Title: Urban fox squirrels exhibit habituation to humans but respond to stimuli from natural

predators

Running header: Fox squirrel behavior in urban areas

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Abstract

Animals in urban areas that experience frequent exposure to humans often behave differently

than those in less urban areas, such as less vigilance or anti-predator behavior. These behavioral

shifts may be an adaptive response to urbanization and caused by habituation to humans. A

possible negative consequence is cross-habituation to natural predators where urban animals

exhibit reduced anti-predator behavior in the presence of humans but also to their natural

predators. We tested the hypothesis that habituation to humans in urban populations of fox

squirrels (*Sciurus niger*) causes cross-habituation to stimuli from two possible predators (hawks

and domestic dogs). We exposed squirrels in multiple urban and less urban sites to acoustic

playbacks of a control stimulus (non-predatory bird calls), a natural predator (hawk), and dogs

and recorded their vigilance and three different anti-predator behaviors when a human

approached them while either broadcasting one of these three playbacks or no playbacks at all. In

trials with no playbacks, urban squirrels exhibited reduced vigilance and anti-predator behavior

compared to those in less urban areas but there was little evidence that urbanization altered the

correlations among the different behaviors we quantified. Urban squirrels exhibited increased

vigilance and anti-predator behavior when exposed to a human paired with hawk playbacks

compared to the control playbacks. This indicates that urban squirrels did perceive and assess

risk to the natural predator appropriately despite exhibiting habituation to humans. There is

currently little evidence that habituation to humans causes animals to lose their fear of natural

predators.

Keywords: Anti-predator behavior, cross-habituation, first alert distance, flight initiation

distance, stimulus generalization, urbanization

Introduction

Urbanization has a multitude of effects, not only for humans, but for animals as well. Anthropogenic factors can shape the natural world and affect animals through habitat fragmentation, changes in temperature or precipitation, and various types of pollution (chemical, noise, light), among other changes (Gaston, 2010; Johnson & Munshi-South, 2017). These are often drastic alterations to natural environments, yet, some animals seem to thrive in urban areas by adapting to the novel environmental conditions. Behavior plays an important role in enabling role in enabling animals to persist through environmental change (Baldwin, 1896; Bartholomew, 1964; West Eberhard, 1989; Price et al., 2003; Snell-Rood, 2013) and it seems to play a major role in facilitating the ability of animals to cope with new challenges that they face in urban environments (Ditchkoff et al., 2006; Tuomainen and Candolin, 2011; Lowry et al., 2013; Ryan and Partan, 2014).

One of the most widely documented behavioral adjustments of animals in urban areas occurs in risk-taking behavior, which can include animal personality traits that approximate the willingness of an animal to take risks such as boldness, neophobia, aggression, or exploratory behavior (Réale et al., 2007). From song sparrows (*Melospiza melodia*) in North Carolina to striped mice (*Apodemus agrarius*) in Germany, individuals in urban areas exhibit increased boldness or exploratory behavior compared to those in nearby rural populations (Evans et al., 2010; Dammhahn et al., 2020). Differences in anti-predator behavior between urban and rural populations have been a particular focus about the behavioral mechanisms animals may use to cope with urbanization. This is likely the result of the accessibility of one assay, the flight initiation distance (FID), that is often viewed as a proxy to measure anti-predator behavior (Cooke, 1980; Ydenberg & Dill, 1986; Lima and Dill, 1990). FID is the distance at which an

animal flees from an approaching human. Individuals with shorter FIDs are considered to be

bolder than individuals with longer FIDs since they demonstrate reduced fear of the "predator".

FID is also thought to reflect the risk assessment an individual animal as FID is the escape

decision an animal makes while assessing its surrounding environment and deciding whether it is

more advantageous to flee or remain in place (Ydenberg & Dill, 1986; Cooper & Frederick,

2007). For example, animals exhibit flexibility depending upon the initial distance of the

predator, such as a foraging animal delaying the decision to flee (a lower FID) until the predator

is closer (Dill and Houtman, 1989; Blumstein, 2003). Other studies that have measured FID also

suggest it reflects attention to risk, such as FID being lower when the distance to refuge is

decreased (Dill & Houtman, 1989; Stankowich and Blumstein, 2005) or being affected by

intruder group size (Geist et al., 2005; McGowan et al., 2014), and predator lethality or size

(Stankowich and Blumstein, 2005; Cooper & Frederick, 2010).

Substantial evidence supports the hypothesis that animals in more urban environments

exhibit less anti-predator behavior, as reflected by a lower FID. For example, a meta-analysis of

180 bird species, 16 lizard species, and 16 mammal species, Samia et al. (2015) showed that

populations of these bird, lizard, and mammal species that experienced elevated levels of human

disturbance exhibited lower FID. This could be because anti-predator behaviors carry energetic

or time costs as vigilance and escape behaviors take time away for resource acquisition

(Ydenberg and Dill, 1986; Cooper and Frederick, 2007; Møller, 2012). Animals are expected to

behave in a way that optimizes the acquisition of resources (food, mates, etc.) relative to the risks

required to obtain those resources (Lima and Dill, 1990; Brown, 1999). Humans in urban

environments are usually non-threatening and urban environments may be characterized by

either exhibiting reductions in the density of non-human predators, especially larger predators, or

prey experience reductions in predation rates even if the number of predators is unchanged

(Fischer et al., 2012; Eötvös et al., 2018). Thus, animals in urban environments may optimize

resource acquisition by exhibiting lower levels of anti-predator behavior in urban environments,

although we note that native mesopredators and invasive predators (domestic cats and dogs)

could actually be more abundant in urban areas (Crooks, 2002; Ordeñana et al., 2010; Eötvös et

al., 2018).

Although the consistency of these observations across populations of the same species or

among species suggest that a reduction in anti-predator in urban environments is adaptive

(Møller, 2008; Carrette et al., 2016), there may be potential costs for urban animals due to the

phenomenon of cross-habituation. Hinde (1954) showed that behavioral responses of captive

birds to a simulated predator declines with the number of times it is presented with that stimulus

(i.e., habituation). However, if these birds that were habituated to this stimulus are then presented

with a *different* stimulus but in the same referential category (e.g., a second simulated predator),

they exhibited an attenuated response to this second stimulus compared to a group of naïve birds.

This suggests that animals that are habituated to one stimulus can also exhibit a reduced response

to a second but similar stimulus (cross-habituated; see also discussion in Curio, 1993). In

experimental psychology, this is known as "stimulus generalization" where an animal habituated

to one stimulus exhibits and attenuated response to a second stimulus from the same or different

sensory modality (Guttman and Kalish, 1956; Thompson and Spencer, Rankin et al., 2009).

Related concepts occur in the context of behavioral syndromes where individuals that exhibit

high levels of a behavior in one context also exhibit it in another context even though it may not

be adaptive (Sih et al., 2004). For example, fish with high levels of boldness behavior in a

courtship context may acquire more mates or food (e.g., Godin and Dugatkin, 1996) but may

have reduced survival if they also exhibit higher levels of boldness in the vicinity of a predator

(Dugatkin, 1992; but see Godin and Davis, 1995). The latter being an example of "behavioral

spillover" due to the inflexibility of boldness within individuals across different contexts

(Arnqvist and Henriksson, 1997; Sih et al., 2004).

Animals in urban environments should experience greater exposure to humans or their

stimuli and likely view humans as predators even if they habituate to their presence (Frid and

Dil, 2002). For example, even if they habituate behaviorally, they can still show a physiological

stress response to humans (Fowler, 1999; Ellenberg et al., 2006). Although animals in urban

areas may exhibit increases in boldness or reductions in anti-predator behavior due to habituation

to humans (McCleery, 2009; Rodriguez-Prieto et al., 2009; Vincze et al., 2016; Uchida et al.,

2019), it is less certain if the frequent exposure to humans causes urban animals to *cross-*

habituate to other threatening stimuli. Do animals in urban environments exhibit cross-

habituation where they exhibit a reduction in FID or increased boldness when faced with a

natural predator? If such cross-habituation occurs and causes reductions in anti-predator behavior

in urban environments, it could conceivably have important impacts wildlife populations by

increasing their vulnerability to either native or non-native predators (Geffroy et al., 2015). For

example, short-nesting birds in areas with high levels of human activity (outdoor recreation, dog

walking) exhibit less anti-predator behavior when faced with a human observer approaching their

nests and chick mortality in those areas is higher compared to areas with less human activity

perhaps due to increased predation by dogs (Baudains and Lloyd, 2007; although see other

examples in Nisbet, 2000 that do not support this conclusion). Unfortunately, studies addressing

cross-habituation due to frequent exposure to humans are rare and its importance is currently

being debated (Fitzgerald and Stronza, 2016). For example, seals and squirrels in areas with

higher human activity exhibit less of a behavioral response when natural predators were

observed visiting the area (Olson and Acevedo-Gutiérrez, 2017) or due to acoustic playbacks of

a natural predator (McCleery, 2009). This suggests cross-habituation where frequent exposure to

human activity reduces their response to other stimuli from natural predators. Other studies do

not support these findings as they show the response of animals in more urban areas to a stimulus

from a natural predator is not attenuated compared to those in more rural locations (Labra and

Leonard, 1999; Coleman et al., 2008; Seress et al., 2011; Bokony et al., 2012; Cavalli et al.,

2016; Weaver et al., 2018; Vincze et al., 2019).

One way to examine if cross-habituation to natural predators due to human activity

occurs in nature is to quantify the vigilance and anti-predator behavior of individuals in response

to a human by themselves (a typical FID protocol) or a human paired with a benign or

threatening (such as a natural predator) stimulus. If animals do not exhibit enhanced vigilance or

increased FID to a human observer that is paired to some stimulus from a natural predator

compared to if they were approached by a human without such a stimulus, this supports the

hypothesis that animals do not view the situation as risky due to the presence of a human (i.e.,

they exhibit cross-habituation). We call this the "cross-habituation due to human stimuli"

hypothesis given the long precedent of studies in experimental psychology examining cross-

habituation (Hinde, 1954), although we note that this type of cross-habituation has also been

termed "human-mediated behavioral spillover" (Geffroy et al., 2015) or "transfer habituation"

(Coleman et al., 2008; McCleery, 2009) and examines similar phenomenon as the "threat-

specific risk-taking" and "general risk-taking" hypotheses, with the latter predicting that urban

animals take more risks in the presence of both humans and non-human natural predators

(Vincze et al., 2019).

Here, we tested the cross-habituation due to human stimuli hypothesis in fox squirrels

(*Sciurus niger*) in urban and less urban areas by quantifying their vigilance and multiple

components of their anti-predator behavior when they were presented with a human observer

with a control acoustic playback (common non-threatening bird), a human observer paired with

the playback of a natural predator (hawk), or a human observer paired with a playback of an

invasive predator (dog). We used vocalizations from red-tailed hawks (*Buteo jamaicensis*) as the

stimulus from a natural predator as they are common in both urban and suburban areas in our

study area, have been observed to kill squirrels (personal observations), and previous studies in

fox squirrels and other tree squirrels illustrate that hawk playbacks can elicit an increase in anti-

predator behavior (McCleery, 2009; Lilly et al., 2019). We used vocalizations from domestic

dogs for the stimulus from an invasive predator that is characteristic of urban environments, and

vocalizations from black-capped chickadee (*Poecile atricapillus*) as a control stimulus because

they are common in our study areas and are not known to be harmful to fox squirrels. We

predicted that squirrels in the urban areas but not those in the less urban areas would exhibit no

change in vigilance and anti-predator behavior when they were exposed to the human+dog or

human+hawk stimuli compared to the human+control playback. This would support the

hypothesis that reductions in boldness or anti-predator behavior in urban environments are due to

cross-habituation to the presence of humans (at least partially) that causes them to exhibit less

vigilance and anti-predator when faced with natural predators.

In a separate set of experiments conducted with squirrels in the same urban and less

urban areas, we also conducted standard FID trials (without any playbacks) to test a second

hypothesis. If 1) squirrels in urban areas showed reduced anti-predator behavior (reduced FID) in

a standard FID trial (without any acoustic stimulus) compared to those in less urban areas

(experiment 2) *and* 2) both squirrels in urban and less urban areas exhibited a similar level of

vigilance and anti-predator behavior when exposed to the human+dog or human+natural predator

stimuli compared to the human+control playback (experiment 1), this would support the

hypothesis that although they exhibit habituation to humans (1), they do *not* exhibit cross-

habituation to other stimuli from non-human predators (2).

We used fox squirrels as a model because they are ubiquitous in urban environments in

the midwestern United States but they can also be found in suburban and rural areas (McCleery,

2008, 2009). Arboreal tree squirrels are also common in urban areas worldwide but continue to

experience predation from natural predators, although one study suggested it was quite rare

compared to rural areas (McCleery et al., 2008). Squirrels in urban areas may also experience

predation from domestic cats and dogs (Koprowski, 1994; Wauters et al., 1997; Loss et al., 2013;

Jokimäki et al., 2017). Despite their strong presence in urban areas, most studies on the effects of

urbanization on animal behavior focus on birds (Samia et al., 2015; Geoffroy et al., 2020) and

how urbanization affects animal behavior may differ between animals that can escape a predator

(or human) through flight versus non-volant taxa that cannot do so. Only one study has used fox

squirrels to explore how behavior is influenced by urbanization (McCleery, 2009).

For experiment 1 (FID trials with playbacks), squirrels were located in their natural

habitat, one of three playbacks was broadcast, and the human observer walked towards the

squirrel while we recorded the following four aspects of their vigilance and anti-predator

behavior. First, we recorded the distance to which the observer could get to before they exhibited

vigilance behavior towards the observer ("first alert distance" or FAD, similar to Fernández-

Juricic and Schroeder, 2003; Blumstein et al., 2005). Second, we recorded how close the

observer could get to them before they ran away (FID). Third, was the probability that the

squirrel escape by running up a tree. Fourth, the latency following the trial it took them to

resume their typical behavior (foraging or traveling off tree). In the second experiment (FID

trials without playbacks), we collected the same behavioral data but the trials were conducted

without playbacks.

Finally, using data from experiment 2 (FID trials with no playbacks), we assessed

whether the degree of phenotypic correlation among FID or FAD and probability to climb a tree

and latency to resume typical behavior following the trial differed between urban and less urban

squirrels. We did this because previous studies suggest that phenotypic correlations among

different vigilance or anti-predator behaviors (i.e., the behavioral syndrome) may differ between

urban and rural populations (Evans et al., 2010; Scales et al., 2011; Carrette and Tella, 2017;

Lapiedra et al., 2017). For example, the sign and magnitude of the phenotypic correlation

between anti-predator behavior towards humans and other animal personality traits (exploration,

aggression, boldness) may differ between urban and rural populations. This could be because

selection against anti-predator behavior in urban environments produces a correlated response in

other risk-taking behaviors. If there was a strong phenotypic correlation between two behaviors

in squirrels from less urban sites compared to those in urban sites, this would support the

hypothesis that squirrels in urban areas exhibit greater behavioral flexibility.

215   **Materials and Methods**

Study sites and subjects

We conducted both experiments on natural populations of adult fox squirrels from six

sites in and around Ann Arbor, Michigan (Table 1). Adult squirrels and sex were visually

identified by size (small juvenile squirrels were excluded) and anatomy (males were identified

by presence of testes), respectively. Sites were chosen to represent an urban-rural gradient based

on human population density (Center for International Earth Science Information Network, 2018,

Table 1). Urban sites included Prospect Park as well as two locations on the University of

Michigan's (UM) main campus, including North and Central Campus. Prospect Park is a 9.5-

acre park located near downtown Ypsilanti, Michigan with regular daily visitors and about 13

km away from UM central campus. North and Central Campus are both areas of the UM's main

campus, but ~3-4 km away from one another. Less urban sites included Nichols Arboretum,

County Farm Park, and Saginaw Forest. Nichols Arboretum is owned and operated by UM and is

located ~1 km away UM Central Campus. County Farm Park is owned and operated by

Washtenaw County and is about 4.5 km away from UM Central Campus. Saginaw Forest is also

owned and operated by UM and is located approximately 7 km from UM Central Campus. At all

research sites, dogs are allowed but hunting is not allowed. Approval to conduct this research at

each site was obtained from UM (Central Campus, Nichols Arboretum, North Campus, Saginaw

Forest), Washtenaw County Parks & Recreation (County Farm Park), and the City of Ypsilanti

(Prospect Park). All procedures were non-invasive and involved behavioral observation or short-

term exposure to playbacks of acoustic stimuli. All experiments followed the guidelines set by

the Animal Behavior Society/Association for the Study of Animal Behaviour (Anonymous,

2012) and were approved by the UM Institutional Animal Care and Use Committee (protocol #

PRO00009076).

Given that habituation to humans may cause animals in urban areas to exhibit less anti-

predator behavior (McCleery, 2009; Rodriguez-Prieto et al., 2009; Vincze et al., 2016; Uchida et

al., 2019), we focused on human presence as the major factor difference between our study sites

(which should also reflect general urbanization). Sites were classified as "urban" based upon

having a human population density >1000 persons per km^2 whereas the less urban sites had

anywhere from 25-250 persons per km^2 (Saginaw) to 250-1000 persons per km^2 (County Farm

Park, Nichols Arboretum). To help better assess any differences in human and dog presence at

our sites, we recorded dog and human presence while we were visiting sites conducting our

behavioral observations. We counted the total number of individual dogs observed but only

counted the number of humans up to 50. If human presence exceeded 50 different people, then a

rough estimate of 50, 75, or 100 was recorded. Human presence was also recorded as 100 for all

numbers estimated to be greater than 100. These are imperfect measures of human presence

given the difficulty of counting humans while simultaneously collecting behavioral data on

squirrels yet they can be used as an index of human activity. Overall, they support the

classification of these sites as urban and less urban with the former being locations where

animals should have higher exposure to humans. Although human and dog presence varied

among the different sites (Table 1), the number of humans observed averaged over all the urban

sites (n = 81 observations, mean ± SE = 61.81 ± 4.46 humans) was significantly higher than

those observed averaged over all the less urban sites (4.41 ± 0.56: Mann-Whitney-Wilcoxon

Test, W = 1104, $p < 0.0001$). However, we did observed significantly fewer dogs at the urban

sites (0.36 ± 0.10) compared to the less urban sites (0.93 ± 0.13, Mann-Whitney-Wilcoxon Test,

W = 4856.5, $p < 0.0001$).

In experiment 1, squirrels (n = 94 trials, 54 females and 40 males) were approached by a

human observer with one of three different playback treatments (control, hawk or dog

playbacks). There were three distinct playback treatments (described in detail below): control

playback (n = 26 total trials on 17 females and 9 on males), dog playbacks (n= 40 total trials on

24 females and 16 males), and hawk playbacks (n= 28 total trials on 13 females and 15 males).

In total, these trials were conducted at the three less urban sites (n = 51 trials: 15 with control

playback, 20 with dog playbacks, and 16 with hawk playbacks) and the three urban sites (n = 43

trials: 11 with control playback, 20 with dog playbacks, and 12 with hawk playbacks). In

experiment 2, squirrels (n = 77 trials, 39 females and 38 males) were approached by a human

observer without playback or any other stimuli, creating one anthropogenic stimulus of one

human approaching the squirrel (described below). These trials were conducted at the three less

urban sites (n = 39 trials: 19 females, 20 males) and the three urban sites (n = 38 trials: 20

females, 18 males).

Similar to most studies that measure anti-predator using FID, trials were conducted on

unmarked squirrels at each site. Squirrels were located by walking around each site and trials

were started when squirrels were observed. Focal individuals were selected randomly, however,

only squirrels that were feeding or foraging on the ground were included in this experiment.

Because it was not possible to mark squirrels individually, it is possible that the same squirrel

was observed on different days, although we visited different areas of each study site to try and

reduce this possibility. However, it is very unlikely that the same squirrel was observed multiple

times on the same day, because after each trial was completed, the observer traveled

approximately 20 meters away from the previous location and started a trial with a different

squirrel. Additionally, sites were only revisited after at least three days since the previous visit to

reduce the possibility of a squirrel becoming habituated to the trials should it be sampled again.

If squirrels at these sites were habituating to our protocols, we would expect that their behavioral

responses would decline with trial number or date when the trial was conducted. The fact that

none of our behavioral variables were associated with date of when the trial was conducted

(Tables 2-3) supports our assumption that squirrels were not habituating to our protocols.

In total, we observed fox squirrels for 52.36 hours over 30 different days. A single

observer (AK) conducted all trials. Sites were visited between 800 and 1900 h EST and data

were collected from October 2019 through January 2020. Trials with no playbacks were

conducted from 25 October 2019 to 16 November 2019 (from 812 to 1810 h) whereas trials

using playbacks (playback trials) were conducted from 18 November 2019 to 27 January 2020

(from 802 to 1609 h). Both the order in which sites were visited and the order in which playback

versions across playback treatments (see below) were randomized. This was done to ensure that

there was no impact of the order on the results. No two sites were visited on the same day. All

trials with no playbacks were conducted prior to the playback trials in this study. This was due to

personnel limitations and prevents us from directly comparing trials with and without playbacks

given that squirrel behavior likely changes seasonally in Michigan from October to January

(when the trials were conducted) due to food caching behavior in autumn but not winter. Trials

were not conducted when it was raining or snowing (it was either cloudy, partly cloudy, partly

sunny, or sunny during the trials). Results from two one-way ANOVAs showed that the time of

day for playback trials did not vary among the three different treatment groups ($F_{2,91} = 0.44$, $p =$

0.65) and that the time of day when baseline trials were conducted did not vary among squirrels

at the urban or less urban sites (t-test: $t_{75.4} = 0.21$, $p = 0.83$). Air temperature varied during all the

trials varied from -6.1° to 10.5°C (mean = 2.7° C).

Quantifying behavioral responses of squirrels

We measured the behavioral responses of squirrels to humans or humans plus different

playbacks using protocols developed for tree squirrels (Dill and Houtman, 1989; Gustafson and

VanDruff, 1990; McCleery, 2009). At the beginning of each trial, a marker was placed at the

starting position of the observer and trial data were recorded (GPS location, time of day,

temperature, general weather conditions, and squirrel sex). The squirrel was approached by a

single observer (AK) at a slow and steady pace in a direct line to the squirrel (Fig. S1).

Additional markers were placed when a squirrel displayed the first alert and when they fled. The

FAD was defined as the distance between the observer and the squirrel when it first stopped

moving (froze) and looked at the observer with one or both eyes. FID was recorded as the

distance between the squirrel's initial position and the observer location when it actively fled

(stopped feeding and foraging and moved rapidly away from observer). A marker was also

placed at an estimate of the squirrel's initial position to the place where they fled to if refuge was

not taken in a tree, and the distance between this marker and the squirrel's initial position was

recorded as "flight distance". We recorded this because some studies have noted that FID is

variable depending on intruder starting distances and distance to a refuge (Dill & Houtman,

1989; Blumstein, 2003). Consequently, the distance between the observer and the focal squirrel

at the start of the trial (starting positions, hereafter referred to as "initial distance") and the

distance between the focal squirrel's initial position and the nearest tree were also measured

("distance to nearest tree"). If the focal animal took refuge in a tree other than the one nearest,

distance between the squirrel's initial position and its refuge tree of choice ("distance to the

chosen tree") was also recorded. If an individual took refuge in a tree, a laser rangefinder was

used to measure how high they climbed, and this distance was recorded (same as vertical escape

distance in Uchida et al., 2017). Lastly, latency to resume behavior was recorded ("latency").

This was measured with a stopwatch to determine how long it took for the animal to cease

alert/vigilance behavior and resume typical activity (foraging or traveling off tree). Out of all the

trials, nearly all squirrels ceased alert behavior and resumed typical behavior within a couple

minutes (n = 171 trials, mean ± SE = 130.5 ± 10.3 s), but there was one individual squirrel that

remained alert for longer than ten minutes and we recorded its latency as ten minutes. Distances

were measured with a tape measure and presented in meters.

Playback trials

Playback trials were conducted using the same protocol shown above, with the addition

of an acoustic stimulus being broadcasted while the observer approached the squirrels. The

control stimulus consisted of recordings of black-capped chickadee calls. Black-capped

chickadees were chosen because they are not known to be predators of fox squirrels and fox

squirrels are not known to be predators of them (Korschgen, 1981; Koprowski, 1994).

Additionally, a previous study in another species of tree squirrel that lives in similar habitats as

fox squirrels showed that individuals exhibited a significantly reduced response to black-capped

chickadee playbacks compared to calls of other anthropogenic noises (car alarm, buzzer) and

playbacks of red-tailed hawks (Bohls and Koehnle, 2017). Because of this, we expected that

black-capped chickadee recordings would represent a neutral vocalization for fox squirrels and

they can act as control to ensure that any differences in squirrel behavior across playback

treatments are attributable to the vocalization information of the playback rather than an added

exposure to noise. To simulate the threat of a terrestrial predator, recordings of domestic dogs

barking were broadcasted. Domestic dogs were chosen not only because they are terrestrial

predators of fox squirrels (Koprowski, 1994; Wauters et al., 1997), but also because they have a

strong association with urbanization. Other species of tree squirrels that live in the same habitats

as fox squirrels also adjust their risk-taking behavior according to the abundance of domestic

dogs (Bowers and Breland, 1996; Cooper et al., 2008). Because of this, fox squirrels may have

varying degrees of exposure to these predators depending on their degree of urbanization,

although we noted above that using our observations, dog presence at our less urban sites

actually tended to be higher than at the urban sites. For the avian predator, recordings of red-

tailed hawk calls were broadcasted to the focal individual. Red-tailed hawks were chosen since

they are year-round predators of fox squirrels in Michigan (Koprowski, 1994; personal

observations) and other studies illustrate that tree squirrels respond to hawk playbacks with

increased anti-predator behavior (McCleery, 2009; Lilly et al., 2019). Playbacks of the

recordings were broadcast to individuals at the start of the trial and when the observer began the

approach and suspended when the squirrel took flight. Vocalizations were broadcast through a

JAMBOX Red Dot speaker (Jawbone, San Francisco, CA) connected to an Apple iPhone 6s

(Mountain View, CA) with a constant volume set for the speaker and phone. Given how the trials

were conducted in real time (not video recorded), the single observer (AK) was not blind to the

playback treatments or locations of where the experiments took place.

All vocalization recordings were found online (Control A: Place, 2015; Control B: Floyd,

2017a; Control C: Floyd, 2017b; Dog A: Simion, 2016; Dog B: Simion, 2018; Dog C: Simion,

2017; Hawk A: Chartier, 2008; Hawk B: Addison, 2017; Hawk C: Wilson, 2010). To reduce the

chance that responses to the playbacks were specific to a recorded individual, each playback

treatment had three separate recordings. For example, the hawk playback treatment consisted of

three recorded versions of red-tailed hawks taken from three separate birds/recordings, and

subsequently labeled Hawk A, Hawk B, and Hawk C. This was performed to ensure that squirrel

responses to playbacks of red-tailed hawks were not specific to the call qualities of one hawk.

The same was done for dog vocalizations and the control playback.

Statistical analyses

We analyzed the data from experiment 1 (playback trials) and experiment 2 (no playback

trials) because the two experiments were not conducted synchronously and seasonal changes

from Fall to Winter in Michigan may alter squirrel behavior. We used three separate linear

mixed-effects models (LMMs) for data from the playback trials to examine the effects of the

acoustic playback manipulations on FAD, FID, probability of escaping the observer by climbing

up a tree, and latency to resume activity following the trial. Although the linear distance a

squirrel climbed up a tree (from base of tree to location of squirrel) has been used in other studies

of tree squirrels (e.g., Uchida et al., 2017), the distance a squirrel climbed up a tree in our study

exhibited a Poisson distribution where many squirrels did not climb up a tree at all and a few

climbed up very high. Consequently, we used a generalized linear mixed-effect model (GLMM,

binomial errors) with a binary response variable (escaped by climbing a tree or did not climb a

tree) to assess the probability that squirrels climbed a tree to escape during the trial. Models

included site category of data collection (urban, less urban), playback treatment (dog, hawk,

control), distance to the nearest tree, sex, Julian date of the trial, and initial distance of the

observer as fixed effects. Distance to the nearest tree was included not only because previous

studies show it can impact anti-predator behavior (measured using FID: Dill & Houtman, 1989;

Blumstein, 2003) but also because it helps control for any differences in vegetation among the

different study sites, which could also impact their behavior. An interaction between playback

treatment and site category was included to examine if the effects of the playbacks on squirrel

behavior differed between squirrels at the urban and less urban sites, which allowed us to test

whether cross-habituation occurs in squirrels in urban sites. Because we had repeated samples

from the same site, we also included a random intercept for site. We assessed the statistical

significance of these variables using post-hoc Tukey's Honest Significant Differences that were

corrected for multiple comparisons.

Data from the trials where squirrels were only approached by a human observer and not

exposed to playbacks (experiment 2) were analyzed in a similar manner. Three separate LMMs

were used to examine the effects of urbanization on FAD, FID, and latency to resume activity

following the trial. A GLMM (binomial errors) was used to examine the effects of urbanization

on the probability that squirrels climbed a tree to escape during the trial. Models included site

category of data collection (urban, less urban), distance to the nearest tree, sex, Julian date of the

trial, and initial distance of the observer as fixed effects. Similar to the above, we also included a

random intercept for site.

To assess the degree of association between FAD or FID and the two other behavioral

variables (probability of climbing a tree, latency to resume typical behavior), we ran two separate

LMMs (one for FAD and the other for FID). Each model included fixed effects for site category,

probability of climbing a tree (yes/no), latency to resume typical behavior, and interactions

between site category and each of the two behavioral variables. We also included a random

intercept term for site identity. We also report the Pearson' or point-biserial (escaping up tree

was binary variable) correlations between these variables. We did not run a similar model

looking at the association between FAD and FID given that they are clearly not independent of

one another (for all squirrels [n = 171] across all treatments in this study: Pearson $r = 0.88$, 95%

CI = 0.84-0.91).

Continuous predictor variables were standardized to a mean of 0 and SD of 1. We

confirmed model diagnostics visually and all models met the appropriate assumptions (normality

of residuals, constant variance, no high leverage observations). Latency to resume behavior was

log+1 transformed (base e) to improve homoscedasticity and normality. There were also no

predictor variables that were found to be colinear as all variance inflation factors (VIFs) were

less than 3.68 (Zuur et al., 2010), though the higher VIF were due to interaction terms and most

not in interactions were <1.5. All analyses were conducted in R version 4.02 (R Core Team,

2020) with lme4 (version 1.1.23, Bates et al., 2015) and p-values estimated using lmerTest

(version 3.1.2, Kuznetsova et al., 2017). Tukey's post-hoc tests were used to evaluate if the

responses to the playback treatments differed between squirrels in urban and less urban areas

using emmeans (1.5.2-1: Lenth, 2020) and *p* values from these analyses were adjusted for

multiple comparisons. Mean and SE are presented below.

434   **Results**

Do urban squirrels exhibit cross-habituation to stimuli from natural predators?

The effects of the playbacks on FAD depending upon whether the squirrels were located

at the urban or less urban sites (Table 2), with urban squirrels exhibiting the highest FAD when

exposed to hawk playbacks and squirrels at less urban sites exhibiting higher overall FAD and no

response to the playback treatments (Fig. 1A). Average FAD for urban squirrels exposed to the

hawk vocalizations (n = 12 trials, 17.17 ± 1.33 m) was 37% longer than squirrels who were

exposed to the control playback (n = 11, 12.56 ± 0.94 m, Tukey's *p* = 0.0016) and 20% longer

than those exposed to the dog playbacks (n = 20, 14.30 ± 0.83 m, Tukey's *p* = 0.0025, Fig. 1A).

By contrast, the FAD of squirrels at the less urban sites were just higher overall (Fig. 1A) and the

FAD of those who were exposed to the hawk playbacks (n = 16, 14.62 ± 1.14 m) did not differ

from those exposed to the control playback (n = 15, 14.46 ± 0.88 m, Tukey's *p* = 0.95) or dog

playbacks (n = 20, 14.85 ± 1.05 m, Tukey's p = 0.64, Fig. 1A). There were no significant

differences in FAD for squirrels exposed to dog playbacks and those exposed to the control

playback for squirrels at urban sites (Tukey's p = 0.83) or those at less urban sites (Tukey's p =

0.84).

Similar to FAD, the effects of the playbacks on FID also depended upon whether the

squirrels were located at the urban or less urban sites (Table 2), with urban squirrels exhibiting

the highest FID when exposed to hawk playbacks and squirrels at less urban sites exhibiting the

highest FID in response to the dog playbacks (Fig. 1B). Average FID for urban squirrels exposed

to the hawk vocalizations (n = 12 trials, 14.95 ± 0.98 m) was 44.3% longer than squirrels who

were exposed to the control playback (n = 11, 10.37 ± 3.13 m, Tukey's p = 0.0006) and 29.9%

longer than those exposed to the dog playbacks (n = 20, 11.86 ± 2.65 m, Tukey's p = 0.0005,

Fig. 1A). In squirrels at urban sites, there was no difference in FID between those exposed to the

control playback and those exposed to the dog vocalizations (Tukey's p = 0.90). In squirrels at

less urban sites, FID for those exposed to the dog playbacks (13.60 ± 0.98 m,) was 12.2% higher

than those exposed to the control playback (12.12 ± 0.88 m, Tukey's p = 0.049), but was similar

to those exposed to the FID of those exposed to hawk playbacks (13.11 ± 0.96 m, Tukey's p =

0.66, Fig. 1B). Unlike urban squirrels, the FID of those at the less urban sites who were exposed

to hawk playbacks was similar compared to those exposed to the control playback (Tukey's p =

0.34).

The playback treatments had no significant effects on the probability that squirrels in

urban or less urban sites exhibited an escape response by climbing a tree (Table 2, Fig. 1C).

Urban squirrels exposed to the hawk playbacks were most likely to exhibit an escape response by

climbing a tree (75.0% of trials) compared to those exposed to the control playback (36.4%,

Tukey's $p = 0.30$) or dog playbacks (30%, Tukey's $p = 0.096$), but these differences were not

significant (Table 2, Fig. 1C). There was also no difference in the probability of urban squirrels

climbing a tree when they were exposed dog playbacks compared to the control playback

(Tukey's $p = 0.89$). Compared to the control playback (53.3%), squirrels in less urban sites were

more likely to climb a tree if they were exposed to hawk playbacks (75%, Tukey's $p = 0.34$) or

dog playbacks (70%, Tukey's $p = 0.34$), but once again these differences were not significant.

There was no difference in the probability of climbing a tree for squirrels at less urban sites

exposed to the hawk playbacks and those exposed to dog playbacks (Tukey's $p = 0.99$).

Squirrels at both the urban and less urban sites exhibited the same response when it

comes to their latency to resume their typical behavior following the trial (hawk > dog > control,

Table 2, Fig. 1D). Squirrels at urban sites that were exposed to the hawk playbacks took 436%

longer to resume their pre-trial behavior (213.33 ± 28.9 s) compared to those who were exposed

to the control playback (39.82 ± 7.70 s, Tukey's $p < 0.0001$) and 147% longer than those

exposed to dog playbacks (86.25 ± 13.30 s, Tukey's $p = 0.011$). Squirrels at the urban sites also

took 114% longer to resume typical behavior if they were exposed to dog playbacks compared to

those exposed to the control playback (Tukey's $p < 0.001$). Similarly, squirrels at the less urban

sites that were exposed to the hawk playbacks took 218% longer to resume their pre-trial

behavior (291.25 ± 35.45 s) compared to those who were exposed to the control playback (91.47

± 25.45 s, Tukey's $p < 0.0001$) and 55.7% longer than those exposed to dog playbacks ($187.05 \pm$

21.38 s, Tukey's $p = 0.045$, Fig. 1D). Squirrels at the less urban sites also took 95.6% longer to

resume typical behavior if they were exposed to dog playbacks compared to those exposed to the

control playback (Tukey's $p < 0.001$).

491   There was no effect of sex or Julian date of trial, on FAD, FID, probability of climbing a

492 tree, or latency in these trials (Table 2). There was no effect of the initial distance that a squirrel

493 was from a tree when the trial started on FAD, FID, or probability to climb a tree, but,

494 surprisingly, squirrels were less likely to climb a tree if they were closer to one when the trial

495 started (Table 2). Trials that started with the human observer a greater distance away from the

496 squirrel had higher FAD, FID, and latency to resume typical behavior, but not the probability to

497 climb a tree (Table 2).

498 *Do squirrels in urban areas exhibit habituation to humans?*

499   Overall, urban squirrels (n = 38) exhibited habituation to humans as they allowed a

500 human observer to get closer to them before they exhibited vigilance (FAD) or fled (FID) and

501 tended to be less likely to climb a tree during the trial and more quickly return to typical behavior

502 following the trial compared to those in less urban sites (n = 39, Table 3, Fig. 2). Although the

503 average FAD for squirrels observed at the urban site (10.09 ± 0.74 m) was 43.8% shorter than for

504 those at the less urban sites (14.51 ± 0.69 m), this difference were not significant (p = 0.10, Table

505 3, Fig. 2A). FID in the squirrels at the urban site (6.36 ± 0.52 m) was 97.2% shorter compared to

506 those at the less urban sites (12.54 ± 0.62 m, p = 0.043, Table 3, Fig. 2B). Squirrels at the urban

507 sites were less likely to climb a tree while the observer approached (34.2% of trials) compared to

508 those at the less urban sites (48.6%), although this difference was not significant (p = 0.093,

509 Table 3, Fig. 2C). Latency to resume behavior following the trial was shorter for urban squirrels

510 (43.9 ± 14.4 s) compared to those at the less urban sites (157.51 ± 25.35 s), but this difference

511 was not significant (p = 0.11, Table 3, Fig. 2D). Trials where the observer started the trial at a

512 longer initial distance to the squirrel had significantly higher FAD and FID but not probability of

513 climbing a tree or latency to resume behavior following the trial (Table 3). There were no

significant effects of sex, Julian date, or distance to the nearest tree on FAD, FID, probability of

climbing a tree, or latency (Table 3).

Does urbanization impact associations between behaviors?

Squirrels at urban sites (n = 38) that exhibited a higher FAD also exhibited a significantly

higher latency to resume typical behavior following the trial ($r = 0.59$, 95% CI = 0.33-0.77, $t_{22.9}$

$= 3.07$, $p = 0.005$) but this association between these two variables was significantly reduced in

squirrels at the less urban sites (n = 39, $r = 0.09$, 95% CI = -0.23-0.39), as indicated by the nearly

significant interaction between site category and latency ($t_{33.1} = -2.01$, $p = 0.053$, Table 4, Fig.

3A). Similarly, squirrels at urban sites that exhibited a higher FID also tended to exhibit a higher

latency to resume typical behavior following the trial ($r = 0.53$, 95% CI = 0.26-0.73, $t_{68.2} = 1.86$,

$p = 0.066$), but the association between these two behaviors was slightly lessened in squirrels at

less urban sites ($r = 0.18$, 95% CI = -0.14-0.47), although this was not significant ($t_{70.5} = -1.17$, p

$= 0.24$, Table 4, Fig. 3B). In squirrels at both urban ($r = 0.46$, 95% CI = 0.17-0.68) and less

urban sites ($r = 0.39$, 95% CI = 0.09-0.63), squirrels that were more likely to escape the human

observer by climbing a tree also had a longer latency to resume typical behavior following the

trial ($t_{72.9} = 2.08$, $p = 0.041$) and this did not depend upon whether the squirrels were at urban or

less urban sites ($t_{72.3} = 0.05$, $p = 0.96$).

532   **Discussion**

The main goal of this study was to test the hypothesis that urban squirrels exhibit cross-

habituation to stimuli from natural predators due to repeated exposure to humans that causes

habituation to humans. Overall, squirrels at both urban and less urban sites responded to the

playbacks in such a way that indicates our experimental approach can be used for short-term

elevations of predation risk (similar to Coleman et al., 2008; McCleery, 2009; Bohls and

Koehnle, 2017; Lilly et al., 2019). However, the behavioral response depended upon which of

the four behaviors we measured: there were strong effects on FID and latency to resume typical

behavior following the trial in squirrels at both urban and less urban sites but little effect of the

playbacks on the probability of squirrels climbing a tree and no effect on FAD in squirrels in less

urban sites. There was little evidence of cross-habituation in urban squirrels as they still

exhibited increased vigilance (FAD) and anti-predator behavior (FID, latency to resume typical

behavior after the trial) when exposed to the playbacks of a natural predator (hawk) compared to

the control playback or the dog playbacks. These results indicate that urban squirrels do still pay

attention to predation risk and can discriminate and respond accordingly by becoming vigilant

and fleeing when the human is at a greater distance if the human is also paired with hawk

playbacks. In contrast, squirrels at less urban sites did not exhibit differences in FAD when

exposed to the playbacks and FID was highest when they were exposed to dog playbacks. Our

former result for FAD is similar to Coleman et al. (2008) who showed that Gunther's dik-diks

(*Madoqua guentheri*) in areas located a greater distance from human settlement did not exhibit

any change in vigilance behavior when presented with playbacks from a potential predator

versus the control playback (bird vocalizations) whereas dik-diks located closer to human

settlements did exhibit higher vigilance when exposed to the predator playbacks compared to the

control playbacks. Squirrels at both urban and less urban sites responded similarly to the

playbacks in terms of the latency to resume typical behavior after the trial, being highest when

they were exposed to hawk vocalizations and lowest when exposed to the control playback.

558   Overall, our results reject the hypothesis that urban squirrels exhibit cross-habituation due

559 to frequent exposure to humans that causes them to habituate to humans. Our results are similar

560 to those presented elsewhere, such as Coleman et al. (2008) mentioned above. For example,

561 Cooper et al. (2008) showed that gray squirrels (*Sciurus carolinensis*) in urban areas exhibited a

562 lower FAD when exposed to a human with a leashed dog versus just a human, whereas the cross-

563 habituation due to human stimuli hypothesis would predict that squirrels in urban areas would

564 exhibit a similar FAD when exposed to humans or humans with a dog. Previous studies (see also

565 Labra and Leonard, 1999; Seress et al., 2011; Bokony et al., 2012; Cavalli et al., 2016; Weaver et

566 al., 2018; Vincze et al. 2019) together with our results support that animals in urban habitats or

567 those frequently exposed to humans, even if habituated to humans, still exhibit increases in anti-

568 predator behavior in response to a non-human predator.

569   Other studies have reached a different conclusion and suggested that animals in urban

570 habitats exhibit reductions in both the anti-predator behavior exhibited in response to a human or

571 natural predator, perhaps due to cross-habituation due to frequent exposure to humans

572 (McCleery, 2009; Carette and Tella, 2017; Olson and Acevedo-Gutiérrez, 2017). For example,

573 McCleery (2009) showed that fox squirrels in urban areas exhibited a lesser increase in anti-

574 predator behavior when presented with hawk or coyote (*Canis latrans*) vocalizations whereas

575 those in rural areas significantly increased their anti-predator behavior when exposed to these

576 vocalizations. These results were used to make the inference that fox squirrels in urban areas

577 exhibited cross-habituation. Other studies using similar approaches have also found a similar

578 result. For instance, Carette and Tella (2017) showed that burrowing owls (*Athene cunicularia*)

579 in urban areas exhibited both a reduced FID when a human approached them and reduced anti-

580 predator behavior (latency to approach model predator placed near their nest) when faced with a

model of a natural predator, suggesting reduced overall anti-predator behavior in urban animals

perhaps due to cross-habituation due to frequent exposure to humans. However, we think there is

a difference between our study and previous studies on this topic that may explain these

differences. We argue that in order to conclusively test the cross-habituation due to human

stimuli hypothesis, one needs to 1) compare the behavioral responses within a population (e.g.,

behavioral response of urban population in the FID trial [human only or human plus control

stimulus] vs. response to predator stimulus) and 2) present the human and predator stimuli

together. That is the same behavior (vigilance, FID, other anti-predator behaviors) should be

examined in response to a human plus a control stimulus (acoustic stimulus such as in our study

or novel object for a visual stimulus) and a human plus the stimulus of a natural predator and the

responses to these stimuli should be compared within a population rather than across. Olson and

Acevedo-Gutiérrez (2017) and Carrette and Tella (2017) did not do either of these whereas

McCleery (2009) did compare the behavioral response to the different stimuli within each

population (urban, suburban, rural) but did not necessarily distinguish the behavioral response of

the squirrels to a human by itself or paired with a control stimulus (acoustic in their case) versus

the response to hawk or coyote vocalizations. Instead, McCleery (2009) observed squirrels for 2

min for pre-trial observations (where they only stimulus was the human observer) and then

observed their initial behavioral response 20 s after a playback of hawk or coyote vocalizations

followed by 2 min of post-playback observations. We argue here that our results present the most

appropriate test of the cross-habituation to human stimuli hypothesis and reject it.

The effects of the dog playbacks on squirrel behavior in our study were surprising for a

few reasons. Feral or non-feral domestic cats and dogs may have lethal and sublethal effects on

wildlife (Miller et al., 2001; Banks and Bryant, 2007; Loss et al., 2013) including tree squirrels

(Koprowski, 1994; Wauters et al., 1997; Tumlison, 2012; Jokimäki et al., 2017). Previous studies

in tree squirrels show that they exhibit increased anti-predator behavior (vigilance or FID) to the

physical presence of a dog with a human handler (Gustafson and VanDruff, 1990; Cooper et al.,

2008) or behave in such a way (measured using giving up density: Brown, 1988) in areas with

high levels of dogs and cats that suggests that they perceive a higher predation risk in such areas

(i.e., giving up density was higher in study areas where cats and dogs are present: Bowers and

Breland, 1996). We therefore expected that squirrels would respond to the dog playbacks in

similar way to how they responded to the hawk playbacks. Instead, we found that squirrels at

both sites did not differ in their FAD when exposed to dog playbacks compared to the control

playbacks but they did exhibit a significantly longer latency to resume typical activity following

dog playbacks compared to the control playbacks. Additionally, squirrels in less urban sites but

not those in urban sites had a significantly higher FID when exposed to dog playbacks compared

to the control playback. This differs from previous studies in gray squirrels and burrowing owls

that showed that FAD and/or FID were increased in squirrels or owls in urban areas when they

were presented with a human plus dog compared to just a human (Gustafson and VanDruff,

1990; Cooper et al., 2008; Cavalli et al., 2016). Unlike studies in gray squirrels (Gustafson and

VanDruff, 1990; Cooper et al., 2008), we found a higher FID in response to the dog only in

squirrels from less urban areas but not those from urban areas. One interpretation of our results is

that squirrels in urban sites in our study were habituated to dog vocalizations, whereas squirrels

in less urban areas viewed the sounds of dogs paired with humans as threatening. Although our

"snapshot" estimates of dog abundance recorded at all the sites showed that dog presence was

higher at the less urban sites (see above), it seems likely that cumulative exposure to dogs is

actually higher for squirrels in urban sites due to the higher overall human presence. This

suggests that squirrels in urban environments exhibit selective habituation where the response to

humans or stimuli from their commensals (dogs) is attenuated but the increased response to

natural predators is maintained despite this habituation.

Our study operated under the assumption that squirrels in less urban areas experienced

less exposure to humans than those in urban areas, thereby causing the latter to habituate to

human stimuli. This assumption was supported by human population density data (Table 1) and

also our own data collection efforts while we visited sites, with the latter showing a substantially

higher presence of humans at our urban sites (see above). Our results from the trials conducted

without playbacks also support our assumption that squirrels in urban areas were habituated to

human presence whereas those in less urban areas were not. Similar to most other studies in

terrestrial animals (Samia et al., 2015) and in studies specifically in tree squirrels (McCleery,

2009; Engelhardt and Weladji, 2011; Sarno et al., 2015; Uchida et al., 2020), we found that

squirrels in urban areas exhibited a shorter FAD and FID, lower probability to climb a tree to

escape the human observer, and a shorter latency to resume typical behavior following the trial,

although only FID was significantly different. Focusing only our trials without playbacks, our

results are similar to Uchida et al. (2019) as they suggest that the reduction in FID that we

observed in urban squirrels is due to both reduced vigilance and habituation to humans given that

we found a lower FAD (vigilance) and FID (habituation) in squirrels in the urban areas.

Although only the difference in FID between squirrels in urban and less urban sites was

statistically significant, the congruency of our results with previous studies strongly supports this

assumption that squirrels at our urban sites were more habituated to humans. This is somewhat

surprising given that these are presumably sympatric populations of squirrels with large amount

of gene flow between them with the linear distance between some urban and less urban sites

being ~1 km. Unless selection favoring reductions in anti-predator behavior is extremely strong

in urban areas or features of urban landscapes strongly impede gene flow (Johnson and Munshi-

South, 2017), it seems likely that these behavioral differences are driven by plasticity given that

the likely exchange of individuals between suburban and urban sites prevents local genetic

adaptation to these different sites (see discussion in Sol et al., 2013). It is also possible that these

behavioral differences are due to personality-dependent colonization of urban habitats, such as

squirrels with higher boldness or tolerance for humans or reduced vigilance and anti-predator

behavior settling in urban areas (Carrete and Tella, 2010; Sprau and Dingemanse, 2017), but we

cannot distinguish among these possibilities at this time.

Behavior has long been appreciated to be one of the first adaptive responses to changing

environmental conditions (Baldwin, 1896; Bartholomew, 1964; West Eberhard, 1989), yet recent

studies have emphasized how strong and and/or inflexible correlations between behaviors

(behavioral syndromes: Sih et al., 2004) may act as an evolutionary constraint by preventing an

optimal behavior from being produced (Dochtermann and Dingemanse, 2013). This could be due

to some shared pleiotropic mechanism that yokes two behaviors together, such as genetic

pleiotropy (van Oers et al., 2005) or hormone levels causing simultaneous changes in two

behaviors (Dantzer and Swanson, 2017). Basic quantitative genetics would predict that if there is

a shared mechanism, selection on one behavioral trait may pull the others along (Lande and

Arnold, 1983; Bell, 2005; Dochtermann and Roff, 2010). In the case of animals adapting to

urban environments, it's possible that selection favoring individuals with reduced anti-predator

behavior (as this may increase resource acquisition) causes some correlated shift in other

behaviors, such as boldness, neophobia, aggression, among other behavioral traits. There was

relatively little evidence that the correlations among the different behaviors we recorded differed

between squirrels in urban and less urban sites. We found that the association between latency to

resume typical behavior following a trial and FAD differed between urban and less urban

populations. Squirrels in urban but not less urban sites that allowed humans to get closer to them

before exhibiting first vigilance (FAD) also took a significantly shorter amount of time to resume

typical behavior following the end of the trial. However, there was no significant deviance in the

association between FID and latency or probability to escape by climbing a tree and latency in

squirrels from urban and less urban sites. As such, our results somewhat differ from previous

studies (Evans et al., 2010; Scales et al., 2011; Bokony et al., 2012; Myers and Hyman, 2016;

Carrete and Tella, 2017) that indicate that urban environments may favor shifts in the behavioral

syndrome by enhancing or lessening the associations between two different behaviors. However,

we note that these are merely phenotypic correlations and we did not address the repeatability or

heritability of our behavioral measures given that our animals were not marked uniquely,

although phenotypic correlations may approximate genetic correlations (Dochtermann, 2011).

Moreover, whether or not these shifts in the behavioral syndrome are adaptive or evidence of

some type of constraint where selection favoring a reduction in vigilance or anti-predator

behavior causes a correlated response in other behaviors needs to be viewed with caution. For

example, these changes could be caused by some shared environmental factor that induces

simultaneous shifts in both behaviors (i.e,. environmental co-variance: Mitchell-Olds and Shaw,

1987; Kruuk et al., 2003). Finally, it should not be surprising that the large environmental

differences between urban and less urban populations would cause a rapid reorganization of the

magnitude or even sign of the phenotypic correlations among different behaviors. For example,

abrupt shifts in the environment can rapidly change the genetic variance-covariance matrix of

highly heritable phenotypes like morphological traits (Eroukhmanoff and Svensson, 2011;

Kimmel et al., 2012) and among-population comparisons show that the nature of phenotypic

correlations among behaviors is not static (Bell, 2005; Dingemanse et al., 2007). Unfortunately,

at this point we cannot infer whether the shift in phenotypic correlations we documented here

(for FAD and latency) is adaptive, evidence of a constraint or correlated response to selection, or

merely due to environmental co-variance.

Overall, our results provide insight into how urbanization may shape the behavioral

characteristics of non-volant animals. As most studies on this topic are in birds (Samia et al.,

2015), which can escape from humans using flight, it is important to consider if the same

patterns are found in terrestrial mammals. Our results and others discussed above confirm these

patterns and show that non-volant animals in urban environments exhibit less vigilance and anti-

predator behavior and also highlight that urbanization may alter the association among different

behaviors. Finally, our results reject the cross-habituation due to human stimuli hypothesis as

squirrels in urban environments that were habituated to humans still exhibited a strong

behavioral response to acoustic stimuli from a natural predator (hawks). Although we do not

wish to imply that habituation to humans is cost-free in terms of not causing a reduction in anti-

predator behavior to natural predators, most studies on this topic fail to find evidence that

animals habituated to humans also exhibit cross-habituation to stimuli from natural predator.

Given that urbanization is unlikely to slow at any time soon, increased effort is needed to

determine if habituation to humans carries such heavy costs and whether it could even be

beneficial for animals that cannot avoid it due to seasonal food pulses coinciding with a large

influx of tourists (Wheat and Wilmers, 2016). Other studies suggest the costs of human

habituation may be more nuanced, such as habituation to humans reducing the latency to return

to the nest following a disturbance in nesting shorebirds, but potentially causing increased chick

mortality due to the presence of dogs that often are paired with human stimuli (Baudains and

Lloyd, 2007). Clearly more work is needed on this subject, especially on a greater number of

species including species that are not "urban exploiters" like tree squirrels, but the existing

evidence rejects the proposal by Geffroy et al. (2015) that there is a cost to human habituation in

terms of lowering the anti-predator behavior of animals to other natural predators.

Acknowledgements

This research was a part of a senior honor's thesis conducted by AK in the Department of

Psychology at the University of Michigan. Thanks to Dantzer Lab members (especially Amy-

Charlotte Devitz) for input on this project.

Funding

This work was supported by the University of Michigan.

Data Availability Statement

All data will be archived at Dryad before final acceptance and all regulations set by *(insert*

journal title) will be followed.

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Table 1. Overview of data collection sites. Behavioral data from fox squirrels were collected at six sites around Ann Arbor, Michigan, USA located in areas that were classified as urban or less urban. Urban sites were in areas with human population densities of greater than 1000 persons per square km whereas less urban sites were in areas with population densities of 250 – 1000 persons per square km (County Farm Park, Nichols Arboretum) or fewer than 250 persons per square km (Saginaw Forest). Population density estimates obtained from the Center for International Earth Information Network of Columbia University (2018). Total days of observation and hours over these days are provided. When we visited the sites, human and dog presence was quantified (see methods) with mean and 1 standard deviation (SD) shown below. UM refers to the University of Michigan.

Site Name	GPS Location of Site	Site Category	Population Density (persons/ sq km)	Human Presence		Dog Presence		Total Trials	Total Observation Days	Total Observation Hours
				Mean Count/Trial	SD	Mean Count/Trial	SD			
Central Campus	N 42°16.622' W083°44.293 '	Urban	> 1000	97.7	7.3	0.39	0.61	33	6	9.68
North Campus	N 42°17.753' W083°42.620 '	Urban	> 1000	68.0	11.4	0	0	25	4	6.18
Prospect Park	N 42°14.825' W083°36.093 '	Urban	> 1000	3.6	10.2	0.69	1.55	23	4	6.08
County Farm Park	N 42°15.430' W083°42.518 '	Less urban	250 - 1000	1.6	1.3	0.41	0.50	32	5	8.73
Nichols Arboretum	N 42°16.940' W083°43.410 '	Less urban	250 - 1000	11.9	2.3	2.32	1.19	28	5	9.07
Saginaw Forest	N 42°16.235' W083°48.465 '	Less urban	25 - 250	0.37	0.50	0.20	0.41	30	6	12.62

Table 2. Effects of acoustic playbacks (control, hawk, dog) on first alert distance (FAD), flight initiation distance (FID), probability of escaping human observer by climbing a tree, and latency to resume behavior following the trial for fox squirrels observed at urban or less urban sites. Reference (intercept) was "less urban" for site, "control playback" for treatment, and "female" for sex. A random effect for site identity was included in the model or FAD ($\sigma^2 = 6.5$), FID ($\sigma^2 = 4.9$), probability of climbing a tree ($\sigma^2 = 0.07$), and latency ($\sigma^2 = 0.37$). Latency was ln+1 transformed. Results are from 94 trials from six study sites.

Response Variable	Variable	b	SE	t or z	P
First alert distance (FAD)	Intercept (Less urban, Female, Control)	14.94	0.89	16.71	<0.0001
	Site (Urban)	-2.32	1.33	-1.74	0.11
	Dog playbacks	0.51	0.90	0.57	0.57
	Hawk playbacks	-0.29	0.98	-0.30	0.76
	Distance to tree	0.36	0.29	1.23	0.22
	Sex (Male)	0.29	0.58	0.51	0.61
	Julian Date	-0.10	0.28	-0.34	0.73
	Initial Distance	3.20	0.29	10.84	<0.0001
	Site (Urban) x Dog playbacks	0.07	1.35	0.05	0.96
	Site (Urban) x Hawk playbacks	4.29	1.49	2.86	0.005
Flight initiation distance (FID)	Intercept (Less urban, Female, Control)	12.3	0.95	7.31	<0.0001
	Site (Urban)	-1.64	1.39	-1.18	0.27
	Dog playbacks	1.89	0.79	2.40	0.018
	Hawk playbacks	1.21	0.85	1.42	0.16
	Distance to tree	0.08	0.26	0.30	0.76
	Sex (Male)	0.01	0.50	0.01	0.99
	Julian Date	-0.39	0.25	-1.59	0.11
	Initial Distance	2.99	0.26	11.51	<0.0001
	Site (Urban) x Dog playbacks	-1.52	1.18	-1.29	0.20
	Site (Urban) x Hawk playbacks	2.53	1.30	1.94	0.056
Probability of climbing a tree	Intercept (Less urban, Female, Control)	-0.20	0.62	-0.33	0.74
	Site (Urban)	-0.61	0.92	-0.66	0.51
	Dog playbacks	1.14	0.82	1.39	0.16

	Hawk playbacks	1.26	0.90	1.40	0.16
	Distance to tree	-0.64	0.27	-2.34	0.02
	Sex (Male)	0.61	0.55	1.10	0.27
	Julian Date	-0.26	0.27	-0.97	0.33
	Initial Distance	0.01	0.27	0.04	0.96
	Site (Urban) x Dog playbacks	-1.52	1.20	-1.27	0.20
	Site (Urban) x Hawk playbacks	0.18	1.34	0.14	0.89
Latency to resume behavior	Intercept (Less urban, Female, Control)	3.83	0.39	9.69	0.0001
	Site (Urban)	-0.60	0.57	-1.05	0.33
	Dog playbacks	1.14	0.23	4.91	<0.0001
	Hawk playbacks	1.71	0.25	6.76	<0.0001
	Distance to tree	0.03	0.07	0.39	0.70
	Sex (Male)	0.24	0.15	1.61	0.11
	Julian Date	0.07	0.07	-0.91	0.36
	Initial Distance	0.06	0.08	0.82	0.41
	Site (Urban) x Dog playbacks	0.03	0.35	0.10	0.92
	Site (Urban) x Hawk playbacks	0.22	0.39	0.57	0.57

Table 3. Differences between fox squirrels at urban and less urban sites that were not exposed to any playbacks for first alert distance (FAD), flight initiation distance (FID), probability of escaping the human observer by climbing a tree during the trial, and latency to resume behavior following the trial. A random effect for site identity was included in the model or FAD ($\sigma^2 = 6.9$), FID ($\sigma^2 = 6.24$), probability of climbing a tree ($\sigma^2 = 1.23$), and latency ($\sigma^2 = 1.22$). Latency was ln+1 transformed. Results are from 77 trials from six sites.

Response Variable	Variable	*b*	SE	*t* or *z*	*P*
First alert distance (FAD)	Intercept (Less urban, Female)	14.11	1.63	8.67	0.0007
	Site (Urban)	-4.79	2.26	-2.11	0.10
	Distance to tree	-0.37	0.38	-0.98	0.33
	Sex (Male)	1.17	0.66	1.77	0.08
	Julian Date	0.15	0.40	0.39	0.70
	Initial Distance	2.63	0.35	7.51	<0.0001
Flight initiation distance (FID)	Intercept (Less urban, Female)	12.16	1.54	7.89	0.0009
	Site (Urban)	-6.22	2.14	-2.90	0.043
	Distance to tree	-0.56	0.35	-1.59	0.12
	Sex (Male)	0.85	0.61	1.38	0.17
	Julian Date	0.01	0.37	0.03	0.97
	Initial Distance	1.38	0.32	4.24	<0.0001
Probability of climbing a tree	Intercept (Less urban, Female)	0.71	0.79	0.89	0.37
	Site (Urban)	-1.89	1.12	-1.68	0.09
	Distance to tree	-0.09	0.32	-0.27	0.78
	Sex (Male)	0.17	0.55	0.31	0.76
	Julian Date	0.47	0.35	1.34	0.18
	Initial Distance	0.23	0.30	0.76	0.45
Latency to resume behavior	Intercept (Less urban, Female)	4.48	0.67	6.70	0.002
	Site (Urban)	-1.87	0.93	1.99	0.11
	Distance to tree	-0.07	0.13	-0.57	0.57
	Sex (Male)	0.06	0.23	0.25	0.80
	Julian Date	0.07	0.14	0.52	0.61

| Initial Distance | 0.002 | 0.12 | 0.02 | 0.98 |

Table 4. Associations between latency to resume behavior following the trial ("Latency") and first alert distance (FAD), flight initiation distance (FID), and probability of escaping the human observer by climbing a tree during the trial ("Went up tree?") in fox squirrels at urban and less urban sites that were not exposed to any playbacks. A random effect for site identity was included in the model or FAD ($\sigma^2 = 0.02$), FID ($\sigma^2 = 0.08$), and probability of climbing a tree ($\sigma^2 = 1.03$). FAD, FID, and latency were ln+1 transformed. Results are from 77 trials from six sites.

Response Variable	Variable	*b*	SE	*t* or *z*	*P*
First alert distance (FAD)	Intercept (Urban, Female)	2.42	0.10	24.2	0.0004
	Site (Less urban)	0.27	0.14	1.91	0.16
	Latency	0.25	0.08	3.07	0.005
	Went up tree?	-0.10	0.07	-1.39	0.17
	Site (Less urban) x Latency	-0.23	0.12	-2.01	0.053
	Site (Less urban) x Went up tree?	0.13	0.09	1.41	0.16
Flight initiation distance (FID)	Intercept (Urban, Female)	1.94	0.18	11.0	0.0005
	Site (Less urban)	0.63	0.25	2.54	0.067
	Latency	0.16	0.08	1.86	0.067
	Went up tree?	-0.08	0.07	-1.18	0.24
	Site (Less urban) x Latency	-0.14	0.12	-1.17	0.24
	Site (Less urban) x Went up tree?	0.06	0.09	0.70	0.48
Latency to resume behavior	Intercept (Urban, Female)	2.77	0.61	4.56	0.01
	Site (Less urban)	1.61	0.86	1.88	0.13
	Went up tree?	0.43	0.21	2.08	0.041
	Site (Less urban) x Went up tree?	0.01	0.26	0.05	0.96

Figures

Figure 1. Effects of human observer approaching a squirrel while broadcasting one of three playback treatments (control playback, hawk or dog vocalizations) on A) first alert distance (FAD), B) flight initiation distance (FID), C) probability of escaping up a tree during the trial, and D) latency to resume typical behavior following the trial. Trials were conducted at less urban (n = 15 control, 20 dog, 16 hawk) and urban (n = 11 control, 20 dog, 12 hawk) sites. Results shown in Table 2. Upper and lower hinges correspond to first and third quartile, respectively. Upper/lower whiskers extend from the hinge to the highest/lowest value that is within 1.5x the interquartile range. Solid horizontal line shows median.

Figure 2. Variation in A) vigilance (first alert distance: FAD), B) anti-predator behavior (flight initiation distance: FID), C) probability of escaping up a tree during the trial, and D) latency to resume behavior following the trial among fox squirrels at urban (n = 38 trials) and less urban (n = 39) sites in trials where squirrels were not exposed to any playbacks. Squirrels in urban areas had significantly shorter FID compared to those in the less urban sites, but there were no other significant differences (Table 3). Upper and lower hinges correspond to first and third quartile, respectively. Upper/lower whiskers extend from the hinge to the highest/lowest value that is within 1.5x the interquartile range. Solid horizontal line shows median.

Figure 3. Associations between the latency of fox squirrels in urban and less urban study areas to resume typical behavior after a disturbance trial with A) first alert distance (FAD), B) flight initiation distance (FID), and C) probability of escaping the human observer by climbing up a tree. FAD and latency to resume typical behavior were significantly positively associated in urban squirrels but not those in less urban areas. In squirrels in both urban and less urban areas, those that had a higher FID or climbed a tree also took longer to resume typical behavior. differed between urban and less urban populations of squirrels. Note that latency is ln+1 transformed.

Supplementary Materials

Figure S1. Overview of trial protocol. A marker was placed at the initial position of the observer when they initially identified a squirrel for a trial. The distance between observer initial position and the squirrel's initial position is the initial distance. From this position, the observer walked at a slow, steady, and constant pace in a direct line towards the squirrel. The observer then dropped a marker to represent FAD when the squirrel first displayed "alert" behavior (freezing with eye contact). An additional marker was dropped when the squirrel fled from its initial position to represent FID. Another marker was placed at an estimate of the squirrel's initial position. In playback manipulation trials, acoustic stimuli were broadcast when the observer started approaching the squirrel and stopped playing the stimuli when the squirrel fled. Distance from the squirrel's initial position to the nearest tree was recorded along with how high in the tree the squirrel took refuge. If the squirrel took refuge in a tree other than the one nearest, then distance to the chosen tree was recorded. Once the squirrel took refuge latency to resume normal activity (foraging or traveling off tree) was recorded. Trials ended when squirrels resumed normal activity or if latency exceeded 10 min.