate (Oaa) also decreased slightly (Figure A and Table H, in Supplementary

376 file2) in the models with thermodynamic constraints. After setting the Oaa synthesis
377 rate at the maximum value predicted by iML1515 and solving the MDF of the Oaa
378 synthesis pathway(s), an MDF of -0.632 kJ/mol was obtained (Figure B, in
379 Supplementary file2). Then, by analyzing the Df_i variability, the three reactions
380 FLDR2 (catalyzed by flavodoxin reductase), PFL (catalyzed by pyruvate formate
381 lyase) and POR5_reverse (catalyzed by pyruvate synthase) were identified as
382 distributed bottlenecks (Mavrovouniotis, 1993). Due to the shared metabolites
383 between the bottleneck reactions, the simultaneous participation of the three reactions
384 would preclude the thermodynamic feasibility of Oaa biosynthesis ($Df_i < 0$).

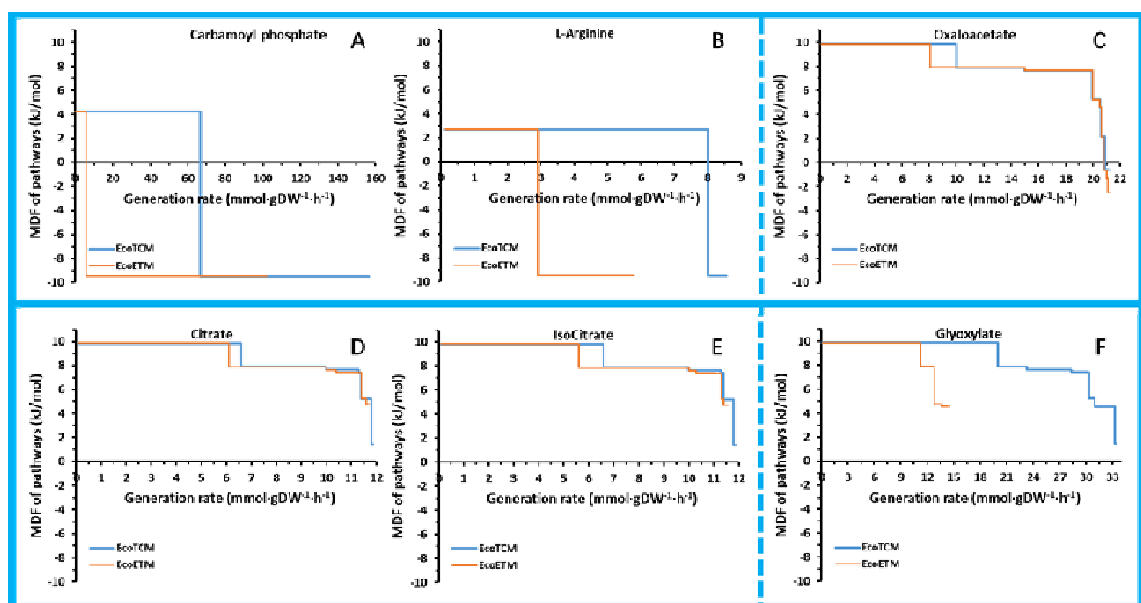
385 At low substrate uptake rates, the predicted synthesis rates are limited by substrate
386 availability and there is no difference in the rates from iML1515 (Fig. 3A) and those
387 from the enzyme constrained model (Fig. 3C). When the substrate uptake rate was
388 increased, the rate curves in Fig. 3C began to turn, indicating that the enzyme
389 availability starts to be a limiting factor, and the pathways with lower enzyme costs
390 need to be enabled to satisfy the enzymatic constraints. The minimum enzyme cost of
391 the optimal Cbp synthesis pathway calculated based on iML1515 was 65.63 mg
392 /mmol glucose/h). With a total enzyme constraint of 0.228 g enzyme/gDW, the
393 maximum rate of glucose uptake of this pathway was calculated to be 3.47
394 mmol/gDW/h. Therefore, at glucose uptake rates above this value, this high enzyme
395 cost pathway is gradually switched to new pathways with lower enzyme costs and
396 lower yields (Fig. 3C). When the glucose uptake rate was set to 1 mmol/gDW/h, the
397 Cbp synthesis flux was set to 15.67 mmol/gDW/h, and the total enzyme amount was
398 set to 133.25 mg/gDW, the minimum enzyme cost of reactions in the pathway showed
399 that AKGDH (catalyzed by 2-oxoglutarate dehydrogenase), GLCptspp (realized by
400 the PTS system) and CBMKr were the three reactions with the highest enzyme cost,
401 at 8.10, 5.50 and 3.75 mg/gDW, respectively. The main reasons for the high enzyme
402 cost were the high protein molecular weight (AKGDH, 2418.39 kDa), low k_{cat} value
403 (GLCptspp, 10.6 /s) and high flux demand (CBMKr, 15.67 mmol/mmol glucose),
404 respectively.

405 As shown in Fig. 3D, due to the integration of both thermodynamic and enzymatic

406 constraints, the synthesis rate of some products decreased significantly. Cbp decreased
407 from the highest rate predicted by the iML1515 model to the lowest one predicted by
408 EcoETM, showing the combined effect of the two constraints on the feasibility of
409 pathways and the great reduction of the solution space. By comparing Figs. 3A-D, it
410 can be seen that the integration of thermodynamic constraints (Fig. 3B) and enzymatic
411 constraints (Fig. 3C) did affect the prediction results of iML1515 (Fig. 3A) from
412 different perspectives. At the initial stage of glucose uptake, thermodynamic
413 constraints can change the yield and ranking order of product synthesis. When the
414 glucose uptake rate reaches a specific level, the enzyme amount becomes the limiting
415 factor, and the rate curves from EcoECM begin to show differences with those from
416 the iML1515 model. After integrating the two constraints in one model, many
417 unfavorable pathways were excluded from EcoETM, which led to a much smaller
418 solution space and more precise prediction of pathways. For example, the maximal
419 arginine synthesis rate of the thermodynamically feasible and low enzyme cost
420 pathways is actually quite low (is 4.36 mmol/gDW/h at the maximum glucose uptake
421 rate of 6 mmol/gDW/h) and significantly different from the results predicted by
422 iML1515 (the carbon yield reduced by 43.1%, Fig. 4F).

423 The MDF change in Fig. 5 clearly shows the switching of pathways under
424 thermodynamic constraints. Due to the participation of CBMKr, the MDF suddenly
425 drops in the synthesis process of Cbp (Fig. 5A), as well as its derivatives, such as
426 L-arginine (Fig. 5B). As can be seen in Fig. 5C, although there is no
427 thermodynamically unfavorable reaction in the Oaa synthesis pathway, the
428 simultaneous occurrence of 3 distributed bottleneck reactions, FLDR2, PFL and
429 POR5_reverse, nevertheless precludes the thermodynamic feasibility of the pathway.
430 It should be noted that by adjusting the threshold of MDF, i.e. by introducing a strong
431 thermodynamic constraint such as increasing the MDF threshold from 0 to 1 kJ/mol
432 (Trudeau et al., 2018), more pathways can be excluded from the solution space,
433 allowing the prediction of more thermodynamically feasible pathways (Fig. S1, in
434 Supplementary file1). In addition, by comparing Figs. 5D and E, it can be seen that
435 iML1515 and EcoTCM cannot distinguish the synthesis curves of citrate (Cit) and

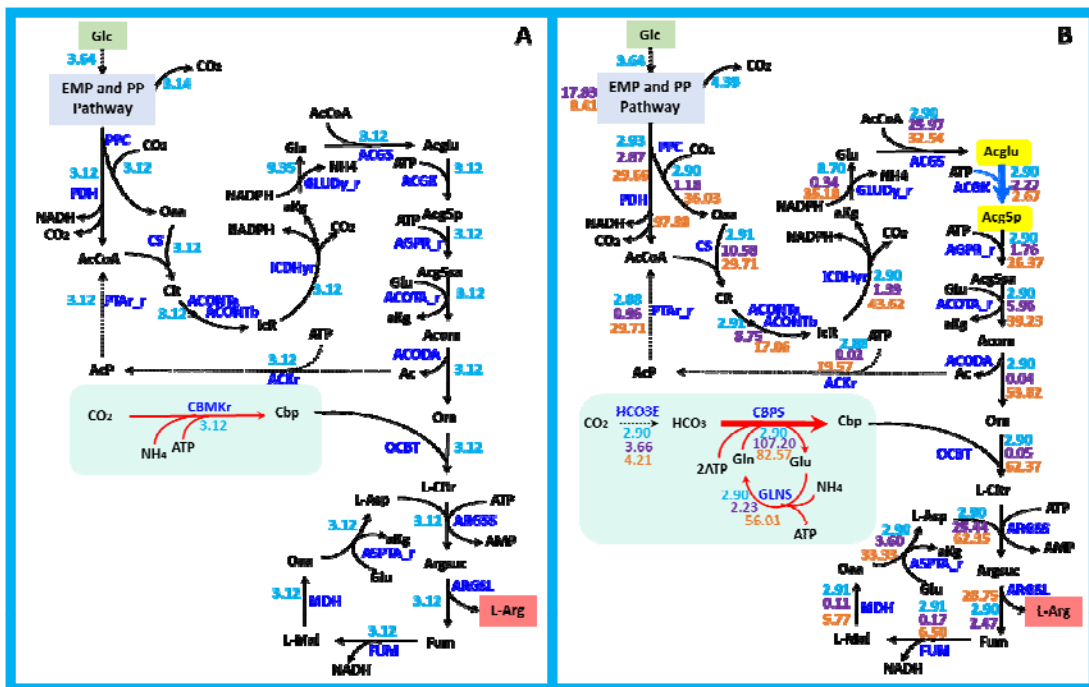
436 isocitrate (Icit), while EcoECM (including EcoETM) can distinguish them. The
437 synthesis of Icit from Cit requires an additional reaction catalyzed by aconitase
438 (consisting of the two half-reactions ACONTa and ACON Tb in the model), so more
439 enzyme is needed for the Icit synthesis process, leading to earlier pathway switching
440 than for Cit. However, the two reactions are not thermodynamic barriers and there is
441 no carbon and energy loss, so their production curves in EcoTCM and iML1515 are
442 identical.



443
444 **Fig. 5. The MDF of product synthesis pathways under different constraints. (A)**
445 **Carbamoyl phosphate; (B) L-Arginine; (C) Oxaloacetate; (D) Citrate; (E) Isocitrate;**
446 **(F) Glyoxylate.**

447
448 To further investigate the differences of the predicted optimal pathways from
449 iML1515 and EcoETM, we plotted the calculated L-Arg synthesis pathways from the
450 two models with a glucose uptake rate of 3.64 mmol/gDW/h (the turning point at
451 which the enzyme constraint becomes the limiting factor), as shown in Fig. 6. The
452 L-Arg production rates at this point were 3.12 mmol/gDW/h based on iML1515 and
453 2.90 mmol/gDW/h based on EcoETM. As can be seen in Fig. 6, the key difference is
454 in the Cbp production part. In the pathway obtained from iML1515, the CBMKr
455 reaction with high enzyme efficiency is used (Fig. 6A). By contrast, this reaction is
456 not in the pathway from EcoETM because it is thermodynamically unfavorable, and

457 the CBPS reaction with low carbon yield and low enzyme efficiency is used instead
 458 (Fig. 6B). This inevitably leads to high enzyme cost of the pathway and a small
 459 maximal production rate, which was significantly lower than that predicted by
 460 iML1515. This enzyme was therefore identified as an engineering target for
 461 improving arginine production. In addition, through the Df_i variability analysis, we
 462 also found a thermodynamic bottleneck reaction in the L-Arg synthesis pathway,
 463 catalyzed by acetylglutamate kinase (ACGK, its $\max Df_i$ is only 2.667 kJ/mol).
 464 Furthermore, ACGK is also the thermodynamic bottleneck for biomass synthesis, as
 465 described in 3.2 and 3.3. Its thermodynamic feasibility is likely to be highly
 466 dependent on ADP depletion reactions, such as pyruvate kinase (Vogel and McLellan,
 467 1970). Coupling between reactions is an important means to overcome the
 468 thermodynamic bottleneck for the engineering practice (Zhang et al., 2017).
 469



470
 471 **Fig. 6. Prediction of the L-arginine synthesis pathway by iML1515 (A) and**
 472 **EcoETM (B).** Shown are: the structural change of the pathway (light blue region); the
 473 reaction with the highest enzyme cost (red thick arrow); the thermodynamic
 474 bottleneck reaction (blue arrow); the limiting metabolite (yellow background); and the
 475 simplified pathways of EMP and PP (navy-blue background). The unit of the flux
 476 value is mmol/gDW/h (blue, on the top); the unit of the enzyme cost is mg/(mmol

477 glucose /h (purple, in the middle); and the unit of the maximum thermodynamic
478 driving force is in kJ/mol (orange, at the bottom).

479

480 **4. Discussion**

481 The development of ETGEMs benefits from the excellent biological basis and
482 mathematical modeling foundation of ECGEMs (Adadi et al., 2012; Sanchez et al.,
483 2017) and thermodynamic constraint models (Hadicke et al., 2018; Henry et al., 2007;
484 Salvy et al., 2019). In ETGEMs, enzyme restriction leads to a decrease of the
485 predicted maximum yield by excluding pathways with high enzyme costs.
486 Accordingly, the cells have to switch to new pathways to satisfy the enzymatic
487 constraint (Chen and Nielsen, 2019). The addition of thermodynamic constraints can
488 not only limit the feasibility of pathways, but also optimize the thermodynamic
489 feasibility of bottleneck reactions in the pathway by adjusting the concentration of
490 metabolites, and predict the MDF for a pathway. With the addition of thermodynamic
491 and enzyme constraints, ETGEMs strengthen the restriction of the feasibility of a
492 pathway to allow more realistic pathway prediction. It can also be used to identify
493 thermodynamic bottleneck reactions and low efficiency enzymes, and thus provide
494 guidance for pathway engineering.

495 Computational methods have been used to systematically design novel pathways in
496 recent studies. It is often necessary to screen pathways based on certain criteria to
497 choose the most promising pathways for experimental verification (Trudeau et al.,
498 2018; Yang et al., 2019). Pathway evaluation needs to integrate thermodynamic and
499 kinetic standards directly in the GEMs. Therefore, by integrating the dual constraints
500 into the GEMs, the thermodynamic and enzymatic cost of the pathway can be
501 calculated. Taking the L-Arg synthesis pathway as an example, in addition to flux
502 distribution, thermodynamic bottleneck reactions, limiting metabolites, enzyme cost
503 distribution and key enzyme information are also given. Therefore, the ETGEMs, if
504 combined with certain algorithms, are expected to be an effective tool for systematic
505 pathway design. In addition, the integration of thermodynamic constraints in the
506 reaction sets of a specified model can avoid the repetitive preparation of pathway
507 information.

508 The values of parameters such as $\Delta_r G_i^{\circ}$ and k_{cat} can greatly affect the prediction
509 results of a constrained model. In the construction of EcoETM, some standard
510 thermodynamic parameters were not successfully estimated due to the inconsistent
511 names of metabolites or the lack of KEGG reaction IDs in the iML1515 model.
512 Besides, in order to improve the coverage of the $\Delta_r G_i^{\circ}$ parameters, some
513 approximate $\Delta_r G_i^{\circ}$ values were obtained by neglecting the groups in the metabolites
514 that have not changed and do not have the evident role of thermodynamic promotion
515 (e.g. GPDDA2: replacing “Glycero-3-phosphoethanolamine + H₂O \rightleftharpoons
516 Ethanolamine + Glycerol 3-phosphate” with “Ethanolamine phosphate + H₂O \rightleftharpoons
517 Ethanolamine + Orthophosphate”), by referring to similar reactions with the same
518 group changes (e.g. GP4GH: replacing “GppppG + H₂O \rightleftharpoons 2 GDP” with “AppppA
519 + H₂O \rightleftharpoons 2 ADP”), or by replacing the metabolites that cannot be evaluated with
520 structural similarly metabolites (e.g. L_LACD3: replacing “Menaquinone 8” with
521 “Menaquinone”). This is a preliminary exploration of the possibility of parameter
522 reference between the reactions due to the similarity of the involved compounds and
523 changed groups. In fact, more accurate larger-scale enhancement of $\Delta_r G_i^{\circ}$ parameter
524 coverage will still depend on the resources of reactions and parameters available in
525 databases such as eQuilibrator, TECRDB (Goldberg et al., 2004) and KEGG, as well
526 as the combination of efficient methods, such as machine learning (Heckmann et al.,
527 2018). Researchers have made efforts to calibrate parameters by referring to the yield
528 and flux distribution of the biomass and product synthesis processes (Bekiaris and
529 Klamt, 2020), or reasonably narrowing metabolite concentration ranges according to
530 metabolomic data (He et al., 2020). The improvement of parameter accuracy and
531 coverage will increase the prediction efficiency, reduce the cost of result evaluation,
532 and contribute to the construction of powerful metabolic models of *E. coli* and other
533 species.

534

535 **5. Conclusions**

536 In this work, we developed a novel functional modeling framework for
537 genome-scale metabolic models with integrated enzymatic and thermodynamic

538 constraints, named ETGEMs. The pathway calculation results indicated that many
539 thermodynamically unfavorable and enzymatically costly pathways were excluded by
540 the new constraints, leading to more realistic pathway prediction. By comparing the
541 pathways from different models, the thermodynamic and enzymatic bottlenecks in the
542 pathways can be identified, providing new targets for directed evolution and
543 metabolic engineering.

544

545 **Acknowledgments**

546 This work was funded by the National Key Research and Development Program of
547 China (2018YFA0900300, 2018YFA0901400); the International Partnership Program
548 of Chinese Academy of Sciences (153D31KYSB20170121). We thank Elad Noor,
549 Steffen Klamt, Axel von Kamp for providing more details about their work. We thank
550 David Heckmann for sharing EC number file with us. We thank Dr. Chaoyou Xue in
551 revising the manuscript.

552

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