

1 **Multiple Mechanisms of HIV-1 resistance to PGT135 in Chinese Subtype B' Slow Progressor**

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11 Running Head: Multiple Mechanisms of HIV-1 resistance to PGT135

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15 Word count: abstract: 212; text: 5229.

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18 **ABSTRACT**

19 In our study, we describe a slow progressor CBJC515 from whom we constructed pseudoviruses
20 expressing autologous Env. We surprisingly found all the pseudoviruses were resistant to PGT135. By
21 making site-directed mutations and chimeric Env constructs, we found the early 05 strains escaped from
22 PGT135 by losing the N332 glycan site, while the later 06 and 08 strains may escape with the retention
23 of key epitopes through the change of V1/V4/C2 region or by N398/N611 glycan, which was selected as
24 unique N-glycosylation site of CBJC515 compared with CBJC437 whose viruses were also harboring
25 key epitopes but sensitive to PGT135. These findings provide insights into how HIV-1 can escape from
26 N332-directed broadly neutralizing antibody (bNAb) responses without changing the epitope itself, and
27 these ways may be useful to prolong the exposures of bNAb epitopes and contribute to bNAb
28 development. Furthermore, our chimeric experiments also allowed us to explore the co-evolution and
29 retention of functionality among regions. We confirmed that the V1V2 region has a wide range of
30 effectiveness in interfering with the function of envelope protein and the V3 region can promote protein
31 function recovery and buffer the harmful polymorphisms in the other regions contributing to the Env
32 antigenic diversity. These results may provide some clues for the design of vaccines against HIV-1
33 strains.

34 **IMPORTANCE**

35 Our findings of mechanisms escaping from PGT135 verified the extensive role of long V1 region
36 in mediating escape from V3-bNAbs. In addition, we also found multiple additional ways suggested that
37 extreme variation may be needed by HIV-1 to escape from PGT135 without changing the epitope itself.

38 Although the V3-glycan bNAb responses are among the most promising vaccine targets, as they are
39 commonly elicited during infection, our findings indicated there may be additional difficulties to be
40 taken into account in immunogen design, such as the consideration of other regions and some
41 glycosylation sites affecting the mask of key epitopes, as well as the selection pressure that may be
42 required by other bNAbs. Our chimeric experiment also highlighted the key role of V3 region in
43 contributing to the maintenance of Env diversity by buffering deleterious polymorphisms, which may be
44 helpful for vaccine design.

45 **KEYWORDS** broadly neutralizing monoclonal antibodies (bN-mAbs), V3-glycan bNAb, envelope
46 pseudoviruses, neutralization resistance, slow progressor

47 **Introduction**

48 Inducing antibodies with cross-neutralizing activity is an important indicator for the effectiveness
49 of HIV-1 vaccine and it remains an unsolved challenge, although broadly neutralizing antibodies
50 (bNAbs) developed in 10–30% of HIV-1 infected individuals after several years' infection, indicating
51 there are no insurmountable barriers for introducing bNAbs in humans by Envelope (Env) (1-5). So far,
52 there is still no immunogen capable of inducing neutralizing antibodies (NAbs) with broad width to
53 tier-2 viruses (6, 7). In 2019, Bricault CA *et al* (8) modified antigens targeting V2-glycan based on the
54 neutralizing signatures of V2-glycan bNAbs, and induced greater breadth of tier 2 NAb responses,
55 indicating the potential usage of researching the resistant mechanisms of HIV-1 to bNAbs in vaccine
56 design.

57 The glycan supersites of the V3 region on HIV-1 Env form vulnerable targets and are exploited by

58 broadly neutralizing monoclonal antibodies such as PGT121, PGT128, and PGT135(9). The N332
59 glycan residue at the base of the V3 loop has been demonstrated to provide an important supersite of
60 vulnerability for extensive antibody-mediated virus neutralization, and is used to aid the design and
61 development of effective vaccines. In the case of these monoclonal antibodies that target the V3-glycan
62 supersite, the loss of glycan at position 332 is often associated with resistance(10). However, there are
63 still quite a lot of circulating strains that harboring this supersite but still resistant to these antibodies(10,
64 11). Previous studies have speculated that in the presence of N332 glycosylation sites, the longer length
65 of V1/V2 region was associated with V3-glycan bNAbs resistance (8, 11-14), and van den Kerkhof TL
66 *et al* (11) observed a statistically significant positive correlation between V1 length and neutralization
67 resistance to PGT135. However, these speculations still need to be verified. At the same time, because
68 functionally defined epitopes were distinct from structurally defined epitopes(15), so far, little was
69 known about the determinants conferring neutralization resistance to these viruses. Researching for the
70 mechanisms behind this phenomenon may provide insights for modification of vaccine immunogens and
71 contribute to the design of HIV-1 Env immunogens.

72 Furthermore, the HIV-1 gp120 protein organization alternates between five constant regions and
73 five variable regions (16) through structural independence, so that the goals of continuous variation and
74 maintenance of function can be ensured. On the one hand, the more accessible variable regions of the
75 immune system can freely undergo extensive sequence diversification to counteract the immune
76 response(17-19); On the other hand, the constant regions are entirely located inside(17, 18), and the
77 more conserved core regions inside provide a scaffold to stabilize the protein structure(20, 21). In the

78 presence of a wide range of co-evolutionary networks, it is especially a challenge to retain functionality.
79 Understanding the coevolution networks is also an important issue for vaccine design(22, 23), which
80 provide insight for the design of antigenic modifications while retaining its functionality.

81 In our study, pseudoviruses expressing the CBJC515 autologous Env were constructed, and the
82 neutralizing sensitivity of these pseudoviruses to PGT121, VRC01, 12A21, 10E8, 2G12, and PGT135
83 were tested. Surprisingly we found all of them were resistant to PGT135. Such patient provided us with
84 a unique opportunity to study this phenomenon. Through site-directed mutation and chimeric experiment,
85 we found multiple mechanisms of escape from PGT135, including through long V1 region, changes in
86 V4/C2 region, or through creating 398/611 glycan site, which explored our knowledge especially on
87 how HIV-1 can escape from PGT135 responses through extreme ways without changing the epitope
88 itself. Furthermore, chimeric experiments also allow us to explore the co-evolution and function
89 maintenance among different regions, we verified the V1V2 diversity has broad interference with Env
90 functionality and the role of V3 region in relieving dramatic decrease in functionality induced by V1V2
91 or C2, which has been rarely studied(24, 25), and these findings will be useful for vaccine design.

92 **Materials and methods**

93 **Study subject**

94 The donor CBJC515 and CBJC437 described in this study were selected from a Chinese HIV-1
95 subtype B' chronically infected cohort, whose plasma exhibit broad cross-neutralizing activity against a
96 panel of 25 viruses since the first sampling year (26). These patients were infected during commercial

97 plasma donation between 1992 and 1995 and were antiretroviral treatment (ART)-naive. The major
98 characteristics of CBJC515 were shown in Table 1, and those of CBJC437 were as previously
99 reported(27). The study was reviewed and approved by the Institutional Review Board of the National
100 Center for AIDS/STD Control and Prevention, Chinese Center for Disease Control and Prevention. The
101 subject provided written informed consent before blood and data collection.

102 **Single-genome amplification and envelope clones**

103 Viral RNA was extracted from plasma using QIAamp Viral RNA Mini Kit (Qiagen) and cDNA was
104 immediately synthesized using SuperScript III First-Strand Synthesis System (Invitrogen).
105 Single-genome amplification (SGA) of the full-length gp160 gene was performed as previously
106 described (28). Briefly, the synthesized cDNA was continuously diluted and distributed in replicates of
107 12 to 16 PCRs in the Thermo Grid 96-well plates to identify a dilution where positive wells accounting
108 for 30% of total PCR reactions. When the SGA criteria of fewer than 30% positive results were met,
109 most of the wells contain amplicons derived from a single cDNA molecule in the appropriate dilution.

110 The PCR products obtained by SGA were cloned into the commercial directional vector
111 pcDNA3.1D/V5-His-TOPO according to the manufacturer's instructions (Invitrogen). The correct
112 pcDNA3.1-Env plasmid used to produce wild type pdsudoviruses were selected by sequencing. First,
113 transforming the constructed plasmids into E.coli JM109 competent cells (TaKaRa), and then selecting
114 monoclonal E.coli colonies on ampicillin-resistant LB medium for sequencing. The verified correct
115 E.coli clones were cultivated and then the plasmids were extracted using E.Z.N.A.® Plasmid Mini Kit

116 (Omega) for pseudovirus production. The mutagenesis and chimeric plasmid described below used to
117 produce pseudoviruses were selected as the same way.

118 **Sequence alignment and analysis**

119 SGA were sequenced on an ABI 3770 Sequencer (Applied Biosciences). The full-length gp160
120 gene fragments for each product were assembled and edited using Sequencher 4.1 (Gene Codes, Ann
121 Arbor, MI). All chromatograms were inspected for sites of mixed bases (double peaks), and any
122 sequence with evidence of double peaks was excluded from further analysis.

123 The Env nucleotide sequences were aligned by Gene Cutter
124 (https://www.hiv.lanl.gov/content/sequence/GENE_CUTTER/cutter.html) using HIV-1 HXB2 as
125 reference sequence and the amino acid sequences were deduced by Env nucleotide sequences. Potential
126 N-linked glycosylation sites (PNGS) were identified using N-Glycosite
127 (<http://www.hiv.lanl.gov/content/sequence/GLYCOSITE/glycosite.html>) at the Los Alamos HIV
128 database website; The consensus sequence of CBJC515 and CBJC437 were analyzed by online analysis
129 tool “Consensus Maker” (<https://www.hiv.lanl.gov/content/sequence/CONSENSUS/consensus.html>);
130 LALIGN tool (http://www.ch.embnet.org/software/LALIGN_form.html) was used to calculate sequence
131 identity and similarity between the 2005 Env consensus sequence and each 2005 functional clones;
132 Chinese subtype B database set (named “B-Database”) containing a total of 168 sequences were selected
133 from Los Alamos HIV database (<https://www.hiv.lanl.gov/components/sequence/HIV/search/search.html>)
134 according to the keywords “china”, “subtype B”, “intact gp120 sequences” and “one sequence/patient”.

135 **Generation of site-directed mutagenesis and chimeric clones**

136 Site-directed mutagenesis was performed by standard PCR procedure. Approximately 50 ng plasmid
137 DNA template, 1 μ L of 1 μ M primer F (forward), R (reverse), were added into the PCR mixture
138 containing 4 μ L dNTP mixture (2.5 mM), 25 μ L 2 \times PrimeSTAR GC buffer (TaKaRa), 0.5 μ L
139 PrimeSTARWHS DNA polymerase (2.5 U/ μ L) (TaKaRa) and ddH₂O, with a total volume of 50 μ L.
140 The primer F was designed according to the nucleic acid sequence of 5 amino acid positions before and
141 after the mutation site, and the primer R was reverse and complement to the primer F. Cycling
142 conditions for this PCR are 98°C for 1 min, followed by 30 cycles of 98°C for 10 s, 56°C for 20 s (the
143 annealing temperature can be adjusted according to different primers), and 72°C for 6 min, with a final
144 extension at 72°C for 10 min. The entire Env gene of each mutant was sequenced to confirm mutation.

145 Chimeric clones were conducted by GeneArt® Seamless Cloning and Assembly Kit (Invitrogen),
146 which can assemble several linearized DNA fragments into one DNA sequence. The inserted nucleotide
147 fragments were synthesized (Sangon Biotech). The backbone of Env plasmid to be replaced were
148 linearly amplified by PCR, and the PCR products were verified on a 0.8% agarose gel and then
149 subjected to gel extraction using QIAquick Gel Extraction Kit (Qiagen). The two ends of the linear
150 amplification primers were designed to overlap with synthesized insertion protein sequence with 15bp
151 bases respectively, so the two parts were able to be seamlessly linked through the assembly kit
152 (Invitrogen). The integrity of the chimeric plasmids was confirmed by sequencing and restriction
153 analysis as described above. Finally, the boundaries of regions changed after chimeric were as follows:

154 131-135 for V1 region, 158-196 for V2 region, 131-196 for V1V2 region, 197-295 for C2 region,
155 296-331 for V3 region, 385-418 for V4 region, and 131-331 for V1-V3 region. The numbers refer to the
156 amino acids position of HXB2 gp120 protein.

157 **Pseudovirus preparation, and titration for neutralization assays**

158 Pseudoviruses were prepared, titrated as previously described(27). Briefly, 293T cells were
159 cotransfected with pcDNA3.1-Env clone and Env-deficient HIV-1 backbone vector (pSG3 Δ Env) using
160 PEI transfection reagent (PolyScience). Pseudovirus-containing supernatant was harvested 48h
161 post-transfection, and then filtered (0.45 μ m pore size) and stored at -80°C. The 50% tissue culture
162 infectious dose (TCID₅₀) of a single-thawed aliquot of each pseudovirus batch was determined in
163 TZM-bl cells.

164 **Neutralization assay**

165 Neutralization was measured as a reduction in Luc reporter gene expression after a single round of
166 virus infection in TZM-bl cells as described previously (26). Briefly, 50 μ L of pseudotyped viruses
167 normalized to 4000 TCID₅₀/mL was incubated with 100 μ l serial threefold dilutions of broadly
168 neutralizing monoclonal antibodies (bN-mAbs) in duplicate for 1 h at 37°C in 96-well flat-bottom
169 culture plates. The virus-antibody mixture was then used to infect 10,000 TZM-bl cells in the presence
170 of 30 μ g/mL DEAE-dextran. One set of the control wells received cells only, while the other set
171 received pseudovirus plus cells. Infection levels were determined after 48h by measuring the luciferase
172 activities of cell lysates. After 48 hours of incubation, 150 μ l of the culture was removed, and 100 μ l of

173 Ultra-High Sensitivity Luminescence Reporter Gene Assay System (PerkinElmer) was added and
174 incubated for 2 minutes. luciferase activities of 150 μ l lysate transferred from each well to a 96-well
175 black solid plate were measured by a luminometer (PerkinElmer). The 50% inhibitory dose (ID50) was
176 defined as either the plasma dilution or sample concentration at which relative luminescence units (RLU)
177 were reduced 50% compared to virus control wells.

178 **Neutralizing antibodies used in the study**

179 bN-mAbs PGT135, PGT121, 2G12, 12A21, 10E8, and VRC01 were kindly received from NIH
180 AIDS Research and Reference Reagent Program.

181 **Data availability**

182 Env sequences from CBJC515 and CBJC437 donors have been deposited into GenBank and the
183 accession numbers were shown in Table 2 and Supplementary Table 1.

184 **Results**

185 **Sensitivity to neutralization by broadly neutralizing monoclonal antibodies (bN-mAbs)**

186 6, 3, 11 pseudoviruses were successfully constructed from CBJC515 plasma samples at 20050816,
187 20060418, and 20081118 time points, named 05/06/08 isolates respectively, and we examined the
188 sensitivity of these isolates to prototypic bNAbs PGT121, VRC01, 12A21, 10E8, 2G12 and PGT135
189 (Table 2). Almost all 20 clones were sensitive to the CD4 binding site (CD4bs) specific bN-mAb VRC01,
190 12A21, and membrane proximal external domain (MPER) specific bN-mAb 10E8. As to the bN-mAbs

191 recognizing N332-supersite (9, 29), all clones were resistant to PGT135 but sensitive to PGT121, while
192 all 05 strains were resistant to 2G12.

193 **Lack of 332 glycosylation site leads to resistance to PGT135 in 05 isolates**

194 Since all clones from CBJC515 were resistant to the V3-glycan bNAb PGT135, this aroused our
195 great interest. PGT135 interacts with glycans at Asn332, Asn386 and Asn392, using long CDR loops H1
196 and H3 to penetrate the glycan shield to access the gp120 protein surface(9). We first analyzed the 332
197 position of these strains. As shown in Table 3, the N332 glycan site was generally missing in 05 Env
198 clones, but all 06/08 clones contained this supersite. In 05 isolates, strain 05-8 lacks 332 N-glycan site
199 due to the presence of aspartic acid at this position (referring to the relative position on the HXB2 strain,
200 the same below), while others possess N334 glycan site, which also leads to lack of 332 N-glycan site.
201 We performed D332N or N334S mutations on 05 isolates, and all of them became sensitive to PGT135
202 and 2G12 (Table 4). In addition, we checked other epitopes reported in literatures (8, 9, 30) that
203 associated with PGT135 neutralizing sensitivity(Table 3), and performed R/K389Q, T409E or Y330H
204 mutation to the corresponding strains, but no changes were found.

205 In our study, PGT135 and 2G12 were completely unable to neutralize the 05 pseudoviruses
206 containing N334 glycan site, while PGT121 was able to neutralize all of them. Previous studies have
207 shown that some N332-dependent bNAbs can recognize promiscuous glycans with different ability, and
208 some can neutralize strains transferred glycosylation site to N334(9, 10). Our observations were
209 consistent with it.

210 **Chimeric experiment examines effect of different regions on neutralizing sensitivity of 06/08**
211 **isolates**

212 We further explored the determinants conferring neutralization resistance to 06/08 strains with key
213 epitopes (Table 3). Sequence analysis showed that 05 isolates had shorter V1 region of 22 amino acids
214 (aa) with higher similarity, while the 06/08 strains expanded V1 region pronouncedly (22-42 aa long)
215 and was much longer than HIV-1 subtype B consensus sequence (25 aa) (13) (Fig. 2). By replacing the
216 V1 region (position 131-157) of 06/08 Env clones to 05-8 (D332N), which was selected as the most
217 representative strains of 05 clones through sequence harmony (SH) method compared with 05 Env
218 consensus sequence (Table 5), we assessed whether longer V1 loop was associated with neutralizing
219 resistance to PGT135 (11, 13).

220 11 V1 chimeras were successfully constructed (Fig. 2 and Table 6). Among them, 6 chimeras were
221 not able to produce infectious clones (the wild type V1 length varied from 22 to 42 amino acids), 2
222 chimeric strains 08-2 (05-8 V1), 08-12 (05-8 V1) were still resistant to PGT135 (the original V1 length
223 was 33, 37 amino acids respectively), and 3 chimeric strains, 08-8 (05-8 V1), 08-11 (05-8 V1) and 08-13
224 (05-8 V1), became sensitive to PGT135 with the IC₅₀ of 1.19 ug/ml, 5.8 ug/ml, and 8.3 ug/ml.

225 Since the V1V2 region is a global regulator of neutralizing sensitivity(31-34), previous studies also
226 shown that the neutralizing ability of some V3-glycan bNAbs were associated with the length of V1V2
227 region in the presence of N332 glycan site, and the longer the virus in the V1+V2 region, the more
228 difficult it was to be neutralized (8). Therefore, we also construct 7 V1V2 chimeras by replacing the
229 V1V2 region of 06/08 strains with that of 05-8 (Table 6). Our neutralizing experiment showed 5 of them

230 lose functionality, and only two chimeras 08-2 (05-8 V1V2) and 08-12 (05-8 V1V2) were functional but
231 still resistant. Furthermore, we constructed 3 V2 chimeric clones (Table 6), and there was only 1 chimera
232 was functional and remaining resistant. So, it seems like, among the V1V2 region, V1 loop was the most
233 important part to confer resistance to these strains.

234 Based on the above results, we further examined whether changes in other regions except for V1
235 would affect its sensitivity to PGT135 as the virus evolving at subsequent 06/08 time points. Chimeric
236 envelopes were generated by replacing the C2, V3, V1-V3 or V4 region of 06/08 isolates with the
237 corresponding region of 05-8 (D332N) (Table 7 and Fig. 1). Neutralization experiment showed all the 6
238 V3 chimeras did not change sensitivity to PGT135 (Fig. 3). In the 4 C2 chimeras, 3 were functional and
239 1 was sensitive. 10/12 V1-V3 chimeras were functional with 3 of them became sensitive, while 2/3 V4
240 chimeras were functional and all the 2 chimeras were sensitive.

241 **N611A and N398A mutation may have Impact on Neutralizing sensitivity to PGT135**

242 To further explore the resistant mechanisms in 06/08 isolates, we selected another Chinese subtype
243 B' slow progressor CBJC437, whose isolates were also containing key epitopes such as
244 N332/N386/N392/N295/H330 and were sensitive to PGT135 (Table S1). According to the consensus
245 sequence alignment, we selected the different sites of the two samples in V3/V4 region (Fig. 4), which
246 was most likely to influence the neutralizing sensitivity(9), to perform mutations on 3-4 strains of
247 CBJC515 changing the amino acids to the corresponding signature of CBJC437 isolates. However, no
248 mutations were found to have effect on the neutralization. Furthermore, we also compared all the
249 N-glycosylation sites among the two samples, and selected the unique N-glycan site of each sample

250 (since the V1 region has changed a lot, we didn't consider the unique glycosylation sites in the V1
251 region) (Fig. 4). We only found the N398A mutation in 06-4/08-13 and N611A mutation in 08-9 restored
252 sensitivity to PGT135 with the IC50 of 7.23 ug/ml, 6.91 ug/ml and 2.64 ug/ml respectively, in a
253 strain-specific way (Table S2-S3).

254 **Statistical analysis of key glycosylation sites of PGT135 in Chinese HIV-1 subtype B strains**

255 According to previous reports, the neutralization breadth of PGT135 was about 33%, which was
256 comparable to the CD4bs-class antibody b12, but lower than other N332-dependent antibodies such as
257 PGT121 and PGT128 (29). This lower neutralization width was reported mainly due to the limited
258 prevalence of key contact residues, such as Asn332, Asn392 and His330, in circulating strains(9).

259 We downloaded 168 sequences of Chinese subtype B strains from Los Alamos HIV database
260 (named B-Database), and made statistics on the key neutralizing epitopes of PGT135. H330, N332 and
261 N392, which are necessary for PGT135 neutralization, were present in 76% (127/168) sequences of
262 B-Database. As PGT135 can recognize N295 and N386 glycans in a strain-dependent manner(9), we
263 also calculate the prevalence of H330, N332, N392 and N295 or N386, and the ratio was 66% (111/168)
264 and 67% (112/168) respectively. At the same time, all the four N-glycan site, N332 N392 N295 and
265 N386, appeared in 60% (100/168) of the sequences, and the ratio of single glycosylation site at position
266 332 accounted for 93.5% (157/168). The prevalence of these key sites was significantly higher than the
267 33% neutralization width of PGT135. It can be speculated that a large part of the Chinese HIV-1 subtype
268 B strains containing key epitopes of PGT135 may able to escape from it. Therefore, it will be very
269 meaningful to explore the resistant mechanisms of these strains.

270 **Discussion**

271 The generation of bNAbs responses through vaccination is still an essential question in HIV research.
272 Knowing the escaping mechanisms in broad cross-neutralizing samples may yield useful information for
273 vaccine design (14, 35-37). In our research, escape from PGT135 was mediated via multiple pathways.
274 Except for the absence of N332-supersite, the resistant strains harboring all key epitopes may escape
275 through changing V1/V4/C2 region, or through N398/N611glycan. Previous study speculated strains
276 may escape from PGT135 through longer V1 region(11), and in our research, we verified it can indeed
277 restore the sensitivity in some strains, while the V1V2 or single V2 replacement cannot. Together with
278 previous study about PGT121 and PGT128(10, 12, 38), our finding highlighted the broad effect of long
279 V1 region on neutralizing activity to V3-glycan bNAbs which may through blocking access to the
280 V3-glycan supersite(14). We also found V4 and C2 region may affect its neutralization. Through our
281 large amount of site-directed mutations, we found it very difficult to restore neutralizing sensitivity by
282 changing single amino acid. Our observations thus suggested that extreme variations may be needed to
283 escape from PGT135 in strains with N332-supersite(13).

284 In the CBJC515 donor, over the course of infection, the locations of PNGS were altered. Strains
285 evolved from 2005 strains without N332 glycosylation site to the isolates with 332 N-glycan gradually
286 fixing in 2006/2008 timepoint. The usual mode of escape from the V3-carbohydrate class of potent
287 broadly neutralizing antibodies is via mutation of the N332 glycan(10), so it can be speculated that
288 samples from time points prior to 2005 may contain V3-glycan bNAbs such as PGT135-like. After the
289 year 2005, We speculated that the retention of the N332 epitope may be due to selection pressure from

290 strain specific NAb s or other antibody lineages (14, 35) as time went on. In order to escaping from them,
291 strains produced N332-glycosylation site and adopted these unusual escaping routes. The neutralization
292 width of CBJC515 fluctuated continuously from 2005 to 2009 timepoint (Table 1) and previously study
293 which suggesting different lineages of antibody co-exist in this sample(39) supporting our prediction. In
294 natural infection, bNAbs usually take several years to evolve, suggesting a requirement for prolonged
295 antigen exposure (36, 40, 41). In CBJC515, the retention of N332 site through extreme escape routes
296 may help to prolong the exposure of bNAb epitopes, which may assist its maturation as mentioned in
297 previous reports (14, 35-37), and it was supported by our results of incomplete viral escape in
298 contemporaneous neutralization (data not shown). The explanation and speculation of the unusual
299 escaping mode in CBJC515 donor may need to be further explored, such as by plasma epitope mapping
300 and B-cell sequencing.

301 Genetic diversity and coevolution are essential for HIV-1 to get rid of the host immune response.
302 Extensive coevolution of structurally and functionally related parts of the protein is the solution for the
303 virus to conciliate genetic diversity and maintain functionality. For these aspects, there have been few
304 reports in the past(24, 25), and our chimeric experiment provided materials for the investigation.

305 As the outermost part of the viral particle, gp120 is the virus component most vulnerable to
306 immune stress, and therefore, may be the most important protein for genetic diversity. Although V1V2
307 region might preserve the functionality of the protein with only minor structural constraints while they
308 have evolved to maximize their possibility of sequence diversification, the replacement of variable
309 region abolishes Env functionality in 5/7 V1V2 chimeras, 6/11 V1 chimeras and 2/3 V2 chimeras (Table

310 6 and Fig. 3b), which indicated the broader validity of V1V2 region in interference with the
311 functionality of envelope protein (25). In addition, there were 1/3, 1/4 non-functional clones in V4, C2
312 chimeras, respectively (Table 7 and Fig. 3b). The non-functional clones after the replacement of these
313 regions reflect the important significance of co-evolution among each region of the strain for function
314 maintenance.

315 As it has been reported that the replacement of V3 usually has less impact on Env function(25), we
316 did not find any non-functional clones through chimeric V3 region (Fig. 3a). The higher conservation
317 level of V3 region may reflect the requirement to maintain the optimal conformation required for the
318 co-receptor binding site and explain why the replacement of this domain is more tolerant than other
319 regions(42). When we replace the V1-V3 region (including V1, V2, C2, V3), there were only 2/12
320 chimeras showed non- functionality (Fig. 3b). This extremely low probability of non-functionality
321 compared with the single exchange of V1/V2/C2 region, in addition to reflecting the coevolution of
322 V1-V3 region, it also reflects the role of V3 in promoting function recovery. Taking the 06-4 strain as an
323 example, chimeric with V1V2 and C2 region all abolished its functionality, while V1-V3 chimeras
324 restored. A recent study support our finding of the role of V3 region in buffering deleterious effect of
325 polymorphisms and increasing genetic robustness (24), and this study also pointed out that the defect of
326 C2 chimera may be blocked after recognizing the co-receptor CCR5 due to interference with the
327 subsequent conformation of membrane fusion changes.

328 Finally, we deepened the understanding of the pathways that may affect the accessibility of
329 PGT135 to its epitopes and highlighted the complexity and extremely ways of resistant mechanisms to

330 PGT135 under the condition of harboring key epitopes which verified and supplemented the previous
331 results. We speculated that these unusual ways may play an auxiliary role in the development of bNAb
332 and may be related to the maintenance of long-term highly broad cross-neutralizing activity, although it
333 needs further exploration. The chimeric experiment also verified the importance of coevolution of
334 structurally and functionally related parts of Env for the virus to conciliate genetic diversity and
335 maintain functionality, especially the role of V3 region in the retention of functionality. Altogether, our
336 findings may be helpful for the design of antigenic modifications while retaining its functionality.

337 **ACKNOWLEDGMENTS**

338 This work was supported by the grant from the National Natural Science Foundation of China
339 (81172809, 31411130194), the National Major Project for Infectious Disease Control and Prevention
340 (2016ZX10001-008, 2018ZX10731-101), the SKLID key project (2019SKLID602) and International
341 Cooperation Grant from the Ministry of Science and Technology of China (2016YFE0107600). We are
342 grateful to the CAVD HIV Specimen Cryorepository (HSC) for their contribution of HIV-1
343 Env-pseudotyped viruses for this study.

344 **CONFLICT OF INTEREST**

345 The authors declare that the research was conducted in the absence of any commercial or financial
346 relationships that could be construed as a potential conflict of interest.

347 **AUTHOR CONTRIBUTION STATEMENT**

348 SS, LM, YS and KH conceived and designed the study. SS, SZ, YH, YL, LR and YLH performed
349 the experiment. SS, YL, XH, YR and KH analyzed the data and edited the manuscript. All authors have
350 read and approved the final manuscript.

351

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534 **Legends**

535 **FIG 1** Schematic representation of the chimeric HIV-1 envelopes used in this study. The C1-C5
536 structure of the 05-8 envelope gene is given at the top, with the constant and variable regions of the
537 gp120 region in green and yellow, respectively. In the 06/08 wildtype receiver protein, the backbone of
538 constant and variable region is shown in dark blue and light blue, respectively.

539 **FIG 2** The alignment of V1 region. CON B representing the HIV-1 subtype B consensus sequence was
540 from reference(13). Sequence position corresponding to reference strain HXB2 is 131-157. The nucleic
541 acid with gray, dark gray, and black background represents common amino acid sequences. Gaps in the
542 amino acid sequence are shown with a dash (-). The rightmost number shows the length of V1 region.
543 The names of sensitive chimeras are in red background, resistant chimeras are in yellow background,
544 and non-functional chimeras are in gray background.

545 **FIG 3** V3 alignment (a) and ratio of non-functional chimeras when chimeric with V1, V2, V1V2, C2,
546 V3, V1-V3, and V4 region (b). The nucleic acid with Gray, dark gray, and black background represent
547 common amino acid sequences. Resistant chimeras are in yellow background.

548 **FIG 4** Alignment of the consensus sequence between CBJC515 and CBJC437. Numbers with Asterisks
549 represent potential glycosylation sites; Blue fonts and red fonts represent CBJC515-specific and
550 CBJC437-specific glycosylation sites (excluding V1 region) respectively by N-Glycosite analysis;
551 Yellow fonts represent different non-glycosylation sites among V3/V4 regions between CBJC515 and
552 CBJC437 consensus sequence. The arrows and the above numbers represent the boundaries of each
553 variable and constant region.

554

555 **TABLE 1** Major characteristics of CBJC515 donor

Sample date	No.of CD4+ T	No.of viral RNA	Geometric mean	No.of functional	Breath
	cells/ μ L	copies/mL	ID50 titres	clones	
20050816	528	1.97E+04	-	6	-
20051101	530	6.94E+03	61.7	-	77.3%
20060418	536	1.55E+04	161.6	3	90.9%
20061123	476	2.90E+04	203.0	-	95.5%
20070424	543	6.69E+03	106.0	-	81.8%
20080415	459	2.22E+04	-	-	-
20081118	321	1.25E+05	94.1	11	86.4%
20090519	268	8.56E+04	164.8	-	95.5%

556 “-” indicates no data were obtained.

557

558 **TABLE 2** Neutralization sensitivity of CBJC515 pseudoviruses to representative broadly neutralizing
559 monoclonal antibodies (bN-mAbs)

Functional clones	Accession number	IC50(μ g/mL)					
		PGT121	VRC01	12A21	10E8	2G12	PGT135
05-3	MF591587	0.73	0.06	15.21	0.96	>10	>10
05-4	MF591591	0.79	0.15	12.12	0.22	>10	>10
05-5	MF591593	1.27	0.11	0.07	0.33	>10	>10
05-6	MF591594	1.82	0.09	0.05	0.31	>10	>10
05-8	MF591596	0.75	0.077	>10	0.26	>10	>10
05-9	MF591597	1.77	0.24	17.39	0.42	>10	>10
06-1	MF591619	1.19	4.76	4.09	3.05	0.62	>10
06-2	MF591620	5.79	2.11	1.91	0.68	0.89	>10

06-4	MF591622	0.45	1.88	1.12	1.24	0.47	>10
08-1	MF591660	0.2	3.54	2.63	0.86	0.67	>10
08-2	MF591661	0.8	4.18	3.11	2.17	0.77	>10
08-4	MF591663	6.67	6.24	0.36	0.92	3.37	>10
08-6	MF591665	0.7	3.5	3.12	0.14	0.71	>10
08-7	MF591666	2.1	0.08	5.29	0.31	>10	>10
08-8	MF591667	0.31	4.76	0.44	0.43	5.42	>10
08-9	MF591669	0.47	3.09	1.99	0.88	0.58	>10
08-10	MF591670	1.11	3.81	0.1	0.28	0.91	>10
08-11	MF591671	0.48	4.25	2.32	0.5	0.46	>10
08-12	MF591672	2.97	2.31	1.27	0.73	2.37	>10
08-13	MF591673	0.19	2.84	0.68	0.7	0.5	>10

560 “>10” means couldn’t be neutralized by PGT135.

561

562 **TABLE 3** The condition of epitopes for PGT135 in 2005, 2006 and 2008 functional Env clones

Env clones	N-glycan site				Q389	E409	H330
	332	295	386	392			
05-3	-	+	+	+	R	T	.
05-4	-	+	+	+	R	T	.
05-5	-	+	+	+	R	T	.
05-6	-	+	+	+	R	T	.
05-8	-	+	+	+	R	T	.
05-9	-	+	+	+	R	T	.
06-1	+	+	+	+	K	T	.
06-2	+	+	+	+	K	T	.

06-4	+	+	+	+	K	T	.
08-1	+	+	+	+	K	T	.
08-2	+	+	+	+	K	T	.
08-4	+	+	+	+	K	T	Y
08-6	+	+	+	+	K	T	.
08-7	+	+	+	+	R	T	.
08-8	+	+	+	+	K	T	Y
08-9	+	+	+	+	K	T	.
08-10	+	+	+	+	K	T	Y
08-11	+	+	+	+	K	T	.
08-12	+	+	+	+	K	T	Y
08-13	+	+	+	+	K	T	Y

563 “+” indicates that the sequence has a potential N-glycosylation site on the position shown on the top; “-” indicates
 564 no N-glycosylation site on the corresponding site; “.” indicates the amino acid was consistent with epitope on the
 565 top.

566

567 **TABLE 4** The neutralizing sensitivity of mutations to PGT135

Mutant clones	IC50(ug/mL)	
	PGT135	2G12
05-8 (D332N)	0.57	1.76
05-3 (N334S)	6.68	0.82
05-4 (N334S)	1.54	1.89
05-5 (N334S)	0.66	0.97
05-6 (N334S)	5.05	>10

05-9 (N334S)

0.90

1.66

568 “>10” means couldn’t be neutralized by PGT135.

569

570 **TABLE 5** Similarity of amino acid sequence of consensus 05 functional Env with other 05 functional
571 Envs

05 Envs	% Identity ^a	% Similarity ^b
Con 05	100.0	100.0
05-3	98.0	99.5
05-8	99.5	99.6
05-4	99.3	99.5
05-5	99.3	99.5
05-6	98.5	99.3
05-9	98.7	99.5

572 ^a“% identity” refers to the degree of correlation between two un-gapped sequences and indicates that the amino
573 acid at the particular position is an exact match. ^b“% similarity” refers to the degree of resemblance between two
574 sequences and indicates that the amino acids at a particular position have some properties in common (e.g., charge
575 or hydrophobicity) but are not identical.

576

577 **TABLE 6** Neutralizing sensitivity of V1/V1V2/V2 chimeras to PGT135

06、08 Chimeric clones	IC50(ug/ml)
V1 chimera	
06-2(05-8 V1)	-
06-4(05-8 V1)	-
08-1(05-8 V1)	-

08-2(05-8 V1)	>10
08-7(05-8 V1)	-
08-8(05-8 V1)	1.19
08-9(05-8 V1)	-
08-10(05-8 V1)	-
08-11(05-8 V1)	5.8
08-12(05-8 V1)	>10
08-13(05-8 V1)	8.3
V1V2 chimera	
08-1(05-8 V1V2)	-
08-2(05-8 V1V2)	>10
08-8(05-8 V1V2)	-
06-4(05-8 V1V2)	-
08-10(05-8 V1V2)	-
08-11(05-8 V1V2)	-
08-12(05-8 V1V2)	>10
V2 chimera	
08-8(05-8 V2)	-
08-10(05-8 V2)	-
08-12(05-8 V2)	>10

578 “-” indicates non-functional chimera; “>10” means couldn’t be neutralized by PGT135.

579

580 **TABLE 7** Neutralizing sensitivity of C2/V4/V1-V3 chimeras to PGT135

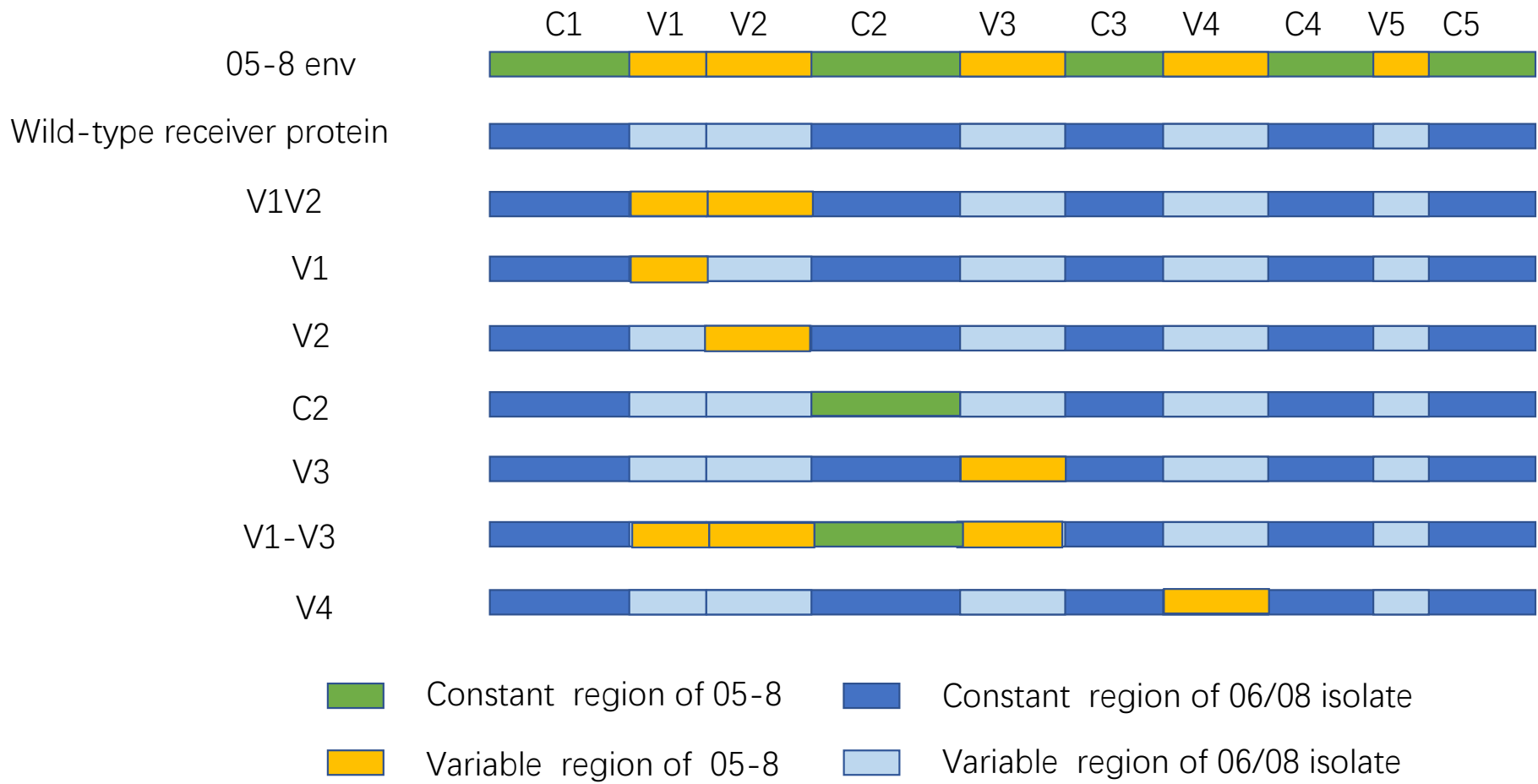
06、08 Chimeric clones	IC50(ug/ml)
C2 Chimera	

06-4(05-8 C2)	-
08-4(05-8 C2)	8.3
08-10(05-8 C2)	>10
08-12(05-8 C2)	>10

V4 Chimera	
06-1(05-8 V4)	2.74
08-2(05-8 V4)	-
08-6(05-8 V4)	0.26

V1-V3 Chimera	
06-1(05-8 V1-V3)	>10
06-4(05-8 V1-V3)	>10
08-1(05-8 V1-V3)	-
08-2(05-8 V1-V3)	>10
08-4(05-8 V1-V3)	>10
08-6(05-8 V1-V3)	>10
08-8(05-8 V1-V3)	4.77
08-9(05-8 V1-V3)	>10
08-10(05-8 V1-V3)	2.6
08-11(05-8 V1-V3)	-
08-12(05-8 V1-V3)	1.18
08-13(05-8 V1-V3)	>10

581 “-” indicates non-functional chimera; “>10” means couldn’t be neutralized by PGT135.

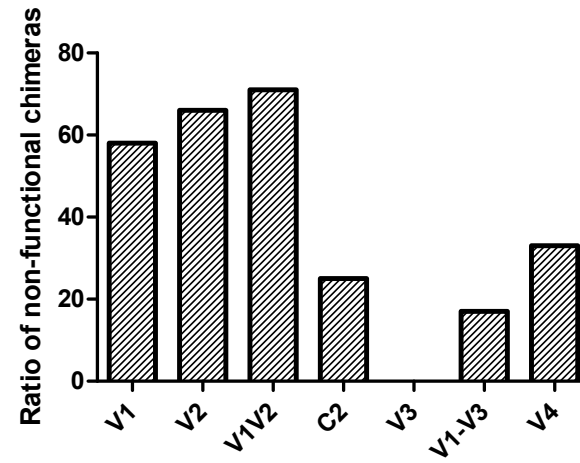


	131		157	
HXB2	CTDLKNDTNTNS	-----SSGRMIMEK	GGEIKNC	27
CON B	CTDLMNATNTNT	-----TI--IYRWR	GGEIKNC	25
05-8	CADLRNTTNN	-----SSTTEG	GGEIKNC	22
05-3	CADLRNTTNT	-----SITTEG	GGEIKNC	22
05-4	CADLRNTTNT	-----SITTEG	GGEIKNC	22
05-5	CADLRNTTNT	-----SITTEG	GGEIKNC	22
05-6	CADLRNTTNT	-----SITTEG	GGEIKNC	22
05-9	CADLRNTTNT	-----SITTEG	GGEIKNC	22
06-1	CTNLNTTDNNS	-----NISSPTEG	GGEIKNC	25
06-2	CTDWRNTDNTSGNNTNSTSGNSTDSAGGNISSPTEG	GGEIKNC	42	
06-4	CTNLNTTDNNS	-----NISSPTEG	GGEIKNC	25
08-1	CTDWKNTNNSNNNTS	-----SNSNSTIGTIEG	GGEIKNC	33
08-2	CTDWKNTNNSNNSS	-----NNSNSTIGTIEG	GGEIKNC	33
08-4	CTDWKPTNNSSSNSSNS	-----SSNSSSFNSTIEA	GGEIKNC	38
08-6	CTDWKNTNNSSHNSS	-----SNSNSTIGTIEG	GGEIKNC	33
08-7	CDDLRTTNT	-----SITTEG	GGEIKNC	22
08-8	CTDWKPTNNSSSNSSNS	-----SSNSSSFNSTIEG	GGEIKNC	38
08-9	CTDWKNTNNSRNNSS	-----SNSNSTIGTIEG	GGEIKNC	33
08-10	CTDWKPTNNSSSNSS	-----NSSSFNSTIEG	GGEIKNC	34
08-11	CTDWKNTNNSNNNTS	-----SNSNSTIGTIEG	GGEIKNC	33
08-12	CTDYTNGNNTNNTSGSI	-----TNSTSDIINSTIEG	GGEIKNC	37
08-13	CTDWKPTNNSSSNSSNS	-----SSNSSSFNSTIEA	GGEIKNC	38

a.

	296		331	
HXB2	↓	CTRPNNNTRKRIRIQRC	PGRAFVTIG	KIGNMROAHC 36
05-8		CTRPNNNTRKSTP--L	GLGRAWYTTGQI	IGDIROAHC 35
05-3		CTRPNNNTRKSTP--L	GLGRAWYTTGQI	IGDIROAHC 35
05-4		CTRPNNNTRKSTP--L	GLGRAWYTTGQI	IGDIROAHC 35
05-5		CTRPNNNTRKSTP--L	GLGRAWYTTGQI	IGDIROAHC 35
05-6		CTRPNNNTRKSTP--L	GLGRAWYTTGQI	IGDIROAHC 35
05-9		CTRPNNNTRKSTP--L	GLGRAWYTTGQI	IGDIROAHC 35
06-1		CTRPNNNTRKSTH--L	GPGRAWYATGEI	IGNIROAHC 35
06-2		CTRPNNNTRKSTH--L	GPGRAWYATGEI	IGNIROAHC 35
06-4		CTRPNNNTRKSTH--L	GPGRAWYATGEI	IGNIROAHC 35
08-1		CTRPSNNTRKRMT--L	GPGRVWYTTGQI	IGDIROAHC 35
08-2		CTRPSNNTRKRMT--L	GPGRVWYTTGQI	IGDIROAHC 35
08-4		CTRPGNNTRKRVT--L	GPGRVWYTTGQI	IGDIRKAYC 35
08-6		CTRPSNNTRKRMT--L	GPGRVWYTTGQI	IGDIROAHC 35
08-7		CTRPNNNTRKSTIS--L	GLGRAWYTTGQI	IGDIROAHC 35
08-8		CTRPGNNTRKRIT--L	GPGRVWYTTGQI	IGDIRKAYC 35
08-9		CTRPSNNTRKRMT--L	GPGRVWYTTGQI	IGDIROAHC 35
08-10		CTRPGNNTRKRVT--L	GPGRVWYTTGQI	IGDIRKAYC 35
08-11		CTRPSNNTRKRMT--L	GPGRVWYTTGQI	IGDIROAHC 35
08-12		CTRPGNNTRKRVT--L	GPGRVWYTTGQI	IGDIRKAYC 35
08-13		CTRPGNNTRKRVT--L	GPGRVWYTTGQI	IGDIRKAYC 35

b.



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HXB2 : MRVKE---KYQHLWRWGWGWTMLLGLMLMICSATEKLWTVVYGVVWKEATTTLFCASDAKAYDTEVHNWVTHACVPTDPNPQE
CON515 : MRVTGIRRNYYQHLW----RWGKRLGLMLMICSAAENLWTVVYGVVWKEATTTLFCASDAKAYDTEVHNWVTHACVPTDPNPQE
CON437 : MRVMGIRKNYQHLW----RWGTMLLGLMLMICSAAENLWTVVYGVVWKEATTTLFCASDAKAYDTEVHNWVTHACVPTDPNPQE
                                     131                V1                157

HXB2 : VVLVNVTFENFMWKNMVEQMHEDIISLWDQSLKPCVKLTPLCVSLKCTDLKNDTNTNS-----SSGRMIMEKGEIKNCSFNIST
CON515 : VVLGNVTFENFDMWKNMVEQMHEDIISLWDQSLKPCVKLTPLCVTLNCTDWKNTNNSNNSNSNSIXSTIEGGEIKNCSFNITTT
CON437 : VVLKKNVTFENFMWKNMVEQMHEDIISLWDQSLKPCVKLTPLCVTLNCTNYNNTSSN-----ASSTIEGEMKNCSEFNVTIT
                                     V2                196

HXB2 : SIRGKVKQKEYAFFYKLDIIPIDNDTTSYKLTSCNTSVITQACPKVSFEPIPIHYCAPAGFAILKCNKTFNGTGPCTNVSTVQCTH
CON515 : SIKTKV--TDHALFYKLDIVPIDNDTTSYRLIXCNTSVITQACPKVSFEPIPIHYCAPAGFAILKCNKTFNGTGPCTNVSTVQCTH
CON437 : SIKTKV--KDYHALFYKLDIVPIDNDTTSYRLIXCNTSVITQACPKVSFEPIPIHYCAPAGFAIKCNKTFNGTGPCTNVSTVQCTH
                                     296                300 306 308                V3                316 319 322                331

HXB2 : GIRPVVSTQLLNGSLAEEVVIRSNFTDNAKTIIVQLNTSVEINCTRPNNTRKRIRIORGPGRAFVTIG-KIGNMRQAHNCNIS
CON515 : GIRPVVSTQLLNGSLAEEVVIRSNFNSNAKTIIVQLNLSVEINCTRPNNTRKRIIT--LGPGRWYFTGQIIGDIRQAHNCNIS
CON437 : GIRPVVSTQLLNGSLAEEVVIRSNFNSNAKTIIVQLNLSVEINCTRPNNTRKRIIT--LGPGRWYFTGQIIGDIRQAHNCNIS
                                     385                389 397*398                V4                406 410*411                418

HXB2 : RAKWNNLTQKIASKLREQFGNNTIIFKQSSGGDPEIVTSHFNCGGEFFYCNSTQLFNSTWFNSTWSTEGSNTEGSDTITLPCRI
CON515 : RAKWNNLTQLITEKLREQFG-NKTIIFKQSSGGDPEIVMHSFNCGGEFFYCNSTQLFNSTWNGNS---TWNDT-TGEDPITLPCRI
CON437 : RAKWNNLTQLITEKLREQFG-NKTIIFKQSSGGDPEIVMHSFNCGGEFFYCNSTQLFNSTWNGNS---TWNDT-TGEDPITLPCRI
                                     460 V5 469
                                     *460

HXB2 : KQIINMWQKVGKAMYAPPISGQIRCSSNITGLLLTRDGGNSNNESEIFRPGGGMDRDNWRSELYKYKVVKIEPLGVAPTKAKRRVV
CON515 : KQIVNMWQEVGKAMYAPPTRGQIRCSSNITGLLLTRDGGNNTNGTETFRPGGGMDRDNWRSELYKYKVVKMEPLGVAPTKAKRRVV
CON437 : KQIVNMWQEVGKAMYAPPISGQIRCSSNITGLLLTRDGGNEINNTETFRPGGGMDRDNWRSELYKYKVVKIEPLGVAPTKAKRRVV
                                     511

HXB2 : QREKRAVGLGALFLGFLGAGSTMGAASMTLTVQARQLLSGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARVLAVERYLKDQQ
CON515 : QREKRAVGMTGAMFLGFLGTAGSTMGAASLTLTVQARQLLSGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARVLAVERYLKDQQ
CON437 : QREKRAA--LGAMFLGFLGAGSTMGAASITLTVQARQLLSGIVQQQNNLLRAIEAQQHLLQLTVWGIKQLQARVLAVERYLKDQQ

HXB2 : LLGIWGCSGKLICTTAVPWNASWSNKSLEQIWNHTTWMEWDREINNYTSLTHSLIEESQNQOEKNEELLELDKWASLWNWFNITN
CON515 : LLGLWGCSGKLICTTAVPWNASWSNKSLSAIWDNMTWMEWEREIDNYTREIYTLIEESQNQOEKNEELLELDKWASLWNWFNITN
CON437 : LLGIWGCSGKLICTTAVPWNASWSNKSQSEIWDNMTWMEWEREIDNYTSLTHSLIEESQNQOEKNEELLELDKWASLWNWFNITN
                                     *611

HXB2 : WLWYIKLFIMIVGGLVGLRIVFAVLSIVNRVROGYSPLSLOTREPTORGPDRPEGIEEGERDRDRSRLVNGSIALWDDLRLSL
CON515 : WLWYIKLFIMIVGGLIGLRIIVFAVLSIVNRVROGYSPLSLOTREPTORGPDRPEGIEEGERDRDRSRLVNGSIALWDDLRLSL
CON437 : WLWYIKLFIMIVGGLVGLRIIVFAVLSIVNRVROGYSPLSLOTREPTORGPDRPEGIEEGERDRDRSRLVNGSIALWDDLRLSL

HXB2 : CLFSYHLRLDQLLIVIRIVELLGRRGWEALRYWNNLQYWSQELKNSAVSLLNATAIAVAEGTDRVIEVAQRAFAIRNIPRIRIQ
CON515 : CLFSYHLRLDQLLIVIRIVELLGRRGWEALRYWNNLQYWSQELKNSAISLLNATAIAVAEGTDRVIEVAQRAFAIRNIPRIRIQ
CON437 : CLFSYHLRLDQLLIVIRIVELLGRRGWEALRYWNNLQYWSQELKNSAISLLNATAIAVAEGTDRVIEVAQRAFAIRNIPRIRIQ

HXB2 : G E R I L L *
CON515 : G E R I L L *
CON437 : G E R I L L *
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