

1 **Paternal hypoxia exposure primes offspring for increased hypoxia resistance**

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3 Alexandria Ragsdale^{1‡}, Oscar Ortega-Recalde^{2‡}, Ludovic Dutoit^{1‡}, Anne A. Besson¹, Jolyn H.Z.
4 Chia¹, Tania King¹, Shinichi Nakagawa³, Anthony Hickey⁴, Neil J. Gemmell², Timothy Hore²,
5 Sheri L. Johnson^{*1}

6
7 ¹ Department of Zoology, University of Otago, Dunedin, New Zealand

8 ² Department of Anatomy, University of Otago, Dunedin, New Zealand

9 ³ Evolution and Ecology Research Centre, School of Biological, Earth and Environmental
10 Sciences, University of New South Wales, Sydney, NSW, Australia

11
12 ⁴ School of Biological Sciences, University of Auckland, Auckland, New Zealand

13
14 *Corresponding author, sheri.johnson@otago.ac.nz

15 ‡These authors contributed equally to this work.

16
17 **ABSTRACT**

18
19 In a time of rapid environmental change, understanding how the challenges experienced by one
20 generation can influence the fitness of future generations is critically needed. Using tolerance
21 assays, transcriptomic and methylome approaches, we use zebrafish as a model to investigate
22 transgenerational acclimation to hypoxia. We show that short-term paternal exposure to hypoxia
23 endows offspring with greater tolerance to acute hypoxia. We detected two hemoglobin genes
24 that are significantly upregulated by more than 7-fold in the offspring of hypoxia exposed males.
25 Moreover, the offspring which maintained equilibrium the longest showed greatest upregulation
26 in hemoglobin expression. We did not detect differential methylation at any of the differentially
27 expressed genes, suggesting that another epigenetic mechanism is responsible for alterations in
28 gene expression. Overall, our findings suggest that a ‘memory’ of past hypoxia exposure is
29 maintained and that this environmentally induced information is transferred to subsequent
30 generations, pre-acclimating progeny to cope with hypoxic conditions.

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INTRODUCTION

Paradigm-shifting research has revealed that the life-history experiences of parents can influence the phenotype of their offspring through non-genetic mechanisms (Salinas et al., 2013; Bohacek and Mansuy, 2015; O’Dea et al., 2016; Dias and Ressler, 2013; Gapp et al., 2014; Radford et al., 2014; Burton and Metcalfe, 2014; Bonduriansky, 2012; Bonduriansky and Day, 2008). Non-genetically transmitted phenotypes can be generated by diverse environmental effects, affecting a wide array of offspring traits, both positively and negatively (O’Dea et al., 2016). Work on mice has demonstrated that learned fear responses (Dias and Ressler, 2013; Gapp et al., 2014) and metabolic alterations associated with undernourishment can be inherited via sperm (Radford et al., 2014). These studies suggest that parents can transmit information that may benefit offspring survival. Through this transgenerational plasticity (also known as transgenerational acclimation (Herman and Sultan, 2011; Marshall, 2008)), parents may provide offspring with increased tolerance to environmental perturbations, such as contaminants (Araujo et al., 2019; Kishimoto et al., 2017; Marshall, 2008), food shortages (Kishimoto et al., 2017; Weyrich et al., 2018), carbon dioxide (Allan et al., 2014; Shi et al., 2020; Lee et al., 2020), hypoxia (Ho and Burggren, 2012), but see (Truebano et al., 2018), salinity (Heckwolf et al., 2020, 2018), and temperature (Donelson et al., 2014, 2012; Veilleux et al., 2015; Salinas and Munch, 2012; Weyrich et al., 2018; Ryu et al., 2018). Studies have tended to focus on maternal transgenerational plasticity, or have exposed both parents to the environmental perturbation, making it impossible to disentangle the relative roles of mothers and fathers in altering offspring phenotype (Guillaume et al., 2016; Rutkowska et al., 2020). Thus, a better understanding of the specific role of paternal effects in transgenerational plasticity is needed, especially considering environmental specific information is likely transferred via sperm (see below). Further, the

56 underlying molecular processes have been identified in a just a few studies (Heckwolf et al.,
57 2020; Kishimoto et al., 2017; Ryu et al., 2018; Shi et al., 2020; Strader et al., 2019; Veilleux et
58 al., 2015). For example, metabolic genes are upregulated transgenerationally in the damselfish
59 (*Acanthochromis polyacanthus*), suggesting shifts in energy production for maintaining
60 performance at elevated temperatures (Veilleux et al., 2015). Potential epigenetic mechanisms
61 for this transgenerational acclimation have been detected, via differential methylation of genes
62 involved in energy homeostasis, mitochondrial activity, and oxygen consumption (Ryu et al.,
63 2018). In a time of rapid environmental change, a better understanding of how environmental
64 challenges experienced by organisms could increase the fitness of future generations to survive
65 these same stressors is critically needed.

66 Hypoxia, defined as sufficiently low levels of oxygen to deprive tissues of oxygen, is a
67 major physiological challenge (Diaz and Rosenberg, 2008; Long et al., 2015; Wang et al., 2016).
68 The aerobic lifestyle of most animals requires a constant supply of sufficient oxygen, and low
69 oxygen levels constitute a major environmental threat (Roesner et al., 2006). Hypoxic conditions
70 precipitate conserved physiological effects in a wide array of vertebrates (Okumura et al., 2003;
71 Saxena, 1995; Wu et al., 2003), but hypoxia is particularly well-studied in aquatic species. The
72 total oxygen present in water at 30°C is only 0.5% that of air; hence, physiological stress of
73 hypoxia challenges many freshwater and marine organisms, and hypoxia is one of the most
74 widespread issues in aquatic habitats due to the rise of dead zones and climate change (Diaz and
75 Rosenberg, 2008; Jenny et al., 2016). Thus, it is now more important than ever to understand
76 how different aquatic species will response to environmental hypoxia.

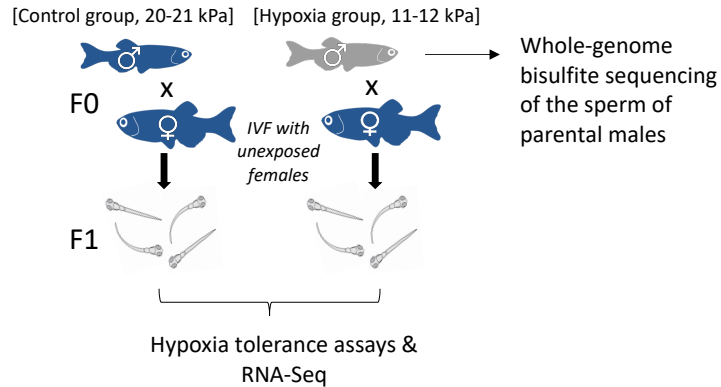
77 The negative impacts of hypoxia on growth and reproduction are well-recognized
78 (Townhill et al., 2017; Wu, 2002; Wu et al., 2003), with recent studies also discovering

79 transgenerational effects, whereby marine medaka fish (*Oryzias melastigma*) exposed to hypoxia
80 show reproductive impairments in F1 and F2 generations, suggesting that hypoxia might pose a
81 long-lasting threat to fish populations (Lai et al., 2019; Wang et al., 2016). But fishes, in
82 particular, are also notable for their adaptive abilities to acclimate to hypoxic conditions
83 (Alexander et al., 2017; Diaz and Rosenberg, 2008; Nikinmaa, 2002; Richards, 2011), including
84 physiological, morphological, and phenotypic responses. Remarkably, goldfish (*Carassius*
85 *auratus*) and crucian carp (*Carassius carassius*) exhibit gill remodeling in response to hypoxia
86 exposure (Dhillon et al., 2013; Tzaneva et al., 2011). Zebrafish embryos and larvae modify
87 cardiac activity and blood vessel formation in response to hypoxic exposures (Pelster, 2002). In
88 addition to morphological alternations, gene expression can also be affected by hypoxic
89 conditions. In zebrafish gill tissue, more than 300 genes are differentially expressed between
90 hypoxia exposed individuals versus controls; these changes in gene expression are coupled with
91 morphological changes in gill structure, such as increased surface area of gill tissue (van der
92 Meer et al., 2005). Prolonged exposure to low oxygen has been shown to improve hypoxia
93 tolerance in Murray cod (*Maccullochella peelii*; (Gilmore et al., 2020) and snapper (*Pagrus*
94 *auratus*;(Cook et al., 2013)). Likewise, pre-acclimation of zebrafish larvae to mild hypoxia
95 significantly improves their resistance to lethal hypoxia, and upregulation of some oxygen
96 transport genes are associated with this acclimation (Long et al., 2015). Intriguingly, zebrafish
97 offspring of males and females exposed to chronic hypoxia have a higher resistance to acute
98 hypoxia than those of controls (Ho and Burggren, 2012), despite the offspring having never been
99 exposed to hypoxia, suggesting that parents may pass on information that may pre-acclimate
100 offspring to cope with hypoxic conditions.

101 Gene expression and transgenerational effects can be regulated by epigenetic
102 modifications, including DNA methylation, histone modifications, and non-coding microRNAs
103 (O’Dea et al., 2016). For example, in the marine medaka studies, transgenerational effects are
104 associated with differential methylation in sperm and ovary, with altered gene expression in
105 genes known to be associated with spermatogenesis and gene silencing (Wang et al., 2016) and
106 cell cycle control and cell apoptosis (Lai et al., 2019). DNA methylation has also been
107 investigated as a means underlying transgenerational effects of chemical exposures in zebrafish
108 (Carvan et al., 2017) and the paternal methylome is believed to be stably transmitted to offspring
109 in zebrafish (Jiang et al., 2013; Potok et al., 2013) without global reprogramming in primordial
110 germ cells (Ortega-Recalde et al., 2019; Skvortsova et al., 2019), potentially facilitating
111 environmental specific information transfer.

112 Here we use zebrafish to further explore the phenomenon of transgenerational
113 acclimation of hypoxia in fishes. We focus on paternal exposure as we predict that
114 environmental specific information can be transferred via sperm, as observed in other studies
115 (Dias and Ressler, 2013; Lamb et al., 2020; Wang et al., 2016). We test whether paternal
116 exposure to hypoxia stimulates phenotypic responses in offspring, using behavioural
117 phenotyping to identify resistance to acute hypoxia (time to loss of equilibrium). We then use
118 RNA-Seq to identify candidate genes that are differentially expressed in control and hypoxic
119 progeny, and whole genome bisulfite sequencing data to assess whether changes in DNA
120 methylation underpin alterations in phenotype and gene expression (Figure 1).

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122

123 **Figure 1. Experimental design.** Groups of adult male zebrafish are maintained in normoxia (20-
124 21 kPa, n = 20) or hypoxia (11-12 kPa, n = 20) for 14 days. Five males from each treatment were
125 then used to create F1 progeny, crossing the males to unexposed females (n = 5
126 families/treatment), with half of the sperm used for whole genome bisulfite sequencing, to assess
127 differential methylation (n = 3 per treatment). At 20-21 days post fertilization, offspring undergo
128 acute hypoxia tolerance (6-8 offspring/family) assays and n = 3 offspring/treatment are used for
129 differential gene expression analysis (RNA-Seq).

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132 MATERIALS AND METHODS

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134 Fish husbandry

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136 Breeding and husbandry took place within the Otago Zebrafish Facility (OZF), a temperature-

137 controlled facility maintained at 25-27°C, pH 7-7.8 and conductivity 300-500 μ S. Fish were

138 maintained in a Tecniplast re-circulating system (Tecniplast, Varese, Italy) under a 14:10

139 light:dark photoperiodic cycle, with 30 minutes of simulated dawn and dusk at the start and end

140 of each day. Acute hypoxia assays took place in the Zoology Department, where room

141 temperature was controlled at 25°C, with a 13.5 hr (0700-2030 hr) light cycle with 30 min of

142 simulated dawn and dusk. Zebrafish were fed twice daily with dry food (ZM000-400, size-

143 dependent) and once daily with live rotifer (*Brachionus* spp. days 5-10 post fertilisation) or

144 artemia (*Artemia salina*; days 10+ post fertilization). All animals were collected and maintained

145 according to the standards of the Animal Ethics Committee for the University of Otago, New
146 Zealand (protocol no. AEC 44/16).

147
148 **Hypoxia exposure**

149 In November 2016, nine-month-old male zebrafish (AB wild-type; n=20/treatment) were
150 exposed to hypoxic conditions (10.91-12.33 kPa pO_2) or control conditions (20-21 kPa), for two
151 weeks. Two glass tanks (36x29x26.5 cm) were separated into three zones (12 x 29 cm), with 10
152 fish in each outer compartment and two fine bubble diffusers and a filter positioned in the middle
153 compartment. The oxygen concentration of the treatment tank was maintained by using an
154 OxyGuard Mini probe (OxyGuard International, Denmark) and oxygen controller (Model
155 PR5714, PR Electronics, Denmark) that were connected to nitrogen and air cylinders (BOC Gas
156 Supplies, Food Fresh grade). An on/off relay output from the controller actuated a solenoid-
157 controlled flow of compressed nitrogen or compressed air (BOC Gas Supplies, New Zealand), to
158 maintain the system at 53.1-60 % air saturation (= 10.91-12.33 kPa or 4.38-4.95 mg/L) level
159 (Cook et al., 2013). Normoxic conditions in the control tank (>95% saturation) were maintained
160 by continually passing air through the bubble diffusers, connected to an air pump. The oxygen
161 concentration in the tanks was confirmed with a YSI 85 probe (YSI, Inc., Ohio, USA). Both
162 tanks were siphoned for waste and received 10% water changes every three days. Calibration of
163 the oxygen probe was checked daily and recalibrated as necessary.

165
166 **Hypoxia tolerance assays and analysis**

167 Progeny from five families per treatment (n=5 hypoxia, n=5 control) were generated by *in vitro*
168 fertilisation (IVF) (Johnson Sheri L. et al., 2018; Lamb et al., 2020) seven days after parental
169 exposure finished. Briefly, eggs and sperm were collected using abdominal massage – half the
170

171 sperm was used for IVF and the other half was stored for subsequent DNA extraction. Offspring
172 were reared to 20-21 dpf when n=8 offspring per family (except family C5, where n = 6; total n
173 = 77) were challenged by acute hypoxia assays in small acrylic chambers (100 mm H x 50 mm x
174 50 mm). A 0-1 kPa oxygen level was achieved by continually passing compressed nitrogen
175 through a bubble diffuser for at least 10 minutes before assays and continuously passing the
176 nitrogen through a valve in the top of the chambers during the assay. The oxygen concentration
177 fluctuated between 0 and 1%, monitored using a fibre-optic oxygen probe (Foxy OR-125)
178 attached to an Ocean Optics® USB 2000 spectrophotometer with USB-LS-450 light source and
179 the manufacturer's software (OOI Sensors). Each fish was filmed for 240 seconds and recorded
180 with a GoPro Hero 3+ camera. A total of 77 videos (n=37 control, n=40 hypoxia) were tracked
181 using EthoVision XT behavioral tracking software, version 11.5 (Noldus Information
182 Technology, Netherlands). Fish were then immediately euthanized by submersion in ice and
183 stored in RNAlater (Invitrogen). One offspring from family C1 stayed at the bottom the entire
184 assay, so this individual was removed from further analyses.

185 Resistance to acute hypoxia, defined as the first time that progeny lost equilibrium for 3
186 seconds or more during hypoxia assays (Ho and Burggren, 2012), and the loss of equilibrium
187 frequency were analysed using R v. 3.5.1 (R Core Team, 2018). Loss of equilibrium > 3 s was
188 initially modelled using a gaussian generalized linear model, and loss of equilibrium frequency
189 as a poisson generalized linear model, with treatment (control vs. hypoxia) as a fixed effect. To
190 account for multiple fry per family being tested, we also ran the models incorporating Treatment
191 ID as a random effect, using a gaussian linear model for time to loss of equilibrium > 3 s and a
192 poisson linear mixed effects model for the loss of equilibrium frequency. Significant
193 heterogeneity was obvious in the time to loss of equilibrium, so a linear mixed model

194 incorporating heteroscedasticity was compared to the model assuming homogeneity, by
195 incorporating the variance in Treatment into the model (Cleasby and Nakagawa, 2011).

196
197 **RNA-Seq library preparation and analysis**

198
199 Total RNA from six whole 20-21 dpf offspring (3 control offspring, 3 treatment offspring) was
200 extracted using a Zymo Duet extraction kit (Zymo, NZ). The integrity of RNA samples was
201 determined using an Agilent RNA 6000 Nano chip on an Agilent 2100 Bioanalyzer to check that
202 the samples had an RNA Integrity Number (RIN) value of 8–9. Total RNA concentration was
203 measured by Qubit 2.0 Fluorometer (Qubit RNA HS Assay Kit, Life Technologies). Samples
204 were sent to the Otago Genomics and Bioinformatics Facility at the University of Otago, under
205 contract to New Zealand Genomics Limited, for library construction and RNA sequencing.
206 Messenger RNA sequencing libraries were prepared using the Illumina TruSeq Stranded mRNA
207 sample preparation kit (Illumina), as per the manufacturer's instructions. RNA sequencing was
208 performed on the Illumina HiSeq2500 (Illumina, USA) machine with single-ended 100-bp reads
209 generating 17.4–20.0 million reads per sample.

210 Low quality reads and remaining adapters were trimmed using Trim Galore v0.6.4
211 [https://www.bioinformatics.babraham.ac.uk/projects/trim_galore/] in a two-step process. First,
212 sequencing adaptors were removed, 10 bp were hard-trimmed from the 5' end to account for
213 sequence bias produced by PBAT library preparation, and last, low-quality ends from reads
214 (PHRED score <20) were removed (Bolger et al., 2014). The reads were then aligned to the
215 zebrafish genome (GCRz11) using HISAT2 v2.2.0 (Kim et al., 2015), informed with the
216 GCRz11.99 annotation. Expression was summarized sample by sample at the gene level using
217 featureCounts v2.0.0 (Liao et al., 2014). The analysis of differential expression was conducted in
218 R v.3.5.0 (R Core Team, 2018) using the DESeq2 package v1.24.0 (Love et al., 2014) without

219 the independent filtering option implemented in the *results* function (see
220 https://github.com/OscarOrt/Paternal_hypoxia_Ragsdale_2020). Differentially expressed genes
221 between offspring of fathers exposed to hypoxia and controls were extracted after correcting for
222 multiple testing using False Discovery Rate cut-off of $q=0.05$ (Benjamini and Hochberg, 1995a).
223 In order to test for over/under-representation of biological pathways which differentially
224 expressed genes are involved, enrichment of Gene Ontology (GO) analysis terms was performed
225 using Gorilla online accessed on August 4 2020 (Eden et al., 2009).

226

227 **Whole genome bisulfite sequencing and analysis**

228 DNA from six parental sperm samples was purified using a modified magnetic bead method
229 (Peat et al., 2017). WGBS was undertaken using an adapted modified post-bisulfite adaptor
230 tagging (PBAT) method (Miura et al., 2012; Peat et al., 2014). Briefly, bisulfite treatment was
231 performed according to the EZ Methylation Direct Mag Prep kit (Zymo, D5044) instruction
232 manual. Bisulfite treatment was performed before adaptor tagging, enabling simultaneous
233 conversion of unmethylated cytosines, DNA fragmentation, and improving library preparation
234 efficiency. Sequencing primers were added using random heptamer primers, and finally, sample-
235 specific indexes and sequences required for Illumina flow-cells binding were added by PCR.
236 Library integrity was assessed by agarose gel electrophoresis and a fragment analyser (Agilent)
237 and sequenced on eight lanes (one flow cell) of an Illumina HiSeq using HiSeq2500 V4
238 sequencing of 100 bp single ended reads (in combination with 13 other samples, which were part
239 of another study).

240 Raw reads were trimmed in Trim Galore v0.6.4 as previously mentioned. Read mapping
241 was performed using Bismark v0.22.3 (Krueger and Andrews, 2011) with the option `--pbat`

242 specified. Zebrafish genome version 11 (GRCz11) was used as reference. BAM files were
243 deduplicated and reports containing methylation base calls were generated using the
244 deduplicate_bismark and bismark_methylation_extractor scripts, respectively. The non-
245 conversion rate during the bisulfite treatment was evaluated by calculating the proportion of non-
246 CG methylation; by this measure, all libraries must have had a bisulfite conversion efficiency of
247 at least 98.5% (Table S3).

248 CG methylation calls were analyzed in SeqMonk v1.47.0 [www.
249 bioinformatics.babraham.ac.uk/projects/seqmonk]. To analyse methylation at gene level, probes
250 with a minimum of 5 methylation calls were generated and the percentage methylation measured
251 as number of methylated calls/total calls. CpG islands (CGIs) were identified using Gardiner-
252 Garden & Frommer's criteria and previously published datasets. For the former, 200-bp windows
253 moving at 1-bp intervals were considered CGIs if the Obs/Exp value was greater than 0.6 and a
254 GC content greater than 50%. For the latter, data obtained by biotinylated CxxC affinity
255 purification (Bio-CAP) and massive parallel sequencing was used to identify non-methylated
256 CpG islands (Long et al., 2013).

257 Coupling between methylation and gene expression in differentially expressed genes
258 (DEG) and at genome level was interrogated using methylation levels at transcription start sites
259 (TSS). TSS were defined as 200 bp centered on the first nucleotide of an annotated mRNA, and a
260 threshold of at least 20 methylation calls to be included in the analysis. DEG were divided into
261 underexpressed and overexpressed, whereas coupling at genome level was assessed dividing
262 gene expression levels into quartiles. Custom annotation tracks were generated using Gviz
263 v1.28.3 (Hahne and Ivanek, 2016).

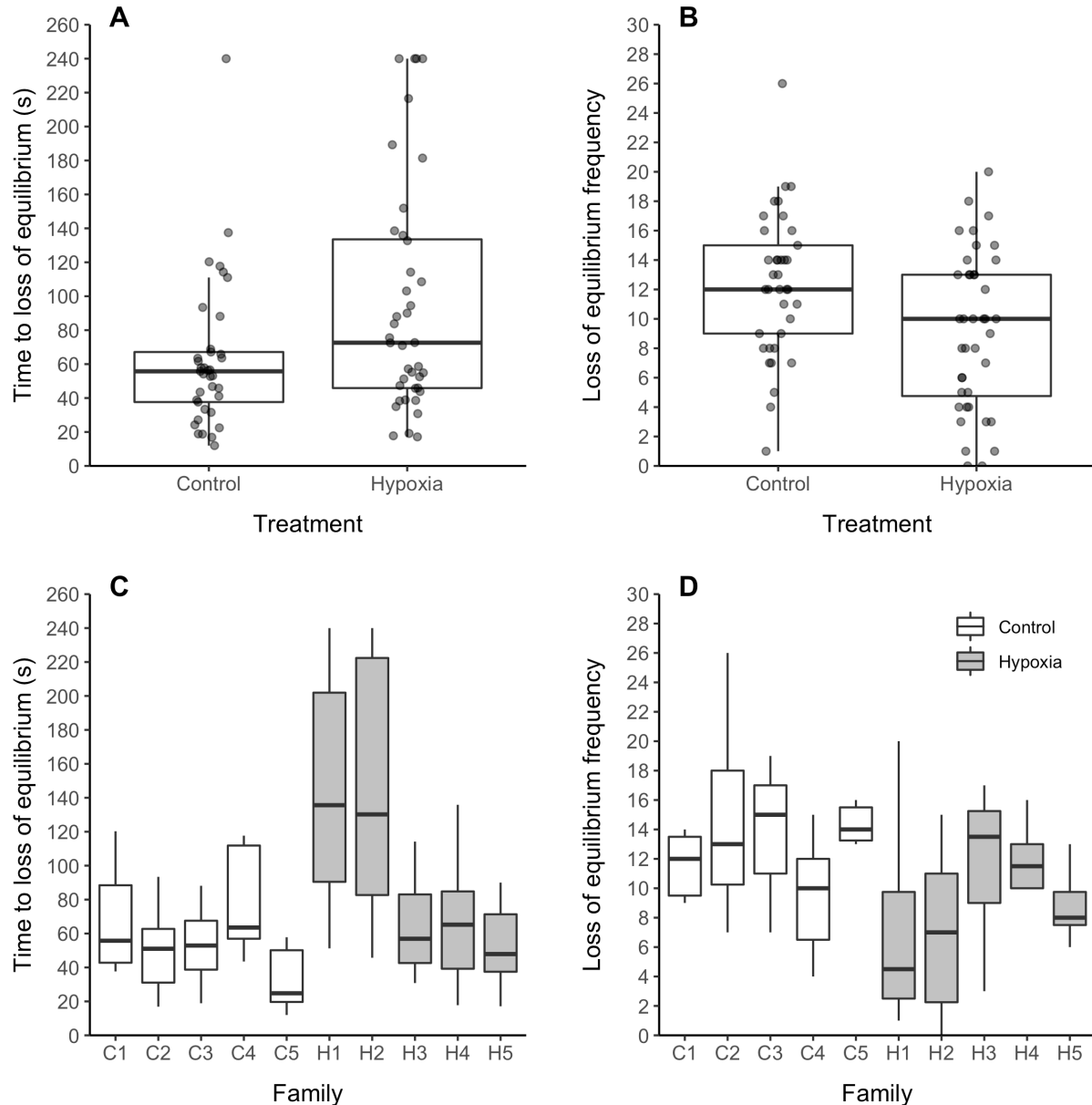
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265 **RESULTS**

266

267 **Hypoxia tolerance assays in unexposed offspring**

268 Progeny of males exposed to moderate hypoxia for 14 days show a greater resistance to acute
269 hypoxia than progeny of control males – time to loss of equilibrium was, on average, 32 seconds
270 longer for progeny of hypoxia exposed males ($t = 2.52$, $p = 0.014$; confidence interval (CI) =
271 7.38, 58.83; Figure 2A) and the progeny of hypoxia exposed males lost equilibrium, on average,
272 9.18 times vs. 12.32 times in the control progeny ($t = -4.21$, $p < 0.0001$, CI = -0.433, -0.158;
273 Figure 2B). However, when accounting for family (to avoid pseudo-replication due to multiple
274 offspring per family being tested), the effect of time to loss of equilibrium becomes non-
275 significant ($t = 1.67$, $p = 0.133$, CI = -12.66, 79.22; note this model accounts for observed
276 heterogeneity in the data), though the effect remains for the number of times offspring lost
277 equilibrium ($z = -2.46$, $p = 0.014$, CI = -0.567, -0.037). Hence, there are strong family effects
278 (time to loss of equilibrium Treatment ID variance = 42.38; loss of equilibrium frequency
279 Treatment ID variance = 0.025), with greater resistance to acute hypoxia being observed for just
280 two families, H1 and H2 (Figure 2C and D).



281

282 **Figure 2. Hypoxia tolerance assays of unexposed offspring of control vs. hypoxia treated**
283 **males.** A and C) Time to first loss of equilibrium >3 sec, B and D) loss of equilibrium frequency
284 – panels C and D are broken down by family. N = 6-8 progeny/family x 5 families/treatment.
285 Tolerance assays done at 20-21 days post fertilization, following 14 day parental exposures (20-
286 21 kPa normoxia control vs. 11-12 kPa hypoxia treatment). Boxes illustrate the interquartile
287 range (medians, 25th and 75th percentiles), and whiskers illustrate 1.5 * the interquartile range,
288 above and below the 75th and 25th percentiles.

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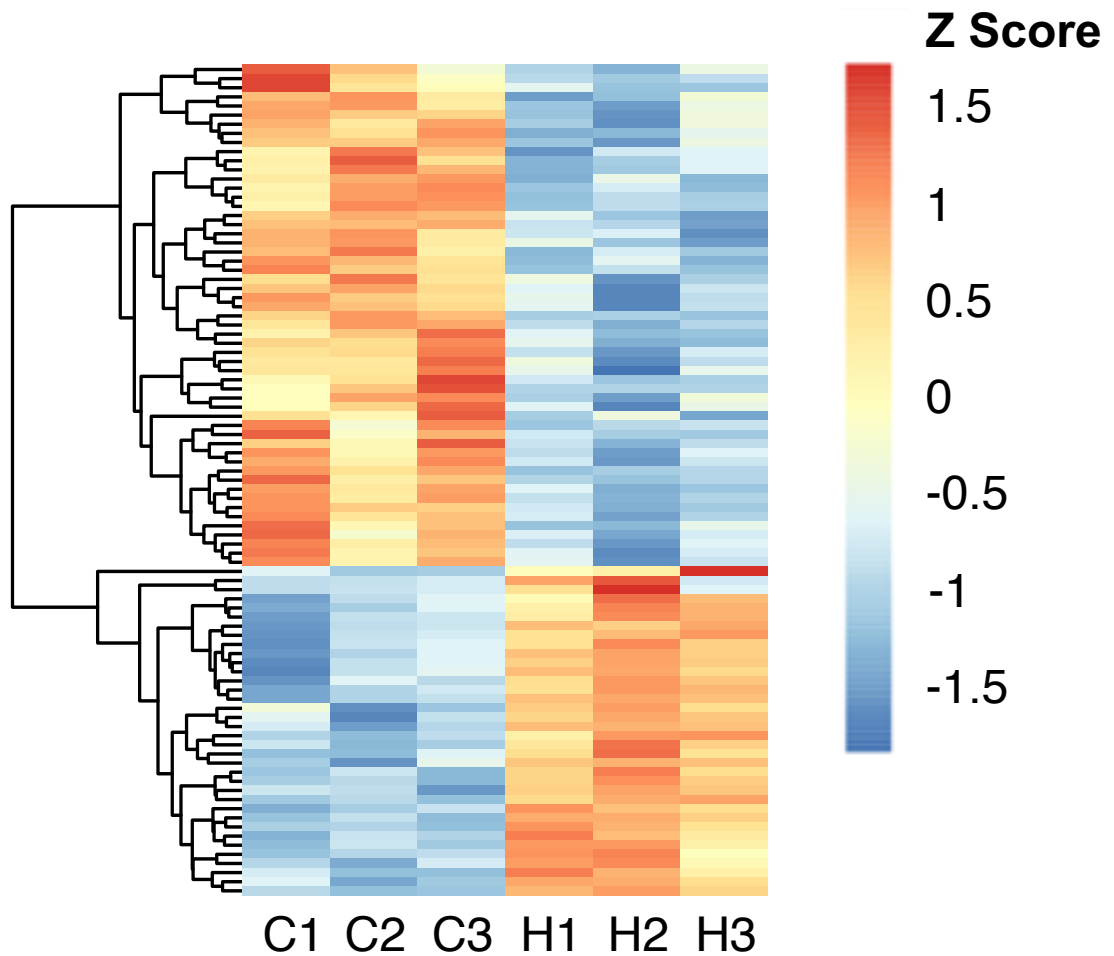
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293 **Transcriptome wide gene expression patterns in unexposed offspring**

294 We sequenced 17.4 – 20.0 million reads per sample. Mapping assigned 14.1 – 16.1 million reads
295 to genes, representing 80.15 – 81.41% of reads uniquely assigned to a gene, with detected
296 expression in 26,260 of the 32,057 genes in the GRCz11.99 annotation.

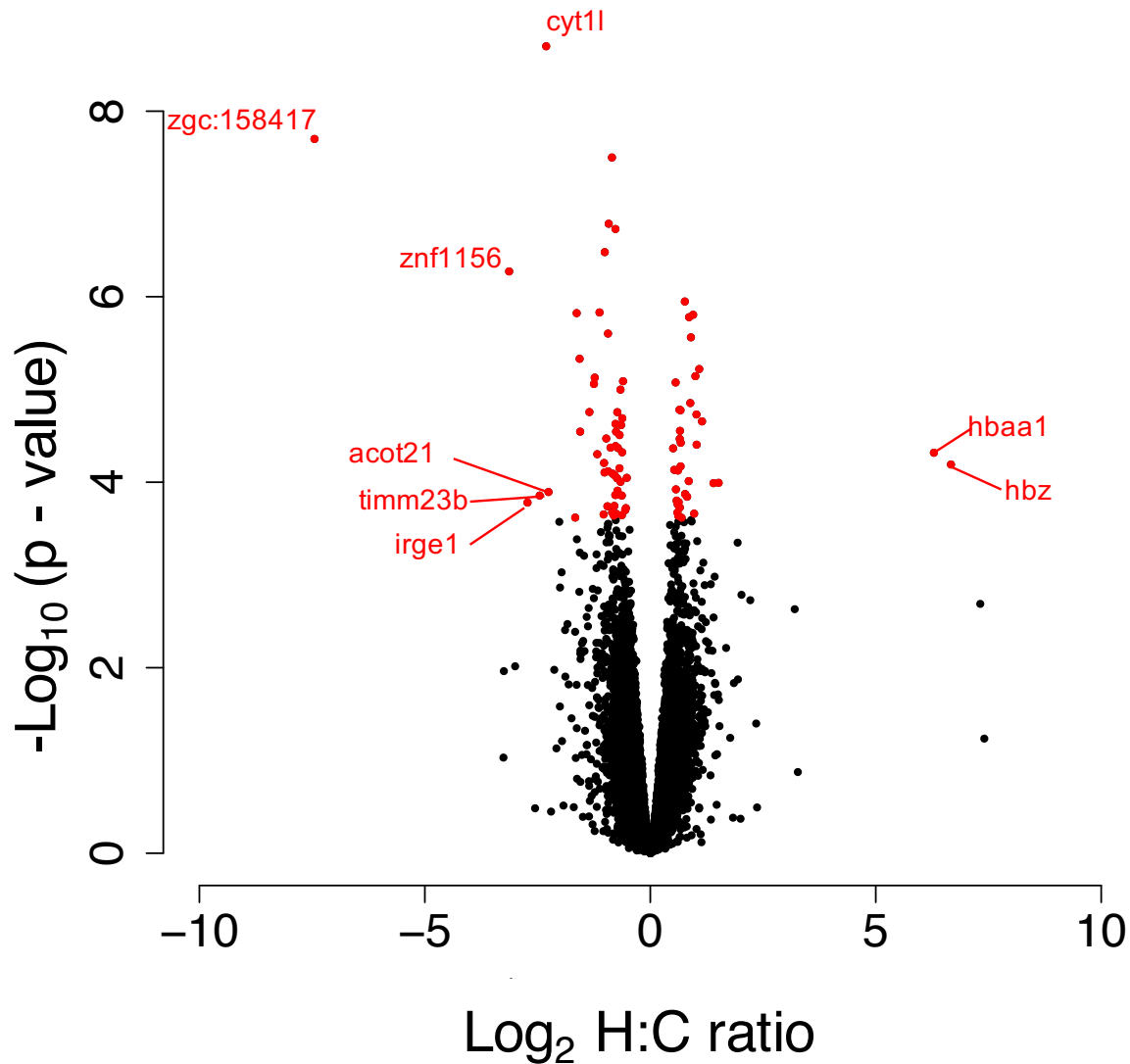
297 A total of 91 genes were significantly differentially expressed between the offspring of
298 control and hypoxia exposed males (Figure 3; Table S1). Of the 91 differentially expressed
299 genes, 36 genes were significantly upregulated, and 55 were significantly downregulated in the
300 offspring of hypoxia exposed males (Figure 3). Eight genes exhibited greater than 4-fold change
301 in differential expression (2 upregulated in hypoxia, 6 downregulated in hypoxia) (Figure 4).
302 Most notably, two hemoglobin genes (*hbaa1* and *hbz* (*si:ch211-5k11.6*); Figure 4 and 5A) were
303 upregulated by more than 12-fold in offspring of hypoxia exposed F0 males. Three additional
304 genes were upregulated by more than 7-fold, but did not pass False Discovery Rate (FDR)
305 correction – these included a third hemoglobin gene (*hbba1*; Figure 4 and 5A; Table S2) and a
306 major histocompatibility gene (*mhc1ula*; Figure 4). Importantly, the observed upregulation in
307 hemoglobin gene expression correlates with the differential family effects observed in our loss of
308 equilibrium tolerance assays (compare Figure 2 with Figure 5A/Table S2). Sequenced offspring
309 from the H1 and H2 families, the two families that show the greatest tolerance to acute hypoxia,
310 also show the greatest upregulation in gene expression. Indeed, the sequenced H1 offspring
311 never lost equilibrium within our 240s long assay and the sequenced H2 offspring first lost
312 equilibrium at 216.5s, whereas the third hypoxia offspring, from family H3 (with a first loss of
313 equilibrium at 181.5s), and the offspring from C1-C3 (first loss of equilibrium > 3 s at 38.8, 24.2
314 and 18.9s, respectively) show little to no expression at these hemoglobin genes.

315 Six genes were downregulated by more than 4-fold in offspring of hypoxia exposed
316 males (Figure 4), including *timm23b* (translocase of inner mitochondrial membrane 23 homolog
317 b (yeast)), *acot21* (acyl-CoA thioesterase 21), *znf1156* (zinc finger protein 1156), *cyt11* (type I
318 cytokeratin), *irge1* (immunity-related GTP-ase), and *zgc:158417*.



319

320 **Figure 3. Differential gene expression in offspring of control vs. hypoxia treated males.** 91
321 genes are differentially expressed between the 20-21 day old offspring of hypoxia (n = 3) and
322 control (n = 3) males, with an FDR < 0.05. Red and blue colours represent higher and lower
323 expression, respectively.
324



325
326 **Figure 4. Volcano plot for differentially expressed genes in the offspring of control vs.**
327 **hypoxia exposed males.** 20-21 day old zebrafish F1 offspring from control (n = 3) and hypoxia
328 (n = 3) treated males, showing the distribution of significance [$-\log_{10}(\text{p-value})$] vs. fold change
329 [$\log_2(\text{fold change})$] for all genes. Each circle represents a gene, with significant genes (at 5%
330 FDR) highlighted in red. Genes with greater than 4-fold change in expression between control
331 and hypoxia treatments are labelled.
332

333 Of the genes we analysed, 13,698 were associated with a GO term (including 58 of the 91
334 differentially expressed genes). Nine GO terms were overrepresented in our differentially

335 expressed genes at a q-value cutoff of 0.1 (Table 1). Several significantly enriched GO terms
 336 were associated with lytic or proteolytic activity (i.e. serine hydrolase activity, serine-type
 337 endopeptidase activity, hydrolase activity, serine-type peptidase activity, glutamate
 338 decarboxylase activity, endopeptidase activity, peptidase activity, peptidase activity, acting on L-
 339 amino acid peptides). Proteolysis (GO:0006508 p-value = 7.43×10^{-04} ; q-value = 0.7640) tended
 340 to be over-represented. Genes associated with the process of aging (GO:0007568; p-value = 1.99
 341 $\times 10^{-05}$; q-value = 0.1640) as well as to the response to oxidative stress (GO:0006979; p-value =
 342 9.20×10^{-04} ; q-value = 0.841) also tended to be over-represented.

343
 344 **Table 1: Gene Ontology analysis terms associated with differentially expressed genes.** Only
 345 terms with a False Discovery Rate q-value below 0.1 are displayed.
 346

GO term	Sub-ontology	Description	Enrichment factor	p-value	FDR q-value
GO:0004252	function	serine-type endopeptidase activity	15.97	2.47×10^{-07}	7.55×10^{-04}
GO:0008236	function	serine-type peptidase activity	13.67	7.18×10^{-07}	1.10×10^{-03}
GO:0017171	function	serine hydrolase activity	13.67	7.18×10^{-07}	7.30×10^{-04}
GO:0016787	function	hydrolase activity	2.62	1.56×10^{-05}	1.19×10^{-02}
GO:0004351	function	glutamate decarboxylase activity	216.71	2.09×10^{-05}	1.28×10^{-02}
GO:0004175	function	endopeptidase activity	6.35	3.34×10^{-05}	1.70×10^{-02}
GO:0070011	function	peptidase activity, acting on L-amino acid peptides	4.84	8.66×10^{-05}	3.77×10^{-02}
GO:0008233	function	peptidase activity	4.64	1.19×10^{-04}	4.53×10^{-02}
GO:0009449	process	gamma-aminobutyric acid biosynthetic process	216.71	2.09×10^{-05}	1.28×10^{-02}

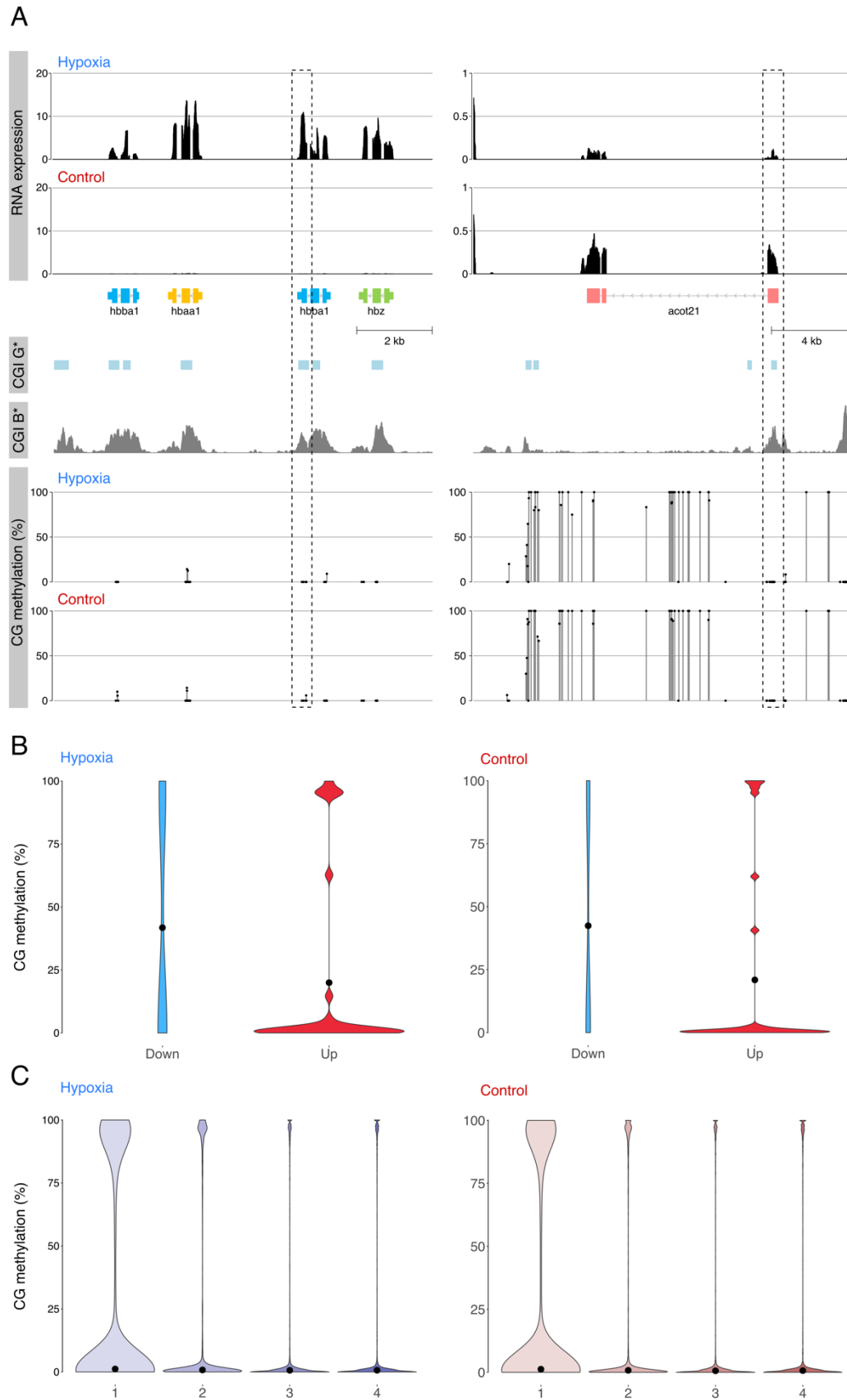
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349 **Global methylation changes in the sperm of parental males and the relationship between**
350 **methylation in parental sperm and gene expression in offspring**

351
352 To explore potential mechanistic explanations underlying increased tolerance to acute hypoxia
353 and differential gene expression in the zebrafish offspring, we conducted whole genome bisulfite
354 sequencing (WGBS) of sperm from hypoxic ($n=3$) and control males ($n=3$). We obtained an
355 average of 67,891,334 reads per methylome ($SEM \pm 2,551,964$) and a mapping efficiency of
356 45.02% ($SEM \pm 0.07\%$). Global cytosine-guanine (CG) dinucleotide methylation levels showed
357 no differences between hypoxia and control samples (84.15% and 84.23%, respectively; $p >$
358 0.05).

359 In jawed vertebrates, methylation of transcription start sites (TSS), and in particular
360 regions enriched on CG dinucleotides (CGIs), is associated with transcriptional silencing (Peat et
361 al., 2014). For two example cases, the upregulated hemoglobin cluster and the downregulated
362 *acot21* gene, we characterized methylation at individual positions and CGI using statistical and
363 experimental criteria (Gardiner-Garden and Frommer, 1987; Long et al., 2013). No differences in
364 methylation levels were observed for these genes (Figure 5A). Next, we explored methylation
365 levels for all differentially expressed genes. Using a threshold of 20 methylation calls we
366 obtained 29 and 23 genes for the upregulated and downregulated groups. Whereas
367 downregulated genes are hypermethylated and upregulated genes are hypomethylated for
368 hypoxia and control samples, TSS methylation values remain stable when both groups of
369 samples are compared (Figure 5B). Finally, we explored the global effect of DNA methylation
370 on gene expression using expression quantiles. We found methylation levels in the parental
371 sperm and gene expression in the F1 offspring are coupled in hypoxia and control samples
372 (Figure 5C).



374 **Figure 5. Global methylation changes and relationship between methylation in the sperm of**
375 **hypoxia vs. control parental males and gene expression in the F1 progeny.** A) Relationship
376 between CpG methylation and RNA expression for Hb cluster and acot 21. CG methylation track
377 shows methylation levels for dinucleotides with >5 calls; CGIs were predicted according to the
378 Gardiner-Garden and Frommer criteria (CGI G*) and CxxC affinity purification (CGI B*). No
379 changes in TSS methylation containing CGIs (dashed box) were observed for both genes
380 (bottom). B) Violin plot showing distribution of methylation at transcription start sites (TSS) of
381 differentially expressed genes in the F1 progeny. Downregulated genes are hypermethylated
382 when compared to upregulated genes, however no clear differences were observed between both
383 conditions. C) Violin plot showing distribution of methylation at TSS of genes classified into
384 quartiles according to expression level (highest, 4). Each violin is scaled to the same maximum
385 width (total area is not constant between violins) to demonstrate distributions for each quartile.
386 Black dots denote the median.
387

388 **DISCUSSION**

389
390 We demonstrate that paternal exposure to hypoxia alters both the phenotypic response to hypoxia
391 and gene expression in the offspring. Larvae of fathers that experienced moderate hypoxia-
392 maintained equilibrium in acute hypoxia for longer than those of controls, indicating that
393 paternal exposure stimulated a higher tolerance to hypoxic conditions. Using next-generation
394 sequencing, we also detected significant changes in gene expression between control and
395 hypoxia offspring, with two key hemoglobin genes upregulated in offspring of hypoxia exposed
396 males –genes which may mediate the observed phenotypic differences, as they are involved in
397 oxygen transport. This pattern of inheritance, through the paternal line, could have large
398 evolutionary consequences as fathers are able to pass down valuable information to offspring
399 that may enable better survival. However, the underlying mechanism for this transmission
400 remains unknown, as we did not detect any differential methylation in the sperm of parental
401 males at any of the differentially expressed genes.
402

403 Importantly, our study provides evidence of increased tolerance to acute hypoxia through
404 paternal exposure, thus, adding to increasing evidence that environmental challenges experienced

405 by ancestors can provide progeny with environmental specific information that might allow
406 future generations to survive the same environmental challenge, i.e., transgenerational plasticity
407 (Donelson et al., 2014, 2012; Heckwolf et al., 2018; Herman and Sultan, 2011; Lee et al., 2020;
408 Marshall, 2008; Ryu et al., 2018; Veilleux et al., 2015). In a previous study, 20 dpf larvae
409 exposed to 4 kPa pO₂ revealed that offspring of parents exposed to hypoxia had longer time to
410 loss of equilibrium (hypoxia resistance; (Ho and Burggren, 2012)). In preliminary trials, we
411 found no behavioral differences using these oxygen parameters; thus, we increased the
412 magnitude of hypoxia, exposing larvae to ~1 kPa, which produced the expected phenotypic
413 differences. Importantly, the previous study (Ho and Burggren, 2012) did not differentiate
414 between maternal and paternal effects and did not provide a possible mechanism underlying this
415 phenotypic effect.

416 It is important to note that our study detects intergenerational acclimation, with potential
417 for transgenerational acclimation. A transgenerational study requires rearing fish through to
418 create an F2 generation. Indeed, few studies that claim transgenerational acclimation are truly
419 transgenerational, as the studies are conducted across a single generation (O’Dea et al., 2016).
420 Even if parents are exposed to environmental challenges prior to maturity, the primordial germ
421 cells are still exposed to the challenge as well. Regardless, our results suggest that parents are
422 passing on information that may benefit offspring survival, thus facilitating acclimation to
423 environmental conditions.

424 To try and understand the underlying mechanism priming progeny to better cope with
425 hypoxic conditions, we conducted transcriptomic analysis of the progeny. We detected 91 genes
426 that were differentially expressed in the offspring of paternal males that were exposed to
427 moderate hypoxia for two-weeks. Most notably, two hemoglobin genes (*hbaa1* and *hbz*)

428 exhibited over 7-fold differential expression, were upregulated in offspring of males exposed to
429 hypoxia, with another hemoglobin gene, *hbba1*, also upregulated, though non-significantly.
430 Remarkably, sequenced offspring from the H1 and H2 families, the two families that show the
431 greatest tolerance to acute hypoxia also show the greatest upregulation in hemoglobin gene
432 expression (Table S3). *hbaa1*, *hbz* and *hbba1* are all found on chromosome 3, and are part of the
433 major hemoglobin locus responsible for heme binding, and are instrumental in oxygen transport
434 (Ganis et al., 2012). Exposing fish to hypoxia is typically considered to improve hypoxia
435 tolerance through alterations in hemoglobin, hemoglobin-O₂ binding affinities, or cardiac
436 function to improve low O₂ performance (Cook et al., 2013). Thus, differential expression of
437 hemoglobin genes (up-regulated in offspring from hypoxia exposed fathers) could indicate
438 altered physiological mechanisms to combat low oxygen, which could be precipitating the
439 increased tolerance to acute hypoxia in the H1 and H2 families.

440 The relationship between hypoxia and hemoglobin function has been studied in larval
441 zebrafish, suggesting that zebrafish larvae might be able to upregulate hemoglobin concentration
442 in response to chronic hypoxia (Schwerte et al., 2003). Under normoxic conditions, oxygen
443 supply via diffusion seems to be sufficient to meet metabolic demands up to 12-14 dpf in
444 zebrafish, but larvae appear to be able to use a circulatory system as a backup where necessary
445 (Schwerte et al., 2003). Further, impaired hemoglobin function does not impair routine oxygen
446 usage in normoxia or at moderate levels of hypoxia in 5-42 dpf larvae, but functional
447 hemoglobin does allow larvae to sequester extra oxygen from water in extreme hypoxic
448 conditions (Rombough and Drader, 2009). Larvae express embryonic/larval globins early in
449 development, but somewhere between days 16 and 22 the embryonic/larval globins begin to
450 decline (Ganis et al., 2012; Tiedke et al., 2011), and adult globin expression increases, with the

451 adult globin expression pattern nearly completely established by day 32dpf. *hbae5*
452 (ENSDARG00000045142) is the only embryonic/larval hemoglobin to show any pattern of
453 differential expression in our transcriptomic data. We found that this gene was highly expressed
454 and upregulated twice as much in our hypoxia offspring than in our control, though not
455 significantly differentiated after FDR correction ($p = 0.014$, $q = 0.391$). *hbae5* expression appears
456 to peak around 22 dpf (Ganis et al., 2012), so upregulation of this gene should result in higher
457 affinity for oxygen, which may help explain the increased tolerance to the acute hypoxia that we
458 observed in our 20-21 dpf progeny. *hbae5* has also been shown to be up-regulated more than 5-
459 fold by hypoxia in zebrafish larvae directly exposed to hypoxia; ((Long et al., 2015); note that
460 *hbae5* is called *hbz* in their study).

461 In addition to two genes upregulated over 7-fold in offspring of hypoxia exposed males,
462 six genes were downregulated by more than 4-fold as well. These include *tim23b* (translocase
463 of inner mitochondrial membrane 23 homolog b (yeast)), an integral component of membranes.
464 Gene *acot21* (acyl-CoA thioesterase 12), is a key component of acyl-CoA metabolic processes
465 with thiolester hydrolase activity and found in the cytoplasm. Gene *znf1156* (zinc finger protein
466 1156) has metal ion binding functions. Gene *zgc:158417* and *irge1* (immunity-related GTP-ase
467 family) are predicted to be integral component of membranes and used in GTP binding. Gene
468 *cyt11* (type I cytokeratin) is predicted to have structural molecule activity. While there is little
469 information on these downregulated genes, expression changes of such significant magnitude are
470 likely to have large consequences.

471 An HRGFish database (Rashid et al., 2017) reports 50 key genes that are altered through
472 hypoxia, but we did not find any of them to be differentially expressed in our transcriptomic data
473 (Table S1, showing data for 46 of the 50 HRGFish genes referenced for the zebrafish),

474 suggesting that the genes that are altered by direct exposure to hypoxia may be quite different to
475 those that may be passed on to future generations of hypoxia exposed ancestors. Importantly,
476 transcriptomic studies of F1 and F2 adult tissues, like heart, gill, brain and liver, might reveal
477 differential expression of some of these key hypoxia genes.

478 Interestingly, our GO analysis highlighted an overrepresentation of genes associated with
479 aging and oxidative stress. Studies have previously hypothesized a link between hypoxia (and
480 effects on respiration), oxidative stress (and free radical production) and aging in humans
481 (Katschinski, 2006; Valli et al., 2015), with several studies demonstrating age-related changes in
482 the hypoxia inducible factor system.

483 DNA methylation is known to be altered by hypoxia exposure in fishes (Lai et al., 2019;
484 Wang et al., 2016) and other vertebrates (Childebayeva et al., 2019; Zhang et al., 2017). Thus,
485 we hypothesized that hypoxia might produce changes in the paternal methylome, which are
486 inherited to the offspring, explaining the observed tolerance to acute hypoxia and differential
487 gene expression. Interesting, we found methylation levels and gene expression were coupled in
488 our hypoxia and control samples, despite the different tissues of origin for the methylome and
489 RNA expression data. This coupling supports a common pattern between the paternal methylome
490 and expression levels of the embryo. Yet, we observed no differences in methylation levels in
491 any differentially expressed genes, including the hemoglobin cluster, suggesting that sperm
492 methylation patterns are not responsible for changes in the observed changes in gene expression
493 in our study, or perhaps through epigenetic control away from the focal genes. The medaka
494 studies (Lai et al., 2019; Wang et al., 2016) exposed fish to a similar level of hypoxia, but for a
495 much longer period, the entire 3-month life cycle, which may explain why they observed
496 differential methylation in the sperm and we did not. If alterations to DNA methylation are not

497 responsible for the changes in gene expression observed in our study, then perhaps histone
498 modifications or miRNAs are at play. miRNA in medaka have also been identified as a potential
499 underlying mechanism of transgenerational testis impairment induced by hypoxia by targeting
500 genes associated with stress responses, cell cycle, epigenetic modifications, sugar metabolism,
501 and cell motion (Tse et al., 2016).

502 In conclusion, our study demonstrates that paternal exposure to hypoxia is able to endow
503 offspring with a higher resistance to hypoxic conditions and that upregulation of genes at the
504 hemoglobin gene complex on chromosome 3 may explain these phenotypic effects. This study is
505 the first to demonstrate that paternal exposure alone can mediate these changes in phenotype and
506 gene expression. These findings suggest that paternal inheritance may be providing offspring
507 with valuable environmental specific information (a ‘memory’) that could increase their survival,
508 though it is unclear how this information is transmitted as we did not detect any differential
509 methylation in the sperm of control and hypoxia treated males. Regardless, the variability in
510 tolerance to acute hypoxia that we observed between hypoxia families suggests that progeny of
511 different genotypes respond to hypoxia in different ways, phenotypically (i.e., an epigenotype x
512 environment interaction). In other words, environmental specific information is transferred
513 through some genotypes, but not others, suggesting that pre-acclimation to hypoxic conditions
514 may be driven by an interaction between the genotype, the epigenotype and the environment.

515
516

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530 reported in this paper is GEO:GSE160662. The source code for the bioinformatic analysis is
531 publicly available on GitHub https://github.com/OscarOrt/Paternal_hypoxia_Ragsdale_2020.

532

533 SUPPLEMENTARY MATERIALS

534 Supplementary material for this article is available at...

535

536 Table S1. Results of differential gene expression analysis

537 Table S2. Read counts mapping to four hemoglobin genes

538 Table S3. Whole genome bisulfite sequencing summary data

539

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783

Table S2: Read counts mapping to four haemoglobin genes located on a small region of chromosome 3. * genes are not significantly differentially expressed but show strong expression in samples H1 and H2 who were the most resilient to loss of equilibrium in hypoxic environment. Note that there are two versions of *hbba1* due to genome duplication, and they are physically separated on chromosome 3.

Ensembl ID	Gene name	C1	C2	C3	H1	H2	H3
ENSDARG00000079078	<i>hbz</i>	0	0	2	9	303	1
ENSDARG00000097011	<i>hbaa1</i>	2	1	17	671	1427	14
ENSDARG00000097238	<i>hbba1*</i>	0	0	8	341	974	8
ENSDARG00000089087	<i>hbba1*</i>	1	3	13	227	1169	21

Table S3. Whole genome bisulfite sequencing of the sperm of hypoxic vs. control males

The table lists the general sequencing statistics as well as the number of cytosine calls at either CG dinucleotides ("CG") or in other sequence contexts ("non-CG"), for the samples used in the experiments, mapped against the Zebrafish genome version 11 (GRCz11). Details of bioinformatic processing are provided in the Methods section. The frequency of non-CG methylation indicates the maximum rate of non-conversion during the bisulfite treatment step; by this measure, all libraries had a bisulfite conversion efficiency of at least 98.52%.

Sample	Reads number	Mapping eff.	Total unique map.	Methylated CG calls	Unmethylated CG calls	Total CG calls	% CG Methylation	Methylated non-CG calls	Unmethylated non-CG calls	Total non-CG calls	Non-CG Methylation
WGBS_ZF_hypoxia_01	71407777	44.80%	32002473	40595086	7701958	48297044	84.05%	2891863	204518174	207410037	1.39%
WGBS_ZF_hypoxia_02	63581861	44.90%	28517341	37211081	6924223	44135304	84.31%	2802223	186459744	189261967	1.48%
WGBS_ZF_hypoxia_03	74348252	44.90%	33365526	41948900	7945201	49891101	84.07%	2933887	212658962	215592849	1.36%
WGBS_ZF_control_01	60567819	45.10%	27287633	34647909	6480315	41128224	84.24%	2529456	176922946	179452402	1.41%
WGBS_ZF_control_02	62901530	45.20%	28453313	35787583	6746571	42534154	84.14%	2558652	183685718	186244370	1.37%
WGBS_ZF_control_03	74540770	45.20%	33692759	42528134	7911181	50439315	84.32%	3154853	216091870	219246723	1.44%