1 Listeria monocytogenes faecal carriage is common and driven by microbiota

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24 Abstract

Listeria genus comprises two opportunistic pathogenic species, L. monocytogenes (Lm) and L. 25 ivanovii, and several non-pathogenic species. All can thrive as saprophytes, whereas only 26 pathogenic species cause systemic infections in human and cattle. Identifying *Listeria* species' 27 respective biotopes is critical to understand the ecological contribution of *Listeria* pathogenic 28 potential. Here, we aimed at detecting Listeria in samples of diverse origins, to highlight 29 ecological differences between pathogenic and non-pathogenic species. We retrieved 16S 30 rDNA datasets from the metagenomics MG-RAST database and determined the prevalence and 31 abundance of Listeria species in various sources. Overall, Listeria was detected in 14% of 32 datasets. Lm was the most prevalent species, most abundant both in soil and host-associated 33 environments, including in 5% of human stools. Lm was also detected in 10% of human stool 34 samples from an independent cohort of 900 healthy asymptomatic donors. A specific 35 microbiota signature was associated with Lm faecal carriage in human, as well as in 36 experimentally inoculated mice, in which it preceded *Lm* long-term gut colonization, indicating 37 that gut microbiota composition influences Lm faecal carriage. These results suggest that 38 asymptomatic faecal carriage, rather than disease, exerts purifying selection on Lm "virulence 39 genes". 40

41 Introduction

Infectious disease symptoms can favor the transmission of pathogenic microorganisms and 42 hence select for genes that induce these symptoms (e. g. cough induced by Mycobacterium 43 tuberculosis¹). However, asymptomatic host colonization can also favor microbial transmission, 44 and thereby select for genes involved in host-microbe association that may also be involved in 45 the development of opportunistic infections. Listeria monocytogenes (Lm) and L. ivanovii can 46 cause opportunistic infection in human and other mammals including cattle^{2,3}, leading to fetal-47 placental infection, abortion and encephalitis, in contrast to other Listeria species which are 48 non-pathogenic. *Lm* is known to alternate between a saprophytic and a host-associated lifestyle 49 during which it expresses so-called virulence factors that mediate tissue invasion and within-50 host dissemination⁴. Most of these virulence factors are part of Lm core genome and subjected 51 to purifying selection 5-7. Lm most virulent clones are also the most adapted to mammalian gut 52 colonization⁸ and Lm can be released from infected tissues back to the intestinal lumen^{6,9,10}, 53 indicating that virulence may ultimately promote Lm faecal carriage and thereby play a major 54 role in its dissemination. 55

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Lm is a common contaminant of foodstuffs, and each human individual in Western countries is 57 estimated to be exposed to *Lm* multiple times per year¹¹. Yet the incidence of microbiologically 58 proven invasive human listeriosis is extremely low, with 0.28 and 0.6 cases per 100,000 people 59 in the US and Europe, respectively^{12,13}. This implies that in most cases, human exposure to Lm60 leads to either absence of infection, and/or clinically silent gut colonisation, and suggests that 61 Lm virulence genes are likely not selected for their capacity to induce clinically overt disease. 62 There have been reports of Lm asymptomatic faecal carriage, both in human and cattle^{14–23}, and 63 all large scale studies have suggested that the prevalence of Lm carriage is below $1\%^{18-20}$. 64 However, these studies were based on culture-based methods^{18–20}, which are less sensitive when 65

directly compared to molecular detection methods like PCR and sequencing^{21,22,24}. Large molecular studies on the distribution of *Listeria* species in mammals and the environment are not available^{25–27}.

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70 **Results**

Ecological sampling is influenced by *a priori* assumptions about potential niches^{28,29}. Here we 71 circumvented this limitation by assessing Listeria species distribution in publicly available 72 metagenomic datasets from the large MG-RAST database³⁰, to which high quality metadata are 73 associated, and retrieved 2,490 full metagenomes and 11,907 16S rDNA high quality datasets 74 (see Materials and methods). We assessed the impact of Listeria pathogenic potential on its 75 ecological distribution by comparing the relative abundance (proportion of a species in a given 76 sample, x axis, Fig. 1) and prevalence (frequency of a species in samples of a given category, y 77 axis, Fig. 1) of the Listeria pathogenic species Lm and L. ivanovii to that of the non-pathogenic 78 species L. innocua, L. seeligeri and L. welshimeri³¹. 79

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Listeria was detected in 14.05% 16S datasets (Fig. 1a). Note that no positive result could be 81 obtained using our approach (see Materials and Methods) analysing full metagenomes, in line 82 with the relative low abundance of *Listeria* species³² and consistent with a higher sensitivity of 83 16S sequencing compared to full metagenome sequencing for a given sequencing depth³³. Lm 84 was most frequently present in soil (673/1,700; mean relative abundance 1.2x10⁻⁴), sludge 85 (70/309), sediment (32/170) and host-associated samples (854/7,695; mean relative abundance 86 9.0x10⁻⁵). Only few water (42/1,980) samples and no air sample (0/53) were positive for any 87 Listeria species (Fig. 1a, and Fig. S1a for normalised data per category). Lm was the most 88 prevalent Listeria species in both soil and host-associated environments (Fig. 1a). In samples 89 where more than one *Listeria* species was present, *Lm* was significantly more abundant than 90

other Listeria species, both in soil and hosts (Fig. 1a and Fig. S1b). We next investigated Listeria 91 species host range (Fig. 1b). Lm was found to be the most abundant (mean relative abundance 92 5.5×10^{-3}) and prevalent in cattle (80/1,270; 6.30%), which have indeed been reported as a 93 potential reservoir for Lm^{26} , especially hypervirulent clonal complexes^{3,8,15}. We detected Lm in 94 human samples at a similar prevalence than in cattle (173/3,338; 5.18%), but 40 times less 95 abundantly (mean relative abundance 1.3×10^{-4}). Lm was also frequently found in chicken (mean 96 relative abundance 3.6x10⁻⁴, prevalence 28/552, 5.05%) and pig samples (mean relative 97 abundance 4.7×10^{-4} , prevalence 48/300, 16%) but not that of goats (0/212), where only 98 L. ivanovii was detected, consistent with the known enrichment of L. ivanovii in small 99 ruminants³⁴. A high *Lm* prevalence in pigs and wild boars has been reported^{35–37}, and pigs might 100 constitute an underappreciated niche for Lm. We next investigated the human sampling sites in 101 which Lm was present. As expected for a foodborne pathogen, Lm was detected in faecal 102 samples (108/2,238), but also in oral (7/108) and sputum (3/50) samples (Fig. 1c), consistent 103 with reports that Lm may colonise both the gut and the oral cavity^{38,39}. Lm was rarely present 104 in skin samples (2/56) and absent in vaginal samples (0/30), but for both categories only few 105 datasets were available for analysis. The non-pathogenic species L. innocua and L. seeligeri 106 were not detected in any human-associated samples, while L. ivanovii, the only other pathogenic 107 Listeria species, was detected, albeit far less than Lm, second most frequently in human stools 108 (Fig. 1c). 109

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We aimed to replicate the result of frequent *Lm* carriage in human independently, and assessed *Lm* presence by *hly* PCR in the stools of a cohort of 900 healthy and a cohort of 125 diarrheic individuals (see Material and methods). It was detected in 10% (90/900) of healthy human stool samples and 20.8% (26/125) of diarrheic stools samples (Fig. S1c, Table S1). The enrichment of *Lm* in diarrhoea samples (χ^2 =11.702, *P*=0.0018, Benjamini-Hochberg correction) is consistent with the observation that Lm can induce diarrhoea^{40,41}. The two-fold higher carriage level in healthy asymptomatic donors in this cohort from France, relative to the 16S datasets from MG-RAST may be due to the different sensitivities of the two methods (targeted *hly* amplification *versus* total 16S amplification), and sample selection bias reflecting a potential differential exposure to *Lm*-contaminated food³⁰. Neither age nor gender was associated to asymptomatic carriage (Table S2).

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The gut microbiota is a major line of defence against foodborne pathogens, and several 123 commensals exert a protective effect against enteropathogens⁴², including Lm^{43} . Lm also 124 produces bacteriocins that can alter microbiota composition^{44,45}. In order to assess if microbiota 125 composition has an impact on Lm faecal carriage in human and vice versa, we investigated the 126 relative abundance of microbiota taxonomic groups in MG-RAST human faecal samples. To 127 take into account the compositional nature of data of different origins⁴⁶, we calculated the ratios 128 between microbiota phylogenetic groups and Lm abundance in the human microbiome datasets 129 where Lm is present (Fig. 2, Supplementary Tables S3 and S4). Lm abundance correlated with 130 the ratio of abundance of Firmicutes to Bacteroidetes phyla (Fig. 2a left), consistent with the 131 observation that an increase of this ratio correlates with increased susceptibility to Lm^{47} . This 132 correlation is not due to Lm itself, as this species was excluded when the relative abundance of 133 Firmicutes was calculated. The ratio of Actinobacteria to Bacteroidetes also correlated with Lm 134 abundance (Fig. 2b left), and Actinobacteria were also significantly enriched compared to 135 Firmicutes and Proteobacteria (Table S3). *Lm* abundance also correlated positively at the family 136 and order levels with Lachnospiraceae (Fig. 2c left), Coriobacteriales (Fig. 2d left), 137 Actinomycetaceae (Fig. 2e left), Erysipelotrichaceae (Fig. 2f left), and negatively with 138 Porphyromonadaceae (Fig. 2g left). Erysipelotrichaceae have previously been reported to be 139 elevated in asymptomatic C. difficile carriers, which suggests that loss of colonisation resistance 140

is associated with this family⁴⁸. In line with our results, a protective effect of 141 Porphyromonadaceae has also been observed against Salmonella enterica serovar 142 Typhimurium⁴⁹, Enterococcus faecium⁵⁰ and C. difficile⁵¹. The aforementioned significant 143 associations with Lm abundance in faecal carriers were also found significant between carriers 144 and non-carriers (Fig. 2, right panels), with the exception of Porphyromonadaceae for which 145 only a trend was observed (Fig. 2f, right). For Lachnospiraceae, non-carriers showed a 146 significantly higher prevalence than carriers (Fig. 2c, right), reflecting that comparisons 147 between carriers and non-carriers are prone to study- and sample-dependent biases. Carriers 148 also displayed less diverse microbiomes than non-carriers (Fig. S2a), a finding consistent with 149 the observation that α -diversity is also involved in colonization resistance⁴² against 150 enteropathogens such as C. difficile⁵², Salmonella or Shigella⁵³. The overlap between the 151 microbiota features associated with intestinal colonization by Lm and other well-known gut-152 colonising bacteria is consistent with our finding that Lm is frequently present in stools of 153 asymptomatic individuals. 154

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Lm shedding from infected tissues back in the intestinal lumen may favour long-term faecal 156 carriage and account for the purifying selection of its virulence genes^{6,9,10}, in line with the 157 finding that the most virulent Lm clonal complexes are the most adapted to mammalian gut⁸, 158 and the present observation that non-pathogenic species are not found in stool datasets retrieved 159 from MG-RAST. To study Lm faecal carriage and its determinants experimentally, we 160 inoculated mice intravenously with 5×10^3 CFUs of Lm belonging to the hypervirulent clonal 161 complex-1^{8,54}. We observed a cage-dependent asymptomatic faecal carriage in 3/7 cages (11/26 162 mice). Lm could be detected over 30-days post-inoculation. We classified faecal carriage as 163 either heavy (>10⁶ CFU/g, 6 mice in 2 cages) or light (<10⁶ CFU/g, 4 mice in 1 cage, together 164 with one non-carrier mouse) (Fig. 3a). In 4 cages (15 mice), no Lm was detected in the faeces 165

30-days post-inoculation (Fig. 3a). All mice recovered from infection as assessed by weight
 gain, independently of their carrier status (Fig. S2b). We also separated mice and observed
 persistent faecal carriage, ruling out that it was resulting from coprophagy.

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Co-housed animals tend to have similar microbiota⁵⁵, therefore the cage dependency of the 170 observed differences in Lm carriage suggested that it was mediated by differences in gut 171 microbiota composition. Indeed, heavy, light and non-carrier microbiota differed in microbial 172 richness (α -diversity) and composition (β -diversity): heavy carriers' microbiota was less diverse 173 than that of light and non-carriers (Fig. S2c), consistent with results in human (Fig. S2a). 174 β-diversity analysis showed that faecal carriage groups differed also in composition 175 (PERMANOVA P<0.001): heavy carriers clustered separately from light and non-carriers 176 (Fig. 3b). The difference between the light carrier group and the others reflected the higher 177 homogeneity of the former (Fig. 3b), and the difference between non- and heavy carriers was 178 mainly driven by a different composition in Bacteroidetes: 7 and 4 out of the 25 most 179 contributing taxa belonged to Porphyromonadaceae and Bacteroidaceae, respectively 180 (Fig. 3c-e). Indeed, Porphyromonadaceae were less abundant in the permissive microbiota of 181 heavy carriers than that of light and non-carriers, and were present at an intermediary level in 182 light carriers (Fig. 3f), similar to our observation in human (Fig. 2g). 183

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We finally investigated whether these differences in microbiota α- and β-diversities result from or precede *Lm* carriage. We compared microbiota 16S composition before *Lm* inoculation and 30-days post-inoculation. *Lm* inoculation did not affect microbiota α-diversity (Fig. S3a). The β-diversity difference observed between heavy and non-carriers (Axis 1 in Fig. S3b) pre-existed *Lm* inoculation, and was mainly driven by a differential abundance of Porphyromonadacae (Fig. S3c and Fig. 3f). This pre-existing microbiota composition difference suggests that it plays

a causative role in Lm carriage. Lm inoculation also had a significant impact on microbiota composition (Axis 2 in Fig. S3b). We investigated the nature of this microbiota change (Fig. S3d), and apart from the presence of Lm itself, we observed a decrease in Prevotellaceae upon Lm inoculation (Fig. S3e), likely reflecting the impact of Lm bacteriocin Lmo2776 on this bacterial family⁴⁴.

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Here we have shown that asymptomatic *Listeria* faecal carriage correlates with virulence: it is 197 common in pathogenic Listeria species and absent in non-pathogenic species. Asymptomatic 198 faecal carriage could thus be the force exerting purifying selection on virulence genes, rather 199 than disease, which is rare and for which there is no inter-human transmission⁵⁶. This also 200 implies that humans are not a focal host for Lm. Consistent with this, Lm is more prevalent and 201 abundant in cattle than in human stools, which is also in line with our recent report that 202 hypervirulent Lm clonal complexes are associated to cattle and dairy products⁸. Moura et al. 203 now report that the phylogeography of the hypervirulent *Lm* clonal complex-1 is linked to cattle 204 global trade and farming⁵⁷. Taken together, these observations strongly suggest that cattle 205 constitute a major reservoir where *Lm* virulence is selected for. 206

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We also found that Lm is the predominant Listeria species in the environment, where it is a 208 saprophyte. Lm persistence in food processing plants, away from its natural hosts, is associated 209 with loss of virulence^{7,8,54}. That Lm is found more abundantly in soil, sludge and sediments than 210 non-pathogenic species (Fig. S1a) suggests that Lm regularly transits between its hosts via these 211 environments, while maintaining its host-association capacity, which is mediated by its 212 "virulence" genes. Listeria host-association capacity therefore appears as a trait that ensures the 213 ecological success of Lm and L. ivanovii relative to other species. The relative lower prevalence 214 in the environment of non-virulent Listeria species L. innocua, L. seeligeri and L. welshimeri 215

which derive from the common virulent ancestor of Lm and L. ivanovii⁵⁸ suggest that they either 216 (i) successfully colonize an environment not sampled in this study, and/or (ii) lost their focal 217 host, and/or (iii) lost their host association capacity, similar to Lm clones associated with food 218 processing plants which are in the process of losing virulence^{7,8,54}. It will be interesting to 219 investigate how host association is also involved in the overall ecological success of other 220 microbial species which, as Lm, are widespread in the environment. Future research will also 221 have to address the relative contribution of host and Lm genetics, food habits, and intestinal 222 microbiota to asymptomatic faecal carriage of *Lm*. 223

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235 Author contributions

ML initiated and coordinated the project. MGG, LH, AM and ML designed the study. MGG collected and analyzed the public metagenomic data. CB and MP assessed the prevalence of *Lm* in stool donors' cohorts. LH conducted the *in vivo* experiments in mice and the corresponding 16S rDNA analysis. LH and ML wrote the manuscript, MGG and AM commented and edited on it.

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379 Materials and methods

380 Screening of Listeria sp. in 16S rDNA datasets

A summary of the study workflow is represented in Fig. S4. We collected 13,749 16S rDNA 381 amplification datasets from MG-RAST from studies with >5 and <50 samples, for studies 382 containing host samples <250 (last accessed: November 2017) as described in ⁵⁹. When more 383 samples were available, we randomly selected 50 or 250 samples, respectively. We removed 384 those containing non-ribosomal data or less than 2000 sequences using SSU-align v.1.01⁶⁰. This 385 left us with a total of 11,907 rDNA datasets (Supplementary Table S5). Sequences shorter than 386 60 bp were removed. 16S rDNA sequence datasets were re-aligned using mafft v. 7.407⁶¹, and 387 trimmed using trimal v.1.4⁶² using the 'automated1' algorithm. The resulting trimmed 388 sequences were then clustered within each sample at 99% identity and 90% coverage using the 389 uclust algorithm from usearch v. 10.0.24063. A representative sequence of each cluster was 390 defined according to the distance to the cluster centroid. Henceforth, we will call these our 391 environmental dataset. 392

To identify Listeria ssp. in the environmental dataset we used a maximum likelihood approach. 393 First, Lm 16S rDNA reference sequences were aligned using mafft with the 'linsi' algorithm. 394 The resulting multiple sequence alignment was trimmed using trimal v.1.4. A phylogenetic 395 reconstruction was then performed using IQ-tree v.1.6.5⁶⁴ using the GTR model (according to 396 the model test) and 1000 rapid bootstrap iterations. The resulting tree was manually pruned to 397 leave only one representative member of each clade. Environmental sequences were then 398 classified as potential Listeria candidates by mapping them against the multiple sequence 399 alignment using the '-addfragments' algorithm of mafft. Sequences with at least 90% identity 400 and 90% coverage to one reference member were kept for further analyses, or otherwise were 401 discarded. The remaining sequences were then assigned to one of the branches of the 402 phylogenetic tree using the evolutionary placement algorithm implemented in RAxML v. 8.265. 403

Environmental sequences assigned to any terminal branches with a maximum likelihood of 0.6 or higher, were classified as the specific *Listeria* species. Otherwise, they were classified as "*Listeria* undefined". Note that this was the case for sequences with a non-discriminative amplicon region at the species level, e.g. V3-V4. In this work, we focused on all *Listeria sensu stricto* species, which are frequently found in the environment (*Lm*, *L. ivanovii*, *L. innocua*, *L. seeligeri* and *L. welshimeri*). We did not include the closest non-pathogenic relative of *Lm*, *L. marthii* since it is only rarely sampled in any environment⁶⁶.

The remaining representative sequences were used to construct a global catalogue of operational taxonomic units (OTUs). To do so, the representative sequences of all datasets were grouped and clustered together at 97% identity using usearch, and the frequency of each OTU was calculated on each dataset. Finally, OTU representatives were taxonomically classified at genus level using the RDP classifier⁶⁷. At the same time, we defined the α -diversity of each dataset as the Expected Number of Species (ENS). To do so, we did calculate the Shannon diversity index (H'):

418

$$H' = -\sum_{i=1}^{R} p_i ln (p_i)$$

419

where p_i is the relative frequency of a specific species in the dataset (the number of sequences
associated with the species divided by the total number of sequences assigned to species), and
R is the number of datasets. We calculated the ENS as the exonential of the Shannon diversity:

 $ENS = e^{H'}$

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- 425

426 Screening of Listeria sp. in 16S full metagenomes

We followed the approach described in Garcia-Garcera et al 2017. In brief, we retrieved around 3,000 metagenomes from MG-RAST. To characterize the presence of *Lm*, reads were mapped against a discriminative concatenate of genes, here the 7 housekeeping genes used for multilocus sequence typing in Lm^{68} . Since no reads could be mapped to these gene concatenate, no further downstream analysis has been performed. The absence of Lm in full metagenomes can either be due to the low relative abundance of Lm per se which does not permit it to be identified, or previous filtering of reads from species with low abundance.

- 434
- 435

Detection of Lm in human faecal samples

Determination of faecal carriage of Lm was performed by PCR amplifying hly^{24} . We evaluated 436 the performance of this method using artificial samples that mimic natural stool. Briefly, 10-437 fold dilutions of the ATCC Lm strain (ATCC BAA751) in saline buffer (10⁸ to 10¹ CFU/mL) 438 were diluted in a 1:1 ratio in a PCR-negative stool sample conserved on eNat (Copan, Italy) 439 before extraction. Extraction was performed with EasyMag (bioMérieux, Marcy-l'Etoile, 440 France) according to manufacturer's recommendations. PCR assays were performed in 441 triplicate on a CFX96 system (BioRad, CA, USA) as described ²⁴. Lm was considered present 442 when at least 2 of 3 PCR assays were positive. Lm detection threshold was 10^{6} CFU/ml of stool. 443 Tested stool samples originated from two cohorts collected and stored on eNat (Copan): (i) the 444 Hepystool cohort that included samples (n=900 samples, 2015-2016) from non-diarrheic 445 patients (inclusion criteria described in ⁶⁹) and (*ii*) stool samples from diarrheic patients, 446 received at the Infectious Agents Department of the University Hospital of Poitiers, France. 447 DNA was extracted on EasyMag (bioMérieux, Marcy-l'Etoile, France) according to 448 manufacturer's recommendations then amplified in triplicate. All samples which were at least 449 once positive on the first triplicate were subjected to a second triplicate and were considered as 450 positive when again detected at least once. 451

Note that no culture-based identification was applicable given that the eNat protocol conserves
nucleic acid and is bactericidal within 30 minutes (see manufacturer's instructions for details).

455 *Mouse colonization experiments*

7 to 11-week-old male mice (C57BL/6 mEcad E16P KI⁷⁰) were infected intravenously in the 456 tail vein as previously described⁷⁰. Fraternities were kept together in a cage during the whole 457 experiment, except when separated to exclude that carriage was due to coprophagy. To quantify 458 carriage at 30-days post-inoculation, faeces were collected from each individual mouse and 459 weighted before being homogenized in 2ml of PBS. CFU count was performed by serial 460 dilution of homogenized faeces on ALOA plates as described in ⁸. Separate faecal pellets were 461 collected pre-inoculation and/or 30 days-post-inoculation and stored at -20°C for DNA 462 extraction for 16S sequencing. 463

464

465 *16S rDNA analysis in mice*

DNA from faeces has been isolated with DNeasy PowerSoil Kit (Qiagen) accordingly to the 466 manufacturer's instructions. The V4 region has been amplified and sequenced with the primers 467 CCTACGGGNGGCWGCAG and GACTACNVGGGTWTCTAATCC using the Illumina 468 MiSeq workflow at the biomics platform at the Institut Pasteur, Paris. Analysis have been 469 performed with micca⁷¹, using the RDP classifier⁷² and unoise3 for clustering⁷³. Forward and 470 reverse reads were merged with a minimum overlap of 100bp and 30 maximum allowed 471 mismatches. Forward and reverse primers were removed and reads were trimmed to 400 472 nucleotides using the mica workflow. Reads with an expected error rate above 0.75% were 473 excluded. Reads were grouped in sequence variants by unoise373 and chimeric sequences were 474 removed. Sequence variants were classified with RDP⁷², which uses VSEARCH to match 475 sequences with the reference database⁷⁴. Statistical were performed with R and the phyloseq, 476 vegan and microbiome libraries^{75,76}. α -diversity has been calculated by number of observed 477 species, abundance-based coverage estimator (ACE)⁷⁷ and Shannon index⁷⁸. β-diversity 478 between samples has been calculated with MDS of Bray-Curtis dissimilarities⁷⁹. 479

PERMANOVA and homogeneity between microbiome groups were calculated with adonis and
 betadispers from the vegan library⁸⁰.

482

483 *Ethical statement*

Animal experiments were performed according to the Institut Pasteur guidelines for laboratory 484 animals' husbandry and in compliance with European regulation 2010/63 EU. All procedures 485 were approved by the Animal Ethics Committee of Institut Pasteur, authorized by the French 486 Ministry of Research and registered under #11995-201703115103592 and #14644-487 2018041116183944. The stool donor cohorts received ethical approval from the regional 488 Committee for the Protection of People (CPP Ouest III) and from the National commission for 489 Protection of Personal data on November 23th 2015. All patients were informed before inclusion 490 and their consent was obtained before analysis. 491

492

493 Data availability

494 Primary sequencing data are available on the Sequence Read Archive under the entry495 PRJNA642013

496 Figure legends

Figure 1. *Lm* is more prevalent in host-associated environments than non-pathogenic *Listeria* species

Relative abundance and prevalence of *Listeria sensu stricto* species in 16S datasets in **a.**different environments, **b.** in selected host datasets for which metadata detailing the host species
were available and **c.** from different sampling sites of healthy human hosts for which detailed
metadata on body sampling site were available. Numbers on the right indicate (*Listeria* positive
samples/total samples) *per* category.

504

505 Figure 2. *Lm* carriage correlates with a specific microbiota signature in humans

All significant correlations with more than 75 associated samples and rho>0.2 between Lm and 506 commensals relative abundance in 108 healthy carrier (left panels) and comparison between 507 carriers and non-carriers for the same groups (right panels): a. The ratio of Firmicutes to 508 Bacteroidetes phyla (rho=0.44, p= 2.75×10^{-5} , note that Lm species was excluded when the 509 relative abundance of Firmicutes was calculated), b. The ratio of Actinobacteria to 510 Bacteroidetes (rho=0.414, $P=6.1x10^{-5}$), c. Lachnospiraceae (rho=0.326, $P=1.25x10^{-3}$), d. 511 Coriobacteriales (rho=0.314, $P=4.01\times10^{-2}$), e. Actinomycetaceae (rho=0.265, $P=7.18\times10^{-11}$), f. 512 Erysipelotrichaceae (rho=0.226, $P=4.51 \times 10^{-2}$), g. Porphyromonadaceae (rho=-0.337, 513 $P=4.28 \times 10^{-3}$). Statistical comparison performed with two-sided Wilcoxon rank-sum test with 514 Benjamini-Hochberg correction for multiple test. * P<0.05, ** P<0.01, *** P<0.001 **** P 515 < 0.0001. 516

517

518 Figure 3. *Lm* long-term carriage correlates with a specific microbiota signature in mice

a. CFU/g of stool of male mice 30 days after an *iv* challenge with Lm at $5x10^3$ CFU from 519 different cages (2-6 mice per cage). Color indicates carriage group (<100 CFU/g: none, 100-520 10⁶ CFU/g: light, >10⁶ CFU/g: heavy). Horizontal lines indicate the threshold between the 521 groups. **b.** β-diversity of mice microbiomes using MDS and Bray-Curtis distance. The color 522 indicates the carriage group (<100 CFU/g: none, 100-10⁶ CFU/g: light, >10⁶ CFU/g: heavy). 523 All groups differed in composition (PERMANOVA overall P=0.001, heavy/none P=0.006, 524 heavy/light P=0.0075, light/none P=0.031, with Benjamini-Hochberg correction). Light 525 carriers were more homogeneous than other groups (permutation test for homogeneity of 526 multivariate dispersion, heavy/none, P=0.246, heavy/light P=0.0160, light/none P=0.0193, 527 with Benjamini-Hochberg correction) c. Microbiota composition of mice from Fig. 2c at phyla 528 level and d. family level within the Bacteroidetes phylum. e. PERMANOVA coefficients of 25 529

most different taxa between heavy and none-carriers microbiota from (Fig. 3b) according to their family. Horizontal bar indicates microbiota association (orange: none, green: heavy) **f.** Relative abundance of Porphyromonadaceae in 16S data from mice from different carriage groups. Statistical comparison performed with two-sided Wilcoxon rank-sum test. * P<0.05, ** P<0.01, *** P<0.001 **** P<0.0001.

535

536 Supplementary Figure 1. *Lm* is more abundant than other *Listeria* species in all 537 environments and *Lm* carriage is common in healthy individuals

538 (Related to Figure 1)

a. Same as Fig. 1a normalised by category. **b.** Log_2 of ratio of Lm to each other evaluated *Listeria* species in samples where the species co-occurred. Vertical line and number indicate the mean of the distribution. **c.** Prevalence of Lm in human faecal samples from healthy (n=900) and diarrheic donors (n=125) from France.

543

544 Supplementary Figure 2. *Lm* carriage correlates with low α-diversity

545 (Related to Figures 2 and 3)

a. α -diversity, measured by ENS between carriers and non-carriers, similar to Fig. 2B. **b.** Body weight change of mice after inoculation at 3 days post-inoculation and 30 days post-inoculation according to their carriage group. **c.** Carriage groups differ in α -diversity, measured by observed species (left), abundance-based coverage estimate (middle) and Shannon index (right). Statistical comparison performed with two-sided Wilcoxon rank-sum test. * *P*<0.05, ** *P*<0.01, *** *P*<0.001 **** *P*<0.0001.

552

553 Supplementary Figure 3. Effect of *Lm* inoculation on microbiome composition

(Related to Figure 3)

Comparison of microbiota pre-inoculation and 30-days post-inoculation for 2 cages with 3 non-555 carrier and 2 heavy carrier mice. **a.** α-diversity, measured by observed species (left), abundance-556 based coverage estimates (middle) and Shannon index (right) before inoculation and 30-days 557 post-inoculation. Statistical comparison performed with two-sided Wilcoxon rank-sum test. b. 558 β-diversity of mice microbiomes using MDS and Bray-Curtis distance. The colour indicates the 559 carriage group (<100 CFU/g: none, >10⁶ CFU/g: heavy) and the shape the timepoint (round: 560 Pre-inoculation, square: 30-days post-inoculation). c. Relative abundance of 561 Porphyromonadacea pre-inoculation and 30-days post-inoculation. d. PERMANOVA 562

coefficients of 25 most different taxa between microbiomes pre-inoculation and 30-days post inoculation (Fig. S3b). Horizontal bar indicates microbiota association (grey: pre-inoculation,
 black: post-inoculation) e. Relative abundance of Prevotellaceae pre-inoculation and 30-days
 post-inoculation.

567

Supplementary Figure 4. Workflow of screening of *Listeria sp.* in 16S rDNA datasets. (Related to Material and Methods)

570 Data was retrieved from MG-RAST. Samples from studies with <5 samples were excluded,

samples from studies with >5 and <50 samples and random selections of samples from studies

with >50 samples were included. For each sample, sequences were aligned and trimmed, and

then clustered. A representative sequence from each sequence cluster was then mapped to

574 *Listeria sp.* sequences. Sequences with >90% identity and coverage with at least one *Listeria*

were assigned to a tree of *Listeria* reference sequences by a evolutionary placement algorithm.

576 Sequences with a maximum likelihood >0.6 for a single terminal branch were assigned to the

⁵⁷⁷ corresponding species, others were excluded from downstream analysis.

578

580 Supplementary Tables

- 581
- 582 **Supplementary Table S1.** Sensitivity of *hly* PCR in different matrices
- 583 Supplementary Table S2. Metadata of stool collection cohort
- 584 **Supplementary Table S3.** Correlations of *Lm* abundance with microbial phyla
- 585 **Supplementary Table S4.** Correlations of *Lm* abundance with microbial families and orders
- 586 Supplementary Table S5. List of 16S datasets from MG-RAST used in this study

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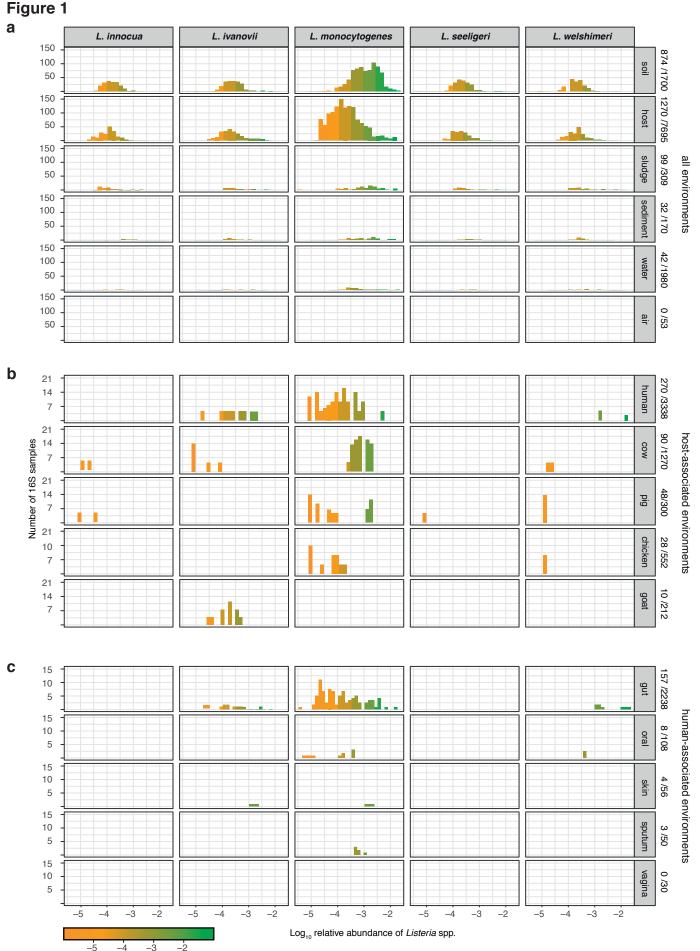


Figure 1. *Lm* is more prevalent in host-associated environments than non-pathogenic *Listeria* species Relative abundance and prevalence of *Listeria sensu stricto* species in 16S datasets in **a.** different environments, **b.** in selected host datasets for which metadata detailing the host species were available and **c.** from different sampling sites of healthy human hosts for which detailed metadata on body sampling site were available. Numbers on the right side indicate number of *Listeria* positive samples/total samples *per* category.

Figure 2

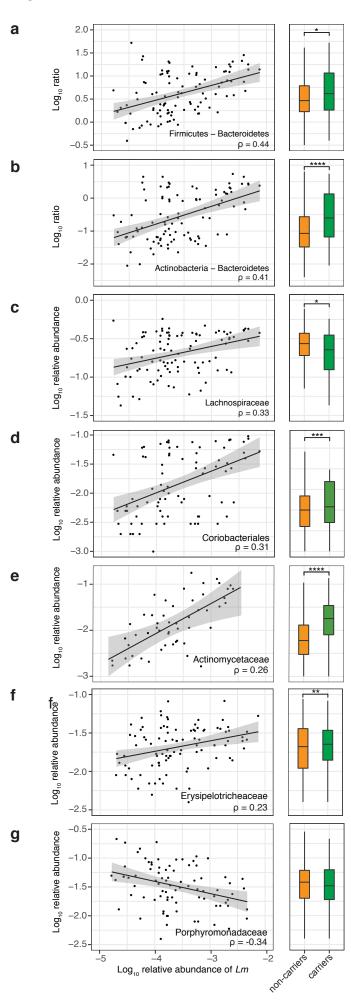
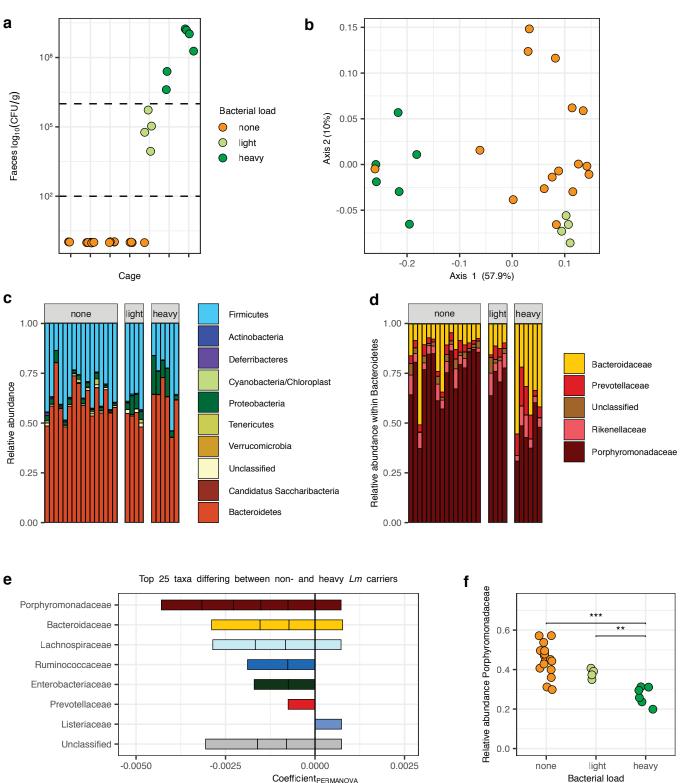
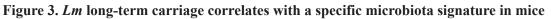


Figure 2. *Lm* carriage correlates with a specific microbiota signature in humans

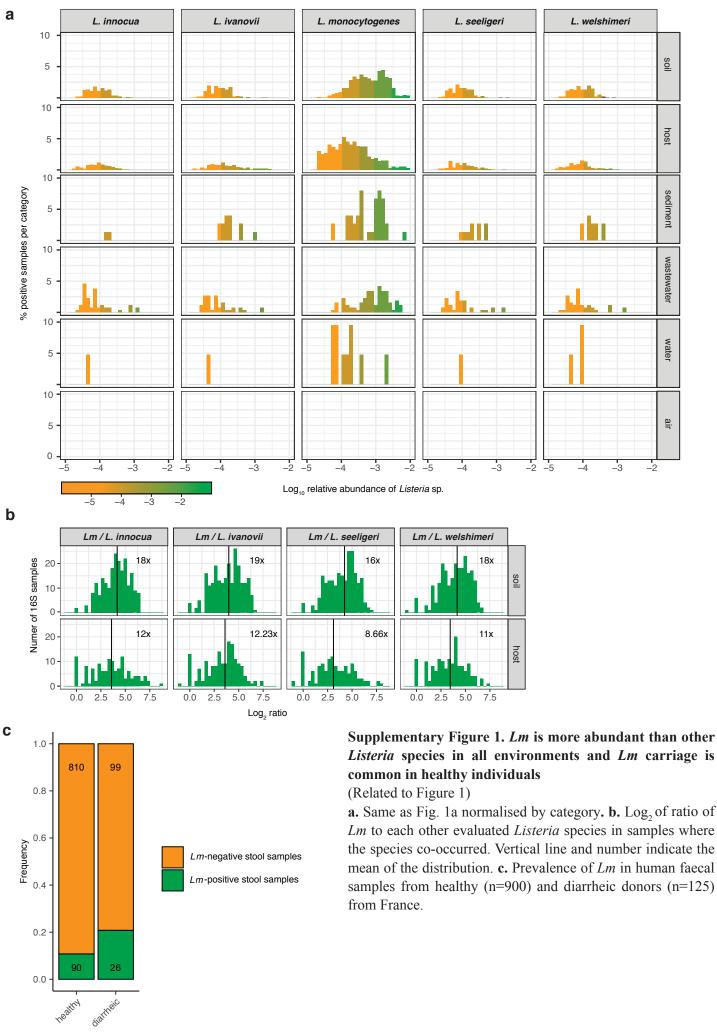
All significant correlations with more than 75 associated samples and rho>0.2 between Lm and commensals relative abundance in 108 healthy carrier (left panels) and comparison between carriers and non-carriers for the same groups (right panels): a. The ratio of Firmicutes to Bacteroidetes phyla (rho=0.44, p= 2.75×10^{-5} , note that Lm species was excluded when the relative abundance of Firmicutes was calculated), b. The ratio of Actinobacteria to Bacteroidetes (rho=0.414, P=6.1x10⁻⁵), c. Lachnospiraceae (rho=0.326, P=1.25x10⁻³), **d.** Coriobacteriales (rho=0.314, $P=4.01 \times 10^{-2}$), Actinomycetaceae e. (rho=0.265, $P=7.18 \times 10^{-11}$), f. Erysipelotrichaceae (rho=0.226, $P=4.51 \times 10^{-2}),$ g. Porphyromonadaceae (rho=-0.337, $P=4.28 \times 10^{-3}$). Statistical comparison performed with Wilcoxon two-sided rank-sum test with Benjamini-Hochberg correction for multiple test. * P<0.05, ** P<0.01, *** P<0.001 **** P<0.0001.

Figure 3

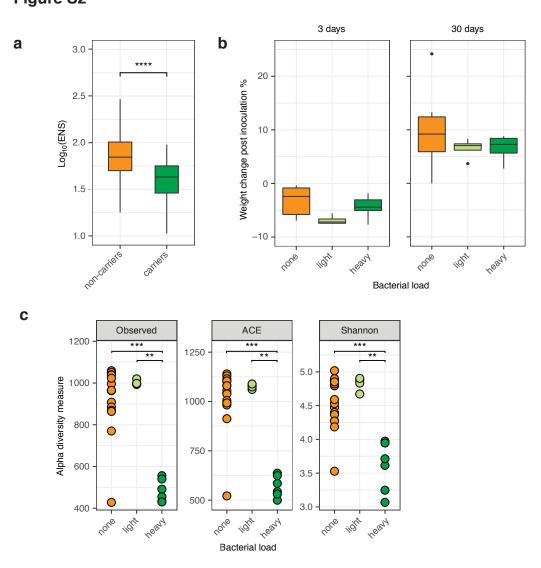




a. CFU/g of stool of male mice 30 days after an *iv* challenge with *Lm* at 5x10³ CFU from different cages (2-6 mice per cage). Color indicates carriage group (<10² CFU/g: none, 10²-10⁶ CFU/g: light, >10⁶ CFU/g: heavy). Horizontal lines indicate the threshold between the groups. **b.** β -diversity of mice microbiomes using MDS and Bray-Curtis distance. The color indicates the carriage group (<10² CFU/g: none, 10²-10⁶ CFU/g: light, >10⁶ CFU/g: heavy). All groups differed in composition (PERMANOVA overall *P*=0.001, heavy/none *P*=0.006, heavy/light *P*=0.0075, light/none *P*=0.031, with Benjamini-Hochberg correction). Light carriers were more homogeneous than other groups (permutation test for homogeneity of multivariate dispersion, heavy/none, *P*=0.246, heavy/light *P*=0.0160, light/none *P*=0.0193, with Benjamini-Hochberg correction) **c**. Microbiota composition of mice from Fig. 2c at phyla level and **d.** family level within the Bacteroidetes phylum. **e.** PERMANOVA coefficients of 25 most different taxa between heavy and none-carriers microbiota from (Fig. 3b) according to their family. Horizontal bar indicates microbiota association (orange: none, green: heavy) **f.** Relative abundance of Porphyromonadaceae in 16S data from mice from different carriage groups. Statistical comparison performed with two-sided Wilcoxon rank-sum test. * *P*<0.05, ** *P*<0.01, *** *P*<0.001 **** *P*<0.0001.



bioRxiv preprint doi: https://doi.org/10.1101/2021.01.13.426560; this version posted January 13, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission. **Figure S2**



Supplementary Figure 2. Lm carriage correlates with low α-diversity

(Related to Figure 2 and Figure 3)

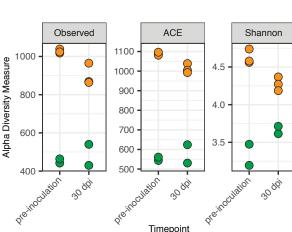
a. α -diversity, measured by ENS between carriers and non-carriers, similar to Fig. 2. **b.** Body weight change of mice after inoculation at 3 days post-inoculation and 30 days post-inoculation according to their carriage group. **c.** Carriage groups differ in α -diversity, measured by observed species (left), abundance-based coverage estimate (middle) and Shannon index (right). Statistical comparison performed with two-sided Wilcoxon rank-sum test. * P<0.05, ** P<0.01, *** P<0.001 **** P<0.001.

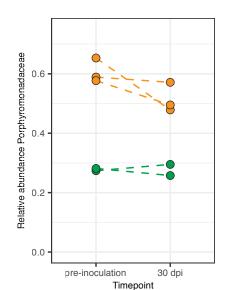
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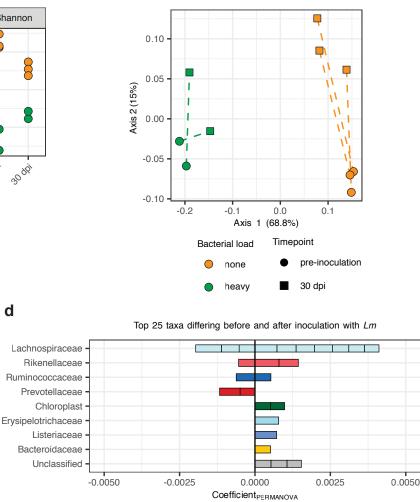
Figure S3

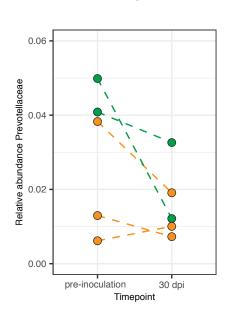
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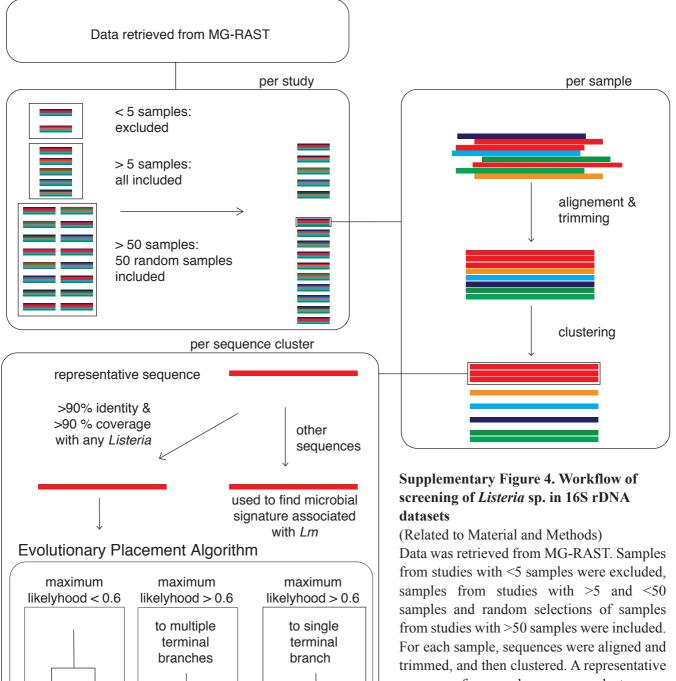


Supplementary Figure 3. Effect of *Lm* inoculation on microbiome composition

(Related to Figure 3)

Comparison of microbiota pre-inoculation and 30-days post-inoculation for 2 cages with 3 non-carrier and 2 heavy carrier mice. **a.** α -diversity, measured by observed species (left), abundance-based coverage estimates (middle) and Shannon index (right) before inoculation and 30-days post-inoculation. Statistical comparison performed with two-sided Wilcoxon rank-sum test. **b.** β -diversity of mice microbiomes using MDS and Bray-Curtis distance. The colour indicates the carriage group (<100 CFU/g: none, >10⁶ CFU/g: heavy) and the shape the timepoint (round: Pre-inoculation, square: 30-days post-inoculation). **c.** Relative abundance of Porphyromonadacea pre-inoculation and 30-days post-inoculation. **d.** PERMANOVA coefficients of 25 most different taxa between microbiomes pre-inoculation and 30-days post-inoculation, (Fig. S3b). Horizontal bar indicates microbiota association (grey: pre-inoculation, black: post-inoculation) **e.** Relative abundance of Prevotellaceae pre-inoculation and 30-days post-inoculation.

е



classified as

specific Listeria

species

downstream

analysis

classified as

Listeria

undefined

excluded from

downstream analysis

classified as

Listeria

undefined

samples and random selections of samples from studies with >50 samples were included. For each sample, sequences were aligned and trimmed, and then clustered. A representative sequence from each sequence cluster was then mapped to *Listeria* sp. sequences. Sequences with >90% identity and coverage with at least one Listeria were assigned to a tree of *Listeria* reference sequences by a evolutionary placement algorithm. Sequences with a maximum likelihood >0.6 for a single terminal branch were assigned to the corresponding species, others were excluded from downstream analysis.