Interplay between high-drift and high-selection limits the genetic load in small selfing maize populations.

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ABSTRACT Population and quantitative genetics provide useful approximations to predict the evolution of populations and their multilocus adaptive dynamics. They are not supposed to hold under extreme parameter combinations, for which deviations 2 need to be further quantified to provide insights into specific population dynamics. Here we focused on small selfing populations evolving under an under-explored High Drift-High Selection (HDHS) regime. We combined experimental data from the Saclay divergent selection experiments on maize flowering time, forward individual-based simulations, and theoretical predictions to 5 dissect the evolutionary mechanisms at play in the observed selection response for a highly complex trait. We asked two main 6 questions: How do mutations arise, spread, and reach fixation in populations evolving under HDHS? How does the interplay between drift and selection influence the response to selection ? We showed that the long-lasting response to selection in 8 populations whose estimated effective population size ranged between 2.5 to 4 is due to the rapid fixation of de novo mutations. 9 Among all fixed mutations, we found a clear signal of enrichment for beneficial mutations revealing a limited cost of selection in 10 these populations. We argue that environmental stochasticity and variation in selection coefficients contribute to exacerbate 11 mutational effects, thereby facilitating selection grasp and fixation of small-effect mutations. Hence the HDHS regime with non-limiting mutation highlights an interesting interplay between drift and selection that sustains a continuous response to 13 selection. We discuss our results in the context of breeding populations and long-term survival of small selfing populations. 14

KEYWORDS Truncation selection, Experimental evolution, Adaptive dynamics, Distribution of fitness effects, Selection cost, Effective population size, 15 Environmental stochasticity 16

nderstanding the evolutionary processes sustaining phenotypic shifts is at the core of quantitative genetic models. 2 Empirical description of such shifts takes its roots in the breeding 3 literature where truncation selection generates significant and 4 sustainable responses (Hill and Caballero 1992; Walsh and Lynch 5 2018). Truncation selection is known to be the most effective 6 form of directional selection (Crow and Kimura 1979). Under truncation selection, limits to the evolution of phenotypes are rarely reached as heritable variation persists through time (Odhi-9 ambo and Compton 1987; Moose et al. 2004; Weber and Diggins 10 1990; Caballero et al. 1991; Mackay 2010; Lillie et al. 2019). Such 11 observations fit well with the Fisher's infinitesimal model (Fisher 12 Ronald Aylmer 1930) and the derivatives of the breeder equation 13 (Lush 1943; Lande 1979; Lande and Arnold 1983), which predict 14

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a continuous and linear response with no finite limits. The rate of 15 response is however expected to decline with selection-induced 16 linkage disequilibrium (Bulmer 1971; Hospital and Chevalet 17 1996). Hence under finite population size, selection response is 18 predicted to reach an asymptotic finite limit (Robertson 1960) as 19 exemplified in mice (Roberts 1967; Falconer 1971). Results from 20 other species are more equivocal (e.g. drosophila (Weber 1990; 21 Weber and Diggins 1990; Weber 1996), or maize (Odhiambo and 22 Compton 1987; Moose et al. 2004; Dudley and Lambert 2010; De 23 Leon and Coors 2002; Lamkey 1992). Incorporation of de novo 24 mutations indeed predicts a slower rate of response instead of a 25 hard limit (Hill 1982b,a; Weber and Diggins 1990; Wei et al. 1996; 26 Walsh and Lynch 2018). These models point to a sub-optimal av-27 erage selection response in two situations: when population size, 28 N is below 10^4 and the genetic variance correspondingly small 29 at mutation-drift equilibrium $\widehat{V_G}$ (Hill 1982b; Houle 1989); and 30 when $\widehat{V_G}$ is reduced due to strong selection (Houle 1989). More 31

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generally, quantitative genetic models that include selection, drift and mutation (Houle 1989) are well-suited for predicting 2 observed selection responses in a broad range of parameters 3 (Hill and Rasbash 1986) — providing appropriate corrections (Walsh and Lynch 2018). Nevertheless, these models have been 5 often developed under the general assumption of random mating, and a probability of fixation of new mutations determined by the product of population size by their selection coefficient, 8 *Ns*, to be either $\ll 1$ or $\gg 1$. Mathematical models for the inter-9 10 mediate regime $Ns \approx 1$ and non-random mating still remains unsatisfactory. And the description of mechanisms of long-term 11 selection response and whether it can be understood and pre-12 dicted by existing equations has yet to be explored for polygenic 13 traits under a High-Drift High-Selection regime and selfing. 14

Both the Distribution of mutational Fitness Effects (DFE) and 15 the associated mutation rate are central to such predictions. Se-16 lection makes the DFE of fixed mutations different from that 17 of incoming mutations (Kassen and Bataillon 2006). In large 18 populations, a high proportion of incoming beneficial mutations 19 are predicted to reach fixation, together with vanishing small 20 effect deleterious mutations (Crow and Kimura 1971; Kimura 21 1983). In small populations and/or small selection intensity in-22 23 stead, frequent loss of beneficial mutations due to drift together with the fixation of moderately strong deleterious mutations 24 is expected. Hence Kimura's equation that links the fixation 25 probability (p) of a mutation to the population size (N) and se-26 lective coefficient (s) — $P_{fix}(s, p, N) = \frac{1 - e^{-4spN}}{1 - e^{-4sN}}$ — applies to a 27 vast range of parameters including s values as high as 0.1 and N 28 29 as small as 10 individuals Carr and Nassar (1970). An additional layer of complexity to DFE prediction comes from the mating 30 system. Adaptation of very large asexual populations (such as 31 microbes) is indeed affected by competition between alternative 32 beneficial mutations occurring in different genetic background, 33 a process referred to as clonal interference (Gerrish and Lenski 34 1998). Here the absence of recombination favors enrichment of 35 the DFE in large mutational effect (Gerrish and Lenski 1998). 36 However, if selection overpowers drift, *i.e.* $Ns \gtrsim 1$, or if the rate 37 of beneficial mutation (μ_B) is small enough, the expected time 38 lag between two successive mutations is sufficiently large for 39 the first beneficial mutation to fix without interference of the sec-40 ond. While such behavior is expected when $N\mu_B \gg 1/ln(Ns)$, 41 for $N\mu_B \gtrsim 1/ln(Ns)$ beneficial mutations evolves under clonal 42 interference (Desai and Fisher 2007). Altogether these results 43 highlights how the interplay of key parameters - N, s, μ , effective 44 recombination — determine the DFE and in turn, the long-term 45 selection response. 46

Genomic footprints of selection have considerably enriched 47 our vision of allele adaptive trajectories sustaining selection re-48 sponses. Observed genomic footprints include hard selective 49 sweeps characterized by strong decrease in genomic diversity 50 at the selected loci and its surrounding region through genetic 51 hitchhiking (Hermisson and Pennings 2017); and soft sweeps 52 associated with a weaker signature either because recombination 53 on standing variation occurs so that a given advantageous mu-54 tation is associated with multiple haplotypes, or because recur-55 rent *de novo* mutations are associated with multiple haplotypes. 56 Classically, population genetic models describe adaptation as a 57 succession of sweeps at loci encoding a trait. They have been 58 challenged by quantitative genetics that rather posits a collec-59 tive response at many loci translating into simultaneous sub-60 tle shifts in allele frequencies, the so-called polygenic selection 61 model (Berg and Coop 2014; Wellenreuther and Hansson 2016; 62

Walsh and Lynch 2018). Whether adaptation proceeds through 63 hard/soft sweeps or polygenic model primarily depends on the 64 population-scaled mutation rate (θ) as well as the number of 65 redundant loci that offer alternative ways for adaptation (L) – 66 the mutational target. Adaptation proceeds by sweeps for small 67 $\theta \times L \ (\leq 0.1)$ while polygenic adaptation require large $\theta \times L$ 68 (≥ 100) — in compliance with the infinitesimal model — with 69 partial/soft sweeps in between (Höllinger et al. 2019; Messer 70 and Petrov 2013). Extension of the hitchhiking model to a lo-71 cus affecting a quantitative trait with an infinitesimal genetic 72 background predicts that, under the hypothesis of a Gaussian 73 fitness function, the fixation of a favorable mutation critically de-74 pends on the initial mutation frequency and the distance to the 75 optimum (Chevin and Hospital 2008). Interestingly, while demo-76 graphic parameters play a relatively small role in the speed of 77 adaptation compared to standing and mutational variance, they 78 change its qualitative outcome. Population bottlenecks dimin-79 ish the number of segregating beneficial alleles, favoring hard 80 sweeps from *de novo* mutations over soft sweeps from standing 81 variation (Stetter et al. 2018). 82

Experimental evolution tracing short-term temporal dy-83 namics of adaptation have complemented and validated 84 models of adaptation, providing further hints into allele 85 frequency changes, and into the extent of polymorphism 86 and competition among beneficial mutations under various 87 drift/selection/recombination regimes. Temporal dynamics 88 may be obtained either through pedigree information or time 89 series samples. This last approach has been employed success-90 fully in microorganisms where complex patterns of mutation 91 spreading have been observed during the course of adaptation. 92 These include clonal interference, reduction of the benefit of 93 a mutation in fit versus less fit genotypes (diminishing-return 94 epistasis), and evidence for the same favorable mutation being 95 selected in multiple independent evolved clones (genetic par-96 allelism) (Good et al. 2017; Neher 2013; Good et al. 2012; Desai 97 and Fisher 2007; Gerrish and Lenski 1998; Spor et al. 2014). How-98 ever, in asexually reproducing microbes, adaptation proceeds 99 through de novo mutations, which may reveal specific patterns 100 not found in sexually-reproducing eukaryotes. In yeast, for 101 instance, most adaptive changes correspond to the fixation of 102 initial standing variation (Burke et al. 2014; Burke 2012). Pat-103 terns of allele frequency changes depend crucially on both N_e 104 and the frequency of sex, that are themselves intimately linked 105 (see Hartfield et al. (2017)). Considering a single locus, fixation 106 time decreases correlatively with the level of self-fertilization 107 (Haldane 1927). At the same time, multilocus simulations have 108 shown that selfing reduces effective population size through 109 background selection, and in turn beneficial mutations are less 110 likely to fix (Kamran-Disfani and Agrawal 2014; Roze 2016). In 111 addition, as selection interference reduces the efficiency of selec-112 tion in low-recombining regions, high selfing rates also increase 113 the fixation of deleterious mutations through genetic hitchhiking 114 (Hartfield and Glémin 2014). These insights are together in line 115 with the low selection approximation that posits that reduction 116 in effective recombination decreases selection efficiency. 117

In the current paper, we aimed at investigating the dynamics of the response to selection of selfing populations evolving under High Drift-High Selection regime. Situated at the parameters boundaries of current models, this regime is of particular interest to understand the limits of adaptation and long-term survival of small selfing populations undergoing strong selection. We relied here on two Divergent Selection Experiment (DSEs) conducted

for 18 generations on Saclay plateau (Saclay DSEs). These Saclay DSEs are ideal settings to address those issues: selection-by-2 truncation has been applied in a higher organism (maize), on a highly polygenic and integrated trait (flowering time, (Buckler et al. 2009; Tenaillon et al. 2018)) that directly affects fitness. Previ-5 ous results indicate continuous phenotypic responses - values 6 of mutational heritability ranged from 0.013 to 0.025 - sustained 7 by a constant mutational input (Durand et al. 2010, 2012, 2015). 8 We asked two main questions: How do mutations arise, spread, 9 10 and reach fixation in populations evolving under HDHS? How does the interplay between drift and selection influence the re-11 sponse to selection? To answer those questions, we confronted 12 the observed phenotypic response in Saclay DSEs to forward 13 individual-based simulations that explicitly modeled the same 14 selection (selection of 1% of the most extreme) and demographic 15 scheme, and used theoretical predictions to measure deviations 16 from expectations. 17

Materials and Methods 18

Plant material and historical field evaluation 19

We have conducted two independent divergent selection exper-20 iments (Saclay DSEs) for flowering time from two commercial 21 maize inbred lines, F252 and MBS847 (thereafter MBS). These 22 experiments were held in the field at Université Paris-Saclay 23 (Gif-sur-Yvette, France). The selection procedure is detailed in 24 Fig. S1 and Durand et al. (2010). Briefly, within each Saclay 25 DSE, the ten earliest (resp. ten latest) flowering individuals were 26 selfed at each generation to produce each 100 offspring used 27 for the next generation of selection within the Early (resp. Late) 28 populations. Within each population, we evaluated offspring 29 of a given progenitor in four rows of 25 plants randomly dis-30 tributed in a four-block design, so that each block contained 10 31 rows. We applied a truncation selection of 10/1000=1%. We con-32 ditioned selection on the maintenance of two families, i.e. two 33 sub-pedigrees derived from two separate G_0 ancestors. Thus, 34 each family was composed of three to seven individuals at each 35 generation with the additional condition that at least two differ-36 ent G_{n-1} progenitors were represented. Furthermore we applied 37 a two-steps selection procedure, so that among the 100 offspring, 38 we recorded the flowering time of 12 individuals per family, *i.e.* 39 24 per population. To ensure the maintenance through time of 40 minimal fitness, we selected among the 24 earliest (resp. latest) 41 individuals, the 10 earliest (resp. latest) individuals with the 42 highest kernel weight. Seeds from selected progenitors at all 43 generations were stored in cold chambers. 44

We traced back the F252 and MBS pedigrees from generation 45 20 (G_2 0) to the start of the divergent selection experiments, G_0 . 46 The initial MBS pedigrees encompassed four families: ME1 and 47 ME2 for the MBS Early (ME) population, and ML1 and ML2 48 for the MBS Late (ML) population (Fig. S2. F252 Early (FE) 49 population was composed of FE1 and FE2 families (Fig. S2). F252 50 Late populations genealogies were more complex: FVL families 51 (F252 Very Late in Durand et al. (2015)) ended at generation 52 14 with the fixation of a strong effect allele at the *eIF*-4A gene 53 (Durand et al. 2015). To maintain two families in F252 Late 54 55 population, two families FL2.1 and FL2.2 were further derived from the initial FL2. These two families pedigrees are rooted in 56 FL2 from a single G_3 progenitor (Fig. S2). 57

Phenotypic evaluation and observed selection response analysis

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The same approach as Durand et al. (2015) was applied. Briefly, 60 progenitor flowering dates, measured here as the number of 61 days to flowering after sowing equivalent to 20°C days of de-62 velopment (Parent et al. 2010), were recorded as the 12 earliest 63 or latest plants in their progeny at each generation of the Saclay 64 DSEs. We used these records to investigate the response to se-65 lection treating each family independently. After correction for 66 block effects, and year effects according to equation (1) of Du-67 rand *et al.* (2015), the linear component b_{ik} of the within-family response to selection was estimated using the following linear 69 model: 70

$$Y_{ijklm} = \mu_0 + b_{jk} \times \text{gener}_j + \varepsilon_{ijklm} \tag{1}$$

where μ_0 is the intercept corresponding to the average flowering time at generation G_0 , *i* stands for the year and corresponding generation of selection, *j* for the population, *k* for the family within population, *l* for progenitor within family, and *m* for the plant measurements within progenitor.

Family means and standard errors were computed at each generation to represent families selection responses presented Fig. 1 (a). All the values were centered around 100 for comparison purposes with the simulated responses.

Model framework

We used forward individual-based simulations that explicitly 81 modeled the same selection - proportion of selected individu-82 als=1% — and demographic scheme — variations in population 83 size — as Saclay DSEs. This regime is referred to High-Drift 84 High Selection (HDHS). Initial G_0 simulation: We obtained our 85 initial population by mimicking a classical selection scheme used 86 to produce fixed maize inbred lines in industry. To do so, we 87 started from an heterozygous individual that was selfed for eight 88 generations in a single-seed descent design. An additional gen-89 eration of selfing produced 60 offspring that were reproduced 90 in panmixia for two generations to constitute the 60 individuals 91 of the G_0 initial population. Therefore, we started our simula-92 tions with a small initial residual heterozygosity ($\leq 0.5\%$). G_1 93 simulation: Considering one Saclay DSE, we selected from the 94 initial population (60 individuals), the two earliest and the two 95 latest flowering parents on the basis of their average phenotypic 96 value measured over 12 offspring. Each of these individuals 97 constituted the ancestor of each of the four families. They were 98 selfed to produce 100 offspring. Subsequent generations n: 99 From there, we simulated the exact same selection scheme that 100 included a two-steps procedure (Fig. S1). First, we selected the 101 12 earliest (within each early family) and the 12 latest individuals 102 (within each late family) from the 100 offspring of each parent. 103 We next selected the five earliest (within each early family) and 104 five latest (within each late family). In other words, at each step 105 we retained 5 out of 500 individuals within each of the four 106 families. Note that we imposed that the five selected individuals 107 did not share the same parent. 108

Simulated genetic and phenotypic values

Because maize flowering time is a highly polygenic trait (Buckler 110 et al. 2009; Tenaillon et al. 2018), we imposed the haploid number 111 of loci L = 1000. The genome of one individual was composed 112 of 10 chromosomes. In each simulation: (i) we randomly as-113 signed each locus to a chromosome so that genome composition 114 varied from one simulation to another; (ii) the position of each 115

locus within each chromosome was uniformly drawn between 0 and 1.5, 1.5 Morgan being the total genetic length of each chro-2 mosome; (iii) the crossing-over positions along chromosomes 3 were drawn in an exponential law of parameter 1, which corre-4 sponded to an effective crossing-over every Morgan. The initial 5 population (G_0) consisted of 60 individuals polymorphic for a 6 small fraction of loci (residual heterozygosity). Let G_{q}^{t} be the 7 genotype of the individual *i* of the generation *g*. Let $a_l^{f(i,g)}$ the allelic effect at the locus *l* of the paternal chromosome *f* of the 8 9 individual *i* at the generation *g* and $a_1^{m(i,g)}$ the allelic effect at 10 locus l of maternal chromosome m of individual i at generation 11 g. This allows us to model the genotype of an individual as : 12

$$G_{g}^{i} = [(a_{1}^{f(i,g)}, a_{2}^{f(i,g)}, ..., a_{l}^{f(i,g)}, ..., a_{L}^{f(i,g)}), (a_{1}^{m(i,g)}, a_{2}^{m(i,g)}, ..., a_{l}^{m(i,g)}, ..., a_{L}^{m(i,g)})]$$
(2)

The initial allelic effects were drawn in an reflected exponen-tial distribution, that is to say :

$$\forall l \land \forall (f(i,g) \lor m(i,g)), a_l \sim \text{Reflected} \exp(\lambda)$$
 (3)

¹⁵ Hence the probability density:

$$f(a_l,\lambda) = \frac{1}{2}\lambda e^{-|\lambda a_l|} \tag{4}$$

16 which implied that:

$$\mathbb{E}[a_l] = 0 \text{ and } \mathbb{V}[a_l] = \frac{2}{\lambda^2}$$
(5)

17 Starting from a hybrid heterozygote at all loci, we showed

that after *g* generations of selfing and two generations of bulk,
 for *L* loci without linkage disequilibrium or mutation, we expected:

$$\mathbb{E}(\sigma_{A_0}^2 = \sigma_{g+2}^2) = \mathbb{E}(\sigma_g^2) = \frac{1}{2^g} \times L \times \frac{2}{\lambda^2}$$
(6)

Therefore, to match the field estimation $\widehat{\sigma_{A_0}^2}$, one could let

$$\lambda = \sqrt{2L\frac{1}{2^g}\frac{1}{\widehat{\sigma_{A_0}^2}}}.$$
(7)

However, drift, linkage disequilibrium and mutation can lead to deviations from the expected value of the initial genetic variance. We therefore recalibrated all the allelic effects at generation 0 to match the initial $\widehat{\sigma_{A_0}^2}$ additive variance. To do so, we multiplied by a corrective factor $k = \sqrt{\frac{\sigma_{A_0}^2}{\mathbb{V}(A_0)}}$, where $\mathbb{V}(A_0)$ was the additive variance of our population G_0 , calculated in multiallelic as $2 \times \sum_{i=1}^{L} p_i \alpha_i^2$ with p_i the frequency of the allele i and α_i its

effect. So at G_0 , $\mathbb{V}(A_0) = \sigma_{A_0}^2$. Mutations occurred at each reproduction event. We drew the number of mutation per locus in a Poisson distribution of mean $L \times \mu$ where μ was the mutation rate per locus. We drew the effect of a mutation at a locus in a reflected exponential distribution of parameter $\lambda_{mut} = 2\sqrt{\frac{L\mu}{\sigma_M^2}}$. We computed phenotypic values as the sum of all allelic effects (100 × 2) plus an environ-

mental effect randomly drawn in a normal distribution of mean of and variance σ_E^2 .

Selection and drift regimes

As a control, we considered a neutral model without selection (the No Selection regime, NS) where the same selection scheme as in regime with selection was applied, but individual genotypic values were drawn in a normal distribution of mean 100 and variance σ_E^2 , independently of the previous progenitors. In other words, we attributed as phenotypic values non-heritable environmental values. Genotypes were recorded for mutationtracking purpose only.

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In addition, we considered an alternative drift regime, where we increased the census population size by a factor 10, *ceteris paribus*, thereafter referred as the Low Drift regime (LD). Under this regime, we selected in each Saclay DSE the fifty earliest/latest individuals within early/late families out of five thousand individuals (instead of five hundred).

We performed 2000 simulations for each of the four families in each of the four regimes.

Parameter calibration

Clark *et al.* (2005) estimated the nucleotidic substitution rate to be in the range of 30×10^{-9} . We estimated roughly the mean mRNA length from maize reference genome V4 (Jiao *et al.* 2017) to be equal to 6000 (median=5197, mean=7314). Hence we used a mutation rate per loci of: $\mu = 6000 \times 30 \times 10^{-9} = 1.8 \times 10^{-4}$. Other variance parameters were chosen such that the HDHS simulated cumulative response falls in the same range of values as the MBS observed one. To account for some flexibility in these variance parameters, we drew for each simulation the variance in an inverse-gamma distribution prior. More precisely,

$$\begin{split} \sigma_E^2 &\sim \Gamma^{-1}(17.6700, 37.5075) \implies \mathbb{E}(\sigma_E^2) = 2.25, \mathbb{V}(\sigma_E^2) = 0.32 \\ \sigma_{A_0}^2 &\sim \Gamma^{-1}(3.540, 5.715)) \implies \mathbb{E}(\sigma_{A_0}^2) = 2.25, \mathbb{V}(\sigma_{A_0}^2) = 3.28 \\ \sigma_M^2 &\sim \Gamma^{-1}(17.67, 0.5626125) \implies \mathbb{E}(\sigma_M^2) = 3.38 \times 10^{-2} \\ \mathbb{V}(\sigma_M^2) = 7.27 \times 10^{-5} \end{split}$$

Expected response, effective population size and time to the most recent common ancestor

We computed the expected cumulative response after *t* generations for haploid population as (Hill 1982b; Wei *et al.* 1996; Weber and Diggins 1990; Walsh and Lynch 2018):

$$R(t) \approx N_e \frac{i}{\sigma_P} \left[t \sigma_m^2 + \left(1 - e^{-\frac{t}{N_e}} \right) \left(\sigma_A^2(0) - N_e \sigma_m^2 \right) \right]$$
(8)

The effective population was the only parameter not explicitly defined in our simulations and is of crucial importance in the response to selection. We estimated N_e following two approaches. First using the Time to the Most Recent Common Ancestor (TMRCA) from the standard coalescence theory for a haploid sample of size *k* at generation *g* (Walsh and Lynch 2018): 76

$$E(TMRCA_g) = 2N_{e(g)}^{Coal} \times (1 - \frac{1}{k})$$
(9)

Second, from the variance in offspring number Crow and Kimura (1971); Durand *et al.* (2010), where N_e can be computed as

$$N_{e(g)}^{Var(o)} = \frac{N-1}{\text{Var}_{(g)}(\text{OffspringNumber})}$$
(10)

In the simulations, $N_{e(g)}^{Coal}$ and $N_{e(g)}^{Var(o)}$ were computed at generation G_{20} . We also computed the harmonic means between generations G_2 and G_{20} and computed the whole distribution (in $2N_e$ generations) of the Kingman coalescent TMRCA as (Tavaré 1984):

$$f_{\text{TMRCA}}(t) = \sum_{i=2}^{n} \frac{(2i-1)(-1)^{i}(n(n-1)\dots(n-i+1))}{n(n+1)\dots(n+i-1)} {i \choose 2} e^{-{i \choose 2}t}$$
(11)

6 Fitness function and Kimura's expected fixed mutational DFE

7 Using diffusion equations, Kimura (Kimura 1962) predicts the

⁸ fixation probability of a mutation of selective value *s* and initial

⁹ frequency *p*:

$$P_{fix}(s(a), p, N_e) = \frac{1 - e^{-4s(a)pN_e}}{1 - e^{-4s(a)N_e}}.$$
(12)

We considered a mutation occurring during meiosis in one 10 plant among the 500 of a family observed at a given generation. 11 When occurring, its effect on the phenotypic variance is negli-12 gible. Therefore, initially this plant was selected independently 13 14 of the mutation. The 5 selected individuals comprised one heterozygote (Aa) bearing the mutation, and 4 homozygotes (aa). 15 Each selected individual produced 100 progenies, so that the fit-16 ness effect of the mutation was evaluated at the next generation 17 in a population of 500 plants where the frequency of the mutant 18 allele was p = 1/10 (Table 1). 19

Table 1 Fitness model

Genotype	AA	Aa	aa
Genotypic frequency	1/20	2/20	17/20
Fitness value	w_{AA}	w _{Aa}	w _{aa}
Additive mutational effect	$a_{AA} = 2a$	$a_{Aa} = a$	$a_{aa}=0$

In this population, the distribution of flowering time resulted
 from a mixture of gaussian distributions.

$$f(x) = \sum_{k} \Pi_k f_k(x) \tag{13}$$

where $f_k(x)$ is the flowering time distribution for plants with 22 genotype $k \in AA$, Aa, aa. As we selected 1% of the latest (resp. 23 earliest) flowering plants, all selected plants did flower after the 24 date *z*, computed as the 1% quantile of the mixture distribution. 25 The selection effect s(a) depended on the effect *a* of the muta-26 tion on flowering time (Table 1). Indeed, the relative weight 27 of homozygous mutants AA among selected individuals was 28 computed as: 29

$$w_{AA} = \frac{1 - F_{AA}(z)}{\sum_{k} 1 - F_{k}(z)}$$
(14)

Which leads to:

$$s(a) = \frac{F_{aa}(z) - F_{AA}(z)}{1 - F_{aa}(z)}$$
(15)

The fixation probability $P_{fix}(s(a), p, N_e)$ was computed as in (Eq. 12) using s(a) (Eq. 15), p = 1/10, and $N_{e(g)}^{Coal}$ for N_e . The mutational effect *a* was drawn in a reflected exponential distribution of parameter λ_{mut} and density function $g_{\lambda_{mut}}(a)$. ³³ Hence, the density of fixed mutations h(a) was computed as: ³⁴

$$h(a) = \frac{g_{\lambda_{mut}}(a)P_{fix}(s(a), p, N_e)}{\int g_{\lambda_{mut}}(x)P_{fix}(s(x), p, N_e)dx}.$$
(16)

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Moreover, we recorded the simulated a_{sim} of each fixed mutation and computed the realized distribution, using kernel estimation methods, $h_{obs}(a)$.

Results

In order to examine the evolution and fate of small selfing populations submitted to strong selection, we investigated the dynamics of the response to selection under a High Drift-High Selection (HDHS) regime imposed on two divergent artificial selection experiments for flowering time in maize (Saclay DSEs). We compared experimental data to results of a simulation model specifically devised to mimic our experiments; and further computed when possible expectations from population and quantitative genetics theory.

Empirical response after 20 generations of selection In line with 48 previous observations for the first 16 generations, we observed 49 significant responses (Fig. 1 a, Tab. 2a, 2b) to selection after 20 50 generations in all families. Marked differences among families 51 nevertheless characterized these responses. This is well exempli-52 fied in the Late F252 families where one family (FVL) responded 53 very strongly with a mean shift of 11.32 Days to Flowering (DTF) 54 after 13 generations, corresponding to a linear regression co-55 efficient of 0.86 DTF/generation (Tab. 2a). This family fixed a 56 deleterious allele at G₁₃ and could not be maintained further 57 (Durand *et al.* 2012). We examined two derived families from G_{11} , 58 the FL2.1 and FL2.2. These families were shifted by 3.19 DTF 59 and 2.60 DTF from the G_0 FL2 mean value for FL2.1 and FL2.2, 60 respectively. These corresponded to a linear regression coeffi-61 cient of 0.11 DTF/generation for FL2.1 and 0.12 DTF/generation 62 for FL2.2 (Tab. 2a). The selection response were more consistent 63 for the two Early F252 families, with a shift after 20 generations 64 of -4.27 DTF for FE1, and a shift of -5.34 DTF for FE2 (Tab. 2a). 65 Considering MBS genetic background, the late (resp. early) MBS 66 families were shifted by 8.64 DTF for ML1, and 11.05 DTF for 67 ML2 (resp. -9.34 DTF for ME1 and -11.72 DTF for ME2), with 68 linear regression coefficient of 0.24 DTF/generation for ML1, 69 and 0.46 DTF/generation for ML2 (resp. -0.41 DTF/generation 70 for ME1 and -0.42 DTF/generation for ME2) DTF (Tab. 2b). 71

Simulation model validation We used simulations both to vali-72 date our model and to explore two drift intensities, High and 73 Low. We used corresponding negative controls with No Selec-74 tion (NS) which lead to four regimes: High Drift-High Selection 75 (HDHS, the default regime), High Drift-No Selection (HDNS), 76 Low Drift-High Selection (LDHS) and Low Drift-No Selection 77 (LDNS). In order to validate the parametrization of our model, 78 we compared the observed MBS response in all families to the 79 simulated selection responses. Because of the symmetry in the 80 model construction and for simplicity, simulated results are de-81 scribed for late populations only. Considering the HDHS regime, 82 we recovered a simulated response with a mean genetic gain of 83 0.49 DTF/generation (Fig. 1, Tab. 2c). Starting from a mean geno-84 typic value of 100 DTF, the mean genotypic value was shifted by 85 13.0 DTF (SD: 5.2) after 20 generations. Our simulated response 86 therefore closely matched the observed response indicating an 87 accurate parametrization of our simulation model (Fig. 1. We

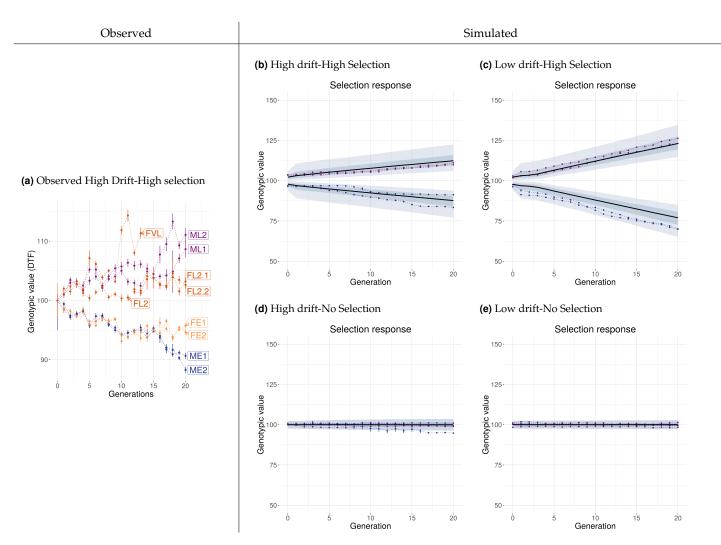


Figure 1 Observed and simulated selection response. Selection response is visualized by the evolution of the mean genotypic values of the selected progenitors per family (expressed in Days To Flowering, DTF) across generations based on observed (a) and simulated (b-e) data. In (a), red (resp. orange) corresponds to late (resp. early) flowering F252 families, while violet (resp. blue) corresponds to late (resp. early) flowering MBS families. All families were centered around 100, and Vertical bars correspond to \pm 1 genotypic standard error around the mean. We simulated four regimes with the parameters calibrated from the MBS observed response: High Drift-High Selection (b), Low Drift-High Selection (c), High Drift-No Selection (d), Low Drift-No Selection (e). Violet (resp. blue) color identifies late (resp. early) population. In each population, the black line represents the evolution of the median value over 2000 simulations of the family genotypic mean. The shaded area corresponds to the 5th-95th percentiles (light blue) and to the 25th-75th percentiles (dark blue). In addition, two randomly chosen simulations are shown with dotted lines

formally tested the significance of our simulated response by 1 comparing the linear response under HDHS to that obtained 2 under HDNS. We were able to reject the null hypothesis of no 3 selection response in 96.4% of the simulations under HDHS 4 (P-value<0.05). 5

To investigate the impact of a ten-fold increase of the 6 census population size on selection response, we contrasted 7 HDHS to LDHS. Just like for HDHS, we obtained a signif-8 icant response under LDHS with a mean genetic gain of 9 1.10 DTF/generation (Fig. 1, Tab. 2c). This gain was greater 10 than the +0.035 DTF/generation (SD: 0.035) obtained for the 11 LDNS control model, and we were able to reject the null hypoth-12 esis of no selection response in 100% of the simulations. The 13 gain under LDHS corresponded to a shift of +24 DTF (SD: 6.2), 14 which was substantially higher than that observed under HDHS. 15 16 Hence multiplying the census population size of HDHS by 10

(LDHS) resulted in roughly doubling the selection response.

In sum, we validated the accuracy of our model by showing that the simulated response closely matched the observed response. We further demonstrated that selection triggered the response in all populations under both low and High Drift. Finally, we confirmed our expectation that the selection response was higher in a Low Drift than in a High Drift regime.

Effective population size:

We estimated coalescent effective population sizes N_e from 25 the standard coalescence theory (Eq: (9)) using a Wright-Fisher 26 population of size 5 (HD) and 50 (LD) individuals. With 5 in-27 dividuals, we expected a theoretical coalescence time around 28 8 generations, and with 50 individuals, around 98 generations 29 (i.e. more than the number of simulated generations). Focusing 30 on the last generation, our simulations provided estimates of 31 mean G₂₀ TMRCA of 7.6 generations under neutrality (NS) for 32

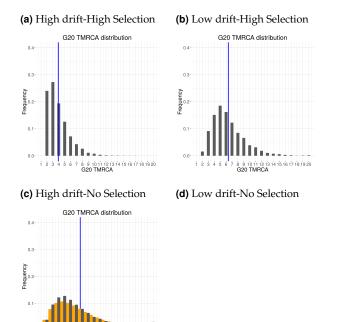


Figure 2 Frequency distribution of the Time to the Most Common Ancestor of progenitors constituting the last simulated generation. G_{20} TMRCA distribution (in grey) was obtained under HDHS (a), LDHS (b), HDNS (c) with mean TMRCA indicated as a blue vertical line. In (c), we plotted in gold the theoretical expectation of TMRCA distribution following Eq: (11). Note that under LDNS, theoretical expectations for TMRCA reached 98 generations, while our simulations were run for 20 generations. We therefore discarded the corresponding graph.

9 10 11 12 13 14 15 16 17 18 19 20 320 TMRCA

HD, closely matching the theoretical expectation of 8 (Tab. 2c). 1 Considering the LDNS simulations, theoretical expectations (98) 2 largely exceeded the number of generations (20). In contrary, 3 we found mean G₂₀ TMRCA of 3.9 under HDHS, and 6.4 under 4 LDHS. Fig. 2 shows the distribution of the TMRCA estimated 5 at G_{20} in the three regimes. Indeed, under HDNS, the distribution fits the expectation from Eq: (11). As compared to the neutral case, Fig. 2 also shows that both the high drift (HDHS) 8 and low drift (LDHS) selection cases lead to reduced TMRCA, 9 as expected. 10

We next assessed the impact of selection on N_e and compared 11 12 different estimates, either based on TMRCA (Eq: (9)), or on the variance in offspring number (Eq: (10)), or on the cumulated 13 response to selection (Eq: (8)). Values obtained are summarized 14 in Tab. 2c. We found that in the absence of selection, N_e esti-15 mated from the mean TMRCA were close to the actual number 16 of reproducing individuals (4.8 for HDNS and >10 for LDNS), 17 while they were much smaller under both selection regimes (2.5 18 for HDHS and 3.3 for LDHS). The observed differences between 19 $N_{e(20)}^{\text{Coal}}$ and the harmonic mean of $N_{e(g)}^{\text{Coal}}$ revealed a strong influ-20 ence from a pedigree perspective, of the first generation on the 21 adaptive dynamics. When N_e was estimated from the variance 22 in offspring number, estimations without selection (4.1 under 23 24 HDNS and 42 under LDNS) were close to the actual number of reproducing individuals, even for LDNS. Finally, Ne estimations 25 from the cumulated response to selection fell within the same 26

range as the ones from the variance in offspring number in both selection regimes. In summary, most N_e estimates were close to the actual number of reproducing individuals in the absence of selection. High selection strongly reduced N_e estimations, but merely in the low drift (LDHS) case. Indeed, N_e reduction due to selection is around 67%, depending on the estimations in the LDHS regime, while it is only around 50% in the HDHS regime.

Stochasticity in the response to selection: We addressed the qual-34 itative nature of selection response focusing on its linearity. To 35 do so, we measured in each family the average genetic gain per 36 generation over 2000 simulations by fitting a linear regression 37 model. The average genetic gain was 0.49 DTF/generation un-38 der HDHS, and 1.1 DTF/generation under LDHS (Tab. 2c). Asso-39 ciated $R^2 > 0.95$ indicated an accurate fit of the data to the linear 40 model. Yet, large standard deviations around these estimates 41 (0.2 and 0.27 for HDHS and LDHS, respectively) pointed either 42 to high stochasticity or a non-linear response. Single simulations 43 indicated non-linear response Fig. S3. Noteworthy, a strong re-44 sponse was observed between G_0 and G_1 (G_0G_{20} Fig. S3) with 45 similar values in HDHS and LDHS, around 1.6 DTF/generation 46 (Tab. 2c). Subsequently, simulations displayed discontinuities 47 with abrupt changes of slopes at some generations, a signal com-48 patible with the fixation of new mutations (Fig. S3). In order to 49 characterize such discontinuities, we fitted a linear segmentation 50 regression on individual simulations from G_1 and onwards. We 51 estimated the number of breakpoints (i.e. slope changes), the 52 corresponding slopes, and the first and greatest slope based on 53 AIC minimization (Durand et al. 2010). The first slope described 54 an average gain of 0.59 DTF/generation in the HDHS regime, 55 and almost twice (0.96 DTF/generation) in the LDHS regime 56 (Tab. 2c). These values were lower than those observed in G_0G_{20} . 57

Those results are consistent with a G_0G_{20} response resulting 58 from the recruitment of initial genetic variance, independently 59 of the population size, and a later response based on mutational 60 variance being less effective in small than in large populations. 61 To confirm those results, we performed a principal component 62 analysis (PCA) and explored correlations between input param-63 eters: initial additive genetic variance $\sigma_{A_0}^2$, mutational variance 64 σ_M^2 and residual variance σ_E^2 , and descriptors of the response to 65 selection : G_0G_{20} , number of breakpoints, first slope and greatest 66 slope. In line with our interpretation, irrespective of the selection 67 regime, $\sigma_{A_0}^2$ positively correlated with G_0G_{20} , and σ_M^2 positively 68 correlated with the first (after G1) and greatest slope (Fig. S4). 69 Note that this stochastic process of mutation occurrence and fixa-70 tion resulted in large differences among replicates, as illustrated 71 by the breadth of the response (shaded areas in Fig. 1). 72

Evolution of genetic diversity: Because of the well established 73 role of standing variation in selection response, we focused on 74 its temporal dynamics. Standing variation in our experiment 75 consisted in residual heterozygosity found in the initial inbred 76 lines. Starting with a mean residual heterozygosity of 3.0×10^{-3} 77 at G_0 (Tab. 2c), we observed a consistent decrease throughout 78 selfing generations until the mutation-drift-equilibrium was 79 reached (Fig. S5). Without selection the mean values reached 80 $\approx 7.0 \times 10^{-4}$ at $G_{20}.$ Considering a haploid Whright-Fisher pop-81 ulation and the infinite allele model, the neutral prediction of 82 equilibrium heterozygosity is $E(H) = \frac{\theta}{1+\theta}$ with $\theta = 2N_e\mu$. This 83 translated into an estimate of $N_e = 1.94$ close to the values we 84 obtained from the harmonic mean of N_e estimated from TMRCA 85 (Tab. 2c). 86

Concerning the number of polymorphic loci, a mutation-

drift-equilibrium was reached in all cases except for the LDNS
selection regime (Fig. S6). The equilibrium value depended on
the census population size: around 6 polymorphic loci with high
drift (HDHS and HDNS), 40 polymorphic loci under LDHS, and
> 66 polymorphic loci after 20 generations under LDNS (Tab. 2c
(c) and Fig. S6). Altogether, our results show that the mean
heterozygosity was affected neither by drift, nor by selection,
but instead by the mutation rate. On the contrary, the number of

⁹ polymorphic loci depended on the census population size.

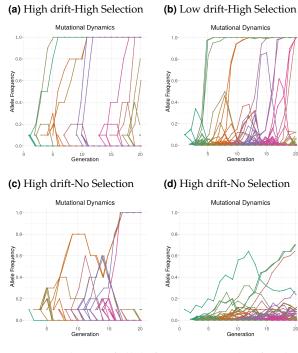
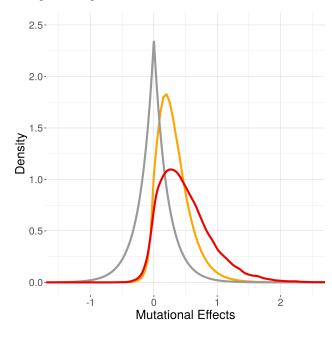


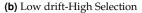
Figure 3 Evolution of allele frequencies within families under four simulated regimes. Examples of mutational fates are given for HDHS (a), LDHS (b), HDNS (c), LDNS (d). Mutations are recorded only when occurring in one of the selected progenitors, and corresponding frequencies are computed over all selected individuals. For example under High Drift regimes, the initial frequency of a mutation occurring in any given progenitor within a family is $1 \div (2 \times 5)$ as 5 diploid individuals are selected at each generation. Under Lower Drift regimes, the mutation initial frequency equals $1 \div (2 \times 50)$.

The dynamics of de novo mutations: Evolution of frequencies 10 of new mutations revealed three fates: fixation, loss, and rare 11 replacement by incoming mutation at the same locus. The four 12 regimes strikingly differed in their mutational dynamics (Fig. 3). 13 Under HDHS, most mutations quickly reached fixation (3.8 gen-14 erations), with an average of 7.7 fixed mutations/population in 15 20 generations (Tab. 2c). The corresponding Low Drift regime 16 (LDHS) displayed longer fixation time 5.9 generations, and an 17 average of 10 fixed mutations/family (Tab. 2c). No selection 18 regimes tended to exhibit a depleted number of fixed mutations, 19 with no fixation under LDNS after 20 generations. Variation 20 around the mean fixation time was substantial across all regimes 21 Fig. S7. In sum, HDHS was characterized by the fast fixation 22 of new mutations, 53% of which were fixed within 2 to 3 gen-23 erations which contrasted to 15% under LDHS or 17% under 24 HDNS. Selection therefore increased the number of fixed muta-25

tions while decreasing their fixation time. Effects of mutations:

(a) High drift-High Selection





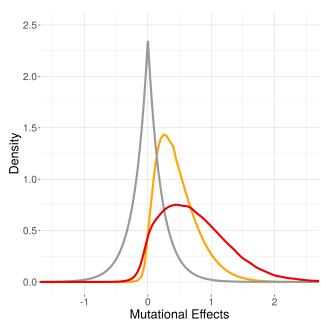


Figure 4 Distribution of effects of incoming and fixed mutations under High Selection regimes. Density distributions for the HDHS (a) and the LDHS (b) regime are shown for all incoming mutational effects in grey — reflected exponential distribution —, and fixed mutations over 2000 simulations in red. Theoretical expectations from (Eq: 16) are plotted in gold.

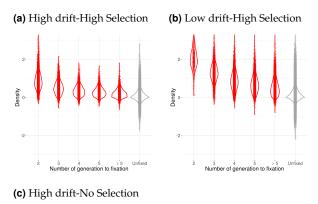
Beyond fixation time, a key aspect of our work was to investigate the impact of drift and selection on the type of fixed mutations, best summarized by their genotypic effects. In order

to do so, we compared the distribution of incoming mutations to that of fixed mutations. We evidenced a strong depletion of 2 deleterious mutations together with a striking enrichment in beneficial mutations under the two Selection regimes, HDHS (quantile 5%=-0.02, median value=0.43, 95% quantile=1.3) and 5 LDHS (quantile 5%=0.011, median value=0.66, 95% quantile=1.7) (Fig: 4). We also derived a theoretical expectation from Kimura's 7 allele fixation probability using the selection coefficient com-8 puted in the case of truncation selection (Eq: 16). Accounting for 9 10 the specificities of our selection procedure we found under both selection regimes, a slight excess of detrimental mutations, and 11 a large excess of beneficial mutations as compared to Kimura's 12 predictions. Note however that, comparatively, the excess of 13 detrimental mutations was reduced under HDHS than under 14 LDHS (Fig: 4). 15

As expected, selection generated a relation between the av-16 erage size of a mutation and its time to fixation : the higher the 17 effect of the mutation, the lower the time to fixation (Fig. 5 (a) 18 and Fig. 5 (b)). Comparison between HDHS and LDHS re-19 vealed interesting features: under high drift, the average effect 20 of mutations fixed was lower and variance around mutational 21 effects tended to decrease correlatively with fixation time so that 22 large size mutations were all fixed during the first generation 23 while they persisted at subsequent generations under Low Drift 24 (Fig. 5 (a) and Fig. 5 (b)). 25

In sum, our two selection regimes lead to an enrichment of
 beneficial mutations. Compared with LDHS, HDHS regime
 fixed fewer detrimental mutations but the average effect of fixed

²⁹ beneficial mutations was smaller.



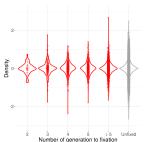


Figure 5 Violin plots of raw mutational effects according to fixation time under three simulated regimes. Plots are indicated for fixed (red) and lost (grey) mutations under HDHS (a), LDHS (b) and HDNS (c). Note that under LDNS, we obtained very few fixed mutations so that we were unable to draw the corresponding distribution.

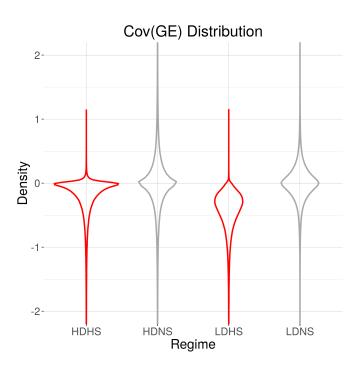


Figure 6 Violin plots of $Cov(G_{|selected}, E_{|selected})$ under four simulated regimes. Violin plots were computed over 2000 simulations and 4 families, four families and across all generations under regimes with High Selection in red (HDHS, LDHS), and regimes with No Selection in grey (HDNS, LDNS).

Covariation between mutational and environmental effects A puz-30 zling observation was that normalizing raw mutational effects 31 by the environmental standard deviation translated into a dis-32 tortion of the distribution so that the median value of fixed 33 effects increased by 0.3 (from 0.4 to 0.72) under HDHS and by 34 0.2 under LDHS (Tab. 2 and Fig. S8). Similarly, 95% quantile 35 increased by 1.2 (from 1.3 to 2.5) under HDHS and 0.66 (from 1.7 to 2.4) under LDHS. Hence, normalization distortion resulted 37 in much more similar fixed mutations effects distribution un-38 der HDHS and HDNS. This was due to a non-zero negative 39 genetic-environment covariance in selected individuals. Indeed, 40 conditioning on the subset of selected individual, we obtained 41 negative estimate of $Cov(G_{|selected}, E_{|selected})$ both under HDHS 42 and LDHS, with a median value (resp. 5% and 95% quantile) of -43 0.11 (resp. -0.88 and 0.029) under HDHS and -0.37 (resp. -1.1 and 44 -0.072) under LDHS. In contrast, with no selection, values of ran-45 domly chosen individual $Cov(G_{|random}, E_{|random})$ were centered 46 around 0 as expected. The evolution of $Cov(G_{|selected}, E_{|selected})$ 47 through time (Fig. S9) evidenced a high stochasticity among 48 generations but no temporal autocorrelation Fig. 59. In other 49 words, this means that because of the negative correlation be-50 tween residual environmental effects and genetic effects induced 51 by selection, mutational effects depend on the environment at 52 the generation they have been selected for. 53

Discussion

Population and quantitative genetics provide theoretical frameworks to investigate selection responses and underlying multilocus adaptive dynamics. Here, we focused on Saclay DSEs which were specifically designed to depict the evolutionary mechanisms behind the response to selection of a highly complex trait

Table 2 Descriptive statistics of the selection response dynamics in observed F252 genetic background (a), observed MBS genetic background (b) and the 4 simulated regimes (c).

(a) HDHS observed in F252 genetic background

F252 families:	FE1	FE2	FVL (G13)	FL2.1	FL2.2
Cumul. Resp. in DTF	-4.27	-5.34	11.32	3.19	2.60
Linear Regression Coefficient (SD)	-0.21 (0.048)	-0.22 (0.037)	0.86 (0.17)	0.11 (0.04)	0.12 (0.035
Adjusted R-squared	0.49	0.63	0.65	0.23	0.34
Linear regression p-value	0.000269	1.074 e-05	0.000305	0.016	0.00353
) HDHS observed in MBS genetic background					
MBS families:	ME1	ME2	ML1		ML2
Cumul. Resp. in DTF	-9.34	-11.72	8.64		11.05
Linear Regression Coefficient (SD)	-0.41 (0.03)	-0.42 (0.04)		0.05)	0.46 (0.06)
0				0.00)	
Adjusted R-squared	0.89	0.84	0.57		0.76
Linear regression p-value	1.01 e-10	3.52 e-09	4.46 e	-05	1.56 e-07
c) Simulated regimes ^a					
Simulated regimes:	HDHS	HDNS	LDHS	3	LDNS
Simulated Cumul. Resp.	13 (5.2)	1.7 (1.7)	24 (6.2	2)	1.3 (1.3)
Linear Regression Coefficient (SD)	0.49 (0.2)	0.067 (0.06	,	,	0.035 (0.035)
R2 Linear Response	0.95	0.47	0.99	,	0.44
$G_0 G_{20}$ Response (SD)	1.6 (1.9)	0.26 (0.38)	1.7 (2.	.1)	0.3 (0.42)
First Slope (SD)	0.59 (0.52)	0.2 (0.3)	0.96 (0.067 (0.091)
Greatest Slope (SD)	0.56 (0.49)	0.16 (0.24)	1 (0.4	,	0.08 (0.098)
G ₂₀ TMRCA (SD)	3.9 (1.9)	7.6 (4.3)	6.4 (2.		> 20 (0.098) b
N ^{Coal} (Ne from G ₂₀ TMRCA) (SD)	2.5 (1.2)	4.8 (2.7)	3.3 (1.		> 10 (0.05) b
$N_{e(G1-20)}^{Coal}$ Ne (Harmonic Ne from all TMRCA) (SD)	1.8 (0.22)	2.5 (0.37)	2 (0.22	2)	2.8 (0.00096)
$N_{e(G1-20)}^{Var(o)}$ (Harmonic Ne from Var Off) (SD)	3 (0.44)	4.1 (0.6)	16 (3)		42 (4.6)
Ne required for the Simulated Cumul. Resp. (SD)	3.3 (2.0)	0.4 (0.4) 9.0 (21.1)		1.1)	0.3 (0.3)
Heterozygosity at G_0 (SD)	0.003 (0.003)		0.003 (0.004) 0.003 (0.0		0.003 (0.004)
Heterozygosity at G_{20} (SD)	0.00083	0.00073	0.0008	. ,	0.00072
$\frac{1}{2} \left(\frac{1}{2} \right)^{2} \left($	(0.00047)	(0.00043)	(0.000		(0.00014)
Number Of Polymorphism at G_0 (SD)	3 (3)	3.2 (4.1)	3.1 (3.	· ·	3.3 (4.1)
Number Of Polymorphism at G_{20} (SD)	5.1 (2.5)	6 (3.1)	40 (8.3		66 (9.2)
Simulation Fraction Without Any Fixed Mutation	0	0.0025	0	,	0.999 c
Fixation Time in generations (SD)	3.8 (1.7)	7.2 (3)	5.9 (2.	.3)	NA ^c
Number Of Fixed Mutation Per Family (SD)	7.7 (2.6)	2.3 (1.4)	10 (3)	- /	NA ^c
Q5 Fixed Mut. effect (Non Normalized)	-0.019	-0.5	0.011		NA ^c
Q50 Fixed Mut. effect (Non Normalized)	0.43	-0.00086	0.66		NA ^c
Q95 Fixed Mut. effect (Non Normalized)	1.3	0.5	1.7		NA ^c
Q5 Fixed Mut. effect (Normalized)	-0.034	-0.44	0.015		NA ^c
Q50 Fixed Mut. effect (Normalized)	0.72	-0.00054	0.86		NA ^c
Q95 Fixed Mut. effect (Normalized)	2.5	0.42	2.4		NA ^c
CovGE (SD)	-0.23 (0.35)	-0.0035 (0.6		(0.34)	-0.0011 (0.36)
Q5 CovGE	-0.88	-0.87	-1.1	(-0.5
Q50 CovGE	-0.11	0	-0.37		-0.00017
Q95 CovGE	0.029	0.86	-0.072	,	0.49

^{*a*} All values are computed as the mean (resp. SD or quantile, when indicated) over 2000 simulations.

^{*b*} Under LDNS, we expected a neutral coalescent time around 98 generations well beyond the 20 simulated generations, which provided highly biased G_{20} TMRCA and N_e estimators.

^c Under LDNS, we obtained very few fixed mutation so that we were unable to compute the corresponding statistics.

 with a high mutational target — in small populations evolving under truncation selection (1% of selected individual), lim-2 ited recombination (total selfing regime) and limited standing variation. Our main motivation was to explore how such a combination of unusual conditions, at the limits of parameters 5 boundaries of classic models, can sustain the long-term main-6 tenance of additive genetic variation and a significant selection 7 response with no observed load (annual field observations). In 8 this purpose we devised forward individual-based simulations 9 10 that explicitly modeled our Saclay DSEs, and relied on theoretical predictions to investigate the interplay of evolutionary forces 11 and patterns associated with fixation of mutations. 12

Mutation accounts for the maintenance of small but sufficient addi-13 tive genetic variation The three determinants of the observed 14 selection response were best summarized by three variance com-15 ponents namely, the initial additive variance σ_{A0}^2 , the environ-16 mental variance σ_E^2), and the mutational variance σ_M^2 (Fig: S4). 17 Quantitatively, we demonstrated the importance of both initial 18 standing variation and the necessity of a constant mutational 19 input to explain the significant selection response in the two 20 Saclay DSEs (Fig: 1 & S4). This result was consistent with pre-21 vious reports (Durand et al. 2010, 2015) and showed that the 22 first selection response between G_0 and G_1 was correlated with 23 $\sigma_{A0'}^2$ while response in subsequent generations was correlated 24 with σ_M^2 (Fig: S4). In our simulations, we chose initial values for 25 variance components that closely matched previous estimates 26 in the Saclay DSE derived from the MBS inbred line (Durand 27 et al. 2010). The small value for initial additive variance came 28 29 from the use of commercial inbred liens in our experimental evolution setting. It sharply contrasted with more traditional 30 settings where distant genetic material and crosses are often 31 performed to form an initial panmictic population from which 32 selection is applied (Kawecki et al. 2012). While crucial in the 33 first generation (Fig: S4), σ_{A0}^2 was quickly exhausted. The long-term selection response was sustained by $\mathbb{E}(\sigma_M^2) = 3.38 \times 10^{-2}$ 34 35 which corresponded to an expected mutational heritability of $\mathbb{E}(\frac{\sigma_M^2}{\sigma_E^2}) \approx \frac{\mathbb{E}(\sigma_M^2)}{\mathbb{E}(\sigma_E^2)} = 1.5 \times 10^{-2}$ (in units of residual variance per 36 37 generation). These values stand as higher bounds to what was 38 previously described in other species/complex traits (Keightley 39 2010; Walsh and Lynch 2018). 40

We further implemented an additive incremental mutation 41 model (Clayton and Robertson 1955; Kimura 1965; Walsh and 42 Lynch 2018). This model assumed non-limiting mutational in-43 puts, and has been shown to be particularly relevant in sys-44 tems where, just like ours, effective recombination is limited 45 (Charlesworth 1993; Walsh and Lynch 2018). Alternative non-46 additive model such as the House Of Cards (HoC) that sets 47 random allelic effect upon occurrence of a new allele (King-48 man 1978; Turelli 1984) — rather than adding effects incremen-49 tally — would have likely resulted in smaller estimate of σ_M^2 50 (Hodgins-Davis et al. 2015). Whether the incremental model or 51 the HoC or a combination of both such as the regression mu-52 tation model Zeng and Cockerham (1993) was better suited to 53 mimic our Saclay DSEs is an open question. However several 54 lines of evidence argue in favour of a non-limiting mutational 55 input in our setting. First, the architecture of maize flowering 56 time is dominated by a myriad of QTLs of small additive ef-57 fects (Buckler et al. 2009). Over 100 QTLs have been detected 58 59 across maize lines (Buckler et al. 2009), and over 1000 genes have been shown to be involved in its control in a diverse set 60 of landraces (Romero Navarro et al. 2017). Second, in Saclay 61

DSEs alone, transcriptomic analysis of apical meristem tissues 62 has detected 2,451 genes involved in the response to selection 63 between early and late genotypes, some of which being inter-64 connected within the complex gene network that determines the 65 timing of floral transition (Tenaillon et al. 2018). This suggests 66 that not only the number of loci is considerable, but also that 67 their connection within a network further enhances the number 68 of genetic combinations, and in turn, the associated phenotypic 69 landscape. The breadth of the mutational target is a key param-70 eter for adaptation (Höllinger et al. 2019). Together, our results 71 suggest that our large mutational target compensates for the 72 small population sizes, and triggers the long-term maintenance 73 of heterozygosity, and genetic diversity at the population level 74 after the selection-drift-mutation equilibrium is reached, i.e. af-75 ter three to five generations. Noteworthy the expected level of 76 heterozygosity in our controls (No Selection models, NS) cor-77 responded to neutral predictions (Crow and Maruyama 1971; 78 Kimura 1969). 79

Quick fixation of de novo mutations drive Saclay DSEs selection re-80 The observed fixation time of mutations without selecsponse 81 tion is expected under standard neutral theory. The Kingman 82 coalescent indeed predicts a TMRCA around 8 generations for a 83 population size of 5 which matched closely our observed value of 7.6 obtained under HDNS. With selection, instead, we ob-85 served a quick fixation of mutations in three to four generations 86 under HDHS. Likewise, the number of fixed mutation increased 87 from 2.3 in HDNS to 7.7 in HDHS (Tab. 2). Note that while one 88 would expect emerging patterns of hard sweeps following such 89 rapid mutation fixation, our selfing regime which translated 90 into small effective recombination likely limits considerably ge-91 netic hitchhiking footprints, so that such patterns may be hardly 92 detectable. 93

Short fixation times made the estimate of effective population 94 sizes challenging. We used two estimates of N_e to shed light on 95 different processes entailed in HDHS stochastic regime. These estimates were based on expected TMRCA and on the variance 97 in the number of offspring (Crow and Kimura 1971), respectively. 98 We found the latter to be greater than the former. This can be 99 explained by the fact that selection is known to substantially 100 decrease effective population on quantitative trait submitted to 101 continuous selection, because part of the selective advantage 102 of an individuals accumulates in offsprings over generations 103 (Santiago and Caballero 1995), and because selection on the phe-104 notypic value acts in parts on non-heritable variance (i.e., on 105 the environmental variance component of V_P (Chantepie and 106 Chevin 2020)). Note that estimate of N_e based on the known 107 genealogical structure allow to compute a "realized" estimate 108 that accounts for these effects. However, this is not without 109 drawback, as TMRCA were much shorter than expected, a re-110 sult consistent with the occurrence of multiple merging along 111 pedigrees, i.e. multiple individuals coalescing into a single pro-112 genitor. Multiple merger coalescence may actually be better 113 suited to describe rapid adaptation than the Kingman coalescent 114 (Neher 2013). 115

Both fixation time and probability depend on the selection 116 coefficient s and the initial frequency of the mutation in the 117 population. In our setting, conditioning on its appearance in the 118 subset of selected individuals, the initial frequency of a mutation 119 was 0.10, which was unusually high and translated into selection 120 and drift exerting greater control over mutations. Indeed, in 121 more traditional drift regimes, even when an allele is strongly 122 selected ($2N_e s \gg 1$), drift dominates at mutation occurrence, 123

i.e. with two absorbing states for allele frequency near zero and

² one (Walsh and Lynch 2018). In other words, in HDHS regime,

³ selection induced repeated population bottlenecks so that it can

⁴ not be decoupled from drift.

5 High stochasticity promotes the fixation of small effect mutations 6 Interplay between drift and selection promoted stochasticity in our setting, which manifested itself in various ways : (i) through the selection response, with different families exhibiting con-8 trasting behaviors, some responding very strongly and others 9 not, Fig. 1; (ii) through the dynamics of allele fixation (Fig. 2 & 10 3); and (iii) through the distribution of Cov(GE) Fig. 6. Stochasticity tightly depends on census population size (Hill 1982a,b). 12 Unexpectedly, however, we found a benefice to stochasticity 13 as illustrated by a bias towards the fixation of advantageous 14 mutations compared with the expectation (Fig. 4). Comparison 15 of the distributions of the mutational raw effects indicated that, 16 among advantageous mutations, a greater proportion of those 17 with small effects were fixed under the High Drift than under 18 19 the Low Drift regime (Fig. 4 (a) versus (b)). This result echoes those of Silander et al. (2007), who showed — using experimental 20 evolution with bacteriophage - that fitness declines down to 21 a plateau in populations where drift overpower selection. The 22 authors note: "If all mutations were of small effect, they should 23 be immune to selection in small populations. This was not ob-24 served; both deleterious and beneficial mutations were subject 25 to selective forces, even in the smallest of the populations." 26

What are the underlying mechanism behind this fixation bias? 27 We found a negative covariance between selected genotypes and 28 their corresponding environmental values, that modified the mu-29 tational effect to an apparent mutational effect perceived by the 30 environment. The negative Cov(GE) arose mechanically from 31 selection of two independent random variables, whatever the 32 sampling size as illustrated in Fig. S10 and Fig. S11. This effect 33 reminds the so-called Bulmer effect (Bulmer 1971), that causes 34 a reduction of genetic variance due to the effect of selection on 35 the covariance between unlinked loci. Interestingly, under the 36 High Drift regime, we observed a less negative Cov(GE) on 37 average than with a 10 times higher census size (Low Drift). This translated, after dividing by the environmental standard deviation, to a greater apparent effect of small mutations under 40 the High Drift regime. In other words, High Drift-High Selec-41 tion tends to magnify mutational effects from an environmental 42 perspective. In support of this explanation, normalization by the 43 environmental standard deviation actually erased the difference 44 45 between the two distributions of mutational effect (under low and High Drift, Fig. S8). Unlike the Bulmer effect however, this 46 one was restricted to the generation of mutation occurrence, but 47 favored long-term fixation of slightly advantageous mutations 48 by a transient increase of their frequency. Because of a significant 49 variance of Cov(GE), this effect on small effect mutation fixation 50 was mostly stochastic. Therefore, we interpreted the fixation 51 of a high proportion of slightly beneficial mutations, and their 52 significant contribution to selection response, by the less efficient 53 exploration of the initial distribution per simulation (increasing 54 their prevalence) but the stochastic "help" of a lesser negative 55 Cov(GE).56

Deficit of fixation of deleterious mutations suggests a limited cost of
selection As expected, we observed that selection decreased
the number of segregating polymorphic loci at equilibrium compared to regimes without selection (Tab. 2). Interestingly however, this effect was reduced for small population size. Under

High Drift, selection induced an average loss of a single poly-62 morphism at equilibrium (HDHS vs. HDNS, Tab. 2) while under 63 the Low Drift regime over 20 polymorphisms were lost (LDHS 64 vs. LDNS, Tab. 2). A similar trend was recovered at the mutation 65 fixation level where on average 7.7 mutations were fixed under 66 the High Drift-High Selection and only 10 under Low Drift-High 67 Selection. In other words, the 10-fold population increase did 68 not translate into a corresponding increase in the number of seg-69 regating and fixed mutations, as if there was a diminishing cost 70 with decreasing population size. Under High Drift (resp. Low 71 Drift), at each generation 500 (resp. 5000) offspring of 2×1000 72 loci were produced. Considering a mutation rate per locus of 73 $6000 \times 30 \times 10^{-9}$, (*i.e.* (Clark *et al.* 2005)), it translated into 180 74 mutations events (resp. 1800 mutations events). However most 75 mutations are lost as only mutations occurring in the subset of 76 selected individuals survive. The initial frequency of a mutation 77 in this subset, *i.e* of size 5 or 50, is $\frac{1}{10}$ under High Drift and $\frac{1}{100}$ 78 under Low Drift. In the former, the interplay between the ini-79 tial frequency and selection intensity allows a better retention 80 of beneficial mutations of small effect (Fig. 4) than in the latter. 81 Interestingly at equilibrium, we also observed a higher level of 82 residual heterozygosity with selection than without, irrespective 83 of population size, suggesting a small impact of selection in the 84 long-term heterozygosity maintenance. Overall, our High Drift-85 High Selection regime maintains a small, but sufficient number 86 of polymorphisms for the selection response to be significant. 87

Our selection response evidenced a deficit of fixation of dele-88 terious mutations and hence a modest genetic load (Fig. 4 and 89 S8). We identified three reasons behind this observation. Firstly, 90 in our design, the selection intensity of 1% was applied on the 91 trait. Hence, in contrast to the infinitesimal model for which a 92 high number of polymorphic loci are expected to individually 93 experience a small selection intensity, selection intensity was 94 "concentrated" here on a restricted number of loci, i.e. those for 95 which polymorphisms were segregating. Secondly, we applied 96 truncation selection whose efficiency has been demonstrated 97 (Crow and Kimura 1979). The authors noted: "It is shown, for 98 mutations affecting viability in Drosophila, that truncation selec-99 tion or reasonable departures therefrom can reduce the mutation 100 load greatly. This may be one way to reconcile the very high 101 mutation rate of such genes with a small mutation load." Thirdly, 102 the lack of interference between selected loci in our selection 103 regime may further diminish the selection cost (Hill and Robert-104 son 1966). Reduced interference in our system is indeed expected 105 from reduced initial diversity and quick fixation of de novo mu-106 tations. Whether natural selection proceeds through truncation 107 selection or Gaussian selection is still a matter of debate (Crow 108 and Kimura 1979). Measuring the impact of these two types 109 of selection on the genealogical structure of small populations 110 including on the prevalence of multiples mergers will be of great 111 interest to better predict their fate. 112

This under-representation of deleterious variant echoes with 113 empirical evidence that in crops, elite lines are impoverished in 114 deleterious variants compared to landraces owing to a recent 115 strong selection for yield increase (Gaut et al. 2015). Likewise, 116 no difference in terms of deleterious variant composition were 117 found between sunflower landraces and elite lines (Renaut and 118 Rieseberg 2015). Hence, while the dominant consensus is that the 119 domestication was accompanied by a genetic cost linked to the 120 combined effects of bottlenecks, limited effective recombination 121 reducing selection efficiency, and deleterious allele surfing by 122 rapid population expansion (Moyers et al. 2018), recent breeding 123

highlights a distinct pattern. We argue that our results may help

- to understand this difference because under High Drift-High 2
- Selection, a regime likely prevalent in modern breeding, genetic 3
- load is reduced. More generally, our results may provide useful
- hints to explain the evolutionary potential of selfing populations 5
- located at the range margins. Just like ours, such populations are 6
- generally small, display both, inbreeding and reduced reduced 7
- standing variation (Pujol and Pannell 2008) and are subjected
- environmental and demographic stochasticity. 9

In conclusion, our High Drift-High Selection Conclusion 10 regime with non-limiting mutation highlights an interesting 11 interplay between drift and selection that together promote the 12 13 quick fixation of adaptive de novo mutations fueling a significant but stochastic selection response. Interestingly, such selection 14 response is not impeded by the fixation of deleterious mutations 15

- but displays instead a limited cost. Our results provide an expla-16
- nation for patterns highlighted during recent breeding as well as 17
- the high colonization ability of small selfing populations located 18
- at species range margins. They also call for a better mathemati-19
- cal description of the multilocus adaptive process sustaining the 20
- evolution of small populations under intense selection. 21

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Supplementary material

2 Saclay DSE's selection scheme

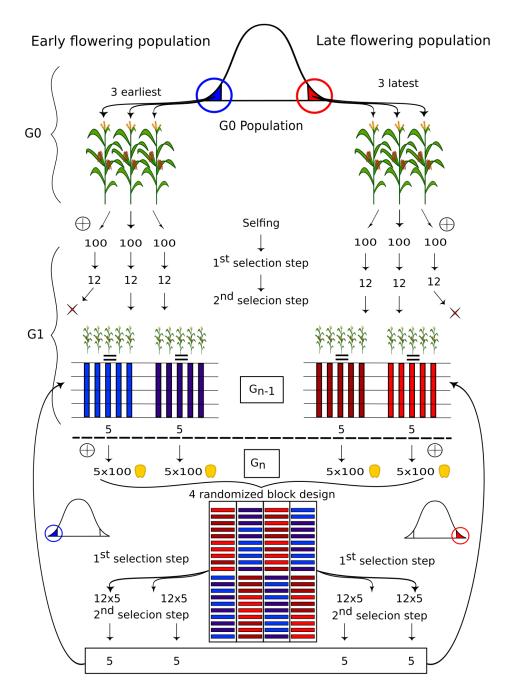
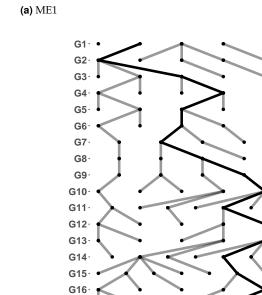
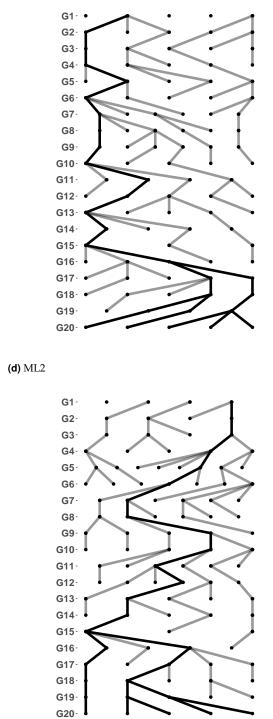


Figure S1 Experimental scheme of Saclay DSEs. For clarity a single scheme is shown but was replicated for the two DSEs. Starting from an inbred G_0 population with little standing variation (< 1% residual heterozygosity (Durand *et al.* 2015)), the three earliest (resp. latest) flowering individuals represented in blue (resp. red) were chosen based on their offspring phenotypic values as the founders of two families forming the early (resp. late) population. For the subsequent generations, 10 (\approx 5 per family) extreme progenitors were selected in a two step selection scheme among 1000 plants. More specifically, 100 seeds per progenitor were evaluated in a four randomized-block design, *i.e.* 25 seeds per block in a single row. In a first selection step, the 3 × 4 = 12 earliest (resp. latest) flowering plants among the 100 plants per progenitor were selected in a first step. Then in a second selection step, 10 (\approx 5 per family) individuals were selected within each population based on both flowering time and kernel weight and the additional condition of preserving two progenitors per family from the previous generation.

(b) ME2

Saclay DSEs Pedigree relationship





(c) ML1

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G19

G20

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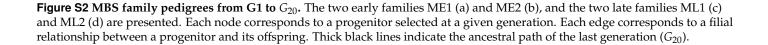
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G20



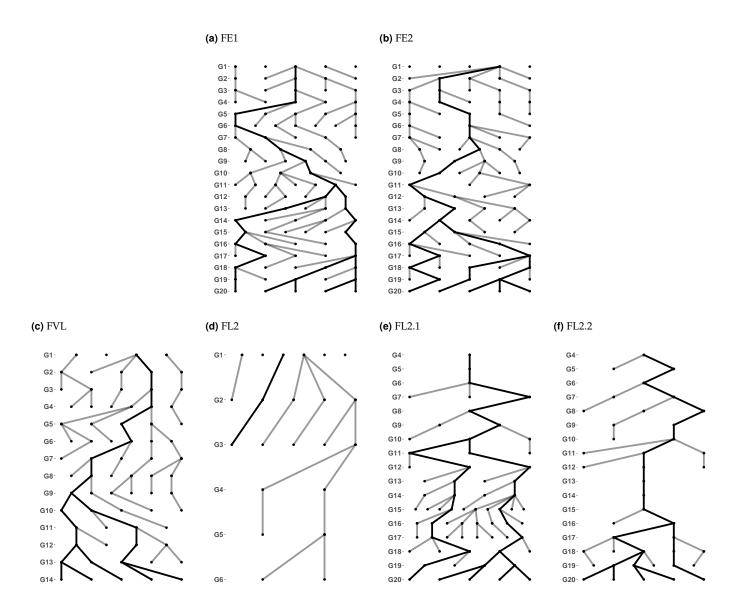


Figure S2 (Continued) F252 family pedigrees from G1 to G_{20} . Two early families FE1 (a), FE2 (b) and two late families FVL (c) & FL2 (d), are represented. FVL (c) could not be maintained after G14 as flowering occurred too late in the season for seed production. Both FL2.1 (e) and FL2.2 (f) were derived from a same individual from FL2 (d) at G3, after FVL was discarded. Each node corresponds to a progenitor selected at a given generation. Each edge corresponds to a filial relationship between a progenitor and its offspring. Thick black lines indicate the ancestral path of the last generation. (G_{20})

Selection response and input - output variables relationship description

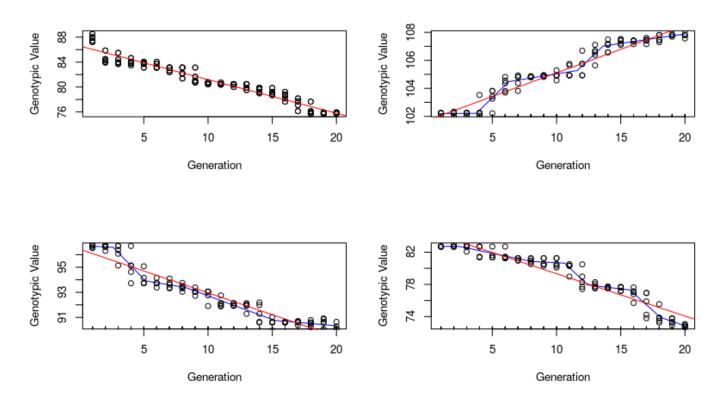


Figure S3 Illustration of simulated non-linear selection response in MBS. Each panel presents the evolution through time (x axis) of the genotypic value (y axis) of the 5 selected individual per family (empty dots). The red lines shows the linear regression of the selected genotypic values through times, while blue lines correspond to the best (AIC criterion) segmented linear model. The top left panel is an example for which a simple linear model fitted best the selection response, while the three others show a diversity of non-linear behaviors.

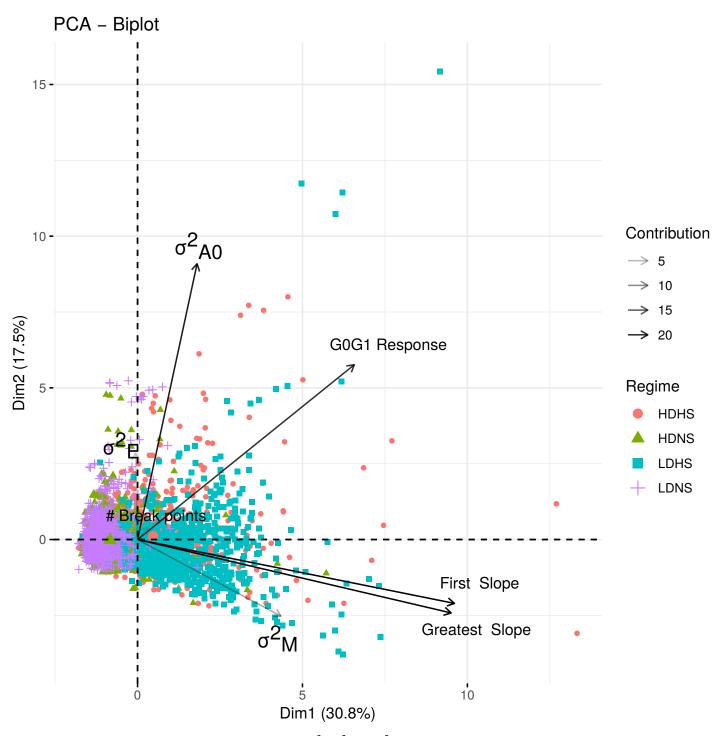


Figure S4 Correlation between model input variables (σ_{A0}^2 , σ_M^2 and σ_E^2) and output variables (G_0G_{20} Response, # Breakpoints, First Slope and Greatest Slope). We obtained the output variables by fitting a segmented linear regression to the selection response from G_1 to G_{20} in individual. We estimated the number of breakpoints, the corresponding slopes, as well as the first & greatest slope by AIC maximization. In addition we determined the G_0G_{20} response. A Principle Component analysis was carried out on a subset of 200 independent simulations per regime (HDHS, LDHS, HDNS, LDNS). The darker the arrow representing a variable, the higher the intensity of its correlation to the axes.

Diversity dynamics

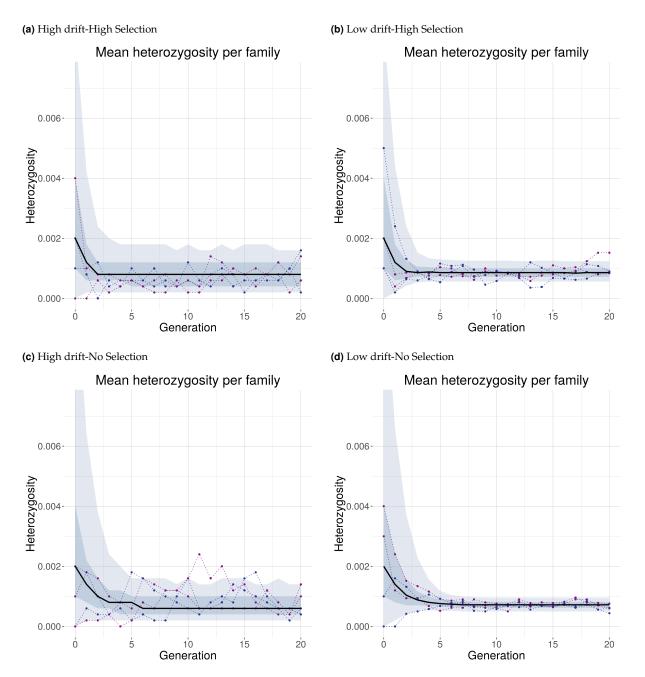


Figure S5 Evolution through time of the per-family mean heterozygosity over all loci, under HDHS (a), LDHS (b), HDNS (c), LDNS (d). The black line represents the median value of the per-family mean heterozygosity. The shaded area corresponds to the $5^{th}-95^{th}$ percentile (light blue) and to the $25^{th}-75^{th}$ percentile (dark blue). Four randomly chosen simulated families are represented with dotted line.

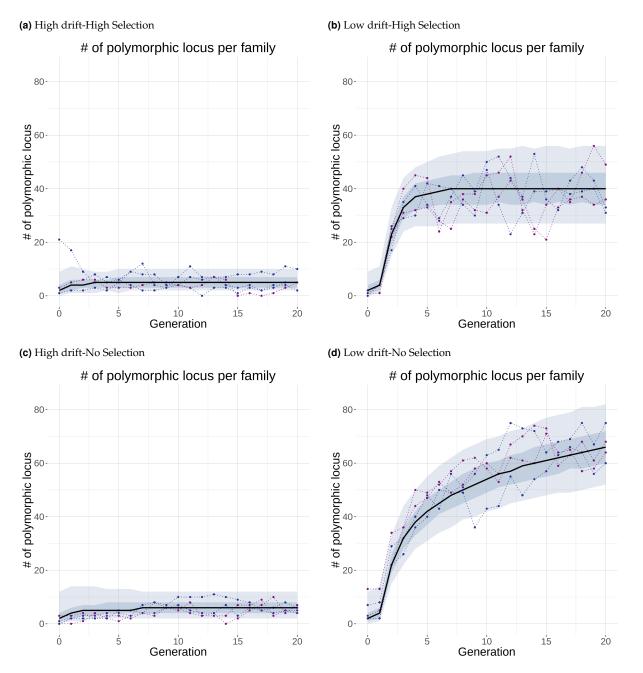


Figure S6 Evolution through time of the per-family mean number of polymorphic loci, under HDHS (a), LDHS (b), HDNS (c), LDNS (d). The black line represents the median value over 2000 simulations. The shaded area corresponds to the 5th-95th percentile (light blue) and to the 25th-75th percentile (dark blue). Four randomly chosen simulated families are represented with dotted line.

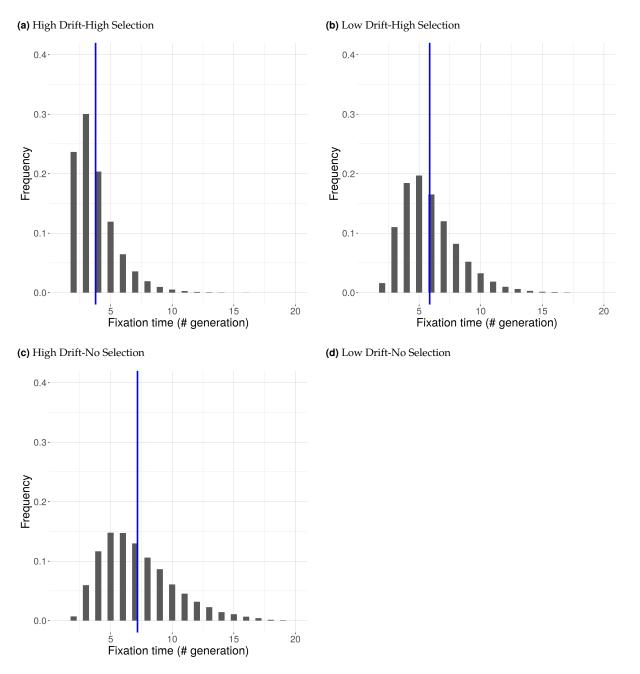


Figure S7 Frequency distribution of mutation fixation times over all simulated families under HDHS (a), LDHS (b), HDNS (c), LDNS (d). Note that under LDNS, we obtained very few fixed mutation so that we were unable to draw the corresponding distribution. Blue vertical lines represent the interpolated median.

Mutational effects and normalization

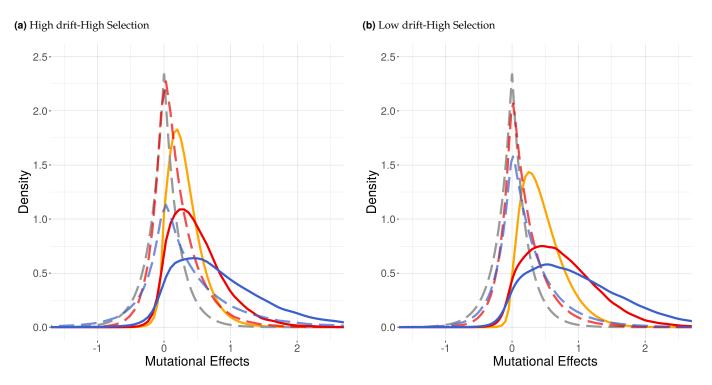


Figure S8 Distribution of mutation effects under HDHS (a), LDHS (b). The dotted lines indicate the distribution of effects (DFE) of incoming mutations considering raw effects in all individuals (grey), in selected individuals (red), and effects normalized by environmental variation in selected individuals (blue). The plain lines indicate DFE of fixed mutations following the same colour code. The golden line represents the expected DFE of fixed mutations according to Eq: 16.

Evolution of Cov(GE) though time

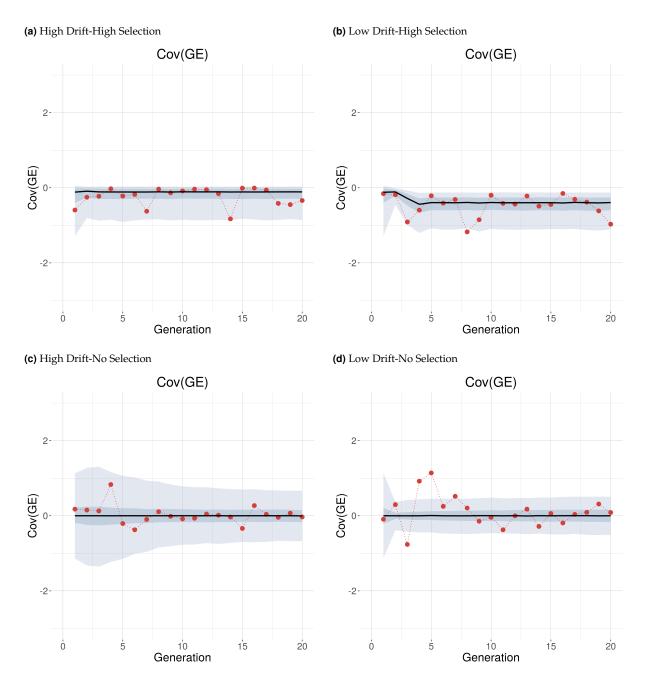


Figure S9 Evolution through time of the per-family covariance between environmental and genotypic values of the selected individuals, under our four simulated regimes. The black line represents the evolution of the median value over 2000 simulations in HDHS (a), LDHS (b), HDNS (c), LDNS (d). The shaded area corresponds to the 5th-95th percentile (light blue) and to the 25th-75th percentile (dark blue). One randomly chosen simulated family is represented with red dotted line, to highlight the inter-generation stochasticity. No significant autocorrelation was found.

Negative $Cov(G_{|selected}, E_{|selected})$ schematic

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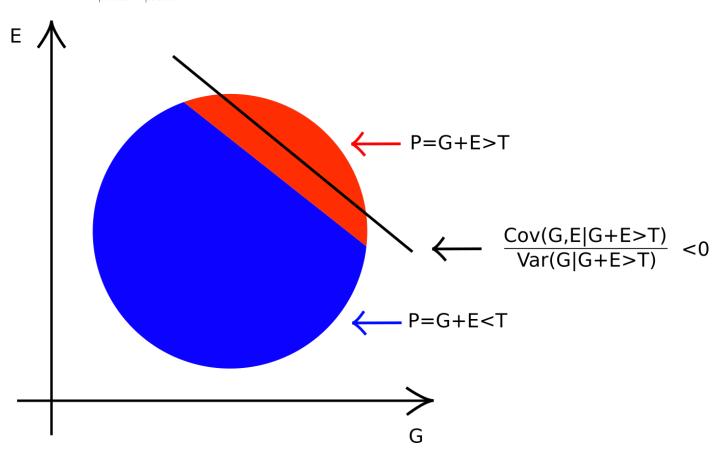


Figure S10 Schematic representation of the impact of selection on Cov(G, E). For illustration purposes, let P the sum of two independent and identically distributed random variables, G and E, such that both G and E follow a standard normal distribution, *i.e.* P = G + E with $G \sim \mathcal{N}(0, 1)$ and $E \sim \mathcal{N}(0, 1)$. The black line represent the regression of $E_{|selected}$ on $G_{|selected}$ with a negative slope $\frac{Cov(G_{|selected}, E_{|selected})}{Var(G_{|selected})} \leq 0.$



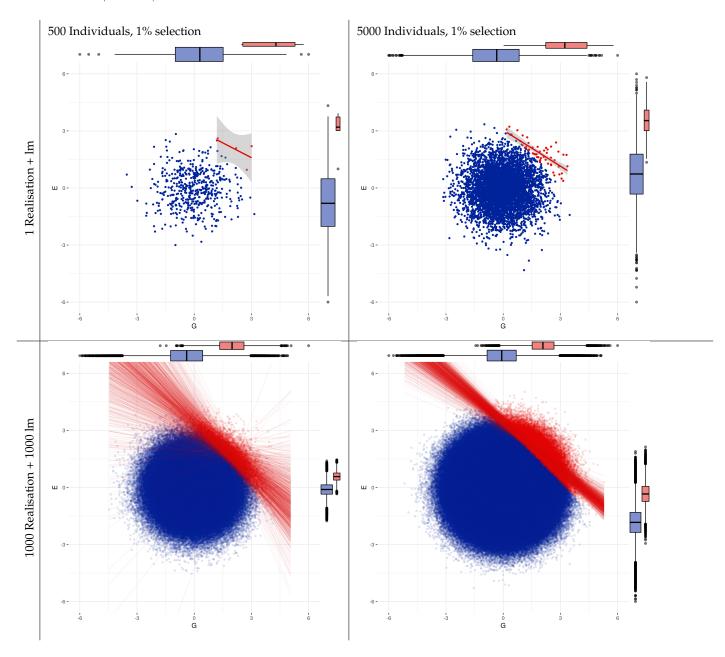


Figure S11 Schematic representation of the impact of selection and drift on Cov(G, E). Let P the sum of two independent random variables, G and E, such that both G and E follow a standard normal distribution, *i.e.* P = G + E with $G \sim \mathcal{N}(0, 1)$ and $E \sim \mathcal{N}(0, 1)$. Let sample 500 individuals from P and plot E = f(G) (right columns), resp. 5000 (left columns) and select (red dots) the best 1% based on P. The upper row represents one realisation, with the red line corresponding to the regression of $E_{|\text{selected}}$ on $G_{|\text{selected}}$ with a negative slope $\frac{\text{Cov}(G_{|\text{selected}}, E_{|\text{selected}})}{\text{Var}(G_{|\text{selected}})} \leq 0$. The lower row represents the realisation of 1000 independent sampling of 500 and 5000 individuals, with the corresponding linear regressions. We observe a lower lesser exploration of possible values (red plus blue area) under low population size and a high stochasticity in the values of $\text{Cov}(G_{|\text{selected}}, E_{|\text{selected}})$