**Comprehensive analysis of Japanese population history by detecting**

**ancestry-marker polymorphisms without using ancestral genomic**

**information**

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**Abstract**

Modern Japanese are considered to derive from a mixture of two major ancestral populations: the indigenous Jomon people and immigrants from continental East Asia. Since most of the existing methods for detecting genetic components from ancestral populations require their genomes, ancestral genomic components in Japanese could not detected so far due to the lack of precisely sequenced ancient Jomon genomes. To overcome the difficulty, we developed a reference-free detection method using a novel summary statistic, the ancestry-marker index (*AMI*). We applied the *AMI* to modern Japanese samples from the 1000 Genomes Project and identified 208,648 ancestry-marker SNPs that were likely derived from the Jomon people (Jomon-derived SNPs). Comparing the Jomon allele score detected in this study with modern Japanese and two ancient Jomon individuals showed that the Jomon derived SNPs were detected with high accuracy by the *AMI* in real data, and that the Jomon derived SNPs were detected by several tens of times from a single Jomon individual by the *AMI*. The analysis of Jomon-derived SNPs in 10,842 modern Japanese individuals recruited from all the 47 prefectures of Japan showed that the genetic differences among the prefectures were mainly caused by differences in the admixture proportion of the Jomon people, due to the difference of population size of immigrants in the final Jomon to the Yayoi period. We also confirmed the presence of the Jomon alleles around phenotype associated SNPs characteristic of East Asians to clarify whether these phenotypes of modern Japanese were derived from the Jomon people.

## Introduction

Modern Japanese populations are divided into three main populations: the Ainu, who live mainly in Hokkaido; the Ryukyuan, who live mainly in Okinawa; and mainland Japanese, who live in Honshu, Shikoku, and Kyushu (Fig. 1). As a powerful hypothesis of the formation processes of Japanese populations, a dual structure model(Hanihara 1991) was proposed based on morphology. This model assumes that Japanese originated through a mixture of the Jomon people, who settled in the Japanese archipelago during the Jomon period (from 16,500 YBP to 2,800 YBP)(Habu 2004; Mizoguchi 2013; Fujio 2015), and the immigrants came to the Japanese archipelago from continental East Asia around the beginning of the Yayoi period (around 2,800 YBP)(Fujio 2015). According to this model, compared to mainland Japanese, the Ainu and the Ryukyuan were genetically less influenced by immigrants. Findings from genetical studies support the dual structure model(Jinam et al. 2012; Jinam et al. 2015; Nakagome et al. 2015; Kanzawa-Kiriyama et al. 2017; Kanzawa-Kiriyama et al. 2019). Whole-genome analyses extracted from the remains of the Jomon people have revealed that the Jomon were highly differentiated from other East Asians, being genetically closely related to the Ainu/Ryukyuan, and that 10–20% of genomic components found in mainland Japanese are derived from the Jomon people(Kanzawa-Kiriyama et al. 2017; Kanzawa-Kiriyama et al. 2019). However, it is still unknown whether there are regional differences in the admixture degree between the Jomon people and immigrants in mainland Japanese and how they admixed in the Japanese Archipelago. To solve these problems, it is necessary

to comprehensively detect genomic components or single nucleotide

polymorphisms (SNPs) in mainland Japanese that were derived from Jomon

people and analyze them in detail at the prefectural level.

In populations derived from a mixture of two source populations,

recombination between haplotypes from different source populations inevitably

occurs after the admixture event. As a result, haplotypes from two ancestral

populations are patchily present in the chromosomes of admixed populations,

and the alleles in the haplotypes from each ancestral population are in linkage

disequilibrium (LD) with each other (Supplementary Fig. 1). Most existing

methods(Price et al. 2009; Baran et al. 2012; Churchhouse and Marchini 2013;

Guan 2014; Hui et al. 2017) for estimating the local ancestry of genomes using

the LD state require genome information of ancestral populations or of modern

populations as proxies of ancestral populations. In the Japanese population, only

a few Jomon individuals(Kanzawa-Kiriyama et al. 2017; Mccoll et al. 2018;

Kanzawa-Kiriyama et al. 2019; Gakuhari et al. 2020) have been sequenced so

far. Furthermore, the sequence depths of these samples are low, except for the

Funadomari Jomon(Kanzawa-Kiriyama et al. 2019) people excavated from

Rebun Island in Hokkaido, making it difficult to obtain sufficient genome

information to accurately estimate the local ancestry of modern Japanese (i.e.,

the genomes of many Jomon individuals are required to perform highly accurate

studies). Therefore, at present, it is not possible to estimate the local ancestry of

modern mainland Japanese using previous methods. In this study, we developed

a method using a new summary statistic, the Ancestry-Marker Index (*AMI*), to

detect ancestry-marker SNPs derived from the Jomon people in modern

mainland Japanese that does not require genomes obtained from Jomon skeletal

specimens. Since the Jomon people have been found to be highly differentiated

from other East Asians(Kanzawa-Kiriyama et al. 2017; Kanzawa-Kiriyama et al.

2019), they are expected to have had specific variants that were not found in

other East Asians. Thus, the modern mainland Japanese also likely have specific

variants derived from the Jomon people. The *AMI* detects the Jomon-derived

variants based on the LD between Japanese specific variants. Based on the *AMI*,

we could successfully extract the Jomon-derived variants from real genomic data

of the Japanese. Using Jomon-derived variants, we clarified regional differences

among modern Japanese and estimated the population frequencies of alleles

associated with common phenotypes, which are characteristic of East Asians, in

the Jomon people. Based on these results, we propose a model of admixture

between the Jomon people and immigrants from continental East Asia in the

Japanese Archipelago.

**Results**

**Performance of *AMI***

To confirm the usefulness of the *AMI*, we performed a coalescent

simulation assuming a mixture of the Jomon people and continental East Asians

(Supplementary Fig. 2). The preliminary 10 Mb simulation suggested that modern

Japanese still have haplotypes of several megabases that are derived from the

Jomon people (see Supplementary Fig. 3 and Supplementary Texts).

There are three types of Japanese specific variants: (type 1) Jomon-

derived variants; (type 2) variants derived from continental East Asians; and (type

3) novel variants (see Supplementary Fig. 4 and Methods). Our aim is to

distinguish Jomon-derived variants (type 1) from (type 2) and (type 3). In the

subsequent 1 Mb simulation, Japanese specific variants (types 1, 2, and 3) were

extracted from each genealogy. The distributions of $AMI$ for Jomon-derived

variants (type 1) and other variant types (types 2 and 3) are shown in Fig. 2 (a).

It was found that Jomon-derived variants (type 1) had larger $AMI$ values than the

other Japanese specific variants (types 2 and 3). The receiver operating

characteristic (ROC) analysis showed that Jomon-derived variants (type 1) could

be distinguished from the other Japanese specific variants (types 2 and 3) by the

$AMI$ (area under the curve [AUC] = 0.91; Fig. 2 (b)). The Youden index, a

measure of the cutoff value, was 28.0374. We performed further simulations,

varying the split time between the Jomon people and continental East Asians or

the effective population size in simulation, to confirm robustness of $AMI$ to

different population history. Though the value of the Youden index varied

depending on the population history assumed, the Jomon-derived variants could

be accurately detected (Supplementary Fig. 5). According to Fig. 2, we set the

threshold for detecting Jomon-derived variants at $AMI$ > 28.0374.

We also attempted to detect Jomon SNPs by S*(Plagnol and Wall 2006;

Vernot and Akey 2014), a reference-free method previously proposed, and found

that S* was unable to detect Jomon SNPs, perhaps due to an insufficient number

of Jomon-derived specific variants of mainland Japanese (Supplementary Fig. 6

and Supplementary Texts).

**Detection of Jomon variants in real data**

Using the data set of 87 KPGP Koreans(Zhang et al. 2014) and 26 global

populations of 1KG(Auton et al. 2015), approximately 1.7 million SNPs were

found to be specific to mainland Japanese (1KG JPT). Of these 1.7 million SNPs,

208,648 SNPs exceeding the threshold of *AMI* were regarded as Jomon-derived

SNPs. Jomon-derived SNPs were distributed throughout the autosomal genome

(Supplementary Fig. 7). Hereafter, at the Jomon-derived SNPs, an allele only

found in the mainland Japanese population is called a "Jomon allele."

To examine the detection accuracy of Jomon-derived SNPs, we calculated

the Jomon allele score (*JAS*, see Methods section for details) for the

Ikawazu(Mccoll et al. 2018; Gakuhari et al. 2020)/Funadomari(Kanzawa-

Kiriyama et al. 2019) Jomon individuals and mainland Japanese. If Jomon-

derived SNPs were properly detected by the *AMI*, the *JAS* of the Ikawazu or

Funadomari Jomon were expected to be higher than those of mainland Japanese.

Of the JPT mainland Japanese, NA18976 was genetically close to continental

East Asians in PCA (Supplementary Fig. 8) and was expected to have a lower

*JAS*. The distribution of the *JAS* is shown in Supplementary Fig. 9. The mean

*JAS* of 103 mainland Japanese individuals, excluding NA18976, was 0.0164. As

expected, NA18976 had the lowest *JAS*, 0.00269, which was much lower than

that of the other mainland Japanese. The *JAS* in the Ikawazu/Funadomari Jomon

were 0.0523 and 0.0555, respectively, indicating that the Jomon alleles were

found more frequently in Jomon people than in the modern mainland Japanese.

These results suggest that the *AMI* could detect SNPs derived from the Jomon

people. In addition, the *JAS* values were only a few % for both Jomon individuals,

which suggests that the number of Jomon-specific variants obtained from *AMI*

analyses of modern Japanese were several tens of times greater than that

obtained from the whole genome sequence of a single Jomon individual.

**Detection of regional genetic differences in mainland Japanese by Jomon-**

**derived SNPs**

***JAS* by region and prefecture**

Previous prefecture-scale population studies showed that the Tohoku, Kanto,

and Kyushu populations (Fig. 1) are genetically more closely related to the

Ryukyuans, while the Kinki and Shikoku populations are more closely related to

continental East Asians(Yamaguchi-Kabata et al. 2008; Watanabe et al. 2020).

Based on these facts, we hypothesized that the genetic regional differences

among the modern mainland Japanese are caused by regional geographical

differences in the admixture proportion of the Jomon and immigrants from

continental East Asia. To verify this, we calculated the average *JAS* for each

geographic region and prefecture from imputed genotypes of 3,917 Jomon-

derived SNPs of 10,842 Japanese individuals previously used for regional

population genetic analysis(Watanabe et al. 2020). We removed the Hokkaido

samples, which were largely affected by the immigration of Japanese after the

Meiji period, for subsequent analysis and a total of 10,412 samples were used.

The samples of each prefecture except for Hokkaido were divided into ten

regions: Tohoku, Kanto, Hokuriku, Chubu, Tokai, Kinki, Chugoku, Shikoku,

Kyushu, and Okinawa in accordance with a previous study(Koyama 1979) (Fig.

1 and Supplementary Table 1). The *JAS*s in these ten geographical regions are

presented in Fig. 3 (a) and Supplementary Table 2. We found that the *JAS* was

the highest in Okinawa (0.0255), followed by Tohoku (0.0189) and Kanto (0.018),

and the lowest in Kinki (0.0163), followed by Shikoku (0.016). In prefecture scale,

the average *JAS* in mainland Japan tended to be higher in prefectures located in

the northernmost and southernmost parts of mainland Japan (Fig. 3 (b) and

Supplementary Table 3). The *JAS* was especially high in Aomori (0.0192), Iwate

(0.0195), Fukushima (0.0187), and Akita (0.0186) prefectures of the Tohoku

region, as well as Kagoshima Prefecture (0.0186) in Kyushu. Japanese

individuals in these prefectures are considered to possess more Jomon-derived

genomic components than those in other prefectures. Prefectures with lower

*JAS*s were in the Kinki and Shikoku regions, including Wakayama (0.0157), Nara

(0.0189), Kochi (0.016), Tokushima (0.0161), and Mie (0.0161). These

populations are considered to have more genomic components derived from

continental East Asians. The *JAS* of each prefecture and the principal component

1 (PC1) value, which was obtained from the principal component analysis (PCA)

of a previous study by the allele frequency of autosomal 183,708 SNPs in each

prefecture(Watanabe et al. 2020) are plotted in Fig. 3 (c). The *JAS* was strongly

correlated with PC1 ($R$ = 0.91, two-sided $t$-test $P$ = 2.2 × $10^{-16}$). The geographic

distribution was not changed by tighter cutoff values (AMI > 100) for the detection

of Jomon-derived SNPs by *AMI* (Supplementary Figure 10 and Supplementary

Texts).

To confirm the results obtained from *JAS*, *f3*(each prefecture; Jomon,

CHB)(Patterson et al. 2012) were also calculated (Supplementary Fig. 11). The

distribution of *f3*, shown in Supplementary Fig. 11 (a) and (b) is similar to the

distribution of the *JAS*s (Fig. 3 (b)), with *f3* being generally small in prefectures

with high *JAS*s, such as Kagoshima Prefecture in Kyushu and Aomori and Iwate

Prefectures in Tohoku, and was large in the prefectures of regions with low *JAS*s,

such as Kinki and Shikoku. Based on these two findings: a correlation between

the *JAS* and the PC1 of Fig. 3 (c) and the concordance between the geographical

distribution of *f3* and *JAS*, it is strongly suggested that the genetic regional

differences of modern Japanese can be explained mainly by regional

geographical differences in the admixture proportions of the Jomon people. It

should be emphasized that the admixture, although to varying degrees, widely

occurred throughout the Japanese archipelago. Notably, prefectures in the

Tohoku region showed higher *JAS*s than those in the Kyushu region. However,

*f3* values were lower in Kyushu than in Tohoku. Since the Jomon-derived SNPs

were detected in 1KG JPT (Japanese living in Tokyo), the specific variants

possessed by the Jomon people of the Kyushu region may not have been

detected, and thus the *JAS* in Kyushu may have been underestimated. In other

words, these results could reflect differences in the genetic background of Jomon

people in Tohoku and Kyushu. Overall, the geographical gradient of *f3* in

mainland Japan was more consistent with the *JAS* than the distances from the

locations (Funadomari and Ikawazu) where Jomon samples were taken.

We assumed that the regional differences in the *JAS* were related to regional

differences in population size during the Jomon period. Therefore, we examined

the correlation between *JAS* and three indexes related to the Jomon population

size. The *JAS* of each prefecture was significantly correlated with the number of

archeological sites from the Jomon period ($R$ = 0.69, two-sided *t*-test $P$ = 1.27×10$^{-7}$;

Fig. 4 (a)). The *JAS* of each region also correlated with the population size

estimated from the number of archeological sites in the Late Jomon period ($R$ =

0.7, two-sided *t*-test $P$ = 3.6×10$^{-2}$; Fig. 4 (b)). Moreover, the *JAS* of each

prefecture was strongly correlated with $\log_{10}$(number of archeological sites in the

Yayoi period/number of archeological sites in the Late Jomon period) ($R$ = -0.64,

two-sided *t*-test $P$ = 2.08×10$^{-6}$; Fig. 4 (c)). It is considered that Figs. 4 (a) and (b)

correspond to Jomon population size in the Jomon period and Fig. 4 (c)

corresponds to the population growth rate occurring from the Late Jomon period

to the Yayoi period. The correlation between *JAS* and population size in each

region suggests that the smaller the population size in the Jomon period, the

lower *JAS* in modern mainland Japan (i.e., the higher contribution of genomic

components of immigrants from continental East Asia).

To summarize the above results, we can conclude that genetic differences

among the regions of the modern Japanese population were mainly caused by

differences in the admixture proportion of the Jomon people and that differences

in the admixture proportion were caused by differences in the population sizes in

each region during the Final Jomon period. Regarding these, previous

morphological analyses showed that, of several populations in Japan, the

Hokkaido Ainu and contemporary Kinki populations had contrasting cranial

morphologies, while other modern regional populations were intermediate, with

the Tohoku population being relatively similar in morphology to the Hokkaido

Ainu(Hanihara 1985; Hanihara 1991). Archeological evidence suggests that

immigrants from continental East Asia first reached northern Kyushu(Habu 2004),

which seems contradictory considering that the *JAS* was lower in the Kinki and

Shikoku regions than in northern Kyushu. The reason for this could be that the

Kinki and Shikoku regions had a smaller population size during the Final Jomon

period (Fig. 4), and thus, the proportion of genomic components derived from the

immigrants became larger than in the other regions. In this study, we could clearly

evaluate the similarity of local populations to the Jomon people using the Jomon-

derived SNPs, and could clarify the main cause of genetic differences among the

regional populations in mainland Japan.

**PCA of prefectures according to Jomon allele frequency**

A PCA was conducted for 46 Japanese prefectures using Jomon allele

frequencies (Supplementary Fig. 12 (a)). This PCA demonstrated that Okinawa

Prefecture was separated from the other prefectures by PC1. Next, 45

prefectures in mainland Japan (Okinawa was excluded from further analyses

based on Supplementary Fig. 12 (a)) were analyzed in PCA (Supplementary Fig.

12 (b)). The PC1 showed that prefectures in the Tohoku and Kanto regions,

where higher *JAS*s were observed among Japanese prefectures, were greatly

differentiated from prefectures in Kinki and Shikoku, where lower *JAS*s were

observed (Fig. 3). The *JAS* was strongly correlated with the PC1 of

Supplementary Fig. 12 (b) ($R$ = -0.94, $P$ < $2.2 \times 10^{-16}$; Supplementary Fig. 13),

which shows that PC1 reflects the ancestry proportion of Jomon people in each

prefecture. In contrast, the PC2 of Supplementary Fig. 12 (b) was strongly

correlated with both the latitude and longitude of each prefecture (latitude: $R$ =

0.78, two-sided *t*-test $P$ = $2.30 \times 10^{-10}$, longitude: $R$ = 0.66, two-sided *t*-test $P$ =

$9.31 \times 10^{-7}$; Supplementary Fig. 14 (a) and (b)). These results indicate that the

PC2 is determined by the geographical location of each prefecture, which might

reflect that the genetic background of the Jomon people may differ according to

the geographical locations in the Japanese archipelago. Previous studies have

shown regional differences in the skeletal morphology of the Jomon people in the

Japanese archipelago(Kaifu 1995; Maeda 2002; Takigawa 2006; Fukase et al.

2012; Kondo et al. 2017). For example, Kondo et al. suggested that Jomon

craniofacial morphology, especially in the neurocranium, exhibit a northeast-to-

southwest geographical cline across the Japanese archipelago(Kondo et al.

2017). To the best of our knowledge, including studies with ancient Jomon

genomes, this is the first genome-wide study to refer to the genetic regional

differences among the Jomon people in the Japanese archipelago.

**Haplotype structures composed of Jomon-derived SNPs in genes**

**associated with characteristic phenotypes of East Asians**

To estimate the phenotype frequencies in the Jomon people, we investigated

the haplotype structures of four genes (*ABCC11*, *EDAR*, *ALDH2*, and *ADH1B*),

each having a nonsynonymous SNP associated with characteristic phenotypes

of East Asians(Harada et al. 1981; Edenburg H.J. and Bosron W.F. 1997;

Yoshiura et al. 2006; Fujimoto et al. 2008; Kimura et al. 2009). The derived alleles

of these four nonsynonymous SNPs are associated with the following

phenotypes: *ABCC11* rs17822931: dry ear wax(Yoshiura et al. 2006), *EDAR*

rs3827760: thicker hair(Fujimoto et al. 2008) and shovel-shaped incisors(Kimura

et al. 2009), and *ALDH2* rs671 and *ADH1B* rs1229984: lower alcohol

tolerance(Harada et al. 1981; Edenburg H.J. and Bosron W.F. 1997). Haplotype

structures composed of Jomon-derived SNPs are shown in Fig. 5. The

haplotypes in each region could be classified into four types according to the

presence or absence of the derived allele associated with the phenotype, and the

composition of Jomon-derived alleles. The frequency of each haplotype in the

Japanese population is presented in Table 1. Here, the haplotypes containing the

Jomon-derived SNPs are called "Jomon-derived haplotypes." For *ABCC11* and

*EDAR*, Jomon-derived haplotypes were observed for both ancestral and derived

alleles of the phenotype-associated SNPs. In *ABCC11* (Fig. 5 (a)), the

frequencies of the Jomon-derived haplotypes in mainland Japanese were 10.6%

for the ancestral allele (wet ear wax) and 24% for the derived allele (dry ear wax).

In *EDAR* (Fig. 5 (b)), the Jomon-derived haplotype frequencies were 14.9% for

the ancestral allele (thinner hair and non-shovel-shaped incisors) and 17.3% for

the derived allele (thicker hair and shovel-shaped incisors) in mainland Japanese.

The haplotypes containing ancestral and derived alleles of the phenotype-

associated SNPs had different Jomon alleles. Thus, it is unlikely that these

haplotypes were generated by recombination in the Japanese population after

the admixture between the Jomon and immigrants from continental East Asia.

The present results suggest that modern Japanese have derived alleles from

both the Jomon people and immigrants from continental East Asia in *EDAR* and

*ABCC11*. Previous studies examining ancient DNA of the Hokkaido Jomon

population obtained from archeological sites showed that the derived allele (dry

ear wax) of *ABCC11* was present in the Hokkaido Jomon population at a

frequency of 47.6%(Sato et al. 2009; Kazuta et al. 2011). As for *EDAR*, although

the frequency of the derived allele (shovel-shaped incisors and thicker hair) in the

Jomon people has not been estimated, it has been shown that shovel-shaped

incisors were found at a frequency of 68.9% in the Jomon people(Matsumura

1994). The results of these previous studies are consistent with our results. In

*ALDH2* rs671 (Fig. 5 (c)), the Jomon-derived haplotypes containing the derived

allele of phenotype-associated SNPs were found to be rare (2.4%) in modern

Japanese, and the number of Jomon alleles per Jomon-derived haplotype

containing the derived allele was very small. This suggests that the Jomon people

had few derived alleles (lower alcohol tolerance) of rs671, and most of the derived

alleles found in modern Japanese originated from continental East Asians. In

*ADH1B* rs1229984 (Fig. 5 (d)), the total frequency of the Jomon-derived

haplotypes was relatively lower than that of the other three genes. The

frequencies of the Jomon-derived haplotype were 3.8% for the ancestral allele

(higher alcohol tolerance) and 4.8% for the derived allele (lower alcohol

tolerance). In addition, when we calculated the number of Jomon-derived SNPs

per 1 Mb at the genome-wide scale (Supplementary Fig. 15), we found that the

number of Jomon-derived SNPs was especially small in the region around

*ADH1B* (red dashed line). Therefore, regarding *ADH1B*, it is possible that the

Jomon people possessed the derived allele of rs1229984 at a higher frequency

compared to that of *ALDH2,* but both the Jomon-derived haplotypes with

ancestral and derived alleles may have been lost after the admixture in Japanese.

Koganebuchi et al., (Koganebuchi et al. 2017) previously estimated that most of

the derived alleles in *ALDH2* originated from immigrants from continental East

Asia, which agrees with our results, while they concluded that the genetic

contribution of immigrants was small for *ADH1B*, which contradicts the results of

this study. Their study(Koganebuchi et al. 2017) assumed that, among mainland

Japanese, the population in northern Kyushu had a relatively large genetic

contribution from immigrants, but this assumption is inconsistent with the *JAS*

estimated in the present study (Fig. 3 (b)). Thus, it is more plausible that *ADH1B*

haplotypes of mainland Japanese were introduced mainly by immigrants from

continental East Asia, regardless of the allelic status (ancestral or derived) of

rs1229984.

**Discussion**

In this study, we developed the *AMI* as a summary statistic to detect the Jomon-derived variants in modern Japanese without requiring any genomic sequences from the former. The computer simulation showed that *AMI* can detect ancestral variants with high accuracy, even in an admixed population whose source populations diverged tens of thousands of years ago. Since we were able to detect Jomon-derived SNPs by the *AMI* even changing the population history in the simulations, the present approach using the *AMI* is likely to be applied to other admixed populations which source population whose source populations diverged relatively recently. Potential applications include the population of Madagascar(Pierron et al. 2017; Pierron et al. 2018) and the current Polynesian population(Skoglund et al. 2016; Pugach et al. 2018), which were formed around hundreds to thousands years ago by population admixture. As exemplified by these cases, the genetic diversity of modern humans has been greatly influenced by population admixture events(Lazaridis et al. 2014; Nielsen et al. 2017). The *AMI* will be a powerful tool for clarifying the population history of not only the Japanese but also other admixed populations.

As for the process of population formation in the Japanese archipelago from the Late Jomon period to the present, we propose a model, which is shown in Fig. 6. From the Late to Final Jomon period, the Jomon people settled down in mainland Japan, and the population size or the population density of the Jomon people varied among regions. According to Koyama 1979(Koyama 1979), based

on the number of archeological sites, it was estimated that the population sizes

in the Tohoku and Kanto regions were relatively large at 43,800 and 52,100,

respectively, while those in the Kinki and Shikoku regions were relatively small at

4,400 and 2,700, respectively. Thus, in the Kinki and Shikoku regions, modern

Japanese have lower degrees of genomic components derived from the Jomon

people. In the Final Jomon period, continental East Asians arrived in northern

Kyushu and started to admix with the Jomon people in all regions of mainland

Japan. During the Yayoi period, the population size of immigrants was relatively

increased in the Kinki and Shikoku regions, where the populations were small at

the end of the Jomon period. Further analyses of ancient human DNA from the

Final Jomon period to the Yayoi period will allow the verification of the Japanese

population history model proposed in this study.

**Methods**

**Coalescent simulation by Msprime**

To investigate the characteristics of the Jomon-derived autosomal genomic

components of mainland Japanese, we conducted a coalescent simulation

assuming the admixture of the Jomon and continental East Asians using

msprime(Kelleher et al. 2016) (Supplementary Fig. 2). A feature of the msprime

program is that it specifies the time and population where the mutation and

coalescence occurred. Our simulation code was made with reference to a

previous study(Browning et al. 2018). The split between the Jomon ancestors

and continental East Asians was set to 1,200 generations ago (30,000 YBP),

according to the divergence time estimated in Kanzawa-Kiriyama et al.,

(Kanzawa-Kiriyama et al. 2019) (between 18,000 YBP and 38,000 YBP) and the

beginning of the Jomon period (around 16,000 YBP)(Habu 2004). Migration from

continental East Asia to mainland Japan was set between 120 and 80

generations ago, with reference to the beginning of the Yayoi period, around

2,800 years ago(Fujio 2015). The total admixture proportion of the Jomon people

in the modern mainland Japanese was set to 12%(Kanzawa-Kiriyama et al. 2017).

The effective population size was set to 5,000 for both populations. The mutation

rate and recombination rate were set to $1.2 \times 10^{-8}$ per bp per generation and

$1.3 \times 10^{-8}$ per bp per generation, respectively(International Human Genome

Sequencing Consortium 2001; Altshuler et al. 2010; Kong et al. 2012; Scally and

Durbin 2012).

This study aimed to detect Jomon-derived variants based on LD among

Japanese specific variants. There are three types of Japanese specific variants:

(type 1) Jomon-derived variants, which appeared in the Jomon lineage before the

admixture event; (type 2) variants derived from continental East Asians, which

appeared in the continental East Asian populations and were moved into the

Japanese lineages through the admixture, but were eventually lost in the East

Asian population; and (type 3) novel variants that appeared only in Japanese

lineages after the admixture. It is worth noting that Japanese specific variants

generated earlier than the split time of the Jomon people and the continental East

Asians were classified as Jomon-derived variants (type 1). Of these Japanese

specific variants, the Jomon-derived variants (type 1) are considered to be

accumulated on the same haplotype or to be in strong LD with each other

(Supplementary Fig. 4 (b)). We compared the LD status of three types of

Japanese specific variants by coalescent simulations. The origin of each

haplotype of mainland Japanese can be estimated by coalescent time to the

haplotypes of the Jomon or continental East Asians by msprime simulations. That

is, if a haplotype of a mainland Japanese sample coalesced with haplotypes of

Jomon samples earlier than the admixture of the Jomon people and continental

East Asians, the haplotype is inferred to be derived from Jomon. To extract the

three types of Japanese specific variants (i.e., variants not found in samples from

continental East Asians), 3,000 replicates of 1 Mb simulations were performed.

We sampled 200 haplotypes from each of the four populations (modern mainland

Japanese, modern continental East Asians, Jomon people 120 generations ago,

and continental East Asians 120 generations ago) to detect variants observed in

modern mainland Japanese but not seen in continental East Asians. Each

Japanese specific variant was classified into (type 1) the Jomon-derived variant,

(type 2) the continental East Asian-derived variant, and (type 3) the novel variant

based on when and in which lineage the mutation occurred (Supplementary Fig.

4 (a)). For each type of the Japanese specific variant, the ancestry marker index

(*AMI*) was calculated as:

$AMI$

$$= \frac{\{Number\ of\ variants\ with\ linkage\ disequilibrium\ coefficients\ (r^2)\ > 0.01\}}{(Number\ of\ Japanese\ specific\ variants\ per\ KB)}$$

Jomon-derived variants are expected to have higher *AMI* values. The

performance of the *AMI* was verified by receiver operating characteristic (ROC)

analysis using the ROCR package in R. The threshold to detect Jomon-derived

variants was determined based on the Youden Index.

**Detection and verification of Jomon-derived SNPs on autosomes using real**

**data**

**Detection of Jomon-derived variants in real data**

Jomon-derived SNPs were inferred from the whole genome sequence data

from 26 populations from different parts of the world, including mainland

Japanese (JPT) and four continental East Asian populations (CHB, CHS, CDX,

and KHV), obtained from the 1000 Genomes Project Phase III (1KG)(Auton et al.

2015), and 87 individuals from the Korean Personal Genome Project(Zhang et al.

2014). In this study, only biallelic SNPs were used. Prior to extracting the Jomon-

derived SNPs, we performed a principal component analysis (PCA) in PLINK

(version 1.9)(Purcell et al. 2007) using 1KG mainland Japanese (JPT) and Han

Chinese (CHB) data. During this analysis, we found that one JPT individual

(NA18976) was close to the continental East Asians (Supplementary Fig. 8), so

NA18976 was excluded from subsequent analyses. First, 1,784,634 SNPs

specific to 1KG JPT were detected using VCFtools v0.1.13(Danecek et al. 2011).

Next, LD coefficients ($r^2$) were calculated between the Japanese specific SNPs

located within 1 Mb from each other with the --hap-r2 option of VCFtools in

combination with the --ld-window-bp option. The number of SNPs with $r^2$ > 0.01

was counted for each Japanese specific SNP. The density of Japanese specific

variants per 1 kb of each chromosome was calculated using the --SNPdensity

option of VCFtools, and the *AMI* was calculated for each Japanese specific SNP.

To eliminate the possibility of sequence errors, regions with a density of Japanese

specific variants per kb below a mean of - 1sd of each chromosome were

excluded from the analysis. In this analysis, we assumed that the number of

Japanese specific variants per kb, which is the denominator of the *AMI*, is

constant for each chromosome (i.e., the numerator of the *AMI* was normalized

for each chromosome). Based on the threshold set by the ROC analysis of

simulated Japanese specific variants, we inferred variants originating from the

Jomon people.

**Verification of Jomon-derived SNPs based on whole-genome sequence**

**data from Jomon remains**

For the verification of Jomon-derived SNPs based on the whole genome

sequence data, the "Jomon allele score" (*JAS*) was calculated for the

Ikawazu(Mccoll et al. 2018; Gakuhari et al. 2020) and Funadomari(Kanzawa-

Kiriyama et al. 2019) Jomon, as well as for 104 individuals from the 1KG JPT.

The *JAS* was calculated using the following formula:

$JAS = \frac{(Jomon\ derived\ allele\ count)}{2*(\text{Number of total Jomon}-\text{derived SNPs})}.$

The BAM file of the Ikawazu Jomon was provided by Hiroki Ota of Tokyo

University, Tokyo, Japan, and Takashi Gakuhari of Kanazawa University,

Ishikawa, Japan. The BAM file of the Funadomari Jomon was provided by Naruya

Saito from the National Institute of Genetics, Shizuoka, Japan, and Hideaki

Kanzawa-Kiriyama from the National Museum of Nature and Science, Tokyo,

Japan. The genotypes of Ikawazu Jomon and Funadomari Jomon samples were

called by the UnifiedGenotyper tool in the GenomeAnalysisToolkit version

3.6(McKenna et al. 2010). For the Ikawazu Jomon, the --mbq 30 --ploidy 2 --

output_mode EMIT_ALL_CONFIDENT_SITES options were specified. For the

Funadomari Jomon, the options described in the original paper were specified.

Jomon SNPs were subjected to LD pruning by the --indep-pairwise command of

PLINK (--indep-pairwise 1000 200 0.8). In addition, only the Jomon-derived SNPs

of depth ≥ 6 in the Ikawazu and Funadomari Jomon were used for the calculation

of the *JAS*. As a result, 4,458 SNPs were used to calculate *JAS*.

**Detection of regional genetic differences in mainland Japanese by Jomon-**

**derived SNPs**

**Sample data**

We used 183,708 SNPs from 10,842 individuals from the Japanese

archipelago published by Watanabe et al.(Watanabe et al. 2020). All the

individuals investigated in this study were customers of the Japanese Direct to

Consumer (DTC) genetic-testing service, HealthData Lab (Yahoo! Japan

Corporation, Tokyo, Japan). They were provided an agreement, and informed

consent was obtained for their data to be used for research. In this study, the

Japanese archipelago was divided roughly into eleven regions (Fig. 1 and

Supplementary Table 1): Hokkaido (430 individuals), Tohoku (746 individuals),

Kanto (3,990 individuals), Hokuriku (431 individuals), Chubu (410 individuals),

Tokai (933 individuals), Kinki (1,861 individuals), Chugoku (600 individuals),

Shikoku (314 individuals), Kyushu (1,016 individuals), and Okinawa (111

individuals). All statistical analyses were conducted at the Yahoo! Japan

Corporation, with personal information of the customers completely hidden. We

obtained approval from the Ethics Committee of the Yahoo! Japan Corporation.

**Imputation of genotypes of Jomon-derived SNPs**

Haplotype phasing and genotype imputation were performed using

EAGLE2(Loh et al. 2016) and Minimac3(Das et al. 2016), respectively, with whole

genome sequence data of 413 mainland Japanese(Watanabe et al. 2019)

phased by SHAPEIT2(Delaneau et al. 2013). After the imputation, Jomon-derived

SNPs with high imputation quality ($R^2 > 0.8$) were extracted. Also, LD pruning

was performed with PLINK (--indep-pairwise 1000 200 0.1), and a total of 3,917

Jomon-derived SNPs were used for the analysis.

**Geographical distribution of the Jomon allele score**

In subsequent analyses, individuals from Hokkaido that were largely affected by immigration after the Meiji period were excluded. Using 3,917 Jomon-derived SNPs, we calculated the *JAS* for individuals of each prefecture and compared them between regions and prefectures.

*f3*-**testing of prefectural populations in Japan**

The *f3*-test(Patterson et al. 2012) was carried out in order to examine the relatedness between contemporary populations of each prefecture in Japan and the Funadomari Jomon or Ikawazu Jomon. Each Jomon sample and the 1KG CHB were set as the source populations of admixture, and each prefecture of Japan was set as the target population, (described as *f3*(each prefecture; Jomon, CHB)) . LD pruning was carried out on whole genome SNPs common in the Japanese, 1KG CHB, Funadomari Jomon, and Ikawazu Jomon by PLINK (--indep-pairwise 1000 200 0.1), with 17,492 SNPs being used for subsequent analyses. For the Funadomari and Ikawazu Jomon people, VCF files were converted to PED files using VCFtools and combined with the PED file of the Japanese. The PED files were converted to eigenstrat format with the Admixtools(Patterson et al. 2012) convertf command, and then the *f3*-test was conducted with the Admixtools qp3Pop command.

**Examination of correlations between population size during the Jomon period and *JAS* in each prefecture**

We compared the population size estimated from the number of

archeological sites in each prefecture, assuming that the population size per

archeological site was constant in each prefecture during the same period. We

examined the correlations between (a) the average *JAS* in each prefecture and

the number of archeological sites from the Jomon period (obtained from the

Statistical report of buried cultural properties, Agency of Cultural Affairs, Japan;

https://www.bunka.go.jp/seisaku/bunkazai/shokai/pdf/h29_03_maizotokei.pdf),

(b) the average *JAS* in each region and the population size estimated from the

number of archeological sites in the Late Jomon period(Koyama 1979), and (c)

the average *JAS* in each prefecture and the $\log_{10}$(number of archeological sites

in the Yayoi period/number of archeological sites in the Late Jomon

period)(Koyama 1979). Finally, (a) and (c) were plotted for each prefecture, while

(b) was plotted for each region because data for each prefecture were not

available. Correlation test was conducted by R cor.test function (df = 43).

**589    PCA based on the Jomon allele frequencies of each prefecture**

590       The Jomon allele frequency was calculated for 50 randomly sampled

591    individuals from each prefecture using VCFtools version 0.1.13. A PCA was

592    performed based on the Jomon allele frequency using R version 3.6.0.

593    Correlation test between PC1 and JAS, and PC2 and longitude/latitude were

594    conducted by R cor.test function (df = 43).

**596    Analysis of haplotype structures composed of Jomon-derived SNPs in four**

**597    genes associated with characteristic phenotypes of East Asians**

We investigated the haplotype structures composed of Jomon-derived SNPs

in the regions surrounding SNPs associated with characteristic phenotypes of

East Asians (*ABCC11*: rs17822931(Yoshiura et al. 2006), *EDAR*:

rs3827760(Fujimoto et al. 2008; Kimura et al. 2009), *ALDH2*: rs671(Harada et al.

1981; Oota et al. 2004; Li et al. 2009; Luo et al. 2009; Koganebuchi et al. 2017),

and *ADH1B*: rs1229984(Edenburg H.J. and Bosron W.F. 1997; Osier et al. 1999;

Osier et al. 2002; Han et al. 2007; Li et al. 2007)). The --IMPUTE option of

VCFtools was used to extract haplotypes composed of Jomon-derived SNPs in

regions 500 kb upstream and downstream (1 Mb in total) of the SNPs associated

with phenotypes from the phased 1KG JPT whole genome dataset.

**Data availability**

The individual genotypes of 10,842 Japanese analyzed in this study are

not available to avoid personal identification. The list of Jomon-derived SNPs

detected in this study, and the allele frequencies of Jomon-derived SNPs in each

Japanese prefecture are available from the corresponding author upon request.

Our custom code for msprime simulation are available from the corresponding

author upon request.

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**Author contributions**

Y.W. and J.O. conceived the study. Y.W. designed and conducted the data

analyses. Y.W. performed the computer simulations. Y.W. wrote the manuscript

with support from J.O. J.O. supervised the project. All authors read and approved

the final manuscript.

**Competing interests**

The authors declare no competing interests.

**Table 1 Frequencies of the Jomon-derived haplotypes specified by**
**phenotypes associated SNPs in *ABCC11*, *EDAR*, *ALDH2*, and *ADH1B*.**

| (a) *ABCC11* | rs17822931 | | |
| --- | --- | --- | --- |
| | Ancestral | Derived | Total |
| Jomon-derived haplotype | 0.106 | 0.240 | 0.346 |
| Non-Jomon–derived haplotype | 0.014 | 0.639 | 0.653 |
| Total | 0.120 | 0.879 | |

| (b) *EDAR* | rs3827760 | | |
| --- | --- | --- | --- |
| | Ancestral | Derived | Total |
| Jomon-derived haplotype | 0.149 | 0.173 | 0.322 |
| Non-Jomon–derived haplotype | 0.048 | 0.630 | 0.678 |
| Total | 0.197 | 0.803 | |

| (c) *ALDH2* | rs671 | | |
| --- | --- | --- | --- |
| | Ancestral | Derived | Total |
| Jomon-derived haplotype | 0.125 | 0.024 | 0.149 |
| Non-Jomon–derived haplotype | 0.635 | 0.216 | 0.851 |
| Total | 0.760 | 0.240 | |

| (d) *ADH1B* | rs1229984 | | |
| --- | --- | --- | --- |
| | Ancestral | Derived | Total |
| Jomon-derived haplotype | 0.038 | 0.048 | 0.086 |
| Non-Jomon–derived haplotype | 0.231 | 0.683 | 0.914 |
| Total | 0.269 | 0.731 | |

**Figures**

**Fig. 1 Map of the Japanese prefectures.** The prefectures of Japan are divided

into eleven regions. The prefecture numbers in Supplementary Table 1 are

indicated (the corresponding prefecture names are given in Supplementary Table

1). In this study, "mainland Japanese" means the Japanese people except for

individuals from Hokkaido and Okinawa.

**Fig. 2 (a) Distribution of *AMI* simulated by msprime.** The histogram of *AMI* for

the Jomon-derived variants (type 1) and the other variants (types 2 and 3) are

shown. The red dashed line indicates the threshold of *AMI* (28.0374) obtained

from ROC analysis for the detection of the Jomon-derived variants (type 1). **(b)**

**ROC curve illustrating the performance of the *AMI* for the detection of the**

**Jomon-derived SNPs.** The ROC curve was drawn based on the simulated data

shown in Fig. 2 (a). The *AMI* showed high accuracy (AUC = 0.91) for

discriminating the Jomon-derived variants (type 1) from the other variants (types

2 and 3).

Fig. 3 (a) Distribution of *JAS* in ten regions. The boxplot of the *JAS* is

presented for each of the ten regions, excluding Hokkaido. **(b) *JAS* of each**

**prefecture in Mainland Japan.** The average *JAS* by prefecture was calculated.

Hokkaido and Okinawa prefectures are not illustrated. The prefecture with the

higher average *JAS* is illustrated by the darker color. **(c) Relationship between**

**the PC1 of the PCA performed in a previous study by the allele frequency**

**of autosomal 183,708 SNPs in each prefecture and average *JAS*.** Each

prefecture was colored according to the region of Japan in Fig. 1. Horizontal axe:

PC1, vertical axe: average *JAS*. Pearson's correlation coefficients (*R*), *P* values,

regression lines and 95% CI are shown.

**Fig. 4 Relationship between the *JAS* and values associated with the population size of prefectures in the Jomon to Yayoi periods.** The horizontal axis shows the average *JAS* and the vertical axis shows the (a) number of archaeological sites of the whole Jomon period, (b) population size in the Late Jomon period, and (c) $\log_{10}$ (number of archaeological sites in the Yayoi period/number of archaeological sites in the Late Jomon period). Pearson's correlation coefficients (*R*), *P* values, regression lines and 95% CI are shown in each figure. Each prefecture is colored according to the region in Fig. 1.

**Fig. 5 Haplotype structures composed of Jomon-derived SNPs in four genes associated with the characteristic phenotypes of East Asians.** The haplotype structures surrounding four nonsynonymous SNPs, (a) rs17822931 in *ABCC11* (associated with ear wax type), (b) rs3827760 in *EDAR* (associated with hair thickness and shovel-shaped incisors), (c) rs671 in *ALDH2* (associated with alcohol tolerance), and (d) rs1229984 in *ADH1B* (associated with alcohol tolerance) are illustrated. Each horizontal line represents each haplotype, and each vertical line represents each of the Jomon-derived SNP or phenotype-associated SNP. The derived alleles of the SNPs associated with phenotypes are shown in orange, and the ancestral alleles are shown in blue. The red color represents the Jomon allele, i.e., horizontal lines containing the red colored grid indicate the Jomon-derived haplotypes.

**Fig. 6 The formation process of the Japanese population from the Late**

**Jomon period to the present.** From the Late to the Final Jomon period, the

Jomon people settled down in Mainland Japan, and the population size varied

between regions. In the Final Jomon period, the continental East Asians arrived

in northern Kyushu and then admixed with Jomon people in all the regions of

Mainland Japan. In regions such as Kinki and Shikoku, where the population size

was smaller at the end of the Jomon period, modern Japanese have lower

degrees of genome components derived from the Jomon people.