Title: **The molecular framework of heterophylly in *Callitriche palustris* L. differs**

from that in other amphibious plants

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Abstract

Heterophylly refers to the development of different leaf forms in a single plant depending on the environmental conditions. It is often observed in amphibious aquatic plants that can grow under aerial and submerged conditions. Although heterophylly is well recognized in aquatic plants, the associated developmental mechanisms and the molecular basis remain unclear. In this study, we analyzed heterophyllous leaf formation in an aquatic plant, *Callitriche palustris*, to clarify the underlying developmental and molecular mechanisms. Morphological analyses revealed extensive cell elongation and the rearrangement of cortical microtubules in the elongated submerged leaves of *C. palustris*. Our observations also suggested that gibberellin, ethylene, and abscisic acid regulate the formation of submerged leaves. However, the perturbation of one or more of the hormones was insufficient to induce the formation of submerged leaves under aerial conditions. Finally, we analyzed gene expression changes during aerial and submerged leaf development and narrowed down the candidate genes controlling heterophylly via transcriptomic comparisons, including a comparison with a closely related terrestrial species. We revealed that the molecular mechanism regulating heterophylly in *C. palustris* is associated with complex hormonal changes and diverse transcription factor gene expression profiles, which differs from the corresponding mechanisms in previously investigated amphibious plants.

Introduction

Plants have a remarkable variety of leaf forms (Tsukaya, 2018). How such diverse leaf forms evolved is a central question related to the evolution of land plants. Although leaf forms generally vary between species or sometimes between populations, in some species, individual plants can produce completely different leaf forms depending on the environmental conditions the shoot is exposed to (Arber, 1920; Allsop, 1967; Sculthorpe, 1967). The ability to form different types of leaves depending on the environment is called heterophylly. Because an individual heterophyllous plant has distinct leaf developmental activities, it is useful for studying various modes of leaf development in a single genetic background.

Amphibious plants that can grow under aerial and submerged conditions are often used to investigate heterophylly, which is quite extensive in these species (Arber, 1920; Sculthorpe, 1967). Heterophylly is thought to be an important adaptive feature of amphibious plants, which usually grow under conditions in which water levels fluctuate seasonally or unexpectedly (Bradshaw, 1965). Amphibious plants often bear different types of leaves when their shoot apex is underwater to either rapidly escape from the submerged state or to adapt to underwater conditions. In the latter case, the leaves produced under submerged conditions are generally thin, filamentous, and sometimes highly branched, with a long and narrow leaf blade and/or a higher surface area relative to volume (Wells and Pigliucci, 2000). These characteristics are thought to be advantageous for surviving underwater. Because aquatic plants emerged from various lineages of land plants (Cook, 1999; Du and Wang, 2014), the subsequent heterophylly

in response to submergence likely evolved independently in each lineage.

Previous studies revealed that phytohormones are involved in controlling heterophylly in aquatic plants (Wanke, 2011; Nakayama et al., 2017; Li et al., 2019). In many aquatic plants, gibberellic acid (GA) and ethylene promote the formation of submerged leaves, whereas abscisic acid (ABA) stimulates the formation of aerial leaves. Although the morphological basis of heterophylly has been well studied in various aquatic plants, the underlying molecular mechanisms remained unknown until recently. Specifically, Nakayama et al. (2014) clarified the molecular development related to heterophylly in the aquatic plant *Rorippa aquatica* (Brassicaceae), which forms branched dissected leaves when submerged. They revealed that GA negatively regulates dissected leaf development, which is correlated with both submergence and low temperatures, possibly by altering the expression patterns of class I KNOTTED1-LIKE HOMEOBOX (KNOX) genes in leaf primordia (Nakayama et al., 2014). In another study, in which *Ranunculus* species (Ranunculaceae) were used, heterophyllous leaf development was determined to be regulated by ethylene and ABA signaling that modifies the expression of leaf polarity genes, including those encoding HD-ZIP III transcription factors (TFs) (Kim et al., 2018). These studies suggest that the molecular basis of heterophylly in aquatic plants varies among species. Hence, studying diverse species is important for elucidating plant adaptations to aquatic environments.

In this study, we analyzed leaf formation in *Callitriche* plants (known as water starworts). Members of the genus *Callitriche* are small flowering plants with a broad global distribution. It is a member of the Plantaginaceae, to which the well-studied

snapdragon (*Antirrhinum majus*) belongs. *Callitriche* species are typically amphibious,

able to grow both on land and under water, and they inhabit freshwater ponds, streams,

and rivers (Erbar and Leins, 2004). Some terrestrial species are also recognized in this

genus, and these plants usually grow in moist soil. Although the sister genus of

Callitriche is an amphibious genus, *Hippuris*, it was recently proposed that *Callitriche*

has a terrestrial ancestor because the basal clade of the genus was revealed a terrestrial

lifestyle (Ito et al., 2017). Meanwhile, the other terrestrial species in the genus were

likely to have derived from an amphibious ancestor. Thus, the evolution toward aquatic

habitats and reversal of the terrestrial habitat may have occurred in the *Calltriche*

lineage (Philbrick and Jansen, 1991; Philbrick and Les, 2000). Accordingly, *Callitriche*

plants are suitable materials for studying the evolutionary mechanisms mediating

adaptations to aquatic environments.

The significant heterophylly of some *Callitriche* species has long been

recognized (e.g., Schenck, 1887), and many classic developmental biology and

physiology studies have been conducted using *Callitriche* species to understand the

mechanisms of aquatic adaptation. Regarding leaf development, several descriptive

works and physiological experiments have been performed. For example, Jones

described the development of leaves under both aerial and submerged conditions using

C. intermedia (= *C. hamulata*), *C. stagnalis*, and *C. obtusangula* (Jones, 1952; Jones,

1955). More recently, Deschamp and Cooke reported dimorphic leaf development in *C.*

heterophylla at the cellular level and suggested the involvement of phytohormones and

turgor pressure in the control of heterophylly in this plant (Deschamp and Cooke, 1983;

Deschamp and Cooke, 1984; Deschamp and Cooke, 1985). Besides, we recently

reported that *C. palustris*, a close relative of *C. heterophylla*, showed extensive

heterophylly in response to submergence even in the laboratory (Koga et al., 2020).

Here, we observed the cellular changes, hormonal effects, and changes in gene

expression associated with heterophylly in this plant. We also report a potential

regulatory gene set for dimorphic leaf formation in this species identified through

comparative transcriptomic analyses among plants exposed to pharmacological

treatments, as well as with the closely related terrestrial species *C. terrestris*.

Results

Differential cellular expansion in the heterophylly of *C. palustris*

A previous study reported that *C. palustris* exhibits extensive heterophylly in

response to submergence (Schenck, 1887), and we showed that this heterophylly is

reproducible under scalable experimental conditions (Koga et al., 2020). The plant

forms ovate leaves when the shoots are in the aerial condition, whereas it forms ribbon-

like-shape leaves when the shoots are submerged under water (Figure 1A, B).

Additionally, the heterophylly of *C. palustris* is characterized by a decrease in the

number of veins and the suppression of stomatal development in submerged leaves. The

significantly narrower and longer submerged leaves were suggested to be the result of

differential cellular arrangement and differential cell expansion (Koga et al., 2020).

Because of the differential cell expansion, the aerial leaves were composed of jigsaw

puzzle-like pavement cells and round palisade cells, whereas the cells of both the

epidermal and mesophyll layers in submerged leaves were elongated along the

proximal–distal axis (Figure 1C–F). A quantitative analysis of cell size and shape

indicated that the pavement cells of submerged leaves had lower solidity values or were

less lobed (Figure 1H) and were significantly more elongated (Figure 1I) than the

corresponding cells of aerial leaves. Similarly, the palisade cells of submerged leaves

were less circular and much more elongated than the palisade cells of the aerial leaves

(Figure 1K, L). Despite the apparent differences in cell shape, the projected cell areas

on the paradermal plane of both epidermal and palisade cells did not differ greatly

between the leaf forms (Figure 1G, J). This finding suggests that substantial changes in

cell shapes are not accompanied by changes in cellular volumes.

The directional expansion of plant cells is usually associated with the

directional orientation of cell wall cellulose microfibers, which is controlled by the

orientation of cortical microtubules (cMTs) (Preston, 1974; Green, 1980; Gunning and

Hardham, 1982; Lloyd, 1991). We observed that the cMTs of the palisade cells in young

(approximately 1 mm long) submerged leaves were oriented perpendicular to the axis of

cell elongation, whereas they were not well organized in the palisade cells of young

aerial leaves (Figure 1M, N). Therefore, the differences in cell shapes are likely due to

the regulation of cMTs and the subsequent cellulose fiber orientation, resulting in

directional cell expansion in submerged leaves.

Effects of hormones on the formation of submerged leaves

As summarized in the Introduction, previous studies in diverse aquatic plants have

revealed that phytohormones are generally involved in controlling heterophylly in response to submergence. In *C. heterophylla*, dimorphic leaf development is reportedly related to GA and ABA contents (Deschamp and Cooke, 1983). Ethylene is also a key regulator of the submergence response in various aquatic plants (Cox et al., 2004; Jackson, 2008). To confirm the effects of these phytohormones in *C. palustris*, we conducted hormone perturbation experiments.

After determining the effective concentrations of inhibitors or hormones (Supplemental Figure 1), we examined the phenotypes of mature leaves from submerged plants treated with these chemicals at the minimal effective concentrations (Figure 2, Supplemental Figure 3). When the plants were grown in water containing $AgNO_3$, which inhibits ethylene signaling, they formed aerial-like leaves of a slightly shorter length (Figure 2B, H). After adding uniconazole P, an inhibitor of GA synthesis, to the water, the plants also produced leaves that were shorter and broader than typical submerged leaves (Figure 2C, H). A similar tendency was also observed when a different GA synthesis inhibitor, paclobutrazol, was added to the water (Supplemental figure 1). The inhibition of submerged-type leaf development by uniconazole P was recovered by adding GA_3 (Figure 2G, H), implying GA production is required for the elongated leaf form. Additionally, plants grown in water containing GA_3 exhibited an enhanced submerged leaf phenotype, with much longer and narrower leaves than normal, regardless of whether plants were also treated with uniconazole P (Figure 2E, G, H). On the other hand, combined treatment with $AgNO_3$ and GA_3 did not induce complete submerged-type leaves, although the leaves were longer and narrower than

aerial leaves (Figure 2 F, H). Furthermore, the formation of submerged leaves was also inhibited when plants were grown in water containing ABA (Figure 2D, H). Both $AgNO_3$ and ABA treatment drastically increased stomata density to the extent of normal aerial leaves, whereas uniconazole P and the combination of $AgNO_3$ and GA_3 led to slight increases. In the other GA_3 treatment conditions, stomatal densities remained at the level of normal submerged leaves (Figure 2J).

Regarding cell shape analyses, we observed and measured several cellular indices (i.e., cell area, perimeter, circularity, aspect ratio of the best fit ellipse [AR], roundness, solidity, width, and length) to discriminate shapes among states (Figure 2K, L, Supplemental Figures 4, 5). In the leaves treated with $AgNO_3$, ABA, and $AgNO_3$ + GA_3, the epidermal pavement cells and palisade cells were similar in shape to those of aerial leaves, although the cells in the $AgNO_3$ + GA_3-treated leaves expanded much more than the cells of leaves treated with $AgNO_3$ alone (Figure 2I, K, L). These cells had a lower aspect ratio, shorter length, and higher roundness, than the cells of plants grown under control conditions (Supplemental figure 4), presumably representing their complex, jigsaw puzzle-like cellular shape. In uniconazole P-treated leaves, highly circular and relatively simple pavement cells were detected, indicating that the cells were less complex than those of aerial leaves, but they were not elongated, unlike the corresponding cells of submerged leaves (Figure 2I, K, L). Following the GA_3 and uniconazole P + GA_3 treatments, the leaf cells were highly expanded, and their shapes were similar to those of control leaf cells (Figure 2I, K, L). These observations suggest that inhibiting GA signaling alone is insufficient to block submerged-type cell

differentiation, resulting in an intermediate leaf phenotype.

Effects of hormones on the formation of aerial leaves

We next evaluated the effects of hormone applications on leaves under aerial conditions

to determine whether GA or ethylene signals alone or combined are sufficient to trigger

the formation of submerged leaves (Figure 3, Supplemental Figures 2, 3). Because an

inhibitor of ethylene signaling prevented submerged leaves from forming, we grew the

plants in medium containing ACC, which is an ethylene precursor, thereby enabling the

activation of ethylene signaling even under aerial conditions. In this case, the plants

exhibited a dwarf phenotype, which suggests strong inhibition of plant growth by

ethylene signaling (Supplemental figure 2). This phenotype was seemingly the opposite

of that of submerged plants. Meanwhile, the plants produced slightly narrower leaves

than controls, but it was insufficient for the development of submerged leaves under

aerial conditions (Figure 3B, E, Supplemental figure 3). To verify these results, we

exposed plants to ethylene gas, which produced the same results as those obtained for

the plants grown in ACC-containing medium (Supplemental Figure 2).

When GA_3 was added to the medium, the plants elongated and developed

leaves that were narrower than those of the control plants. Although the GA_3-treated

leaves were slightly longer than the control leaves, GA_3 did not induce the formation of

normal submerged leaves (Figure 3C, E). Similar phenotypes were also induced by

spraying plants with a GA_3 solution (Supplemental Figure 2).

Finally, the plants were grown in medium containing both ACC and GA_3 to

activate ethylene and GA signaling under aerial conditions. However, this treatment

resulted in slightly narrower leaves, but failed to induce the formation of submerged

leaves (Figure 3D, E, Supplemental Figure 3). Stomatal densities decreased in response

to GA$_3$, which suggests that the GA signal negatively regulates stomatal formation

(Figure 3G). However, the stomatal density was still higher than that of the submerged

leaves. Substantial cellular changes in the aerial and submerged leaves were not

observed following the application of hormones, although slight elongations and

narrowing were detected that were consistent with the leaf forms (Figure 3F, H, I,

Supplemental Figures 4, 5). Because previous research on other aquatic plants proved

that the submerged-like leaf form can be induced by a simple hormonal perturbation in

many cases (Kuwabara et al., 2001, Nakayama et al., 2014, Kim et al., 2018), our

results indicate that *C. palustris* has a distinct and complex mechanism that induces the

formation of submerged leaves.

Gene expression patterns associated with different leaf developments

To address the molecular aspects of heterophylly in *C. palustris*, we performed a

comprehensive mRNA sequencing analysis. Because the genome of the species has not

been sequenced, we first reconstructed the transcriptome using RNA extracted from

whole plants grown under aerial and submerged conditions (Supplemental Table 1). The

data revealed many more genes in *C. palustris* than in known model species, possibly

because the incomplete assembly resulted in the fragmentation of single genes and

because of genome duplication events in *C. palustris*, which is supposedly a tetraploid

species (n = 10, x = 5) (Philbrick, 1994; Prančl et al., 2014).

Regarding gene expression analyses, we extracted RNA from leaf primordia

shorter than 500 μm, which were collected from three individuals grown under either

aerial or submerged conditions. This growth stage was recently confirmed to precede or

coincide with the initiation of cell differentiation under both conditions (Koga et al.,

2020). We obtained single-read sequences (Supplemental Table 2) and found 4,275

differentially expressed genes (DEGs) between developing aerial and submerged leaves

(false discovery rate [FDR] < 0.05 and expression-level fold-change > 2; Figure 5A).

We further analyzed gene expression patterns in the primordia submerged in water

containing $AgNO_3$, uniconazole P, or ABA, which inhibit the formation of submerged

leaves. A total of 2,933, 5,508, and 16,517 genes were identified as DEGs in the

$AgNO_3$-, ABA-, and uniconazole P-treated leaf primordia, respectively, relative to the

corresponding expression levels under normal submerged conditions (Figure 4).

Because the leaves resulting from these treatments were significantly shorter and wider

than the leaves formed under normal submerged conditions, we speculated that there is

a key gene set that promotes the formation of submerged leaves among the common

DEGs in the four comparisons. Interestingly, the whole transcriptome profiles of the

treated primordia differed from that of the aerial leaf primordia despite their similar

appearance (Supplemental figure 6), indicating that the number of key gene set for

differential development could be relatively a small fraction of the whole DEGs. Indeed,

a total of 200 genes were identified as common DEGs among the four comparisons

(Figure 4, red). The submerged plants treated with $AgNO_3$ and ABA, but not

uniconazole P, produced leaves that were almost the same as aerial leaves, implying the

DEGs common to the AgNO$_3$-treated, ABA-treated, and aerial leaf primordia, but not

the uniconazole P-treated leaf primordia, include genes that are important for the

development of submerged leaves. As such category, 87 genes were identified (Figure 4,

magenta). Taken together, we narrowed down the important candidate genes for

differential leaf development to 287 genes.

To determine whether the genes were differentially expressed only in

developing leaves, we also compared the transcriptomes of mature aerial and

submerged leaves. Of the 287 candidate genes, 153 were developmental stage-specific

DEGs, whereas 134 were identified as DEGs in both developing and mature leaves

(Figure 4). Although both types of DEGs may be important for heterophylly, those

common to developing and mature leaves are likely related to general functions,

including responses to environmental cues or signaling, whereas the DEGs specific to

developing leaves are likely related to the regulation of cell division and differentiation.

Analyses of non-heterophyllous *C. terrestris*

Although most *Callitriche* species are aquatic or amphibious, some are terrestrial,

including *C. terrestris*, which grows in a relatively dry environment (Fassett, 1951).

Phylogenetic analyses indicated that *C. terrestris* belongs to a sister clade of an

amphibious clade that includes *C. palustris* and *C. heterophylla* (Philbrick and Les,

2000). Because the outer clade of this group also comprises amphibious species, *C.*

terrestris, and other terrestrial species in this clade are believed to have an amphibious

ancestor (Philbrick and Les, 2000). Thus, heterophylly in response to submergence

likely weakened or was lost in these species during the transition to terrestrial habitats.

To test this assumption, we collected *C. terrestris* plants and established a culturing

system in our laboratory. The *C. terrestris* plants grew well in a growth chamber in

either soil or Murashige and Skoog (MS) medium under sterile conditions. More

specifically, in MS medium, the plants grew under both aerial and submerged

conditions, but there were no major differences in leaf forms (Figure 5A–C). Compared

with the aerial leaves, the submerged leaves were slightly shorter and narrower, which

resulted in a decrease in the leaf area, but not in the leaf index (Figure 5D, E).

Additionally, there were no differences in the leaf cell shapes (Figure 5F–I). Although

stomatal density was rather increased in the submerged condition, considering the

decrease of leaf area of the submerged plants, stomatal development was apparently not

significantly affected by submergence. Accordingly, we concluded that *C. terrestris*

does not exhibit heterophylly in response to submergence, unlike *C. palustris*.

Because submergence did not induce drastic changes in the *C. terrestris* leaf

form, a comparison of gene expression patterns between *C. terrestris* and *C. palustris*

was expected to provide relevant information regarding the key regulators of

heterophylly. Therefore, we also analyzed the *C. terrestris* transcriptome. We first

reconstructed the *C. terrestris* transcriptome using RNA-seq data for whole plants under

both aerial and submerged conditions (Supplemental Table 1). To compare the gene

expression patterns of *C. terrestris* with those of *C. palustris*, we analyzed orthologous

relationships among putative coding genes. Because *C. terrestris* is a diploid species (n

= 5; Philbrick 1994), we expected a one-to-many relationship with the orthologous

genes in the tetraploid *C. palustris*. We identified 19,644 *C. terrestris* genes that were

orthologous to 34,083 *C. palustris* genes (Supplemental Table 1). We subsequently

sequenced the RNA extracted from the young leaves (< 500 µm long) collected from *C.*

terrestris plants grown under aerial or submerged conditions. A total of 2,177 genes

were differentially expressed between the developing leaves of *C. terrestris* plants

grown under aerial and submerged conditions (Figure. 5K). Notably, the enriched gene

ontology (GO) terms for the *C. palustris* and *C. terrestris* DEGs between the aerial and

submerged leaf primordia were largely unshared (Supplemental figure 7; Supplemental

Table 3). Consistent with this result, Consistent with this result, we detected relatively

few overlapping DEGs (Figure 5L, M).

Expression-level changes in genes involved in hormone signaling

To examine the hormonal contribution to heterophylly from a gene expression aspect,

we extracted the expression profiles of orthologous genes related to ethylene, GA, and

ABA biosynthesis, inactivation, and signal transduction from the RNA-seq data (Figure

6A, B). Additionally, we quantified the contents of ABA, GAs, and other hormones in

the shoots of *C. palustris* with hormone/inhibitors treatment as well as in the shoots of

C. terrestris (Figure 6C-E, Supplemental table 4). In *C. palustris*, the genes encoding

proteins related to ABA biosynthesis (ABA1–3, AAOs, and NCEDs) tended to be

highly expressed in mature aerial leaves, but not in primordia. The expression of genes

encoding some ABA-inactivation enzymes (CYP70As) was also repressed in the *C.*

palustris mature submerged leaves. Regarding *C. terrestris*, for which only the

primordia were examined, there were no drastic changes in the expression of ABA

biosynthesis genes like in the *C. palustris* primordia. Meanwhile, the ABA content

decreased substantially in the submerged shoots (Figure 6C), consistent with the

downregulation expression of NCED-encoding genes in the mature leaves. Similar

results were obtained for the *C. terrestris* shoots. Among the signaling-related genes,

there were notable changes in the expression of ABA receptor orthologs. Specifically,

the expression of a *PYR1* ortholog was downregulated in the submerged leaves of *C.*

palustris, whereas the expression of *PYL4–6* orthologs was upregulated in the

submerged leaves of both species (Figure 6B).

Of the ethylene biosynthesis genes, the expression levels of the *ACO4*

orthologs were clearly downregulated in the submerged control, relative to the

corresponding levels in the aerial, uniconazole P-treated, and ABA-treated *C. palustris*

and *C. terrestris* samples, suggesting ethylene biosynthesis was repressed. Considering

this downregulated expression was not observed following the $AgNO_3$ treatment, it

might be the result of activation of ethylene signaling (i.e., negative feedback). Ethylene

cannot diffuse effectively from plant tissues underwater. Hence, ethylene signaling was

likely activated in the plants, even if the related biosynthesis genes were expressed at

low levels. The upregulated expression of *ERF1* orthologs in the submerged primordia,

but not in $AgNO_3$-treated primordia, may reflect the activation of ethylene signaling

(Solano et al., 1998).

Considering GA signaling is required for submerged leaf formation (Figure 2),

it was surprising that we were unable to detect the activation of GA biosynthesis based on gene expression levels (Figure 6A). Instead, the expression of some orthologous genes related to GA deactivation (*GA2OX* genes) was upregulated under submerged conditions. Additionally, we did not detect active forms of GAs in *C. palustris* shoots under any condition, indicative of a lack of drastic changes in GA contents (Figure 6D, E). In contrast, in *C. terrestris*, the GA_1 content decreased in submerged shoots (Figure 6D). Assuming that *GA2OX* expression levels are affected by the negative feedback regulation of GA signaling like in Arabidopsis (Thomas et al., 1999), GA signaling may be activated in submerged plants even at an extremely low GA level, possibly because of increased sensitivity. However, *GID1* receptor genes were similarly expressed under aerial and submerged conditions (Figure 6B).

Gene expression patterns related to heterophylly

We subsequently focused on the genes likely associated with heterophylly. Previous research on the molecular response to submergence proved that a subfamily of ERF TFs (i.e., group VII ERFs) contributes to the viability or the morphological changes of some plants under submerged condition (Fukao et al., 2006; Xu et al., 2006; Hattori et al., 2009; Licausi et al., 2011; Veen et al., 2014). In Arabidopsis, the HRE1/2 and RAP2.12 TFs in this group function under low-oxygen stress conditions (i.e., hypoxia) to enhance flooding tolerance (Licausi et al., 2010). We detected three orthologous group VII ERF TF genes in the *Callitriche* transcriptomes. Of the three group VII ERF TF genes in *C. palustris*, two (*RAP2.2* and *RAP2.3*) were almost

constitutively expressed under all conditions like *RAP2.12* of Arabidopsis, whereas the

expression of the other orthologous gene (*HRE2*) was upregulated under submerged

conditions, although not in the AgNO$_3$-treated primordia (Figure 7A). The same

tendency was observed for the orthologs in *C. terrestris* (Figure 7A).

We also determined that the expression patterns of some TF genes were

consistent with the phenotypes. The expression levels of the orthologs of the bHLH TF

genes *SPEECHLESS* (*SPCH*), *MUTE*, and *FAMA* were downregulated in developing

submerged leaves, in which fewer stomata differentiated than in the aerial leaves

(Figure 7A). In Arabidopsis, these genes are explicitly expressed in the guard cell

lineage to promote stomatal differentiation (Ohashi-Ito and Bergmann, 2006;

MacAlister et al., 2007; Pillitteri et al., 2008). It is likely that these genes are also

involved in stomatal development in *Callitriche* species because these TFs are involved

in stomatal development in many other land plants, including monocots and even

mosses (Liu et al., 2009; Chater et al., 2016). Among the other stomatal development-

related genes, *EPIDERMAL PATTERNING FACTOR* (*EPF*) *1/2* orthologs and *TOO*

MANY MOUTHS (*TMM*) genes were identified as DEGs in leaf primordia (Figure 7A).

Additionally, the expression of the *EPFL9/STOMAGEN* ortholog was downregulated in

submerged leaves. These genes were more highly expressed in the samples treated with

AgNO$_3$ and ABA than in the submerged control, although the differences were not

always significant (Figure 7A).

A narrow, needle-like terete leaf form may result from the expansion of the

abaxial region of a primordium (Waites and Hudson, 1995). This mechanism of

narrowing was confirmed to be involved in the heterophylly of an aquatic plant species

from the family Ranunculaceae (Kim et al., 2018). Thus, we also analyzed the

expression of HD-ZIP III and KANADI TF genes, which determine the dorsiventral

polarity (Figure 7A). Although some of the *KANADI* family genes were expressed at

low levels in the submerged primordia, the overall expression profiles of the

dorsiventral genes were not indicative of abaxialization.

Candidate gene set for the regulation of heterophylly

Finally, we compared transcriptome data to extract a set of genes that may be

important for the differential development of *C. palustris* leaves. Of the 287 genes

extracted from the comparisons of *C. palustris* experimental conditions, we excluded 22

genes that were orthologous to DEGs in *C. terrestris* because the consistent differential

expression in *C. terrestris* and *C. palustris* implies that they may not be critical for

differential leaf development (Figure 5L, M). Another 15 genes were also excluded

because their expression levels were downregulated in the developing submerged leaves

of *C. palustris*, but their orthologs were not expressed in *C. terrestris*, suggesting that

the downregulated expression of these genes does not affect leaf formation. Thus, 250

genes, including 145 genes lacking an identified ortholog, were retained to form the

candidate gene set for heterophylly regulation and differential development

(Supplemental Table 5). Of these genes, the coding regions were predicted for 208

genes.

The general importance of TFs in various developmental processes and

environmental responses suggests that changes in TF gene expression levels are crucial

for heterophylly. Therefore, we identified possible TF genes using PlantTFDB 4.0 (Jin

et al., 2017) and via manual annotations. Among 80,437 predicted protein-coding genes,

2,489 encoded TFs. We identified 19 potential TF genes among the 208 candidate genes

with predicted coding regions. The expression of five TF genes was upregulated in

submerged leaves (Figure 7B). Of the 19 identified TF genes, 13 were developmental

stage-specific DEGs. In addition to the TF genes, the candidate gene set included

receptor-like protein (RLP) genes, such as a *CLAVATA1* (*CLV1*) ortholog and *BARELY*

ANY MERISTEM (*BAM*) *1/2/3* homologs (Figure 7A), and 16 histone-like genes with

expression levels that were upregulated in submerged primordia (Supplemental Table 5).

Discussion

Heterophylly in *Callitriche* and other aquatic plants

In this study, we focused on *Callitriche* species to analyze the plasticity of leaf

development. Recent studies clarified the molecular basis of heterophylly in several

distant lineages of aquatic plants (Nakayama et al., 2014; Li et al., 2017; Kim et al.,

2018). In *Rorippa aquatica* and *Hygrophila difformis*, the compound leaf forms of

submerged plants are associated with the transcriptional activation of class I KNOX

genes in leaves (Nakayama et al., 2014; Li et al., 2017). However, orthologs of these

genes are not expressed in the simple leaves of *C. palustris* leaves under submerged

conditions. Hence, these genes were not in our candidate gene set. An earlier study

confirmed that abaxialization resulting from changes in the expression of genes related

to dorsiventral polarity is important for heterophylly in *Ranunculus trichophyllus* (Kim

et al., 2018). In contrast, our analysis of KANADI and HD-ZIP III TF gene expression

in *C. palustris* leaves did not produce evidence of abaxialization (Figure 7A). These

genes were also not in the candidate gene set. The differences in the mechanisms

regulating heterophylly reflect the independent evolution of heterophylly in these plant

species.

Molecular background of dimorphic leaf formation in *C. palustris*

On the basis of transcriptome comparisons, we included 250 genes in the candidate

gene set that regulates heterophylly in *C. palustris*. Although we currently do not know

the development-related functions of these genes in *C. palustris*, some of the genes had

expression patterns that are consistent with those in previous studies of genes in model

organisms, including genes involved in stomatal development. We detected

downregulated expression of *bHLH*, *EPF1/2*, and *TMM* genes in submerged leaves. In

Arabidopsis, these genes are expressed almost exclusively in the stomatal lineage cells

(Ohashi-Ito and Bergmann, 2006; Hara et al., 2007; MacAlister et al., 2007; Pillitteri et

al., 2008; Hara et al., 2009), with only the *TMM* genes also expressed in the surrounding

epidermal cells (Nadeau and Sack, 2002). Thus, the expression patterns for these genes

in the current study may be due to a decrease in the number of stomatal lineage cells in

submerged leaves. In addition to the genes expected to be expressed in the stomatal

lineage cells, the expression levels of *EPFL9/STOMAGEN* orthologs were also

downregulated in submerged primordia. In Arabidopsis, *EPFL9/STOMAGEN* is

expressed in mesophyll cells and positively controls stomatal development in epidermal cells (Sugano et al., 2009). The external application of EPFL9/STOMAGEN leads to increased stomatal density, whereas knocking down *EPFL9/STOMAGEN* via RNA interference has the opposite effect (Sugano et al., 2009; Tanaka et al., 2013). Thus, the downregulated *EPFL9* expression potentially explains the decreased stomatal density in *C. palustris*, although additional functional analyses of *EPFL9/STOMAGEN* and the other genes related to stomatal differentiation mentioned above are required for *C. palustris*.

Our candidate gene set included many genes encoding RLPs. These proteins are important for stress responses (e.g., defense against bacteria and viruses), but they also function as receptors for hormones and small peptide ligands, which influence various growth and developmental processes. An ortholog of *CLV1* and orphan *BAM-like* genes were also included in the candidate gene set (Figure 7A). The functions of the encoded proteins related to the regulation of the stem cell lineage in the shoot apical meristem are well known (Clark et al., 1997; DeYoung et al., 2005; Deyoung and Clark, 2008), but, in Arabidopsis, these genes are broadly expressed in the plant body, including leaves (Klepikova et al., 2016). For example, the *CLV1* promoter is active in leaf mesophyll cells (Kawade et al., 2013), although the effect of *CLV1* expression in leaves remains unreported. On the other hand, BAM genes are involved in xylem development in Arabidopsis (Qian et al., 2018). Therefore, the downregulated expression of the BAM orthologs in submerged *C. palustris* primordia may be associated with a decrease in the number of leaf veins (Koga et al., 2020). Although the

changes in the expression of these receptor-like genes may be the result of changes in cellular state, rather than the drivers of cell differentiation, functionally characterizing these receptor-like genes may help elucidate the molecular basis of differential leaf development.

In the context of developmental biology, it is worthwhile to focus on TF genes in the candidate gene set. Because heterophylly of *C. palustris* is accompanied by significant changes in cell shape, it is likely that distinct differentiation processes mediate the formation of each leaf type. In Arabidopsis, some candidate TF gene homologs affect organ elongation. Both *OBP4L1* (Cluster-23900.0) and *OBP4L2* (Cluster-57510.0), which are Dof-type TF genes with downregulated expression in submerged primordia, are homologs of Arabidopsis *OBP4*, which is reportedly a negative regulator of cell growth (Xu et al., 2016; Rymen et al., 2017). Additionally, *PRE1A* (Cluster-74746.0) and *PRE1B* (Cluster-74746.1) expression levels were upregulated in submerged primordia. Their Arabidopsis homologs, *PACLOBUTRAZOL RESISTANCE* genes (*PRE1–6*), promote cell elongation in the hypocotyls, stems, and leaf petioles in a process controlled by GA signaling (Lee et al., 2006; Zhang et al., 2009; Shin et al., 2019). On the basis of the Arabidopsis phenotypes resulting from the disruption of these genes, it is unlikely that the expression-level changes in only one of these genes can substantially induce leaf blade elongations in *C. palustris*. We speculate that the coordinated effects of these genes and possibly other functionally unknown TF genes are responsible for the specific differentiation of elongated leaf cells in submerged leaf primordia. The molecular mechanism regulating heterophylly will be

496 more precisely elucidated by studies focusing on these genes and how the hormones

497 regulate their expression.

Hormonal control of heterophylly

In a previous study on *C. heterophylla*, the application of GA under aerial conditions

induced the development of submerged-type leaves (Deschamp and Cooke, 1983).

However, we were unable to replicate this result. Instead, in our scalable experimental

system, the medium-cultured plants produced slightly elongated leaves in response to

the GA_3 application under aerial growth conditions, suggesting that GA signaling is

insufficient to induce the formation of submerged-type leaves. Thus, undesirable

environmental factors may have affected the conditions that the soil-cultured plants in

the earlier study. Nevertheless, GA biosynthesis as well as the subsequent activation of

GA signaling are likely required for the formation of submerged-type leaves. It is

notable that reorientation of cMTs was associated with this phenomenon, because GA

signaling promotes cell elongation through the reorientation of cMTs and the cellulose

microfibers (Takeda and Shibaoka, 1981; Mita and Shibaoka, 1984; Lloyd, 1991). In a

previous study on Arabidopsis, changes in the leaf blade form were observed in GA-

biosynthesis and GA-perception mutants (Tsukaya et al., 2002). Hence, GA signaling

may promote cell elongation during the formation of submerged leaves in *C. palustris*.

However, our quantitative analysis of hormones failed to detect increases in GA

contents or even the presence of GAs in the plants. Although it is possible that an

undetectable increase in GA levels may influence heterophylly, we speculate that an

unknown mechanism leading to increased sensitivity to GA is important for the

heterophylly of *C. palustris*.

In some aquatic plants, ethylene positively regulates the formation of

submerged leaves. For example, when *Ludwigia arcuate* (Onagraceae) is treated with

ethylene gas or ACC under aerial growth conditions, the plants produce submerged-type

leaves, indicating that ethylene signaling sufficiently induces submerged-type leaf

development in this species (Kuwabara et al., 2003). Similarly, treating the aerial parts

of *R. trichophyllus* plants with ethylene induces drastic changes to the leaves similar to

those observed in submerged leaves (Kim et al., 2018). The involvement of ethylene is

reasonable because under submerged conditions, ethylene spontaneously accumulates in

the plant body because of the limited gas exchange in water (Jackson, 1985). Indeed,

substantial increases in ethylene levels have been reported for various plants growing

under submerged conditions (Voesenek and Sasidharan, 2013 for a review). In *C.*

palustris, an exposure to $AgNO_3$, which inhibits the perception of ethylene, induced the

production of aerial-type leaves under submerged conditions, which suggests that

ethylene signaling must be activated for the formation of submerged leaves. However,

this mechanism seems to be insufficient for the formation of submerged leaves in *C.*

palustris, because neither ethylene nor ACC application induced the formation of

submerged leaves. This is consistent with the inhibition of submerged leaf formation by

uniconazole P under submerged conditions, in which ethylene should spontaneously

accumulate. This implies that the formation of submerged leaves is a GA-dependent

process. Nevertheless, we were unable to induce the formation of submerged leaves

through the combined application of GA$_3$ and ACC. Collectively, these results indicate

additional factors associated with submergence are needed to trigger the formation of

submerged-type leaves.

In the current study, the GA$_3$ treatment of aerial plants resulted in some

submerged-like phenotypes (e.g., slight leaf and stem elongations), whereas the

application of ACC or ethylene, even with GA$_3$, induced seemingly normal stress

responses commonly observed in terrestrial plants (e.g., strong growth inhibition and

chlorosis) (Supplemental Figure 2). This ethylene response was inconsistent with the

growth of the submerged plants, although very narrow leaves were sometimes detected

in ACC-treated plants. Interestingly, in experiments conducted by Musgrave *et al*.

(1972), applying ethylene gas to the floating rosettes of *Callitriche platycarpa* plants

enhanced stem elongation, but the leaf forms were not thoroughly examined.

Additionally, an effective amount of ethylene for promoting stem elongation

accumulated in the submerged plants. These results suggest that at least in the floating

shoots, where the basal part of the plant is submerged, the plant reacts to ethylene with

an elongation response, unlike in the fully aerial condition (Musgrave et al., 1972). The

mechanism mediating the contradictory effects of ethylene signaling under submerged

and aerial conditions remains unclear, because the genetic basis of ethylene signaling in

C. palustris has not been characterized. Thus, molecular investigations of the ethylene

responses are required to fully elucidate the heterophylly of *C. palustris*.

Abscisic acid is also known as an inhibitory hormone of submerged leaf

formation in broad aquatic plants, including ferns (Wanke 2011). Regarding *Callitriche*

species, an ABA treatment under submerged conditions reportedly leads to the

formation of aerial-like leaves (Deschamp and Cooke 1983). In the present study, we

proved that the ABA content decreases substantially in the submerged shoot, possibly

because of the downregulated expression of NCED-encoding genes in mature leaves.

Because the ABA content also decreased in the AgNO$_3$- and uniconazole P-treated *C*

palustris shoots, a decrease in ABA levels alone is insufficient for inducing submerged

leaf formation. Notably, the ABA receptor orthologs *PYR1* and *PYL4/5/6* were

differentially expressed between the heterophyllous leaf primordia (Figure 6B).

Moreover, three *C. palustris*-specific *PYL4/5/6*-like genes were included in the

candidate gene set (Supplemental Table 5). The downregulated expression of *CpPYR1*

in submerged leaves can be explained by reductions in the number of guard cells and

the amount of vascular tissue because *PYR1* gene is specifically expressed in these

tissues in Arabidopsis (Gonzalez-Guzman et al., 2012). The *PYL4* expression levels

were markedly upregulated in the submerged primordia of both *C. palustris* and *C.*

terrestris, implying changes in the expression of these genes are not associated with the

morphological changes. However, CpPYL4 may contribute to physiological changes in

the submerged form by modulating the sensitivity to ABA or inducing differential

responses downstream of the ABA signaling pathway.

Requirement of additional factors for the formation of submerged leaves

The results of our hormone perturbation experiments suggest that factor(s) other than

ethylene and GA are also required to induce the development of submerged leaves

under aerial conditions. Our results of hormonal measurements suggested a reduction of

ABA amount is one of the requirements. Because the submerged condition is a

drastically different environment from the aerial condition, various factors can be

assumed, such as changes in light quality, photoperiod, and temperature. These factors

have previously been demonstrated to induce differential leaf formation in other aquatic

plants (Wells and Pigliucci, 2000). One such factor to be considered may be high turgor

pressure under submerged conditions (Deschamp and Cooke, 1983). Low-oxygen stress

is another potential factor. Group VII ERFs, which are activated in Arabidopsis by

hypoxic conditions, influence the morphological responses of submerged rice plants

(Fukao et al., 2006; Xu et al., 2006; Hattori et al., 2009), and may affect the viability of

Rumex palustris and *Rorippa* species under submerged conditions (Licausi et al., 2011;

Veen et al., 2014). The expression patterns of the group VII ERFs imply the hypoxic

response is probably activated in both species under submerged conditions, with the

underlying mechanism controlled by ethylene signaling in *C. palustris* (Figure 7B).

However, the upregulated expression of the genes encoding the group VII ERFs was not

correlated with leaf shapes. Thus, this pathway is insufficient for the formation of

submerged leaves in *C. palustris* or it may not be involved at all.

Considered together, we confirmed that the heterophylly of *C. palustris* is

controlled by a complex mechanism involving phytohormones and/or possibly

additional factors. The considerable cell elongation in the submerged leaves requires

GA signaling, but GA contents do not increase by submergence. Ethylene signal is also

involved in the cell elongation in the submerged leaves. Although these hormones can

sufficiently influence leaf development in many amphibious plants, we revealed that it is not the case in *C. palustris*. The molecular framework regulating the heterophylly of *C. palustris* is distinct from that of other amphibious plants. It thus sheds light on the variety of evolutionary trajectories of plant adaptation to the aquatic environment.

Materials and Methods

Plant culture

Callitriche palustris L. and *Callitriche terrestris* Raf. plants were originally collected in Hakuba, Nagano, Japan (NH1 strain), and Nishinomiya, Hyogo, Japan, respectively. The plant culture system used in this study was recently described by Koga *et al.* (2020). Briefly, the plant material from a sterile culture was transplanted onto solid half-strength MS medium after the visible shoot tips were removed. A culture jar was filled with 200 mL sterile distilled water to produce submerged growth conditions. For the chemical treatments under submerged conditions, the chemical to be tested was diluted in 200 mL sterile distilled water. The specific concentrations for each experiment are indicated in the corresponding figures and/or figure legends. For chemical treatments under aerial conditions, the chemical was added to the medium in advance or sprayed to the shoots every 2 or 3 days. For the chemical treatments under aerial conditions, the chemical was added to the medium in advance or was applied by spraying the shoots every 2 or 3 days. Regarding the ethylene gas treatment, the plants were transplanted into airtight flasks containing the growth medium, after which they were exposed to ethylene gas every two days.

Measurement of leaves and cells

Before measuring the leaves and cells, the plants were treated with a formalin–acetic

acid–alcohol fixative. The leaves from the fixed shoots were dissected and then

examined. Images of the leaves were captured with a scanner or a camera attached to a

microscope. The length of the main vein was recorded as the leaf length, whereas the

length of the widest line that vertically crossed the main vein was recorded as the leaf

width. ImageJ/Fiji software was used to analyze the scanned images to measure each

index. For cellular observations, fixed leaves were cleared in a chloral hydrate solution

(Tsuge et al., 1996). The leaf cells were observed using a DM4500 differential

interference contrast microscope (Leica Microsystems, Wetzlar, Germany). ImageJ/Fiji

software was used to analyze the photographs to measure cell size and other shape-

related indices. Statistical analyses and visualizations were performed using R software.

Immunofluorescence

The immunofluorescence of microtubules was analyzed as previously described

(Pasternak et al., 2015), with slight modifications. Briefly, the leaves were fixed in 2%

PFA/0.5% glutaraldehyde prepared in a microtubule-stabilizing buffer, after which the

central region was dissected. After permeabilizing with methanol, cell wall enzymes,

and a 3% (v/v) IGEPAL/10% (v/v) DMSO solution, the samples were incubated with a

1:1,000 primary antibody solution (T5168; Sigma-Aldrich, St. Louis, MO, USA) and

then with a 1:500 Alexa Fluor 488-conjugated secondary antibody solution (A-11017;

Thermo Fisher Scientific, Waltham, MA, USA). The stained samples were observed

using an FV10 confocal microscope (Olympus, Tokyo, Japan).

RNA-seq

For the transcriptome analyses, we cultured shoots from individual *C. palustris* and *C.*

terrestris plants grown under aerial or submerged conditions. After culturing for three

weeks, we extracted RNA from whole plants using the RNeasy Plant Mini Kit (Qiagen,

Hilden, Germany) and the RNase-free DNase Set (Qiagen). Sequencing libraries were

prepared using the TruSeq Stranded mRNA Library Prep Kit (Illumina, Inc., San Diego,

CA, USA), with the protocol optimized for a 300–400 bp insert size. We sequenced the

libraries on the HiSeq 1500 platform (Illumina) using the rapid-run mode to generate

150-bp paired-end reads. Additionally, for the quantitative analysis of RNA, we cultured

shoots from three siblings grown under aerial conditions, submerged conditions, and

submerged conditions with 10^{-6} M AgNO$_3$, 10^{-7} M uniconazole P, or 10^{-7} M ABA. We

extracted total RNA from 10 leaves that were shorter than 500 µm and from 4–6 mature

leaves using the RNeasy Micro Kit (Qiagen) and the RNeasy Plant Mini Kit (Qiagen),

respectively, along with the RNase-free DNase Set (Qiagen). We constructed

sequencing libraries with the KAPA Stranded mRNA-seq Kit (KAPA Biosystems, Inc.,

Wilmington, MA, USA), after which they were sequenced on the HiSeq 1500 platform

(Illumina) using the rapid-run mode to obtain 75-bp single-read sequences. The raw

reads were deposited in the DDBJ Sequence Read Archive (Supplemental Table 2).

Transcriptome assembly and annotation

The paired-end reads for *C. palustris* or *C. terrestris* whole plants were trimmed based on quality using Trimmomatic (version 0.36) (minimum length: 32) (Bolger et al., 2014) and assembled with Trinity (version 2.2.0) with an *in silico* normalization step (Grabherr et al., 2011). The contigs derived from rRNA were identified using RNAmmer (version 1.2.1) (Lagesen et al., 2007) and removed from the assembly. Additionally, contigs with the best matches (e-value < 1E-50) to sequences from non-Viridiplantae species (mostly bacteria, fungi, and human) following a BLASTn search of the nt database were considered as possible contaminants and eliminated. The single open reading frame (ORF) of each transcript was predicted using TransDecoder (version 3.0.0) (https://github.com/TransDecoder/TransDecoder). The assemblies included many contigs putatively transcribed from a single gene. Because the clustering intrinsically executed by Trinity did not work properly for the tetraploid *C. palustris* transcriptome, we clustered contigs into putative genes using Corset (version 1.07) (Davidson and Oshlack, 2014). To select a representative isoform of each putative gene, the expression levels of isoforms were quantified by mapping the reads onto the assembly using Bowtie (version 1.2) and RSEM (version 2.3.0) (Langmead et al., 2009; Li and Dewey, 2011). Representative isoforms were selected based on the following criteria: having an ORF, BLASTx match to a sequence in the UniProt database, high expression level, and a relatively long transcript among the isoforms for the gene. Next, we qualitatively analyzed the assembly using BUSCO (version 4.0.1) with the Eudicot data set (Simão et al., 2015). Briefly, genes were annotated and GO terms were assigned based on the

BLASTx best match between representative genes and Arabidopsis protein sequences

(TAIR10). Orthologs were detected with OrthoFinder (version 2.1.2) (Emms and Kelly,

2015), using the predicted protein sequences of the species, and the protein sequences

from Arabidopsis, tomato (ITAG2.4), and *Mimulus guttatus* (version 2.0 in Phytozome

11.0) as outgroups. We subsequently identified genes with one-to-one or multi-to-one

relationships between tetraploid *C. palustris* and diploid *C. terrestris* as comparable

orthologs.

Comparative expression analyses

The reads obtained for the leaves were trimmed based on quality using Trimmomatic

(version 0.36), after which they were counted by RSEM by mapping them onto the

transcriptome assembly with Bowtie. Genes expressed at low levels (TPM < 1 in all

samples) were omitted from further analyses. After a normalization using the TCC

package (version 1.20.0) [83], the DEGs between certain sample pairs were detected via

multiple comparisons using edgeR (version 3.22.1) [84], with the following criteria: FDR

< 0.05 and expression-level fold-change > 2. The GO term enrichment analyses were

performed using R. Specifically, we applied a hypergeometric distribution and an FDR

< 0.05 calculated by a BH correction. Rare ontologies (< 5 in the transcriptome) were

omitted from the analyses.

Hormonal amount measurement

The shoot tips with developing and almost mature leaves were collected from plants

cultured under the same conditions as the plants used for the RNA-seq experiments. Hormones were extracted and semi-purified from the frozen samples as previously described (Kojima et al., 2009; Kojima and Sakakibara, 2012).

The GA, ABA, salicylic acid, jasmonic acid, and auxin contents were quantified using an ultra-high performance liquid chromatography (UHPLC)-ESI quadrupole-orbitrap mass spectrometer (UHPLC/Q-Exactive; Thermo Fisher Scientific, USA) with an ODS column (AQUITY UPLC HSS T3, 1.8 µm, 2.1 × 100 mm; Waters, Milford, MA, USA) as previously described (Kojima and Sakakibara, 2012; Shinozaki et al., 2015). Cytokinins were quantified using an ultra-performance liquid chromatography (UPLC)-electrospray interface (ESI) tandem quadrupole mass spectrometer (qMS/MS) (AQUITY UPLC System/Xevo-TQS; Waters) with an ODS column (AQUITY UPLC HSS T3, 1.8 µm, 2.1 × 100 mm; Waters) as previously described (Kojima et al., 2009).

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Accession numbers

Sequence data used in this article can be found in Genbank/DDBJ with the accession numbers listed in Supplemental table 2.

Author contributions:

H.K. and H.T. designed the research; H.K., M.K., Y.T, and H.S. conducted the experiments; H.K. analyzed the data; and all authors contributed to writing the manuscript.

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Figure legends

Figure 1. *Callitriche palustris* leaf cell morphology. (A, B) *C. palustris* leaves grown under aerial (A) and submerged conditions (B). (C) Epidermis of an aerial leaf. (D) Epidermis of a submerged leaf. (E) Sub-epidermal palisade layer of an aerial leaf. (F) Sub-epidermal palisade layer of a submerged leaf. (G–L) Plots of the indices for pavement cells (G–I) and palisade cells (J–L): cell area (G, J), solidity (H), circularity (K), and the aspect ratio of the best fit ellipse (I, L). Black points represent the means. *P*-values calculated by Welch's *t*-test are shown. (M, N) Immunofluorescence images of tubulin in the palisade cells of a young aerial leaf (M) and a young submerged leaf (N). A representative cell is outlined. Scale bars: (A, B) 1 mm, (C–F) 50 µm, and (M, N) 10 µm.

Figure 2. Effects of phytohormone inhibitors and phytohormones on *C. palustris* leaf development under submerged conditions. (A–G) Leaf forms of the plants treated with phytohormone inhibitors and/or phytohormones under submerged conditions: (A) control, (B) 10^{-6} M AgNO$_3$, (C) 10^{-7} M uniconazole P, (D) 10^{-7} M ABA, (E) 10^{-6} M GA$_3$, (F) 10^{-6} M AgNO$_3$ + 10^{-6} M GA$_3$, and (G) 10^{-7} M uniconazole P + 10^{-6} M GA$_3$. (H) Length–width plot of mature leaves. Each point represents one leaf. A total of 26–40 mature leaves were measured for each treatment. Points denoted by "Air" represent the aerial leaves shown in Figure 3 and are included for comparison purposes. (I) Images of the adaxial epidermis (top) and sub-epidermal palisade layer (bottom) of the mature leaves. (J) Plots of stomatal density. Crosses represent the means. Different

letters denote significant differences among treatments (Tukey's test, $P < 0.05$). (K, L)

Principal component analysis plots of adaxial pavement cells (K) and palisade cells (L).

Each point represents one cell. Loading plots are overlapped on the graphs. Points for

the cells of aerial leaves shown in Figure 3 are included for comparison purposes. Scale

bars: 50 µm.

Figure 3. Effects of ACC and GA$_3$ on *C. palustris* leaf development under aerial

conditions. (A–D) Leaf forms of the plants treated with phytohormones under aerial

conditions: (A) control, (B) 10^{-5} M ACC, (C) 10^{-5} M GA$_3$, and (D) 10^{-5} M ACC + 10^{-5}

M GA$_3$. (E) Length–width plot of mature leaves. Each point represents one leaf. Thirty

mature leaves were measured for each treatment. Points denoted by "Sub" represent the

untreated submerged leaves shown in Figure 2 and are included for comparison

purposes. (F) Images of the adaxial epidermis (top) and sub-epidermal palisade layer

(bottom) of the mature leaves. (G) Plots of stomatal density. Crosses represent the

means. Different letters denote significant differences among treatments (Tukey's test, P

< 0.05). (H, I) Principal component analysis plots of adaxial pavement cells (H) and

palisade cells (I). Each point represents one cell. Loading plots are overlapped on the

graphs. Points for the cells of submerged leaves shown in Figure 2 are included for

comparison purposes. Scale bars: 50 µm.

Figure 4. Comparative transcriptome analyses of *C. palustris*. UpSet plots present

the overlapping DEGs in five comparisons. Gene sets that exhibited consistent changes

among the comparisons of aerial, AgNO$_3$-treated, and ABA-treated leaf primordia are colored (i.e., shared DEGs). (A) UpSet plot of DEGs downregulated in the submerged control. (B) UpSet plot of DEGs upregulated in the submerged control.

Figure 5. Submergence response of the terrestrial species *Callitriche terrestris*. (A) Images of shoots grown under aerial (left) and submerged (right) conditions. (B, C) Leaves from aerial (B) and submerged (C) shoots. (D) Length–width plots of mature leaves from both conditions. (E) Plots of length/width ratios for the mature leaves. The *P* value was calculated by Welch's *t*-test. (F–I) Images of the epidermal (E) and palisade layer (F) cells of a leaf from an aerial plant and the epidermal (G) and palisade layer (H) cells of a leaf from a submerged plant. (J) Plots of stomatal densities of mature leaves. The *P* value was calculated by Welch's *t*-test. (K) MA plot for transcriptome analyses of the *C. terrestris* developing leaves. Red and blue points represent the downregulated and upregulated DEGs, respectively, under submerged conditions. The numbers of upregulated and downregulated DEGs are indicated. (L, M) Venn diagrams of *C. palustris* orthologs identified as DEGs in either *C. palustris* or *C. terrestris*.

Figure 6. Hormone-related gene expression patterns and hormone contents in the shoot tips. (A, B) Expression-level changes to (A) putative hormone metabolism gene orthologs and (B) hormone signaling gene orthologs based on the RNA-seq data. The heatmaps present the mean log$_2$(fold-change) values, relative to the corresponding levels in the submerged controls. Asterisks indicate significant differences (FDR < 0.05,

expression-level fold-change > 2). Decreasing values reflect the upregulated expression

in the submerged control. Gray panels indicate the gene or its expression was

undetectable. Dev: comparisons among *C. palustris* leaf primordia, Mat: comparison

between *C. palustris* mature leaves, and Ct: comparison between *C. terrestris* leaf

primordia. The column on the right presents the names of *Callitriche* genes and the

Arabidopsis orthologs determined using OrthoFinder (in parentheses). (C–E) Hormone

contents: (C) ABA, (D) GA_1, and (E) GA_4 in the shoot tips of *C. palustris* (top) and *C.*

terrestris (bottom). Different letters indicate significant differences among treatments

(Tukey's test, $P < 0.05$). For comparisons involving *C. terrestris*, the *P*-value was

calculated by Welch's *t*-test. N.D.: not detected.

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1065   **Figure 7. Expression patterns of transcription factor genes and other notable genes.**

1066   (A) Heatmaps of expression-level changes to some genes of interest based on the RNA-

1067   seq data. The data are presented in the same manner as in Figure 6A, B. (B) Expression

1068   patterns of 19 putative transcription factor genes in the candidate gene set in *C. palustris*.

1069   The heatmap presents scaled values of normalized counts from the RNA-seq data.

Pavement cells

G — 0.16

H — 3.9e-27

I — 2.5e-45

Palisade cells

J — 0.13

K — 1.7e-13

L — 1.4e-13

A Air Sub

B Air

C Sub

D

Length (mm)

Air

Sub

Width (mm)

E

Length/Width

p = 0.1

Air Sub

F Air

G Air

H Sub

I Sub

J

Stomatal density (mm^{-2})

p = 0.0016

Air Sub

K

log$_2$(Air/Sub)

1298

log$_2$(Air+Sub)/2

L Downregulated in Sub

DEG in Cpal
1699

Shared DEG

649
DEG in Cter

M Upregulated in Sub

DEG in Cpal
1436

Shared DEG

1251
DEG in Cter