1   **The RAG1 Ubiquitin Ligase Domain Enhances Assembly and Selection of T Cell Receptor Genes**

2   **to Restrain the Autoimmune Hazard of Generating T Cell Receptor Diversity**

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46   **Running Title:** RAG1 enhances TCR assembly and thymic selection
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Abstract

RAG1/RAG2 (RAG) endonuclease-mediated assembly of diverse lymphocyte antigen receptor genes by V(D)J recombination is critical for the development and immune function of T and B cells. However, this process creates highly self-reactive cells that must be properly selected to suppress autoimmunity. The RAG1 protein contains a ubiquitin ligase domain that stabilizes RAG1 and stimulates RAG endonuclease activity *in vitro*. We report that mice with a mutation that inactivates the RAG1 ubiquitin ligase *in vitro* exhibit modestly decreased assembly and altered repertoires of T cell receptor (TCR) β and α genes in thymocytes and impaired thymocyte developmental transitions that require assembly of these genes and signaling by their proteins. The mice also exhibit a combined phenotype of: 1) decreased expression of TCR signaling proteins in thymocytes, 2) less efficient positive selection of conventional αβ T cells and agonist selection of iNKT cells, 3) impaired superantigen-mediated negative selection of highly self-reactive thymocytes, and 4) CD4$^+$ αβ T cells with elevated autoimmune potential. Our findings demonstrate that the RAG1 ubiquitin ligase domain functions *in vivo* to stimulate TCRβ and TCRα gene assembly and αβ TCR selection, thereby establishing replete αβ TCR diversity and αβ T cell lineages while restraining the inherent autoimmune hazard of creating diverse antigen specificities.

Key Points

The RAG1 ubiquitin ligase domain stimulates TCR α and β gene assembly *in vivo*.

The RAG1 ubiquitin ligase domain enhances αβ TCR selection of thymocytes.

The RAG1 ubiquitin ligase domain limits autoimmune potential of αβ T cells.

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75 **Introduction**
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The ability of T and B lymphocyte populations to express antigen receptors able to recognize a

potentially unlimited number of distinct pathogens is the fundamental basis of adaptive immunity.

RAG1/RAG2 (RAG) endonuclease-mediated assembly of T cell receptor (TCR) and immunoglobulin

(Ig) genes through V(D)J recombination establishes this vast pool of diverse receptors. Germline TCR

and Ig loci consist of variable (V), joining (J), and sometimes diversity (D), gene segments residing

upstream of constant (C) region exons. During T and B cell development, the lymphocyte-specific RAG

complex cooperates with lineage- and developmental stage-specific activation of TCR and Ig loci to

perform V(D)J recombination (1). RAG induces DNA double strand breaks (DSBs) between coding

sequences and flanking recombination signal sequences of two participating gene segments, liberating

hairpin-sealed coding ends and blunt signal ends (2). In a subsequent reaction phase guided by RAG,

DNA repair factors ligate signal ends to generate a signal join and open, process, and ligate coding ends

to create a V(D)J coding join, which comprises the second exon of an assembled V(D)J-C antigen

receptor gene (2). The high number of V(D)J joining events, broad utilization of gene segments, and

inherent imprecision in opening and processing coding ends all cooperate to yield a vast population and

diversity of receptors. The imprecise formation of coding joins creates the third hypervariable

complementarity-determining region (CDR3)-encoding nucleotides of Ig and TCR variable regions. Due

to imprecise means of opening and processing coding ends, the outcomes of V(D)J recombination

include out-of-frame genes unable to make protein and receptors that recognize self-antigens and have

potential for autoimmunity. Accordingly, developing T and B lymphocytes engage quality control

checkpoints to select for potentially beneficial antigen receptor proteins and against hazardous highly

self-reactive receptors.

Both immature T and B cells employ developmental programs that link functional protein expression

from in-frame V(D)J rearrangements to signal either survival and continued differentiation or apoptosis

(1). The maturation of $\alpha\beta$ T cells in the thymus provides a paradigm for how V(D)J recombination and

antigen receptor protein quality control checkpoints cooperate to create a large pool of lymphocytes with

diverse receptors that provides immunity from pathogens without causing overt autoimmunity. After

entering the thymus, early thymocyte progenitor cells differentiate into $CD44^+CD25^+$ stage 2 and then

into $CD44^-CD25^+$ stage 3 $CD4^-CD8^-$ double negative (DN) thymocytes (3, 4). Both DN2 and DN3 cells

express RAG and conduct V(D)J recombination of *Tcrb*, *Tcrd*, and/or *Tcrg* loci (3, 4). Although DN2-

to-DN3 thymocyte maturation can occur independent of RAG and V(D)J recombination, an in-frame

Tcrb rearrangement is necessary for development beyond the DN3 stage (3, 4). The resultant TCRβ

protein associates with invariant pTα protein to produce pre-TCR complexes that signal antigen-

independent survival, proliferation, and differentiation of DN3 cells (β-selection) into $CD44^-CD25^-$

stage 4 DN thymocytes and then $CD4^+CD8^+$ double-positive (DP) thymocytes (3, 4). DP cells express

RAG and conduct V(D)J recombination of *Tcra* loci, which typically proceeds through multiple

successive rounds of V-to-J rearrangement on both alleles (5). After an in-frame VJ rearrangement, the

resulting TCRα protein can pair with TCRβ protein and yield surface $\alpha\beta$ TCRs, which must signal to

prevent death by neglect (6-9). This signal activation requires appropriate physical interactions between

$\alpha\beta$ TCRs and self-peptide/MHC (pMHC) complexes displayed on thymic epithelial cells (TECs) or

dendritic cells (DCs), meaning that a functional $\alpha\beta$ TCR is inherently self-reactive (6-9). Interactions

below a particular low threshold of affinity (or avidity) cannot activate signalling to block apoptosis (6-

9). At the other extreme, contacts above a higher threshold trigger strong signals that causes apoptosis

(negative selection) to delete highly self-reactive cells with substantial autoimmune potential (6-9).

Contacts between these thresholds activate TCR signals of a strength within a range that promotes

survival and differentiation of DP cells (positive selection) into $CD4^+$ or $CD8^+$ single-positive (SP)

thymocytes, which lack RAG expression and exit the thymus as mature αβ T cells (6-9). Strong TCR

signals in DP cells can also activate an alternative agonist selection process that promotes differentiation

of unconventional αβ T cell lineages: regulatory T (T reg) cells, intestinal intraepithelial lymphocytes

(IELs), and invariant natural killer T (iNKT) cells (6, 7). Notably, the αβ TCRs of iNKT cells have

limited diversity and recognize lipids displayed by MHC-like CD1d proteins on another DP thymocyte

(10). This limited diversity renders developing iNKT cells a useful thymocyte population to probe issues

of TCR signal strength without confounding by contribution of TCR specificity.

The RAG1 protein N-terminus has a Zinc-binding RING finger ubiquitin ligase domain of undetermined

relevance for antigen receptor gene assembly. *In vitro,* RAG1 autoubiquitylates on lysine 233 (11),

which enhances RAG endonuclease activity *in vitro* as evidenced by impaired cleavage upon mutation

of this residue (12). *In vitro,* RAG1 also ubiquitylates other proteins including histone H3 within

chromatin (13, 14). RAG1 RING domain mutations that disrupt ubiquitin ligase activity impair RAG-

mediated cleavage and joining of chromatin substrates in cell lines, which could be due to loss of histone

H3 ubiquitylation and/or alteration in RAG1 protein structure (14-16). The C328Y mutation of a critical

structural zinc-binding cysteine of human RAG1 impairs V(D)J recombination and causes severe T and

B cell immunodeficiency associated with uncontrolled proliferation of activated oligoclonal αβ T cells

(17). The analogous C325Y mutation of mouse Rag1 protein (C325Y) *in vitro* destabilizes Rag1 tertiary

structure, abrogates ubiquitin ligase activity, reduces V(D)J recombination (22). Mice with homozygous

Rag1 C325Y mutation have a near complete block of αβ T cell development at the DN3 thymocyte

stage due to impaired *Tcrb* recombination (15, 16). RING domains have a conserved proline (proline

326 in mouse Rag1) that is critical for ubiquitin ligase activity by permitting functional interaction with

ubiquitin-conjugating enzymes (18, 19). Relative to C325Y mutation, P326G mutation abrogates Rag1

ubiquitin ligase activity equivalently, but has substantially less severe effects on both destabilizing

tertiary structure of the Rag1 RING domain and reducing RAG endonuclease activity (16). Accordingly,

P326 mutation of endogenous mouse Rag1 protein allows an approach to elucidate potential physiologic

roles of the RAG1 ubiquitin ligase domain beyond promoting V(D)J recombination by stabilizing RAG1

protein structure.

To determine potential *in vivo* functions of RAG1 ubiquitin ligase activity, we created and analyzed

mice carrying a homozygous Rag1 P326G mutation. We show that these mice exhibit decreased levels

and altered repertoires of *Tcrb* or *Tcra* rearrangements in DN3 or DP thymocytes, respectively,

correlating with lower fractions of these cells selected for further differentiation. When assaying αβ

TCRs expressing specific TCRβ or TCRα chains, we observe diminished efficiencies for superantigen-

mediated negative selection of conventional αβ T cells and agonist selection of iNKT cells. We find that

the αβ TCR signaled upregulation of CD69 is diminished during initiation of positive selection, and the

TCR signaling protein Zap70 is expressed at lower than normal levels in DP cells but not mature αβ T

cells. Finally, we show that mature CD4$^+$ effector αβ T cells of these mice exhibit normal immune

responses to activation, yet confer greater potential for autoimmunity. Our data demonstrate that the

RAG1 ubiquitin ligase domain functions *in vivo* to stimulate TCRβ and TCRα gene assembly and

αβ TCR selection, thereby establishing replete αβ TCR diversity and αβ T cell lineages while

restraining the inherent autoimmune hazard of creating diverse TCR specificities. We discuss how the

RAG1 ubiquitin ligase domain might function *in vivo* to regulate the assembly of TCRβ and TCRα

genes and the selection of αβ TCRs.

186 **Materials and Methods**
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Mice

C57BL/6 background *PG* mice were made through gene-targeting as performed by InGenious Targeting

Laboratory (2200 Smithtown Avenue, Ronkonkoma, NY). The 9.5 kb genomic DNA used to construct

the targeting vector was sub-cloned from a 129Svev BAC clone. The CC>GG double point mutation

was shuttled from pJMJ029[P326G](16) into the targeting vector using unique restriction sites, then

confirmed by sequencing. Linearized targeting vector was electroporated into BA1 (C57BL/6 x

129/SvEv) embryonic stem (ES) cells. After selection with G418 antibiotic, clones were expanded for

PCR analysis to identify recombinant ES clones. Positive clones were screened for short arm integration

and then confirmation of the point mutation was performed by PCR and sequencing. Positive clones

were analyzed by Southern blot to confirm long arm integration. Injected blastocysts were grown in

foster mothers and chimeras were backcrossed against C57BL/6 mice to generate heterozygotes. These

were crossed with CMV-*cre* mice (JAX #006054)(20) to remove the *neo* reporter cassette and generate

the $Rag1^{P326G}$ allele. After confirmation of *neo* cassette removal, the strain was backcrossed against

C57BL/6, and removal of CMV-*cre* was confirmed by PCR. Sequencing of the entire *Rag1* locus in

progeny confirmed the intended CC>GG mutation and an additional T>C point mutation that generates

a V238A substitution outside of the RING finger domain. The strain was deposited at the Mutant Mouse

Regional Resource Center at the University of North Caroline (RAG1-tm1, MMRRC #: 37105). Before

initiating studies described herein, the $Rag1^{P326G}$ allele was backcrossed for nine generations onto the

C57BL/6 background. To generate NOD background *PG* mice, CRISPR/Cas9-mediated gene editing

was conducted in zygotes from *in vitro* fertilization of NOD eggs with NOD sperm. A guide RNA (5'-

AAGCACGGATATCGGCAAGA-3') that hybridizes near Rag1 sequences that encode proline 326 was

generated as described (21). The following mix was electoporated into zygotes: 4µM of purified guide

RNA, 4µM of Cas9-NLS nuclease (qb3 MacroLab Berkeley), 10µM of ssDNA 5'-

C*A*G*AAAGGACTTCACTGGGCTCTCCAGGTCAGTAGGGAAGCACGGATATCGGCAGGAT

CCACAATAGCTGCCCATGACTTTGAGACATCTGAGAATGCAGATCCTACAGAATAGATGCT

T-3' (IDT) that contains nucleotides encoding glycine in place of proline at amino acid 326 and a silent

point mutation of the next codon which introduce a cleavage site for BamHI restriction enzyme. Mice

were screened for introduction of the mutation by PCR with primers 5'-

CGTCGCAAGAGAACTCAGGCT-3' and 5'-TCTCGTCAGTGACAGGAGATGCT-3', followed by

BamHI digestion of the PCR product. Sanger sequencing of PCR products confirmed incorporation of

the desired mutation and no other mutations in the *Rag1* coding sequence. Founders with mutations were

mated with NOD mice to establish NOD *Rag1$^{P326G/+}$* mice, which were bred with each other to generate

NOD *PG* mice and *WT* littermate controls. NOD mice, C57BL/6 background wild-type and *Rag1$^{-/-}$* mice

and BALB/c background *Rag1$^{-/-}$* mice were purchased from Jackson Laboratories. All experimental

mice were littermates or age-matched with control wild-type animals. All experiments were conducted

in accordance with national guidelines and approved by the Institutional Animal Care and Use

Committee (IACUC) of the Children's Hospital of Philadelphia.

Flow Cytometry

Single cell suspensions of all organs were ACK lysed to remove erythrocytes before being stained with

LIVE/DEAD™ Fixable Aqua Dead Cell Stain Kit (Invitrogen) and antibodies against respective surface

antigens (BD Biosciences, eBioscience, and Biolegend). Cells were stained for respective intracellular

proteins using Cytofix/Cytoperm Fixation/Permeabilization solution kit (BD Biosciences). For staining

of intracellular cytokines, cells (1×10^6) were cultured in the absence or presence of 50 ng/ml PMA

(Sigma) and 1μg/ml Ionomycin (Cell Signaling Technology), with 2 μg/ml brefeldin A (Sigma) and 2

μM monensin (eBioscience) for 4 hours at 37°C. After staining for LIVE/DEAD and surface antigens,

cells were stained for respective cytokines using the Cytofix/Cytoperm kit (BD Bioscience) or

transcription factors using the FoxP3/Transcription Factor staining kit (eBiosciences). Data were

acquired on a MACSQuant flow cytometer (Miltenyi Biotec) or LSRII Fortessa (BD Biosciences) and

analyzed using Flowjo software version 10.5.3 (Tree Star). Analyses were conducted with the following

antibodies: anti-CD4 (APC-eFluor 780, clone RM4-5, 1:100), anti-CD25 (BUV395, clone PC61, 1:100),

anti-TCRβ (BV711, clone H57-597, 1:100), anti-CD19 (PE, clone 1D3, 1:100), anti-CD11b (PE, clone

M1/70, 1:100), anti-CD11c (PE, clone HL3, 1:100), anti-TER (PE, clone TER-119, 1:100), anti-TCRγ/δ

(PE, clone GL3, 1:100), anti-NK-1.1 (PE, clone PK136, 1:100), and anti-B220 (PE, clone RA3-6B2,

1:100) from BD Biosciences; or anti-CD8α (Pacific Blue, clone 53-6.7, 1:100), anti-CD44 (APC,

PerCP/Cy5.5, clone IM7, 1:100), anti-CD24 (PE/Cy7, clone M1/69, 1:100), anti-CD28 (FITC, clone

E18, 1:50), anti-CD45.1 (APC, clone A20, 1:100), anti-C45.2 (FITC, clone 104, 1:100) from BioLegend.

Cell Sorting

To isolate DN3 cells, thymocytes were stained with PE-labelled CD4, CD8, CD11b, CD11c, NK1.1,

Gr1, and Ter119. Non-labelled cells were enriched by MACS depletion using anti-PE microbeads and

LS columns (Miltenyi). Enriched cells were then stained with CD4, CD8, CD44, CD25 and anti-

lineage/stage markers (TCRβ, B220, CD19, CD11b, CD11c, TCRδ, NK1.1, Ter119) antibodies. DN3

thymocytes were sorted on Lin⁻CD4⁻CD8⁻CD44⁻CD25⁺ phenotype. To isolate DP cells, thymocytes

were stained with CD4, CD8, and anti-lineage (B220, CD19, CD11b, CD11c, TCRδ, NK1.1, Ter119)

antibodies. DP thymocytes were sorted on Lin⁻CD4⁺CD8⁺ phenotype. The cells of interest were isolated

using a FACSAria Fusion sorter (BD Biosciences).

Analyzing *Tcrb* and *Tcra* Rearrangements

Genomic DNA was extracted from DN3 or DP cells using the DNeasy Blood and Tissue kit (Qiagen). To measure *Tcrb* rearrangements in DN3 cells, a Taqman PCR assay was used to quantify Vβ-to-DJβ1.1 and Vβ-to-DJβ2.1 rearrangement levels with a primer specific for each Vβ paired and a probe, FAM or HEX, specific for Jβ1.1 or Jβ2.1, respectively. Taqman PCR was performed with conditions according to the manufacturer's instructions (IDT DNA) on the ViiA 7 system (Applied Biosystems). PCR of CD19 was used for normalization. Primers, probes, and reaction conditions are as described (22). To assay *Tcrb* repertoire, DNA from DN3 thymocytes were sent to Adaptive Biotechnologies, who used multiplex PCR to amplify and deep sequence Vβ-Dβ-Jβ rearrangements. Gene segment usage was analyzed by ImmunoSEQ Analyzer software (Adaptive Biotechnologies). To assess *Tcra* rearrangements in DP cells, representative Vα-Jα rearrangements were quantified using a QuantiFast SYBR Green PCR kit (Qiagen) on the ViiA 7 system (Applied Biosystems) as described (23, 24). PCR of β2M was used for normalization. We quantified Vα14-Jα18 rearrangments as described (25).

RT-qPCR for mRNA Expression

Respective cell populations were lysed in RLT buffer (Qiagen) containing 2-mercaptoethanol or TRIzol (Life Technologies) immediately after cell sorting. Total RNA was isolated using RNeasy Mini kit (Qiagen), treated with DNase (RNase-Free DNase Set, Qiagen), and reverse transcribed to generate cDNA with High-Capacity RNA-to-cDNA™ Kit (Themo-Fisher Scientific) according to manufacturer's directions. The cDNA was subjected to qPCR using Power SYBR Green kit (Applied Biosystems) and actin, HPRT, ZAP70, and SYK primers (Qiagen). Relative expression was calculated using the ddCt method, using actin or HPRT as a housekeeping gene, and relevant calibrator sample (explained in figure legends for respective samples).

Competitive Mixed Bone Marrow Chimeric Mice

6-week-old CD45.1 B6.SJL (WT) hosts were lethally irradiated (950 Rad on an X-RAD irradiator). Bone marrow from at least 3 pooled donors (CD45.1 WT only, CD45.2 RAG P326G mutant only, or mixed) was sterilely prepped and RBCs lysed. Acceptable mixing (CD45.1/CD45.2 ratio) was confirmed by flow cytometry. Then, $3-5 \times 10^6$ total cells were injected intravenously into hosts 6 hours after irradiation. Mice were monitored over 8 weeks for graft rejection and graft-versus-host disease prior to euthanasia for analysis. Engraftment was measured using the following antibodies from Biolegend: anti-CD45.1 (APC-Cy7, clone A20, 1:100), anti-CD45.2 (FITC, Clone 104, 1:100), anti-NK1.1 (PE, clone PK136, 1:200), anti-CD44 (PerCP-Cy5.5, clone IM7, 1:100), anti CD24 (PE-Cy7, clone M1/69, 1:100), and anti-TCRβ (Pacific blue, clone H57-597, 1:100). CD1d tetramer from the NIH Tetramer Core (APC, 1:100) was a gift of Dr. Hamid Bassiri. Data were collected on a MACSQuant 10 (Miltenyi Biotec) and were analyzed using FlowJo software, version 10.

In vitro **T Cell Differentiation**

Splenocytes from C57BL/6 background *Rag1*$^{-/-}$ mice were irradiated with 2,500 Rads (X-rad irradiator) and 3×10^5 cells were seeded into each well of a 96 well plate. Naïve T cells (CD90.2$^+$, CD4$^+$, CD62L$^+$, CD44$^+$, CD25$^-$) were sorted from C57BL/6 background *WT* or *Rag1*$^{-/-}$ mice using a FACSaria Fusion cell sorter (BD Biosciences), and 3×10^4 sorted cells were added to each well containing irradiated feeder cells. All cells were cultured with 10 μg/ml anti-CD3 (Biolegend) and 3 μg/ml anti-CD28 (Biolegend) in RPMI with 10% FBS, 1% PSG, and 1x NEAA (Invitrogen), 1x Sodium Pyruvate (Invitrogen), and 0.001% 2-mercaptoethanol. rhIL-2 was used at 30 IU/mL, rmIL-12 and rmIL-4 at 10 ng/mL, rmIL-6 at 20 ng/mL, mTGFβ1 at 1 ng/mL, anti-mIL-4, anti-mIFNγ and anti-mIL-12 at 10 μg/mL (from Biolegend except rhIL-2, rmIL-12, and rmIL-6 from Prepotech). The following cytokines/blocking antibodies were used to skew towards respective Th-subsets: Th0 condition: rhIL-2;

Th1 condition: rhIL-2, rmIL-12 and anti-mIL-4; Th2 condition: rhIL-2, rmIL-4, anti-mIFNγ and anti-

mIL-12; Th17 condition: rhIL-2, mIL-6, mTGFβ1, anti-mIL-4 and anti-mIL-12; Treg condition: rhIL-2,

mTGFβ1, anti-mIL-4 and anti-mIL-12.

LCMV Infections

Mice were infected intraperitoneally with 2×10^5 plaque-forming units of LCMV-Armstrong and

euthanized at indicated timepoints. Virus and gp33-tetramer were kindly provided by E. John Wherry

(University of Pennsylvania).

T Cell Transfer Model of Colitis

This model was performed as described (26). Briefly, naïve CD4$^+$ T cells were sorted from respective

donor mice (CD90.2$^+$, CD4$^+$, CD62L$^+$, CD44$^-$, CD25$^-$) on a FACSAria Fusion cell sorter. 0.5×10^6 cells

were transferred in 100 μl of PBS into each of the donor mice via intraperitoneal injection. Non-

transferred mice were injected with PBS. Mice were weighed weekly for the first 4 weeks, and then

twice weekly for 4 weeks. Mice were euthanized 8 weeks post-transfer unless severe morbidity enforced

premature euthanasia (in accordance with CHOP IACUC approved guidelines) or spontaneous death

occurred.

Diabetes Onset in NOD Background Mice

NOD background *WT* and *PG* mice were aged and assessed for spontaneous development of diabetes.

Mice were aged and monitored for disease to a maximum of 30 weeks of age. Mice older than 10 weeks

of age were weekly tested for glycosuria (Uristix$^®$, Siemens). For positive mice, glycosuria was assayed

by a second independent test one to three days later depending on the health of the animal. Mice

exhibiting glycosuria from two independent readings and deteriorating health were subject to facial vein

bleeding for direct measurement of blood glucose levels using an electronic glucose meter. All such

mice exhibited a blood glucose concentration of $\geq$ 250 mg/dL and were considered diabetic and

immediately euthanized.

Statistical analysis

All data was analyzed in Graphpad Prism 8 using statistical tests indicated in the figure legends. Error

bars indicate mean +/- SEM unless otherwise stated. n.s.=$p > 0.05$, *$p < 0.05$, **$p < 0.01$, ***$p < 0.001$,

****$p < 0.0001$.

                                                                  Results

Homozygous Rag1 P326G mutation diminishes TCR gene assembly and subsequent thymocyte

developmental stage transitions.

To determine potential *in vivo* functions of the RAG1 ubiquitin ligase, we created and analyzed

C57BL/6 strain mice homozygous for the Rag1 P326G mutation (*Rag1$^{P326G/P326G}$* mice; see Materials

and Methods) that abrogates RAG1 ubiquitin ligase activity but minimally destabilizes RAG1 protein

(16). We initially analyzed αβ T cell development and TCR gene rearrangements in *Rag1$^{P326G/P326G}$* (*PG*)

mice and wild-type (*WT*) mice. As compared to *WT* mice, *PG* mice have a ~2-fold higher percentage

and number of DN3 thymocytes and correspondingly lower percentage and number of DN4 thymocytes

(Fig. 1, A and B). *PG* mice also exhibit ~10% reductions in the percentage and number of DP

thymocytes and the ratio of CD4^{+} SP thymocytes to DP thymocytes (Fig. 1, C-E). Despite these minor

impairments of the DN3-to-DN4 and DP-to-SP thymocyte developmental transitions, *PG* mice have

normal numbers of total thymocytes and splenic αβ T cells (Fig. 1 F). Using Taqman PCR to amplify

Vβ rearrangements to Dβ1Jβ1.1, Dβ1Jβ2.1, or Dβ2Jβ2.1 complexes in non-selected DN3 cells, we

observed ~50% lower levels of rearrangement for nearly all individual Vβ segments in *PG* mice (Fig. 2,

A and B). Notably, the recombination of some Vβ segments involving Jβ2.1 were undetectable in *PG*

mice (Fig. 2, A and B), indicating altered Jβ repertoire from Rag1^{P326G} mutation. *Rag1* or *Rag2*

mutations that substantially decrease RAG endonuclease activity, thymocyte development, and thymic

cellularity also alter the usage of individual Vβ segments (27, 28). However, high-throughput

sequencing of *Tcrb* rearrangements in DN3 thymocytes shows similar usage of each Vβ segment in *PG*

and *WT* mice (Fig. 2 C), reflecting the modest reduction of *Tcrb* gene assembly in *PG* mice. This

analysis also reveals modest alterations in Dβ and Jβ usage, but no difference in CDR3β lengths, in *Tcrb*

rearrangements of *PG* mice (Fig. 2, D and E; data not shown). Using Taqman PCR to amplify a subset

of possible Vα-to-Jα rearrangements in non-selected DP thymocytes, we detected lower levels of

rearrangements for many of the Vα/Jα combinations, particularly those involving the two most distal Jα

gene segments (Jα17, Jα2) tested, in *PG* mice (Fig. 2, F). Together, these data indicate that homozygous

Rag1^{P326G} mutation produces RAG endonuclease complexes that catalyze decreased levels of *Tcrb* and

Tcra rearrangements, alter the primary repertoires of *Tcrb* and *Tcra* genes, and support less efficient

thymocyte developmental transitions that depend on the functional assembly of a *Tcrb* or *Tcra* gene. The

lower efficiency of overall *Tcrb* and *Tcra* gene assembly certainly contributes to the diminished DN3-to-

DP and DP-to-SP thymocyte developmental progressions observed in *PG* mice.

Notably, the degrees to which TCR gene rearrangements and αβ T cell development are reduced in *PG*

mice are dramatically less severe than reported for homozygous Rag1 C235Y mutation (15). For

example, Rag1^{P236G} mice generate 90% of the normal number of DP thymocytes, whereas homozygous

Rag1^{C235Y} mice produce only 1% of the normal number of DP thymocytes. The markedly different

severities of these phenotypes suggest that abrogation of RAG1 ubiquitin ligase activity without

substantial disruption of RAG1 protein structure only slightly impairs V(D)J recombination and αβ T

cell development. Notably, the Rag1^{P326G} protein is expressed at modestly higher levels in thymocytes

than wild-type Rag1 protein (Beilinson *et al.*, DOI 0.1084/jem.20210250), indicating that reduced Rag1

protein expression levels do not contribute to the slightly impaired development of αβ T cells in *PG*

mice. As we were not able to detect Rag1 ubiquitin ligase activity in *WT* mice, we could not confirm the

expected abrogation of Rag1 ubiquitin ligase activity in *PG* mice that was observed *in vitro*, leaving open

the possibility that the mutant Rag1^{P326G} protein exhibits some level of ubiquitin ligase activity *in vivo*.

To confirm that the impaired development of αβ T cells in PG mice is due to cell intrinsic properties, we

made and analyzed competitive bone marrow chimeric mice. These data show no signficiant differences

in contributions of *WT* cells and *PG* cells at each of DN thymocyte stages (Supplemental Figure 1A). In

marked contrast, there were greater contributions of *WT* cells at the DP and both SP thymocyte stages

and within the CD4$^+$ and CD8$^+$ splenic αβ T cell populations (Supplemental Figure 1A, B). The data

support the notion that the decreased progression of Rag1^{P326G} thymocytes beyond the DN stage is due to

cell intrinsic alterations, most likely from the decreased assembly of TCRβ genes.

Reduced *Tcrb* gene assembly impairs DN thymocyte development in homozygous Rag1 P326G mice.

The greater than normal number of DN3 thymocytes in *PG* mice is not typical of a mutation that impairs

V(D)J recombination. It is notable that thymocytes lacking the Syk pre-TCR signaling protein display

this phenotype (29, 30), and that RAG DSBs induced in pre-B cells trigger a genetic program that

transcriptionally represses Syk protein expression to dampen pre-BCR signaling (31). As *PG* DN cells

have reduced numbers of RAG DSBs from decreased *Tcrb* rearrangements, we determined whether

these cells express lower than normal levels of Syk protein. We detected a slightly reduced level of Syk

protein in *PG* mice (Fig. 3, A and B); however, unexpectedly, this correlated with an increase in the

expression of Syk mRNA (Fig. 3 C). Thus, it appears that Rag1 P326G mutation results in a dissociation

between the levels of transcript and protein of the Syk signaling molecule through as yet undetermined

mechansims. Regardless, these data open the possibility that pre-TCR signaled developmental

progression of DN3 cells is impaired in *PG* mice.

To distinguish potential contributions of reduced *Tcrb* gene assembly versus decreased pre-TCR-

signaling on impaired DN thymocyte development in PG mice, we conducted a more refined analysis of

DN stage cells based on CD28 protein expression. After successful *Tcrb* gene assembly in

CD28$^-$CD25^{high} DN3a thymocytes, pre-TCR signaling drives differentiation of DN3b and then DN3c

thymocytes characterized by upregulation of CD28 and downregulation of CD25 (32). Mirroring

relative levels of *Tcrb* rearrangements in DN3 thymocytes, we observed decreased progression of DN3a

cells to the DN3b and DN3c stages, as well as a smaller fraction of DN3a cells expression TCRβ protein,

in *PG* mice relative to *WT* mice (Fig. 3, D - I). We compared the percentage of DN3a cells expressing

TCRβ protein to the percentage of these cells that develop into DN3b cells. We found equivalent values

between *PG* and *WT* mice (Fig. 3, J). We also compared the percentage of total DN3 cells expressing

TCRβ protein to the fraction of these cells that progress to the DN4 stage. Here again we found

equivalent values between *PG* and *WT* mice (Supplemental Figure 2). Together, these data provide no

evidence for diminished pre-TCR signaled development of β-selected DN3 thymocytes. Therefore, the

most plausible explanation for impaired DN thymocyte development in *PG* mice is decreased levels of

TCRβ gene rearrangements.

Homozygous Rag1 P326G mutation lowers expression of the Zap70 signaling protein in

thymocytes.

Given the evidence of the decreased levels of Syk protein but not mRNA in DN thymocytes of PG mice,

we assessed whether the related Zap70 protein that transduces αβ TCR signals might show a similar

pattern in DP thymocytes. To this end, we quantified levels of Zap70 protein and mRNA in thymocytes

at each of four stages of αβ TCR-signaled positive selection as defined by expression of CD69 and

CCR7 proteins (Supplemental Figure 3) (33). In *PG* mice, we observed lower than normal Zap70

protein levels, but normal levels of TCRβ protein, at each stage of selection (Fig. 4, A-D). We detected

normal levels of Zap70 mRNA in cells at each stage assayed in *PG* mice (Fig. 4 E), implying that lower

Zap70 mRNA translation and/or Zap70 protein stability is the basis for lower Zap70 expression. RAG

DSBs induced during lymphocyte development signal gene expression changes that persist in mature

NK cells lacking RAG1 and RAG2 expression (34). We therefore considered whether the Zap70

alterations caused by mutant RAG1 during development would also persist in mature T cells after RAG1

is no longer expressed. We detected normal Zap70 protein levels in splenic CD4[+] and CD8[+] αβ T cells

of *PG* mice (Fig. 4, F and G), indicating that Rag1^{P326G} mutation decreases Zap70 expression

specifically in immature αβ T cells. These data open the possibility that Zap70-mediated αβ TCR

signaling might be weaker than normal in thymocytes and contribute to impaired DP-to-SP thymocyte

transition in *PG* mice, beyond that resulting from reduced *Tcra* gene assembly and altered primary

repertoires of assembled *Tcrb* and *Tcra* genes.

Homozygous Rag1 P326G mutation decreases efficiencies of positive, negative, and agonist

selection.

In developing B cells, RAG DSBs generated during *Igk* gene assembly signal transcriptional

upregulation of a proteins involved in lymphocyte selection and activation to modulate pre-BCR

signaling (35). Considering this, the decreased levels of RAG DSBs in *PG* thymocytes, and the

important roles of Zap70 in mediating positive, negative, and agonist selection (36, 37), we investigated

whether any of these αβ TCR quality control processes might be impaired in *PG* mice. As a proxy to

evaluate positive selection in *PG* mice, we determined the frequency of αβ TCR expressing (TCRβ$^+$)

Stage 1 thymocytes that initiate positive selection as indicated by progression to Stage 2 in *PG* and *WT*

mice (Fig. 5 A). By analyzing only αβ TCR expressing cells, we circumvent potential effects of

decreased *Tcra* gene rearrangements reducing the fraction of DP thymocytes that express αβ TCRs and

are subject to selection. This frequency is lower in *PG* mice (Fig. 5 B), consistent with modestly less

efficient positive selection of αβ TCR expressing DP thymocytes upon homozygous Rag1^{P326G} mutation.

Yet, we note that this is an imperfect means to quantify positive selection because it cannot account for

possible differences in progression through subsequent stages or cellular fate decisions from specific

TCR/pMHC interactions. Thus, we also quantified numbers of cells at each of the four stages of positive

selection, finding fewer cells at each stage in *PG* mice relative to *WT* mice (Fig. 5C). Importantly, there

is a greater decrease of cellularity in stage 2 (1.71x) as compared to stage 1 (1.25x), indicating fewer

cells are moving from stage 1 to stage 2 in *PG* mice. Finally, we quantified CD69 expression and the

ratio of CD69 to TCRβ expression at each stage as CD69 upregulation correlates directly with αβ TCR

signaling strength. Although we observed no difference of absolute levels of CD69 expression, *PG*

mice have decreased CD69 expression relative to TCRβ expression in stage 2 thymocytes that are

initiating positively selection (Fig. 5D). Collectively, these analyses indicate that αβ TCR signaled

upregulation of CD69 and positive selection is less efficient in *PG* mice. However, they do not

distinguish between potential effects from altered primary repertoires of *Tcrb* and *Tcra* genes versus

diminished intrisinc αβ TCR signaling capacity. They also do not address the possibility that the <10%

reduction in numbers of total thymocytes in *PG* mice alters thymic architecture in a manner that

increases the fraction of DP cells that engage pMHC presented by TECs or DCs.

To determine whether the Rag1^{P326G} mutation impairs negative selection , we utilized a naturally

occurring system of superantigen-mediated negative selection (38). Laboratory mice express remnant

mouse mammary tumor virus (MMTV) retroviral products. Some of these products can bind the MHCII

I-E^d protein and certain Vβ peptides, including Vβ5.1-5.2 (encoded by *Trbv12.1-12.2*) and Vβ12

(encoded by *Trbv15*), to trigger exceptionally strong αβ TCR signaling that promotes negative selection

(38, 39). Our sequencing analysis of *Tcrb* gene rearrangements in DN3 thymocytes shows equivalent

representation of *Trbv12.1*, *Trbv12.2*, and *Trbv15* in the primary Vβ repertoire (Fig. 2 C). Considering

that Vβ repertoire remains constant during DN-to-DP thymocyte development (40), assaying

superantigen-mediated deletion of Vβ5.1-5.2 or Vβ12 accounts for differences of Jβ repertoire of *Tcrb*

genes assembled in *WT* and *PG* mice. Moreover, the reduced level and altered repertoire of *Tcra* genes

assembled in DP cells of *PG* mice would not influence superantigen-mediated deletion of thymocytes

expressing αβ TCRs with specific Vβ peptides. BALB/c, but not C57BL/6, strain mice express I-E^d

protein. As a result, thymocytes expressing Vβ5.1-5.2 or Vβ12 are efficiently deleted in BALB/c, but

not B6, genetic backgrounds. We bred BALB/c background $Rag1^{-/-}$ mice with C57BL/6 background

Rag1 *PG* or *WT* mice to generate and analyze $Rag1^{PG/-}$ and $Rag1^{WT/-}$ mice expressing I-E^d protein. To

ascertain whether these mice exhibit a difference in superantigen-mediated negative selection, we

measured the frequencies of DP thymocytes that have not completed αβ TCR selection and post-

selection SP thymocytes expressing I-E^d:MMTV-reactive (Vβ5$^+$ or Vβ12$^+$) or -unreactive (Vβ6$^+$, Vβ8$^+$,

or Vβ14$^+$ encoded by *Trbv19*, *Trbv13.1-13.3*, or *Trbv31*, respectively) αβ TCRs (Fig. 6, A-F). We

calculated the percentages of DP and SP thymocytes expressing each Vβ peptide (Fig. 6, B-F). Our

analysis indicates that greater fractions of I-E^d:MMTV-reactive thymocytes proceed through DP-to-SP

development in $Rag1^{PG/-}$ mice as compared to $Rag1^{WT/-}$ mice (Fig. 5, B, C, and G), consistent with

impaired negative selection of highly self-reactive thymocytes. In contrast, we found that the types of

un-reactive thymocytes assayed develop equivalently in each genotype (Fig. 5, D -G). While the latter

finding does not reflect less efficient positive selection, we note that this experiment monitors a small

subset of thymocytes expressing specific Vβ peptides and thus is not as sensitive as determining the

frequencies of overall DP thymocytes that initiate positive selection. Regardless, the data indicate that

PG mice exhibit less efficient deletion of thymocytes that express αβ TCRs containing superantigen-

reactive Vβ peptides. The plausible reasons for this impaired form of negative selection include that

intrinsic αβ TCR signaling capacity is dampened and/or reduced numbers of DP thymocytes prevents

some from contacting or maintaining interaction with superantigen.

Finally, to ascertain potential effects of Rag1^{P326G} mutation on agonist selection, we focused on assaying

iNKT cell development. This lineage develops when TCRs containing an invariant Vα14-Jα18 TCRα

chain and TCRβ chains with Vβ regions encoded by *Trbv1*, *Trbv13.1-13.3*, or *Trbv19* bind lipids

presented by the MHC-like CD1d protein on different DP thymocytes (10, 41, 42). These interactions

induce strong TCR signals that drive agonist selection and differentiation of iNKT cells through distinct

stages that can be identified by binding lipid/tetramers and expression of defined proteins (10, 41, 42).

We first used a CD1d tetramer loaded with PBS57, an analogue of the α-galactosylceramide (α-GalCer)

glycolipid, to identify α-GalCer-reactive iNKT cells in *WT* and *PG* mice. As compared to *WT* mice, *PG*

mice had fewer absolute numbers of thymic α-GalCer-reactive iNKT cells (Fig. 7, A and B). While *PG*

mice also tended to have fewer splenic iNKT cells (Fig. 7, A and B), this difference did not reach

statistical significance (p=0.06) with the numbers of mice analyzed. The lower number of thymic iNKT

cells in *PG* mice could arise solely from decreased levels of *Tcra* rearrangements, as is observed by

other mutations that decrease these rearrangements over an order of magnitude (43, 44). The levels of

Vα14-to-Jα18 rearrangements in total thymocytes of *PG* mice are ~40% relative to *WT* mice (Fig. 7C),

which is consistent with reduced generation of Vα14-Jα18 TCRα chains contributing to the lower

numbers of thymic α-GalCer-reactive iNKT cells in *PG* mice. However, one advantage of using

tetramers is that they identify DP thymocytes that have assembled and express the appropriate *Tcrb* and

Tcra genes for development into iNKT cells of defined antigen specificity. Thus, as a means to quantify

agonist selection of α-GalCer-reactive iNKT cells independent of Vα14-Jα18 recombination levels, we

quantified tetramer-staining cells at each stage of iNKT cell development. We observed no significant

differences in numbers of Stage 0 (CD24^{hi}CD44$^-$NK1.1$^-$) and Stage 1 (CD24^{lo}CD44$^-$NK1.1$^-$) iNKT

cells in *WT* and PG mice (Fig. 7, D and E). However, *PG* mice had lower numbers of Stage 2

(CD24^{lo}CD44$^+$NK1.1$^-$) and Stage 3 (CD24^{lo}CD44$^+$NK1.1$^+$) iNKT cells (Fig. 7, D and E) and

diminished expansion of iNKT cells during progression from Stage 0 through Stage 4 (Fig. 7, F).

Notably, the median fluorescence intensities of TCRβ expression and PBS57/CD1d tetramer binding of

thymocytes was similar or slightly higher in *PG* mice relative to *WT* mice (Fig. 7 A, data not shown),

indicating that α-GalCer-reactive iNKT cells of *PG* mice have a normal range of intrinsic affinity for

this lipid presented by CD1d. Therefore, the plausible reasons for the impaired development of α-

GalCer-reactive iNKT cells in *PG* mice are diminished engagements of TCRs with lipid/CD1d

complexes and/or TCR signaling following lipid/CD1d recognition. We also analyzed iNKT

development in the competitive setting of mixed bone marrow chimeras comparing mice that received

donor bone marrow that was either 100% *PG* or at 1:1 mix of *PG* and *WT* marrow. The very few

remnant *WT* cells in the 100% PG receeipient mice very effectively outcompeted the *PG* cells starting at

Stage 1 and increasing in later stages (Supplemental Figure 1, C). The same effect can be seen in the 1:1

mix recipient mice (Supplemental Figure 1, C). Thus, these data indicate that the impaired iNKT cell

development in *PG* mice is cell intrinsic, making impaired TCR signaling the most plausible explanation.

The molecular basis for impaired TCR signaling could be reduced expression of Zap70 and/or other

signaling proteins, altered TCRβ CDR3-encoding sequences that generate TCRs less effective at

signaling upon recognition of lipids presented by CD1d, or both.

Mature αβ T cells of homozygous Rag1 P326G mice exhibit normal responses to activation.

RAG endonuclease activity during lymphocyte ontogeny triggers permanent changes in gene expression

that correlate with impaired responses of mature lymphocytes (34). Considering that RAG endonuclease

function is diminished in thymocytes of *PG* mice, we sought to elucidate whether the mature αβ T cells

of these animals have normal or altered response to activation. We first conducted *in vitro* analyses.

Upon stimulation with PMA and ionomycin, splenic CD44^{hi} αβ T cells from *PG* mice produce normal

levels of IFNγ, TNFα, IL-2, and IL-17A (Fig. 8 A). These cells also show normal proliferation upon

anti-CD3/anti-CD28 stimulation (Fig. 8 B). Moreover, stimulated CD4^{+} and CD8^{+} αβ T cells of *PG*

mice normally express their canonical transcription factors (Fig. 8, C and D) and cytokines (Fig. 8, E

and F) when cultured in respective skewing conditions. We next monitored αβ T cell responses *in vivo*

following infection of mice with an acute strain of lymphocytic choriomeningitis virus (LCMV-

Armstrong). We analyzed mice either seven days later to monitor at the immune response peak or 35

days later to monitor memory cells. At each timepoint, we detected similar frequencies and numbers of

antigen-specific CD8$^+$ αβ T cells that stain with gp33:H-2Db tetramer in *PG* and *WT* mice (Fig. 8 G),

indicating that *PG* mice display normal numbers and dynamic responses of αβ T cells that recognize

LCMV-Armstrong. We also observed equivalent dynamic expression of Tbet, Eomes, KLRG1, and

CD127 proteins on αβ T cells from *PG* and *WT* mice (Fig. 8 H), indicating normal contraction of short-

lived effector cells (SLECs), and sustained memory/memory precursor effector cells (MPECs) in *PG*

mice. Finally, we detected similar numbers of antigen specific αβ T cells able to respond to the gp33,

NP396, or gp61 viral peptides within a peptide re-stimulation assay (Fig. 8, I and J). Together, these data

demonstrate that the mature αβ T cells of *PG* mice have normal responses to activation, at least for the

stimuli assayed.

Mature αβ T cells of homozygous Rag1^{P326G} mice exhibit increased predisposition to

autoimmunity.

Within a setting of less efficient negative selection as with homozygous Rag1^{P326G} mutation, an

increased predisposition to αβ T cell mediated autoimmunity would be expected. However, we did not

observe overt autoimmune symptoms within *PG* mice, possibly because mice of the C57BL/6

background are resistant to spontaneous autoimmune diseases (45, 46). Thus, to investigate whether the

Rag1^{P326G} mutation can predispose to autoimmunity, we utilized an induced model of autoimmune

colitis in C57BL/6 mice and a spontaneous model of autoimmune diabetes in NOD mice.

The colitis model involves transferring into *Rag1$^{-/-}$* mice naïve CD4$^+$ effector αβ T cells, which become

activated and trigger cytokine-driven colitis and associated systemic pathologies including weight loss

due to the absence of Tregs (26, 47, 48). We observed accelerated wasting of *Rag1$^{-/-}$* mice receiving *PG*

cells relative to *WT* cells or no transfer (Fig. 9 A). We analyzed mice at eight weeks after transfer. As

compared to *WT* recipients, we detected greater numbers of total splenic CD4$^+$ T cells and of CD4$^+$ T

cells producing IFNγ, TNFα, and/or GM-CSF cytokines (Fig. 9, B and C). Colon length is used as a measure of disease severity in this model, and mice receiving transferred *PG* cells had shorter colons relative to mice receiving *WT* cells, consistent with worse disease caused by *PG* cells (Fig. 9 D). Histologic assessment and scoring in this colitis model has been formalized (48), and can be grossly broken down into scores related to inflammatory cell infiltration and scores related to injury of tissue architecture. There was no statistical difference in inflammation scores between mice receiving *WT* and *PG* cells. However, tissue damage scores were higher in mice receiving *PG* cells, suggesting that, on a per cell basis, *PG* cells induce greater tissue injury in this model (Fig. 9, E-F). Notably, specifically in *PG*-transferred animals, we observed autoimmune pathologies in addition to colitis, including dermatitis, chylous ascites, severe small intestine enlargement, and neurological stress (Fig. 9 H). Dermatitis was most common and involved massive inflammatory infiltration and tissue damage (Fig. 9 I). Consistent with more widespread autoimmune conditions in *PG*-transferred mice, we significant splenomegaly in these mice (Fig. 9 J). Finally, while not statistically significant, we observed an increased rate of premature death in the mice transferred with *PG* cells compared to *WT* cells (Fig. 9 K), which was especially surprising because death is an unexpected outcome in this model. Collectively, the data from this colitis model indicate that CD4$^+$ effector T cells from *PG* mice have greater than normal intrinsic autoimmune potential.

For the spontaneous model of autoimmune diabetes, we utilized CRISPR/Cas9-mediated gene editing to establish NOD mice carrying the *Rag1*^{P326G} mutation. NOD mice develop spontaneous αβ T cell driven diabetes at an incidence of 60–80% in females and 20–30% in males (49, 50). We bred together NOD:*Rag1*$^{P326G/WT}$ mice to create cohorts of NOD *PG* and *WT* females, which we aged and screened for diabetes by monitoring glycosuria and blood glucose levels, euthanizing diabetic mice and confirming disease by blood glucose levels. We observed accelerated onset of diabetes in *PG* mice compared to *WT* mice, with median age of disease-free survival 120 and 175 days, respectively (Fig. 9 L). We started this

study with the assumption that NOD *Rag1*^{P326G} mice will experience an early event due to autoimmunity

risk as compared to NOD *Rag1*^{WT} mice, and if events do not occur early then they are unlikely to occur

at all. Therefore, we evaluated potential significance of the different median disease-free survival using

the Gehan-Breslow-Wilcoxon test that models such un-proportional hazard, finding the difference

significant (p=0.049). However, we also applied the long-rank Mantel-Cox test that is more stringent,

but should be used when the assumption is of proportional hazards between groups, finding the

difference near, but not reaching, significance (p=0.1151). Unfortunately, the disruption of research

from the Covid19 pandemic prevented us from continuing to generate and monitor mice so that we

could more rigorously evaluate the difference in diabetes-free survival, as well as pathologically and

immunologically characterize disease in afflicted mice. Finally, we note that this experiment does not

distinguish between potential abnormalities of T and B cells of the *PG* background.

Discussion

Our analysis of homozygous Rag1[P326G] mice indicates roles for the integrity of the RAG1 RING domain

ubiquitin ligase in stimulating TCRα and TCRβ gene asssembly and enhancing both positive selection

of conventional αβ T cells and agonist selection of iNKT cells. The assembly and expression of

functional *Tcrb* genes is rate limiting for thymocyte development from the DN3a stage through the DP

stage. Our data show that the lower levels of Vβ rearrangements predominantly, if not solely, underlie

the impaired progression of thymocytes through these early developmental stages in *PG* mice. The

assembly and expression of *Tcra* genes is rate limiting for DP-to-SP thymocyte development. On

average, multiple rounds of successive Vα-to-Jα rearrangements occur in DP thymocytes before

resulting αβ TCRs signal positive selection, negative selection, or agonist selection. For agonist

selection of iNKT cells, Vα-to-Jα rearrangements must proceed until generation of Vα14-Jα18

rearrangements. A small subset of DP cells with Vα14-Jα18 rearrangements can produce αβ TCRs that

recognize lipid/CD1d complexes and signal development of iNKT cells from Stage 0 through Stage 3.

The lower levels of Vα rearrangements in *PG* mice likely contributes to less robust positive selection of

conventional αβ T cells and agonist selection of iNKT cells by reducing the generation of αβ TCR-

expressing DP thymocytes. Although the modestly decreased level of Vα14-to-Jα18 rearrangements in

PG thymocytes does not reduce the number of α-GalCer-reactive Stage 0 iNKT that arise that can be

subject to agonist selection, our analysis of iNKT cells of a single specificity leaves open the possibility

that decreased Vα14-to-Jα18 rearrangements impairs the genesis of a replete iNKT cell population in

PG mice. The amino acids encoded by individual gene segments and CDR3 nucleotides influence αβ

TCR antigen recognition and ensuing TCR signaling. While we did not comprehensively study *Tcrb* and

Tcra gene repertoires, our Taqman and Adaptive Immunoseq analyses of *Tcrb* gene rearrangements

indicate minor differences of Dβ and Jβ repertoire between *WT* and *PG* mice. These in turn could

generate subtle differences in TCRβ CDR3 repertoire that might contribute to decrease efficiencies of

positive selection and agonist selection. Based on *in vitro* experiments (16), the molecular basis for

reduced RAG endonuclease activity in *PG* mice could result from P326G mutation altering RAG

complex tertiary structure, abrogating Rag1 ubiquitin ligase activity, and/or impairing Rag1 interaction

with a protein that regulates RAG endonuclease activity. Moreover, while an intrinsically less efficient

RAG endonuclease could account entirely for the reduced assembly of *Tcrb* and *Tcra* genes in *PG* mice,

our analyses do not address potential roles of the RAG1 ubiquitin ligase in promoting chromatin

accessibility and/or RAG binding of these loci. Distinguishing among all of these possibilities requires

identifying all thymocyte proteins that bind Rag1 and/or are substrates of the Rag1 ubiquitin ligase, and

then determining the effects of preventing their binding or ubiquityation by Rag1.

Our findings of less efficient superantigen-mediated negative selection, impaired agonist selection of α-

GalCer-reactive iNKT cells, and mature αβ T cells of higher autoimmune potential in *PG* mice imply

potential roles for the RAG1 ubiquitin ligase domain beyond stimulating TCR gene recombination and

shaping TCR repertoire. The molecular basis for superantigen-mediated negative selection is that these

antigens interact simultaneously with MHC proteins on TECs and specific Vβ peptides on DP cells to

illicit very strong TCR signaling that triggers apoptosis. The reduced levels of Vβ gene rearrangements

in *PG* mice do not alter primary Vβ repertoire, and to our knowledge there is no evidence that

differences among Dβ-, Jβ-, or CDR3β encoded nucleotides influence superantigen-directed negative

selection. The initiation and progression of iNKT cell development is driven by strong TCR signaling

upon recognition of lipid/CD1d complexes. In theory, ongoing *Tcra* gene recombination before Stage 0

or Stage 1 iNKT cells silence RAG expression could replace the invariant Vα14-Jα18 rearrangement

and divert these cells into conventional αβ T cells. If this scenario occurs, the lower levels of *Tcra* gene

rearrangements in *PG* mice would be predicted to diminish the chance of this happening and thereby

promote developmental progression of iNKT cells. However, the slightly altered Dβ and Jβ usage in

Tcrb gene rearrangements of *PG* mice could generate αβ TCRs less effective at signaling upon

recognition of lipid/CD1d complexes, leading to impaired iNKT cell development. The higher

autoimmune potential of αβ T cells in *PG* mice most likely results from impaired negative selection of

high self-reactive thymocytes. In mice with normal RAG endonuclease activity, highly self-reactive αβ

TCRs signal negative selection by apoptosis before further *Tcra* gene recombination can replace these

hazardous receptors with new ones (51). The reduced levels of *Tcra* gene rearrangements in *PG* mice

would be expected to increase the likelihood that negatively-selecting TCRs remain expressed and

trigger apoptisis. Considering all of the above, the most plausible reasons for less efficient negative

selection, impaired iNKT cell development, and higher autoimmune potential of $CD4^+$ T cells in *PG*

mice are: 1) less robust TCR signaling upon recognition of self-antigens, and/or 2) decreased

frequencies or durations that αβ TCRs engage self-antigens due to the 50% fewer DP thymocyes and

potentially altered thymic microenvironment. Our mixed bone marrow chimera analysis indicates that

such cell extrinsic mechanisms cannot explain impaired agonist selection of α-GalCer-reactive iNKT

cells, leaving decreased αβ TCR signaling as a plausible mechanism. Genetic mutations in mice that

substantially impair V(D)J recombination also dramatically (>10-fold) reduce thymic cellularity and

consequently alter thymic architecture through defective maturation of TECs and/or distribution of DCs,

which collectively impairs negative selection and increases development of hazardous autoreactive αβ T

cells (52). Although the slightly lower than normal levels of TCR gene rearrangements in *PG* mice

cause only a minor decrease in DP thymocyte cellularity, it will be important to determine if the

$Rag1^{P326G}$ mutation has any effects on the thymic microenvironment that could underlie less efficient

negative selection.

The lower than normal expression of Zap70 protein specifically in thymocytes of *PG* mice offers

support and a potential basis for impaired αβ TCR signaling contributing to less efficient thymocyte

selection. As reduced Zap70 activity impairs TCR signaling, positive selection, negative selection, and

agonist selection of iNKT cells (53-55), attenuated TCR signaling from lower Zap70 expression, even

the subtle decrease observed, is a plausible explanation for these phenotypes of *PG* mice. However,

definitive conclusions necessitate elucidating how Rag1^{P326G} mutation decreases Zap70 protein levels

and determining effects of disrupting these mechanisms without lowering RAG endonuclease activity.

RAG DSBs induced during Igκ gene recombination in pre-B cells activate diverse signaling pathways

that transcriptionally alter the expression of several antigen receptor signaling proteins to diminish pre-

BCR signaling (31, 56). Thus, it is conceivable that RAG DSBs induced during *Tcra* gene

rearrangements in DP thymocytes could trigger nucleus-to-cytoplasm signaling cascades that post-

transcriptionally increase Zap70 protein expression. In this scenario, the decreased number of RAG

DSBs generated during *Tcra* gene rearrangements in *PG* DP thymocytes might impair activation of such

signals. A not-mutally exclusive possibility is that Rag1^{P326G} mutation prevents the Rag1-directed

ubiquitylation of nuclear proteins that amplify the signals from RAG DSBs that up-regulate Zap70

protein expression. Another possibility is that integrity of the Rag1 ubiqutin ligase domain is necessary

for binding a factor the influences signals from RAG DSBs. We did not detect differences in Zap70

activation or other aspects of TCR signaling between *WT* and *PG* thymocytes after anti-CD3 stimulation

(data not shown). However, this approach for activating aβ TCR signaling is not as sensitive for

detecting differences as using tetramers or DCs to present peptides to transgenic αβ TCRs of fixed

specificity (9, 57, 58). Such experiments, which are beyond the scope of our current study, are critical to

determine whether Rag1^{P326G} mutation diminishes αβ TCR signaling spefiically in DP thymocytes.

Considering that Zap70 might not be the only, or even a relevant, TCR signaling protein affected by this

Rag1 mutation, findings that confirm diminished TCR signaling would warrant identifying all changes

in thymocyte protein expression triggered by RAG DSBs and elucidating the effect of Rag1^{P326G}

mutation on these. This information would suggest approaches to disrupt signaling from RAG DSBs

without reducing RAG endonuclease activity to separate impacts of Rag1^{P326G} mutation on altering

712 expression of TCR signaling proteins versus reducing *Tcrb* and *Tcra* gene rearrangements, altering

713 assembled *Tcrb* and *Tcra* gene repertoires, and decreasing thymic cellularity.

715 The requirement for TCR selection on self-peptides displayed by self-proteins presents major challenges

716 for establishing conventional and non-conventional αβ T cell populations that recognize and respond to

717 a vast array of diverse foreign peptides, but not self-antigens. Thus, cell intrinsic mechanisms, at least

718 some of which are Rag1-independent, have evolved to render thymocytes more sensitive to TCR

719 stimulation than their mature αβ T cell counterparts (57, 59-62). Several factors have been implicated in

720 regulating this "thymic TCR" tuning (58, 63-67). Our observations of reduced thymic Zap70 expression

721 and less efficient positive selection, negative selection, and agonist selection in *PG* mice are consistent

722 with the possibility that the RAG1 protein augments thymic TCR signal tuning. Regardless, our study

723 provides a phenotypic framework from which to elucidate precise molecular mechanisms by which the

724 integrity of the RAG1 ubiquitin ligase domain stimulates TCR gene assembly and proper selection αβ

725 TCRs to establish replete populations of αβ T lineage cells and central tolerance.

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931 **Footnotes**

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                                          Figure Legends

Figure 1. *PG* mice exhibit impaired thymocyte developmental transitions that require TCR gene

assembly. **(A)** Representative flow cytometry plots of DN stages of thymocyte development showing the

frequency of cells at each stage. **(B)** Quantification of numbers of cells in each DN stage. **(C)**

Representative flow cytometry plots of DN, DP, CD4$^+$ SP, and CD8$^+$ SP thymocytes. **(D)** Quantification

of numbers of cells in each stage. **(E)** Quantification of the ratio of CD4$^+$ SP or CD8$^+$ SP thymocytes to

DP thymocytes. **(F-G)** Quantification of the numbers of total thymocytes (F) and splenic αβ T cells (G).

(A-G) All data were collected from 6-10 weeks old mice and (B, D, E, F, and G) are combined from

four independent experiments including a total of 14-16 mice per genotype. Bars indicate mean +/-

SEM. Stats: 2-way ANOVA with Sidak multiple comparison test. n.s. $p > 0.05$; * $p < 0.05$, **$p < 0.01$, ***

$p < 0.001$, **** $p < 0.0001$.

Figure 2. Thymocytes of *PG* mice support diminished levels of *Tcrb* and *Tcra* recombination. **(A-B)**

Taqman qPCR quantification of rearrangements of each Vβ segment to DJβ1.1 (A) or DJβ2.1 (B)

complexes conducted on genomic DNA from DN3 thymocytes. Signals from each assay were

normalized to values from an assay for the invariant CD19 gene. Shown are average values +/- SEM

from three independent DN3 thymocyte preparations, each from a different mouse. **(C-E)** Adaptive

Immunoseq quantification of the percentage usage of each Vβ (C), Dβ (D), or Jβ (E) segment in *Tcrb*

rearrangements of DN3 thymocytes. Shown are average values from two independent DN cell

preparations. **(F)** qPCR quantification of specific Vα/Jα rearrangements peformed on genomic DNA

from DP thymocytes. Signals from each assay were normalized to values from an assay for the invariant

β2m gene. Shown are the average values +/- SEM from three independent DP cell preparations. For all

graphs in figure: #, not detected, n.s. $p > 0.05$; * $p < 0.05$, **$p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$

Figure 3. *PG* DN3 thymocytes exhibit reduced Syk protein expression but normal efficiency of pre-TCR signaled differentiation. **(A-B)** Representative flow cytometry histogram plots (A) and quantification (B) of Syk protein in DN3 thymocytes. (B) Shown are all data points and average values +/- SEM from one of two independent experiments (n = 3-4 mice per genotype, unpaired t-test). **(C)** qRT-PCR quantification of Syk mRNA in DN3 cells. Relative mRNA levels were calculated using ddCt method with signals from each assay normalized to values from an assay for the *Hprt* gene. **(B-C)** Shown are all data points and average values +/- SEM from two independent experiments (n = 8-9 mice per genotype, 2-way ANOVA with experiment an independent variable, stats indicate difference as a result of genotype). **(D)** Representative flow cytometry plots of DN3a, DN3b, and DN3c stages of thymocyte development showing the frequency of cells at each stage. **(E-F)** Quantification of percentages (E) or numbers (F) of total DN3 cells in each stage. Shown are all data points and average values +/- SEM from three independent experiments each with three mice of each genotype (n = 9 mice per genotype, analyzed by multiple t tests using the Holm-Sidak method; n.s. $p>0.05$, * $p<0.05$, ** $p<0.005$, **** $p<0.00005$). **(G)** Quantification of percentages of DN3a cells that progress into DN3b cells. Shown are all data points and average values +/- SEM from three independent experiments each with three mice of each genotype (n = 9 mice per genotype, analyzed by unpaired t-test with Welch's correction; **$p<0.005$). **(H)** Representative flow cytometry plots of intracellular TCRβ protein expression in DN3a thymocytes. **(I)** Quantification of percentages of DN3a cells expressing intracellular TCRβ protein. **(J)** Ratios comparing the percentages of DN3a cells expressing intracellular TCRβ protein to the percentages of DN3a cells that transition into DN3b cells. **(H-J)** Shown are all data points and average values +/- SEM from three independent experiments each with three mice of each genotype (n = 9 mice per genotype, analyzed by unpaired t-test with Welch's correction; n.s. $p>0.05$, *** $p<0.0005$).

Figure 4. Thymocytes of PD mice have reduced Zap70 protein levels. **(A-D)** Representative flow

cytometry histogram plots (A, C) and quantification (B, D) of Zap70 (A, B) and TCRβ (C, D) protein in

Stages 1-4 of αβ TCR selection. (C, D) Shown are all data points and average values +/- SEM from one

of three independent experiments (n = 4-5 mice per genotype, 2-way ANOVA with Sidak's multiple

comparison post-test) **(E)** qRT-PCR quantification of Zap70 mRNA in DN3 cells or Stage 2 or 4 cells.

Relative mRNA levels were calculated using ddCt method with signals from each assay normalized to

values from an assay for the Hprt gene. Shown are all data points and average values +/- SEM from one

experiment (n = 4-5 mice per genotype, 2-way ANOVA with sidak multiple comparison post-test. **(F-G)**

Representative flow cytometry histogram plots (F) and quantification (G) of Zap70 protein in naïve

CD4$^+$ or CD8$^+$ T cells. Shown are all data points and average values +/- SEM from two independent

experiments (n = 3 mice per genotype, 2-way ANOVA with Sidak multiple comparison post-test). For

all graphs in figure: n.s. $p>0.05$; * $p<0.05$, **$p<0.01$, *** $p<0.001$, **** $p<0.0001$.

1001

Figure 5. *PG* mice exhibit impaired positive selection. **(A)** Gating strategy for measuring positive

selection of thymocytes showing Stage 1 and 2 gates. The TCRβ$^+$ gate was set using wild-type

thymocytes by gating on live, singlet, CD4$^+$CD8$^+$ cells, preparing a histogram showing TCRβ staining

on cells within this gate, and gating on the shoulder that represents cells expressing TCRβ. **(B)**

Quantification of the ratio of the number of Stage 2 cells to the total number of Stage 1 and 2 cells.

Shown are all data points and global mean from three independent experiments (n = 10-11 mice per

genotype, 2-way ANOVA indicating effect of genotype). **(C)** Quantification of numbers of cells in each

stage of positive selection. Dots indicate individual mice. Data pooled from 2-independent experiments

(n = 7-8 mice per genotype, bars indicate mean +/- SEM analyzed by Man-Whitney test). **(D)**

Quantification of CD69 expression alone and in comparison to TCRβ expression on cells at each stage

of positive selection. Dots indicate individual mice. Data pooled from 2-independent experiments (n = 8

mice per genotype, bars indicate mean +/- SEM. Analysed by 2-way ANOVA and Sidak multiple

comparison post-test) For all graphs in figure: n.s. p>0.05; * p<0.05, **p<0.01, *** p<0.001, ****

p<0.0001.

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Figure 6. *PG* mice exhibit impaired superantigen-mediated negative selection. **(A)** Gating strategy form

measuring negative selection of specific $V\beta^+$ thymocytes in F1 progeny of C57BL/6 background *WT* or

PG mice crossed with BALB/c background $Rag1^{-/-}$ mice. Pre-gated on live singlets. **(B-F)**

Representative analyses and quantification of the indicated $V\beta^+$ cells in DP thymocytes that have not

completed $\alpha\beta$ TCR selection or post-selection SP thymocytes. **(G)** Data from B-F displayed as LOG_2

ratio of respective percent $V\beta^+$ cells in SP versus DP gates. Shown are all data points and average +/-

SEM from two independent experiments (n = 9 mice per genotype 2-way ANOVA with Sidak multiple

comparison post-test). For any significantly different combinations, fold change is indicated. For all

graphs in figure: * p<0.05, **** p<0.0001.

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Figure 7. *PG* mice have impaired agonist selection of iNKT cells. (**A-B**) Representative flow cytometry

plots (**A**) and quantification (**B**) of PBS57/CD1d tetramer binding iNKT cells in thymuses or spleeens.

Gated on live, singlet lymphocytes. (**B**) Data from three independent experiments with 1-4 mice per

group. Mann-Whitney test: *, p=0.01 (thymus); ns, not significant (spleen). (**C**) Taqman PCR

quantification of $V\alpha14$-$J\alpha18$ rearrangements in total thymocytes. The data were generated from three

technical replicates of three independent biological samples from mice of each genotype. Data are

represented as Mean +/- SEM analyzed by an unpaired parametric t test with Welchs correction.

p=0.0094. (**E-F**) Representative flow cytometry plots and gating strategy (**E**) or quantification (**F**) of

Stage 0 (CD24^{hi} CD44-NK1.1-), Stage 1 (CD44-NK1.1-), Stage 2 (CD44+NK1.1-), and Stage 3

(CD44+NK1.1+) iNKT cells. (**G**) Data from threee independent experiments with 1-4 mice per group.

Two way ANOVA repeated measures test for genotype x stage interaction: **, p<0.0011.

1038

Figure 8. Mature $\alpha\beta$ T cells of PG mice exhibit normal immune response. **(A)** Representative plot of cytokine expression on CD4$^+$CD44^{hi} $\alpha\beta$ T cells following *ex vivo* stimulation of splenocytes with PMA and ionomycin. **(B-F)** Representative analyses of naïve splenic CD4$^+$ or CD8$^+$ T cells after *ex vivo* simulation with anti-CD3/anti-CD28 antibodies and additional antibodies to skew towards indicated lineages. Shown is CFSE incorporation (B) or expression of relevant transcription factors (C, D) or cytokines (E, F). **(G, H)** Representative flow data showing (G) frequencies of splenic $\alpha\beta$ T cells that stain with the gp33:H-2Db tetramer or (H) express indicated cytokines after LCMV infection of mice. Data are representative of two independent experiments with at least four mice of each genotype. **(I, J)** Representative plots (J) and (I) quantification of antigen-specific $\alpha\beta$ T cells able to respond to indicated viral peptides within a peptide re-stimulation assay. (A-F) Data are representative of two independent experiments. (G-J) Data are combined from four independent experiments (two D7 and two D35 timepoints) with 8-11 mice of each genotype per time-point.

Figure 9. Mature $\alpha\beta$ T cells of *PG* mice possess higher than normal autoimmune hazard**. (A)** Graph of body weights of *Rag1*$^{-/-}$ hosts over time after transfer of *WT* or *PG* CD4$^+$ T cells or no cells (NT). Data combined from three independent experiments with a total of 13-17 mice of each genotype. Lines indicate fitted segmented-linear regression lines +/- 95% confidence interval. Day 15 was fixed as the inflection point. Difference between gradients of second slope was determined by linear regression analysis. **(B)** Numbers of CD4$^+$ T cells in spleen eight weeks post-transfer. **(C)** Total number of IFNγ, TNFα, and/or GM-CSF producing cells per spleen. Shown are all data points from three independent experiments with 12-14 mice per group. Bar indicates global mean. Pre-gating on live singlets, CD90.2$^+$, CD4$^+$, and CD44$^+$. **(D)** Colon length of mice sacrificed at eight weeks post-infection. **(E)** Representative hemotoxylin and eosin stained colon sections from respective mice at eitght weeks post-transfer. 10x

magnification. **(F-G)** Total colitis score as assessed by blinded pathologist. Scored as per previously described guidelines for the five phenotypes (48): (F) Colon inflammation score (contribution of scores for crypt abcesses and inflammatory cell infiltrate). (G) Colon tissue damage score (contribition of scores for crypt architecture, tissue damage, goblet cell loss). **(H)** Non-colitis pathologies described for mice transferred with *WT* versus *PG* cells. **(I)** Hemotoxylin and eosin stained skin section from *PG*-transferred mouse with severe dermatitis at eight weeks post-transfer. 10x magnification. **(J)** Spleen weight at eight weeks post-transfer normalized to total mouse bodyweight prior to transfer. **(K)** Survival curves of mice transferred with *WT* or *PG* cells. Data combined from three independent experiments. Statistical analysis = Log-rank Mantel-Cox test. Overall: 0/13 NT mice died, 1/15 *WT*-transferred mice died, and 4/17 *PG*-transferred mice died. (B, C, F, G, and J) Shown are all data points from three independent experiments, 12-14 mice per group. Experiment indicated by shape of data point. Bar indicates global mean. Analyzed by 2-way ANOVA with Tukey HSD post-test. n.s. $p > 0.05$; * $p < 0.05$, **$p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$ **(L)** Curves showing diabetes free survival of female NOD *WT* (n=16) and *PG* (n=14) mice. Mice were generated by mating together NOD $Rag1^{WT/PG}$ mice and monitored for their first 30 weeks of life. The percent diabetes survival curves include mice that have passed 20 weeks of age or gotten diabetes before 20 weeks of age. Analyzed by Gehan-Breslow-Wilcoxon test (p=0.049) and log-rank Mantel Cox test (p=0.1151).

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6

Figure 7

Figure 8