

Local brainstem circuits contribute to reticulospinal output in the mouse

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Abbreviated title: Intrinsic microcircuits in the reticular formation

Number of pages = 26

Number of figures = 6

Number of words for abstract (n=145), introduction (n=639), and discussion (n=1411)

CONFLICT OF INTEREST: The authors declare no competing interests.

ACKNOWLEDGEMENTS: We thank Dallas Bennett and Charlotte Ryder-Burbridge for animal care and technical support, and Dr Xiaolu Sun for her preliminary experimental work. This work was funded by the Canadian Institutes of Health Research (RMB: MOP 136981; YZ: MOP-110950) and Wellcome Trust (RMB: 110193). RMB's position is supported by Brain Research UK. Equipment used for photostimulation experiments was funded by the Canadian Foundation for Innovation (228190, RB) and the Nova Scotia Research and Innovation Trust (RB).

1 **ABSTRACT**

2 Glutamatergic reticulospinal neurons in the gigantocellular reticular nucleus (GRN) of the
3 medullary reticular formation can function as command neurons, transmitting motor commands
4 to spinal cord circuits. Recent advances in our understanding of this neuron-dense region have
5 been facilitated by the discovery of expression of the transcriptional regulator, Chx10, in
6 excitatory reticulospinal neurons. Here, we address the capacity of local circuitry in the GRN to
7 contribute to reticulospinal output. We define two sub-populations of Chx10-expressing neurons
8 in this region, based on distinct electrophysiological properties and somata size (small and large),
9 and show that these correspond to local interneurons and reticulospinal neurons, respectively.
10 Using focal release of caged-glutamate combined with patch clamp recordings, we demonstrated
11 that Chx10 neurons form microcircuits in which the Chx10 interneurons project to and facilitate
12 the firing of Chx10 reticulospinal neurons. We discuss the implications of these microcircuits in
13 terms of movement selection.

14

15 **SIGNIFICANCE STATEMENT** (<120 words)

16 Reticulospinal neurons in the medullary reticular formation play a key role in movement. The
17 transcriptional regulator Chx10 defines a population of glutamatergic neurons in this region, a
18 proportion of which have been shown to be involved in stopping, steering, and modulating
19 locomotion. While it has been shown that these neurons integrate descending inputs, we asked
20 whether local processing also ultimately contributes to reticulospinal outputs. Here, we define
21 Chx10-expressing medullary reticular formation interneurons and reticulospinal neurons, and
22 demonstrate how the former modulate the output of the latter. The results shed light on the
23 internal organization and microcircuit formation of reticular formation neurons.

24 INTRODUCTION

25 Movement is complicated. When we reach and grasp our coffee cup, we instruct our
26 spinal cords to activate particular muscle groups in a precise temporal sequence. At the same
27 time, we instruct it to not supinate our forearm. This combination of instructions to move and to
28 not move are thus required for behavioural output. While the basal ganglia are involved in
29 making these selections (Tecuapetla et al., 2016; Lee et al., 2020), it is the brainstem reticular
30 formation that must ultimately decode these supramedullary inputs to form precise commands
31 that descend, via reticulospinal pathways, to spinal motor circuits.

32 It is well established that the gigantocellular reticular nucleus (GRN) contains
33 reticulospinal neurons that mediate posture and locomotion in the cat (Takakusaki et al., 2003;
34 Matsuyama et al., 2004; Schepens and Drew, 2004). Neurons in supramedullary locomotor
35 regions such as the mesencephalic (MLR) and cerebellar locomotor regions project to the GRN,
36 where they are integrated to initiate locomotion via reticulospinal pathways that in turn activate
37 spinal locomotor circuits (Orlovsky, 1970; Noga et al., 2003). Electrical (Jordan et al., 2008) or
38 chemical (Noga et al., 1988) activation of glutamatergic RSNs in the GRN is sufficient for
39 inducing locomotor activity, and inactivation (Noga et al., 2003) of this region eliminates MLR-
40 evoked locomotion. But glutamatergic RSNs are involved in other movement as well: for
41 example, these neurons also receive input from postural centres to ensure appropriate extensor
42 tone during motor activities (see review: Takakusaki, 2017).

43 The GRN is comprised of various types of neurons, including inhibitory as well as
44 excitatory reticulospinal neurons (Du Beau et al., 2012; Mitchell et al., 2016; Valencia Garcia et
45 al., 2018), and is not seemingly structurally organised in a way that would facilitate the study of
46 different neuronal types. Mouse genetic techniques have therefore been used to identify and

47 activate (or inactivate) neurons in this region to study their roles. In recent years, neurons in the
48 GRN that express the transcriptional regulator Chx10 have been studied (Crone et al., 2012;
49 Bretzner and Brownstone, 2013). As in the spinal cord, these neurons are exclusively
50 glutamatergic (Bretzner and Brownstone, 2013; Bouvier et al., 2015). Promoters for either the
51 vesicular glutamate transporter 2 (vGluT2), or Chx10 have been used to drive cre recombinase
52 expression for opto- or chemo- genetic experiments. Using these techniques, it has become clear
53 that excitatory GRN neurons can modulate locomotor activity (Lemieux and Bretzner, 2019),
54 that bilateral activation of Chx10 RF neurons can stop locomotion (Bouvier et al., 2015), and that
55 their unilateral stimulation can lead to turning (Cregg et al., 2020) or neck movements (Capelli et
56 al., 2017) or both (Usseglio et al., 2020). But glutamatergic neurons in this region are also
57 involved in sleep atonia (Saper et al., 2010), suggesting that results from photoactivation
58 experiments could be skewed by the subpopulation(s) of neurons activated. But it is likely that,
59 similar to the heterogeneity of spinal Chx10 interneurons (e.g. Dougherty et al., 2013; Hayashi et
60 al., 2018), Chx10 reticular formation neurons are also not homogeneous. Furthermore, opto- or
61 chemo- genetic studies would not capture the intrinsic organisation of the GRN, and whether
62 local circuits regulate the output – that is, whether this region is simply an integrator of inputs, or
63 whether it has the circuitry to refine descending output.

64 In a step towards understanding this black box region of the brain and how it might
65 process input from above to produce descending commands for spinal cord circuits to process,
66 we asked whether Chx10 neurons in the GRN form local microcircuits. We identified two clear
67 subsets of these neurons, only one of which (comprised of neurons with large somata) is
68 reticulospinal. Then, using focal release of caged glutamate, we demonstrated the internal
69 architecture whereby smaller Chx10 neurons project to and facilitate the firing of the larger

70 Chx10 neurons. We propose that these microcircuits tune descending commands and thus
71 facilitate movement.

72 MATERIALS AND METHODS

73 Animals

74 All animal procedures were done at Dalhousie University, approved by the University
75 Committee on Laboratory Animals and conform to the standards of the Canadian Council for
76 Animal Care. Both male and female Chx10::eGFP were used in all experiments.

77 Electrophysiology

78 *Slice preparations.* Whole-cell patch clamp recordings were made from visualized Chx10
79 neurons from the gigantocellular reticular nucleus (GRN) of the rostral medulla of P9-P14
80 Chx10e:GFP mice (Bretzner and Brownstone, 2013). Mice were anesthetized by intraperitoneal
81 injection of a ketamine (60 mg/kg) and xylazine (12 mg/kg). After loss of their righting reflex,
82 mice were cooled on ice and decapitated. The brainstem was immediately removed and secured
83 to an agar block with a small amount of adhesive and transferred to ice-cold oxygenated solution
84 (3.5mM KCL, 35mM NaHCO₃, 1.2mM KH₂P0₄, 1.3mM MgSO₄, 1.2mM CaCl₂, 10mM glucose,
85 212.5mM sucrose, 2mM MgCl₂, pH7.4) for sectioning. Two, 300-350 um sections of the
86 medulla containing the rostral GRN were sectioned on a vibratome (Leica VT1200S, Leica) per
87 mouse and transferred to a warm oxygenated (95% oxygen, 5% carbon) aCSF solution (111 mM
88 NaCl, 3.08 mM KCl, 11 mM Glucose, 25 mM NaHCO₃, 1.25 mM MgSO₄, 2.52 mM CaCl₂, 1.18
89 mM KH₂p0₄, pH 7.4) to recover for a minimum of 30 minutes.

90 *Whole-cell patch-clamp recordings and stimulation.* Slices were transferred to a recording
91 chamber mounted on a Zeiss AxioExaminer microscope and perfused with oxygenated room-
92 temperature aCSF. Cells were visualized using a 20x wide aperture (1.2nA) water-immersion
93 objective lens, a CCD camera (CoolSNAP EZ CCD Camera, Photometrics, Arizona, USA) and
94 Slidebook 6.0 software (Intelligent Imaging Innovations, Colorado, USA, RRID:SCR_014300).

95 Whole-cell patch-clamp recordings were acquired in current-clamp (IC) mode using a
96 Multiclamp 700B amplifier (Molecular Devices, California, USA, RRID:SCR_014300).
97 Recordings were loss pass filtered at 10 kHz (IC), and acquired at 25 kHz with CED Power1401
98 AD board and Signal software (CED, Cambridge UK, <http://ced.co.uk/us/products/sigovin>).

99 Recording pipettes were filled with a solution containing in mM: K-gluconate, 128; NaCl, 4;
100 CaCl₂, 0.0001; Hepes, 10mM, glucose, 1mM; Mg-ATP, 5; and GTP-Li, 0.3, pH 7.2, lucifer
101 yellow dilithium salt (0.4 mg/ml, Thermo Fisher Scientific, Cat# A-5750, RRID:AB_2314410),
102 and neurobiotin (1mg/ml, Vector Laboratories, Cat# SP-1120-20, RRID: AB_2536191), and had
103 resistances of 4-6 MΩ. Lucifer yellow allowed for the immediate visualization of the soma and
104 dendrites of the patched cell. This allowed us to avoid photostimulating processes of the
105 recorded cell whilst stimulating nearby presynaptic cell bodies. Neurobiotin allowed for *post-*
106 *hoc* confirmation of the identity of the recorded cell and that axons or dendrites of the post-
107 synaptic cell were not stimulated when photostimulating pre-synaptic cell bodies by comparing
108 images of the region of interest (ROIs) stimulated (see below) to the confocal image of the
109 biotin-filled post-synaptic cell (n=10).

110 In the initial set of experiments, basic and rhythmic firing properties of visually identified
111 “large” and “small” Chx10 neurons in the medulla GRN were subjected to various depolarizing
112 and hyperpolarizing current pulses. All properties were collected while holding the cell at -60
113 mV. Input resistance was collected as the average response of the cell to repetitive (minimum 20
114 sweeps), small hyperpolarizing pulses (-10pA, 100ms). Rheobase, defined as the minimum
115 current to elicit an action potential 50% of time was collected from incremental 1 pA
116 depolarizing current steps. Voltage threshold defined as the membrane potential at which
117 depolarization increased at ≥ 10 V/s was determined from the first spiking response during
118 rheobase. Frequency-current (F-I) plots were obtained by applying 1 second depolarizing
119 current pulses with incremental increase of 10-20 pA. The steady state firing frequency was
120 determined by counting the number of spikes during the 1 second pulse. The instantaneous
121 firing frequency was collected by taking the average interspike interval (1/average interspike
122 interval) of the first 3 spikes for each current step. Sag potential and post inhibitory rebound
123 firing was collected during 1 second hyperpolarizing current pulses with increases of -10 to -20
124 pA. Sag potential was collected as the difference in the membrane potential at maximum minus
125 steady state change during the hyperpolarizing pulse. All sag calculations were conducted at a
126 steady state hyperpolarization of -100 mV.

127 **Holographic photolysis of caged glutamate**

128 Following the initial set of experiments to characterize the electrophysiological properties
129 of large and small Chx10 neurons, we sought to determine the connectivity patterns of Chx10
130 neurons using a photo-stimulation protocol as we have previously described. These experiments
131 only proceeded when membrane potential was stable (i.e. did not fluctuate more than 5 mV
132 during a 5-minute period). MNI-caged-L-glutamate (2.5mM, Tocris, Ontario, Canada, Cat#
133 1490) was perfused in warm aCSF at a rate of 2ml/min. Holographic photolysis of MNI-
134 glutamate was performed using a 405 nm laser directed through a Phasor spatial light modulator
135 (SLM) system (Intelligent Imaging Innovations, Colorado, USA), stimulating regions of interest
136 (ROI) as controlled by Slidebook software. Prior to photostimulating ROIs, direct
137 photostimulation of the patched Chx10 neuron was performed to determine the intensity and
138 duration of the pulse which elicited a single action potential. Ideal intensity and pulse duration
139 for small Chx10 neurons was 3.5mW and 800-1000 μ s and for large Chx10 neurons was 7mW
140 and 1500 μ s. If longer durations were necessary, the slice was not used as it was deemed to be
141 unhealthy. ROIs were selected as identified neuronal cell bodies under fluorescence, with the
142 ROI blanketing the soma. Once ROIs in a single focal plane were selected, sequential
143 photostimulation of the ROIs was carried out while recording from the post-synaptic neuron.
144 Post-synaptic cells were held between -60mv and -50mv for the duration of the experiment
145 (which could exceed one hour). Photomicrographs for each ROI were taken to map the location
146 of each cell relative to the post-synaptic cell recorded. In stable preparations, once a connection
147 was established, a second electrode was used to record from the connected pre-synaptic cell to
148 determine if the connection was bi-directional. As the connected cells were often in close
149 proximity (100-200 μ m), the gigaseal of the patch-clamp was often lost on one of the two cells,
150 but loose patch recordings were obtained, confirmed by recording responses from direct
151 photostimulation of the cell body.

152 **CTB injections.**

153 The fluorescent retrograde tracer cholera toxin subunit B (CTB) was used to label reticulospinal
154 neurons. Briefly, using aseptic surgery with 3-4% isoflurane, an incision over the cervical or
155 lumbar spine was made, the lamina over C6 or L2 was removed, and a small incision in the dura
156 was made to expose the spinal cord. Mice were placed in a stereotaxic frame to stabilize the
157 vertebral column, and, using a micromanipulator a glass pipette containing 1 μ L of CTB was

158 advanced to the surface of the spinal cord, following which the tip was slowly lowered 500 μm
159 into the L2 spinal cord. CTB was injected over a 10 minute period and the pipette remained in
160 place for 5 minutes after injection, after which, the electrode was removed and a similar
161 injection with a new glass electrode was made on the contralateral side of the spinal cord. The
162 mice were then sutured and monitored while they recovered. No deficits or motor impairments
163 were seen as mice recovered. At day 14 after injection, the mice were anesthetized and
164 transcordially perfused with phosphate buffer solutions followed by 4% paraformaldehyde in
165 0.1M phosphate solution (PBS). Tissue was harvested, post-fixed in 4% paraformaldehyde
166 overnight, and subsequently cryoprotected in 30% sucrose. Tissue was sectioned using a cryostat
167 and mounted on glass slides for immunohistochemistry.

168 **Immunohistochemistry and imaging.**

169 Upon completion of the electrophysiological recordings, slices were incubated in 4%
170 paraformaldehyde for 1 hour at room temperature followed by three, 15 minute washes in 0.1%
171 PBS-T. Slices were incubated at 4°C overnight in goat anti-GFP primary antibody (1:2500,
172 Abcam Cat# AB6673, RRID: AB_305643) followed by a four-hour incubation period in donkey
173 anti-goat Alexa Fluor 488 (1:500, Abcam Cat# AB150105, RRID: AB_2732856) and Alexa
174 Fluor 647-conjugated streptavidin (1:500, ThermoFisher Scientific, Cat# S-21374, RRID:
175 AB_2336066). Images were obtained using a Zeiss LSM 510 upright confocal microscope.
176 Images were compared to photos obtained during the electrophysiology recordings in Slidebook
177 to confirm that the ROIs did not overlap with visually identifiable dendrites or axons.

178 For CTB-labeled tissue, sections were incubated with primary goat anti-GFP (1:500,
179 Abcam, Cat# AB5449, RRID: AB_304896) and sheep anti-Neun (1:1000, Abcam Cat#
180 AB177487, RRID: AB_2532109) antibodies diluted in PBS containing 0.1% Triton X-100
181 (PBS-T) for 24 hours at 4 °C. Sections were then washed three time for 10 mins each in 0.1%
182 PBS-T followed by incubation with appropriate secondary antibodies conjugated to Alexa 488,
183 Alexa 555 and Alexa 637 (1:500 Molecular Probes) for 3 hours. Sections were then washed
184 three times for 10 mins each in PBS, mounted in vectashield (Vector Laboratories) and
185 coverslipped. Epifluorescent images were acquired with a Zeiss Axioplan inverted microscope.
186 Images were processed using ImageJ software (NIH, USA). Cell areas (μm^2) were measured at

187 optical planes that included the nucleus, and were calculated using surface area function in
188 ImageJ

189 **Experimental design and statistical analysis**

190 Data are presented as mean \pm SD. As is common for discovery experiments, no
191 statistical method was used to predetermine sample size, and no randomization or blinding
192 procedures were used. Statistical analyses were done using Sigma-Plot (v14.0, Systat software,
193 California, USA). Un-paired Student's t test were used for all comparisons except for frequency
194 of observed sag potentials, in which Fisher Exact test was used. Statistical significance was set
195 at $p < 0.05$.

196 **RESULTS**

197 **Chx10 neurons have distinct electrophysiological properties correlated to their size**

198 As intrinsic neuronal electrophysiological properties play a critical role in producing
199 circuit activity (Getting, 1989), we first sought to characterize these properties of medRF Chx10
200 neurons, focusing on those in the GRN. We performed whole-cell patch-clamp recording from
201 Chx10 neurons in P9-P14 mice to determine if there are subpopulations of Chx10 neurons with
202 distinct electrophysiological properties. All neurons were held at -60 mV to have a uniform
203 baseline when measuring their responses to injected current.

204 We first characterized the spike patterns of Chx10 neurons in response to sustained
205 suprathreshold current steps. Of the 60 neurons we recorded, 48 demonstrated sustained firing in
206 response to current injection, whereas the remaining 12 fired only a single spike and were not
207 analysed further. Of the 48 neurons with sustained firing, two distinct patterns of firing emerged.
208 The first pattern (n=26) consisted of trains of action potential with minimal spike frequency
209 adaptation (SFA) and lower initial gain in the F/I relationship (Figures 1A & 1B). The second
210 pattern (n=22) consisted of high initial firing rate followed with pronounced spike frequency
211 adaptation. The average gain for the initial firing (first 3 spikes) was 19 ± 18 Hz / 10 pA for the
212 first pattern of firing Chx10 neurons and 36 ± 28 Hz / 10pA ($P = 0.02$) for the second type of
213 firing Chx10 neurons (Figure 1B). There was no significant difference in the average gain for
214 the steady firing (last 3 spikes), with average gains of 9 ± 9 Hz / 10pA s and 12 ± 9 Hz / 10 pA
215 respectively (Figure 1B, $P = 0.08$). Furthermore, the second type of firing Chx10 neurons
216 demonstrated significantly more SFA compared to the first type of Chx10 neurons (43 ± 12 % vs
217 26 ± 20 % decrease in firing rates, respectively, $P = 0.028$). Based on these distinct firing
218 patterns, we termed these neurons Type 1 and Type 2 Chx10 neurons, and then analysed their
219 corresponding electrophysiological properties (Figure 1C; see below).

220 The two types of Chx10 neurons also demonstrated differences in their responses to
221 hyperpolarizing current injection to steady state voltages of -100 mV. Of the 26 Type 1 Chx10
222 neurons, 10 had sag potentials, in response to a hyperpolarizing step to -100 mV, and these
223 were of small amplitude (3.8 ± 5.1 mV). None of these neurons responded with post-inhibitory
224 rebound (PIR) upon termination of this step (Figure 1D). Conversely, all Type 2 Chx10 neurons

225 (n=22) had a large sag potential (21.4 ± 7.5 mV, $P < 0.001$) in response to hyperpolarization
226 (Fisher Exact $P < 0.001$), and PIR responses consisted of a single or several action potentials at
227 pulse termination (Figure 1D). To summarize, we found that Type 1 Chx10 neurons
228 demonstrated: 1) lower gains in their initial firing F/I relationships, 2) minimal SFA, 3) no sag
229 potentials, and 4) no PIR, whereas Type 2 Chx10 neurons demonstrated: 1) higher gains in their
230 initial firing F/I relationships, 2) significant SFA, 3) sag potentials, and 3) PIR responses. Based
231 on these two distinct types of firing patterns in response to depolarizing and hyperpolarizing
232 current injections, we proceeded to compare passive electrical properties of these two types of
233 Chx10 neurons.

234 Both types demonstrated similar resting membrane potentials (-46.8 ± 5.4 mV and -46.2
235 ± 6.6 mV, Type 1 and 2 respectively, $P = 0.73$) and spike thresholds (-45.2 ± 6.4 mV and $-43.4 \pm$
236 3.7 mV, Type 1 and 2 respectively, $P = 0.23$). However, there was a significant difference in
237 input resistance with Type 1 demonstrating an average input resistance of 380 ± 245 M Ω while
238 Type 2 had an average input resistance of 980 ± 480 M Ω ($P < 0.001$). Correspondingly, Type 1
239 Chx10 neurons were less excitable with an average rheobase of 56.0 ± 40.8 pA, compared to
240 Type 2 Chx10 neurons which had an average rheobase of 21.0 ± 7.5 pA ($P < 0.001$). These 3
241 properties – input resistance, rheobase, and sag – together led to a separation between Type 1 and
242 Type 2 Chx10 neurons (Figure 1E).

243 During these recordings, it became visually evident that Type 1 Chx10 neurons were
244 larger than Type 2 Chx10 neurons (Figure 1A inset). This observation matched their different
245 rheobases and input resistances. Furthermore, we injected biotin into patched neurons and
246 analyzed their soma sizes and the number of initial dendritic branches (n=5 for each type). It was
247 clear that Type 1 Chx10 neurons are significantly larger (385 ± 48 μ m vs 148 ± 45 μ m, P
248 < 0.001) and have more primary dendrites (3.4 ± 0.5 vs 1.4 ± 0.5 , $P = 0.004$) than Type 2 Chx10
249 neurons. The difference in soma size fits with a bimodal distribution of Chx10 soma sizes (vide
250 infra). We therefore refer to these two types of GRN neurons as Large Chx10 neurons and Small
251 Chx10 neurons, and next sought to determine their connectivity patterns.

252 **Large Chx10 neurons are reticulospinal**

253 As Chx10 neurons have previously been shown to be reticulospinal (Bretzner and
254 Brownstone, 2013 & Bouvier et al., 2015) we sought to determine whether both subpopulations
255 project to the spinal cord. We initially targeted the L2 spinal cord, where we injected CTB
256 bilaterally for retrograde labeling in adult Chx10:eGFP mice (n=3, Figure 2A). After a two week
257 recovery period, we found, on average, 63 (range 35-82) CTB+ GFP^{ON} neurons/mouse in the
258 GRN (Figure 2A). This represented 8-11% of all Chx10^{ON} neurons in the GRN, similar to that
259 reported in neonatal mice (Bretzner & Brownstone, 2013).

260 As a population, Chx10 soma sizes ranged from 80 – 610 μm^2 (n= 1925, from 3 mice
261 mean: $211 \pm 105 \mu\text{m}^2$). In contrast, the range of average soma sizes of CTB positive Chx10
262 neurons was 240 – 540 μm^2 (mean: $360 \pm 60 \mu\text{m}^2$; $p < 0.01$), corresponding with larger Chx10
263 neuronal soma sizes seen in the frequency distribution (Figure 2B), indicating that the Large
264 Chx10 neurons are reticulospinal. We found no evidence that the Small Chx10 neurons project to
265 the lumbar spinal cord.

266 To investigate whether Small Chx10 neurons project to the rostral spinal cord, we
267 injected CTB in the C7-C8 cervical segments (n=3) and quantified the number of CTB+
268 Chx10^{ON} neurons in the medRF GRN as above. We found a similar distribution of CTB+ Large
269 Chx10 neurons and no CTB+ Small Chx10 neurons. Thus, Large Chx10 neurons are
270 reticulospinal whereas Small Chx10 neurons are not. We will therefore refer to the Large Chx10
271 neurons as Chx10 reticulospinal neurons, and the small ones as Chx10 interneurons.

272 **CONNECTIVITY PATTERNS OF CHX10 NEURONS**

273 To determine whether the two populations are synaptically connected with each other, we
274 used brain stem slice preparations to record from 30 Chx10 neurons (15 of each type), while
275 photo-activating caged glutamate on over 120 others, establishing 60 connections. We targeted
276 Chx10 neurons based on their size to ensure that we recorded a sufficient number of each type.
277 Once entering whole cell mode, we first characterized the neurons based on their
278 electrophysiological properties (see above), and found a 96% (29/30) concordance rate between
279 their properties and the predicted type based on size. That is, if we treat our initial data from 48
280 neurons as a “training” set, then in this “test” set of 30 neurons, we picked their

281 electrophysiological properties correctly in 29. Therefore, we were confident that we could
282 visually classify neurons as interneurons or reticulospinal neurons for photostimulation. As can
283 be appreciated by the distribution of cell sizes (Fig 2B), there were many more Chx10
284 interneurons than reticulospinal neurons. We found that Chx10 interneurons form synapses with
285 both Chx10 interneurons and Chx10 reticulospinal neurons. Conversely, we did not find
286 evidence that Chx10 reticulospinal neurons form synapses with other Chx10 reticulospinal
287 neurons, and connections to Chx10 interneurons were infrequent, although in a subset of pairs,
288 we found bi-directional connectivity between Chx10 interneurons and Chx10 reticulospinal
289 neurons. We describe these findings below.

290 **Chx10 interneurons form synapses with Chx10 interneurons**

291 After ensuring that photostimulation produced action potentials in Chx10 interneurons by
292 directly stimulating the recorded cell (Fig 3A), we asked whether Chx10 interneurons connect to
293 each other. In 15 Chx10 interneurons (average soma size $176 \pm 40 \mu\text{m}$), 20 connections were
294 found by photostimulation of 70 Chx10 interneurons (Figure 3B). In all 20 connections, the post-
295 synaptic responses were subthreshold, with differing sizes of excitatory post synaptic potentials
296 (EPSPs) arising from different presynaptic neurons (Figure 3C). In some cases, temporal
297 summation with repetitive stimulation was evident (Fig 3C). The number of connected
298 presynaptic neurons ranged from 1 to 3 (mean 1.7 ± 0.9), with EPSP amplitudes ranging from
299 4.0-12.5 mV (mean $8 \pm 3 \text{ mV}$).

300 **No apparent connectivity between Chx10 reticulospinal neurons**

301 We next turned to Chx10 reticulospinal neurons, and asked whether they form synapses
302 with each other. Due to the large size and high rheobase of Chx10 reticulospinal neurons, higher
303 photostimulation intensities were needed to generate action potentials in response to the majority
304 of the individual stimuli (Figure 4A). During recordings in 7 Chx10 reticulospinal neurons, we
305 photostimulated a total of 40 other Chx10 reticulospinal neurons but were unable to establish
306 connectivity: there were no responses.

307 **Chx10 interneurons form synapses with Chx10 reticulospinal neurons**

308 We next turned to investigating connectivity between the two types of neurons. To study
309 connectivity from Chx10 interneurons to Chx10 reticulospinal neurons, we photostimulated 75
310 Chx10 interneurons whilst recording Chx10 reticulospinal neurons ($n=15$, soma size 421 ± 84
311 μm), and found a total of 32 connections in 14 neurons (Figure 4B). In all 32 connections, the
312 post-synaptic responses were subthreshold, resulting in small post synaptic EPSPs (3.2 ± 1.2
313 mV , Figure 4C, presynaptic neuron V). The number of connections ranged from 1 to 6
314 presynaptic neurons, with an average of 2.5 ± 1.3 connections per patched Chx10 reticulospinal
315 neuron. Conversely, in 5 Chx10 interneurons, we photostimulated a total of 25 Chx10
316 reticulospinal neurons but were unable to establish any connections, suggesting that connectivity
317 between the two populations is unidirectional.

318 In a subset of experiments that showed connectivity from a Chx10 interneuron to a
319 Chx10 reticulospinal neuron ($n=7$), a second patch electrode was used to successfully record the
320 pre-synaptic Chx10 interneuron, although this often resulted in the loss of the initial giga seal on
321 the postsynaptic Chx10 reticulospinal neuron. (Figure 4D). In these experiments, action
322 potentials generated in the Chx10 interneurons by direct photostimulation led to EPSPs in the
323 Chx10 reticulospinal neurons as expected (Figure 4E). In 5/7 of these experiments,
324 photostimulation of Chx10 reticulospinal neurons that resulted in generating action potentials in
325 the Chx10 reticulospinal neurons did not elicit post-synaptic responses in the Chx10
326 interneurons, supporting that these connections are uni-directional.

327 In two paired recording experiments, however, bi-directional connectivity was found
328 using current injections into the identified presynaptic Chx10 interneuron and Chx10
329 reticulospinal neurons (Figure 5A, B), Incremental depolarizing current steps injected in Chx10
330 interneurons (Figure 5C) that generated action potentials led to EPSPs and action potentials in
331 Chx10 reticulospinal neurons (light grey and black, figure 5C) at low (light red , figure 5C) and
332 high (dark red , figure 5C) current injections, respectively. Similarly, current injection in Chx10
333 reticulospinal neurons (Figure 5D) that generated action potentials also generated EPSPs and an
334 action potential (light and dark red, figure 5D) in the Chx10 interneuron at low (light grey ,
335 figure 5D) and high current injections (black, figure 5D), respectively (Figure, demonstrating
336 that in at least some cases, connectivity is bi-directional.

337 Given this bidirectionality, and given that Cx36 is widely expressed in the medRF GRN
338 (Westberg et al., 2009; Martin et al., 2011), we sought to determine if these connections could
339 have an electrical component, by delivering hyperpolarizing current injections. When Chx10
340 interneurons were hyperpolarized, there was indeed a small hyperpolarizing response in Chx10
341 reticulospinal neurons (Figure 5E), suggesting an electrical component to this connection.
342 However, hyperpolarizing current injections in Chx10 reticulospinal neurons failed to elicit a
343 concomitant response in Chx10 interneurons (Figure 5F). The failure to evoke a hyperpolarizing
344 response in the Chx10 interneuron could be a result of a rectifying electrically coupled
345 connection (Rash et al., 2013 & O'Brien, 2014) or could reflect that we were unable to
346 hyperpolarize the Chx10 reticulospinal neuron sufficiently to detect a response in the Chx10
347 interneuron, as Chx10 reticulospinal neurons became unstable during sustained
348 hyperpolarization.

349 **Chx10 interneurons contribute to repetitive firing behaviour of Chx10 reticulospinal** 350 **neurons**

351 To determine the degree to which inputs from Chx10 interneurons impact the firing behavior of
352 Chx10 reticulospinal neurons, we photostimulated Chx10 interneurons while inducing firing in
353 Chx10 reticulospinal neurons (n=3). After a connection was established, Chx10 reticulospinal
354 neurons were subjected to ramp current injections alone and during photostimulation of a
355 connected Chx10 interneuron (Figure 6A). At its peak, photostimulation could double the firing
356 rate of the Chx10 reticulospinal neuron, increasing peak firing rate from 10 ± 2 Hz to 22 ± 3 Hz.
357 Similar increases in firing rate were seen when Chx10 interneurons were photostimulated during
358 rectangular depolarizing current (Figure 6B). The average firing rate of 7 ± 2 Hz doubled to $15 \pm$
359 4 Hz during photostimulation of the connected Chx10 interneuron. Interestingly, these induced
360 firing rates are higher than those seen with maximal current injections into the recorded neurons
361 (Figure 1, F/I plots). The physiology underlying these photostimulation-induced firing patterns –
362 acceleration during the stimulus and slow return to baseline – is unclear, but the patterns are
363 similar to those seen with activation of persistent currents in neuronal dendrites (Heckman et al.,
364 2003).

365 **DISCUSSION**

366 Motor circuits rely on local interneuronal processing to coordinate and regulate
367 movement. To address the organization of neurons in the medullary reticular formation, a site
368 critical for movement, we used whole cell patch clamping to record the electrophysiological
369 properties of Chx10^{ON} neurons. We identified two subtypes of Chx10 neurons in the GRN, one
370 with large somata that is reticulospinal, and the other with smaller somata that functions as local
371 excitatory interneurons. We then determined their local connectivity patterns using holographic
372 photolysis of caged glutamate. We found that Chx10 interneurons commonly formed
373 connections with other Chx10 interneurons as well as with Chx10 reticulospinal neurons, and
374 that these local interneurons mediate the excitability of the reticulospinal neurons. We propose
375 that GRN Chx10 interneurons are involved in processing and regulating the input:output
376 functions of Chx10 reticulospinal neurons.

377 **Subtypes of GRN Chx10 Neurons**

378 It is not surprising that the GRN is comprised of heterogeneous neuronal types.
379 Electrophysiological analysis of neurons in the reticular formation of macaques has similarly
380 demonstrated several (likely 4) different clusters of neurons based on their firing properties
381 (Zaaimi et al., 2018). Only one of these clusters was thought to be reticulospinal, suggesting the
382 presence of other local or ascending neurons. Taken together with our data, it seems likely that
383 distinct electrophysiological phenotypes in the reticular formation reflect different targets and
384 functions of the recorded neurons.

385 Chx10, or Vsx2, is a transcriptional regulator that is expressed in some glutamatergic
386 neurons in both the spinal cord and brain stem, as well as in other sites (e.g. retina). In the spinal
387 cord, it is expressed in V2a neurons – the excitatory neurons of the cardinal V2 class. It is clear
388 that spinal V2a neurons, like other cardinal classes (e.g. V0s – Pierani et al., 2001; Zagoraiou et
389 al., 2009; Talpalar et al., 2013; V1s – Bikoff et al 2016; V2s – Lundfald et al., 2007; Peng et al.,
390 2007; V3s- Zhang et al., 2008; Borowska et al., 2013; Chopek et al., 2018) are not homogeneous:
391 for example, a subset of V2a interneurons expresses Shox2 and may regulate burst variability
392 and pattern during locomotion (Dougherty et al., 2013). And only a subset of V2a neurons in the
393 cervical spinal cord projects to the brain stem (Hayashi et al., 2018). Likewise, we had

394 previously demonstrated heterogeneity of Chx10^{ON} neurons in the reticular formation (Bretzner
395 & Brownstone 2013). But we now add to this by demonstrating that there are two clear
396 electrophysiological phenotypes of Chx10^{ON} neurons in the GRN, and these phenotypes
397 correlated with whether or not the neurons had reticulospinal projections.

398 **Functional Diversity of Chx10 GRN Neurons**

399 While we have shown that we can readily classify Chx10^{ON} GRN neurons into two
400 populations, there are likely many more sub-classes. In the spinal cord, it is increasingly clear
401 that genetically defined neuronal populations are not homogenous and that subtypes have
402 different electrical properties, connectivity and function (Bikoff et al., 2016). Some GRN Chx10
403 neurons are involved in respiration (Crone et al., 2012; Romer et al., 2017; Jensen et al., 2019),
404 and others in locomotion. In the rostral GRN, excitation of bilateral Chx10 neurons leads to
405 halting of locomotion through inhibition of locomotor circuits (Bouvier et al., 2015), and
406 unilateral excitation leads to turning (Cregg et al., 2020). Recently, two subpopulations of
407 Chx10^{ON} RSNs have been found, with those that project to the cervical cord leading to head
408 orientation and those to the lumbar cord to hindlimb arrest (Usseglio et al., 2020). Given that we
409 found relatively homogeneous properties in Chx10^{ON} RSNs in the GRN, it may be that the
410 different functional subtypes are electrophysiologically indistinguishable.

411 What is the role of these neurons in behaviour? In terms of movement, the reticular
412 formation – and in particular the GRN – has been studied primarily in terms of “command,” or
413 reticulospinal, neurons. For example, in 1859, Mauthner cells were identified in fish, where they
414 were found to initiate turning movement (Sillar, 2016). Chx10 neurons in the *Xenopus* reticular
415 formation are involved in initiating bouts of swimming (Li and Soffe, 2019). In cats, neurons in
416 the GRN can initiate postural changes or locomotion (see: Mori, 1987), and they have several
417 roles in mice (vide supra).

418 Optogenetic experiments have been used to sort out the role of reticular formation
419 neurons. But one problem with this approach is that activating a population as a whole may
420 obscure the role of more precisely defined sub-populations. For example, low doses of light
421 would be expected to excite the lower rheobase neurons (interneurons), which would in turn

422 activate the RSNs. Higher doses of light may excite both, but this could conceal the role of
423 discrete sub-populations. One way to parse function would be to record the activity of these
424 neurons during behaviour. It has recently been found that about one half of Chx10 neurons in the
425 GRN are active during locomotor stop events, but even within these neuronal sub-populations,
426 there seems to be some diversity in function: that is, individual neurons are not active during
427 every stop event (Schwenkgrub et al., 2020). Furthermore, some Chx10 neurons were active at
428 the onset of and during locomotion (Schwenkgrub et al., 2020), consistent with some of them
429 receiving input from the mesencephalic locomotor region (Bretzner and Brownstone, 2013).
430 And some are not active during locomotion, but are during grooming, or when the animal is
431 stationary (Schwenkgrub et al., 2020). Similarly, three functional types of glutamatergic neurons
432 were described in the lamprey, in a similar region of the reticular formation (one that receives
433 inputs from the mesencephalic locomotor region). Each of these neuronal types has a distinct
434 function: initiation, maintenance or stopping swimming (Juvin et al., 2016; Gratsch et al., 2019).
435 That is, it is clear that reticular formation (GRN) neurons have diverse functions in behaviour.

436 Less attention has been paid to local circuitry in the GRN. Local inhibitory circuits could
437 facilitate bilateral coordination, as in Mauthner cells (Shimazaki et al., 2019), or could
438 conceivably aid in tuning descending commands. But the role of local excitatory interneurons is
439 not obvious. Indeed, these neurons could form a positive feedback loop, leading to unwanted
440 oscillatory behaviour.

441 Perhaps insight on the role of these interneurons could be gleaned through comparison
442 with spinal circuits. In the spinal cord, several populations of excitatory interneurons are
443 necessary for the production of normal coordinated activity, such as locomotion (see: Kiehn,
444 2016). If the GRN was simply for integration of descending inputs from various movement
445 centres to produced descending commands, then local inhibitory interneurons may be sufficient
446 to tune the commands. But if the GRN plays a role in the selection and/or *generation* of complex
447 movement, then local circuitry – including excitatory interneurons – may be required. It is
448 interesting that activating Chx10 interneurons led to changes in RSN properties: firing rates in
449 RSNs were higher than could be elicited by current injection, suggesting that dendritic inputs
450 could drive these cells into a nonlinear range, as has been observed in other neurons such as

451 spinal motoneurons (Heckman et al., 2003). That is, interneuronal activity could change the
452 nature of the descending commands – for example, sculpting tonic to phasic activity.

453 Several studies have modeled the internal organization of the reticular formation and its
454 selection of appropriate RSNs for a motor behaviour (Humphries et al., 2006, 2007). It has been
455 suggested that local nodes of small interneurons (although in their case inhibitory) form synapses
456 with reticulospinal neurons, and are organized through connections with other nearby
457 interneurons within their node. This “small-world” network was hypothesized to ensure rapid
458 cross-network synchronization, consistent node selection for a particular motor action, and
459 increased persistent activity that facilitates ongoing drive required for the appropriate motor
460 response (Humphries et al., 2007). These properties were proposed to be consistent with a role
461 of the GRN in action selection (Humphries et al., 2005), . Although these models used inhibitory
462 interneurons, the concept of small-world networks may be generalizable to the architecture that
463 we have demonstrated here. (The interested reader is directed to the clear analysis of Humphries
464 et al., 2007, for further reading.)

465 In summary, we have shown that there are at least two distinct subtypes of Chx10^{ON}
466 neurons in the GRN: a local interneuronal and a reticulospinal population. And we have shown
467 connectivity between these, such that the interneurons enhance the firing of reticulospinal
468 neurons. We do not yet know how these circuits function to produce behaviour, although we can
469 propose that these local circuits are important for ensuring that the actions selected by higher
470 motor circuits are processed to ensure that spinal circuits produce those behaviours.

471 **FIGURE LEGENDS**

472 **Figure 1. Chx10 neurons have two distinct types of electrophysiological properties.**

473 **A)** In response to incremental depolarizing current steps, Type 1 Chx10 neurons demonstrate
474 minimal gain in their firing rate with little spike frequency adaptation, whereas Type 2 Chx10
475 neurons demonstrate a high initial firing rate with SFA. **B)** Frequency-current relationship plots
476 of the initial and average firing rates for Type 1 and Type 2 Chx10 neurons. **C)** Relationship of
477 initial f-I slope with input resistance and rheobase. Colour reflects the f-I gain (Hz/10 pA; see
478 sidebar), as does size. **D)** In response to incremental hyperpolarizing current steps, Type 1 Chx10
479 neurons demonstrate minimal sag and no PIR, whereas Type 2 Chx10 neurons demonstrate
480 substantial Sag and PIR following termination of the hyperpolarizing pulse. **E)** 3D plot showing
481 the sag voltage, rheobase and input resistance recorded from each Chx10 neuron. Blue circles
482 showing separation of Type 1 and Type 2 Chx10 neurons based on their plotted
483 electrophysiological properties. The neurons indicated by the 2 red dots are shown in Figure 5.

484 **Figure 2. Large Chx10 neurons are reticulospinal neurons.**

485 **A1)** Schematic of experimental procedure in which CTB is injected bilaterally in the second
486 lumbar segment to label reticulospinal neurons. **A2)** Image of GFP positive Chx10 neurons in
487 the gigantocellular region of the medulla. **A3)** Image of CTB labelling of terminals and cell
488 bodies in the same section as A2. **A4)** Overlap of GFP and CTB, with two Chx10 neurons
489 positive for CTB labelling (arrows), and one smaller one that is not. **B)** Overlaid cumulative
490 plots demonstrating the relative distribution of all labelled GFP Chx10 neurons in the GRN (light
491 blue), as well as the relative distribution of CTB positive Chx10 neurons (pink). Note that
492 although the frequency of large Chx10 cells is lower, it is these large cells that are reticulospinal.

493 **Figure 3. Chx10 interneurons form synapses with other Chx10 interneurons.**

494 **A)** Direct holographic stimulation over patched cell results in single or multiple action potentials,
495 dependent on the laser output. **B)** Fluorescence image of Chx10::eGFP positive neurons in the
496 rostral GRN. Synaptic connectivity was determined by stimulating visually identified Chx10
497 interneurons and recording post-synaptic potentials in the patched Chx10 interneuron. **C)** Post

498 synaptic responses from stimulation of Chx10 interneurons with corresponding numbers in panel
499 B. In this example, stimulation (trains) of neurons IV and VII elicited EPSPs, whereas
500 stimulation of the other Chx10 neurons did not. Blue arrows indicates laser on. Example from
501 n= 20 connections.

502 **Figure 4. Chx10 interneurons form synapses with Chx10 reticulospinal neurons.**

503 **A)** Direct holographic stimulation of patched RSN results in EPSPs or single action potentials
504 dependent on laser output. **B)** Fluorescence image of Chx10::eGFP positive neurons in the rostral
505 medulla. Synaptic connectivity was determined by stimulating visually identified Chx10
506 interneurons and recording post-synaptic potentials in the patched Chx10 RSN. **C)** Post synaptic
507 responses from stimulation of numbered Chx10 interneurons. In this example, spot V elicited
508 EPSPs from a train of laser stimuli, whereas stimulation of the remaining Chx10 neurons did not
509 elicit a response. **D)** After establishing a synaptic connection, a second electrode was used to
510 patch and recorded responses in the Chx10 interneuron (spot V). **E)** Photostimulation of the
511 Chx10 interneuron resulted in multiple action potentials and post synaptic EPSP in the Chx10
512 reticulospinal neuron. **F)** Photostimulation of the Chx10 reticulospinal neuron elicited multiple
513 action potentials but did not elicit a response in the Chx10 interneuron. Blue arrows indicate
514 laser on. Example from n= 32 connections.

515 **Figure 5. Bi-directional connectivity between a sub-set of Chx10 interneurons and Chx10**
516 **reticulospinal neurons.**

517 **A)** Fluorescence image of Chx10::eGFP positive neurons in the rostral GRN. Synaptic
518 connectivity was determined by stimulating visually identified Chx10 interneurons whilst
519 recording post-synaptic potentials in the patched Chx10 RSN. **B)** Photostimulation of neuron 1
520 elicited EPSPs that summated to produce an action potential in the RSN. After establishing this
521 synaptic connection, a second electrode was used to patch and record responses in the Chx10
522 interneuron (neuron 1). Note that electrophysiological properties of these 2 neurons are shown by
523 the red dots in Figure 1E. **C)** Depolarizing current injections in the Chx10 interneuron resulted in
524 action potentials in the Chx10 interneuron (red) and EPSPs and action potentials in the Chx10
525 RSN (black) at low and high current intensities, respectively. **D)** Similarly, depolarizing current

526 injections in the Chx10 RSN resulted in action potentials in the Chx10 RSN, and EPSPs and
527 action potentials in the Chx10 interneuron at low and high current intensities, respectively. **E)**
528 Hyperpolarizing current injections in the Chx10 interneuron resulted in a small hyperpolarizing
529 response in the Chx10 RSN. **F)** Hyperpolarizing current injections in the Chx10 RSN did not
530 elicit a detectable response in the Chx10 interneuron. Note that the RSN could only be stably
531 hyperpolarized by ~25 mV. Example from n=3 dual patch recordings.

532 **Figure 6. Chx10 interneurons mediate the excitability of Chx10 reticulospinal neurons.**

533 **A)** A Chx10 RSN (inset showing patched neuron) was subjected to ramp current injections
534 (bottom trace) without (black trace) and with (red and blue traces) photostimulation of a
535 connected Chx10 interneuron (inset, blue arrow). In the middle trace (red), the photostimulation
536 began later and lasted a shorter period of time than in the top trace (blue). The firing rate of the
537 RSN was plotted with and without photostimulation (top trace). **B)** Same connected Chx10
538 interneuron photostimulated (shaded blue) during sustained depolarizing current injection into
539 the Chx10 RSN, with the resulting firing rate shown in the top trace. Example from n= 3 cells.

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672

Figure 1

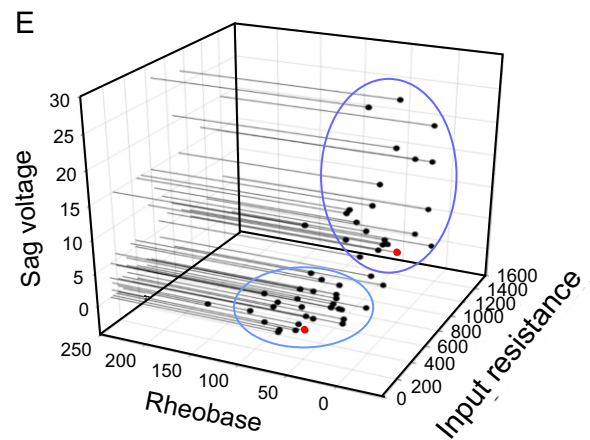
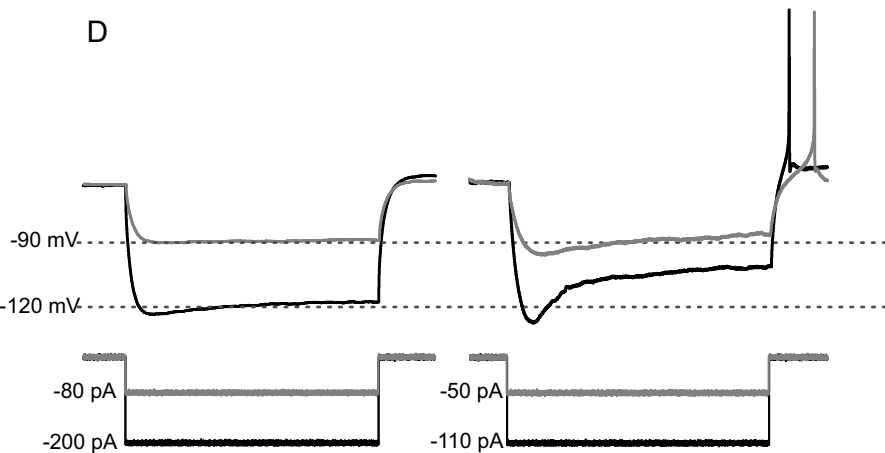
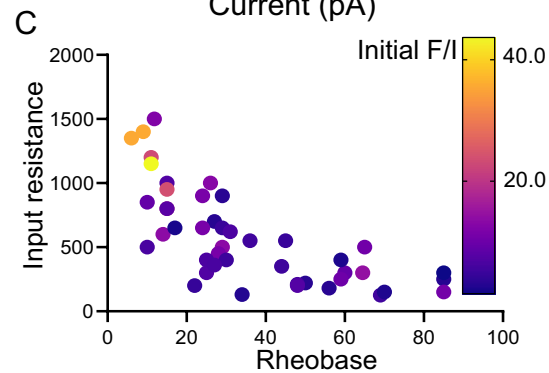
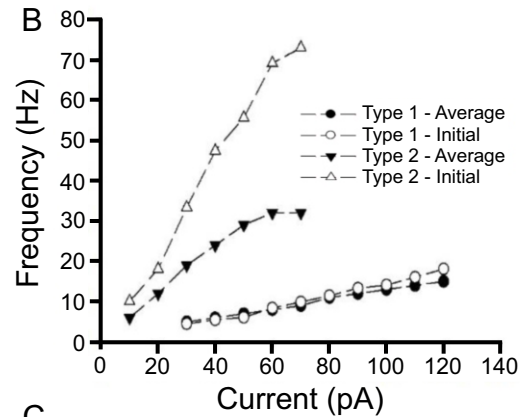
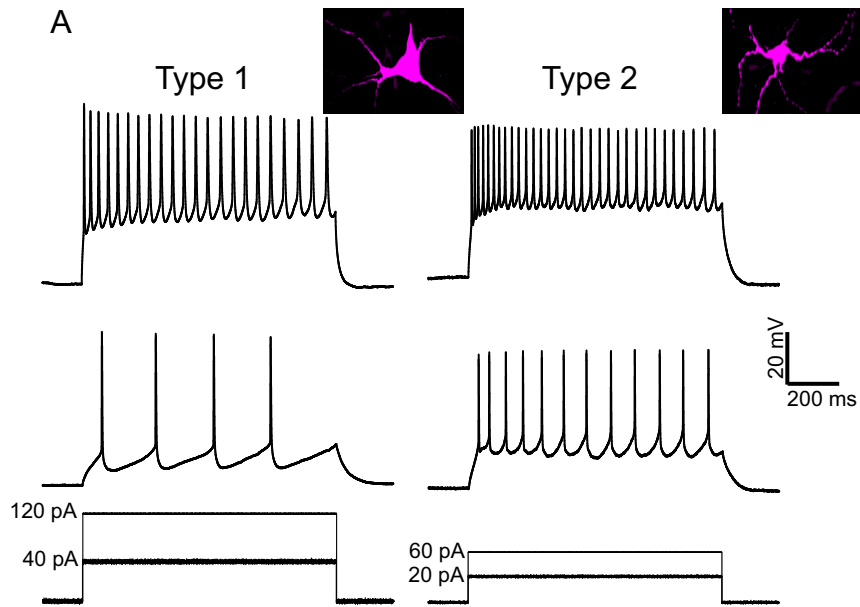


Figure 2

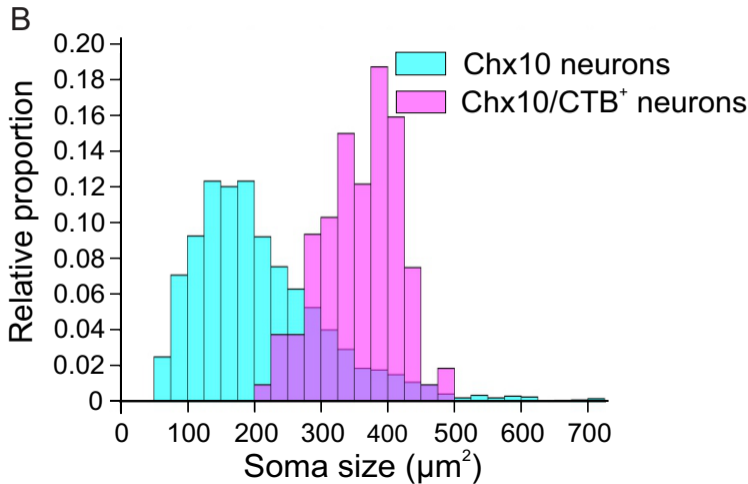
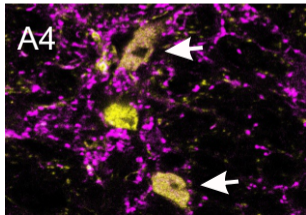
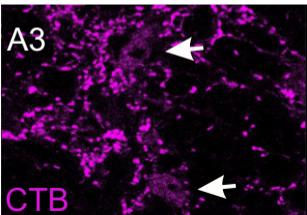
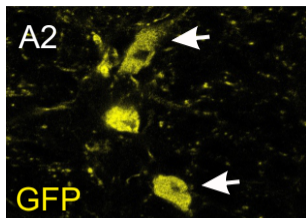
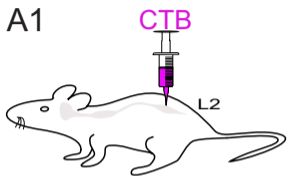


Figure 3

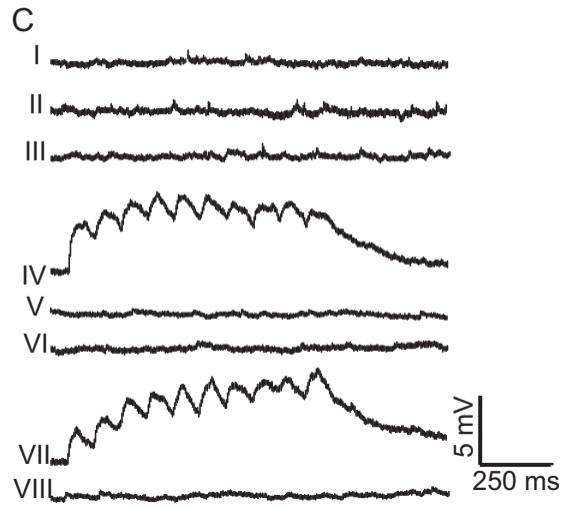
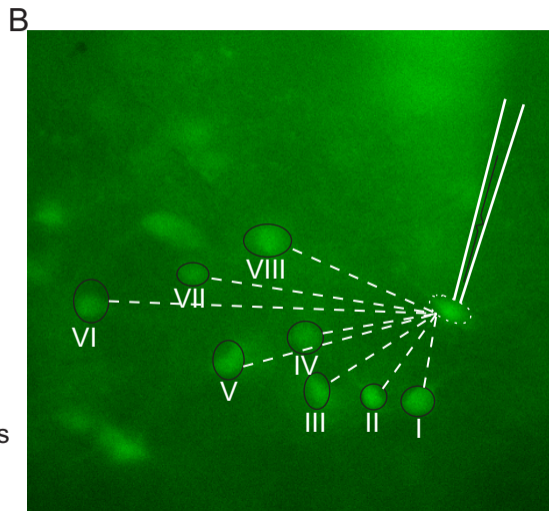
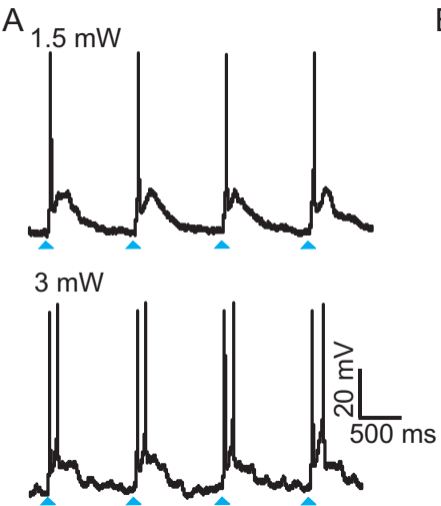


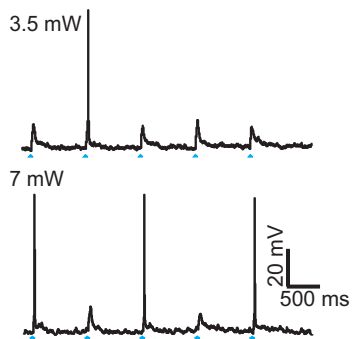
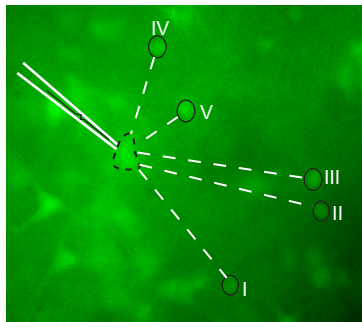
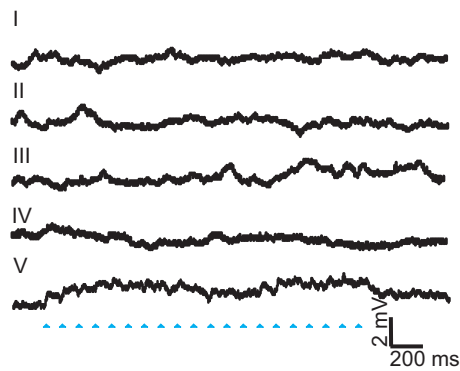
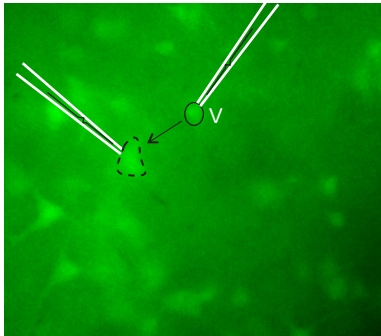
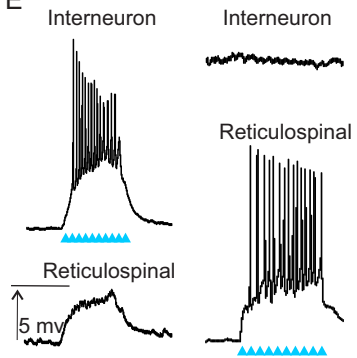
Figure 4**A****B****C****D****E**

Figure 5

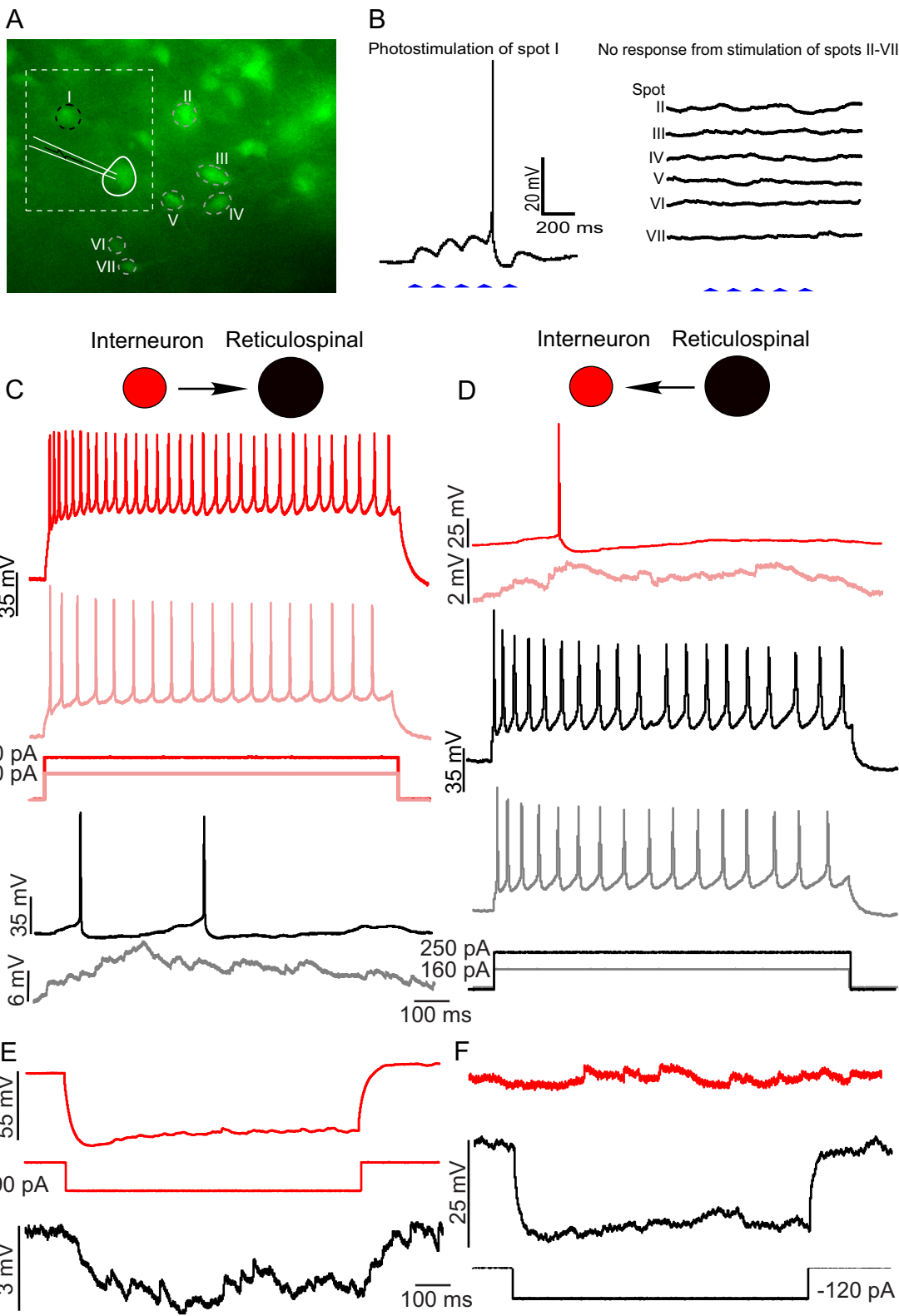
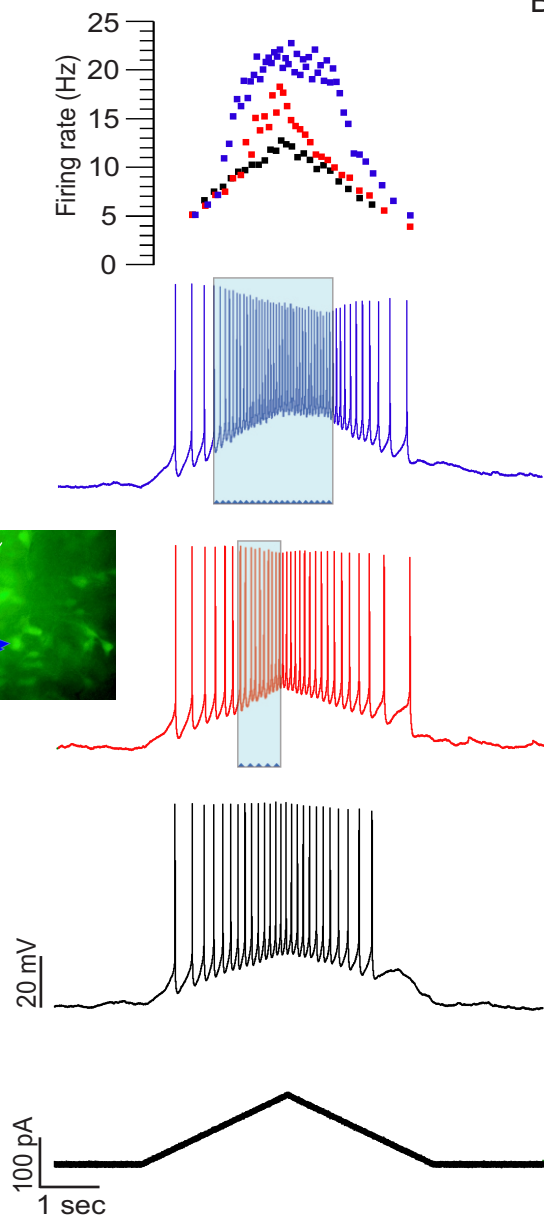
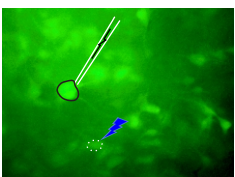


Figure 6

A



B

