



1 Article

2 Editing of the TRIM5 gene decreases the

³ permissiveness of human T lymphocytic cells to

4 **HIV-1**

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11 **Abstract:** TRIM5 α is a cytoplasmic antiretroviral effector upregulated by type I interferons (IFN-I). 12 We previously showed that two points mutations, R332G/R335G, in the retroviral capsid-binding 13 region confer human TRIM5 α the capacity to target and strongly restrict HIV-1 upon over-14 expression of the mutated protein. Here, we used CRISPR-Cas9-mediated homology-directed repair 15 (HDR) to introduce these two mutations in the endogenous human TRIM5 gene. We found 6 out of 16 47 isolated cell clones containing at least one HDR-edited allele. One clone (Clone 6) had both alleles 17 containing R332G but only one of the two alleles containing R335G. Upon challenge with an HIV-1 18 vector, clone 6 was significantly less permissive compared to unmodified cells, whereas the cell 19 clones with monoallelic modifications were only slightly less permissive. Following IFN-β 20 treatment, inhibition of HIV-1 infection in clone 6 was significantly enhanced (~40-fold inhibition). 21 TRIM5 α knockdown confirmed that HIV-1 was inhibited by the edited TRIM5 gene products. 22 Quantification of HIV-1 reverse transcription products showed that inhibition occurred through the 23 expected mechanism. In conclusion, we demonstrate the feasibility of potently inhibiting a viral 24 infection through editing of innate effector genes. Our results also emphasize the importance of 25 biallelic modification in order to reach significant levels of inhibition by TRIM5 α .

- **Keywords:** HIV-1; TRIM5*α*; genome editing; CRISPR; restriction factor; interferon
- 28 1. Introduction

29 Individuals infected with the human immunodeficiency virus type 1 (HIV-1) are treated with 30 combination antiretroviral therapy (cART). Despite successfully reducing viral loads to undetectable 31 levels in a large fraction of the treated patients [1], drugs administered under cART have significant 32 side effects [2], complicating adherence. Moreover, they are not curative, as they do not target latently 33 integrated HIV-1 that constitute the main reservoir [3], and are inefficient in some anatomical 34 sanctuaries [4]. Genetic interventions offer the potential to durably suppress HIV-1 while avoiding 35 the need for lifelong pharmacological treatments. The HIV-1 entry co-receptor CCR5 has been the 36 most studied target for HIV-1 gene therapy. The goal is to phenotypically mimic the CCR5 Δ 32/ Δ 32 37 genotype of the donor used for the "Berlin patient" [5]. Zinc finger nucleases (ZFNs) have been 38 designed to knock out the CCR5 gene, and phase I clinical trials demonstrated that patient-derived 39 ZFN engineered T cells were effective in controlling HIV viral loads in some patients following 40 treatment interruption [6]. Several clustered regularly interspaced short palindromic repeats 41 (CRISPR)-based approaches have also been developed to edit the CCR5 gene [7-9]. However, results 42 from the 'Essen patient' demonstrate that this approach alone will not be successful for patients that 43 harbor even very small amounts of HIV that can use the CXCR4 co-receptor for entry into cells [10,11].

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44 Retrovirus infections may be inhibited by a family of innate immune effectors, also called 45 restriction factors [12,13]. These proteins may show some level of efficacy without external 46 stimulation ("intrinsic immunity"), but their expression is typically stimulated by type I interferons 47 (IFN-I). Restriction factors act at different stages of retroviral replication and through a variety of 48 mechanisms (reviewed in [14]). Tripartite motif containing protein 5 isoform α (TRIM5 α) is a 49 cytoplasmic restriction factor encoded by the interferon stimulated gene (ISG) TRIM5 [15,16] 50 (reviewed in [17]). TRIM5 α targets retroviruses shortly after their entry into the cell's cytoplasm [18]. 51 TRIM5 α , like other members of the TRIM protein family, has RING, B-box and Coiled-coil domains 52 at its N-terminus [19]. The RING domain-associated ubiquitin ligase activity is instrumental in the 53 restriction mechanism, as it directs some viral components as well as TRIM5 α itself to proteasomal 54 degradation [20,21]. The RING domain also promotes the formation of K63-linked ubiquitin chains 55 that play a role in the restriction process [22,23] and activate innate immune pathways mediated by 56 NF-κB, AP-1 and IFN-I [24-27]. At its C-terminus, TRIM5α has a SPRY domain whose sequence 57 determines retroviral target specificity [28,29]. Upon intercepting incoming retroviral cores, TRIM5 α 58 binds to the capsid protein lattice that forms the outer side of the core. This in turn promotes the 59 multimerization of TRIM5 α , greatly enhancing the avidity of TRIM5 α -capsid interactions [30,31]. The 60 dimerization of TRIM5 α and formation of higher-order multimers involve its central B-box and 61 Coiled-coil domains [32,33]. As a result, the viral core is destabilized and undergoes premature 62 disassembly [34-37], which disrupts reverse transcription of the viral genome [38-40]. The restriction 63 mechanism also includes sequestration of viral particles in cytoplasmic bodies [41,42].

64 Restriction by TRIM5 α is species-specific, cell-type-specific and virus-specific [43-45]. Although 65 some HIV-1 variants are significantly restricted by human TRIM5 α (huTRIM5 α), in particular in 66 HLA:B27+ or B57+ elite controller patients [24,46,47], most HIV-1 strains are poorly sensitive to 67 huTRIM5 α (< 2-fold). In contrast, many non-human primate orthologs of TRIM5 α , such as rhesus 68 macaque TRIM5 α (rhTRIM5 α), restrict HIV-1 by 10- to 100-fold [48,49]. Attempts have been made to 69 generate mutants of huTRIM5 α able to efficiently target HIV-1. For instance, investigators have 70 produced chimeric versions of huTRIM5 α containing small motifs of the rhTRIM5 α SPRY domain 71 [43,50]. Other studies introduced smaller changes in the SPRY domain based on the rhTRIM5 α 72 sequence, leading to the discovery that mutations abrogating the positive charge at Arg332 increased 73 huTRIM5 α targeting of HIV-1 [51,52]. Taking a different approach, our laboratory generated 74 huTRIM5 α SPRY domain mutant libraries that were screened for their capacity to restrict HIV-1. This 75 led us to isolate the HIV-1 inhibitory mutation R335G, among other mutations [53,54]. Furthermore, 76 combining the R332G and R335G mutations yielded higher restriction levels compared with single 77 mutations [53,54]. When over-expressed through retroviral transduction, R332G-R335G huTRIM5 α 78 inhibits the spread of HIV-1 by 20- to 40-fold and provides a survival advantage compared to 79 untransduced cells [53,55].

80 Over-expressing TRIM5 α may have detrimental consequences *in vivo*, as this protein is involved 81 in processes such as inflammation [24,27] and autophagy [56]. Another caveat of lentiviral vector-82 mediated TRIM5 α transduction is the continued expression of the endogenous, wild-type WT 83 protein. TRIM5 α proteins interact with each other, and presence of the non-restrictive WT protein 84 might interfere with the antiviral activity of the restrictive mutant [57,58]. Introducing the desired 85 mutations in TRIM5 by gene editing represents an attractive alternative, as the therapeutic gene will 86 be expressed at physiological levels and in an IFN-I-dependent fashion. Assuming biallelic gene 87 editing, no WT protein would be co-expressed along with the therapeutical mutant. Previously, we 88 transfected plasmids encoding CRISPR components (Cas9 and guide RNA, gRNA) into HEK293T 89 cells, along with a donor DNA for HDR that bear the desired mutations [59], and obtained several 90 cell clones containing corrected alleles [60]. However, no antiviral effect was observed, which was 91 due to several possible reasons, including the fact that in this cell line TRIM5 bears an additional 92 mutation that might inactivate its antiviral properties [60]. In this paper, we electroporated CRISPR 93 ribonucleoprotein (RNP) complexes and a mutation donor DNA into Jurkat T cells in order to 94 introduce the R332G and R335G mutations. Our results demonstrate that successfully TRIM5-edited 95 cells have decreased permissiveness to HIV-1, and that restriction is stimulated by IFN-I as expected.

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96 2. Materials and Methods

97 2.1. Cell culture

98Cell lines were obtained from J. Luban (University of Massachusetts School of Medicine). Jurkat T99lymphocytic cells were maintained in RPMI 1640 medium (HyClone, Thermo Fisher Scientific, Ottawa,100ON, Canada). Cat CRFK cells and HEK293T cells were maintained in DMEM medium (HyClone). For101HEK293T cells, medium was switched to RPMI at the time of virus production. All culture media were102supplemented with 10% fetal bovine serum (FBS), penicillin/streptomycin (HyClone) and Plasmocin103(InvivoGen, San Diego, CA). The Jurkat cells stably transduced with a lentivirus over-expressing104R332G-R335G TRIM5α were described before [53].

105 2.2. TRIM5 editing

106 The CRISPR gRNA was designed using the Zhang Lab algorithm available online at 107 crispr.mit.edu. The crRNA was synthesized by Integrated DNA Technologies (IDT, Kanata, ON, 108 Canada) and targets the sequence 5'AGATAATATATGGGGCACGA. The previously described single-109 stranded donor DNA (ssDNA) that includes mutations encoding 332G and 335G as well as silent 110 mutations [60] was also synthesized by IDT. We used the IDT ALT® CRISPR-Cas9 system to edit 111 TRIM5. For each well, we prepared 1 µL of tracrRNA:crRNA:Cas9 RNP complex containing 22 pmol 112 of crRNA:tracrRNA duplex and 18 pmol of Cas9 enzyme. The electroporation enhancer was diluted in 113 IDTE buffer at a final concentration of 10.8 μ M. We electroporated 3x10⁵ cells with 100 pmol of the 114 donor ssDNA, 1 µL of the RNP complex and 2 µl of electroporation enhancer. We used the Neon 115 transfection system (Thermo Fisher Scientific) with the following parameters: 1323 V, 10 ms and 3 116 pulses. The plates were incubated at 37°C, 5% CO₂ for 48 h.

117 2.3. Isolation and screening of cell clones

118 To isolate single cell clones, we seeded 6x 96-well plates with approximately 0.5 cell per well. For 119 each well, we used 100 µL of RPMI 1640 medium supplemented with 12% FBS, 10% of conditioned 120 medium, penicillin/streptomycin and Plasmocin. The plates were grown for 2-4 weeks, and we 121 obtained 47 surviving clonal cell populations. To screen clones for HDR-mediated TRIM5 editing, 122 genomic DNA was extracted using 30 µL of DirectPCR lysis reagent (Viagen Biotech, Los Angeles, CA) 123 mixed with 30 µL of water containing 12 µg of proteinase K. Lysis was completed overnight at 55°C, 124 then the lysate was heated to 85°C for 90 minutes to deactivate the proteinase K. HDR editing-specific 125 PCR was performed on 2 µL of samples using the OneTaq polymerase (New England Biolabs, Whitby, 126 ON, Canada) and primers T5a mut_fwd (5'-AAATAATCTACGGGGCCGGCGGCACAG) and 127 T5a_qPCR_rev (5'- CCAGCACATACCCCCAGGAT). PCR was performed using the following 128 parameters: 30 sec at 94°C, 30 sec at 61.5°C, 30 sec at 68°C, 30 cycles. The reaction products were 129 analyzed by electrophoresis on agarose gels.

130 2.4. HaeIII screening of edited clones and deep sequencing

131 Genomic DNA was extracted using the EZ-10 Spin Column Genomic DNA kit (BioBasic, 132 Markham, ON, Canada) from the cell clones found to be positive in the first screen described above, 133 resuspended in 50 µL and quantified with a NanoDrop spectrophotometer (Thermo Fisher Scientific). 134 We first amplified the targeted TRIM5 region by PCR using the OneTaq polymerase, with 5 μ L of 135 genomic DNA extraction and bar-labeled primers. Those primers, huTR5aGG_seq_FOR (5'-136 ACACTGACGACATGGTTCTACAATCCCTTAGCTGACCTGTTA) and huTR5aGG seq REV (5'-137 TACGGTAGCAGAGACTTGGTCTCCCCCAGGATCCAAGCAGTT), bind outside the 200 nt region 138 aligning with the donor ssDNA. The PCR was run for 30 cycles using the following conditions: 94°C 139 for 30 seconds, 63°C for 30 seconds and 68°C for 60 seconds. An aliquot of each PCR product was 140 digested with HaeIII (New England Biolabs) at 37°C for 60 minutes. Reaction products were analyzed

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141 by performing electrophoresis on agarose gels. The PCR products (undigested) were sequenced on a

142 MiSeq apparatus at Genome Quebec (McGill University, Montreal, Canada) and results were analyzed 143 using Integrative Genomic Viewer, available online (http://software.broadinstitute.org/software/igv/).

144 2.5. Virus production

145 Plasmid DNA was prepared using the Plasmid Midi kit (Qiagen, Montréal, QC, Canada). 146 HEK293T cells were seeded into 10 cm culture dishes and transfected the next day using 147 polyethyleneimine (PolyScience, Niles, IL) with the following plasmids: pMD-G (5 µg), pCNCG (10 µg) 148 and pCIG3-N or pCIG3-B (10 µg) to produce N-MLV_{GFP} and B-MLV_{GFP}, respectively; pMD-G (5 µg) 149 and pHIV-1NL-GFP (10 µg) to produce HIV-1NL-GFP [61,62]. The medium was changed 6 h after transfection 150 and virus-containing supernatants were harvested 24, 48 and 72 h later. Supernatants were clarified by 151 centrifuging for 10 min at 3,000 rpm then filtered through 0.45 µm filters (MilliporeSigma Millex 152 Durapore PVDF syringe filters, Thermo Fisher Scientific).

153 2.6. Viral challenges

154 Infections of Jurkat cells were performed in 96-well plates seeded at 10,000 cells per well the day 155 before. Where applicable, treatment with IFN- β (PeproTech, Rocky Hill, NJ) at a final concentration of 156 10 ng/ml was initiated 16 h prior to infection. For virus stock titrations, nonrestrictive CRFK cells seeded 157 the day before were exposed to serial dilutions of the virus preparations. 48 h post-infection, infected 158 Jurkat or CRFK cells were fixed in 2.5% formaldehyde. The percentage of GFP-positive cells was 159 determined on a FC500 MPL cytometer (Beckman Coulter, CA) with the FCS Express 6 analysis 160 software (De novo software, CA).

161 2.7. Knockdowns

162 Cells were knocked down for TRIM5 α , or luciferase as a control, by lentiviral transduction of 163 pAPM vectors expressing miR30-based shRNAs [27], as extensively described in previous publications 164 [24,63]. Transduced cells were treated with puromycin at 1 µg/mL for one week; all mock-transduced 165 cells were killed in those conditions.

166 2.8. HIV-1 cDNA quantification

167 750,000 cells per well were seeded in 24-well plates using 0.6 mL of medium per well, 24 h prior to 168 infection. Where applicable, IFN- β (10 ng/mL), MG132 (Sigma-Aldrich, Oakville, ON, Canada; 1 µg/ml) 169 and nevirapine (Sigma-Aldrich; 40 or 80 μM) were added 16 h (IFN-β) or 4 h (MG132, nevirapine) prior 170 to infection. Infection was done with HIV-1NL-GFP passed through 0.2 µM filters (MilliporeSigma 171 Durapore) and treated with 20 U/mL DNaseI (New England Biolabs) for 60 minutes at 37°C. Cells were 172 infected for 6 h, then total cellular DNA was extracted using the EZ-10 Spin Column Genomic DNA kit 173 (BioBasic) and quantified using the NanoDrop spectrophotometer. HIV-1 cDNAs were amplified in 174 quantitative PCR (qPCR) reactions using primers specific for GFP or for the cellular gene GAPDH as a 175 control, as described before [64]. PCR reactions were done and in a final volume of 20 μ L, containing 176 1X of SensiFast SYBR Lo-ROX kit (Bioline, Meridian Biosciences, Memphis, TN), 400 nM sense and 177 antisense primers and between 150 to 400 ng of DNA. After 3 min of incubation at 95°C, 40 cycles of 178 amplification were achieved as follows: 5 sec at 95°C, 10 sec at 60°C, 15 sec at 72°C in an Agilent 179 Mx3000P instrument. Reactions were performed in duplicate and the threshold cycle was determined 180 using the MxPro software (Agilent). HIV-1 cDNA levels were normalized to those of GAPDH, which 181 was amplified simultaneously with the same PCR parameters, using the Δ Ct method. Relative HIV-1 182 cDNA copy numbers were then normalized to levels found in infected/untreated control (parental) cells 183 which were set at 100%.

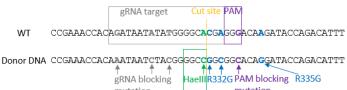
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185 **3. Results**

186 3.1. TRIM5 editing

187 Previously, we had designed three gRNAs leading to Cas9-mediated DNA cuts in the vicinity 188 of Arg332 and Arg335 in TRIM5 [60]. gRNA1 induces the cut closest to the desired mutations, 189 specifically just upstream to the Arg332 triplet (Figure 1), and was the one used in this study. The 190 HDR donor ssDNA, which is antisense to the gRNA and 200 nts long with homology arms of similar 191 size, was the same as in the previous study [60]. Its central section contains the mutations to be 192 introduced, as represented in Figure 1. In addition to mutations substituting arginine residues into 193 glycine at positions 332 and 335, silent mutations are introduced to prevent resection of the HDR-194 corrected DNA by Cas9 through changes in both the gRNA binding site and the protospacer-adjacent 195 motif (PAM). The silent mutations also create a HaeIII restriction site to facilitate subsequent

196 screening (Figure 1).



	mutation mutation	N mutations	Indels	Subst.
Clone 6	CCGAAACCACAGATAATATA C GGGGGC CGGC GG C ACAAGATACCAGACATTT	5/8	n	n
	CCGAAACCACAGATAATATATGGGGGC C GG C ACA G GATACCAGACATTT	5/8	n	n
Clone 8	CCGAAACCACAGATAATATATGGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
CIOILE 8	CCGAAACCACAGATAATATATGGGGGC C GG C GG <mark>T</mark> ACA G GATACCAGACATTT	4/8	n	у
Clone 12	CCGAAACCACAGATAATATATGGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
CIONE 12	CCGAAACCACAGATAATATATGGGGGCCGGCGG <mark>T</mark> ACAGGATACCAGACATTT	4/8	n	у
Clone 17	CCGAAACCACAGATAATATATGGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Cione 17	CCGAAACCACAGATAATATATGGGGGCCGGCGG <mark>T</mark> ACAGGATACCAGACATTT	4/8	n	у
Clone 30	CCGAAACCACAGATAATATATGGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Cione 30	CCGAAACCACAGATAATATATGGGGGCCGGCGG <mark>T</mark> ACAGGATACCAGACATTT	4/8	n	у
	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Clone 38	CCGAAACCACAGATAATATATGGGGCCCGGCGG <mark>T</mark> ACAGGATACCAGACATTT	4/8	n	y I
	 CCGAAACCA T ATGAATTTCAATTATT	0/8	У	у

197 Figure 1. TRIM5 editing strategy and outcome. Top, the TRIM5 region targeted for mutagenesis is 198 aligned with the reverse-complement sequence of the donor ssDNA central region. The mutated 199 nucleotides are shown in colors, and their purpose is indicated. The substitutions at Arg332 and 200 Arg335 are the only nonsilent ones. Bottom, sequence of TRIM5 alleles found in clonal Jurkat 201 populations following electroporation of CRISPR-Cas9 RNPs and the ssDNA and PCR-based 202 screening. For each cell population, alleles were found in equal amounts, except for clone 38, in which 203 the relative amounts were 44% (WT allele), 24% (HDR-edited allele) and 32% (indel-containing allele). 204 Substitutions leading to R332G and R335G mutations are in blue. Indels or undesirable substitutions 205 are in red. The number of desired mutations for each allele is shown on the right, along with the 206 presence (y) or absence (n) of insertions and deletions.

207 Jurkat cells were electroporated with CRISPR-RNP complexes. An aliquot of the transfected cells 208 was subsequently analyzed for the presence of HDR-modified alleles. For this, we extracted DNA 209 from the whole cell population and subjected it to PCR using primers designed to specifically amplify 210 HDR-modified TRIM5 [60]. We detected a PCR product of the expected size (157 nt-long) suggesting 211 presence of the desired modifications. To isolate cellular clones of edited cells, we seeded the 212 transfected cells in 96-well plates at 0.5 cell per well. We obtained 47 clonal Jurkat cell populations 213 that were individually analyzed. An aliquot of each population was lysed and subjected to the HDR-214 edited-specific PCR assay. We found that 6 clones (13%) were positive in this screen: clones 6, 8, 12, 215 17, 30, 38 (Figure S1). We then performed a second PCR-based analysis on the 6 positive clones as 216 well as 8 negative ones that were randomly selected. Specifically, we used PCR primers binding 217 outside the genomic region complementary to the HDR, and the amplicons were then digested with 218 HaeIII. We confirmed the presence HDR-mediated mutations in the 6 positive clones, as the HaeIII 219 cut site was present in all of them (Figure S2). Clone 6 did not show a 304bp band corresponding to

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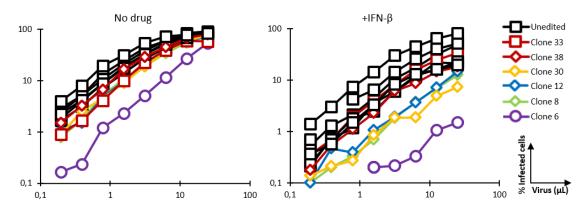
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an undigested amplicon, but only a strong 152bp band corresponding to the digestion products,suggesting that both alleles were HDR-corrected for this clone but not for the other ones (Figure S2).

222 The 14 amplicons analyzed for the presence of the HaeIII cut site were also processed for MiSeq 223 sequencing. All cellular clones had two TRIM5 alleles of approximately equal abundance (≈50%), 224 with the exception of Clone 38 that seemingly had 3 alleles. Of the 6 positive clones after screening, 5 225 showed monoallelic editing including the insertion of both R332G and R335G mutations as well as 226 the formation of a HaeIII cut site, as expected (Figure 1). In each of these clones, a second TRIM5 227 allele had no mutation at all. In these monoallelically-modified clones, only 4 of the 8 intended 228 mutations were found. None of the HDR-modified alleles had the 3 desired mutations upstream of 229 the HaeIII-creating mutation; and all of them had an unexpected substitution in the PAM, $G \rightarrow T$ 230 instead of the intended $G \rightarrow C$ (both substitutions are silent). In addition to the modified and 231 unmodified alleles, clone 38 had an allele of unclear genesis, that contained a large deletion (Figure 232 1). Two the 8 negative clones randomly selected (31 and 33) had a single nucleotide deletion at 233 position -2 from the cut site (Figure S3). Clone 6 was the only clone to have both TRIM5 alleles edited 234 by HDR. One of its alleles had 5 of the intended 8 mutations (R332G, R335G, HaeIII and PAM sites). 235 The other one also had 5 mutations, including R332G, but it lacked the R335G mutation (Figure 1).

236 3.2. HIV-1 restriction activity in TRIM5-edited clones

237 In order to determine permissiveness to HIV-1 of the gene-edited clones, as well as TRIM5 α 238 stimulation by IFN-I, cells were infected in the absence or presence of IFN- β with increasing amounts 239 of VSV-G-pseudotyped HIV-1_{NL-GFP}, a GFP-expressing, propagation-incompetent Δ Env/ Δ Nef version 240 of the HIV-1 clone NL4-3 [65]. The percentage of infected cells was determined by analyzing GFP 241 expression using flow cytometry. The titration curves were remarkably grouped for the 7 screen-242 negative clones included in this experiment, suggesting an absence of strong clone-to-clone variation 243 in permissiveness to lentiviral transduction in Jurkat cells. In the absence of IFN- β , only clone 6 244 showed a significant decrease (*10-fold) in permissiveness to HIV-1NL-GFP, compared with the rest of 245 the clonal populations (Figure 2). In the presence of IFN- β , all cell populations showed decreased 246 permissiveness to HIV-1_{NL-GFP}, indicating that one or more ISGs inhibited HIV-1, as expected [12]. 247 IFN-I treatment resulted in significant restriction of HIV-1NL-GFP in three clones (8, 12 and 30) that had 248 monoallelic HDR editing, compared to screen-negative clones (≈5-fold), and restriction was strikingly 249 strong in clone 6 cells (≈40-fold) (Figure 2). Clone 17 could not be included in this experiment, but we 250 found it to behave similarly to clone 30 in a separate infection experiment (Fig. S4).



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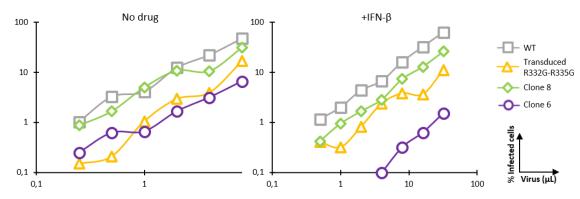
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Figure 2. Permissiveness of HDR-edited and unedited control Jurkat cell populations to infection with HIV-1_{NL-GFP}. Cells were infected with increasing amounts of HIV-1_{NL-GFP} in the absence (left) or presence (right) of IFN- β (10 ng/ml), and the % of GFP-positive cells was determined 2 days later by FACS. Results are presented for 5 clonal populations containing HDR-edited alleles (diamond symbols and purple circles) and 7 randomly chosen negative clones (squares). Clones containing a deletion in one of the alleles are shown in red.

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257 We then analyzed HIV-1 restriction in clones 6 and 8 on side-by-side with Jurkat cells stably 258 transduced with a retroviral vector expressing R332G-R335G huTRIM5 α [53]. In the absence of IFN-259 β, HIV-1_{NL-GFP} was restricted in both clone 6 and in the retrovirally transduced cells expressing R332G-260 R335G huTRIM5 α , compared with the parental WT cells (Figure 3). In the presence of IFN- β , clone 6 261 showed a 50-fold reduction in permissiveness to infection compared to parental cells, and the 262 monoallelically HDR-modified clone 8 also showed a modest restriction effect. In contrast, HIV-1 263 restriction in the cells retrovirally transduced with R332G-R335G TRIM5 α was not stimulated by IFN-264 β (Figure 3), consistent with the transgene being expressed from a non-IFN-I-inducible promoter.



265Figure 3. IFN-β treatment stimulates HIV-1 restriction by mutated endogenous TRIM5α but has no266effect on retrovirally transduced TRIM5α. Parental Jurkat cells, clone 6 and clone 8 cells, and cells267stably transduced with R332G-R335G were infected with increasing amounts of HIV-1NL-GFP in the268absence or presence of IFN-β, and the % of GFP-positive cells was determined 2 days later by FACS.

269 3.3. Knockdown validation of TRIM5α antiviral function

270 A cellular clone might show reduced permissiveness to HIV-1 due to an IFN-I-inducible factor 271 other than TRIM5 α . In order to ensure that clone 6 low permissiveness to HIV-1 was due to 272 expression of the edited TRIM5 α , we knocked down TRIM5 α in these cells as well as in the unedited 273 parental (WT) cells. The shRNA used here binds to a different TRIM5 α region than the one targeted 274 for mutagenesis. Untransduced cells were eliminated by antibiotic selection. Two monoallelically 275 TRIM5-edited clonal populations, clone 8 and clone 12, were also included in this experiment. Cells 276 were infected with increasing doses of HIV-1NL-GFP in the presence or absence of IFN-β. R332G-R335G 277 huTRIM5 α retains the ability to restrict the N-tropic strains of murine leukemia virus (MLV), as 278 shown previously [53], whereas B-tropic MLV is not restricted by either WT or mutated huTRIM5 α . 279 Thus, cells were also infected with N-MLV_{GFP} and B-MLV_{GFP} in order to assess TRIM5 α restrictive 280 capabilities on a target other than HIV-1. We found that knocking down TRIM5 α rescued HIV-1_{NL}-281 GFP infection of clone 6 cells, both in the absence and the presence of IFN- β , though the effect was 282 stronger in the presence of IFN- β , as expected (Figure 4). In contrast, TRIM5 α knockdown had no 283 effect on the infection of the unedited parental cells by HIV-1_{NL-GFP}. The control shRNA used, which 284 targets the nonhuman gene luciferase, did not affect permissiveness to HIV-1. Knocking down 285 TRIM5 α also increased HIV-1_{NL-GFP} infection of clones 8 and 12, which have only one R332G-R335G 286 *TRIM5* allele, but the effect was seen only in the presence of IFN- β and was smaller compared to 287 clone 6. In summary, TRIM5 α knockdown-mediated rescue of HIV-1 infectivity in clones 6, 8 and 12 288 correlated with the extent of HIV-1 restriction as seen in Figure 2, and also correlated with the 289 enhancement of restriction by IFN-β. Thus, decreased permissiveness to HIV-1 in clones 6, 8 and 12 290 was due in large part to the antiviral effect of edited TRIM5 alleles in these clones. N-MLVGFP infection 291 was strongly increased by knocking down TRIM5 α in all cell populations and in the absence or 292 presence of IFN- β , indicating that all cell populations expressed restriction-competent TRIM5 α alleles 293 (Figure 4). B-MLV_{GFP} infection of parental cells as well as clone 8 and clone 12 cells was slightly 294 increased by knocking down TRIM5 α , and only in the presence of IFN- β . This suggests with WT 295 huTRIM5 α but not the R332G-R335G mutant has a weak inhibitory activity against B-MLV which is

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revealed by IFN-I treatment. Thus, *TRIM5* editing to allow HIV-1 targeting had little or no impact onits capacity to restrict a different retrovirus.

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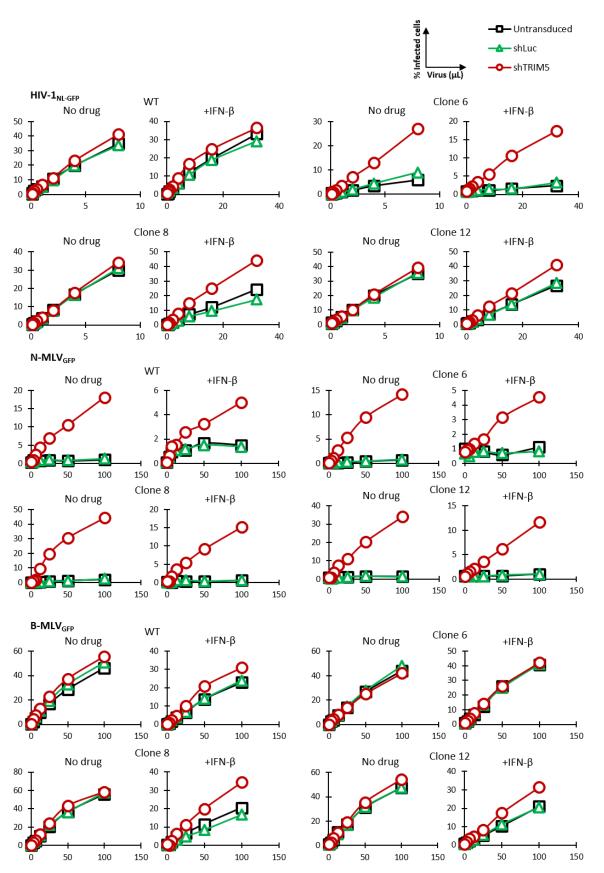




Figure 4. TRIM5 α knockdown rescues HIV-1 from restriction in gene-edited cells. Parental Jurkat cells and clone 6, 8 and 12 cells were lentivirally transduced with shRNAs targeting TRIM5 α or Luc as a control, or left untransduced. Cells were then infected with increasing amounts of HIV-1_{NL-GFP}, N-

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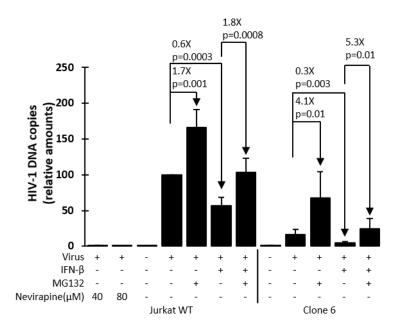
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302 MLV_{GFP} or B-MLV_{GFP} in the absence or presence of IFN-β. The % of GFP-positive cells was determined
 303 2 days later by FACS.

304 3.4. Mechanism of inhibition by edited TRIM5 α

305 TRIM5 α -mediated restriction decreases retroviral cDNA synthesis by reverse transcription, and 306 this mechanism of restriction is counteracted by the proteasome-targeting drug MG132 [39,66]. qPCR 307 was used to analyze HIV-1 cDNA synthesis in clone 6 and in parental unmodified cells in the 308 presence or absence of IFN- β and MG132 (Figure 5). Following a short exposure to HIV-1_{NL-GFP}, total 309 cellular DNAs were prepared and qPCR was performed using primers complementary to the HIV-1 310 vector-specific gene GFP, which is representative of late reverse transcription cDNA. To adjust for 311 DNA amounts in the reactions, GAPDH DNA was quantified as well. Consistent with the known 312 mechanism of action for TRIM5 α , we found HIV-1 cDNA amounts to be significantly smaller (≈ 6 -313 fold) in clone 6 cells, as compared to the parental cells, in the absence of drug (Figure 5). In the 314 presence of IFN- β , this effect was more pronounced, consistent with the stimulation of TRIM5 α -315 mediated restriction by IFN-I. Conversely, the reduction in HIV-1 cDNA amounts in clone 6 was only 316 2.5-fold compared to WT in the presence of MG132, showing that MG132 attenuated this restriction 317 phenotype, as expected. Accordingly, MG132 rescued HIV-1 cDNA synthesis by a larger magnitude 318 in clone 6 (4.1-fold) than in the WT cells (1.7-fold), in the absence of IFN-β. In conclusion, these results 319 show that HIV-1 infection is inhibited via the expected mechanism in the *TRIM5*-edited clone 6 cells.

320



321 Figure 5. HIV-1 cDNA synthesis is inhibited in TRIM5-edited clone 6 Jurkat cells but is rescued by 322 MG132 treatment. Parental (WT) Jurkat cells and clone 6 cells were treated or not as indicated with 323 IFN- β , MG132 or the reverse transcriptase inhibitor nevirapine (as a DNA contamination control). 324 Cells were then infected for 6 h with HIV-1NL-GFP, followed by DNA extraction and qPCR with primers 325 specific for HIV-1 vector cDNA (GFP sequence) and for the cellular gene GAPDH for normalization 326 purposes. Results are presented as HIV-1 cDNA copy numbers adjusted according to GAPDH copy 327 numbers and normalized to the no-drug WT cells control which is set at 100%. P-values were 328 calculated using the Student's T-test.

329 4. Discussion

Despite an increased focus from the HIV-1 research community on cure research in recent years [67], aiming in particular at eliminating latent reservoirs [3], we are still far from a sterilizing or

functional cure. Similarly, and despite some recent conceptual advances such as novel strategies to

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333 elicit the production of broadly-neutralizing antibodies [68], vaccine prospects remain seemingly out 334 of reach [69,70]. The field of HIV-1 drug research continues to show impressive progresses, such as 335 the ongoing emergence of long-acting antiviral drugs [71] and of the HIV-1 capsid protein as a novel 336 drug target [72]. However, curative treatments are still elusive, and multidrug resistance is a 337 persistent concern [73]. Thus, the search for alternative strategies is still a priority. HIV-1 is one of the 338 rare infectious diseases for which a genetic intervention is sensible. Indeed, it is a life-long infection 339 whose treatment is expensive, and which results in diminished life expectancy and lessened quality 340 of life, even in successfully treated patients [74-76]. If successful, a one-off genetic intervention would 341 represent an attractive option for HIV-1 patients. Most genetic strategies presently explored with the 342 aim of inhibiting HIV-1 involve the knockdown or knockout of the HIV-1 co-receptor CCR5, but this 343 approach is not without caveats (see introduction).

344 In this study, we demonstrate that editing of the intrinsic innate effector TRIM5 α protects human 345 cells against infection by HIV-1. Although such an approach has been conceptualized before by us 346 and others [60,77], to the best of our knowledge this study constitutes the first proof-of-concept for 347 the protection against a pathogen provided by editing of an innate effector. Yet, we encountered a 348 major difficulty, in that biallelic modification seemed to be a rare event, with only one out of 6 HDR-349 edited clones affecting both alleles. Clones with R332G-R335G introduced into only one of the two 350 alleles showed a mild restriction phenotype, which necessitated IFN-I treatment to be revealed. Co-351 expression of restrictive and nonrestrictive TRIM5 α alleles is expected to lead to a weak restriction 352 phenotype. Indeed, distinct TRIM5 α proteins can form heterodimers [57,58], and thus a TRIM5 α 353 lattice containing both capsid-targeting and -nontargeting monomers would bind the viral core 354 weakly. If TRIM5 α is to be pursued in gene editing approaches to suppress HIV-1, it is crucial to 355 develop strategies that improve the rate of biallelic editing. When this project was initiated, co-356 transfection of CRISPR components and donor DNA was the only option available for precise 357 genome editing. Methods for introducing discrete substitutions in eukaryotic genomes have vastly 358 improved and diversified since, and now include adeno-associated viral vector-mediated delivery of 359 the donor DNA for HDR [78]; various pharmacological, physical or genetic methods to improve HDR 360 rates [79-82]; and base editing, which does not require DNA cuts nor a donor DNA [83]. Taking 361 advantage of these recent innovations, we consider it likely that it will soon be possible to achieve 362 efficient biallelic editing of TRIM5, along with other restriction factor genes, in human cells, resulting 363 in a profound disruption of HIV-1 infectivity.

Supplementary Materials: The following are available online at www.mdpi.com/xxx/s1, Figure S1: HDR
 editing-specific PCR screening of Jurkat cells transfected with CRISPR-Cas9 RNPs and donor ssDNA, Figure S2:
 HaeIII screening of selected Jurkat clones, Figure S3: MiSeq sequencing results for all clones analyzed, Figure S4:
 Permissiveness of HDR-edited clone 17 to HIV-1NL-GFP infection.

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Editing of the TRIM5 gene decreases the permissiveness of human T lymphocytic cells to HIV-1

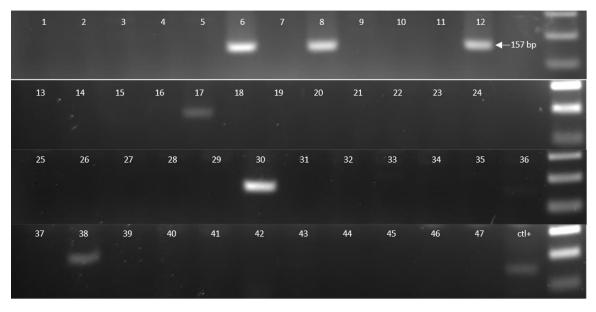


Figure S1. HDR editing-specific PCR screening of Jurkat cells transfected with CRISPR-Cas9 RNPs and donor ssDNA. 47 clonal cell populations were lysed and subjected to a PCR assay in which one of the primers is complementary to the correctly HDR-edited *TRIM5* region targeted for mutagenesis. A PCR product of the expected size was found in clones 6, 8, 12, 17, 30, 38. Ctl+ consisted of Jurkat cells following CRISPR components transfection but prior to the isolation of clones.

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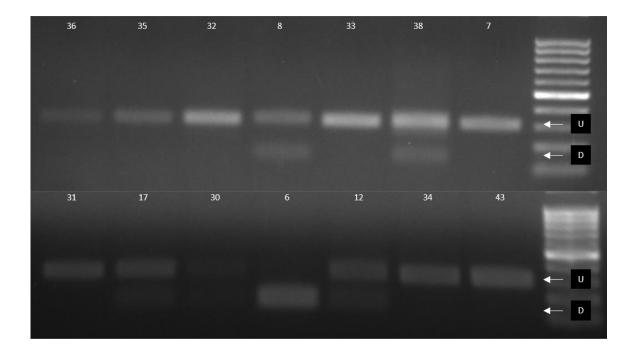


Figure S2. HaeIII screening of selected Jurkat clones. The 6 clones found to be positive in the HDR editingspecific PCR test, along with 8 randomly chosen negative clones, were subjected to a PCR assay using primers that bind outside of the genomic region complementary to the HDR donor DNA, followed by digestion with HaeIII. U and D indicates bands of the expected size for the undigested PCR product and the HaeIII digestion products, respectively.

Editing of the TRIM5 gene decreases the permissiveness of human T lymphocytic cells to HIV-1

WT Donor DNA	gRNA target Cut site PAM			
	gRNA blocking mutation	N mutations	Indels	Subst.
Clone6	CCGAAACCACAGATAATATACGGGGGCCGGCACAAGATACCAGACATTT	5/8	n	n
	CCGAAACCACAGATAATATATGGGGCCGGCGGCACAGGATACCAGACATTT	5/8	n	n
Clone 7	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Clone 8	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
	CCGAAACCACAGATAATATATGGGGCCGGCGGGTACAGGATACCAGACATTT	4/8	n	y
	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Clone 12	CCGAAACCACAGATAATATATGGGGCCGGCGGGTACAGGATACCAGACATTT	4/8	n	y
	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Clone 17	CCGAAACCACAGATAATATATGGGGCCGGCGG <mark>T</mark> ACAGGATACCAGACATTT	4/8	n	y
Clone 30	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
	CCGAAACCACAGATAATATATGGGGC CGGC GG T ACAGGATACCAGACATTT	4/8	n	y
Clone 31	CCGAAACCACAGATAATATATGGG-CACGAGGGACAAGATACCAGACATTT	0/8	y	n
	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Clone 32	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Clone 33	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
	CCGAAACCACAGATAATATATGGG_CACGAGGGACAAGATACCAGACATTT	0/8	y	n
Cione 33	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Clone 34	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Clone 35	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Clone 36	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
Clone 38	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT CCGAAACCACAGATAATATATGGGGCCGCGGCGGTACAGGATACCAGACATTT	0/8 0/8 4/8	n n	n y
	CCGAAACCA <mark>T</mark> ATGAATTTCAATTATT	-/-	у	у
Clone 43	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n
	CCGAAACCACAGATAATATATGGGGCACGAGGGACAAGATACCAGACATTT	0/8	n	n

Figure S3. MiSeq sequencing results for all clones analyzed. This is an extended version of Figure 1, showing sequencing results for the 8 randomly chosen clones negative for HDR editing in the specific PCR test, in addition to the 6 clones found to be positive.

Editing of the TRIM5 gene decreases the permissiveness of human T lymphocytic cells to HIV-1

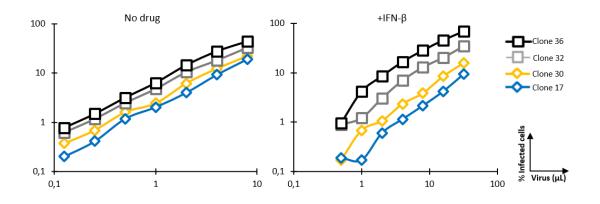


Figure S4. Permissiveness of HDR-edited clone 17 to HIV-1_{NL-GFP} infection. Jurkat clonal cell populations were analyzed for permissiveness to HIV-1 infection. Clones 17 and 30 are monoallelically edited to express R332G-R335G TRIM5 α whereas clones 32 and 36 are unedited. Cells were infected with increasing doses of HIV-1_{NL-GFP} in the presence or not of IFN- β . The percentage of cells expressing GFP was determined by FACS two days later.