

Mining underutilized whole-genome sequencing projects to improve 16S rRNA databases

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Ben Nolan^{1, 2}, Florence Abram¹, Fiona Brennan³, Ashleigh Holmes⁴, Vincent O’Flaherty²,
Leighton Pritchard^{5, 6*}, and Nicholas R. Waters^{1, 3, 5*}

¹ Functional Environmental Microbiology, Microbiology, School of Natural Sciences, National University of Ireland, Galway, Ireland

² Microbial Ecology Laboratory, Microbiology, School of Natural Sciences, National University of Ireland, Galway, Ireland

³ Soil and Environmental Microbiology, Environmental Research Centre, Teagasc, Johnstown Castle, Wexford, Ireland

⁴ Cell and Molecular Sciences, James Hutton Institute, Invergowrie, Dundee DD2 5DA, Scotland

⁵ Information and Computational Sciences, James Hutton Institute, Invergowrie, Dundee DD2 5DA, Scotland

⁶ Strathclyde Institute of Pharmacy and Biomedical Sciences, University of Strathclyde, Glasgow, G1 1XQ, Scotland

* Corresponding authors: leighton.pritchard@strath.ac.uk or nick.waters@bioskryb.com

Abstract

Current approaches to interpreting 16S rDNA amplicon data are hampered by several factors. Among these are database inaccuracy or incompleteness, sequencing error, and biased DNA/RNA extraction. Existing 16S rRNA databases source the majority of sequences from deposited amplicon sequences, draft genomes, and complete genomes. Most of the draft genomes available are assembled from short reads. However, repeated ribosomal regions are notoriously difficult to assemble well from short reads, and as a consequence the short-read-assembled 16S rDNA region may be an amalgamation of different loci within the genome. This complicates high-resolution community analysis, as a draft genome’s 16S rDNA sequence may be a chimera of multiple loci; in such cases, the draft-derived sequences in a database may not represent a 16S rRNA sequence as it occurs in biology. We present Focus16, a pipeline for improving 16S rRNA databases by mining NCBI’s Sequence Read Archive for whole-genome sequencing runs that could be reassembled to yield additional 16S rRNA sequences. Using riboSeed (a genome assembly tool for correcting rDNA misassembly), Focus16 provides a way to augment 16S rRNA databases with high-quality re-assembled sequences. In this study, we augmented the widely-used SILVA 16S rRNA database with the novel sequences disclosed by Focus16 and re-processed amplicon sequences from several benchmarking datasets with DADA2. Using this augmented SILVA database increased the number of amplicon sequence variants that could be

32 assigned taxonomic annotations. Further, fine-scale classification was improved by revealing ambiguities. We
33 observed, for example, that amplicon sequence variants (ASVs) may be assigned to a specific genus where
34 Focus16-correction would indicate that the ASV is represented in two or more genera. Thus, we demonstrate
35 that improvements can be made to taxonomic classification by incorporating these carefully re-assembled 16S
36 rRNA sequences, and we invite the community to expand our work to augment existing 16S rRNA reference
37 databases such as SILVA, GreenGenes, and RDP.

38 Introduction

39 The use of genetic markers for microbial classification has seen explosive growth over the past decade (Liu et
40 al. 2012; Boers, Jansen, and Hays 2019). The 16S rRNA gene is the standard utilised in the assessment of
41 prokaryotic community composition by amplicon sequencing (Fukuda et al. 2016). 16S rRNA has been used
42 for community analysis in diverse environments such as the gut microbiota of cattle and pigs (Avila-Jaime,
43 Kawas, and Garcia-Mazcorro 2018), soil (Santamaria, Parrado, and López 2018), marine environments (Dang
44 and Lovell 2000), and the human gut (Jovel et al. 2016). The success of this method hinges on the presence of
45 the 16S rRNA gene in all domains and its relatively slow rate of base substitution; thus the rDNA regions can be
46 targeted with primers but the amplicon sequences exhibit enough diversity that organisms can be differentiated
47 at the genus or species level (Woese and Fox 1977; Woo et al. 2008).

48 Microbial genomes have a range of 16S rRNA gene copy numbers (GCNs), from the many Mycobacteria with
49 a single copy to *Photobacterium damsela* Phdp Wu-121 with 21 copies (Větrovský and Baldrian 2013; Stoddard
50 et al. 2015; Acinas et al. 2004). There may be variability between each 16S rDNA copy within an organism (Sun
51 et al. 2013); this can negatively impact 16S rRNA classification in two ways. First, in taxa with low variability,
52 diversity estimates can be skewed by overestimating taxa with higher GCN and underestimating those with low
53 GCN. A trivial example would be a community of two organisms – one with five rDNA copies, one with a single
54 copy; an even sequencing of the community would show a one-to-five abundance ratio. Second, some organisms
55 have sufficient sequence variability between copies that they may be assigned different taxonomic classifications;
56 indeed, certain extremophiles have been reported to possess very high 16S rRNA copy heterogeneity, up to 9.3%
57 sequence variation in some species (Sun et al. 2013); this is well beyond the 97% or 99% clustering thresholds
58 commonly used for community analysis, and clearly beyond the zero-radius OTU boundary (Edgar 2018).

59 These intergenomic rDNA repeats complicate community analysis, but each instance of the 16S rRNA
60 contains valuable information. An ideal community analysis framework would utilize a database incorporating
61 this information to both correct for copy number variation between organisms in a community, and correctly
62 relate 16S rRNA variants to each organism.

63 Amongst the most widely used 16S rRNA databases for bacteria and archaea are Greengenes (DeSantis et al.
64 2006), SILVA (Quast et al. 2012), and the Ribosomal Database Project (RDP) (Cole et al. 2005). Each contains
65 16S rRNA sequences derived from multiple major international nucleotide sequence databases, principally EMBL/
66 DDBJ and Genbank. The databases differ in their approach to sequence classification. The RDP database uses

67 the RDP classifier to assign taxonomy to 16S rRNA sequences (Wang et al. 2007). SILVA and Greengenes
68 inherit a sequence's taxonomic assignment from the source database (such as NCBI or EBI). SILVA provides a
69 non-redundant database version in which no taxonomic classification contains sequences with greater than 99%
70 pairwise identity (Quast et al. 2012). Although each database performs sequence quality checks, only Greengenes
71 actively checks for chimeric sequence, which can negatively affect 16S taxonomic assignment (DeSantis et al.
72 2006).

73 The National Centre for Biotechnology Information (NCBI) provides multiple databases, including the
74 Sequence Read Archive (SRA) (Kodama, Shumway, and Leinonen 2011) for raw high-throughput sequencing
75 data, and the Genome database as an umbrella for draft and complete genomes. Not all genome sequences
76 in the NCBI Genome database have publicly available raw data in the SRA, and only 10% of genomes in the
77 database are closed or complete (Waters et al. 2018). Tabulating the accession types of the SILVA 132 database
78 shows that 9.5% of sequences come from draft genome assemblies; the vast majority (87%) are obtained as
79 amplicon sequences (usually Sanger sequenced), and the remaining 2% come from complete genomes. While
80 Sanger-sequenced amplicons are generally very accurate, a common weakness of draft assemblies from short-read
81 sequencing is incorrect assembly of repeated rDNA regions of a genome, which may be collapsed/merged into
82 a single rDNA. The resulting 16S rRNA sequence could in turn be incorporated into SILVA, GreenGenes, or
83 RDP. This compromises the quality of 16S rRNA databases, and such sequences should be treated with caution.
84 Genome assemblies from short reads are prone to errors in rDNA regions, as the length of the repeated region
85 exceeds read lengths. PCR spanning the rDNA region, followed by Sanger sequencing, or the use of long-read
86 technologies such as PacBio or Nanopore sequencing, can resolve these multiple copies but, as the majority of
87 the data generated over the last two decades comes from short read sequences, fixing collapsed regions remains a
88 valuable goal (Land et al. 2015; Wagner et al. 2016).

89 The correct re-assembly of multiple rDNA regions of draft genomes can be achieved using riboSeed, which
90 uses a reference genome to help assemble the rDNA regions of a draft genome (Waters et al. 2018). riboSeed
91 exploits the observation that the flanking regions of the rDNA region are highly conserved within a taxon
92 yet variable between rDNA copies in the same genome, by using targeted subassembly to correctly place each
93 re-assembled copy of multi-locus rDNA repeats. The knock-on effect of assembling multi-copy rDNA operons is
94 acquiring highly-accurate 16S rRNA sequences, which can be incorporated into 16S rRNA databases.

95 Here, we present the results of using such an approach to augment existing 16S rRNA databases with
96 newly-assembled sequences from the SRA data corresponding to pre-existing draft genomes. The additional
97 sequences provide greater coverage of ASVs in publicly-available datasets, aiding efforts to understand microbial
98 communities.

99 Methods

100 The Focus16 Pipeline

101 We developed Focus16: a pipeline to augment existing 16S rRNA databases by mining the SRA database
102 for candidate whole-genome sequencing studies for re-assembly. Candidate SRAs are identified, downloaded,
103 subjected to automated quality control, re-assembled with riboSeed to resolve the rDNA operons, given a
104 taxonomic assignment with Kraken, and formatted for addition to existing databases. Kraken2 assigns taxonomy
105 using exact matches to a lowest common ancestor for k-mers from the whole genome assembly, mitigating the
106 risk of misclassification compared to using a 16S rRNA classifier alone.

107 The pipeline is shown as a flowchart (Figure 1). Details of third-party tools used in Focus16 can be found in
108 the Supplementary Methods section “Third-party software.”

109 Given a genus or “Genus species” binomial, the pipeline progresses as follows:

- 110 1. Candidate reference genomes are either provided or are identified and downloaded from RefSeq by matching
111 the provided organism name.
- 112 2. Barrnap (Seemann 2020) is used to screen these complete genomes by estimating the 16S rRNA count.
113 Reference genomes with a single 16S rRNA are discarded. This catches two cases:
 - 114 a. An organism may only have a single 16S rRNA. In this case riboSeed assembly will not improve on
115 existing draft genomes.
 - 116 b. A draft genome may have been incorrectly attributed the classification of “complete,” and present as
117 having a single rRNA sequence when it in fact has more than one. Any such errant reference genomes
118 are therefore discarded, and the remaining references are available for use in the pipeline.
- 119 3. A Mash (Ondov et al. 2016) sketch is generated from the references passing the filtering in step 2.
- 120 4. sraFind (<https://github.com/nickp60/sraFind>) is used to identify all whole-genome sequencing SRA
121 accessions for the organism of interest; these are downloaded with fastq-dump or fasterq-dump (“Sra-Tools”
122 2019). Steps 4a-4e are applied to each SRA.
 - 123 a. **Identify closest reference genome.** For a given SRA, the most compatible reference genome is
124 determined via plentyofbugs (Waters 2019), which performs an initial assembly with the fast and
125 highly-accurate assembler SKESA (Souvorov, Agarwala, and Lipman 2018) using a subsample of
126 1M reads. Mash is used to identify the closest match between the preliminary assembly and all the
127 reference genomes from step 1. If no close match above a user-defined threshold (defaulting to a Mash
128 distance of 0.1, roughly corresponding to a within-genus match (Ondov et al. 2016)) is identified, the
129 SRA is skipped; otherwise, the closest match is retained for use as a reference genome.

- 130 b. **Classify Assembly.** Kraken2 (Wood, Lu, and Langmead 2019) is used to assign taxonomic classifi-
131 cation to the preliminary SKESA assembly. The highest-ranked binomial name is recorded, and the
132 full Kraken2 report is stored in the output folder for inspection.
- 133 c. **Pre-Assembly Quality Control.** Reads are run through several quality control steps. The average
134 length of the reads is checked; an SRA that contains reads of very low length (i.e. an average less than
135 65bp) is rejected, as very short reads cannot be used effectively by riboSeed to differentiate rDNA
136 flanking regions. Reads are then quality trimmed with Sickle (Joshi and Fass 2011) using default
137 parameters. fastp (Chen et al. 2018) is used to identify and remove any remaining adapter sequences.
138 For paired-end runs, unpaired reads are rejected.
- 139 d. **Downsample.** Read coverage is assessed using either a user-provided estimate of genome length
140 or the length of the reference genome. If read coverage exceeds a user-specified threshold (defaults
141 to 50x coverage, as further coverage can artificially support sequencing errors; see (Bankevich et al.
142 2012)), trimmed reads are down-sampled to reach the desired coverage with seqtk (Li 2020).
- 143 e. **De fere novo Assembly.** The SRA reads (or downsampled reads from 4d) are then assembled using
144 riboSeed, using the reference genome determined in (2a) as a template genome. Subassemblies are
145 performed with SPAdes (Bankevich et al. 2012); the default parameters of 3 rounds of seeding and
146 1kbp flanking regions are used.
- 147 5. 16S rDNA sequences are extracted and formatted.
- 148 a. Barrnap is run on either the subassemblies (“fast” mode) or the final assembly (“full” mode).
- 149 b. Taxonomy assigned by Kraken2 in step 4b is used to label extracted sequences.
- 150 c. Sequences are written to a fasta file that matches the format used by the SILVA database.

151 In our analysis, we filtered to retain only full-length sequences by removing any 16S rRNA under 1358bp
152 (under the 1st quartile of the sequence lengths in SILVA). Additionally, we removed any 16S rRNA for which
153 Kraken2’s report showed inconclusive domain-level taxonomic assignment: assemblies were excluded if a single
154 domain was not assigned to over 70% of contigs. This was done to remove potentially-contaminated datasets
155 (see Supplementary Methods section “Identifying poor taxonomic assignments,” Figures S4 and S5).

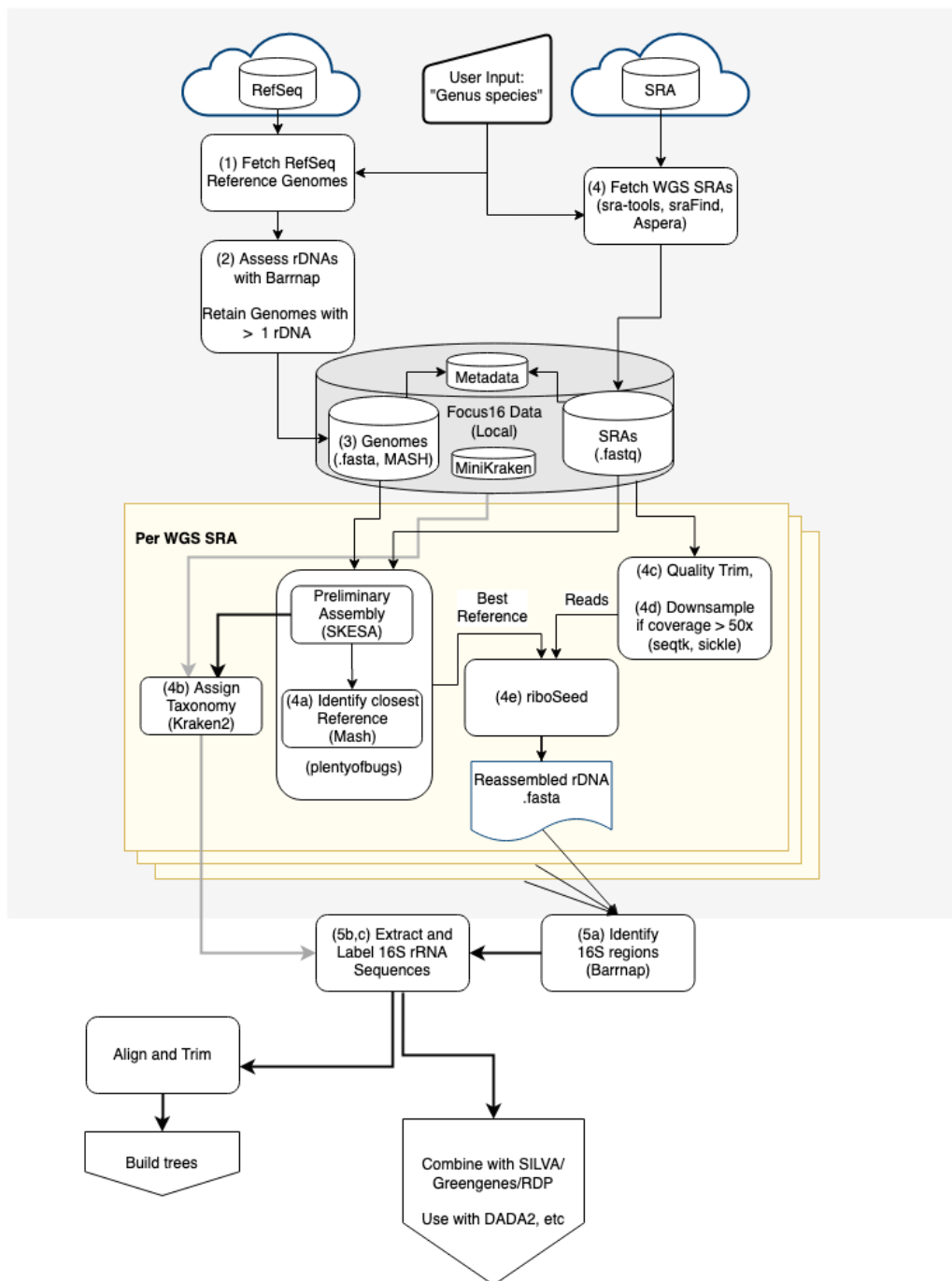


Figure 1: Flowchart of the pipeline that resolves multi-copy 16S loci from sequenced genomes with reads in SRA (as implemented in Focus16). Candidate reference genomes are downloaded from RefSeq. Reads for each SRA are downloaded and Kraken2 is used to assign taxonomy. Corresponding reference genomes and SRA read sets are identified (using SKESA and Mash), and a new assembly constructed from these using riboSeed to resolve 16S rDNAs. The assembled 16S rRNA regions are then taken forward for phylogenetic reconstruction, or to supplement existing reference databases. Numbers refer to stages outlined in the text; gray lines signify to taxonomic information, and black lines signify to sequence information.

156 Implementation

157 The Focus16 pipeline can be installed from PyPI or from the source hosted on GitHub at [https://github.com/](https://github.com/FEMLab/focus16)
 158 FEMLab/focus16; all of the dependencies can be easily managed with Conda for reproducibility. The package
 159 was designed to efficiently handle the downloading and re-assembly of large amounts of short-read data. Users
 160 can use SRA-tools's prefetch command for faster downloads of SRA data; the re-assembly status of each SRA is

Table 1: Description of the four datasets considered in this study. The number of strains was readily available for the mock communities; for the Endobiota study, this was determined by a preliminary analysis using DADA2 and SILVA. Asterisk (*) indicates that this is a calculated number, not a value known beforehand.

Name	N Strains	Reference	Description
Balanced	57	Schirmer et al. 2015	Mock community of bacteria and archaea; strains in equal proportions
HMP	21	Kozich et al. 2013	Mock community with common members of the human microbiome
Extremes	27	Callahan et al. 2016	Mock community of bacteria with greatly varying proportions
Endobiota	292*	Ata et al. 2019	Study comparing microbiomes of women with and without endometriosis

161 recorded in an SQLite database. For those with access to a computing cluster running Open Grid Scheduler, the
162 time-consuming assembly steps can be distributed as array jobs as needed.

163 The first time the pipeline is used, an automated setup procedure is run to download the required databases
164 for Kraken2 and sraFind.

165 Throughout, diagnostic information is recorded; if an aspect of the pipeline fails, rerunning the same command
166 will reuse available intermediate results wherever appropriate.

167 **Selecting suitable test datasets and identifying genera**

168 Three mock communities described in the DADA2 manuscript (Callahan et al. 2016) were selected to assess the
169 utility of Focus16. These communities, named “Extremes,” “HMP,” and “Balanced,” and comprising 27, 21, and
170 57 members respectively (Schirmer et al. 2015; Kozich et al. 2013; Callahan et al. 2016), were sequenced on an
171 Illumina MiSeq yielding over 500,000 250bp paired-end reads each.

172 To provide an assessment of real-world usage of Focus16, we used the data generated in the EndoBiota study
173 (Ata et al. 2019)(PRJEB26800): a survey of microbiomes across three body sites of women with and without
174 endometriosis. These datasets are summarized in Table 1.

175 Unlike the mock communities, the number of genera present in the Endobiota samples is not known *a*
176 *priori*. We estimated the abundances of community members by processing the samples through DADA2 in
177 a similar manner to how the Balanced, Extremes, and HMP datasets had been analyzed. The analysis script
178 `DADA2_analysis.Rmd` can be found in the supplementary materials repository. Processed data was deposited
179 along with the rest of results generated in this work at <https://zenodo.org/record/1172783>. In short, DADA2
180 was used to build error profiles for each of the samples in the study. Reads were then trimmed 30bp on the
181 5’ end and 40bp on the 3’ end, quality trimmed after two low-Q bases, and any residual phi-X sequence was
182 removed. Merged amplicons were filtered to retain those between 360 and 450 bases. 4.2% of sequences were
183 determined to be chimeric and removed. Taxonomy was assigned to the remaining 16S rRNA sequences using
184 DADA2’s `assignTaxonomy` command with the SILVA non-redundant version 132, and species-level taxonomy
185 was assigned using DADA2’s `addSpecies` command as described in their manual.

186 In total, 333 unique genera were identified across the four datasets; these were cross-referenced with sraFind
187 and RefSeq, filtering to retain only those with both short-read SRAs available and at least one reference genome
188 for that genus (Supplementary Figure S2, and Supplementary Table S2). The resulting list of 85 genera was
189 used as the input for the pipeline.

190 Assembly mode parameter choice

191 Candidate 16S rRNA sequences for a given organism could be extracted from riboSeed's subassemblies or the
192 final *de fere novo* assembly, we sought to determine which was the best choice for generating sequence to extend
193 the reference databases. Generating the subassembled sequences alone is a less computationally-intensive process
194 than whole-genome assembly, but the final whole-genome assembly step acts as a further refinement of the
195 subassemblies. To find which source is the best for augmenting 16S rRNA databases, we determined the error
196 (SNP/indel) rates by comparing complete genomes to the sequences recovered from either *de novo* re-assembly
197 of the complete genome, Focus16's "fast" mode (sequences from riboSeed's subassemblies only), and "full" mode
198 (sequences from riboSeed's final *de fere novo* assembly). The *de novo* assemblies were accurate but failed to
199 recover many individual 16S rDNAs, as is expected due to the repeated nature of these regions. riboSeed's
200 subassemblies have low error rates and successfully reconstruct the most 16S rDNAs (Figure 2), and as such are
201 the ones we report below and recommend for augmenting a database.

Accuracy of 16S rRNA sequences by assembly method

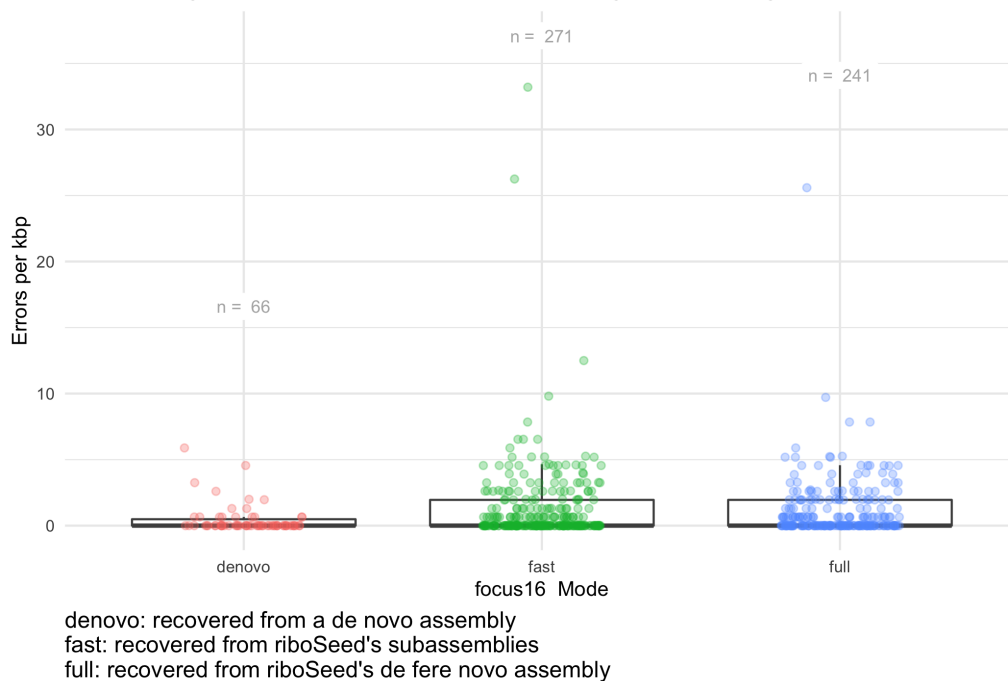


Figure 2: Comparing assembly modes for accuracy. SRAs in our dataset that underwent genome completion were used to identify the most accurate method of 16S rRNA sequence assembly. De novo assembly resulted in highly accurate 16S rRNA sequences, but was only able to recover 66 sequences. 'fast' mode proved to be the best tradeoff between accuracy and efficiency.

202 Running Focus16

203 Focus16 was run in a conda environment (see supplementary repository file "Focus16_env.txt"). Due to
204 computational limitations (namely storage available on the high-performance computing cluster as well as the
205 RAM required for genome assembly), we limited the scope of the analysis to a maximum of 50 randomly selected
206 SRAs for each of the 85 genera. These are listed in the supplementary file `sras.tab` The number of candidate
207 reference genomes to be considered for each genus was capped at 200; the median number of genomes per genus

208 was 9 (see Figure S3). The only genus with more than 200 reference genomes available was *Bordetella*. A
209 maximum Mash distance was set to 0.1 (Ondov et al. 2016) between a preliminary assembly and a reference
210 genome, as this was shown to be the maximum distance between the reference and sequenced isolate that
211 riboSeed performs well with (see Waters et al. (2018) Figure 5). Run scripts are available in supplementary
212 data; the reference genomes considered can be found in Supplementary file `reference_genomes.tab`.

213 **Benchmarking Re-assembled 16S rRNA against Complete Genome 16S rRNA se-** 214 **quences**

215 sraFind was used to identify which SRA accessions corresponded to complete NCBI genomes for the genera
216 considered in this study. These were matched with SILVA sequences sourced from complete genomes (see
217 supplementary data “Provenance of strains”). Pairwise alignments were generated between the riboSeed 16S
218 rRNA sequences and the SILVA sequences using the Biostrings package (Pagès et al. 2020) in “overlap” mode (a
219 global alignment with free ends) with a simple scoring matrix (matches=1, mismatches=0); the highest-scoring
220 alignment for each given reference 16S rRNA was used to identify misassemblies relative to the complete genome’s
221 16S rRNA sequence. Alignments shorter than 1400bp were rejected.

222 **Benchmarking Re-assembled 16S rRNA against Draft 16S rRNA sequences**

223 Similar to the comparison to complete genomes above, we identified the SILVA sequences sourced from draft
224 genome assemblies (see supplementary data “Provenance of strains”). Pairwise alignments were generated between
225 the riboSeed 16S rRNA sequences and the SILVA sequences using the Biostrings package (Pagès et al. 2020) in
226 “overlap” mode (a global alignment with free ends) with a simple scoring matrix (matches=1, mismatches=0);
227 the highest-scoring alignment for each given reference 16S rRNA was used to identify missassemblies relative to
228 the complete genome’s 16S rRNA sequence. Alignments shorter than 1400bp were rejected.

229 **Assessing Taxonomic Assignment**

230 The DADA2 pipeline was used to process each of the four datasets in Table 1. The resulting sequence tables were
231 combined, and we assigned taxonomy with the naive Bayes classifier implemented in DADA2. This classified
232 sequences at the genus level, and DADA2’s “assignSpecies” command was used to assign species-level taxonomy;
233 we enabled the “allowMultiple” parameter to view ambiguities in the assignment. This analysis was used to
234 compare assignment with SILVA 132 alone and assignment with SILVA 132 augmented with sequences generated
235 by Focus16. All scripts can be found in supplementary materials.

236 Results

237 Benchmarking Re-assembly Accuracy

238 riboSeed has been shown to generate high-quality reconstructions of each rDNA region when benchmarked
239 against hybrid assemblies (Waters et al. 2018). Using sraFind, we identified which sequences in SILVA originated
240 from closed, complete genomes; those genome with short-read SRAs were used to benchmark the accuracy of
241 the 16S rRNA sequences re-assembled with the Focus16 pipeline (as described in Methods section) against the
242 sequence in SILVA. In our dataset, 61 of these SRA/complete genome pairs were present.

Accuracy of re-assembled 16S rRNA

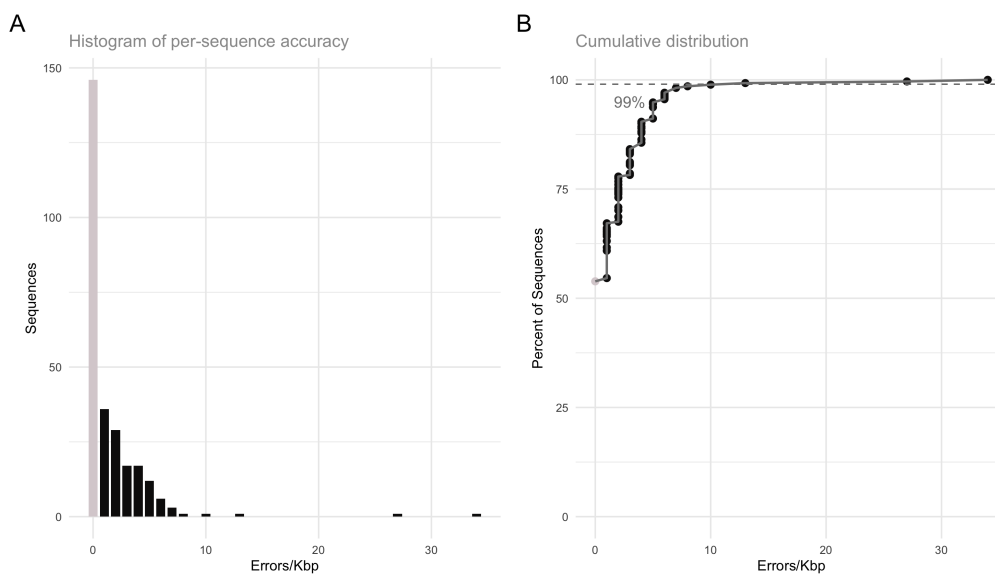


Figure 3: Assembly errors per kilobase calculated between each Focus16 sequence and the reference genome as counts (A) and cumulatively (B). In the genera considered, 285 16S rRNA sequences from 61 complete genomes were present in SILVA; riboSeed recovered 271 of these. 146 of these 16S rRNA alleles were identical between riboSeed and complete genome (grey bars).

243 Comparing the re-assembled 16S rRNA sequences to the 16S rRNA sequences from complete genomes shows
244 a low error rate, with 53% of sequences being perfect reconstructions and 95% of sequences having fewer than 5
245 errors per Kbp (Figure 3). This confirms that Focus16's best-case accuracy yields perfect reconstructions of the
246 rDNA region; those cases for which reconstruction was imperfect rarely have more than 10 errors (an error rate
247 rarely exceeding 0.7%), and 99% of sequences had fewer than 10 errors per Kbp. This suggests that sequences
248 could be used to augment existing databases; the benefits and consequences of this are presented in the discussion.
249 The error rates for amplicon data in SILVA are difficult to determine; under optimal conditions Sanger sequencing
250 has very low error rates (Shendure and Ji 2008); however when multiple sequences are inadvertently sequenced
251 at the same time (i.e. multiple copies from a single organism), the trace will reflect the differences as short,
252 imperfect, or overlapping peaks. As the trace/quality data for amplicon sequences are not typically available, it
253 is impossible to determine the accuracy of such sequences.

254 Comparing re-assembled 16S rRNA to draft 16S rRNA

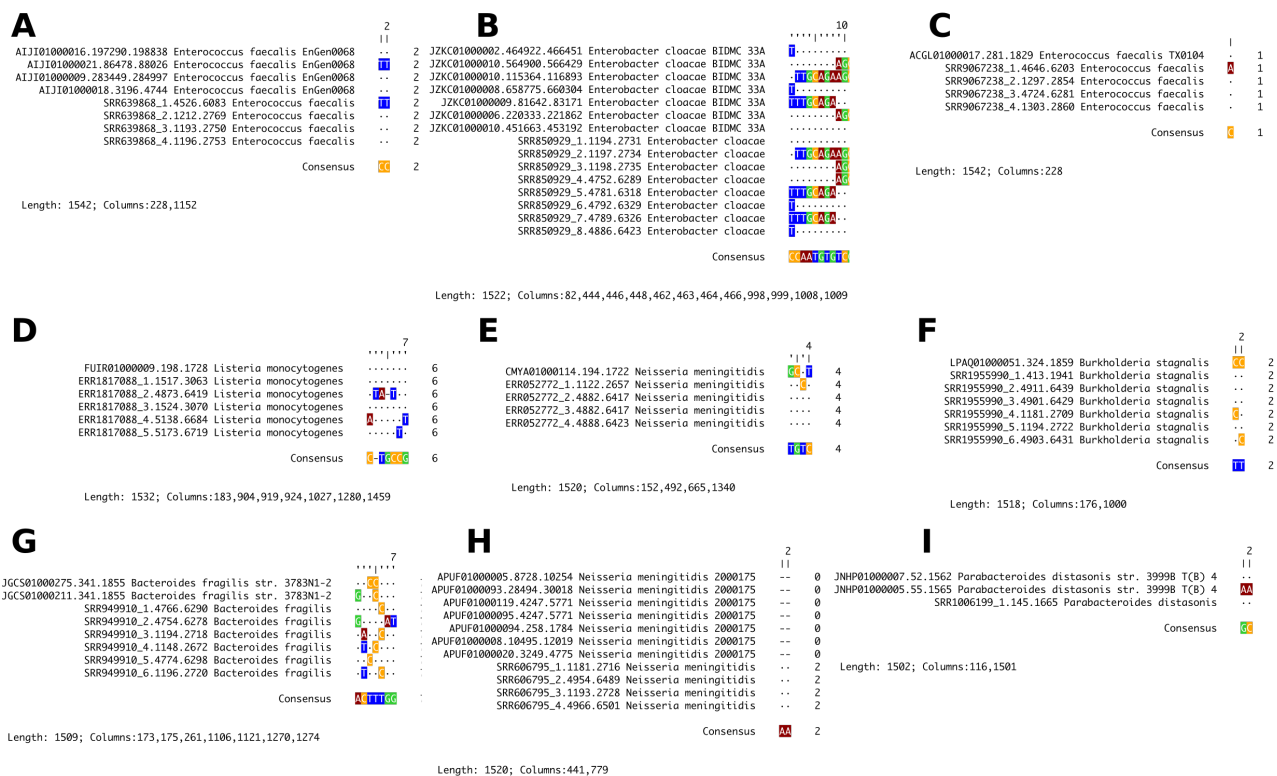


Figure 4: Representative SNP alignments comparing 16S rRNA sequences from original draft assemblies to the re-assembled sequences. Alignments were generated with DECIPHER and all columns matching the consensus were removed; original alignment length and column numbers for each SNP are shown under the sequence names. Names starting with an SRA accession such as ERR and SRR are the re-assembled sequences. The following types of relationships occur: all alleles recovered in original and re-assembled (A,B), sole original sequence misses a single (C) or multiple SNPs (D), disagreement between sole original and re-assembled alleles (E), original sequences appears to be amalgamation of alleles (FG), a deletion is present in original allele (H), re-assembly fails to reconstruct an allele (I).

255 As repeated rDNA operons are difficult to resolve with short read sequences, draft genome assemblies can (and
 256 often do) contain a single assembled rDNA region with elevated read coverage. This can be problematic for
 257 genus or 16S rRNA classification as the 16S rRNA recovered may not just correspond to one of several 16S rRNA
 258 copies, but it can be a consensus “summary”/“collapsed” 16S rRNA resulting from imperfect assembly of the
 259 repeated region. We provide a few examples of such alignments in Figure 4 (see all alignments in supplementary
 260 repository folder `figures/draft_alignments/`).

261 In such cases, without the capacity to verify the regions with Sanger or long-read sequencing, determining
 262 which sequences are the missassemblies and which should be regarded as true is an impossible task.

263 Augmenting SILVA with results from Focus16

264 Recovering Sequences from Re-assembly

265 Focus16 was used to build an extended database for the three mock datasets described in the DADA2 paper
 266 and a real-world dataset from the Endobiota study. From the 85 genera considered, Focus16 processed 2387

267 SRAs, and recovered 16S rRNA sequences from 1392 SRAs. The average execution time for a given SRA was
268 approximately 23 minutes. Several factors can contribute to failing to recover 16S rRNA sequences from a given
269 SRA, and among these are a too-distant reference genome, low rDNA flanking diversity, low read length, or high
270 read error rates. In total, we recovered 5854 16S rRNA sequences, of which 3008 were unique.

271 **Recovery of unique sequences**

272 Ideally, Focus16 would be applied to every eligible SRA currently available, and periodically rerun as more
273 high-quality reference genomes are generated with long-read technologies; in this pilot study, we assessed the
274 increase in unique sequences gained by augmenting SILVA with only the 85 genera found across these four
275 datasets. For thoroughly-sequenced genera such as *Escherichia*, *Pseudomonas*, or *Bacillus*, the increases in
276 unique sequences are small. However, other taxa showed marked increases in the genus-level 16S rRNA sequence
277 diversity (Figure 5).

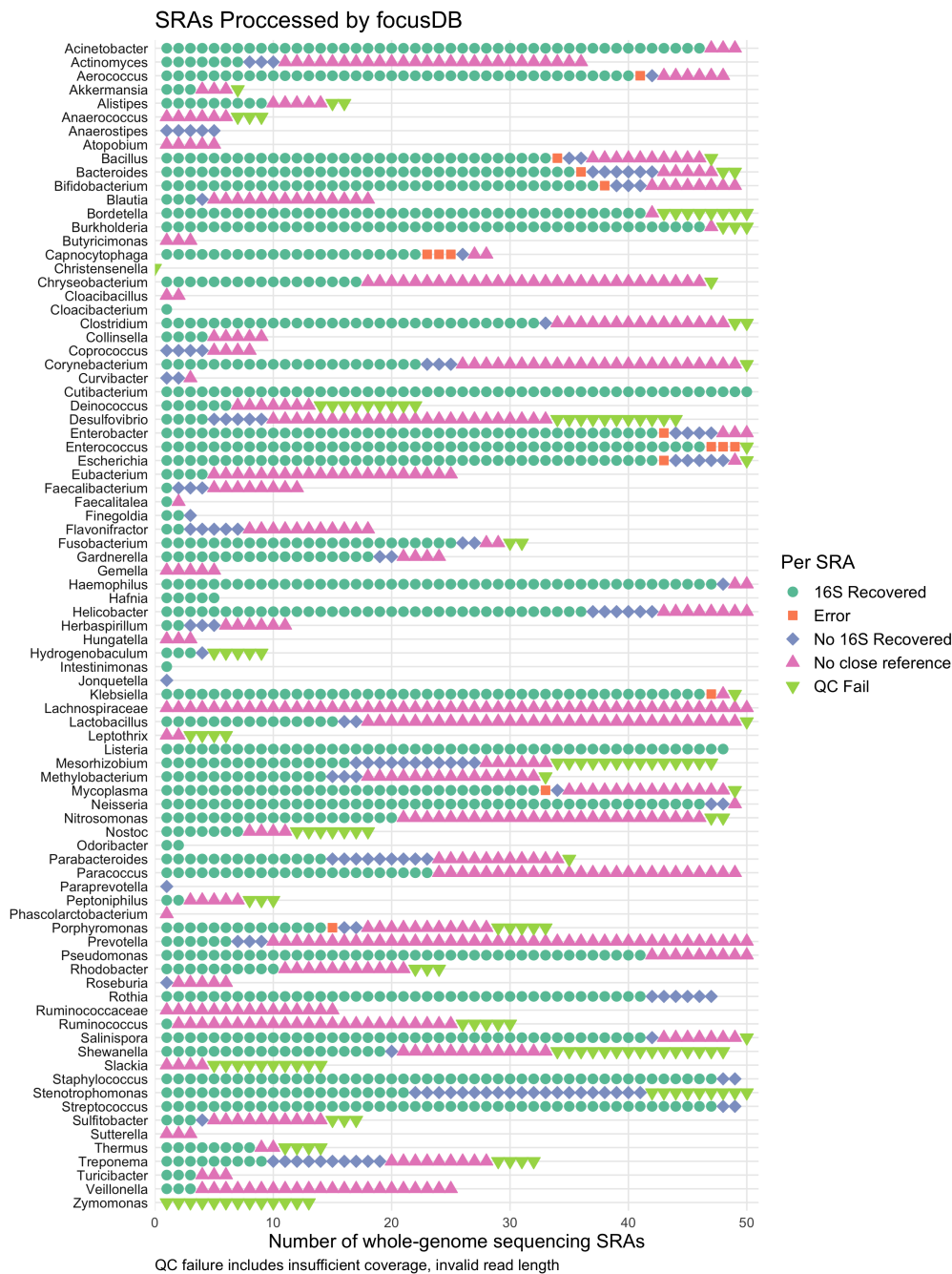


Figure 5: Summary of Focus16 outcomes for reassembling SRA datasets. Green circles indicate SRAs that yielded 16S rRNA sequences, while blue diamonds indicate SRAs failing to yield re-assembled 16S rRNA sequences. Pink triangles show SRAs that were rejected due to limitations in the diversity of available reference genomes, and inverted green triangles show SRAs rejected due to read length, insufficient coverage, poor read quality, etc. A few errors occurred, usually when the SRAs metadata conflicted with the associated sequencing data and caused download errors or errors from reads with incorrect pairing. In these cases, the datasets were discarded.

278 Assessing Taxonomic Assignment

279 DADA2 was used to identify ASVs from four datasets (Table 1), resulting in a total of 4098 ASVs (109 sequences
 280 from the “HMP” dataset, 26 from the “Extreme” dataset, 94 from the “balanced” dataset, and the rest from
 281 the EndoBiota study). We then compared the taxonomic results of classification using the SILVA 132 database
 282 either as-is, or augmented with novel 16S identified with Focus16.

283 Of the 4098 sequences, Focus16 changed the taxonomic assignment of 20 strains (see Table 2, or Supplementary

284 file STABLE_different_assignment.tab for the actual sequences). Changes could happen in three ways: a
 285 previously unclassified ASV gained classification, a previously-assigned ASV gained more species- (or genus-)
 286 level details, or a previously-classified ASV was given a different classification. In our dataset, three unclassified
 287 strains gained annotations (Table 2 rows 5, 10, and 11) . The remaining 17 had more detail added to the genus
 288 or species level; usually, this meant that with SILVA alone a single species classification was given, but with the
 289 augmented database, it was indicated that the ASV was ambiguous and could belong to more than one species
 290 (Table 2 rows 4,6,8,9,12,13,14) or genus (Table 2 rows 1,2,7, 15-20) level. No previously-assigned ASVs were
 291 assigned a completely different annotation.

292 If this pilot study is perfectly representative, users could expect an improved taxonomic assignment for about
 293 0.5% of ASVs; two factors must be considered before extrapolating that value beyond this study. First, we were
 294 limited in the number of SRAs per genus that could be processed (Supplementary Figure 1 shows the number of
 295 SRAs per genus). Second, and perhaps more importantly, the majority of genera in this study are associated
 296 with human microbiomes, an area which has already seen an extensive amount of focus in terms of amplicon
 297 sequencing, whole-genome sequencing, and genome completion efforts. Other environments have not had this
 298 benefit, and perhaps have more room for improvement.

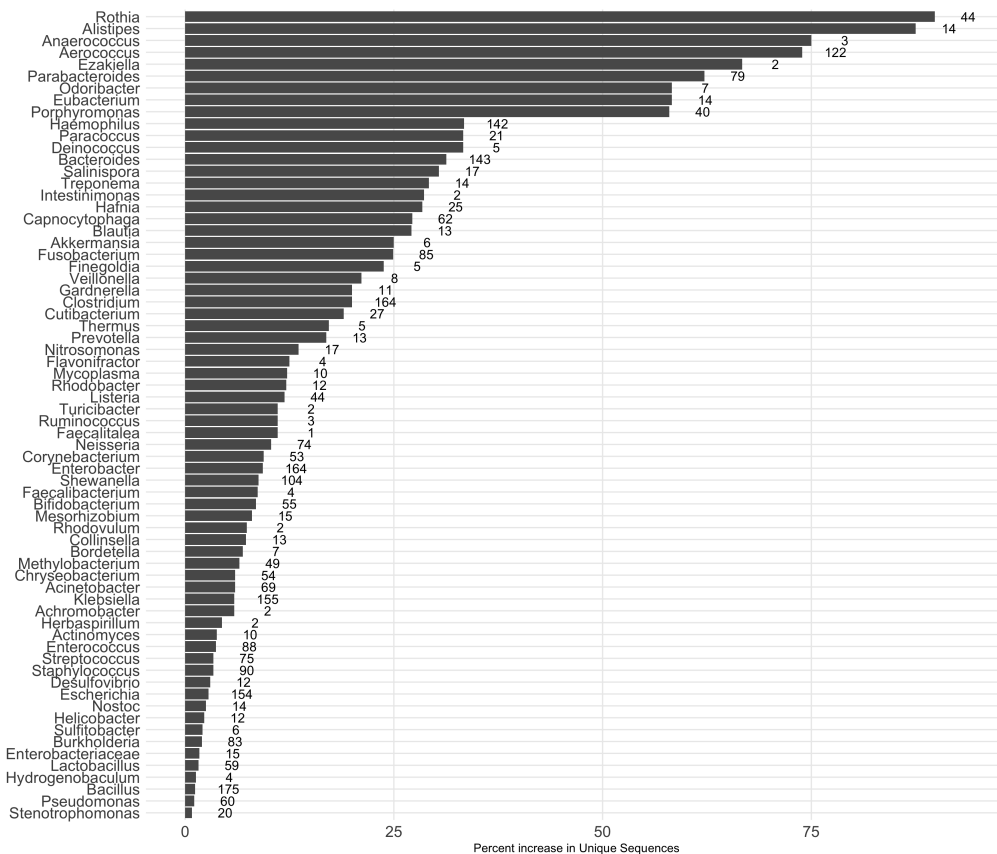


Figure 6: Percentage increase in unique sequences (and number of added unique sequences), by genus. The addition of the sequences recovered by Focus16 increases the number of unique sequences for the given taxa; the greatest increases are found in "under-sequenced" taxa and/or taxa with less well-conserved rRNA sequences.

Table 2: Of the 4098 ASVs aggregated across the four datasets considered, the augmented database modified taxonomic assignment of 20 sequences. Cells reading 'NA NA' indicate that no genus or species could be assigned. Cells reading NA speciesA/speciesB indicate that the lowest common ancestor for a sequence could not be determined at the genus level; in such cases DADA2 gives the designation NA rather than listing possible genera as is done at species level.

	SILVA	SILVA + Focus16
1	Peptoniphilus asaccharolyticus/ grossensis/ harei	NA asaccharolyticus/ grossensis/ harei/ massiliensis
2	Peptoniphilus harei	NA harei/ mediterraneensis
3	NA asburiae/ cancerogenus/ cloacae/ hormaechei/ ludwigii/ pneumoniae/ quasipneumoniae/ xiangfangensis	NA asburiae/ bugandensis/ cancerogenus/ cloacae/ hormaechei/ ludwigii/ pneumoniae/ quasipneumoniae/ xiangfangensis
4	Enterobacter asburiae/ cloacae/ hormaechei/ ludwigii/ mori/ soli/ tabaci/ xiangfangensis	Enterobacter asburiae/ bugandensis/ cloacae/ hormaechei/ ludwigii/ mori/ soli/ tabaci/ xiangfangensis
5	NA NA	Rothia mucilaginoso
6	Blautia massiliensis	Blautia hansenii/ massiliensis
7	Phascolarctobacterium faecium	NA faecium/ fermentans
8	Veillonella dispar	Veillonella dispar/ parvula
9	Gemella haemolysans/ sanguinis/ taiwanensis	Gemella haemolysans/ morbillorum/ sanguinis/ taiwanensis
10	NA NA	Haemophilus parainfluenzae
11	NA NA	Haemophilus parainfluenzae
12	Bacteroides uniformis	Bacteroides helcogenes/ uniformis
13	Alistipes massiliensis	Alistipes massiliensis/ shahii
14	Alistipes obesi	Alistipes finegoldii/ obesi/ shahii
15	Cloacibacterium normanense	NA normanense/ taklimakanense
16	Phascolarctobacterium faecium	NA faecium/ fermentans
17	Listeria innocua/ ivanovii/ marthii/ monocytogenes/ phage/ seeligeri/ welshimeri	NA epidermidis/ innocua/ ivanovii/ marthii/ monocytogenes/ phage/ seeligeri/ welshimeri
18	Listeria innocua/ ivanovii/ marthii/ monocytogenes/ phage/ seeligeri/ welshimeri	NA epidermidis/ innocua/ ivanovii/ marthii/ monocytogenes/ phage/ seeligeri/ welshimeri
19	Rhodobacter johrii/ megalophilus/ ovatus/ sphaeroides	NA johrii/ megalophilus/ ovatus/ sphaeroides/ sulfidophilum
20	Rhodobacter johrii/ megalophilus/ ovatus/ sphaeroides	NA johrii/ megalophilus/ ovatus/ sphaeroides/ sulfidophilum

299 Discussion

300 Focus16 orchestrates the re-assembly of whole-genome sequencing datasets in SRA to recover 16S rRNA sequences
301 that may be missing from the existing reference databases. Using riboSeed, Focus16 re-assembles draft genomes
302 that currently contribute a single (often collapsed) 16S rRNA to resolve distinct instances of the 16S rRNA
303 operon. We show that this increases the sequence diversity (number of unique sequences) of the 16S rRNA
304 databases, and that the increased diversity results in measurable improvements to taxonomic assignment.

305 Focus16 improved fine-scale taxonomic assignment in two ways: by assigning previously unclassified sequences,
306 and by revealing “overeager” species assignment when a 16S rDNA sequence could have come from two or more
307 species. While at face value this appears to reduce the precision of taxonomic assignment, it reveals cases where
308 species-level assignment was inappropriate. Based on the improved taxonomic assignment in this pilot study of
309 85 genera, we believe a wide-scale application of Focus16 could benefit the community.

310 A natural concern about the approach presented here is the danger of “poisoning” the database with sequences
311 that may or may not be 100% accurate. This is valid concern, but one we believe to be outweighed by the
312 potential of offsetting the known problems with existing 16S rRNA databases. Those 16S rRNA sequences in
313 SILVA originating from amplicons lack the taxonomic confidence that comes with whole-genome sequencing.
314 Sequences from draft whole-genome assemblies have known issues with rDNA missassembly; in the best case,
315 only one accurate 16S rRNA is represented; in the worst case, the one assembled 16S rRNA sequence may
316 be an amalgamation of the different copies. Until long-read sequencing efforts sufficiently explore the same
317 microbial genomic diversity currently covered by current 16S rRNA databases, these issues must be considered
318 when attempting community analysis via 16S rRNA sequencing. A conservative approach to utilizing sequences
319 recovered with the methodology presented here may be to incorporate a measure of taxonomic assignment
320 confidence, where reference sequences originating from long reads, amplicons, draft assemblies, and focus16
321 reassemblies could be weighted appropriately.

322 However, there are three main limitations facing the large-scale application of Focus16: the first is the
323 bandwidth, computational power, memory, and storage required to re-assemble the 98,329 SRAs (as of October
324 2019) that were used to generate draft genomes. Given sufficient storage and unfettered access to a medium/large
325 computing cluster such as one supporting a university or research institute (say 150 compute nodes), the task
326 could be completed within two weeks¹, and the task could be accomplished in even less time with a sufficiently
327 large cloud computing budget; however with modest hardware (8 cores, 20GB RAM), this would take about 4
328 years in “-fast” mode. These estimates are ignoring the 112,695 draft genomes for which no reads were ever
329 released, which leads to the second limitation: data availability. Releasing draft genomes without the reads used
330 to generate them hampers efforts such as this one to expand beyond the purpose of the original study.

331 The third limitation of this approach is the availability of high-quality closed genomes to use as references.
332 With the increased adoption of long read technologies, we envisage that this limitation will decrease with time;
333 re-running the pipeline as new, complete reference genomes are generated will allow for ongoing improvements

¹This estimation is based on an rough average processing time of 23 minutes per run, but this is highly dependent on download speed, read/write speed, genome size, and sequencing depth.

334 to the databases. Eventually, a point will come when Focus16 will no longer be needed as all candidate SRAs
335 have been sufficiently utilized.

336 Further limitations exist within Focus16 and within riboSeed. The success of riboSeed's *de fere novo* assembly
337 hinges on the similarity of the reference to the sequenced isolate, the differentiating power of the rDNA flanking
338 regions, read length, and other factors. This is one reason why not all SRAs yielded perfect rDNAs. Additionally,
339 riboSeed does not currently support mate-paired libraries; these are much less widely used than the typical
340 single-end or paired-end libraries used in short-read sequencing.

341 Despite these limitations, we have shown that Focus16 can contribute towards better molecular ecology
342 analysis; augmenting SILVA with the sequences re-assembled from the 85 genera considered here led to a small
343 increase in the number of unique sequences in the database. Using the augmented database for taxonomic
344 assignment revealed some limitations of low-level taxonomic assignment, and led to the classification of additional
345 ASVs. We invite the community to consider augmenting existing databases (such as NCBI's 16S RefSeq Microbial
346 database, SILVA, RDP, and GreenGenes) with the approach outlined here.

347 **Competing interests**

348 The authors declare that they have no competing interests.

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354 **Data Accessibility**

355 The code for Focus16 can be found at <https://github.com/FEMLab/focus16>; the code for all the analyses
356 presented in this work can be found at https://github.com/FEMLab/focus16_manuscript. All data used is
357 archived at Zenodo accession 10.5281/zenodo.3956433.

358 **Author Contributions**

359 Author contributions according to the CRediT taxonomy (Allen, O'Connell, and Kiermer 2019) are listed
360 alphabetically as follows: Conceptualization: LP, NW ; Methodology: FA, BN, LP, NW; Software and Data
361 Curation: BN, NW; Validation: BN, LP, NW; Formal analysis: BN, LP, NW; Investigation: BN, NW; Resources;

362 FA, VOF, LP; Writing - Original Draft: BN, NW; Writing - Review & Editing: FA, FB, VOF, AH, BN, LP,
363 NW; Visualization: BN, LP, NW; Supervision: FA, FB, VOF, AH, LP, NW; Project administration FA, VOF,
364 NW; Funding acquisition: FA, FB, VOF, LP, NW.

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