1 **Title:** Relative water content consistently predicts drought mortality risk in seedling populations

2 with different morphology, physiology, and times to death.

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Abstract

Predicted increases in forest drought mortality highlight the need for predictors of incipient

drought-induced mortality (DIM) risk that enable proactive large-scale management. Such

predictors should be consistent across plants with varying morphology and physiology. Because of

their integrative nature, indicators of water status are promising candidates for real time

monitoring of DIM, particularly if they standardize morphological differences among plants. We

assessed the extent to which differences in morphology and physiology between *Pinus ponderosa*

populations influence time to mortality and the predictive power of key indicators of DIM risk.

Time to incipient mortality differed between populations but occurred at the same relative water

content (RWC) and water potential (WP). RWC and WP were more accurate predictors of drought

mortality risk than other physiological indicators, including non-structural carbohydrate (NSC)

content and percent loss of conductivity (PLC). These results highlight that variables related to

water status capture critical thresholds during DIM and the associated dehydration processes.

Both WP and RWC are promising candidates for large-scale assessments of DIM risk. RWC is of

special interest because it allows comparisons across different morphologies and can be remotely

sensed. Our results offer promise for real time landscape-level monitoring of DIM and its global

impacts.

Keywords: Drought, hydraulic failure, intraspecific variation, morphology, mortality, physiology,

non-structural carbohydrates, seedlings, water content, water potential.

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Introduction

Drought-induced forest mortality (DIM) is expected to increase across many regions with climate change (Dai 2013; Trenberth *et al.* 2014; Greenwood *et al.* 2017). Increases in DIM are expected to severely impact carbon cycles, species distributions, the economy, and global climate (Allen, Breshears & McDowell 2015). To anticipate DIM, indicators must allow real time monitoring of DIM risk. At the landscape level, such indicators must accurately predict DIM risk independently of physiological and morphological variation across and within species causing plants to differ in performance under drought (Cregg 1994). Much of the emphasis on plant drought responses and vulnerability to drought mortality has been at the species level (Bartlett, Scoffoni & Sack 2012; Choat *et al.* 2012). In contrast, variation within species, particularly species with wide distribution ranges and substantial morphological and physiological variation, is often overlooked despite the influence of such variation on drought performance (Cregg 1994; Tognetti, Michelozzi & Giovannelli 1997; Sergent, Bréda, Sanchez, Bastein & Rozenberg 2014; Garcia-Forner, Sala, Biel, Savé & Martínez-Vilalta 2016; Umaña & Swenson 2019). Hence, accurate, real time monitoring of DIM risk at large scales requires indicators of DIM risk that are robust and consistent regardless of variation in plant morphology and physiology. Additionally, for proactive management purposes, DIM indicators are most useful when, in addition to capturing the degree of DIM risk at a given level of drought, they can also distinguish the threshold at which a healthy population (no risk) becomes at risk of DIM (incipient mortality threshold) (Martinez-Vilalta, Anderegg, Sapes & Sala 2019; Sapes *et al.* 2019). Thus, the first step towards accurate, real-time, and large-scale monitoring of DIM risk is identifying indicators that show both consistent incipient mortality thresholds across populations as well as high predictive power of the actual DIM risk.

Mortality thresholds and the predictive power of DIM risk indicators may vary due to variation in morphology or physiology within and across species. For instance, wood density is positively related to resistance to cavitation (Hacke & Sperry 2001; Delzon, Douthe, Sala & Cochard 2010) and denser wood has been related to lower mortality rates (Greenwood *et al.* 2017). However, denser wood has also been shown to lead to higher mortality under drought (Hoffmann, Marchin, Abit & Lau 2011) highlighting that compensating factors such as deep roots (Padilla & Pugnaire 2016) or other strategies to avoid low water potential may offset the role of wood density. Similar patterns and offsetting mechanisms may occur within species if there is intraspecific variation in physiology and morphology. This example illustrates that robust

indicators of DIM risk should be insensitive to such variation so they can be used across a broad

range of plant types. Equally important is to identify indicators that can accurately assess DIM risk

from any organ available. For instance, leaf-based indicators will not be useful in plants that rely

on leaf shedding to survive under drought (Daubenmire 1972; Hastings, Oechel & Sionit 1989).

Indicators that can be measured in any organ are advantageous because they provide greater

measurement opportunities. However, to be useful for real-time, large-scale monitoring of DIM

risk, organ-specific indicators must have high predictive power and be consistent among each

other. Further, DIM risk indicators that integrate both morphological and physiological

adjustments are of critical interest because they are likely to show low variability in mortality

thresholds. Hence, assessing the relative consistency and predictive power of candidate predictors

of DIM risk across species, populations, and organs is important to identify useful large-scale

indicators of DIM risk.

Given that plants combine multiple strategies to maintain water balance (Mencuccini,

Minunno, Salmon, Martínez-Vilalta & Hölttä 2015), indicators of DIM that do not integrate their

combined effects may not show consistent incipient mortality thresholds and predictive power

among populations with varying strategies. Research on the mechanisms of DIM has identified

failure of water transport -measured as percent loss of conductivity (PLC)- as a common process

leading to DIM (Anderegg, Berry & Field 2012; Choat *et al.* 2012; Barigah *et al.* 2013; Hammond *et*

al. 2019). Non-structural carbohydrate (NSC) availability has also been shown to be important

(O'Brien, Leuzinger, Philipson, Tay & Hector 2014; Sapes *et al.* 2019), although the role is more

variable and still uncertain. While both water transport and NSC are needed to maintain water

balance and avoid DIM, other critical factors such as capacitance (Mcculloh, Johnson, Meinzer &

Woodruff 2014) and turgor (Guadagno *et al.* 2017, but see resurrection plants) are not integrated

in PLC or NSC measurements alone. Martínez-Vilalta et al. (2019) reasoned that water status

variables would be useful indicators of DIM risk because they directly reflect the plant water

balance and integrate the diverse processes and strategies -both morphological (Daubenmire

1972; Maherali & Pockman 2004; Matías, González-Díaz & Jump 2014) and physiological

(Subbarao, Nam, Chauhan & Johansen 2000; Meinzer *et al.* 2016)- that plants use to avoid lethal

dehydration. From all the water status variables, Martínez-Vilalta *et al.* (2019) highlighted water

potential and water content (including relative water content -RWC) as potential candidates for

assessment of DIM risk. However, they noted that water potential varies enormously across

species (Bartlett *et al.* 2012; Choat *et al.* 2012) thus making predictions species-specific (Venturas

et al. 2018; Simeone *et al.* 2019) and suggested that RWC would be less variable. Consistent with

the argument in Martínez-Vilalta *et al.* (2019), Sapes *et al.* 2019 showed that plant volumetric

water content integrates the effects of PLC and NSC depletion - critical physiological processes

leading to dehydration and DIM- and predicts DIM risk with high accuracy.

Indicators of plant water content are likely to display consistent incipient mortality

thresholds and these thresholds are likely detectable via remote sensing. To remain alive and

functional, cells must avoid permanent turgor loss which leads to cellular damage and plant death

(Guadagno *et al.* 2017). Because cell turgor requires maintaining cell water volume above certain

thresholds, plants are likely to show increasing mortality risk once RWC reaches the turgor loss

point. RWC is of special interest because it reflects the amount of water present in a plant, organ,

or tissue relative to the maximum it can hold (Barrs & Weatherley 1962; see below). Capturing the

true maximum water content of plants can be sometimes as methodologically challenging as

finding the true maximum hydraulic conductivity, the true minimum water potential in the field, or

the true NSC concentrations of a species (Sanders & Arndt 2012; Quentin *et al.* 2015; Fontes &

Cavender-Bares 2019). However, when maximum water content is correctly captured, RWC

thresholds will likely be more consistent among individuals or species because RWC accounts for

potential differences in maximum water content due to varying anatomy. Additionally, RWC

reflects the integrated effects of the physiological and morphological adjustments that plants

undergo to maintain water balance and prevent turgor loss, and it is likely to be more consistent

among populations and species than other less integrative indicators. Accordingly, Bartlett *et al.*

2012 showed that turgor loss occurs at similar RWC (but different water potential) across species

from different biomes, which inherently vary in morphology and physiology. The common RWC

values at turgor loss across species could indicate a common degree of maximum dehydration that

most woody plants can withstand prior to risking death. Lastly -and unlike PLC and NSC-, a clear

advantage of plant water content is that it can be estimated from organs to ecosystems via

remote sensing (Ullah, Skidmore, Naeem & Schlerf 2012; Wang & Li 2012; Mirzaie *et al.* 2014; Rao,

Anderegg, Sala, Martínez-Vilalta & Konings 2019; Marusig *et al.* 2020). Several remote sensing

studies have observed declines in canopy water content followed by increased drought mortality

(Saatchi *et al.* 2013; Asner *et al.* 2015). The potential for consistency and the fact that water

content can be remotely sensed, make RWC a great candidate for large-scale assessments of DIM

risk.

We performed a greenhouse drought experiment based on the point of no return (i.e., no

recovery after re-watering) with one-year-old ponderosa pine (*Pinus ponderosa* Douglas ex C.

Lawson) seedlings to assess the extent to which morphological and physiological variation

translate into differences in incipient population-level mortality thresholds and predictive power

of four potential indicators of DIM risk: PLC, NSC, water potential, and RWC. We used a common

garden approach with two genetically differentiated seedling provenances (North Plateau and

Northern Rocky Mountain) (Potter, Hipkins, Mahalovich & Means 2013) known to differ in

responses to drought (Cregg 1994). We focused on variability among populations and organs.

Specifically, we withheld water and asked 1) do populations differ in mortality rates over time?, 2)

if so, what physiological and morphological differences contribute to differences in mortality

rates?, and 3) do water status variables such as water potential and RWC show higher predictive

power and more consistent incipient mortality thresholds across populations and organs relative

to other indicators of DIM such as PLC and NSC?

Materials and Methods

Study Design

We performed a greenhouse drought experiment at the University of Montana

greenhouse facilities with one-year old ponderosa pine (*Pinus ponderosa* Douglas ex. C. Lawson)

seedlings from two genetically differentiated populations known as the North Plateau race (NP)

(42.6 N 122.8 W) and the Northern Rocky Mountain race (RM) (45.9 N 104.5 W) (Potter *et al.*

2013). Ponderosa pine is one of the most widely distributed species in North America and has

been extensively used as a representative gymnosperm in ecological, physiological, and forestry

studies. We chose one-year old seedlings because of their biological relevance for regeneration of

lower tree-line forests which is constrained by dry conditions (Simeone *et al.* 2019). On May 25th

2016 we planted 250 individuals from seed provided by the USDA Forest Service in 7.62 cm

diameter x 43 cm tall pots using a homogeneous soil mixture consisting of 3:1:1 sand, peat moss,

and top soil. Seeds started to germinate by June 2nd and seedlings were grown at soil field capacity

until they were big enough to be measured (ca. 6 cm height and 2.5 cm basal diameter), which

corresponded to February 24th 2017. Soil field capacity corresponded to soil volumetric water

content values (VWC_s) of ca. 20%. We monitored changes in VWC_s using Meter 5TE sensors placed

10 cm above the bottom of the pots in five representative seedlings of each population. Sensors

were inserted through a hole previously drilled in the side of the pots to minimize disturbance of

soil structure and root system damage, which started to reach the bottom of the pot by the end of
the experiment.

From February 24th 2017 to May 11th, seedlings underwent three drought pre-conditioning
cycles to simulate early summer conditions. During the first two cycles, we dried pots down to 50%
of their field capacity (VWC_s = 10%) after which we watered again to field capacity. On the last
cycle, pots were dried down to 25% of their field capacity (VWC_s = 5%), which corresponds to a soil
water potential of -0.7 MPa based on an empirical soil characteristic curve (see below), and then
watered again to field capacity. This drought preconditioning provided a more realistic response to
experimental severe drought since plants were able to acclimate to increasing drought as it tends
to occur in natural conditions. After the drought-preconditioning, water was withheld (final
drought) in all seedlings except a control group which was kept at field capacity. Based on a
preliminary drought experiment to assess symptoms of mortality as a function of soil drought and
to optimize sampling times and sample size, we started measurements 29 days after the start of
the drought treatment.

Sampling procedure

We assessed the degree of drought (i.e. soil water potential), seedling physiology, and
mortality risk on six weekly samplings starting on day 29 of the drought treatment. At each
sampling, we measured midday VWC_s in five randomly chosen seedlings from each population and
we used VWC_s to estimate soil water potential based on soil water-retention curves specific for
our soil type as in Sapes *et al.* (2019). VWC_s sensors were installed 24h prior to measurements to
reach equilibrium with soil conditions. We used the same seedlings where VWC_s was measured to
assess mid-day leaf and stem water potential. Leaf water potential was measured in a single
needle bundle using a pressure chamber (PMS Instrument Company, Corvallis, OR) following
methods in (Kaufmann 1968). Stem water potentials were estimated by equilibrating the water
potential of a needle bundle with the stem following methods from Begg & Turner (1970) and
measuring the equilibrated bundle with the pressure chamber. We also took midday
measurements of stomatal conductance and dark respiration rates per unit leaf area in each
seedling using a Licor 6400 XT equipped with a 6400 RED LED chamber. CO_2, flow, temperature,
and relative humidity were set constant at 400 µmol s^{-1}, 100 mol s^{-1}, 25 ºC, and 50%, respectively.
Light conditions were set at 1,000 µmol quanta m^{-2} s^{-1} for stomatal conductance measurements
and the light was turned off to measure dark respiration. We scaled up stomatal conductance to

the canopy level (i.e., canopy conductance) by multiplying it by canopy leaf area (see methods S1).

After this, seedlings were immediately harvested, morphology was measured (see methods S1),

and seedlings were transferred to zip-lock bags with a moist paper towel in a cooler to prevent

water loss (Garcia-Forner *et al.* 2016). Seedlings were then transported to the laboratory within

two hours for hydraulic and water content measurements (see below). Because we could not

assess mortality risk in plants that were harvested, we randomly chose a second independent

subset of seedlings at each sampling event to assess mortality risk at any given point during the

drought (see below).

Mortality assessment

We estimated the probability of mortality at the population level over time as in Sapes *et*

al. (2019). In our study, population-level mortality is defined as the proportion of individuals from

each population sampled at a given time that end up dying. At each sampling event, five groups of

six seedlings (total of 30) were randomly chosen, classified as dead or alive, re-watered to field

capacity, and kept well-watered until September 22nd to confirm mortality assessments. This

method ensures accurate classification of both live and dead plants at every sampling event. Then,

we classified seedlings as dead, only if their canopy and phloem were completely brown and dry

after a month of re-watering and no subsequent buds appeared (Cregg 1994). We calculated

mortality as the proportion of dead seedlings (out of 30) at a given sampling time. Note that in our

design, physiological measurements during drought were done in individual plants, while mortality

measurements were conducted at the population level. Thus, one value of probability of mortality

is always associated to five individual values that reflect the variation in physiological status across

the population.

Relative Water Content

Upon arrival to the laboratory, we separated roots, stems, and needles of each seedling to

measure their relative water content (RWC) based on fresh, saturated, and dry weights as: ((Fresh

weight-Dry weight)/Saturated weight-Dry weight)*100 (Barrs & Weatherley 1962). Saturated

weight was obtained by rehydrating needles for 5 hours in the dark at low temperatures (3 ºC).

Low temperatures prevent oversaturation artifacts that arise due to artificially low osmotic

potential resulting from catabolic conversion of starch into sugars (Boyer, James, Munns, Condon

& Passioura 2008). We calculated whole plant RWC weighed by organ biomass fraction

(proportion of each organ dry mass fraction multiplied by their respective RWC). Population-level

pressure-volume curves were also built using midday leaf water potentials and the corresponding

leaf RWC of each individual as in Tyree *et al.* (2002) and leaf RWC at turgor loss and water

potential at turgor loss were extracted for each population.

Stem and Root Hydraulics

<u>Native hydraulic conductivity</u>

We measured stem hydraulic conductivity and root hydraulic conductance using the

gravimetric method (Sperry, Donnelly & Tyree 1988) immediately after organ fresh weight

measurements. We used the same apparatus and methods described in Sapes *et al.* (2019). Stem

segments previously used for RWC measurements were immersed in deionized water for 20

minutes to relax xylem tensions that could artificially alter conductivity values (Trifilo, Barbera,

Raimondo, Nardini & Gullo 2014). After relaxation, stems were relocated to the hydraulic

apparatus and each end was recut twice at a distance of 1 mm from the tips each time (total of 2

mm per side) to remove any potential emboli resulting from previous cuts, transport, and

relocation (Torres-Ruiz *et al.* 2015). Stems were then connected to the hydraulic apparatus while

under water, with their terminal ends facing downstream flow. The stems were then raised out of

the water and the connections were checked to ensure that there were no leaks. A solution of

water with 10 mM KCl degassed at 3 kPa for at least 8 hours was used for all hydraulic

measurements (Espino & Schenk 2011).

First, initial background flow was measured to account for the flow existing under no

pressure, which can vary depending on the degree of dryness of the measured tissue (Hacke *et al.*

2000; Torres-Ruiz, Sperry & Fernández 2012; Blackman *et al.* 2016). Second, a small pressure

gradient of 5-8 kPa was applied to run water through the stem and pressurized flow was

measured. Lastly, final background flow was measured, initial and final background flows were

averaged, and flow was calculated as the difference between pressurized flow and average

background flow. Native specific hydraulic conductivity (K) was estimated in stems as the flow

divided by the pressure gradient used and standardized by xylem area and length. In root systems,

flow was measured as above and whole root native hydraulic conductance (k) was estimated as

the flow divided by the pressure gradient used and standardized by xylem area at the root collar.

<u>Percent loss hydraulic conductivity</u>

Maximum stem hydraulic conductivity (Kmax) and root hydraulic conductance (kmax)

were estimated at the population level as the average stem K and root k of the pre-conditioned

control measured at day 0 since the onset of the drought. Such a population approach was

necessary because 1) destructive measurements in these small seedlings prevented successive

measurements of K and water potential on the same individuals, and 2) flushing and vacuum

infiltration techniques to obtain Kmax from embolized tissues can generate artifacts and

overestimate Kmax (Cochard *et al.* 2013). Percent loss of stem conductivity and root conductance

were estimated for each measured seedling as 100*(Kmax-K)/Kmax and 100*(kmax-k)/kmax,

respectively. Note that negative PLC values may occur when K or k in a given sample is larger than

Kmax estimated as the average K or k of controls. We calculated whole-plant PLC weighted by

organ fraction. Root and stem PLC can be averaged together because they are unit-less indexes

that represent the relative loss of water transport capacity of their respective organs. Because we

did not measure PLC in needles, whole-plant PLC represents the overall hydraulic integrity of the

stem and root systems. We excluded negative PLC values resulting from uncertainty around

population level estimates of Kmax (Sapes *et al.* 2019). We ensured that exclusion of data was

homogeneous across populations and sampling times (Table S1) to avoid influencing comparisons

between populations.

Non-structural Carbohydrates

After hydraulic measurements, non-structural carbohydrates were analyzed in all tissue

types (needles, stems, and roots) collected at harvest. A sample of each tissue was microwaved for

180 seconds at 900 Watts in three cycles of 60 seconds to stop metabolic consumption of NSC

pools, and oven-dried at 70 $^{\circ}$C. Samples were dried to a constant mass and finely ground into a

homogenous powder. Approximately 11 mg of needle tissue and 13 mg of stem or root tissue

were used to analyze NSC dry mass content following the procedures and enzymatic digestion

method from Hoch, Popp & Körner (2002) and Galiano, Martínez-Vilalta, Sabaté & Lloret (2012).

NSC, starch, sucrose, and glucose + fructose tissue concentrations were then multiplied by their

respective tissue fraction (the dry mass of a tissue relative to whole-plant dry mass) to obtain

whole-plant concentrations. Whole-plant dry mass for each seedling was calculated by combining

the dry mass of all samples and the remaining biomass. For the sake of space, these methods are

described in detail in Methods S1.

Statistical analyses

286   We assessed differences in morphology between populations across the full set of

287 measurements using two-tailed Student's t-test for independent samples. Canopy leaf area, root

288 to shoot ratios, organ biomass, whole-plant biomass, and plant length were used as dependent

289 variables and population was the categorical variable in all tests. Differences in maximum stem

290 hydraulic conductivity between populations (based on K of control plants) were also tested using

291 the same approach. Variables were transformed to achieve normality and homogeneity of

292 variances when needed. We also assessed potential differences in hydraulic conductivity due to

293 plant length given that trees are known to increase hydraulic conductivity at the base of the stem

294 as they grow tall to minimize the resistance of the hydraulic pathway (Olson *et al.* 2018).

295 Differences in hydraulic conductivity as a function of plant length were assessed in two linear

296 models with stem hydraulic conductivity and root hydraulic conductance as response variables,

297 respectively, and plant length, population, and their interaction as predictors. Response variables

298 were log-transformed to meet model assumptions.

299   We assessed differences in response to drought over time between populations by

300 splitting the drought into early drought (days 0 and 29) and late drought (days 29 to 72). This was

301 necessary because physiological measurements were not taken between days 0 and 29 to

302 maximize sampling after the onset of mortality. Thus, analyses from day 29 to 72 reflect responses

303 close to and after the onset of mortality in the population (when a few individuals start to die) and

304 characterize the processes that either prevent or ultimately lead to death. Early differences in

305 response to drought between populations were tested using two-tailed Student's t-test for

306 independent samples at day 0 and 29. T-tests were used instead of regressions because data

307 included only two days. Soil and plant water potentials, canopy conductance, PLC, NSC, and RWC

308 across organs at day 0 and 29 were used as dependent variables while population was the

309 categorical variable in all tests. Contrasts from day 0 to 29 provide information of whether

310 observed differences after the onset of mortality originated during early stages prior to mortality.

311   Differences in response to drought between populations after day 29 were assessed using

312 three sets of regression models with data until day 72. Response variables were i) soil, leaf, or

313 stem water potential to represent the degree of drought intensity; ii) whole-plant PLC, whole-

314 plant NSC, or whole-plant RWC to represent loss of hydraulic function, NSC depletion, and degree

315 of dehydration; and iii) population-level mortality to represent the probability of DIM. All models

316 had days since the 29th day of drought, population, and the interaction of both factors as the

predictor variables. Generalized linear models (Mardia, Kent & Bibby 1979) with binomial

distribution and logit link were used for models including probability of mortality. Linear models

were used for all other cases as the response variables showed a linear response with time or

could be transformed to meet assumptions of linearity.

We took a residuals approach to explore whether differences in dehydration rates leading

to death between populations were driven by morphology, physiology, or both. First, we extracted

the residuals from a model with RWC as the response variable and days since the onset of drought

as the predictor. In this initial model, the residuals reflect variation in dehydration rates across

populations not explained by time under drought due to individual variation in morphological and

physiological characteristics. We chose RWC as our response variable because dehydration is the

main process leading to DIM (Tyree *et al.* 2003; Martinez-Vilalta *et al.* 2019; Sapes *et al.* 2019) and

integrates the physiological (e.g. low stomatal conductance, maintenance of hydraulic function,

NSC depletion) and morphological (e.g. low canopy area, high root to shoot ratios, reduced

growth) responses to prevent dehydration leading to DIM risk. Then, we built a second model for

morphology with the residual variation of the first model as the response variable and population,

root to shoot ratios, whole-plant biomass and all the interactions as predictive variables. In this

model, significant effects of morphological variables indicate that, regardless of population of

origin, morphology explains variation in dehydration rates that is not explained by time under

drought. Significant interactions between a given morphological variable and population indicate

that the effects of morphology are population-specific. Thus, a significant effect of population

alone or as part of an interaction indicates that morphological differences alone are not able to

explain all the variation in dehydration rates between populations. We followed the same

approach to test the influence of physiology in a third model where we used physiological

variables (stomatal conductance, dark respiration, whole-plant PLC, and whole-plant NSC) instead

of morphological variables as predictive variables. Morphological variables were log-transformed

to meet model assumptions. Other physiological (e.g., soil and plant water potential) or

morphological (e.g., plant length and canopy area) variables were excluded from these models

because they were highly correlated with the selected predictor variables. Using whole-plant

variables also allowed us to account for potential organ-specific effects without increasing the

number of predictors or violating assumptions of co-linearity.

We used logistic regressions (Walker & Duncan 1967) to assess the predictive power and

consistency of each mortality predictor and search for potential thresholds indicative of incipient

mortality risk. For each organ within a population, logistic models included probability of mortality

as the response variable, and RWC, water potential, PLC, or NSC as predictors. Probability of

mortality was expressed as a decimal fraction following requirements of models with binomial

distributions. Predictive power was estimated as the proportion of variance explained (VE) by each

model (i.e., [1- residual variance/null variance] x 100) (Guisan & Zimmermann 2000). In logistic

models, VE is often discouraged because it tends to underestimate predictive power due to the

lack of values between 0 and 1 in the response variable. However, population-level mortality does

contain intermediate values between 0 and 1 thus overcoming this issue. We assessed the

consistency of mortality curves within each predictor by testing differences in slopes and

intercepts of mortality relationships across populations and organs using another set of logistic

models containing the interaction between a given predictor, population, and organ. In these

models, non-significant interactions were not removed because our hypothesis explicitly tested

the interaction between population, organ, and each predictor.

We identify incipient mortality thresholds in logistic regression models as the value of the

physiological predictor beyond which mortality risk suddenly increases. To identify incipient

threshold values in each mortality curve, we used a mathematical approach similar to Gregorczyk

(1998) and Mischan, Pinho & Carvalho (2011) based on the third derivative of the logistic

regression function (Fig. 1, see Methods S2). The third derivative describes changes in the

acceleration of mortality rates as physiological status (the predictor variable) declines. It detects

incipient mortality thresholds as a peak - maximum or minimum depending on the nature of the

physiological predictor - near the wet end of the range of physiological values (Fig. 1), indicating a

sudden change of acceleration of mortality rates (i.e., a threshold). We extracted threshold values

for all mortality curves (Methods S3) and compared their degree of incipiency (probability of

mortality at the threshold) and their consistency among physiological predictors. To assess

consistency among organs and populations within a given predictor, we constructed a unitless

index that considers the average value of the physiological predictor at the mortality threshold

across all mortality curves and describes how the threshold of each curve deviates from the

average value (Methods S2). We compared threshold incipiency, threshold consistency, and

variance explained among predictors using ANOVA and Tukey Honest Significant Difference tests.

All analyses were performed using R version 3.6.3 (R Core Team 2020).

Results

380   North Plateau (NP) seedlings were longer (from root tip to stem tip) (t = 2.51, p = 0.014;

Fig. 2a) and had greater whole-plant biomass (t = 3.58, p < 0.001; Fig. 2b) than Northern Rocky

Mountain (RM) seedlings (all dates combined). These differences were due to greater stem (t =

5.04, p < 0.001; Fig. S1a) and root biomass (t = 5.43, p < 0.001; Fig. S1b) in NP seedlings.

Differences in biomass allocation translated to greater root to shoot ratios in NP seedlings (t =

4.83, p < 0.001; Fig. 2c). Differences in plant length between populations were associated with

greater hydraulic conductivity in NP seedlings (stem: R^2_{adj} = 0.18, plant length: p < 0.001,

population: p = 0.077; roots: R^2_{adj} = 0.08, plant length: p = 0.003, population: p = 0.047; Table S2).

Accordingly, NP seedlings also had greater stem maximum hydraulic conductivity (t = 1.94, p =

0.059; Fig. S1c).

390   Up to day 29, the probability of mortality was zero in both populations (Fig. 3e). Mortality

391   risk started to increase above zero by days 29 and 42 in NP and RM populations, respectively (Fig.

392   3d). After the onset of mortality in each population, mortality probabilities increased at the same

393   rate in both populations.

394   At the beginning of the experiment (day 0), populations only showed physiological

differences in dark respiration, with RM seedlings respiring more than NP seedlings (t = 2.25, p =

0.04; data not shown). These differences disappeared by day 29 of drought. In contrast, at day 29,

NP seedlings had lower whole-plant RWC (t = -4.57, p = 0.002), which was driven by lower stem

and root RWC (stem: t = -4.70, p = 0.003; roots: t = -2.25 p = 0.056). These results indicate that NP

seedlings experienced greater dehydration rates during the early stages of the drought. Soil, stem,

and leaf water potentials were also consistently lower in NP seedlings by day 29, but differences

were not statistically significant.

402   At late stages of drought (day 29 to 72), the soil water potentials of the two populations

clearly diverged and continued to decrease at similar rates (R^2_{adj} = 0.30, days: p < 0.001;

population: p = 0.029; Fig. 3a, Table S3). The same pattern was observed for leaf and stem water

potentials (needles: R^2_{adj} = 0.77, days: p < 0.001, population: p = 0.031; stems: R^2_{adj} = 0.83, days: p

< 0.001, population: p = 0.065; Fig. 3a, Table S3). Declines in water potential were accompanied by

increases in PLC in both populations, but PLC in NP seedlings increased faster than in RM seedlings

(R^2_{adj} = 0.57, days: p < 0.001, days x population: p < 0.022; Fig. 3b, Table S3). This pattern was

driven by higher PLC rates in roots (R^2_{adj} = 0.27, days: p < 0.001, population: p = 0.044, days x

population: p < 0.011; Fig. 3b, Table S3), which were explained by higher root to shoot ratios in NP

seedlings (R^2_{adj} = 0.60, days: p < 0.001, root shoot ratio: p = 0.035, days x population: p = 0.018;

Fig. S2, Table S3). By day 29, NP seedlings also had higher stem and root NSC (relative to controls)

than RM seedlings. However, they also consumed NSC in their roots at a faster rate than RM

seedlings throughout the drought (plant: R^2_{adj} = 0.57, days: p < 0.001, population: p < 0.001;

needles: R^2_{adj} = 0.16, days: p < 0.001; stems: R^2_{adj} = 0.38, days: p < 0.001, population: p < 0.001;

roots: R^2_{adj} = 0.16, days: p < 0.001, days x population: p = 0.014; Fig. 3c, Table S3). Finally, NP

seedlings lost RWC in needles at faster rates than RM seedlings (R^2_{adj} = 0.78, days: p < 0.001, days x

population: p = 0.030; Fig. 3d, Table S3). In turn, differences in dehydration rates in needles led to

marginally lower canopy conductance rates in NP seedlings (R^2_{adj} = 0.03, days: p = 0.071,

population: p = 0.055, days x population: p = 0.079; Table S3). While leaves of NP seedlings

dehydrated faster (i.e., different slopes between populations), this trend was not observed at the

whole plant level because needles were a very small fraction of the biomass and therefore

contribute little to the whole-plant water content. However, whole-plant RWC still differed

between populations (i.e. different intercepts between populations) (R^2_{adj} = 0.71, days: p < 0.001,

population: p < 0.001; Fig. 3d, Table S3) as observed during early drought stages (day 29). As a

result, NP seedlings started dying more than two weeks earlier but both populations showed

similar rates of mortality once mortality started (days: p < 0.001; population: p = 0.024; Fig. 3e,

Table S3).

Residual analyses allowed us to determine whether differences in dehydration rates

between populations were explained by differences in morphology, physiology, or both. Variation

in dehydration rates unrelated to time since drought was partially attributed to physiology but

population was still significant (R^2_{adj} = 0.46, population: p = 0.05, respiration: p = 0.005, population

x stomatal conductance: p = 0.002, respiration x whole-plant PLC: p = 0.001, population x stomatal

conductance x whole-plant PLC: p < 0.001). This indicates that physiological differences alone

cannot fully explain the differences in dehydration rates observed between populations. On the

other hand, population was not significant when the remaining variation was attributed to

morphology (R^2_{adj} = 0.32, log(root to shoot ratio): p = 0.002, log(plant biomass): p = 0.013). This

indicates that morphological differences in root to shoot ratios and plant size alone can explain the

differences in dehydration rates observed between populations. That is, morphological variables

absorb the variation otherwise explained by the categorical variable 'population' thus making it

not significant.

The ability of PLC, NSC, water potential, and RWC to predict mortality varied among

populations and organs, both in terms of predictive power and degree of significance (Figs. 4c, 5;

Table S5). Water status variables (RWC and water potential) had comparably high predictive

power (RWC: p-value$_{Range}$ = <0.001 - 0.002, VE$_{Average}$ = 76.35%, VE$_{Range}$: 54%-95%; water potential: p-

value$_{Range}$ = <0.001 - 0.01, VE$_{Average}$ = 74.42%, VE$_{Range}$: 46%-93%). Additionally, both variables

showed similar relationships with DIM risk across organs and populations as supported by the lack

of significant differences in intercepts and slopes (Fig. 5a-b, Table S6). The predictive power of NSC

relative to control and the degree of significance were lower than for water status variables and

more variable across organs and populations (p-value$_{Range}$ = 0.001 - 0.056, VE$_{Average}$ = 41%, VE$_{Range}$:

15%-63%; Fig. 4c). PLC had similar predictive power than NSC (p-value$_{Range}$ = <0.001 - 0.564,

VE$_{Average}$ = 42.78%; Fig. 4c) but predictive power varied the most among populations and organs

(VE$_{Range}$: 1%-76%; Fig. 4c & 5b, Table S5). This result, however, should be interpreted with caution

due to greater uncertainty in hydraulic conductivity measurements in RM seedlings because of

their small size and low flow rates.

Logistic regressions identified mortality thresholds in all variables (Fig. 5). For RWC and

water potential, incipient mortality thresholds were apparent in all organs measured and in the

two populations (Fig. 5a-b). RWC showed the greatest degree of incipiency in mortality thresholds

followed by water potential and PLC and lastly by NSC (Fig. 4a). Importantly, both RWC and water

potential showed mortality thresholds close to the values corresponding to leaf turgor loss (Fig.

5a-b, vertical lines). In contrast, thresholds for NSC and PLC were not always apparent and/or less

consistent across populations (Fig. 5c-d & Fig. 4b): NSC thresholds were apparent in all organs in

the RM population only, while PLC thresholds were only apparent in the NP population (Fig. 5c-d).

Discussion

It has long been known that individuals of the same species can show morphological and

physiological differences across the landscape. Our results in a ponderosa pine common garden

experiment suggest that such differences can affect the predictive power and mortality thresholds

of DIM risk indicators. Hence, it is critical to find which DIM indicators are the least sensitive to

individual variation across the landscape. Our results show that DIM indicators related to plant

water status show high and least variable predictive power across populations and organs. Among

these, RWC standardizes morphological differences among individuals, likely explaining why it

provides consistent incipient mortality thresholds among populations and organs. Because of its

consistency and high predictive power -and because it can be estimated via remote sensing-, RWC

emerges as a promising indicator to monitor real time DIM risk across the landscape.

Morphological and physiological differences between populations explained

dehydration rates and time to death. Critically, morphology and physiology played in concert

under drought stress. All pots were similar in size and contained the same soil mixture thus

holding similar amounts of water at the start of the drought. Hence, differences in dehydration

rates between populations can be attributed to differences in water consumption during the

drought. NP seedlings grew taller and bigger than RM seedlings and had built much greater root

biomass prior to the onset of drought resulting in greater root:shoot ratios (Fig. 2a-c). NP seedlings

also grew longer from the tip of roots to the tip of shoots prior to the drought (Fig. 2a), which

increased the resistance to water flow through the plant due to a longer hydraulic pathway. To

compensate for this increase, NP seedlings -like other plants- developed greater maximum stem

hydraulic conductivity (Fig. S1, Olson *et al.* 2018), an allometric pattern caused by downwards-

widening of stem xylem conduits and that is likely to extend into the roots (Carrer, Von Arx,

Castagneri & Petit 2014). Increased stem hydraulic conductivity along with greater root biomass

allowed NP seedlings to transport more water (i.e., greater hydraulic efficiency) and maintain

growth rates under well-watered conditions. However, greater hydraulic efficiency and plant size

came at the cost of greater soil water consumption (Fig. 3a), lower soil water potential, greater

cavitation rates (Fig. 3b, Fig. S2) and earlier death during drought (Fig. 3e). The smaller size and

lower hydraulic efficiency of RM seedlings was an advantage under drought and led to slower

dehydration and mortality rates (Fig. 3d-e). RWC captured all this morphological and physiological

variation and its consequences during the dehydration process: NP seedlings dehydrated faster

than RM seedlings and reached lethal thresholds earlier, thus, condensing the RWC-mortality

functions of both populations into a smaller space (Fig. 5a).

Because DIM is a gradual dehydration process, variables directly reflecting plant water

status are good candidates for indicators of DIM risk. The challenge lies in identifying which water-

status variables may best indicate how much dehydration leads to death for any given plant

population or species at any given location. Large scale monitoring requires variables applicable at

different scales and that are reasonably consistent across plant organs, populations, and species.

Earlier, we made the argument that RWC could be a promising candidate because of several

reasons. RWC reflects the interactions between water transport and carbohydrate availability that

determine plant water balance (uptake and loss) (Sapes *et al.* 2019) and the combined effects of

both morphology and physiology on dehydration time (Table S4) (Martinez-Vilalta *et al.* 2019).

RWC, like water potential, can also detect the transition to turgor loss (using RWC_{TLP}), which has

been associated to cellular and organ death under drought (Guadagno *et al.* 2017). Our results

across two differentiated ponderosa pine populations partially support our prediction that RWC

would be the most suitable predictor of DIM risk for large scale assessments in several ways. First,

RWC accurately predicted DIM risk across populations and organs despite the differences in

physiology and morphology and the differences in time to death. Second, both populations died at

the same RWC, and incipient mortality thresholds of RWC were also relatively consistent across

organs. Finally, incipient mortality thresholds of RWC coincided with the RWC_{TLP} and DIM risk

increased with further declines in RWC, consistent with the increase in cellular damage that

follows turgor loss (Guadagno *et al.* 2017). However, in contrast to our prediction, water potential

was also a particularly good predictor of DIM risk, was quite consistent across organs and

populations and showed consistent -but slightly less incipient- mortality thresholds close to turgor

loss point. Therefore, in ponderosa pine, both RWC and water potential are good indicators of DIM

risk. In contrast, neither NSC nor PLC were as good candidates (but see below).

Plant water potential may be a good indicator of real-time DIM risk within species but may

pose challenges in landscape-level assessments when multiple species are present. In our

experiment, plant water potential also showed high predictive power and consistent mortality

thresholds associated to turgor loss across both populations and organs. Low water potentials

cause embolism and stomatal closure which impair water transport and carbohydrate availability,

respectively (Tyree & Sperry 1989; Sevanto, McDowell, Dickman, Pangle & Pockman 2014;

Meinzer *et al.* 2016). Because both reduced water transport and carbohydrate availability are

involved in drought mortality (Mitchell *et al.* 2013), the predictive power of water potential is

likely high (Fig. 4b). Additionally, plant water potential also indicates wilting via the turgor loss

point (Ψ_{TLP}), thus explaining the observed degree of incipiency in mortality thresholds associated

with turgor loss (Fig. 5b). Indeed, these characteristics have made water potential one of the main

components of mechanistic DIM models (Sperry & Love 2015). The consistent water potential

thresholds and high predictive power we found in both populations suggest that -at least in

ponderosa pine- there is little variability in lethal water potentials among populations. These

results are consistent with relatively high vulnerability to embolism observed in ponderosa pine

(Piñol & Sala 2000; Martinez-Vilalta, Sala & Pinol 2004) and low intraspecific variability in

vulnerability to embolism (Maherali & DeLucia 2000; Stout & Sala 2003). However, plant water

potential is likely to show different incipient mortality thresholds across species given the large

variation in minimum water potentials (Choat *et al.* 2012) and in Ψ_{TLP} (Bartlett *et al.* 2012) across

species and biomes. Thus, despite its usefulness in mechanistic approaches, large-scale monitoring

of DIM based on remotely sensed water potential might only be applicable in monospecific or low

diversity forests in which pixels mostly contain a single species. In these instances, knowledge of

the Ψ_{TLP} of the species present may still be necessary to accurately predict thresholds leading to

DIM within the area of interest.

Neither NSC nor PLC were consistent predictors of DIM risk in our study. We expected PLC

to be a good predictor of DIM because it describes the capacity to transport water to living cells, a

critical function. Several studies have shown that conifers tend to experience mortality after

reaching PLC values above 50% (Brodribb and Cochard, 2009; but see Hammond et al., 2019). This

is particularly true in ponderosa pine where there is relatively little variation in vulnerability to

embolism among populations (Maherali & DeLucia 2000; Stout & Sala 2003). Consistently, DIM risk

increased in NP seedlings soon after reaching 50% PLC in stems and roots. However, PLC did not

predict DIM risk in RM seedlings due to very high variability. We believe that low flows in RM

seedlings caused by their small size likely generated uncertainty in PLC values, especially at later

stages of drought once seedlings embolized and reached flow rates close to the accuracy limit of

the sensor. Both the low intraspecific variability in vulnerability to embolism observed in Maherali

and DeLucia (2000) and the similar relationships between water potential (the causal agent of

emboli) and DIM risk found here support our supposition. Hence, the PLC results in RM seedlings

must be taken with caution. Even if PLC is a good predictor of DIM risk, it may still present

challenges similar to those for water potential (see above). NSC availability also showed variable

capacity to predict DIM risk and the lowest consistency in mortality thresholds. NSC availability has

been involved in drought mortality (Mitchell *et al.* 2013; Sevanto *et al.* 2014), but a recent

synthesis suggests mixed effects (Adams *et al.* 2017). Our results also showed some different

trends between the two populations. The greater root biomass in NP seedlings apparently

incurred greater NSC costs -as shown by greater root NSC consumption rates in NP seedlings (Fig.

3c)- and may have generated tradeoffs with other NSC-demanding functions such as

osmoregulation capacity (Sapes, Demaree, Lekberg & Sala 2020) and water retention, thus

contributing to faster dehydration. These tradeoffs could be less constraining in RM seedlings

because of their lower biomass and transpiration area. Hence, the lower NSC mortality thresholds

in RM seedlings (Fig. 5d). Interestingly, NSC availability showed increasing predictive power from

leaves to roots and was most predictive when considered at the whole plant level. Thus,

accurately predicting DIM risk based on NSC may require estimates of NSC content and biomass

across all organs.

Of the two indicators of water status that consistently predicted DIM between

populations, RWC has important benefits compared to water potential due to its relative nature.

Relative water content conveniently standardizes differences in maximum water content among

organs, individuals, and species, thus reducing the degree of variation in dehydration leading to

turgor loss point across plants (Bartlett *et al.* 2012). Accordingly, RWC showed consistent mortality

thresholds and relationships across organs and populations. Hence, RWC can be used to monitor

DIM risk in real time from any organ available such as stems or roots, which is of critical

importance given that drought-deciduous species shed leaves (Daubenmire 1972). In the case of

roots, we cannot estimate root water content remotely. However, and consistent with recent

studies (Nardini *et al.* 2020), the predictive power of root RWC highlights the importance of root

functioning and integrity during drought. Another benefit of RWC is that remote sensing estimates

of RWC could be similarly consistent across plants. Both RWC and water potential can be remotely

sensed (Cohen, Alchanatis, Meron, Saranga & Tsipris 2005; Zhang, Zhou, Gentine & Xiao 2019).

However, remote sensing estimates of plant water potential (i.e., xylem tension) are more likely to

reflect the diversity of morphology, physiology, and drought strategies (position within the iso-

anisohydric spectrum) within a pixel than to reflect DIM risk (Martinez-Vilalta *et al.* 2019). On the

other hand, RWC is directly related to plant water content and can be estimated across the

landscape using hyperspectral and thermal techniques (Ceccato, Flasse, Tarantola, Jacquemoud &

Grégoire 2001; Elsayed, Darwish, Elsayed & Darwish 2017; Konings *et al.* 2017). Remote sensing

estimates of plant water content -once standardized by the maximum values observed within a

pixel- are likely to show similar properties than RWC. While standardizing remote sensing

estimates of absolute plant water content is not a task lacking in challenges, recent work has

shown that this is indeed possible (Rao *et al.* 2019; Marusig *et al.* 2020). In summary, RWC stands

out as a good candidate for large-scale, real-time assessments of DIM risk.

Our study highlights a critical point: morphological and physiological differences among

populations can significantly influence mortality rates and the predictive capacity of DIM risk

indicators that are not associated with plant water pools. Hence, large-scale indicators of DIM risk

should be chosen based on the consistency and incipiency of their mortality thresholds and

predictive power among populations and species. Doing so will ensure that such indicators can

predict DIM risk across the landscape regardless of the existing intraspecific variation. Based on

our results, RWC may achieve this because it integrates the physiological mechanisms of mortality

under drought and standardizes morphological differences among individuals and populations.

Future research should assess the consistency and incipiency of incipient mortality thresholds and

the predictive power of RWC across species. Similarly, remote sensing estimates of RWC should be

further developed (but see Rao *et al.* 2019; Marusig *et al.* 2020). Despite, the work that still lays

ahead, we hope that our findings help increase the accuracy of current monitoring efforts and may

open a path of research towards global scale assessments of DIM risk (Martinez-Vilalta *et al.*

2019).

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<u>**Author Contribution**</u>

G.S. and A.S. designed the experiment. G.S. collected and analyzed the data with contributions

from A.S. G.S. and A.S. wrote the manuscript.

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Figure legends

Figure 1: The third derivative (red curve) identifies the point of the mortality curve (teal curve) at

which mortality risk starts to suddenly increase (incipient mortality threshold) in response to

physiological decline. The incipient mortality threshold (red dot) appears in the third derivative as

a peak -maximum or minimum depending on the nature of the physiological predictor- near the

wet end of the range of physiological values. Once the incipient mortality threshold is identified,

we can evaluate the probability of mortality at which such threshold occurs (red dashed line) and

determine the degree of incipiency. The physiological value (X) of the incipient threshold can vary

among mortality curves of different organs and populations. Little variation among curves leads to

more consistent thresholds.

Figure 2. Morphological differences between North Plateau (NP) and Rocky Mountain (RM)

seedlings. NP seedlings were larger in size and biomass and allocated greater biomass to below

ground organs. Differences among populations are significant across all panels.

Figure 3. Changes in population-level mortality, drought intensity, and whole-plant physiological

status over time. Panel A: Water potentials decreased over time in soil (black), stem (yellow) and

leaves (green) but North Plateau (NP, circles and corresponding solid line) seedlings experienced

greater decline rates than Rocky Mountain (RM, crosses and corresponding dashed line) seedlings.

Panel B: Both populations experienced loss of conductivity over time in both stems and roots

(gray) and at the whole-plant level (purple), but NP seedlings lost hydraulic conductivity at faster

rates in all organs. Panel C: Non-structural carbohydrates declined over time in both populations,

but NP seedlings experienced greater root NSC depletion rates from day 29 onward. Panel D:

Relative water content declined over time at similar rates across all organs, but NP seedlings

dehydrated faster than RM seedlings. Panel E: Probability of mortality increased after day 29 and

42 of drought in NP and RM seedlings, respectively.

Figure 4. Degree of threshold incipiency, threshold consistency, and predictive power of each

predictor of mortality. Relative water content (RWC) and water potential predicted DIM risk in all

organs (gray: roots, yellow: stems, green: leaves, and purple: plant) and populations (circles: North

Plateau (NP), crosses: Rocky Mountain (RM)) and had a similar average predictive power (i.e.

variance explained), variability in predictive power, and threshold consistency. However, RWC

showed the most incipient thresholds. Non-structural carbohydrates (NSC) (black) were not able

to predict DIM risk from leaves, showed lower average predictive power and higher variability in

predictive power than RWC and water potential, less incipient thresholds, and the lowest

threshold consistency. Percent loss of hydraulic conductivity (PLC) (orange) only predicted DIM risk

in NP seedlings (circles) and had lower average predictive power even when excluding the RM

population (crosses) to avoid potential effects of uncertainty in hydraulic conductivity

measurements due to low flow rates caused by their small size. PLC ranked third in mortality

threshold consistency and incipiency.

Figure 5. Relationships between DIM risk and each DIM predictor. Both relative water content

(RWC) and water potential showed similar mortality functions with incipient mortality thresholds

at turgor loss (vertical lines) across organs and populations. Percent loss conductivity (PLC) showed

different mortality functions between NP (circles and corresponding solid lines) and RM seedlings

(crosses and corresponding dashed lines) and no incipient mortality thresholds in RM seedlings.

Mortality functions in NSC relative to control showed clear separation between NP and RM

populations. NSC showed incipient mortality thresholds for roots (gray) and at the whole plant

level (purple) but did not show thresholds in stems (yellow) and leaves (green) of RM seedlings.

Note that black water potential curves correspond to soil water potential.

Figures

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