1	Subunit Vaccine-Elicited Effector-Like Memory CD8 T Cells Protect Against		
2	Listeriosis		
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8	Running Title: CD8 T Cell-Based Subunit Protein Vaccine Against Listeria		
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20 Abstract

Development of T-cell-based subunit protein vaccines against diseases, such as AIDS, 21 tuberculosis and malaria remains a challenge for immunologists. Here, we have 22 evaluated whether cross-presentation induced by nanoemulsion adjuvant Adjuplex (ADJ), 23 can be combined with the immunomodulatory effects of TLR agonists (CpG or 24 25 glucopyranosyl lipid adjuvant [GLA]) to evoke protective systemic CD8 T cell-based immunity to Listeria monocytogenes (LM). Vaccination with ADJ, alone or in combination 26 with CpG or GLA augmented activation and antigen uptake by migratory and resident 27 28 dendritic cells and up-regulated CD69 expression on B and T lymphocytes in draining lymph nodes. By virtue of its ability to engage BATF3-dependent cross-presenting DCs, 29 ADJ potently elicited effector CD8 T cells that differentiated into a distinct subset of 30 granzyme B-expressing CD27^{LO} effector-like memory CD8 T cells, which provided highly 31 effective immunity to LM in spleen and liver. CpG or GLA alone did not elicit effector-like 32 memory CD8 T cells and induced moderate protection in spleen, but not in the liver. 33 Surprisingly, combining CpG or GLA with ADJ limited the magnitude of ADJ-induced CD8 34 T cell memory and compromised protective immunity to LM, especially in the liver. Taken 35 36 together, data presented in this manuscript provides a glimpse of protective CD8 T cell memory differentiation induced by a nano-emulsion adjuvant and demonstrates the 37 38 unexpected negative effects of TLR signaling on the magnitude of CD8 T cell memory 39 and protective immunity to listeriosis.

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42 Importance

To date, the most effective vaccines primarily provide protection by eliciting neutralizing 43 antibodies, while development of T-cell-based subunit vaccines against infectious 44 diseases, such as tuberculosis and malaria, remains a challenge for immunologists. 45 Axiomatically, engagement of multiple innate immune receptors early in the response 46 47 might be key to programming effective immunity. Hence, there is an impetus to develop combination adjuvants that engage multiple innate signaling pathways and additionally 48 promote cross-presentation to stimulate CD8 T-cell immunity. Here, we show that a nano-49 50 emulsion adjuvant ADJ alone elicits effector-like memory CD8 T cells and provides highly effective immunity to listeriosis; combining ADJ with TLR agonists, including CpG and 51 GLA, compromised T cell immunity to LM. In summary, this study provided fundamental 52 insights into the effects of combining innate immune signaling with nano-emulsion 53 adjuvants on memory T cell differentiation and protective immunity. These findings are 54 expected to have implications in the use of combination adjuvants to develop subunit 55 vaccines that engender systemic CD8 T-cell immunity to intracellular pathogens. 56

58 Introduction

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Vaccination is a time-tested strategy to control infectious diseases. According to the 60 World Health Organization, there are currently at least 26 vaccines approved for human 61 use. Vaccines are broadly categorized into two types: (A) replicating vaccines (e.g. live 62 63 attenuated vaccines); (B) non-replicating vaccines (inactivated or subunit vaccines)(1). Live-attenuated vaccines are highly immunogenic and trigger balanced humoral and cell-64 mediated immunity (2). However, their use is contraindicated in immune-compromised 65 66 individuals and in pregnancy and there are serious safety concerns regarding adverse events and reversion to virulence (1),(3),(4-6). Highly purified or recombinant subunits of 67 pathogens are poorly immunogenic, which necessitates the use of adjuvants to enhance 68 the immunogenicity of protective antigens in the vaccine (2, 7). Despite decades of 69 vaccine research, very few adjuvants are licensed for use in humans (2, 7-9). Unlike live-70 attenuated vaccines, current inactivated and subunit vaccines formulated with the 71 licensed adjuvants often confer a shorter duration of immunity, induce antibody biased 72 responses, require multiple immunizations to maintain protective immunity, and trigger 73 74 poor T_H1 /CD8 T cell memory (2, 7, 8, 10). A major goal of vaccine development is to identify adjuvants that mimic the immunogenicity and durability of live vaccines. There is 75 76 emerging consensus that concomitant engagement of multiple innate signaling pathways 77 is a prerequisite to program durable and potent antibody and T cell responses (2).

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Adjuvants can be described by two key features: antigen delivery enhancement (e.g. alum,
 emulsion, liposome) and immune potentiation (Toll-like receptor [TLR] agonists, such as

monophosphoryl lipid A [MPL]) (11). Adjuvants and adjuvant combinations consisting of
a delivery system and an immune potentiator synergistically enhance antibody and T cell
responses (11). T cells have been implicated in protection against varicella,
cytomegalovirus, and influenza in humans (2), and there is emerging consensus that
protection against infections, such as AIDS, tuberculosis and malaria, requires antibodies,
memory CD4 T cells and CD8 T cells (12-15). Therefore, it is critical to identify adjuvant
strategies that engender balanced antibody and T cell immunity.

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Carbopol polymers (also known as carbomers) are polymers of acrylic acid (16-19) with 89 immune modulating properties (20, 21). Adjuplex (ADJ; Advanced Bioadjuvants) is a 90 91 nano-emulsion adjuvant composed of carbomers and highly purified soy lecithin. Carbomer-based adjuvants have shown great promise in veterinary vaccines (22, 23), in 92 stimulating neutralizing antibodies against HIV and malaria antigens (24, 25), and also in 93 experimental vaccines against influenza virus in mice (26-29). Combination adjuvants 94 provide effective T cell-based immunity to influenza A virus (29), but is unknown whether 95 vaccines formulated in ADJ can provide T-cell-based protection against systemic 96 infections. 97

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In this study, we tested whether combining ADJ with clinically tested TLR agonists, CpG or glucopyranosyl lipid A (GLA), potentiated the adjuvanticity of ADJ to elicit effective T- cell-based immunity to the intracellular pathogen, *Listeria monocytogenes*. Adjuvants alone or in combination elicited strong innate responses in draining lymph nodes (DLNs),

including the activation and engagement of migratory and resident DCs. ADJ effectively activated and expanded antigen-specific effector CD8 T cells to model protein antigen chicken ovalbumin (OVA) by mechanisms dependent upon BATF3-dependent cross-presenting DCs In vivo. Notably, effector CD8 T cells elicited by ADJ alone or in combination with CpG or GLA, differentiated into a distinct granzyme B-expressing memory T cell subset termed effector-like memory CD8 T cells. Unexpectedly, combining CpG or GLA with ADJ compromised ADJ-induced protective immunity against listeriosis by limiting the number of memory CD8 T cells and the magnitude of recall T cell responses to listeria challenge. These findings highlight the consequences to the use of combination adjuvants in eliciting effective CD8 T cell-based systemic immunity to intracellular pathogens.

125 **RESULTS**

126 Combination adjuvants recruit and activate innate and adaptive cells in vaccine-

127 draining lymph node

We tested whether combining TLR agonists GLA or CpG alone or with adjuplex affected 128 the innate cellular response following vaccination in the footpad. At 24 hours after 129 vaccination, there was a 8- to 10-fold increase in cellularity in popliteal lymph node, the 130 draining lymph node (DLN), in comparison to DLN of mice vaccinated with control PBS 131 or OVA (Fig. 1A). Further analysis of immune cell subsets (Fig. S1) revealed a 132 substantive increase in the accumulation of monocytes, neutrophils, XCR1⁺ CD103⁺ 133 migratory DCs, CD8 α^+ /CD8 α^- resident DCs, CD4 T cells, CD8 T cells, and B cells in the 134 DLN (Fig. 1B), following vaccination with all adjuvants, as compared to no adjuvant 135 control. There were no significant differences in the number of B or T cells between 136 various adjuvant groups. Notably, GLA alone elicited fewer monocytes, migratory DCs, 137 138 and lymphoid DCs, in comparison to ADJ, CpG, ADJ+CpG and ADJ+GLA groups. ADJ+CpG recruited the greatest number of neutrophils, among all adjuvants (Fig. 1B). 139

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To further assess the immunostimulatory effects of various adjuvants on DCs, we measured cell surface expression of co-stimulatory molecules CD80 and CD86. At 24 hours post-vaccination, XCR1⁺ CD103⁺ migratory DCs (mDCs) and CD8 α^+ tissueresident DCs (rDCs) in DLNs of all vaccinated mice displayed significantly (P<0.05) increased expression of CD80 and CD86 (**Fig. 1C-D**), but ADJ appears to be the strongest activator of CD80/CD86 levels in mDCs and rDCs. Exposure to an inflammatory

milieu is known to downregulate the expression of transcription factor Kruppel-like factor 2 (KLF2) in innate myeloid cells (30). Therefore, as a measure of the effect of vaccineelicited inflammatory response on DCs, we quantified KLF2 expression levels in innate immune cell populations using KLF2-GFP reporter mice. We found that KLF2 expression in XCR1⁺ CD103+ migratory DCs was strongly downregulated by ADJ, as compared to CpG and GLA (**Fig. 1E**). However, KLF2 downregulation in CD8 α^+ resident DCs was uniformly induced by all adjuvants (**Fig. 1F**).

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Next, we measured the effect of adjuvants on CD4 and CD8 T cells, and B cells in DLNs by measuring the expression of the early activation marker CD69. Within 24 hours of vaccination, all adjuvants triggered higher levels of CD69 expression on B cells, and CD4/CD8 T cells in DLNs, as compared to OVA group (**Fig. 1G-H**). Note the additive effect of combining ADJ and CpG in inducing CD69 expression on T cells. Together, our data strongly suggested that ADJ, CpG, and GLA effectively recruit and/or activate conventional DCs and T cells in DLNs.

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ADJ and TLR agonists enhance antigen uptake by various innate immune cells in vivo

We explored whether various adjuvants affected antigen uptake and activation of APCs in DLNs by vaccinating mice with Alexa Fluor 647-labeled OVA formulated with various adjuvants. At 24 hours after vaccination, all adjuvants significantly augmented the number of OVA-647⁺ cells in DLNs (**Fig. 2A-B**). We also found that innate immune cells, including

169	monocytes, neutrophils, XCR1 ⁺ CD103 ⁺ mDCs and CD8 α ⁺ rDCs, internalized antigen in
170	vaccinated mice (Fig. 2C). Taken together, data in Fig. 1 and 2 suggested that ADJ and
171	TLR-based adjuvants augmented varying levels of leukocyte recruitment, DCs' activation
172	and antigen-containing DCs in DLN.

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174 Effect of TLR agonists on ADJ-driven DC cross-presentation in vitro and the role 175 of BATF3 on ADJ-induced CD8 T cell responses in vivo

We have previously demonstrated that ADJ enhanced antigen cross-presentation by DC-176 like cells in vitro (28); it was of interest to investigate whether inclusion of TLR agonists 177 synergistically augmented ADJ-induced DC cross-presentation in vitro. To this end, 178 BMDCs were treated with OVA formulated in ADJ, CpG, GLA, ADJ+CpG or ADJ+GLA. 179 Subsequently, BMDCs were evaluated for their capacity to activate SIINFEKL-specific 180 181 B3Z T cell hybridoma cells using a reporter assay (31, 32). ADJ-treated BMDCs potently activated β-gal production in B3Z cells, whereas BMDCs treated with CpG or GLA failed 182 to activate B3Z cells to levels greater than in OVA-stimulated BMDCs (Fig. S2). Cross-183 184 presentation by BMDCs treated with ADJ+CpG or ADJ+GLA was comparable to that in ADJ-treated BMDCs. Hence, TLR agonists failed to further augment ADJ-induced cross-185 186 presentation by DCs, in vitro.

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BATF3-dependent DCs are required for effective cross-presentation and activation of CD8 T cells *in vivo (33)*. To evaluate the requirement for BATF3-dependent DCs in crosspriming CD8 T cells by ADJ, we immunized wild type (WT) and BATF3-deficient (BATF3⁻

^{/-}) mice subcutaneously (SQ) with OVA formulated in ADJ. At day 8 after vaccination, we enumerated OVA SIINFEKL-specific CD8 T cells in spleen. We found that BATF3 deficiency abolished the activation and expansion of SIINFEKL-specific CD8 T cells in spleen, which suggested that BATF3 is required for ADJ-driven CD8 T cell responses to subunit vaccines (**Figure 2D**).

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Because we have observed increased numbers of antigen-containing monocytes in DLNs 197 198 of mice vaccinated with various adjuvants, we next interrogated whether monocytes are required in cross-priming CD8 T cells by ADJ-based subunit protein vaccines. To this end, 199 we vaccinated cohorts of WT and CCR2-deficient (CCR2-/-) mice SQ with ADJ+OVA, 200 201 and quantified OVA SIINFEKL-specific CD8 T cells in spleens at day 8 after immunization. CCR2 deficiency did not significantly affect the accumulation of SIINFEKL-specific CD8 202 T cells in spleens, suggesting that monocytes were not required for ADJ-driven antigen 203 cross-presentation and/or expansion of CD8 T cells in vivo (Figure 2E). 204

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206 Differentiation of effector CD8 T cells in spleen following vaccination with 207 ADJ/TLR-agonist-based combination adjuvants

To investigate whether combination adjuvants differed in terms of the magnitude and nature of the CD8 T cell response, we vaccinated mice SQ twice (21 days apart) with OVA formulated in ADJ, CpG, GLA, ADJ+CpG or ADJ+GLA. At day 8 after boost, we quantified OVA SIINFEKL-specific CD8 T cells in spleens (**Fig. 3A**). Frequencies and numbers of SIINFEKL-specific CD8 T cells in spleen of ADJ groups were significantly

(P<0.05) higher than in CpG or GLA groups. Notably, CpG and GLA failed to further
enhance ADJ-induced splenic CD8 T cell responses.

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We quantified CD127 and KLRG-1 expression to determine the differentiation state of 216 SIINFEKL-specific effector CD8 T cells as: short-lived effector cells (SLECs; 217 CD127^{LO}/KLRG-1^{HI}), memory precursor effector cells (MPECs; CD127^{HI}/KLRG-1^{LO}) and 218 transition effector cells (TEs; CD127^{Hi}/ KLRG1^{Hi}) in spleens of vaccinated mice (Fig. 3B). 219 ADJ and CpG induced the highest levels of KLRG-1 expression, as compared to GLA 220 alone, with no significant differences in CD127 expression between groups. Consequently, 221 the relative proportions of CD127^{Hi}/KLRG-1^{Hi} TEs were higher in ADJ and CpG groups, 222 223 as compared to the GLA group. Combining ADJ with CpG reduced the percentages of MPECs, as compared to CpG alone. In comparison to GLA mice, the combination of ADJ 224 and GLA enhanced the percentages of TEs, at the expense of MPECs in the GLA group. 225 Similar to KLRG-1 expression, ADJ was linked to elevated expression of CX3CR1 in 226 SIINFEKL-specific effector CD8 T cells (Fig. 3C). The high-level induction of KLRG-1 and 227 CX3CR1 by ADJ was not linked to significant (P<0.05) alterations in the expression of 228 transcription factors T-bet, EOMES or BATF (Fig. 3D). In summary, ADJ appeared to 229 promote greater terminal differentiation of effector CD8 T cells in vaccinated mice than 230 other tested adjuvant combinations. 231

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Next, we questioned whether different combinations of adjuvants affected the functionality
 of effector CD8 T cells. ADJ and TLR agonists induced readily detectable levels of the

effector molecule granzyme B, and combining CpG or GLA with ADJ tended to promote granzyme expression, especially compared to GLA group (**Fig. 3D**). SIINFEKL-specific CD8 T cells induced by all adjuvants exhibited polyfunctionality and produced both IFN- γ and TNF- α , upon antigenic stimulation *ex vivo*. The differences in the frequencies of cytokine-producing CD8 T cells among different groups reflect varying frequencies of antigen-specific CD8 T cells (**Fig. 3E**).

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242 Effector-like CD8 T-cell memory in mice vaccinated with combination adjuvants

243 40 days after booster vaccination, we quantified and characterized SIINFEKL-specific memory CD8 T cells in spleens. Spleens of mice vaccinated with ADJ alone contained 244 significantly (P<0.05) higher frequencies and numbers of SIINFEKL-specific memory CD8 245 T cells, than in other groups (Fig. 4A). Strikingly, spleens of mice vaccinated with ADJ, 246 ADJ+CpG and ADJ+GLA were enriched for KLRG-1^{HI}/CX3CR-1^{HI}/CD27^{LO}/granzyme B^{Hi} 247 SIINFEKL-specific memory CD8 T cells, that are reminiscent of effector-like memory CD8 248 249 T cells described by Jameson's group (34) (Fig. 4B-E). These data suggested that ADJ drove the differentiation of effector-like memory CD8 T cells following vaccination; 250 combining CpG or GLA with ADJ might have dampened the number of memory CD8 T 251 252 cells in spleen. We next assessed the ability of memory CD8 T cells to produce cytokines, upon ex vivo antigenic stimulation. A substantive proportion of CD8 T cells in spleens 253 from the ADJ group produced both IFN- γ and TNF- α , upon stimulation with the SIINFEKL 254 peptide (Fig. 4F). Thus, ADJ promoted the differentiation of highly functional effector-like 255 256 SIINFEKL-specific memory CD8 T cells in spleens of vaccinated mice.

257 Addition of TLR agonists compromises ADJ-induced CTL immunity to listeriosis

258 To assess CD8 T cell memory-dependent protection against listeriosis, we vaccinated 259 mice with OVA formulated in aforementioned adjuvants. Forty days after booster 260 vaccination, mice were challenged with virulent recombinant Listeria monocytogenes expressing OVA (LM-OVA). On the 5th day after LM-OVA challenge, we quantified 261 262 bacterial burden in spleens and liver, and recall T cell responses in spleens. High titers of listeria were detected in the spleens and livers of mice that were unvaccinated or 263 vaccinated with OVA (Figs. 5A-B). Tissues of ADJ-vaccinated mice contained the lowest 264 265 bacterial burden and provided the most effective protection in spleen and liver, as compared to unvaccinated and other adjuvant groups. Protections afforded by CpG, GLA, 266 ADJ+CpG and ADJ+GLA vaccination were comparable in spleen, but significantly (P< 267 0.05) better than in OVA group. However, vaccination with CpG, GLA, ADJ+CpG or 268 ADJ+GLA failed to control listeria burden in the liver. Notably, associated with better 269 bacterial control, SIINFEKL-specific memory CD8 T cells in ADJ mice displayed the 270 strongest recall responses and expressed lowest granzyme B levels, as compared to 271 other adjuvant groups (Figs. 5C-F). Thus, combining CpG or GLA with ADJ compromised 272 273 ADJ-induced T-cell based protective immunity to listeriosis, particularly in the liver.

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277 Discussion

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Establishment of durable and protective memory T cells remains an unrealized goal of 279 developing vaccines against infectious diseases that require T-cell based immunity, 280 including HIV/AIDS, malaria, and tuberculosis (13-15, 35). Studies of live attenuated 281 282 yellow fever vaccine suggest that engagement of multiple innate immune receptors during the very early phase of the immune response might be essential for programming durable 283 immunity to vaccinations (36, 37). Using ADJ, a polyacrylic acid-based nano-emulsion 284 285 adjuvant that is known to induce neutralizing antibodies against HIV and malaria (22-25). we have probed whether combining ADJ with TLR agonists enhanced T cell-based 286 vaccine immunity to listeriosis and defined the differentiation state and the phenotypic 287 and functional attributes of antigen-specific memory CD8 T cells induced by combination 288 adjuvants. 289

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It is widely believed that elicitation of less differentiated central memory CD8 T cells that 291 have high proliferative capacities are crucial for enduring T-cell immunity (38). 292 293 Interestingly, however, Olson et al. reported the existence of protective effector-like memory CD8 T cells, which display constitutive cytolytic activity but limited proliferative 294 potential in listeria-immune mice. Such effector-like memory CD8 T cells exhibited 295 296 phenotypic attributes of terminal differentiation, including high levels of KLRG-1 and diminished levels of CD27, but provided the most effective systemic protection against 297 listeria. The induction of such effector-like memory CD8 T cells by adjuvanted vaccines 298 299 has not been reported to date. Unexpectedly, we find that ADJ-based vaccines potently

effector-like 300 induced differentiation of memorv CD8 Т cells (KLRG1^{HI}/CX3CR1^{HI}/CD43^{HI}/CD127^{HI}/CD27^{LO}/Granzyme-B^{HI}) in spleen, while GLA or 301 CpG did not. According to the linear model of memory T cell differentiation, activated T 302 cells progress towards terminal differentiation and lose their memory potential as a 303 function of the cumulative strength of antigenic stimulation and the degree of inflammation 304 305 (38). It is unknown where effector-like memory CD8 T cells emerge from in the spectrum of T cell differentiation. We theorize that effector-like memory CD8 T cells emerge from 306 an intermediate state of differentiation that give to rise to effector memory cells and 307 308 terminal effector cells. It will be interesting to test whether this distinct hybrid state is a sequel to an epigenetically imprinted constitutive effector program in conjunction with a 309 CD127-driven IL-7-dependent survival program. 310

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How ADJ promotes the differentiation of effector-like memory CD8 T cells remains 312 unknown. Since effector-like memory CD8 T cells are induced during listeriosis (34), we 313 speculate that the immunological milieu and/or the strength of antigenic stimulation 314 experienced by responding CD8 T cells following ADJ-based vaccination mimics listeria 315 infection, leading to the programming of effector-like memory CD8 T cells. All adjuvants 316 induced comparable levels of antigen-containing innate cells in vivo; combining CpG or 317 318 GLA with ADJ did not enhance or negatively affect ADJ-driven DC cross-presentation in vitro. However, one of the notable observations was that ADJ drove the highest 319 expression of co-stimulatory molecules, including CD80 and CD86, and induced the most 320 321 potent inflammatory response, as evidenced by downregulation of KLF2 expression, in XCR1⁺ CD103⁺ mDCs. It is noteworthy that, as compared to CD8 α ⁻ and CD103⁻ cDC 322

subsets, CD8 α^+ and CD103⁺ cDC subsets are the most prominent cross-presenting DC 323 subsets and immunization studies in Batf3^{-/-} mice strongly suggest an important role for 324 $CD8\alpha^+$ rDCs and CD103⁺ mDCs in vaccine-elicited T cell responses (33). Therefore, it is 325 326 possible that the level of T cell signaling (co-stimulatory and inflammatory) evoked by ADJ (29) is conducive for differentiation of effector-like memory CD8 T cells. More mechanistic 327 studies are warranted to evaluate the nature of the inflammatory milieu and the 328 strength/duration of TCR signaling in regulating the differentiation of effector-like memory 329 CD8 T cells in DLNs. 330

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Parenteral vaccination with ADJ alone provided the most effective protection against 332 listeria challenge, as compared to CpG, GLA, ADJ+GLA and ADJ+CpG. Unexpectedly, 333 combining CpG or GLA with ADJ compromised ADJ-induced protective immunity. The 334 diminished protective immunity induced by combination adjuvants ADJ+GLA or 335 336 ADJ+CpG was linked to reduced accumulation of effector cells (clonal burst size) and consequent reduction in the number of memory CD8 T cells. Reduced number of memory 337 CD8 T cells, in turn, limited the magnitude of recall T-cell responses and compromised 338 listeria control in mice vaccinated with ADJ+GLA or ADJ+CpG. Less effective listeria 339 control in CpG, GLA, ADJ+CpG and ADJ+GLA was not associated with impaired effector 340 functions, including cytokine production or granzyme B expression of CD8 T cells. 341 Interestingly however, CD8 T-cell expression of granzyme B in listeria-challenged mice 342 showed a clear negative correlation with listeria load in spleen and liver. In the spleen of 343 344 ADJ mice that effectively controlled listeria, SIINFEKL-specific CD8 T cells expressed lower levels of granzyme-B, as compared to other vaccine groups, especially the CpG 345

and GLA groups. It is likely that higher granzyme B expression in CD8 T cells reflects 346 ongoing antigenic stimulation due to higher bacterial load in mice with compromised 347 vaccine-induced immunity. Although it is unclear how combining CpG or GLA with ADJ 348 negatively modulates the accumulation of effector CD8 T cells and protective immunity, 349 the data presented in this manuscript nevertheless strongly suggest that combination 350 351 adjuvants may not always enhance vaccine-induced T-cell immunity. It is noteworthy to highlight that unlike parenteral vaccination, intranasal vaccination with combination 352 adjuvants, such as ADJ+GLA and ADJ+CpG, markedly enhance ADJ-induced pulmonary 353 354 T-cell immunity to influenza A virus (29). Therefore, tenets for inducing systemic versus mucosal T-cell immunity with combination adjuvants are different, and further studies are 355 essential to elucidate the underlying mechanisms. 356

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In summary, we have systematically evaluated the spectrum of effects evoked by a nano-358 emulsion adjuvant in combination with immunomodulatory adjuvants CpG and GLA in 359 terms of antigen uptake by various innate immune cells, accumulation of innate cells, 360 polyclonal activation of B and T cells, inflammation-induced downregulation of KLF2 in 361 362 DCs and activation of migratory and lymphoid-resident DCs in DLNs. Furthermore, we document the effect of combination adjuvants on the clonal burst size of effector cells, the 363 magnitude and nature of CD8 T cell memory, the magnitude of recall T cell responses 364 and T cell-based protective immunity to the prototypic intracellular pathogen, Listeria 365 monocytogenes. These studies provided unexpected insights into the nature of vaccine-366 elicited T-cell memory and protective immunity to intracellular pathogens. Further, 367 contrary to prevailing opinion in the vaccine adjuvant field, we found that combining 368

adjuvants might have unintended negative effects on T-cell-based protective immunity.
Taken together, findings reported in this manuscript provide new insights into the
differentiation of CD8 T cells induced by adjuvanted subunit vaccines, which might pave
the way for the rational development of adjuvants that elicit effective T-cell immunity to
intracellular pathogens.

374 **METHODS**

375 **Experimental animals**

7-12-week-old C57BL/6J (B6) were purchased from restricted-access SPF mouse
breeding colonies at the University of Wisconsin-Madison Breeding Core Facility or from
Jackson Laboratory. Batf3^{-/-} (Stock number: 013755) and CCR2^{-/-} (Stock number: 004999)
were purchased from Jackson Laboratory. KLF2-GFP reporter mice were provided by Dr.
Jameson (University of Minnesota, Minneapolis, MN).

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382 Ethics statement

All experiments were performed in accordance with the animal protocol (Protocol number V5308 or V5564) approved by the University of Wisconsin School of Veterinary Medicine Institutional Animal Care and Use Committee. The animal committee mandates that institutions and individuals using animals for research, teaching, and/or testing much acknowledge and accept both legal and ethical responsibility for the animals under their care, as specified in the Animal Welfare Act and associated Animal Welfare Regulations and Public Health Service (PHS) Policy.

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391 B3Z cell hybridoma and BMDC generation

The B3Z hybridoma was a generous gift from Dr. Bruce Klein (University of Wisconsin-Madison). B3Z cells were maintained in Iscove's Modified Dulbecco's media supplemented with 10% FBS, 100 U/ml penicillin G and 100 g/ml streptomycin sulfate. Primary cultures of bone marrow-derived DCs were generated as previously described (39, 40).

397 **B3Z activation assay for** *in vitro* cross presentation

The cross-presentation capacity of murine BMDCs was measured using B3Z hybridoma 398 cells, as previously described (31, 32). Briefly, DCs were plated at 1 x 10⁵ cells/well in 96-399 well round bottom culture-treated plate (Corning). BMDCs were cultured with OVA 400 (1mg/ml) in combination of different adjuvants (ADJ [1%], CpG [5ug/ml], GLA [1ug/ml]) 401 for 5 hours. Next, BMDCs were fixed with 0.025% glutaraldehyde for 2 minutes at room 402 temperature, washed with PBS and cultured with B3Z cells (1 x 10⁵ cells/well) for 18 hours. 403 After 18 hours, B3Z cells were washed and incubated with CPRG substrate (0.15mM, 404 405 Santa Cruz Biotechnology, sc-257242) in 200ul of lysis buffer (0.1% NP 40+ PBS) for 18 hours at room temperature. The absorbance (590nm) was measured using a plate reader. 406 Wells containing B3Z cells + BMDCs without OVA served as background control. 407

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409 Immunization

Hen egg white ovalbumin grade-V (OVA) was purchased from Sigma-Aldrich (St. Louis, 410 MO). Adjuplex (ADJ), CpG-ODN 1826 (CpG) oligonucleotide and Glucopyranosyl Lipid 411 Adjuvant (GLA) were purchased from Empirion LLC (Columbus, OH), InvivoGen (San 412 413 Diego, CA) and Avanti Polar Lipids, Inc. (Alabaster, AL), respectively. For footpad immunization, mice were briefly anesthetized with isoflurane, after which 15 ug of Alexa 414 Fluor 647-conjugated chicken OVA (Thermo Fisher) formulated in saline, ADJ (5%), CpG 415 416 (5ug), GLA (5ug), ADJ (5%)+CpG (5ug) or ADJ (5%) + GLA (5ug) was injected to hind footpad. For SQ vaccination, C57BL/6J mice were vaccinated at the tail base with 50ul of 417 418 the vaccine: ovalbumin (10ug) formulated in saline, ADJ (5%), CpG (5ug), GLA (5ug),

ADJ (5%) + CpG (5ug) or ADJ (5%) + GLA (5ug). Mice were boosted after 21 days of the
initial vaccination.

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422 Tissue processing and Flow cytometry.

The vaccine-draining popliteal LN was incubated with 3ml of filtered 1mg/ml collagenase 423 D (Roche) for 30 minutes at 37 C'. The digested lymph nodes were further mechanically 424 processed, filtered, to generate single cell suspensions. Spleens were mechanically 425 processed into single-cell suspensions using standard procedures. Single-cell 426 427 suspensions were first stained for viability with LiveDead eFlour 780 stain (eBioscience) for 30 minutes on ice. Next, samples were stained with antibodies diluted in Brilliant Stain 428 Buffer (BD Biosciences) for 30 minutes (for innate immune cells, as previously described 429 (41)) or 60 minutes (for T cell immunophenotyping) with K^b/SIINFEKL tetramers (provided 430 by Emory MHC tetramer facility, Atlata) and antibodies listed in Supplementary table 1. 431 Following staining, cells were washed with FACS buffer (0.1% BSA + PBS) twice and 432 fixed with 2% paraformaldehyde for 10 minutes on ice. Samples were washed again twice 433 with FACS buffer and acquired on LSRFortessa (BD Biosciences) and analyzed with 434 435 FlowJo V.10 software (TreeStar, Ashland, OR).

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437 Intracellular staining for transcription factors

To stain for transcription factors or granzyme B, cells were first stained for viability with LiveDead eFlour 780 stain (eBioscience) for 30 minutes and then stained with antibodies and MHC I tetramers diluted in Brilliant Stain Buffer (BD Biosciences) for 60 minutes. The samples were then fixed, permeabilized and subsequently stained for transcription factors

using the transcription factors staining kit (eBioscience) with the antibodies listed in
Supplementary table 1 in Perm Wash buffer. All samples were acquired on LSRFortessa

(BD Biosciences) and analyzed with FlowJo V.10 software (TreeStar, Ashland, OR).

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446 Intracellular cytokine staining

For intracellular cytokine staining, one million cells (1x10⁶) cells were plated on flat-447 bottom tissue-culture-treated 96-well plates (Corning) Cells were stimulated for 5 hours 448 at 37C in the presence of brefeldin A (1 µl/ml, GolgiPlug, BD Biosciences), human 449 450 recombinant IL-2 (10 U/well) and with or without SIINFEKL peptide (Genscript) at 0.2ug/ml. After ex vivo peptide stimulation, cells were stained for viability dye (LiveDead 451 eFluor 780) for 30 minutes, stained with surface antibodies, and fixed/permeabilizated 452 with Cytofix/Cytoperm kit (BD Biosciences, Franklin Lakes, NJ) according to 453 manufacturer's protocol. Samples were stained with anti-cytokine antibodies listed in 454 Supplementary table 1 in perm wash buffer for 30 minutes, washed with perm wash buffer. 455 and re-suspended in FACS buffer before flow cytometry. 456

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458 Vaccination and enumeration of Listeria Challenge

At > 40 days after booster vaccination, mice were challenged intravenously with 1.7 x 10⁵
CFUs of LM-OVA (*Listeria monocytogenes* expressing chicken ovalbumin (LM-OVA),
provided by Dr. Hao Shen (University of Pennsylvania School of Medicine). To quantify
Listeria burdens, tissues were homogenized in GentleMACS C-Tubes (Miltenyi) via
GentleMACS dissociator. Organs were processed in sterile 0.1% Nonidet-P40 (VWR) +
PBS in gentleMACS C Tubes. Serial dilutions of tissue samples (undiluted to 10⁶) were

plated on brain heart infusion agar plates for 24 hours at 37 C'. Listeria burden in tissues
were normalized by the weight of the tissues.

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468 Statistical analyses

Statistical analyses were performed using GraphPad software 8.1.1 (La Jolla, CA). All comparisons were made using either Mann-Whitney U test or an one-way ANOVA test with Tukey corrected multiple comparisons where p<0.05 = *, p<0.005 = **, p<0.0005 = *** were considered significantly different among groups. Bacterial titers were log transformed prior to analysis. One statistical outlier was excluded from analysis of CpGvaccinated mice in Listeria challenge experiment.

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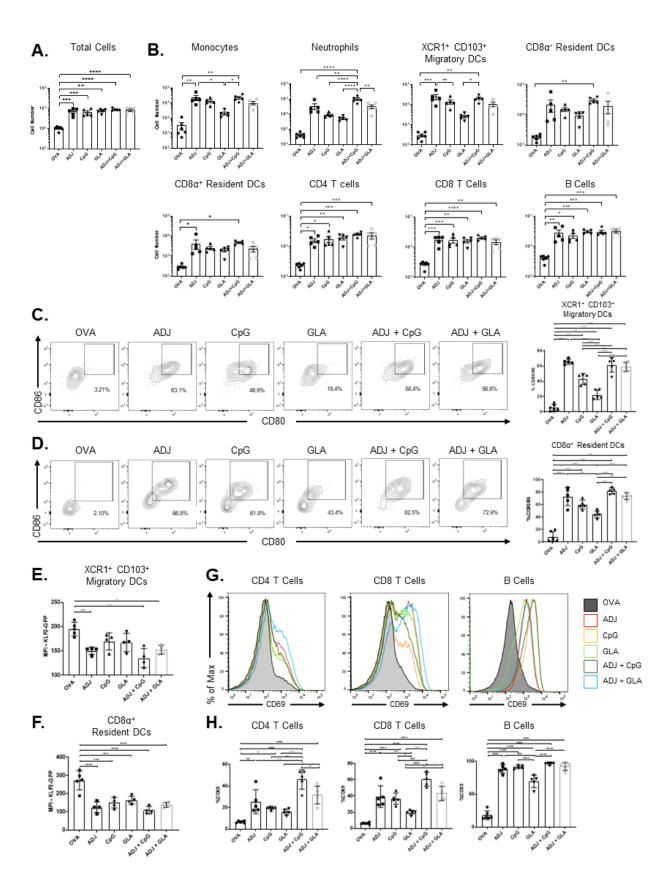
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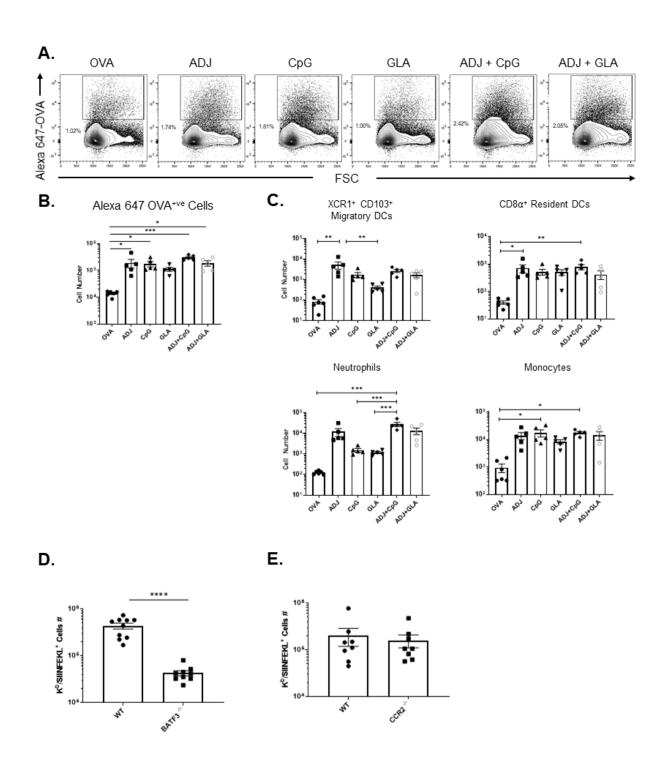
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488 Author contributions:

WL, AL and MS designed, performed, analyzed experiments, and provided conceptual
input for the manuscript. BB provided technical expertise and intellectual insights for the
manuscript. WL and MS wrote the manuscript, which was proofread by all authors.



508	Figure 1. ADJ and TLR-agonist based vaccines induce recruitment and activation	
509	of innate and adaptive immune cells in DLNs. Mice were vaccinated SQ with OVA	
510	formulated in ADJ, CpG, GLA, ADJ+CpG or ADJ+GLA. Twenty-four hours after	
511	vaccination, DLNs were processed into single-cell suspensions and stained with	
512	antibodies conjugated to fluorophores. (A) Total cell count of DLN from vaccinated mice.	
513	(B) Effect of vaccinations on numbers of Ly6C ^{+ve} monocytes, Ly6G ^{+ve} neutrophils,	
514	XCR1 ^{+ve} CD103 ^{+ve} migratory DCs, B220 ^{+ve} CD19 ^{+ve} B cells, CD4 ^{+ve} T cells, and CD8 ^{+ve}	
515	T cells, as assessed by FACS analysis using gating strategy shown in Fig S1. (C-D).	
516	Activation status of XCR1 ^{+ve} CD103 ^{+ve} migratory DCs (C) and CD8 α^{+ve} resident DCs (D),	
517	as measured by CD80/86 expression. (E-F) Median fluorescence intensity of KLF2-GFP	
518	in XCR1 ^{+ve} CD103 ^{+ve} migratory DCs (E) and CD8 α ^{+ve} resident DCs (F). (G-H) CD69	
519	expression by CD4, CD8, and B cells. Data are the Mean ± SEM from one of two	
520	independent experiments with 4-5 mice per group. *, **, ***, and **** indicate significance	
521	at <i>P</i> <0.1, 0.01, 0.001, and 0.0001 respectively. (One-way ANOVA: A-H)	



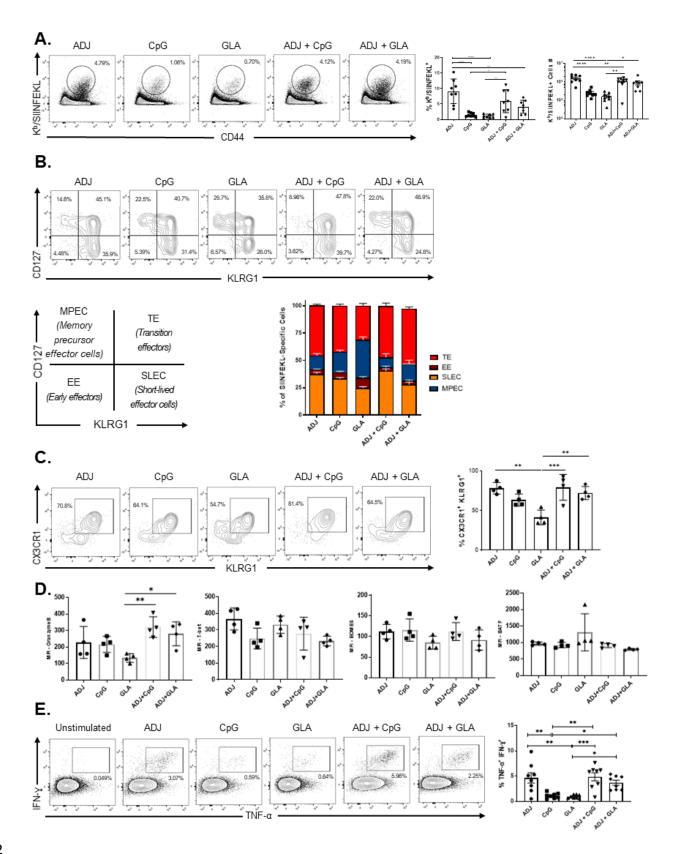
530 Figure 2. Vaccine adjuvants target antigens to conventional DCs in the DLNs, 531 leading to efficient cross-presentation and CD8 T cell activation *in vivo*

Mice were vaccinated SQ with Alexa Fluor 647 (AF 647)-conjugated OVA formulated in 532 533 ADJ, CpG, GLA, ADJ+CpG, or ADJ+GLA. Twenty-four hours after vaccination, DLNs were mechanically processed into single-cell suspensions. (A) Antigen-containing cells 534 535 were visualized using AF647-conjugated OVA by flow cytometry. (B) Total numbers of AF647-OVA+ve cells. (C) Total numbers of AF647-OVA+ immune cell subsets (XCR1+ve 536 CD103^{+ve} migratory DCs, CD8α^{+ve} resident DCs, neutrophils, and monocytes). (D) Wild 537 538 type (WT) B6 and BATF3-deficient (BATF3-/-) mice were vaccinated SQ with OVA (10ug) formulated in ADJ (5%). On the 8th day after vaccination, the total number of activated 539 OVA SIINFEKL-specific CD8 (CD44^{+ve}, K^b/SIINFEKL^{+ve}) T cells in the spleen were 540 quantified by flow cytometry. (E) WT B6 and CCR2-deficient (CCR2-/-) mice were 541 vaccinated SQ with OVA (10ug) + ADJ (5%). On the 8th day after vaccination, the total 542 number of activated OVA SIINFEKL-specific CD8 (CD44^{+ve}, K^b/SIINFEKL^{+ve}) T cells in 543 the spleen were quantified by flow cytometry. Data are Mean ± SEM; the data represent 544 one of two independent experiments (A-C) or are pooled from two independent 545 experiments (D-E). *, **, ***, and **** indicate significance at P<0.1, 0.01, 0.001, and 546 0.0001 respectively. (One-way ANOVA: A-C; Mann-Whitney U test-D-E). 547

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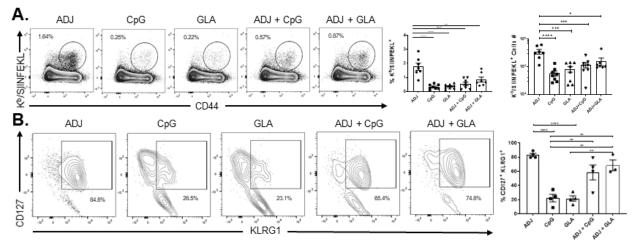


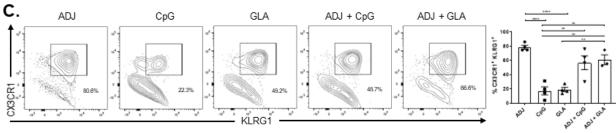
553 Figure 3. Effector CD8 T-cell responses to adjuvanted vaccines. Mice were vaccinated SQ with OVA formulated in ADJ, CpG, GLA, ADJ+CpG, or ADJ+GLA. Twenty-554 one days after the first vaccination, mice were boosted with the same formulation. On the 555 8th day after booster vaccination, single-cell suspensions from spleen were stained with 556 D^b/SIINFEKL tetramers, anti-CD8, anti-CD44, anti-CD127, anti-KLRG-1, anti-CX3CR1, 557 anti-granzyme B, anti-T-bet, anti-EOMES, and anti-BATF antibodies. (A) Percentages 558 and total numbers of OVA SIINFEKL-specific CD8 T cells in spleens. FACS plots in A are 559 gated on total CD8 T cells and the numbers are the percentages of tetramer-binding cells 560 among the gated population. (B-D) FACS plots are gated on tetramer-binding CD8 T cells 561 and numbers are percentages of the gated cells, in respective gates/guadrants. (E) 562 Median fluorescence intensities (MFI) for transcription factors in SIINFEKL-specific CD8 563 T cells. (F) Functional polarization of effector CD8 cells. The percentages of CD8 T cells 564 that produced IFN- γ and TNF- α following stimulation with SIINFEKL peptide were 565 566 quantified by intracellular cytokine staining. Unstimulated control without the SIINFEKL 567 peptide served as a negative control. Data in each graph indicate Mean ± SEM. Data are pooled from two independent experiments (A, E) or represent one of two independent 568 experiments (B-D). Each independent experiment had n=3-5 mice per group. *, **, ***, 569 and **** indicate significance at P<0.1, 0.01, 0.001, and 0.0001 respectively. (One-way 570 571 ANOVA: A-F)

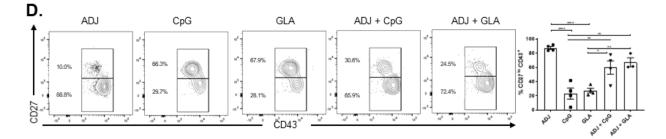
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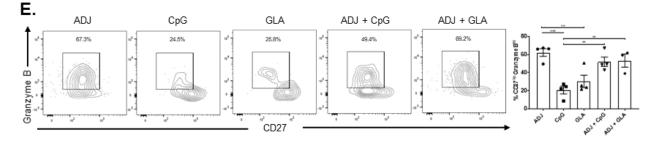
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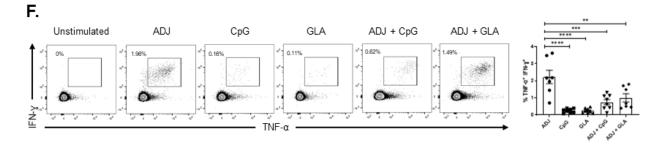
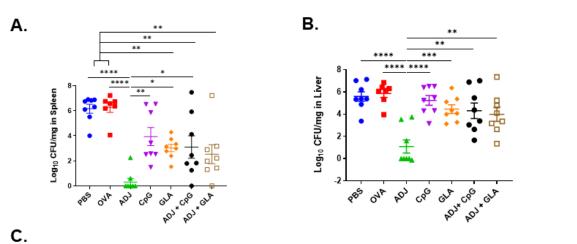
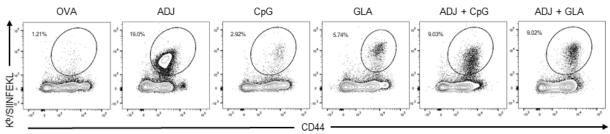
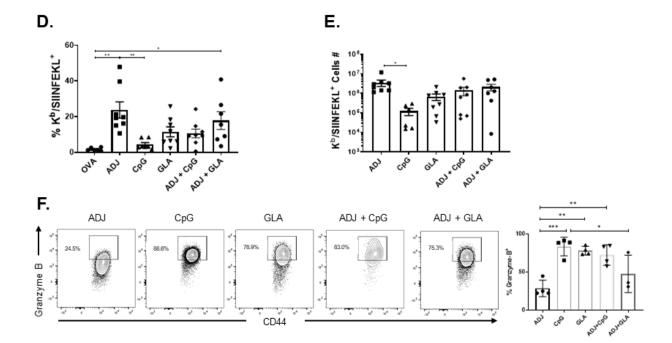


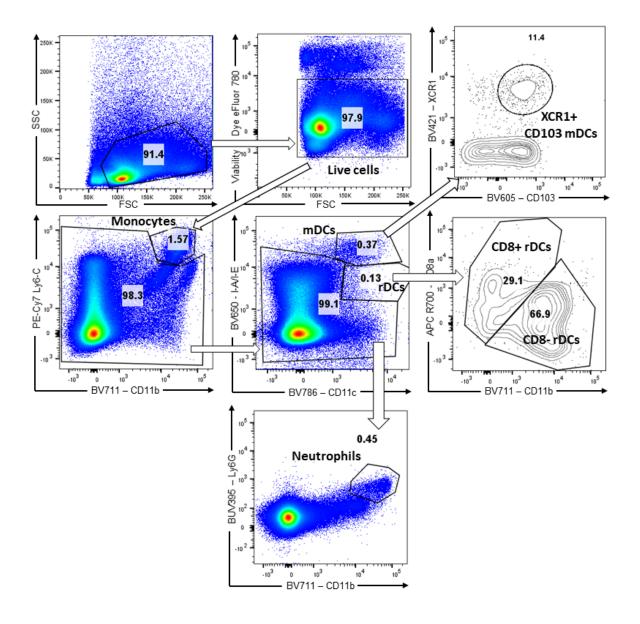
Figure 4. Vaccine-induced CD8 T-cell memory. Mice were vaccinated twice (3 weeks apart) SQ with OVA (10ug/mouse) formulated in ADJ, CpG, GLA, ADJ+CpG, ADJ+GLA. Forty days after the booster vaccination, single-cell suspensions cells of spleen were stained with D^b/SIINFEKL tetramers, anti-CD8, anti-CD44, anti-CD127, anti-KLRG-1, anti-CX3CR1, anti-CD43, anti-CD27, and anti-granzyme B antibodies. (A) Percentages and total numbers of OVA SIINFEKL-specific CD8 T cells in spleens. (B-E) FACS plots show percentages of gated tetramer-binding CD8 T cells in respective gates/guadrants. (F) Functional polarization of memory CD8 T cells. The percentages of SIIFNEKL-specific CD8 T cells that produced IFN- γ and TNF- α were quantified by intracellular cytokine staining. Cells cultured without SIINFEKL peptide stimulation were used as a negative control. Data in each graph show Mean ± SEM. Data are pooled from two independent experiments (A, F) or represent one of two independent experiments (B-E). Each independent experiment had n=3-5 mice per group. *, **, ***, and **** indicate significance at P<0.1, 0.01, 0.001, and 0.0001 respectively. (One-way ANOVA: A-F)



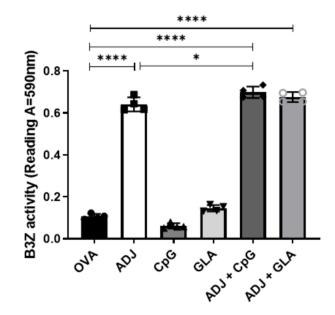




601	Figure 5. Vaccine-induced protective immunity to Listeria. Mice were vaccinated
602	twice (3 weeks apart) SQ with OVA (10ug/mouse) formulated in ADJ, CpG, GLA,
603	ADJ+CpG, ADJ+GLA. Forty days after the booster vaccination, mice were challenged
604	with virulent recombinant OVA-expressing Listeria (LM-OVA) and sacrificed at day 5. (A)
605	Listeria burden was quantified in the spleens and livers on the 5^{th} day after challenge. (B)
606	Single-cell suspensions of spleen were stained with D ^b /SIINFEKL tetramers, anti-CD8,
607	anti-CD44, and anti-granzyme B antibodies. (C) or (F) FACS plots show percentages of
608	gated tetramer-binding CD8 T cells in respective gates. (D-E) Percentages and total
609	numbers of OVA SIINFEKL-specific CD8 T cells in spleens. Data in each graph show
610	Mean ± SEM. Data are pooled from two independent experiments (A-E) or represent one
611	of two independent experiments (F). Each independent experiment had n=3-5 mice per
612	group. *, **, ***, and **** indicate significance at <i>P</i> <0.1, 0.01, 0.001, and 0.0001
613	respectively. (One-way ANOVA: A-F)
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623	Supplementary Figure 1. Analysis of innate immune cells in draining nodes of
624	vaccinated mice. Gating strategy for innate immune cell subsets in draining popliteal
625	lymph nodes (DLNs). Single cell suspensions of DLN were stained with antibodies to
626	Ly6G, XCR1, CD80, CD86, CD103, MHC-II, CD11b, CD11c, Ly6C, and CD8α.
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642 Supplementary Figure 2. Effect of combination adjuvants on cross-presentation by

bone marrow-derived DCs. BMDCs were exposed to media or OVA ± ADJ, CpG or GLA for 5 h, and co-cultured with B3Z cells for 24 h. β-galactosidase in co-cultured B3Z cells was quantified by CPRG colorimetry. Data in graph show Mean ± SEM. Data represent one of two independent experiments. Each independent experiment had triplicates per group. *, **, ***, and **** indicate significance at *P*<0.1, 0.01, 0.001, and 0.0001 respectively.

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651 Supplementary Table 1: List of antibodies and tetramers used in this study

Antibody (Dilution Factor)	Company	Catalogue number
Rat anti-mouse Ly-6G-conjugated (1:200)	BD Biosciences	563978
Rat anti-mouse I-A/I-E-BV650-conjugated	BD Biosciences	563415
(1:400)		
Rat anti-mouse CD11b-BV711-conjugated	BD Biosciences	563168
(1:400)		
Hamster anti-mouse CD11c-BV786-	BD Biosciences	563735
conjugated (1:100)		
Rat anti-mouse CD86-BV510-conjugated	BD Biosciences	563077
(1:200)		
Rat anti-mouse Ly-6C-PE-Cy7-conjugated	BD Biosciences	5605931
(1:400)		
Rat anti-mouse CD8 α-APC-R700-	BD Biosciences	564983
conjugated (1:200)		
Rat anti-mouse CD8α-BUV395-conjugated	BD Biosciences	563786
(1:200)		
Rat anti-mouse CD4-BUV496-conjugated	BD Biosciences	564667
(1:200),		
Rat anti-mouse CD44-BV510-conjugated	BD Biosciences	563114
(1:200)		
Rat anti-mouse CD62L-PE-CF594-	BD Biosciences	562404
conjugated (1:200)		

Rat anti-mouse CD62L-PE-CF594-	BD Biosciences	562404
conjugated (1:200)		
Rat anti-mouse B220-PerCP-conjugated	BD Biosciences	552879
(1:200)		
Hamster anti-mouse-CD69-PE-Cy7-	BD Biosciences	553237
conjugated (1:200)		
BUV496-strepavidin (1:400)	BD Biosciences	564666
mouse anti-mouse T-bet-BV421 conjugated	BD Biosciences	563318
(1:200)		
rat anti-mouse IFN-γ-APC-conjugated	BD Biosciences	554413
(1:400)		
rat anti-mouse TNF-α-BV421-conjugated	BD Biosciences	554413
(1:500)		
rat anti-mouse XCR1-BV421-conjugated	Biolegend	148216
(1:100)		
rat anti-mouse CD103-BV605-conjugated	Biolegend	121433
(1:200)		
mouse anti-mouse CD64 (FcγRI) Antibody	Biolegend	139308
(1:200)		
rat anti-mouse CD127- PerCP/Cyanine5.5	Biolegend	135022
(1:150)		
hamster anti-mouse KLRG1-BV711-	Biolegend	564014
conjugated (1:200)		

mouse anti-mouse CX3CR1-BV785-	Biolegend	149029
conjugated (1:200)		
Hamster anti-mouse-CD80-biotin-	Biolegend	104704
conjugated (1:200)		
Rat anti-mouse Granzyme B-BV421-	Biolegend	396414
	Biologona	
conjugated (5ul/well).		
Rat anti-mouse CD19-PE-Cy7-conjugated	eBioscience	25-0193-82
(1:200)		
Rat anti-mouse Granzyme B-PE-	eBioscience	MHGB04
conjugated (5ul/well)		
rat anti-mouse EOMES-PE-eFluor 610	eBioscience	61-4875-82
conjugated (1:200)		
mouse anti-human BATF-PerCP-eFluor	eBioscience	46-9860-42D
710 conjugated (5ul/well)		
APC-conjugated H2-K ^b tetramers bearing	NIH Tetramer	N/A
the SIINFEKL peptide (1:150)	Core Facility	
Purified Anti-Mouse CD16 / CD32 (Fc	Tonbo	70-0161-U500
Shield) (2.4G2)	Biosciences	

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