

1 **Transcriptomic divergence predicts morphological and ecological variation underlying an**  
2 **adaptive radiation**

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25 **Abstract**

26 Groups of sympatric taxa with low inter-specific genetic differentiation, but considerable  
27 ecological differences, offer great opportunities to study the dynamics of divergence and  
28 speciation. This is the case of ciscoes (*Coregonus* spp.) in the Laurentian Great Lakes, which are  
29 characterized by a complex evolutionary history and are commonly described as having  
30 undergone an adaptive radiation. In this study, morphometrics, stable isotopes and transcriptome  
31 sequencing were used to study the relationships within the *Coregonus artedi* complex in western  
32 Lake Superior. We observed general concordance for morphological, ecological and genomic  
33 variation, but the latter was more taxonomically informative as it showed less overlap among  
34 species in multivariate space. Low levels of genetic differentiation were observed between  
35 individuals morphologically identified as *C. hoyi* and *C. zenithicus*, and we hypothesize this  
36 could be associated with recent hybridization between the two species. Transcriptome-based  
37 single nucleotide polymorphisms exhibited significant divergence for genes associated with  
38 vision, development, metabolism and immunity, among species that occupy different habitats.  
39 This study highlights the importance of using an integrative approach when studying groups of  
40 taxa with a complex evolutionary history, as individual-level analyses of multiple independent  
41 datasets can provide a clearer picture of the patterns and processes associated with the origins of  
42 biodiversity.

43

44 **INTRODUCTION:**

45

46 The speciation continuum offers exciting opportunities to understand the mechanisms driving the  
47 accumulation of genetic and ecological divergence among closely related groups. This is  
48 especially relevant when ecological opportunities can promote and/or maintain differentiation  
49 among such groups, even in the presence of gene flow (Arnold, 2006; Fitzpatrick, Gerberich,  
50 Kronenberger, Angeloni, & Funk, 2015). Hybridization during early stages of differentiation can  
51 complicate the identification of divergent groups, as selectively neutral alleles may be freely  
52 exchanged between forms (Hohenloe et al., 2013; Feulner & Seehausen, 2019). Conversely,  
53 genes associated with traits of reproductive or ecological advantage (Hench, Vargas, Höppner,  
54 McMillan, & Puebla, 2019; Meier, Marques, Wagner, Excoffier, & Seehausen, 2018; Richards &  
55 Martin, 2017) are expected to be far more resistant to gene flow among groups. This scenario of  
56 divergence can be further complicated by sporadic or cyclical environmental disturbances, which  
57 can disrupt the ecological barriers maintaining isolation between closely related forms (Feulner  
58 & Seehausen, 2019; Ohlberger, Mehner, Staaks, & Hölker, 2008), or affect the geographic  
59 barriers that hinder gene flow (Turgeon & Bernatchez, 2003; Wilson & Bernatchez, 1998). These  
60 patterns are commonly observed in lacustrine environments, such as the Laurentian Great Lakes  
61 (hereafter the Great Lakes), where multiple closely related groups/forms occur in sympatry (e.g.,  
62 *Coregonus* spp., *Salvelinus* spp.).

63 Aquatic organisms in the Great Lakes are characterized by a complex evolutionary  
64 history as a result of Pleistocene glaciation events. Glaciers covered most areas currently  
65 associated with the Great Lakes during the Pleistocene, leading to the isolation of lakes that  
66 remained ice-free (Bailey & Smith, 1981). The retreat of the ice sheets, which started

67 approximately 14,000 years before present, led to the formation of lakes and rivers that promoted  
68 connectivity between the isolated refugia (Bailey & Smith, 1981). These cycles of vicariance  
69 followed by subsequent hypothesized admixture resulted in fish groups that have led to complex  
70 phenotypic and genomic patterns and complicated taxonomic relationships (Bernatchez &  
71 Dodson, 1991; Turgeon, Estoup, & Bernatchez, 1999; Wilson & Bernatchez, 1998). One  
72 example is the ciscoes in the genus *Coregonus* (subgenus *Leucichthys*), a group that contains  
73 several species characterized by distinct morphological and ecological traits. An early study of  
74 this group recognized eight distinct Great Lakes species in the *C. artedi* complex: *C. alpenae*, *C.*  
75 *artedi*, *C. johanna*, *C. kiyi*, *C. hoyi*, *C. nigripinnis*, *C. reighardi* and *C. zenithicus*, as well as  
76 several sub-species (Koelz, 1929). Later work has resulted in considerable debate over the  
77 systematics of the group, due to phenotypic and genetic similarity among some of the species  
78 (Todd, Smith, & Cable, 1981; Turgeon & Bernatchez, 2003; Turgeon, Estoup, & Bernatchez,  
79 1999).

80 During the 20<sup>th</sup> century, human mediated effects such as overfishing, pollution, habitat  
81 degradation and invasive species directly affected the Great Lakes (Mills, Leach, Carlton, &  
82 Secor, 1994; Regier, Whillans, Christie, & Bocking, 1999). These changes led to population  
83 declines and extinctions of many coregonines (Smith, 1968). For example, it is thought that  
84 overfishing led to the extinction of six deep-water cisco forms in Lake Michigan (Eshenroder et  
85 al., 2016). Moreover, there has been a substantial decline in the abundance of deep-water forms  
86 in Lake Superior since the 1920s, especially *C. zenithicus* (Bronte et al., 2010; Hoff & Todd,  
87 2004; Pratt, 2012). In many instances, population declines and extinctions have been followed by  
88 expansion of more abundant species into habitats previously occupied by other groups. Such is  
89 the case for *C. hoyi* which now occurs in deep waters that were once occupied by other species in

90 lakes Michigan and Huron (Bunnell et al., 2012). Studies in marine and freshwater fishes have  
91 shown that habitat degradation and other types of environmental change, along with fluctuations  
92 in population density can lead to blurring of ecological and behavioral barriers that keep closely  
93 related groups separated when they lack reproductive isolation (Seehausen, 2006; Taylor et al.,  
94 2006). Over time, the erosion of pre-mating mechanisms can lead to loss of taxonomic diversity  
95 via “speciation reversal” (Zhang, Thibert-Plante, Ripa, Svanbäck, & Brännström, 2019). It has  
96 also been suggested that reduction in population densities for one taxon can lead to increased  
97 rates of hybridization with other more abundant and closely related groups (i.e., Hubbs principle;  
98 Hubbs, 1955), as it becomes challenging for the rare species to find mates of their own kind.  
99 Hybridization can quickly exacerbate the loss of genetic diversity caused by the initial  
100 population declines (Arnold, Bulger, Burke, Hempel, & Williams, 1999). Previous studies have  
101 suggested that hybridization between closely related groups could have accelerated the extinction  
102 of cisco forms, representing a loss of biodiversity (Todd & Stedman, 1989). Given the sympatric  
103 nature of Lake Superior ciscoes and their low levels of genetic differentiation (Ackiss, Larson, &  
104 Stott, 2020; Turgeon & Bernatchez 2003; Turgeon, Estoup, & Bernatchez, 1999), it is important  
105 to understand the phenotypic and genetic differences that characterize the extant members of the  
106 *C. artedi* complex, to improve the understanding of their evolutionary history and to inform  
107 conservation efforts in Lake Superior.

108 Today, up to six species are thought to be present in Lake Superior (Eshenroder et al.,  
109 2016), and three are routinely caught with standard trawl or gillnet sampling: *C. artedi*, *C. hoyi*  
110 and *C. kiyi* (US Geological Survey, 2018). The most abundant is *C. kiyi* (Yule et al., 2013) and it  
111 tends to occupy bathymetric depths greater than 100m (Rosinski, Vinson, & Yule, 2020) and  
112 predominantly feeds on *Mysis diluviana* (Gamble, Hrabik, Stockwell, & Yule, 2011a;

113 Ahrenstorff et al., 2011). The second most abundant form is *C. artedi* (Yule et al., 2013) which is  
114 found near the lake surface, especially during spring and summer (Stockwell, Yule, Gorman,  
115 Isaac, & Moore, 2006; Yule et al., 2013; Rosinski, Vinson, & Yule, 2020). The primary diet of  
116 adult *C. artedi* consists of *Mysis*, cladocerans and calanoid copepods (Gamble, Hrabik,  
117 Stockwell, & Yule, 2011a, b; Ahrenstorff, Hrabik, Stockwell, Yule & Sass, 2011). *Coregonus*  
118 *hoiyi* is the third most abundant form and can be associated with the lakebed during the day and  
119 found in waters of the hypolimnion at night (Yule et al., 2013). They primarily consume calanoid  
120 copepods and cladocerans as juveniles, while adults utilize a diverse diet of zooplankton,  
121 *Diporeia* spp. and *Mysis* (Gamble, Hrabik, Stockwell, & Yule, 2011b; Sierszen et al., 2014).  
122 *Coregonus zenithicus* is also extant but is thought to be extremely rare (Bronte et al., 2010; Hoff  
123 & Todd, 2004; but see Pratt, 2012), while the status of *C. nigripinnis* and *C. reighardi* is  
124 uncertain (Eshenroder et al, 2016; Todd & Smith, 1980). Previous studies in Lake Superior using  
125 stable isotopes over three time-frames (1897-1929, 1934-1966, and 1972-1998) concluded that  
126 *C. zenithicus* and *C. nigripinnis* had a high degree of overlap in both trophic position and their  
127 use of basal carbon resources, while *C. reighardi* was distinct from these other two species  
128 (Schmidt et al., 2009). Overall, it is estimated that *C. zenithicus* consumes primarily *Mysis*,  
129 *Diporeia* spp., calanoid copepods and cladocerans (reviewed by Eshenroder et al., 2016).  
130 However, due to their rarity, it has not been possible to include them in more recent studies.

131         Due to their considerable ecological differences, the *Coregonus artedi* species complex  
132 provides a unique opportunity to explore mechanisms that lead to the differentiation and  
133 maintenance of closely related sympatric forms. There have been many previous genetic studies  
134 of the *Coregonus* species complex in the Great Lakes, and most have relied on putatively neutral  
135 markers (i.e., mitochondrial DNA and microsatellites). Some studies have identified significant

136 differences associated with geographic regions but have failed to identify differences among  
137 species (Turgeon & Bernatchez, 2003; Turgeon, Estoup, & Bernatchez, 1999). A more recent  
138 study used single nucleotide polymorphisms (SNPs) generated by RADseq to identify genetic  
139 differentiation among forms in Lake Superior, but did not include morphological or ecological  
140 data (Ackiss, Larson, & Stott, 2020). Since morphological and ecological divergence is partially  
141 heritable in this group (Todd & Smith, 1980), we anticipate that there is underlying functional  
142 genetic divergence in some parts of the genome, which could be identified by comparing the  
143 transcriptomes of species in the *Coregonus artedi* complex. An initial study examined functional  
144 differences in opsin genes across the complex, and found evidence of adaptive molecular  
145 evolution in *rhodopsin*, consistent with differences in depth preferences among forms (Eaton et  
146 al. 2020), suggesting that functional genetic differences might also be present in other gene  
147 families.

148         In this study, we aim to understand if there is concordance between morphology, feeding  
149 habits, and genomic divergence among four species of *Coregonus* from Lake Superior: *C. artedi*,  
150 *C. kiyi*, *C. hoyi*, and *C. zenithicus*. Despite ongoing debates on whether *C. nigripinnis* and *C.*  
151 *reighardi* should be synonymized with *C. zenithicus* (Eshenroder et al., 2016; Todd & Smith,  
152 1980), these groups were not included in the present study as they are very rare in Lake Superior.  
153 Specific questions for our study include: 1) What are the main morphological traits that  
154 characterize different species? 2) What are the main ecological traits that differentiate the deep-  
155 water ciscoes *C. hoyi* and *C. zenithicus*? 3) Which highly divergent genes are associated with  
156 ecological and morphological differences? By understanding the phenotypic, ecological, and  
157 genomic differences among these species, this study presents an integrative view of the  
158 divergence of fishes of the Laurentian Great Lakes.

159

## 160 **METHODS**

### 161 **Sample collections**

162 Individuals of *C. artedi*, *C. hoyi*, *C. kiyi* and *C. zenithicus* were collected between May and  
163 November of 2015 from multiple sites throughout western Lake Superior (Figure 1, Table S1).  
164 Identification of individuals followed Koelz (1929) and Eshenroder et al. (2016). Extant forms of  
165 *C. hoyi* and *C. zenithicus* were identified based on length of the lower jaw (lower jaw is shorter  
166 for *C. zenithicus*; Eshenroder et al., 2016) and a cursory examination of the number of gill-rakers  
167 (*C. zenithicus* has 35-40 and *C. hoyi* 41-45; Eshenroder et al., 2016). Captured fish were  
168 identified to species, euthanized by pithing, and dissected to obtain tissues for RNA isolation. In  
169 total, eight individuals per species were included in all analyses, except for *C. zenithicus* (n=7;  
170 see Results). All sampling and handling of fish was done following the guidelines for the care  
171 and use of fishes by the American Fisheries Society (AFS 2014).

172

### 173 **Morphometrics**

174 After dissection for tissues that would be used in RNAseq analyses, fish were placed on ice until  
175 they could be photographed. Fishes were placed on a mesh on top of a wooden frame with a ruler  
176 for scale (mm). Attempts were made to position specimens in a natural position, with the mouth  
177 slightly opened (Eshenroder et al., 2016). Dorsal, adipose, caudal and anal fins were extended  
178 with pins to allow for their measurement. Pelvic and pectoral fins were positioned so the  
179 horizontal and distal ends were clearly visible. A card with the specimen number and species  
180 field identification was included in each photograph. A digital image of the whole body was  
181 taken, and each image was scrutinized to ensure that all landmarks needed for body



182 measurements were visible. Individuals were then frozen at  $-20^{\circ}\text{C}$  to preserve tissues for the  
183 stable isotope analysis.

184 Digital images were used to obtain 11 body measurements described in Eshenroder et al.  
185 (2016): body depth (BDD), dorsal fin height (DOH), head length (HLL), maxillary length  
186 (MXL), orbital length (OOL), pelvic-anal distance (PAD), pectoral fin length (PCL), preorbital  
187 length (POL), pelvic fin length (PVL), pectoral-pelvic distance (PPD), and standard length (STL;  
188 Table S2). The lengths of the PCL and PVL were divided by PPD and PAD, respectively,  
189 providing fin-length-to-body-distance ratios. These ratios have been shown to be useful for  
190 distinguishing *Coregonus* species (Koelz, 1929; Eshenroder et al., 2016). The premaxillary angle  
191 (PMA) was also measured with a protractor but was not included in the PCA analysis because a  
192 cursory analysis showed PMA was taxonomically uninformative.

193 The image analysis software SigmaScan Pro V5.0.0 was used to obtain all measurements,  
194 calibrating the distances with the ruler included in each image. Body measurements (in mm)  
195 were transformed to their natural log. A principal component analysis (PCA) was used to capture  
196 the maximum amount of variation in just a few dimensions, using the *prcomp* function in R, with  
197 default settings (R Core Team 2013). To remove the size component from the morphometric  
198 measures, the covariance matrix was estimated, and the first principal component of these  
199 measures was used as a general measure of size (Dos Reis, Pessôa, & Strauss, 1990). Except for  
200 the two fin ratios, all transformed measurements were regressed against the first principal  
201 component to obtain size-free residuals that we analyzed in a second PCA.

202

203 **Stable Isotope Analysis**

204 We used stable isotope ratios ( $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$ ) to estimate isotopic niches and trophic overlap of  
205 the identified forms. Individuals stored at  $-20^{\circ}\text{C}$  were thawed and white muscle tissue was  
206 removed posterior to the dorsal fin. Skin was removed and the sample rinsed in deionized water,  
207 dried at  $50\text{-}60^{\circ}\text{C}$ , homogenized, and  $0.5\text{-}1.0\text{mg}$  was packed into tin capsules. Samples were  
208 analyzed at the University of California—Davis Stable Isotope Facility  
209 (<http://stableisotopefacility.ucdavis.edu/>). To accurately interpret the  $\delta^{13}\text{C}$  values it is important  
210 to normalize for lipid content, especially when  $\text{C:N}_{\text{bulk}} > 4$  (Hoffman, Sierszen, & Cotter 2015).  
211 We applied the arithmetic-mass-balance normalization of Hoffman, Sierszen, & Cotter (2015) to  
212 all samples:  $\delta^{13}\text{C}_{\text{lipid free}} = \delta^{13}\text{C}_{\text{bulk}} + (\Delta\delta^{13}\text{C}_{\text{lipid}} * (\text{C:N}_{\text{lipid free}} - \text{C:N}_{\text{bulk}})) / \text{C:N}_{\text{bulk}}$ . For this  
213 equation,  $\text{C:N}_{\text{bulk}}$  was molar,  $\text{C:N}_{\text{lipid free}} = 3.8$  and the lipid discrimination ( $\Delta\delta^{13}\text{C}_{\text{lipid}}$ ) =  $-6.4\text{‰}$ .  
214 The  $\delta^{15}\text{N}$  values were not corrected to allow for detection of  $\delta^{15}\text{N}$  enrichment, which occurs with  
215 increasing depth in Lake Superior benthos but not zooplankton (Sierszen et al., 2006; 2014).

216 Overlap of isotopic niches (*sensu* Newsome, Martinez del Rio, Bearhop, & Phillips,  
217 2007) was examined using Stable Isotope Bayesian Ellipses, in R-SIBER v2.1.3 (Jackson, Inger,  
218 Parnell, & Bearhops, 2011). Corrected standard ellipse areas (SEAc) were developed so that a  
219 subsequently sampled data point would have a 95% probability of being encompassed by a given  
220 SEAc. This was accomplished by multiplying the semi-major and -minor axes by 2.45 (Jackson,  
221 Inger, Parnell, & Bearhop, 2011). The percentage of overlap between form ellipses were  
222 calculated by using the *maxLikOverlap* function in R (R Core Team, 2013).

223

#### 224 **Transcriptome sequencing, assembly and SNP analysis.**

225 For the transcriptomic analyses, we collected tissues that are expected to play important roles in  
226 functional differentiation among *Coregonus* species: the right half of upper and lower jaws and

227 associated muscle and skin, first gill arch, eye, and brain. Tissue samples were obtained from the  
228 same individuals used in the morphological and dietary analyses immediately after pithing.  
229 These tissues are actively growing in adult fish, and therefore are expected to show variation  
230 based on morphological and ecological differences of the analyzed species. Collected tissues  
231 were preserved in RNAlater (Ambion, Inc.) and similar amounts were homogenized and pooled  
232 for the RNA extractions. Total RNA was extracted from each homogenate using TRIzol (Life  
233 Technologies, Inc.) and RNA Mini Isolation Kits (Ambion, Inc.) following manufacturers'  
234 instructions (including treatment with DNase). RNA quality was assessed with a Bioanalyzer  
235 2100 and quantified using a Qubit 2.0 fluorometer (Life Technologies, Inc.). Library preparation  
236 was done at the RTSF Genomics Core facility at Michigan State University, using Illumina  
237 TruSeq RNA library kits, with Illumina Ribo-Zero Gold for rRNA depletion. Libraries were  
238 normalized and pooled for multiplex sequencing, and the pool was loaded on both lanes of an  
239 Illumina HiSeq 2500 Rapid Run flow cell (paired-end, 250bp). Removal of Illumina adaptors  
240 and quality filtering ( $Q > 30$ ) was done with TrimGalore (Krueger, 2015). Resulting sequences  
241 were used to assemble a reference transcriptome for each species using Trinity (Grabherr et al.,  
242 2011), and only contigs longer than 300bp were maintained. Contigs were summarized using  
243 Transdecoder (Haas et al., 2013) which only retains samples with predicted open reading frames.  
244 CDHIT (Li & Godzik, 2006) was used to cluster contigs by similarity (95%), matching to the  
245 most similar cluster in the dataset. The completeness of *C. artedi* transcriptomes (Trinity vs.  
246 summarizing with Transdecoder and CDHIT) were assessed with the Benchmarking Universal  
247 Single Copy Ortholog database version 3 (BUSCO; Simão, Waterhouse, Ioannidis, Kriventseva,  
248 & Zdobnov, 2015), using the Actinopterygii database (*actinopterygii\_odb9*). This resulted in  
249 4,049 complete BUSCOs (874 single-copy and 3,175 duplicated) and 200 missing for the

250 Trinity/CDHIT transcriptome, and 3,876 complete BUSCOs (977 single-copy and 2899  
251 duplicated) and 303 missing BUSCOs for the Transdecoder transcriptome (Figure S1). Given  
252 that the Transdecoder approach greatly reduced the redundancy of reads while only minimally  
253 reducing the completeness of the transcriptome, this version was chosen for downstream  
254 analyses. Annotation of the four transcriptomes was done with BLAST search algorithm, using  
255 the Uniprot (accessed January 2019) and Trembl (accessed January 2019) databases as reference  
256 and an e-value of 1e-10 and below as cutoff. Additionally, transcripts were annotated using  
257 Blastn searches against cDNA sequences from six related fish genomes in Ensembl 99  
258 (Ensembl.org): *Danio rerio*, *Esox lucius*, *Hucho hucho*, *Salmo salar*, *Salmo trutta*. Default search  
259 parameters were used for Blastn searches except -evalue 1e-5 and -max\_target\_seqs 20. Blast  
260 hits with <70% similarity and <100 bp alignment were removed and the hit with the highest HSP  
261 of the remaining alignments was retained. Annotation to gene ontology (GO) was obtained with  
262 the UniprotKB Retrieve/IDmapping (available at: <https://www.uniprot.org/uploadlists/>), using  
263 the UniProt and Trembl gene names as query and exporting a table with the multiple GO terms  
264 per gene name.

265         To compare sequence variation within and among species, we called single nucleotide  
266 polymorphisms (SNPs) in the sequenced protein coding loci or adjacent untranslated regions  
267 (UTRs) for each individual. Paired-end sequences of all individuals were mapped to the  
268 transcriptome of *C. artedi*, using Bowtie2 (Langmead & Salzberg, 2012) with default  
269 parameters. The rate of mapping to the *C. artedi* transcriptome was >82% for all individuals.  
270 SNP calling was done using the BCFtools platform (Li et al., 2009), with the commands  
271 “*mpileup*” (-a 1, -C50, -Q25), and “*call*” (only keeping variant sites, excluding indels). The  
272 accessory script *vcfutils.pl* was used to retain sites with coverage higher than 15x, and *VCFtools*

273 (Danecek et al., 2011) was used to keep SNPs separated by 1,000bp, that were present in all  
274 individuals. This resulted in a matrix of 22,285 variant SNPs, with no missing data.

275 Observed ( $H_o$ ) and expected ( $H_e$ ) heterozygosity, number of alleles ( $N_a$ ), inbreeding  
276 coefficient ( $F_{is}$ ), and genetic diversity ( $\theta$ ) were estimated with “*basic.stats*” of the R package  
277 *Pegas* (Paradis, 2010) to quantify levels of genetic variation. The package *Genodive* (Meirmans  
278 & Tienderen, 2004) was used to estimate the Fixation Index ( $F_{st}$ ) for pairwise comparisons  
279 between the different species. This approach includes the Bonferroni correction of  $p$ -values for  
280 multiple comparisons (5,000 iterations). *VCFtools* was used to estimate pairwise  $F_{st}$  by  
281 individual loci between the different groups.

282 In addition, two separate analyses were performed to assess the degree of concordance  
283 between genomic markers and the results of the morphological analyses. First, a PCA was  
284 performed with the R package *pcadapt* (Luu, Bazin, & Blum, 2017), using the transcriptome  
285 covariance matrix and compared to PCA results from the morphological data. Second,  
286 individuals were divided into groups based on their ancestry using a maximum likelihood  
287 approach with the program *Ohana* (Cheng, Mailund, & Nielsen, 2016). The analysis was run for  
288 100 iterations (stable likelihoods were observed after 23 iterations), and resulting estimates were  
289 used to generate a population assignment plot using Python scripts included in *Ohana*. To  
290 determine if these lineages were genetically admixed, we implemented the three-population  
291 analysis ( $f_3$  statistics; Reich, Thangaraj, Patterson, Price, & Singh, 2009) using Treemix  
292 (Pickrell et al., 2012). Here, the genetic composition of one coregonine species was compared to  
293 two others, and admixture was implied if the z-score was negative (Reich, Thangaraj, Patterson,  
294 Price, & Singh, 2009).

295 The program *Ohana* (Cheng, Racimo, & Nielsen, 2019) was also used to determine if

296 some of the observed SNPs may be under divergent selection among species. Potential candidate  
297 loci were considered to be under selection when the maximum likelihood algorithm detects large  
298 differences in allele frequencies among species (i.e., Log-likelihood ratios >10; Cheng, Racimo,  
299 & Nielsen, 2019). Individual candidate loci that exhibited elevated  $F_{st}$  and/or were identified as  
300 evolving under divergent selection with *Ohana* were examined to determine whether any GO  
301 categories were significantly overrepresented among highly divergent genes using a Mann-  
302 Whitney U test of ranks (GO-MWU) with Benjamini-Hochberg correction (Wright, Aglyamova,  
303 Meyer, & Matz, 2015; scripts available: [https://github.com/z0on/GO\\_MWU](https://github.com/z0on/GO_MWU)). This analysis was  
304 performed for the highly differentiated genes between populations, as well as the genes that may  
305 be under divergent selection for all species, detected with *Ohana*. This test determines if there is  
306 a significant enrichment of GO categories among the top values of the distribution (i.e., highest  
307  $F_{st}$ ). Only categories that are represented by five or more transcripts were taken into  
308 consideration for the analyses, and the cutoff for false discovery rate was 10%. For each  
309 comparison, the enrichment was done separately for the domains Biological Process (BP),  
310 Cellular Component (CC) and Molecular Function (MF).

311 Because salmonids underwent an ancestral whole genome duplication ~88 Ma (Lien et al.,  
312 2016), there is a possibility that some of the identified SNPs are located in paralogous rather  
313 than orthologous loci (i.e., ohnologs). This could inflate estimates of divergence between  
314 species. In order to confirm the validity of the results, we filtered the transcripts to only include  
315 putatively orthologous sequences between the four target groups of our study and the more  
316 distantly related European whitefish *C. lavaretus* (NCBI SRA: SRR6321817-SRR6321824;  
317 Carruthers et al., 2018) using *Orthofinder* (Emms & Kelly, 2015). The resulting SNP matrix was  
318 used to re-estimate the pairwise  $F_{st}$  following the steps described above.

319

## 320 **RESULTS**

321 One individual of *C. zenithicus* always clustered with samples of *C. kiyi* for both genetic and  
322 morphological traits (eye diameter in particular; Figure S2). Upon further examination of the  
323 photographs, it was determined this individual was a *C. kiyi*, and due to this initial  
324 misidentification, this individual was excluded from the results below to avoid any confusion.

325

### 326 **Morphology**

327 Great Lakes coregonines are challenging to identify based on morphology. The PCA showed that  
328 variables with greatest influence on PC1 (Figure 2a; Table 1) were paired fin lengths (PCL and  
329 PVL), paired-fin length to body length ratios (PCL/PPD & PVL/PAD), and eye orbit size (OOL).  
330 *Coregonus kiyi* had the longest paired fins, *C. artedi* had the shortest, and *C. hoyi* and *C.*  
331 *zenithicus* were intermediate (Table 1). PCA scores clearly separated samples of *C. kiyi* and *C.*  
332 *artedi* and were most influenced by PVL/PAD, PCL and BDD. The morphometric analysis could  
333 not differentiate samples of *C. zenithicus* and *C. hoyi* included in our study, as they showed  
334 considerable overlap for the evaluated traits (Figure 2a).

335

### 336 **Isotopic Niche Breadth and Trophic Overlap**

337 Stable isotope measurements made it possible to differentiate among most of the species (Figure  
338 2b). There was clear separation of convex hulls in isotopic space between the shallow-water *C.*  
339 *artedi* and deep-water *C. kiyi* (Figure 2b, Table 2). Samples of *C. kiyi* generally had the highest  
340 mean  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values, but a small  $\delta^{13}\text{C}$  range (0.3) and  $\delta^{15}\text{N}$  range (0.7), indicating this  
341 species had the narrowest isotopic niche (Table 2). Meanwhile, samples of *C. artedi* had the

342 lowest mean  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values (Table 2). Individuals identified as *C. hoyi* fell between *C.*  
343 *artedi* and *C. kiyi* in the biplot (Figure 2b). These results corroborate previous analyses that  
344 suggest *C. hoyi* has an opportunistic feeding strategy and feeds at intermediate depths (Sierszen  
345 et al., 2014, Rosinski et al., 2020). Our results showed that samples of *C. zenithicus* overlapped  
346 with *C. artedi* and *C. hoyi*.

347 The SEAc were smallest for *C. kiyi*, intermediate for *C. artedi* and *C. hoyi* and largest for  
348 *C. zenithicus* (Table 2; Figure S3). There was no overlap between the ellipses representing *C.*  
349 *artedi* and *C. kiyi*, and there was minimal overlap between these two species and *C. hoyi* (Table  
350 3). This supports a scenario of strong niche partitioning between these three species. In sharp  
351 contrast, samples of *C. zenithicus* showed a high degree of overlap with the other forms,  
352 especially with *C. artedi* and *C. hoyi* (Figure S3).

353

### 354 **Transcriptomics**

355 Raw reads for all individuals are available in the Sequence Read Archive of NCBI, as part of  
356 BioProject PRJNA659559. After removal of low-quality reads and trimming adaptors, we  
357 obtained an average of 46 million reads per individual (SD  $\pm$  16,414,234; Table S3). The  
358 transcriptome assembly with Trinity resulted in 1,235,811 assembled contigs for *C. artedi*,  
359 1,504,437 for *C. hoyi*, 1,703,560 for *C. kiyi* and 1,645,826 for *C. zenithicus*. After summarizing  
360 with Transdecoder and CD-hit, the final transcriptomes resulted in 167,179 assembled contigs  
361 for *C. artedi*, 167,135 for *C. hoyi*, 137,947 for *C. kiyi* and 134,387 for *C. zenithicus* (Table S4).  
362 The resulting transcriptome is available in the Transcriptome Shotgun Assembly Sequence  
363 Database of NCBI (GIUL00000000). RNAseq reads from all species (eight individuals per  
364 species, seven for *C. zenithicus*) were mapped to the transcriptome of *C. artedi* (167,135



365 contigs). After quality filtering with BCFtools, the resulting matrix was comprised of 22,285  
366 high-confidence SNPs, with no missing data.

367 The molecular diversity indexes for all 31 samples were  $H_o = 0.27$ ,  $H_e = 0.22$ ,  $F_{is} = -0.26$ ,  
368  $N_a = 147,841$  and  $\theta = 0.73$  (Table S5). Average  $F_{st}$  across all samples was 0.018, and  $G_{test}$  results  
369 ( $p < 0.01$ ) indicate that the null hypothesis of panmixia was rejected, suggesting the presence of  
370 genetic differentiation among species. Pairwise  $F_{st}$  estimates showed significant, albeit low,  
371 levels of genetic differentiation between species (Table 4). The largest differences were found  
372 between *C. kiyi* and *C. zenithicus* ( $F_{st} = 0.025$ ,  $p < 0.01$ , Figure 4S) and *C. artedi* vs. *C. kiyi* ( $F_{st} =$   
373  $0.024$ ,  $p < 0.01$ ; Figure 3a). The lowest level of genetic divergence was found between *C. hoyi*  
374 and *C. zenithicus* ( $F_{st} = 0.00$ ,  $p > 0.788$ ; Figure 3b).

375 The smaller panel of SNPs derived from orthologous sequences from Orthofinder (7,898  
376 SNPs) resulted in  $F_{st}$  values comparable to those estimated with the full dataset (Table S7). This  
377 confirms our initial observations of differentiation between the closely related groups, suggesting  
378 the significant  $F_{st}$  values are not simply derived from paralogous sequences. This is a relevant  
379 distinction to make in salmonids, given their duplicated genome.

380 The PCA showed concordant patterns of variation with morphology and isotopes, but  
381 with greater separation among species (Figure 2c). The largest axis of differentiation  
382 (PC1=5.44% of variance explained) was between samples of *C. kiyi* versus the rest of the groups  
383 (Figure 2c). Meanwhile PC2 explained the differences between *C. artedi* with the rest of the  
384 groups (PC2=4.58%). The SNP data showed complete separation between *C. artedi* and *C.*  
385 *zenithicus*, which was not observed for isotopes and morphology. As with other analyses, the  
386 PCA showed overlap between samples of *C. hoyi* and *C. zenithicus*. The PCA also showed  
387 overlap for individuals of *C. hoyi* and *C. kiyi*, and between *C. artedi* and *C. zenithicus* (Figure

388 2c). Meanwhile, no overlap was observed between *C. kiyi* and *C. artedi*.

389         The population assignment test was consistent with the presence of three groups, with  
390 clear separation among *C. artedi*, *C. kiyi*, and a cluster of *C. hoyi* and *C. zenithicus* (Figure 4a),  
391 consistent with the PCA results. When we repeated the analysis with  $K=4$ , the groups formed by  
392 individuals of *C. artedi* and *C. kiyi* remained cohesive (as with  $K=3$ ), yet the samples of *C. hoyi*  
393 and *C. zenithicus* are split into two mixed groups (Figure 4b). For the estimates of  $K=3$ , evidence  
394 of admixture was observed between *C. hoyi* and *C. kiyi*, *C. artedi* and *C. zenithicus*, and *C. artedi*  
395 and *C. hoyi* (Figure 4a).

396         The three-population analysis revealed the group formed by *C. hoyi* and *C. zenithicus* is  
397 admixed, as the Z-scores ranged from -9.72 to -14.79 (Table S6). Even when we detected  
398 potential hybrids between other species with the PCA and assignment test (i.e., *C. hoyi* and *C.*  
399 *kiyi*, *C. artedi* and *C. zenithicus*), these comparisons showed no significant hybridization with the  
400  $f_3$  statistic. This is probably because admixture was only observed for one individual of the  
401 compared groups (Table S6).

402         The pairwise  $F_{st}$  comparisons between species also allowed detecting highly  
403 differentiated genes between species (Supplementary Data 1-6). Thus, the most differentiated  
404 genes for each comparison were: *Fork-head Box Q1a* ( $F_{st}=0.74$ ) between *C. artedi* and *C. hoyi*  
405 (Figure S4); *Rhodopsin* ( $F_{st}=0.83$ ) between *C. artedi* and *C. kiyi* (Figure 3a); *RCC1 Domain*  
406 *Containing 1* ( $F_{st}=0.64$ ) for *C. artedi* and *C. zenithicus* (Figure S4); *Zinc Finger MYM-Type*  
407 *Containing 2* ( $F_{st}=0.86$ ) for *C. hoyi* and *C. kiyi* (Figure S4); *Spectrin Beta, Non-Erythrocytic 2*  
408 ( $F_{st}=0.54$ ) for *C. hoyi* and *C. zenithicus* (Figure 3b); *Vacuolar Protein Sorting 13 Homolog A*  
409 ( $F_{st}=0.78$ ) for *C. kiyi* and *C. zenithicus* (Figure S4). The analysis of selection with Ohana  
410 revealed 68 genes with a Log-likelihood ratios > 10 (“divergent selection”) and 12 loci with Log-

411 likelihood ratios > 15 (“very high divergent selection”; Cheng et al., 2016; Supplementary Data  
412 7). These could be associated with ecological and morphological functions, such as regulation of  
413 epithelial formation (*Desmoplakin*; *Keratin Type 1*), cell morphology and organization (*Zinc*  
414 *Finger MYM- type 2*; *Peroxiredoxin-1*), development of nervous system (*Glutathione-specific*  
415 *gamma-glutamylcyclotransferase 1*; *cAMP-responsive element modulator*), metabolism of  
416 carbohydrates (*Protein phosphatase 1 regulatory subunit 3C*), vision (*Rhodopsin*), and cellular  
417 organization during development (*Fork-head box protein F1*). In addition, we explored if there  
418 was overlap between the analysis of Ohana (68 genes) and the top 50 differentiated genes for  
419 each of the pairwise *Fst* comparisons (i.e. 6 pairwise comparisons; 300 genes in total). This  
420 comparison resulted in 47 genes that showed both high *Fst* and potential divergent selection  
421 across all ciscoes (Supplementary Data 8).

422 GO enrichment analyses with the Mann-Whitney Test for the pairwise *Fst* estimates  
423 showed 17 significant GO terms (FDR<10%) across four different pairwise comparisons  
424 (Supplementary Data 9). The comparison of *C. artedi* vs. *C. zenithicus* showed the most  
425 enrichment, with seven terms for Biological Process, two for cellular component, and five for  
426 Molecular Function. This included the terms membrane organization (Biological Process), and  
427 secondary active transmembrane transporter activity (Molecular Function). Other comparisons  
428 with significant results (FDR<10%) for only one category were: *C. artedi* vs. *C. hoyi* (Molecular  
429 Function: Rho GTPase binding), *C. hoyi* vs. *C. zenithicus* (Biological Process: cell chemotaxis,  
430 plasma membrane raft assembly); *C. kiyi* vs. *C. zenithicus* (Cellular Component: plasma  
431 membrane region, phosphatidylinositol 3-kinase complex).

432

433 **DISCUSSION**

434

435 Understanding the dynamics of speciation can be enhanced by studying taxa with differences in  
436 phenotypic and ecological traits, but modest genetic differentiation. This is the case in Great  
437 Lakes coregonines, which are characterized by having complex evolutionary origins, as they  
438 show variation in morphological traits due to plasticity, selection, and/or hybridization. For  
439 taxonomically-complex taxa such as coregonines, integrating new results with previously  
440 published studies is hindered by a long history of researchers either implicitly or explicitly  
441 applying different taxonomic frameworks, often in the absence of museum voucher specimens.  
442 Thus, it is often not possible to reconcile whether apparent differences across space, time, or  
443 taxa, are due to true biological differences or reflect the taxonomic identifications employed. In  
444 taxa where this complexity occurs, we suggest that researchers either (1) explicitly describe the  
445 methods and morphological characters used in assigning individuals to taxa, (2) include linked  
446 morphological/ecological, and genetic data from the same specimens, or (3) deposit voucher  
447 specimens in natural history museums to serve as an historical record (e.g., see Schmidt et al.  
448 2009). With this in mind, the present study applied an integrative approach to characterize  
449 morphological, ecological, and genetic analyses to the same set of individuals to disentangle the  
450 differences found within this economically and ecologically relevant group of fishes. The linked  
451 genome-to-phenome approach applied in this study will allow for greater comparability across  
452 studies and more robust analyses of variation in Great Lakes ciscoes. Limitations of this  
453 approach include the necessarily small sample sizes, but with DNA sequencing costs diminishing  
454 over time, this issue should eventually be overcome. Overall, understanding the relationships  
455 within *Coregonus* is highly relevant today, as overfishing, habitat degradation and invasive  
456 species have led to declines in abundance and diversity for decades (Eshenroder et al., 2016).

457

458 **Isotopic measures show agreement with previous studies:**

459 Based on isotopic measurements, it appears that the main driving mechanism of dietary partitions  
460 is depth preference, which in turn influences prey availability. These findings confirm previous  
461 observations that muscle derived  $\delta^{13}\text{C}$  concentrations in *C. kiyi* and *C. hoyi/C. zenithicus* are less  
462 depleted than in *C. artemis*. This reflects preference for pelagic habitat and primary consumption  
463 of limnetic zooplankton during summer for the latter (Sierszen et al., 2014; Rosinski et al.,  
464 2020). This result is expected given the near-obligate pelagic existence of adult individuals of *C.*  
465 *artemisi* during summer stratification (Rosinski, Vinson, & Yule, 2020). Meanwhile, high average  
466  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values of *C. kiyi* are consistent with a diet dominated by *Mysis diluviana* (Gamble,  
467 Hrabik, Stockwell, & Yule, 2011a; Ahrenstorff et al., 2011; Sierszen et al., 2014) and preference  
468 for deep waters (Rosinski et al., 2020). The intermediate position of *C. hoyi* suggest they occupy  
469 intermediate depths and are opportunistic feeders with a diet that is obtained from pelagic and  
470 benthic zones. This result is also consistent with previous studies that showed *C. hoyi* change  
471 their diet seasonally (*Diporeia* in spring, *Mysis diluviana* in summer, and *Daphnia* spp. and  
472 calanoid copepods in autumn; Gamble, Hrabik, Stockwell, & Yule, 2011b). When compared to  
473 the other species, the isotopic values of *C. zenithicus* (Figure 2b) suggest that some individuals in  
474 this group may be obtaining part of their nutrition from the pelagic zone, through consumption of  
475 zooplankton. Samples of *C. zenithicus* from this study had terminal mouths similar to *C. artemisi*,  
476 which could explain the wide breadth of dietary components compared to the other groups. Both  
477 the present study and Schmidt et al. (2009) have *C. zenithicus* and *C. hoyi* occupying similar  
478 trophic positions, and both show *C. zenithicus* obtaining nutrition from more  $\delta^{13}\text{C}$  depleted prey  
479 resources relative to *C. hoyi*. Yet, Schmidt et al. (2009) showed these two species occupied

480 unique isotopic space (especially for their Lake Superior samples of 1972-1998), while we  
481 obtained far greater overlap. Given these contrasting results, it is necessary to expand the studies  
482 on the dietary overlap between *C. hoyi* and *C. zenithicus* among different populations of Lake  
483 Superior.

484

#### 485 **Multiple lines of evidence support divergence of *C. artedi* and *C. kiyi*:**

486 The forms that showed the highest levels of divergence across all measured traits were the  
487 shallow water *C. artedi* and the deep-water *C. kiyi*. One of the most striking morphological  
488 differences between the two is the size of the eye, which is much larger in *C. kiyi* relative to the  
489 rest of their bodies (Table 1). Genetic differences were also associated with vision, as two highly  
490 divergent genes between the two species were *Rhodopsin* (RHO;  $F_{st}=0.86$ ) and *Homeodomain-*  
491 *interacting protein kinase 2* (HIPK2;  $F_{st}=0.55$ ). *Rhodopsin* is a key photoreceptor in low light  
492 conditions, and differences in this gene have been previously reported for the two species (Eaton  
493 et al., 2020), as well as for other lineages exposed to dim-lit environments (Hill et al., 2019;  
494 Musilova et al., 2019; Schott, Refvik, Hauser, López-Fernández, & Chang, 2014).  
495 *Homeodomain-interacting protein kinase 2* is associated with tissue growth during development,  
496 including eye size and lens formation in mice (Inoue et al., 2010; Poon, Zhang, Lin, Manning, &  
497 Harvey, 2012). Additional loci that were highly differentiated between *C. artedi* and *C. kiyi*  
498 include: *Insulin Growth Factor 1 Receptor* (IGRF1;  $F_{st}=0.87$ ) which is essential for embryonic  
499 growth and cell proliferation of vertebrates (Schlueter, Peng, Westerfield, & Duan, 2007);  
500 *Plasminogen Activator* (PLAT;  $F_{st}=0.73$ ), associated with tissue formation and modification in  
501 fishes (Bader, et al. 2012); *Dystroglycan* (DAG1;  $F_{st}=0.62$ ) which has many functions including  
502 muscle development in zebrafish (Parsons, Campos, Hirst, & Stemple, 2002); and *cAMP-*

503 *responsive element modulator* (CREM;  $F_{st}=0.62$ ) important for neurogenesis and neuro-plasticity  
504 of vertebrates (Mioduszevska, Jaworski, & Kaczmarek, 2003). These are candidate genes that  
505 could exhibit adaptive genetic variants associated with habitat preferences of the two species,  
506 and the morphological differences found between them.

507 The PCL/PPD and PVL/PAD ratios were also considerably smaller in *C. artedi* compared  
508 to *C. kiyi*. Previous studies suggest that this is a convergent adaptation across lacustrine fishes,  
509 where large bodies and smaller fins provide advantages for maintaining buoyancy in pelagic  
510 environments with little swimming (i.e., *C. artedi*), while small bodies with longer fins favor  
511 swimming at depth (*C. kiyi*; Eshenroder, Sideleva, & Todd, 1999). Overall, the results from our  
512 study suggest concordance between morphological, genetic, and ecological differences for a  
513 shallow-water pelagic species (*C. artedi*) and the deep-water species (*C. kiyi*). Future studies are  
514 needed to confirm if there is a relationship between the aforementioned genes and morphological  
515 and ecological differences detected among the groups.

#### 516 **Lack of differentiation between *C. zenithicus* and *C. hoyi*:**

517 One of the revealing findings of our study was the limited differentiation between samples  
518 initially identified as *C. zenithicus* and *C. hoyi*. At first sight, the isotopic analysis suggests *C.*  
519 *hoyi* are obtaining more nutrition from the benthic zone, but this signal could be confounded by  
520 seasonal variation. Further, samples identified as *C. zenithicus* and *C. hoyi* showed no significant  
521 differences in the overall  $F_{st}$ , and the  $f_3$ -statistic indicated rampant admixture between the two  
522 taxa. Still, the pairwise comparisons of locus specific  $F_{st}$  showed differentiation for genes  
523 associated with nerve growth and neural development (*Spectrin Beta Non-Erythrocytic 2*,  
524  $F_{st}=0.54$ ; *Growth Associated Protein 43*,  $F_{st}=0.47$ ; Benowitz & Routtenberg, 1997; Lise et al.,



525 2012), as well as cell proliferation in vertebrates (*Tensin 2*;  $F_{st}=0.49$ ; Hafizi, Ibraimi & Dahbäck,  
526 2005). These highly differentiated loci did not influence the low overall  $F_{st}$  as they represent a  
527 very small proportion of the sampled loci. Future studies across populations of *C. hoyi* and *C.*  
528 *zenithicus* are necessary to understand the full extent of the genomic divergence between the two  
529 species.

530 The key phenotypic traits used in our study to distinguish *C. zenithicus* and *C. hoyi* were  
531 lower jaw position (*C. zenithicus*: included or terminal; *C. hoyi*: extended) and gill raker counts  
532 (*C. zenithicus* mean  $\pm$  SD =  $39.5 \pm 2.3$ ; *C. hoyi* =  $42.4 \pm 2.1$ ; Eshenroder et al., 2016), both of  
533 which are commonly used in the field to identify these species. Previous studies also suggest that  
534 both species can be differentiated through pre-maxilla angle, which are  $\sim 40^\circ$  for *C. hoyi*, and  
535 between  $60^\circ$ - $65^\circ$  for *C. zenithicus* (Eshenroder et al., 2016). The range of pre-maxillary angles  
536 for our samples was on average  $35.88^\circ$  (SD  $\pm 4.05$ ) for *C. hoyi*, and  $35.52^\circ$  (SD  $\pm 3.14$ ) for *C.*  
537 *zenithicus*. Thus, it is possible that we did not collect samples of *C. zenithicus* in our study and  
538 that all three measurements (i.e., lower jaw position, gill raker morphology, and pre-maxillary  
539 angles) should be employed when differentiating the two species.

540 Alternatively, it is possible that these two taxa are now forming a hybrid-swarm that is  
541 morphologically and genetically very similar in certain areas of the Great Lakes. This hypothesis  
542 stems from the recent collapse of *C. zenithicus* in Lake Superior. Koelz (1929) reported that *C.*  
543 *zenithicus* was the dominant deep-water cisco in Lake Superior in 1921-1922 representing 90%  
544 of the ciscoes caught in bottom set gillnets (Eshenroder et al., 2016). By 2001-2003 they  
545 represented only 4% of ciscoes caught in nearshore and offshore bottom trawl samples (Gorman  
546 & Todd 2007). Declines in *C. zenithicus* abundance, coupled with the propensity for *C. hoyi* to  
547 expand into areas previously occupied by other deep species (Bronte et al., 2010; Bunnell et al.,



548 2012), could have promoted hybridization. In our study, we also show evidence of admixture  
549 between 1 or 2 individuals *C. hoyi* and *C. kiyi*, as well as *C. zenithicus* and *C. artedi*. Previous  
550 studies have demonstrated that hybridization is possible between closely related coregonines in  
551 both North America and Europe (Hudson, Lundsgaard-Hansen, Lucek, Vonlanthen, &  
552 Seehausen, 2017; Hudson, Vonlanthen, & Seehausen, 2011; Kirtiklis & Jankun, 2006; Todd &  
553 Stedman, 1989), and successful crosses of *C. artedi* and *C. hoyi* have been made in captivity (W.  
554 Stott, personal communication). Further, hybridization has been suggested as one of the drivers  
555 of morphological changes in *C. hoyi* in Lake Huron after the decline of other species in the  
556 complex (Todd & Stedman, 1989). This scenario of speciation reversal in *C. zenithicus*, where  
557 morphological diversity could have been lost due to both human-mediated stressors and  
558 admixture with closely related species, could represent an example of how commercially and  
559 ecologically relevant lineages have been lost in recent decades. It remains to be determined if  
560 other populations of *C. zenithicus* and *C. hoyi* in Lake Superior are also admixed, given the  
561 limited number of samples included in the present study. This is especially relevant considering  
562 previous surveys have reported finding phenotypically distinct *C. zenithicus* in Canadian waters  
563 of Lake Superior (Pratt & Chong, 2012; Pratt, 2012).

#### 564 **Concordance among morphology, ecology and genetics:**

565 This study exemplifies how analyzing the same specimens with multiple approaches can enhance  
566 our understanding of the relationships among the *C. artedi* complex. Our results showed  
567 concordance for morphological, ecological, and genetic divergence for three of the four species  
568 studied. The highest levels of differentiation for all the analyzed traits was observed for *C. kiyi*,  
569 which is the species typically found in deeper waters of Lake Superior. This is expected given  
570 that adaptation to life at depth has been reported as one of the main drivers of differentiation for

571 lacustrine fishes, including African cichlids (Albertson, 2008; Schliewen et al., 2001), grayling  
572 (Olson, Krabbenhoft, Hrabik, Mendsaikhan, & Jensen, 2019), sculpins of Lake Baikal (Kontula,  
573 Kirilchik, & Väinölä, 2003), lake trout in Great Lakes (Perreault-Payette et al., 2017), and  
574 European coregonines (Vonlanthen et al., 2009). In Lake Superior, our results suggest adaptation  
575 to depth has resulted in a larger eye and modifications of the visual system (e.g., *Rhodopsin*;  
576 Eaton et al. 2020), as well as a diet centered around the deep macro-invertebrate *Mysis*, when  
577 compared to its shallower counterparts.

578         This study also suggests that another driver of differentiation for *Coregonus* is the  
579 benthic/pelagic axis, which is also known to lead to the differentiation of lacustrine species (e.g.,  
580 cichlids: Hulsey, Roberts, Loh, Rupp, & Streelman, 2013; perch: Svanbäck & Eklöv, 2004). This  
581 is evidenced by the results of stable isotope analysis, where *C. artedi* appears to have mostly a  
582 pelagic diet, while the remaining groups had a combination of pelagic/benthic elements (Sierszen  
583 et al., 2014). Observed dietary preferences could also be linked to the differences in the angle of  
584 the mouth, as having terminal mouths can be associated with feeding on pelagic zooplankton (*C.*  
585 *artedi*), while having a more angled mouth could provide advantages when feeding at depth (*C.*  
586 *kiyi* and *C. hoyi*; Etheridge, Bean, Maitland, Ballantyne, & Adams, 2012). It is important to  
587 highlight that feeding morphologies can change for this group based on competition and prey  
588 availability, as populations of *C. artedi* and *C. zenithicus* can show considerable differences in  
589 feeding structures across the Great Lakes depending on the presence/absence of congeners  
590 (Turgeon et al., 2016).

591         Overall, the results of our study suggest that differentiation across *Coregonus* could be  
592 promoted by habitat preferences (i.e., depth and benthic/pelagic habit) and dietary partitions,  
593 both of which are well known drivers of diversification of multiple fish groups (Bernardi, 2013).

594 **Status of the Great Lakes and conservation of genetic resources:**

595 Extensive efforts are being made to improve the conditions for organisms that inhabit the Great  
596 Lakes, including the reduction of contaminants (e.g., PCBs) and controlling densities of invasive  
597 species (e.g., Siefkes et al. 2013 and [https://www.epa.gov/greatlakes/lakewide-action-and-](https://www.epa.gov/greatlakes/lakewide-action-and-management-plans-great-lakes)  
598 [management-plans-great-lakes](https://www.epa.gov/greatlakes/lakewide-action-and-management-plans-great-lakes)) and reestablishment of native fishes (e.g., Muir et al. 2012).  
599 Along with these efforts, it is essential for managers to identify and monitor functional genomic  
600 variants associated with ecological and morphological differences of coregonines. This is critical  
601 as it allows for the maintenance of the evolutionary potential of the *C. artedi* complex across the  
602 Great Lakes. Further, evaluating individual genes and molecular pathways associated with  
603 ecological divergence across the Great Lakes will allow us to understand if some populations are  
604 at higher risk of speciation reversal due to population declines or changing environmental  
605 conditions. These approaches should be implemented across lakes that have different faunal  
606 compositions, and especially in lakes that have fewer sympatric species of *Coregonus* than Lake  
607 Superior (e.g., Lakes Michigan and Huron), as both of these factors are known to influence the  
608 amount of divergence among coregonines (e.g., Turgeon et al., 2016).

609

610 **Conclusions:**

611 In this study, we analyzed patterns of divergence among four species of *Coregonus* from Lake  
612 Superior and found concordance among morphological, ecological and genomic approaches.  
613 Based on the morphological analyses, we confirmed previous observations that eye size, fin  
614 length and length of the lower jaw explain most of the variation between species. There are clear  
615 contrasts in the dietary preferences of *C. artedi* compared to *C. kiyi*, while observed differences  
616 between *C. hoyi* and *C. zenithicus* appear to be largely influenced by seasonality. Transcriptomic

617 analyses were able to distinguish between three of the four species, and high levels of  
618 differentiation in genes associated with body shape, eye size, fin shape, vision, organization of  
619 the nervous system and early development. There was evidence of hybridization between  
620 samples morphologically identified as *C. hoyi* and *C. zenithicus* and future studies should assess  
621 the extent of this admixture in Lake Superior. Overall, the integrative approach applied in this  
622 study exemplifies how multiple lines of evidence can help elucidate the relationships among  
623 sympatric groups with complex evolutionary history, such Great Lakes *Coregonus* species.

624

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636

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924

925 Data Accessibility:

926

927 The raw reads for all individuals and the assembled transcriptome of *Coregonus artedi* are  
928 available in NCBI as BioProject PRJNA659559. The bioinformatic scripts used for the  
929 transcriptomic analyses are available at **[github.com/evofish](https://github.com/evofish)**.

930

931 Author Contributions:

932

933 TED and TJK conceived the study; DY and LE collected the samples; DLY, LE, and TJK  
934 collected the data; MAB, DY, WS and TJK analyzed the data and interpreted the results; the  
935 manuscript was written by MAB and DY and all the co-authors contributed to the final version.

## TABLES

**Table 1.** Summary of morphological measurements that were most useful for the differentiation of *Coregonus artedi*, *C. hoyi*, *C. kiyi* and *C. zenithicus*. Values in the table represent the average measures or ratios ( $\pm$ SD). Measurement abbreviations are defined in Supplementary Table S2. The Principal Component Analysis loadings for PC1 and PC2 that exceeded  $\pm 0.2$  for one (or both) of the dimensions are also provided. Table abbreviations: PCL=Pectoral fin length, PPD=Pectoral-pelvic distance, PVL=Pelvic fin length, PAD=Pelvic-anal distance, OOL=Orbital length (eye), BDD=Body depth.

	Total length (mm)	PCL (mm)	PPD (mm)	PCL/PPD	PVL (mm)	PAD (mm)	PVL/PAD	OOL (mm)	BDD (mm)
<i>PC1</i>	-	0.6	-0.22	0.47	0.25	-0.15	0.4	0.24	-0.14
<i>PC2</i>	-	0.4	-0.21	0.07	-0.19	0.24	-0.73	0.14	-0.32
<i>C. artedi</i>	332 (21)	41.0 (3.4)	87.6 (5.2)	0.47 (0.05)	37.1 (2.5)	63.2 (3.7)	0.59 (0.03)	12.6 (0.9)	64.9 (3.7)
<i>C. hoyi</i>	243 (25)	32.4 (4.1)	64.0 (6.7)	0.51 (0.07)	30.5 (3.2)	42.2 (5.3)	0.73 (0.07)	11.3 (0.6)	45.6 (5.5)
<i>C. zenithicus</i>	261 (20)	31.9 (5.0)	68.9 (5.6)	0.46 (0.07)	32.1 (3.4)	46.8 (4.5)	0.69 (0.07)	11.5 (0.7)	51.3 (4.5)
<i>C. kiyi</i>	225 (16)	35.9 (3.3)	56.2 (4.2)	0.64 (0.03)	31.0 (3.4)	38.1 (4.4)	0.82 (0.04)	12.6 (0.7)	40.2 (5.7)

**Table 2.** The isotopic metrics of  $\delta^{13}\text{C}_{\text{lipid free}}$  and  $\delta^{15}\text{N}$  (‰), for *C. artedi*, *C. hoyi*, *C. kiyi* and *C. zenithicus* collected from western Lake Superior (May-November 2015), and the corrected standard ellipse areas (SEAc).

	Sample size	Mean $\delta^{15}\text{N}$ (range)	Mean $\delta^{13}\text{C}$ (range)	SEAc
<i>C. artedi</i>	8	6.7 (0.9)	-25.9 (1.1)	2.33
<i>C. hoyi</i>	8	8.1 (1.5)	-25.3 (0.8)	2.41
<i>C. zenithicus</i>	7	7.8 (2.5)	-25.7 (1.0)	7.19
<i>C. kiyi</i>	8	8.7 (0.7)	-24.7 (0.3)	0.57

**Table 3.** Percentage of overlap in isotopic niches between pairings of *C. artedi*, *C. hoyi*, *C. kiyi* and *C. zenithicus* based on the overlap of corrected standard ellipse areas. The values are the percentage of overlap of a given form in columns in the isotopic niche space of the other forms in rows.

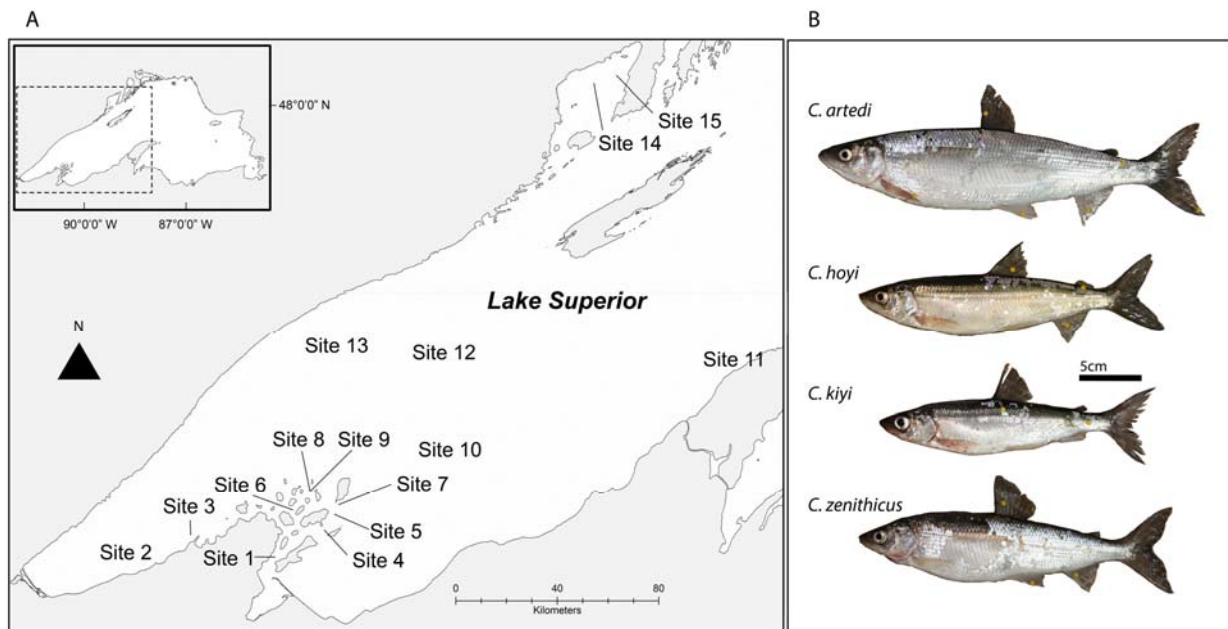
<b>Species</b>	<i>C. artedi</i>	<i>C. hoyi</i>	<i>C. kiyi</i>	<i>C. zenithicus</i>
<i>C. artedi</i>	-	8.80	0.00	29.22
<i>C. hoyi</i>	8.53	-	24.66	29.23
<i>C. kiyi</i>	0.00	5.82	-	5.18
<i>C. zenithicus</i>	90.04	87.26	65.58	-

**Table 4.** Estimates of pairwise genetic differentiation (Weir-Cockerham  $F_{st}$ ) among *C. artedi*, *C. hoyi*, *C. kiyi* and *C. zenithicus* of Lake Superior (below diagonal) and corresponding p-values (above the diagonal).

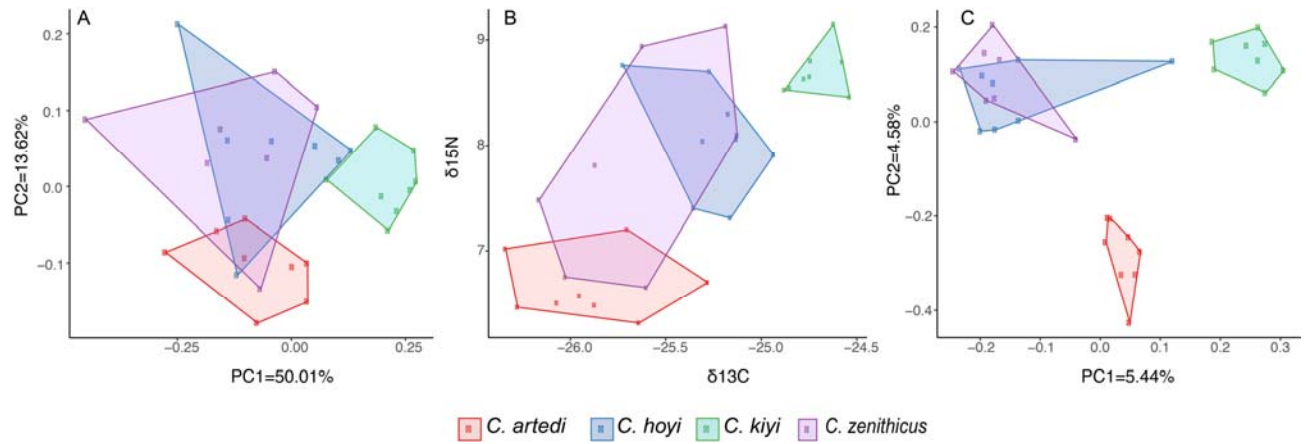
<b>Species</b>	<i>C. artedi</i>	<i>C. hoyi</i>	<i>C. kiyi</i>	<i>C. zenithicus</i>
<i>C. artedi</i>	-	<0.001	<0.001	<0.001
<i>C. hoyi</i>	0.015	-	<0.001	0.788
<i>C. kiyi</i>	0.024	0.023	-	<0.001
<i>C. zenithicus</i>	0.017	0.000	0.025	-

## FIGURES

**Figure 1.** (A) Collection sites for organisms analyzed in the study and (B) sympatric forms of Lake Superior coregonines: *Coregonus artedi*, *C. hoyi*, *C. kiyi* and *C. zenithicus*. Detailed information about sites are provided in Supplementary Table S1. Photographs by D.L Yule.

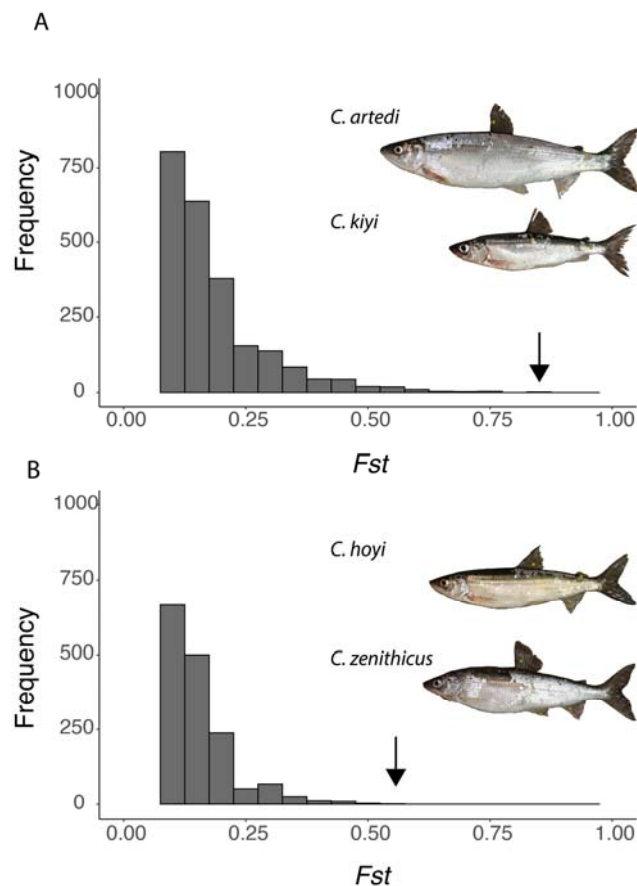


**Figure 2.** Principal Component Analysis (PCA) for (A) morphological measures; (B) bi-plot for isotope ratios; and (C) PCA of transcriptomic variance (22,285 SNPs) for four species of *Coregonus* from Lake Superior. *Coregonus artedi* (red), *C. hoyi* (blue), *C. kiyi* (green) and *C. zenithicus* (purple).





**Figure 3.** Histograms of pairwise  $F_{st}$  for individual genes in pairwise comparisons: between (A) the shallow-water *C. artedi* and deep-water *C. kiyi*, and (B) the two species with the lowest levels of divergence *C. zenithicus* and *C. hoyi*. The X-axis represents values of  $F_{st}$  (with binning of 0.05) and arrows represent the gene with the largest  $F_{st}$  (0.88 for *C. artedi* and deep-water *C. kiyi*; 0.54 for *C. zenithicus* and *C. hoyi*). The Y-axis represents frequency of individuals in log-scale. Photographs by D.L. Yule.



**Figure 4.** Admixture plots for *Coregonus artedi*, *C. hoyi*, *C. kiyi* and *C. zenithicus* using 22,285 high-confidence SNPs, simulating (A) three groups ( $K=3$ ) and (B) four groups ( $K=4$ ).

