1 **Astrocytes amplify cerebral blood flow elevation to sustained cortical activation**
2 **in the awake mouse**
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Summary

Brain metabolism requires increased local cerebral blood flow (CBF) during neuronal activation to match O_2 supply with demand. This phenomenon, termed functional hyperemia, is also the basis of functional neuroimaging. Ca^{2+} elevation in astrocytes can drive arteriole dilation to increase CBF, yet affirmative necessity and sufficiency evidence under realistic conditions *in vivo* for astrocytes in functional hyperemia is lacking. In awake mice we show that functional hyperemia is bi-phasic and arteriole dilation progresses as sensory stimulation is sustained. Reduction of astrocyte Ca^{2+} signaling *in vivo* reduces sustained but not brief sensory-evoked arteriole dilation. Chemogenetic activation of astrocytes selectively augments sustained hyperemia. NMDA-receptors and epoxyeicosatrienoic acid explain the astrocyte-mediated effects on the late, escalating phase of functional hyperemia but have no impact on brief blood flow events. We propose that a fundamental role of astrocyte Ca^{2+} is to amplify functional hyperemia when neuronal activation is prolonged.

Keywords: neurovascular coupling, astrocyte, functional hyperemia, fMRI, BOLD, NMDA, EETs, Gq-DREADD, calcium clamp, awake *in vivo*

Introduction

Neuronal function requires tight and uninterrupted access to O_2 and glucose via the blood supply because neurons have neither sufficient energy stores nor capacity for anaerobic metabolism. Thus, neural processing of sensory, motor, and cognitive information drive increased regional cerebral blood flow (CBF) for seconds to minutes as needed. This phenomenon – functional hyperemia – is essential for brain metabolism and also serves as the basis of the blood oxygen level-dependent (BOLD) signal of functional magnetic resonance imaging (fMRI)(He et al., 2018; Mateo et al., 2017).

Astrocytes respond to neural activity and their peri-vascular processes/endfeet can release Ca^{2+} dependent messengers to regulate vascular diameter, both *ex vivo* (reviewed in (Howarth, 2014; Mishra, 2017)) and *in vivo* (Masamoto et al., 2015; Takata et al., 2018). However, controversies about the role of astrocytes in functional hyperemia have arisen from challenges in achieving selective activation (Takano et al., 2006), no effect of manipulating astrocyte IP3 signaling (Bonder and McCarthy, 2014; Jego et al., 2014; Nizar et al., 2013; Takata et al., 2013) and side effects of anesthesia/sedation (Thrane et al., 2012; Tran and Gordon, 2015). It remains possible that astrocytes contribute to functional hyperemia only under distinct durations of neural activity and thus requirements for O_2. Increased neural activity is the main driver (Lee et al., 2010) and user of fresh O_2 (Howarth et al., 2012), yet the well-established linear correlation of BOLD signal to neural activity is lost with sustained brain activation (Kahn et al., 2011; Logothetis et al., 2001). Delayed astrocyte Ca^{2+} signals (>3s) to prolonged stimulation may explain amplified CBF responses (Gu et al., 2018; Schulz et al., 2012) and be important for O_2 to reach vulnerable micro-regions of tissue farthest from a blood vessel (Polesskaya et al., 2012). So far, no causal, astrocyte-specific 'necessity' and 'sufficiency' experiments have linked astrocyte Ca^{2+} to functional hyperemia in the awake state*,* despite correlational studies (Gu et al., 2018; Lind et al., 2018; Otsu et al., 2015; Schulz et al., 2012; Stobart et al., 2018). The model of astrocyte Ca^{2+} in functional hyperemia requires new support, otherwise the framework for local CBF control needs revision.

Using 2-photon fluorescence imaging in awake mice and tools to selectively clamp or augment astrocyte Ca^{2+} *in vivo*, we discovered that astrocyte Ca^{2+} is causal for functional hyperemia only during sustained bouts of sensory activation. Importantly, sustained functional hyperemia is vulnerable because impairments occur in attention deficit (Weber et al., 2005), age-related cognitive impairment (Rombouts et al., 2005), dementia (Rombouts et al., 2007) and stroke (Pineiro et al., 2002). Our findings help clarify neuro-glio-metabolic coupling and provide insight into conditions involving the cerebral microcirculation.

Results

Sustained functional hyperemia escalates and is associated with astrocyte Ca^{2+} transients

Functional hyperemia occurs, in part, by large diameter changes in penetrating arterioles (Chow et al., 2020; Hill et al., 2015; Rungta et al., 2018). Under anesthesia, arteriole/CBF responses to sustained sensory stimulation consist of an initial rise followed by a plateau at close to the same amplitude (Lacroix et al., 2015; Lecrux et al., 2011; Liu et al., 2008; Peng et al., 2002; Toth et al., 2015), while astrocyte Ca^{2+} transients are suppressed (Thrane et al., 2012). Work in awake animals shows arteriole/CBF kinetics are instead biphasic (Drew et al., 2011; Takuwa et al., 2013), but we know little about the mechanisms underlying the early and late phase. We investigated the temporal dynamics of penetrating arteriole diameter and astrocyte Ca^{2+} during brief (5sec) and sustained (30sec) whisker stimulation using 2-photon microscopy in the barrel cortex of awake mice (Fig. 1A). First, imaging through thinned skull of c57bl/6 mice, arteriole responses to whisker stimulation with air puff elicited rapid arteriole dilation that peaked within the first 5sec of stimulation, followed by progressively increasing diameter only when stimulation was maintained for 30sec (t-test P=0.004, Trial (T)= 17, PA=6, N=3; Fig 1B). Arteriole responses were similar in mice with skull and dura removed (N=5)(P=0.64, Two-way ANOVA; Suppl. Fig. 1). Astrocyte endfeet (labelled with Rhod-2/AM) had Ca^{2+} signals that increased after the onset of vasodilation, which remained elevated during stimulation (Fig. 1C). To additionally resolve astrocyte arbor Ca^{2+} dynamics we repeated our experiments in *Aldh1l1*-Cre/ERT2 x RCL-GCaMP6s mice (N=9). We found similar arteriole response dynamics and delayed elevation in Ca^{2+} within endfeet and processes (Fig. 1D), with corresponding onset latencies (One way ANOVA P=0.0001, Fig. 1E) (Tran et al., 2018). Interestingly, peak dilations to 1sec (Δd/d=6.07±1.2%) and 5sec stimulation (Δd/d=8.3±1.1%) were not different, but dilation to 30sec stimulation (Δd/d=25.95±5.7%) was significantly higher due to the putative secondary phase (Friedman-test P=0.001, T=16-24, PA=7, N=6; Fig. 1F). In further support of a biphasic arteriole response, imaging vascular smooth muscle cell Ca^{2+} changes in *PDGFRß*-Cre x RCL-GCaMP6s (T=30, PA=30, N=11) mice during 30sec whisker stimulation showed two separate peaks of Ca^{2+} drop at ~2.5s and between 20-30s (Fig. 1G,H). This suggests separate processes mediate smooth muscle relaxation in the early and late phase of functional hyperemia.

Figure 1. Sustained functional hyperemia escalates and is associated with astrocyte Ca^{2+} transients

A) Cartoon and timeline of the awake mouse 2-photon imaging experiment in barrel cortex.

B) Thinned skull preparation. Time series images (top) showing dilation of a Rhodamine-B-dextran labelled penetrating arteriole in response to whisker stimulation at 5sec and 30sec. Time stamps refer to stimulation onset as 0s. Traces of arteriole dilation (black) to 5 and 30sec whisker stimulation (lower). Summary data of peak diameter changes (top right).

C) Skull/dura removal preparation. Time series images (top) of a Rhod-2 labelled astrocyte around a FITC-dextran labelled penetrating arteriole. Astrocyte Ca^{2+} traces (red) to 5 and 30sec whisker stimulation (bottom).

D) Same as *B* and *C* but in astrocyte *Aldh1l1*-CreERT2 x RCL-GCaMP6s mice. Vasculature labelled with Rhod-B-dextran. Penetrating arteriole (black), endfoot (dark green), astrocyte arbor (light green.

E) Summary of response onset (calculated from each trial as 3 x SD above baseline) for dilation and astrocyte Ca^{2+}.

F) Summary data of peak arteriole dilation to 1, 5 and 30sec stimulation.

G) Time series images of GCaMP6s expressing vascular smooth muscle cells (VSMC) within a penetrating arteriole, and a VSCM Ca^{2+} trace during 30sec whisker stimulation. All trace data show the average with SEM.

Clamping astrocyte Ca²⁺ reduces the late phase of functional hyperemia

Clamping astrocyte Ca^{2+} reduces the late phase of functional hyperemia

Given the stronger association between astrocyte process/endfoot Ca^{2+} and sustained functional

hyperemia, and the appearance of a distinct late component in smooth muscle Ca^{2+} traces, we tested for

a causal role of astrocyte Ca^{2+} primarily in the late phase of functional hyperemia in awake mice. Previous

attempts to connect astrocyte Ca^{2+} to functional hyperemia focused on IP3R2 knockout (Bonder and

McCarthy, 2014; Nizar et al., 2013; Takata et al., 2013), but to our knowledge there have been no *in vivo*

manipulations to clamp astrocyte Ca^{2+} more generally for CBF control; thereby affecting multiple Ca^{2+}

dependent pathways. We made use of the astrocyte Ca^{2+} silencing tool CalEx (Yu et al., 2018). Here,

astrocyte-selective AAV (AAV2/5-gfaABC$_1$D-CalEx-mCherry) delivers a high-affinity Ca^{2+} pump to the

plasma membrane (Fig. 2A), which reduces evoked and spontaneous elevations in free Ca^{2+} both *in vitro*

and *in vivo* (Yu et al., 2018). We validated this tool in our awake animal preparation by comparing

astrocyte AAVs for CalEx and GCaMP6f vs control AAVs (AAV2/5-gfaABC$_1$D-tdTomato + GCaMP6f), and

examined startle evoked astrocyte Ca^{2+} signals in the barrel cortex (untrained body air puff). Astrocytes

expressing CalEx showed markedly reduced startle evoked Ca^{2+} transients (Suppl. Fig. 2). *Post hoc*

CalEx expression was confirmed by immunostaining against the reporter mCherry (Fig. 2D).

In response to 5sec whisker stimulation, we found that astrocyte CalEx had no impact on evoked

arteriole dilation (peak Δd/d: 8.9±0.8%) compared to control AAV (peak Δd/d: 8.7±0.6%, P=0.73, Fig. 2E).

Yet remarkably, astrocytic CalEx was effective at reducing arteriole dilation to 30sec whisker stimulation

(peak Δd/d: 9.9±1.2%) compared to control (peak Δd/d: 16.9±2%, Fig. 2E) (P$_{CalEx}$=0.0083, Tukey's test:

P=0.0012; 80 trials, PA=16 CalEx, PA=17 control, N=8; mean±SEM) (see Fig. 2E for area under the

curve (AUC) data). Again, peak arteriole dilation evoked by 30sec stimulation was larger than 5sec in

control mice, due to a progressively increasing late component during sustained activation (P$_{StimT}$=0.0004;

Tukey's test: P<0.0001). Consistent with an action of CalEx primarily on the late component of functional

hyperemia, peak arteriole responses to 5 and 30sec stimulation in the CalEx group were similar to control

arterioles to 5 sec stimulation (Fig. 2E). These results could not be explained by differences in baseline

arteriole tone caused by CalEx expression (Suppl. Fig. 3), and instead suggest that astrocyte Ca^{2+} is

important for amplifying arteriole dilation during sustained, but not brief, functional hyperemia.

Confirming a clamp on astrocyte Ca^{2+}, CalEx reduced the delayed astrocyte endfoot Ca^{2+} signal

caused by 5sec (Fig. 2F) and 30sec stimulation (20±3% (T=45) vs. 44±7% (T=46) mean±SEM), with an

overall significance of P_{CalEx}<0.0001 (Fig. 2F). CalEx also diminished astrocyte process Ca^{2+} transient

peaks when stimulation was on for 5sec (Fig. 2G) and for 30sec (11±1% (T=46) vs 26±3% (T=45),

P_{CalEx}<0.0001, Fig. 2G) (see Fig. 2F,G for AUC data). These data demonstrate that CalEx is an effective

tool for clamping astrocyte Ca^{2+} signals *in vivo*.

Astrocyte Ca^{2+} transients mediate activity-dependent capillary but not arteriole dilation to 5sec

stimulation in acute cortical brain slices (Mishra et al., 2016). Thus, we tested for a causal relationship

between long (30sec) but not short (5sec) stimulation-evoked astrocyte Ca^{2+} and penetrating arteriole

dilation *ex vivo*, by whole-cell patch infusing the Ca^{2+} chelator BAPTA (10mM) into a network of peri-

arteriolar astrocytes. We clamped intracellular free Ca^{2+} close to the resting concentration in astrocytes

(~100nM) (Henneberger et al., 2010; Zheng et al., 2015). We allowed 15 mins for the internal solution to

equilibrate in the astrocyte network (Rosenegger et al., 2015) and for arteriole tone to stabilize. Rhod-

2/AM was used to label large astrocyte and neuronal compartments, as well as the neuropil. Before

patching an astrocyte, 30sec of high frequency electrical stimulation elicited Ca^{2+} transients in neurons,

the neuropil, astrocyte somata and endfeet, as well as caused arteriole dilation (N=8) (Suppl. Fig. 4). This

was then repeated when the astrocyte network was filled with either control internal or Ca^{2+} clamp internal

solution. We found that only the Ca^{2+} clamp solution reduced arteriole dilation to 30sec afferent

stimulation (N=5, P=0.039). While the astrocyte network filling itself caused reductions in evoked Ca^{2+}

from all cell compartments, comparing to the Ca^{2+} clamp solution revealed that the only effect to explain

the loss of dilation was the clamp on astrocyte Ca^{2+} (P=0.029, Suppl Fig 4). Importantly, neither clamping

astrocyte Ca^{2+}, nor the control patch, affected arteriole dilation to 5sec stimulation, and patching itself (in

any condition) did not affect baseline arteriole tone after whole-cell equilibration (Suppl. Fig. 5). These

data show that longer neural stimulation is required to recruit Ca^{2+} dependent astrocyte contributions to

arteriole dilation in neocortical brain slices.

Figure 2. Clamping astrocyte Ca^{2+} reduces the late phase of functional hyperemia

    A) Cartoon of astrocyte Ca^{2+} extrusion tool: a high-affinity plasma membrane Ca^{2+} ATPase hPMCA2w/b (CalEX).

    B) Viral vector strategy to express CalEx in astrocyte (or control virus) plus GCaMP6f.

    C) Timeline (4-6 weeks) from viral vector intracortical injection to the acute imaging experiment.

    D) *Post hoc* immunofluorescence of CalEx expression against the fused mCherry reporter.

    E) *Left*: cartoon of awake mouse imaging experiment with whisker stimulation. *Middle*: average arteriole diameter traces with SEM in CalEx and control for 5sec and 30sec whisker stimulation. *Right*: summary data showing peak dilation and area under the curve (AUC) in the four conditions.

    F) *Left*: Summary time series data of astrocyte endfoot Ca^{2+} in CalEx vs control for both 5sec and 30sec whisker stimulation. *Right*: Summary of peak and AUC data in the four conditions.

    G) Same as *F* but for astrocyte arbor Ca^{2+}.

Chemogenetic activation of astrocytes enhances only the late phase of functional hyperemia

Large astrocyte Ca^{2+} signals occur when synaptic glutamate stimulates Gq-coupled receptors through inositol triphosphate (IP3) signaling (Porter and McCarthy, 1996; Wang et al., 2006; Zonta et al., 2003). However, functional hyperemia is intact in anesthetized/sedated mice lacking IP3-receptor 2 (Bonder and McCarthy, 2014; Jego et al., 2014; Nizar et al., 2013; Takata et al., 2013), the predominant subtype in astrocytes. Nevertheless, the Gq pathway permits manipulation of cytosolic astrocyte Ca^{2+} using chemogenetics, allowing us to explore their contribution in awake mice. We aimed to test if 1) Gq-DREADD activation in astrocytes directly affects arteriole diameter, and if 2) continuous activation of astrocytic Gq either occludes or amplifies functional hyperemia to short vs long whisker stimulation. We crossed *Aldh1l1*-Cre/ERT2 with CAG-LSL-Gq-DREADD (N=6) mice to selectively express hM3Dq receptors on astrocytes, which was confirmed by visualizing the fusion reporter mCitrine (Fig. 3A). A perforated coverglass was applied to the brain surface to permit stable imaging while allowing topical superfusion of the DREADD agonist compound 21 (C21, 10μM). C21 triggered a prolonged (20-40 min) astrocyte soma Ca^{2+} (ΔF/F=63±7%, P<0.0001) and endfoot Ca^{2+} elevation (ΔF/F=34±4%, P=0.0002), accompanied by transient arteriole dilation (Δd/d=19±2%, P<0.0001)(Fig. 3B,C). After an hour of continuous C21, astrocyte Ca^{2+} levels and arteriole diameter returned to baseline (Fig. 3D). Notably, arteriole dilation to 5sec whisker stimulation remained unchanged in the presence of C21 (peak Δd/d=12.2±1%) compared to pre-drug control (peak Δd/d=10.2±1%, P=0.0533, Fig. 3E), whereas 30sec stimulation showed a significant augmentation in only the later phase of functional hyperemia in C21 (Δd/d=24.7±2.3%), relative to before drug (Δd/d=17.5±1.9%, P=0.0056, PA=16, N=6 mice, Fig. 3F) (See Fig. 3E,F for AUC data). Our results demonstrate that selectively driving the astrocyte Gq-pathway causes arteriole dilation and only influences the late phase of sustained functional hyperemia.

Figure 3. Chemogenetic activation of astrocytes enhances only the late phase of functional hyperemia

A) 2-photon image in barrel cortex of a *Aldh1l1*-Cre x CAG-LSL-Gq-DREADD mouse. Astrocytes are loaded with Rhod-2/AM and express Gq-DREADD-mCitrine.

B) Time series measurements of astrocyte Ca^{2+} and arteriole diameter in response to the local superfusion of the DREADD agonist C21 into the perforated window.

C) Summary data of peak responses in *B*.

D) Summary data showing that in the continual presence of C21 arteriole diameter returns to baseline after 1hr.

E) *Left*: Cartoon of awake mouse sensory stimulation experiment in the presence of C21 delivered via a perforated window. *Middle*: Average arteriole diameter traces with SEM in pre-drug control (black) and in the presence of C21 (orange), in response to 5sec whisker stimulation. *Right*: summary data showing peak dilation and AUC for 5sec in control and C21.

F) Same as *E* but for 30sec whisker stimulation.

NMDA receptors and EETs explain astrocyte-mediated amplification of sustained functional hyperemia

N-methyl-D-aspartate (NMDA) receptors are key participants in functional hyperemia. In the canonical model, synapses generate nitric oxide, which causes rapid vasodilation (reviewed in (Busija et al., 2007; Cauli and Hamel, 2010; Hosford and Gourine, 2019)). More recent work implicates NMDA receptors on astrocytes (Mehina et al., 2017) and endothelium (Hogan-Cann et al., 2019) in CBF control, but little is known about the contribution of NMDA receptors to different durations of functional hyperemia in awake animals. As NMDA receptors localize to the cell surface, we used *Aldh1l1*-Cre/ERT2 x R26-Lck-GCaMP6f mice (Fig. 4A,B) to express a plasma membrane-tethered GCaMP6 in astrocytes and imaged endfeet and fine processes surrounding a penetrating arteriole or a precapillary sphincter – a recently identified control point for capillary access to blood (Grubb et al., 2020). We again applied short (5sec) and long (30sec) duration whisker stimulation before and during topical NMDA receptor antagonist D,L-AP5 (1mM) via perforated coverglass. The lck-GCaMP6f showed similar astrocyte Ca^{2+} responses to the cytosolic GCaMP6s under control conditions (*see Fig. 1D*). Notably, in response to 5sec and 30sec whisker stimulation, D,L-AP5 eliminated both astrocyte endfoot (30sec: P=0.0026) and fine process (30sec: P=0.0029) Ca^{2+} transients around penetrators (Fig. 4C,D), and a similar trend was observed in endfeet around sphincters but failed to reach significance (N=5, Suppl. Fig. 6). In a separate set of experiments using c57bl/6 mice, arteriole dilation to 5sec whisker stimulation remained surprisingly unchanged in the presence of D,L-AP5 (Δd/d=15.3±2.8%) from control (Δd/d=18.3±3.4%, P=0.9251, Fig. 4E). Before NMDAR antagonism, vasodilation was larger during 30 sec stimulation compared to 5 sec (Δd/d=27±5.1%, P=0.012, Fig. 4E), but in the presence of D,L-AP5, the late component of functional hyperemia was completely blocked, as arteriole diameter returned to baseline after the initial peak (Δd/d=11.8±1.6%, P=0.0214; PA=8, N=7 mice, Fig. 4E) (See figure for AUC data). These data show NMDA receptors are critical for astrocyte activation and in the late phase of functional hyperemia, and they argue against a NMDAR-nitric oxide link as a rapid mediator in CBF control in awake mice.

Epoxyeicosatrienoic acids (EETs) have been demonstrated to contribute to functional hyperemia (Higashimori et al., 2010; Lecrux et al., 2011; Liu et al., 2008; Peng et al., 2002) and are primarily produced by astrocytes (Alkayed et al., 1996; Peng et al., 2004). Whether blocking EETs production has

differential effects on brief vs sustained functional hyperemia has not been tested in awake mice. We

measured functional hyperemia to 5sec and 30sec stimulation before and 1hr after i.p. injection of the

epoxygenase inhibitor MSPPOH (30mg/kg) to c57bl/6 mice. Consistent with our proposed model, there

was no difference in arteriole dilation to 5sec stimulation in the presence of MSPPOH ($\Delta d/d=10\pm1.5\%$),

compared to before ($\Delta d/d=13.6\pm3\%$, P=0.7581) (Fig. 4F). However, 30sec stimulation was significantly

attenuated by MSPPOH ($\Delta d/d=9.5\pm1.5\%$) relative to control ($\Delta d/d=20\pm3.6\%$, P=0.0304, PA=10, N=4

mice, Fig. 4F)(see figure for AUC data). MSPPOH yielded a similar result examining short vs long afferent

stimulation-induced arteriole dilation in acute cortical brain slices (Suppl. Fig.7). These experiments show

that EETs, downstream of NMDA receptor activation, are important to maintain sustained functional

hyperemia.

Figure 4. NMDA receptors and epoxyeicosatrienoic acids explain astrocyte-mediated amplification of sustained functional hyperemia

A) Cartoon of awake 2-photon imaging experiment with sensory stimulation and perforated window for AP5 superfusion in Aldh1l1-CreERT2 x RCL-lck-GCaMP6f mice.

B) 2-photon image of a penetrating arteriole (red) and surrounding astrocyte expressing membrane targeted lck-GCaMP6f.

C) *Left*: Average time series trace data of astrocyte endfoot Ca^{2+} in pre-drug control (black) and in the presence of AP5 (red) surrounding a penetrating arteriole in response to 5sec and 30sec whisker stimulation. *Right*: summary data of AUC.

D) Same as for *C* but for arbor astrocyte Ca^{2+}.

E) *Left*: Cartoon of a C57Bl/6 mouse with whisker puffer and perforated cranial window for superfusion of AP5. *Middle*: Average time series traces of penetrating arteriole diameter in pre drug control (black) or in the presence of AP5 (red), in response to 5sec or 30sec whisker stimulation. *Right*: summary data of peak vasodilation and AUC.

F) Same as *E* but with sealed window and for i.p. delivery of the epoxygenase inhibitor MSPPOH (green); pre-drug control (black).

Discussion

By selectively attenuating or augmenting astrocyte Ca^{2+} signaling, we provide strong evidence in awake mice that astrocyte Ca^{2+} does not initiate or mediate functional hyperemia when neuronal activation is brief (<5s), but it is essential to amplify hyperemia when neuronal activation is prolonged. These results are consistent with a large body of literature showing delayed elevations in astrocyte Ca^{2+} when neural activity increases, as well as delayed increases in astrocyte metabolism (Kasischke et al., 2004). Our data show that the fully awake animal is necessary to observe sufficiency effects on arteriole diameter driven by astrocyte Gq (Bonder and McCarthy, 2014), and reopen the possibility of IP3R2 playing some role in CBF regulation in the awake state. That selectively driving the astrocyte Gq pathway augmented only sustained functional hyperemia, which is impaired in a number of neurological conditions (Weber et al., 2005)(Rombouts et al., 2005)(Rombouts et al., 2007)(Pineiro et al., 2002), suggests a potential therapeutic target to improve brain blood flow in these states.

Our *ex vivo* BAPTA and *in vivo* CalEx data indicate that fast astrocyte Ca^{2+} signals that precede vasodilation (Lind et al., 2018)(Stobart et al., 2018) are not involved in the initiation or first dilation peak of functional hyperemia. These same data argue against fast acting astrocyte Ca^{2+} at the capillary, pre-capillary or sphincter level (Grubb et al., 2020; Hall et al., 2014; Khennouf et al., 2018) driving a rapid conduction-mediated dilation to upstream arterioles (Longden et al., 2017; Tian et al., 2010). This is because both astrocyte CalEx *in vivo* and astrocyte BAPTA *ex vivo* reached these vascular compartments. While indirect effects of astrocyte Ca^{2+} clamp on neural activity could explain the decrease in sustained functional hyperemia (Adamsky et al., 2018)(Poskanzer and Yuste, 2016), that 5sec evoked vasodilation was unaffected both *in vivo* and *ex vivo* argues against general changes to neural activity necessary for CBF control. Furthermore, we found no impact of astrocyte Ca^{2+} clamp on evoked neural Ca^{2+} to long stimulation in brain slices, despite reduced vasodilation.

In sum, these data 1) help clarify the literature on the role of astrocytes in functional hyperemia – a phenomenon that is fundamental to fueling the brain; 2) provide important insights into neuro-glio-metabolic coupling in heath and uncoupling in disease and 3) improve our understanding and interpretation of fMRI data which routinely employs stimulations or tasks of long duration.

Materials and methods

Animals: All animal procedures were performed in accordance with guidelines approved by the Animal Care and Use committee of the University of Calgary (protocols AC15-0133 and AC15-0053). Experiments were performed on male P22-60 c57bl/6 mice (N=35: 5 Rhod2; 8 tdT; 8 CalEx; 4 MSPPOH; 7 AP5; 3 thinned skull), transgenic *Aldh1l1*-Cre/ERT2 x RCL-GCaMP6s (Ai96)(N=9), *Aldh1l1*-Cre/ERT2 x R26-Lck-GCaMP6f (N=9), *PDGFRß*-Cre x RCL-GCaMP6s (N=11), *Aldh1l1*-Cre/ERT2 x CAG-LSL-Gq-DREADD (N=6). For the use of the Ai96 conditional line, three consecutive tamoxifen injections were administered (100 mg/kg, 10 mg/mL corn oil stock, Sigma, St. Louise MO USA) between the age of P19 to P45 and the awake imaging protocol followed at least 2 weeks later. The other ERT2 expressing mice received 4-hydroxy-tamoxifen for 2 consecutive days between P2-4 (16 mg/kg, 4mg/ml corn oil + 12 v/v (%) absolute ethanol) i.p. in a volume of 20µl.

Two-photon imaging: Two custom-built two-photon laser-scanning microscopes were used, one of which was optimized for acute brain slices and patch-clamp electrophysiology (Rosenegger et al., 2014) and another that was designed for awake *in vivo* experiments (Tran et al., 2018). Both microscopes were supplied by the same Ti:Sapph laser (Coherent Ultra II, 4 W average power, 670–1080nm, ~80MHz) and equipped with the following parts: objectives (Zeiss 40x NA 1.0, Nikon 16x NA 0.8), a green bandpass emission filter (525–40nm) and an orange/red bandpass emission filter (605–70nm) coupled to photomultiplier tubes (GaAsP Hamamatsu). The open source scanning microscope software ScanImage (version 3.81, HHMI/Janelia Farms) running in Matlab (Pologruto et al., 2003) was used for image acquisition. During acute brain slice experiments, we acquired time-series images at 0.98 Hz using bidirectional scanning of a 512 x 512 pixel-size area with 850nm laser impulses in a single focal plane visualizing fluorescent Ca^{2+} indicator-labeled cells and the center of a penetrating arteriole lumen in a depth of 40-120µm. For stimulation experiments, the Ti:Sapph was tuned to 850nm to excite Rhod-2/AM and FITC dextran fluorescent indicators. Filling of the astrocyte network with Alexa-488 was imaged at 780nm. *In vivo* experiments using Rhod-2/AM and/or FITC dextran were imaged at 850nm, at a standard 4 Hz imaging frequency, recording fluorescent signals in the top 300µm of the sensory cortex. GCaMP6 signals were imaged at 920nm, mCitrine at 980nm.

Acute brain slice preparation: We used Sprague-Dawley rats (p21-33) to prepare acute coronal slices of the sensory-motor cortex. Rats were anesthetized with isoflurane (5% induction, 2% maintenance). Two min after a fluorescent dye injection in the tail vein, animals were decapitated and their brains were quickly extracted and submerged into an ice-cold slicing solution (composition in mM: 119.9 N- methyl D-glucamine, 2.5 KCl, 25 $NaHCO_3$, 1.0 $CaCl_2$-$2H_2O$, 6.9 $MgCl_2$- $6H_2O$, 1.4 NaH_2PO_4-H_2O, and 20 glucose), continuously bubbled with carbogen (95% O_2, 5% CO_2) for 2 min. We cut 400μm thick coronal slices with a vibratome (Leica VT 1200S) and placed them to recover for 45min on a fine mesh in artificial cerebrospinal fluid continuously bubbled in carbogen at 34°C. ACSF contained the following (in mM): 126 NaCl, 2.5 KCl, 25 $NaHCO_3$, 1.3 $CaCl_2$, 1.2 $MgCl_2$, 1.25 NaH_2PO_4, and 10 glucose.

The aCSF was bubbled with a gas mixture containing 30% O_2, 5% CO_2 and 65% N_2 following the recovery for the dye loading period and during imaging experiments in order to enhance vascular dilation responses as described previously (Institoris et al., 2015). We performed imaging at room temperature (22°C) and the imaging bath was perfused with aCSF at 2ml/min by a sealed, gas pressure driven system. The superfused aCSF was supplemented with the arteriole pre-constrictor U46619 (125nM) starting at least 30 min prior to each experiment to allow for the vessels to develop a steady tone. Drugs were infused into the main superfusion ACSF line (moving at 2mL/min) through a side line driven by a syringe pump at a rate of 0.2mL/min at ten-fold of the desired concentration to achieve the intended final concentration in the bath.

Dye loading: We labelled penetrating arterioles with Fluorescein Isothiocyanate (FITC) dextran (2000 KDa, Sigma Aldrich) via a tail vein injection (15mg dissolved in 300μl lactated Ringer's solution (5%)) under anesthesia immediately before slicing. Following slice recovery, slices were bulk loaded in Rhodamine-2 Acetoxymethyl Ester (Rhod-2/AM)(15μM, dissolved in 0.2% DMSO; 0.006% Pluronic Acid; 0.0002% Cremophore EL) in 3mL of aCSF while bubbled with a 30% O_2-containing gas mixture (see above) in a small incubation well for 45min at 33°C. In acute slice experiments astrocytes were identified by a brighter baseline dye fluorescence than neurons due to their preferential Rhod-2/AM uptake and by the presence of endfeet on vasculature.

Materials: FITC-dextran (2000KDa), rhodamine B isothiocyanate-dextran (Rhodamine-dextran, 70KDa), Chremophore® EL, DMSO were obtained from Sigma Aldrich. D-(-)-2-Amino-5-phosphonopentanoic acid (AP5) and Compound 21 dihydrochloride was purchased from Hello Bio Inc. (Princeton, NJ). U46619 and N-(methylsulfonyl)-2-(2-propynyloxy)-benzenehexanamide (MSPPOH) were ordered from Cayman Chemicals. BAPTA-tetrapotassium and Alexa 488 hydrazide were obtained from Invitrogen, isoflurane from Fresenius Kabi Canada Ltd., Pluronic F127 and Rhod-2 AM from Biotium, buprenorphine from Champion Alstoe Animal Health, and enrofloxacin from Bayer. AAV2/5.GFaABC$_1$D-GCamP6f was ordered from Penn Vector Core, PA, USA and AAV2/5.GFaABC$_1$D-tdTomato from Addgene, MA, USA.

Electrical stimulation: The activation of afferent fibres was elicited by electric stimulation using an assembly of a Grass S88X stimulator, a voltage isolation unit and a concentric bipolar electrode (FHC). We softly positioned the electrode onto the surface of the slice by a micro-manipulator ~250μm lateral to the penetrating arteriole. The tissue was stimulated for 5sec or 30sec with a theta burst pattern (trains of 1 ms mono-polar pulses at 100Hz for 50ms, repeated at 4Hz) using a 0.1V higher intensity than the threshold of astrocyte endfoot activation (1.1-1.3V). This threshold was determined by gradually ramping the voltage (+0.1V) of a low frequency (20Hz) 5sec stimulation until an endfoot Ca^{2+} transient was observed. For some experiments (see Suppl. Fig. 7), we employed a 20Hz stimulation for 5sec with low intensity that did not activate astrocyte endfeet and subsequent 30sec stimulations used the same voltage.

Astrocyte patch clamping experiments: Patching experiments were conducted similar to our previous publication (Rosenegger et al., 2015). Before the patching process, slices were electrically stimulated for 5 or 30sec with a theta burst pattern. Next, astrocytes were selected in the 30-70 μm proximity of an arteriole at a 30-60 μm depth, typically above the imaging plane of the arteriole (Suppl. Fig. 4A). A 3-5 MΩ resistance glass electrode formed a GΩ seal on the cell body membrane and when whole cell configuration was achieved astrocytes were filled with an internal solution containing the fluorescent dye Alexa-488 (100-200 μM) to visualize diffusion, and the following substances (in mM): 8 KCl, 8 Na- gluconate, 2 MgCl$_2$, 10

HEPES, 4.38 CaCl$_2$, 4 K-ATP, and 0.3 Na-GTP, 10 K-BAPTA (1,2-bis(o-aminophenoxy) ethane-N,N,N',N'-

tetraacetic acid) with 96 K-gluconate. Osmolality was adjusted to ~285 mOsm, pH was corrected with KOH

to 7.2. We voltage clamped astrocytes at -80 mV and confirmed the cell type by a low input resistance (10-

20 MΩ) and dye filling of the endfeet circumventing a large section of the arteriole (Suppl. Fig. 4A,B).

Importantly, dye filling of the endfeet with a clamping solution of 100nM Ca^{2+} did not change arteriole tone

per se (see Suppl. Fig. 5). We allowed 15-30 min for the internal solution to washout from the tissue and

for the arteriole tone to return to baseline. Then we repeated the electric stimulation to compare to the first

response.

Awake *in vivo* two-photon imaging: Male mice were used according to the protocol previously developed

by our laboratory (Tran et al., 2018). Briefly, on day 1, under isoflurane anesthesia (induction 4%,

maintenance 1.5-2%), pain control (buprenorphine 0.05mg/kg) and antibiotic premedication (enrofloxacin

2.5 mg/kg), a light (0.5 g) metal headbar was glued on the occipital bone under aseptic conditions with a

three component dental glue (C&B Metabond, Parkell Inc, NY, USA) and dental cement (OrthoJet Acrylic

Resin, Land Dental MFG. CO., Inc., IL, USA). After a recovery period of 24hr, the mice were trained on

days 2 and 3 to habituate to imaging and air puff stimulation to the whiskers while head fixed but free to

move on a passive, air supported spherical Styrofoam ball in a light tight environment (Fig. 1A). After 15

min of rest, intermittent air puffs (125ms at 4Hz for 5s)(50 psi, Picospritzer III, General Valve) were delivered

to the contralateral whiskers 15 times every 10s, 15s, 30s and 60s, respectively on day 2 and 3. On day 4,

a craniotomy was performed over the barrel cortex. Bone and dura were gently removed. For N=6 c57bl/6

and for *Aldh1l1*-Cre/ERT2 x CAG-LSL-Gq-DREADD mice, Rhod-2 AM (15µM) in aCSF was incubated on

the brain surface for 45 min. Next, the window was fully sealed with a coverslip. In experiments where

astrocyte endfeet were labelled with a red fluorescent dye, 0.2mL 5% FITC dextran solution was injected

into the tail vein immediately before imaging, whereas Rhodamine-dextran (0.2mL, 2.3%) was injected

when astrocytes expressed GCaMP6. The animals were transferred to the imaging rig, where imaging

started ~30min after the animals awoke. Behavior was continuously monitored by a camera detecting the

light of an infrared LED and was recorded during imaging. A 16x or a 40x water-immersion objective was

positioned square to the surface of the window. Imaging was performed at 4Hz or at 0.5Hz when drug

application was recorded. Rhod-2 AM only loaded astrocytes in mice *in vivo* as neuronal compartments

were neither labeled at rest nor appeared in response to stimulation (Tran et al., 2018).

AAV CalEx injection: P22-35 male c57bl/6 mice (N=8), under isoflurane anesthesia and aseptic

conditions, received a vertical nano-injection into the barrel cortex (bregma 3mm lat., 1mm post.) with an

astrocytic viral cocktail containing the CalEx encoding construct AAV2/5.GfaABC$_1$D mCherry-hPMCA2w/b

(1.2 x 10^{13} gc/ml) + AAV2/5.GfaABC$_1$D GCaMP6f (3 x 10^{12} gc/ml, Addgene) mixed in a 3:1 ratio in a volume

of 414nL, delivered by a Nanoject II (Drummond Scientific, Broomall, PA, USA) . Control mice (N=8)

received the same titer and volume of AAV2/5.GfaABC$_1$D tdTomato (1.2 x 10^{13} gc/ml) + AAV2/5.GfaABC$_1$D

GCaMP6f (3 x 10^{12} gc/ml). Head bar installation, training and imaging took place 4-6 weeks later, because

this is sufficient time to achieve functionally effective CalEx expression (Yu et al., 2018).

Immunohistochemistry: Slices were collected from control and CalEx mice at the end of the *in vivo*

experiments and post-fixed in 4% paraformaldehyde overnight and then cryoprotected in 30% sucrose for

another 24hr. Sections were cut on a cryostat to 14µm and mounted onto chrom alum slides. The slides

were first washed in PBS (phosphate buffered saline) for 30min, followed by 2 x 10min washes in PBS. A

blocking agent (3% bovine serum albumin (BSA), 0.3% Triton X in PBS) was applied for 1 hour and placed

in a humidor. For mCherry labeling, Anti-RFP (rabbit) primary antibody (1:100: Rockland) was diluted in

blocking agent and incubated for 24hr in a humidor at room temperature. After primary antibody incubation,

sections were washed three times with PBS and then incubated for 2hr with Alexa Fluor 594 (1:100:

Molecular Probes) diluted in blocking agent and placed in the humidor for 24hr. Sections were then washed

again three times with PBS before mounting coverslips with Vectashield (Vector Labs) and read on a

confocal fluorescence microscope (Leica TCS SP8, Leica Microsystems).

Functional hyperemia: Each imaging session started by confirming Rhod-2/AM, GCaMP6 or Gq

DREADD-linked mCitrine expression and identifying the vascular network by z-stack imaging to a depth of

~300µm with a 16x objective. Pial arterioles were distinguished from veins by spontaneous vasomotion and

by positive responses to contralateral whisker air puff. Penetrating arteriole branches of the pial arteries

were tracked and imaged at a depth of 50-250µm with a 40x objective. Air puff was delivered through 2

glass capillary tubes positioned to deflect as many whiskers as possible without blowing air on the face

(Fig. 1A). A 10sec baseline period was recorded after which an intermittent 5sec or 30sec 4Hz (125ms

pulses) air puff (50psi) was employed. Stimulation was repeated 3-6 times and averaged for each arteriole.

When Rhodamine signal was not well visible at 920nm, whisker stimulations were repeated at 850nm.

Data processing and statistical analysis: Ca^{2+} signals were analyzed in ImageJ (NIH) and calculated in

Prism software (GraphPad Inc., La Jolla, CA) as follows: $\Delta F/F=((F1- F0)/F0) \times 100$, where F is fluorescence,

1 is at any given time point, and 0 is an average baseline value of 60sec *ex vivo* or 2sec *in vivo* pre stimulus.

Ca^{2+} responses in brain slices were obtained by selecting a region of interest (ROI) and running the

'intensity vs. time monitor' plugin over the following compartments: (1) neuropil was outlined as an acellular

region next to the region of vasodilation on the side closer to the stimulating electrode, (2) neuron soma

responses were the average of 5 neuronal cell bodies visible in the imaging plane closest to the arteriole,

(3) astrocyte soma signal was the average of 1-3 cells closest to the arteriole, (4) astrocyte endfoot was

chosen as a clearly visible continuous structure adjacent to the area of vasodilation. The same ROIs were

used after patching *in vitro* or after drug administration *in vivo*. Astrocyte GCaMP6 signals of endfeet and

processes in all experiments were outlined by including regions around the arteriole were an increase in

the fluorescent signal was visible after stimulation onset.

In the absence of stimulus-evoked fluorescence changes, areas of basal GCaMP6 fluorescence

was selected. Ca^{2+} signals are labeled as $\Delta F/F_c$ in control conditions, $\Delta F/F_{BAPTA}$ after BAPTA loading. Pre-

processing of intraluminal dye recordings included xy-motion correction, median filter (0.5-2 pixel radius)

and Gaussian filter (1-4 pixel radius) performed by ImageJ plugins. Intraluminal area was selected by

intensity thresholding and quantified using the 'Analyze particles' function of ImageJ.

Arteriole diameter changes were quantified as the relative change in the area of intraluminal dye

along the longitudinal section (in slice) or calculated from the cross-sectional area (*in vivo*) of the vascular

lumen, assuming a circular shape, as follows: diameter = $2x \sqrt{(area/\pi)}$. Stimulation-evoked vasodilation

was first calculated as Δ diameter (Δd) = $(diameter_1-diameter_0)/diameter_0 \times 100$ (%), where $diameter_1$ is

the diameter of the vascular lumen at any time point and $diameter_0$ is the average baseline vascular lumen

diameter 2sec prior to stimulation onset. Response latency was determined as the time from stimulation

onset until 2 consecutive values exceeded 3 standard deviations of the 2sec baseline.

All peak responses during topical C21 application (first 10min from Ca^{2+} increase onset) were

compared to the peak value of the 500sec baseline period (see Fig. 3C). AUC values were calculated from

Δd traces as the summary of all positive peaks over the baseline value from stimulation onset until values

are displayed in the figures.

Statistical analyses were performed on averaged trials of PA responses and on Ca^{2+} responses of

individual stimulation trials *in vivo*. Normality was tested with Shapiro-Wilk test. Normally distributed data

were evaluated with a paired or unpaired Student's t-test, and non-normally distributed with Mann-Whitney

or Wilcoxon test. The non-parametric Friedman test and Dunn's multiple comparison test was applied to

compare *in vivo* peak diameter changes on stimulation length (1- 5- 30sec). Repeated-measures two-way

ANOVA was run to compare the effects of stimulation length (5sec vs 30sec as within subject variables)

and external manipulations (CalEx, or drug treatments), followed by Tukey's post hoc test for pairwise

comparison. Baseline arteriole diameter (intravascular area) changes were analyzed with the two-tailed

non-parametric Wilcoxon test in Prism. Data are expressed as mean ± standard error of the mean (SEM).

Amazon Mechanical Turk analysis:

Arteriole diameter changes of the CalEx experiments were analyzed by manual outlining of the FITC

dextran loaded vascular lumen frame by frame in an outsourced and randomized fashion, using Amazon

Mechanical Turk (Suppl. Fig. 8). It is an online crowdsourcing website, through which remotely located

"crowd-workers" are hired to perform a discrete on-demand task. A custom-made GUI facilitated the manual

outlining of the vascular cross-section over the course of the recording. An annotation task consisted of

adjusting a polygonal shape with a fixed number of vertices on the top of the vessel. To keep annotations

consistent, crowd-workers could inspect the time evolution of the vessel and their annotations by freely

scrolling through the time-lapse images. Annotation tasks were randomly assigned to 10 different crowd-

workers, blind to the experiment (example task: https://codepen.io/leonardomt/full/jOEvPvY). Each stack

of images was then visually examined and accepted or rejected based on whether the instructions were

followed or not, i.e., if outlines were not consistently labeled over time or if there was a clear mismatch in

the outlines with respect to the brain vessel. The area of each polygonal shape was then calculated and

normalized (Suppl. Fig. 8)

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Author's contribution

A.I. designed and performed experiments, analyzed results and wrote the paper. M.V. and G.P. performed

experiments and analyzed results, C.H.T. performed experiments, X.Y. and B.S.K. designed CalEx virus

construct, C.B. did immunohistochemistry, L.M. designed platform for crowdsourcing annotations with

Amazon Mechanical Turk and supervised analysis. G.R.G. designed experiments and wrote the paper.

Declaration of interest

The authors declare no competing interests.

Data availability

The data that support the findings of this study are available from the corresponding author upon

reasonable request.

Citations

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