

# 1           **Sequence signatures of two IGHV3-53/3-66 public clonotypes to** 2                           **SARS-CoV-2 receptor binding domain**

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26 **Abstract**

27 Since the COVID-19 pandemic onset, the antibody response to SARS-CoV-2 has been  
28 extensively characterized. Antibodies to the receptor binding domain (RBD) on the spike protein  
29 are frequently encoded by IGHV3-53/3-66 with a short CDR H3. Germline-encoded sequence  
30 motifs in CDRs H1 and H2 play a major role, but whether any common motifs are present in CDR  
31 H3, which is often critical for binding specificity, have not been elucidated. Here, we identify two  
32 public clonotypes of IGHV3-53/3-66 RBD antibodies with a 9-residue CDR H3 that pair with  
33 different light chains. Distinct sequence motifs on CDR H3 are present in the two public clonotypes  
34 that appear to be related to differential light chain pairing. Additionally, we show that Y58F is a  
35 common somatic hypermutation that results in increased binding affinity of IGHV3-53/3-66 RBD  
36 antibodies with a short CDR H3. Overall, our results advance fundamental understanding of the  
37 antibody response to SARS-CoV-2.

## 38 Introduction

39 Severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) is the etiological agent of  
40 coronavirus disease 2019 (COVID-19)<sup>1,2</sup>, which primarily results in respiratory distress, cardiac  
41 failure, and renal injury in the most severe cases<sup>3,4</sup>. The virion is decorated with the spike (S)  
42 glycoprotein, which contains a receptor-binding domain (RBD) that mediates virus entry by  
43 binding to angiotensin-converting enzyme-2 (ACE-2) receptor on the surface of host cells<sup>1,5-7</sup>. To  
44 mitigate the devastating social and economic consequences of the pandemic, vaccines and post-  
45 exposure prophylaxes including antibody cocktails that exploit reactivity to the S protein are being  
46 developed at an unprecedented rate. Several vaccines are currently in various stages of clinical  
47 trials<sup>8,9</sup>. Most notable are the mRNA vaccines from Pfizer-BioNTech and Moderna, which have  
48 been issued emergency use authorization by the Food and Drug Administration for distribution in  
49 the United States<sup>10-12</sup> and the Oxford-AstraZeneca chimpanzee adenovirus vectored DNA vaccine  
50 in the United Kingdom<sup>13-15</sup>. In humans, most neutralizing antibodies to SARS-CoV-2 target the  
51 immunodominant RBD on the S protein<sup>16,17</sup>, and can abrogate virus attachment and entry into  
52 host cells<sup>18,19</sup>. In the past year, many RBD antibodies have been isolated and characterized from  
53 convalescent SARS-CoV-2 patients<sup>20-40</sup>.

54

55 Antibody diversity is generated through V(D)J recombination<sup>41-43</sup>. Three genes, one from each of  
56 the variable (V), diversity (D) and joining (J) loci, are combined to form the coding region for the  
57 heavy chain. In humans, genes encoding for the V, D and J regions are denoted as IGHV, IGHD  
58 and IGHJ, respectively. Two complementarity-determining regions on the heavy chain (CDRs H1  
59 and H2) are encoded by the V gene while the third (CDR H3) is encoded by the V(D)J junction. A  
60 similar process occurs in assembly of the coding region for the light chain except that the D gene  
61 is absent. The light chain genes also encode kappa and lambda chains that are denoted as IGKV  
62 and IGKJ, as well as IGLV and IGLJ, respectively. To further improve affinity of antibodies to an  
63 antigen, affinity maturation occurs via somatic hypermutation (SHM)<sup>44,45</sup>. V(D)J recombination and

64 SHM therefore ensure a diverse repertoire of antibodies is available for an immune response to  
65 the enormous number and variety of potential antigens.

66

67 Notwithstanding this antibody diversity, some RBD antibodies with strikingly similar sequences  
68 have been found in multiple convalescent SARS-CoV-2 patients<sup>32,46,47</sup>. These antibodies can be  
69 classified as public clonotypes if they share the same IGHV gene with similar CDR H3  
70 sequences<sup>48-52</sup>. Over the past decade, public clonotypes to human immunodeficiency virus<sup>48</sup>,  
71 malaria<sup>52</sup>, influenza<sup>49</sup>, and dengue virus<sup>53</sup> have been discovered. Antibodies to SARS-CoV-2 RBD  
72 frequently use IGHV3-53 and IGHV3-66<sup>23,31,47,54</sup>, which only differ by one amino acid (i.e. I12 in  
73 IGHV3-53 and V12 in IGHV3-66). IGHV3-53/3-66 antibodies carry germline-encoded features  
74 that are critical for RBD binding – an NY motif in CDR H1 and an SGGS motif in CDR H2<sup>31,47,54</sup>.  
75 Nevertheless, IGHV3-53/3-66 RBD antibodies have varying lengths of CDR H3 with diverse  
76 sequences, which seem to deviate from the canonical definition of a public clonotype.

77

78 By categorizing IGHV3-53/3-66 RBD antibodies based on CDR H3 length and light chain usage,  
79 we now report on two public clonotypes of IGHV3-53/3-66 RBD antibodies, both of which have a  
80 CDR H3 length of 9 amino acids but with distinct sequence motifs. Our structural and biochemical  
81 analyses reveal that these sequence motifs on CDR H3 are associated with light chain pairing  
82 preference. We also identify Y58F as a signature SHM among IGHV3-53/3-66 RBD antibodies  
83 that have a CDR H3 length of less than 15 amino acids (Kabat numbering). As the COVID-19  
84 pandemic continues, knowledge of public antibodies against SARS-CoV-2 can inform on  
85 therapeutic development as well as vaccine assessment.

86

## 87 **Results**

### 88 **Two public clonotypes of IGHV3-53/3-66 RBD antibodies**

89 In this study, we define clonotypic IGHV3-53/3-66 RBD antibodies as antibodies that share the  
90 same IGL(K)V genes and with identical CDR H3 length. Literature mining of 214 published  
91 IGHV3-53/3-66 RBD antibodies obtained from convalescent patients (Supplementary Table 1)  
92 revealed that the two most common clonotypes have a CDR H3 length of 9 amino acids and are  
93 paired with light chains IGKV1-9 (clonotype 1) and IGKV3-20 (clonotype 2), respectively (Figure  
94 1a). Antibodies from clonotype 1 have been observed across 10 studies<sup>22-24,32-36,40</sup>, whereas  
95 antibodies from clonotype 2 are found across seven studies<sup>22,24,32-34,37,40</sup>. Interestingly, sequence  
96 logos revealed distinct sequence features of CDR H3 between clonotype 1 and clonotype 2  
97 antibodies (Figure 1b).

98  
99 We further determined IGHJ gene usage in the two major clonotypes of IGHV3-53/3-66 RBD  
100 antibodies. Among the IGHV3-53/3-66 RBD antibodies with a CDR H3 length of 9 amino acids,  
101 we observed a statistically significant bias in IGHJ gene usage ( $p$ -value =  $2e-6$ , Fisher's exact  
102 test), where clonotypes 1 and 2 preferentially pair with IGHJ6 and IGHJ4, respectively (Figure  
103 1c). In fact, IGHJ6 encodes the last four amino acids (GMDV) in CDR H3 that are highly conserved  
104 in clonotype 1 (Figure 1d, Supplementary Figure 1a). Similarly, IGHJ4 encodes the last four amino  
105 acids (YFDY) in CDR H3 that are highly conserved in clonotype 2 (Figure 1d, Supplementary  
106 Figure 1b). Taken together, we demonstrate that IGHV3-53/3-66 RBD antibodies can be  
107 categorized into at least two public clonotypes.

108

### 109 **Structural analysis of signature motifs on CDR H3**

110 We further investigated sequence signatures of CDR H3s in clonotypes 1 and 2 (Figure 1b). In  
111 particular, we focused on amino acid residues 96, 98 and 100 in CDR H3 since these residues  
112 show clear patterns of differential amino-acid preference between clonotype 1 and clonotype 2  
113 antibodies. Subsequently, analysis was performed on structures of BD-604 (PDB 7CH4) and

114 CC12.1 (PDB 6XC2), which are two clonotype 1 antibodies, as well as BD-629 (PDB 7CH5) and  
115 CC12.3 (PDB 6XC4), which are two clonotype 2 antibodies.

116

117 Residue 96 is usually Leu in clonotype 1 antibodies, while an aromatic residue, usually Tyr,  
118 occupies residue 96 in clonotype 2 antibodies. While  $V_H$  L96 interacts with Y489 of the RBD in  
119 clonotype 1 antibodies via van der Waals interactions,  $V_H$  F/Y96 is located at the center of a  $\pi$ - $\pi$   
120 stacking network that involves F456, Y489 and  $V_H$  Y100 (Figure 2a, 2b, Supplementary Figure  
121 2a, 2b; left panels). Substituting  $V_H$  L96 in clonotype 1 with Y96 would result in a clash with RBD  
122 Y489, whereas substituting  $V_H$  F/Y96 in clonotype 2 with L96 would abolish the  $\pi$ - $\pi$  stacking  
123 network but still maintain a hydrophobic core.

124

125 Residue 98 in CDR H3 of clonotype 1 antibodies does not show a strong amino-acid preference,  
126 since it is located in a relatively open space (Figure 1b, 2a, Supplementary Figure 2a; middle  
127 panels). On the other hand, a highly conserved acidic residue at position 98 in the CDR H3 loop  
128 of clonotype 2 antibodies contributes to formation of hydrogen bond interactions with  $V_H$  Y52 as  
129 well as electrostatic interactions with RBD K417 and  $V_L$  R96 (Figure 2b, Supplementary Figure  
130 2b; middle panels). Consistently,  $V_L$  R96 is highly conserved in clonotype 2 antibodies, but not in  
131 other IGHV3-53/3-66 RBD antibodies (Supplementary Figure 3). Thus, the electrostatic  
132 interactions between  $V_H$  D/E98 and  $V_L$  R98 are highly conserved in clonotype 2 antibodies and  
133 can likely help stabilize the CDR H3 loop conformation to minimize entropic cost upon binding to  
134 SARS-CoV-2 RBD.

135

136 Residue 100 is usually Gly in CDR H3 of clonotype 1 antibodies (Figure 1b). Structural analysis  
137 shows that small, non-polar amino acids are favored at position 100 due to the limited space  
138 around that residue (Figure 2a, Supplementary Figure 2a; right panels). Moreover, G100 in

139 clonotype 1 has a positive  $\Phi$  angle, which is typically less favorable for non-Gly amino acids. In  
140 contrast, residue 100 is a highly conserved Tyr in CDR H3 of clonotype 2 antibodies (Figure 1b).  
141 Structural analysis shows that  $V_H$  Y100 contributes to the  $\pi$ - $\pi$  stacking network that is formed via  
142 the aromatic ring at  $V_H$  residue 96 (see above) and an aromatic residue at  $V_L$  residue 49 (Figure  
143 2b, Supplementary Figure 2b; right panels).

144

145 Additionally, we investigated the structural basis of the conservation of  $V_H$  Y102 among clonotype  
146 2 antibodies. Structural analysis reveals that  $V_H$  Y102 interacts with RBD Y486 via  $\pi$ - $\pi$   
147 interactions (Supplementary Figure 4). Only IGHJ4 offers a bulky aromatic side chain at residue  
148 102 (Figure 1d), which explains the common usage of IGHJ4 in clonotype 2 antibodies. In  
149 contrast, clonotype 1 antibodies frequently use IGHJ6 (Figure 1d), which has a much shorter Val  
150 at residue 102, most likely because IGHJ6 encodes a Gly at residue 100 that can avoid steric  
151 clashes with the light chain (see above, Figure 2a, Supplementary Figure 2a; right panels). Of  
152 note, the only other IGHJ gene that encodes a non-bulky amino acid at residue 100 is IGHJ3  
153 (Ala). IGHJ1, IGHJ2, IGHJ4, and IGHJ5 all encode a bulky residue at residue 100 (Figure 1d),  
154 which may be disfavored in clonotype 1 antibodies due to the limited space where  $V_H$  residue 100  
155 is located (Supplementary Figure 5). Overall, our structural analyses provide a structural basis for  
156 the differential signature sequence motifs in CDR H3 between clonotype 1 and clonotype 2  
157 antibodies.

158

### 159 **Incompatibility of CDR H3 between clonotype 1 and clonotype 2 antibodies**

160 To understand the influence of light-chain usage in CDR H3 sequences, we performed a structural  
161 alignment of RBD-bound CDR H3 from two clonotype 1 antibodies, namely BD-604 and CC12.1,  
162 and two clonotype 2 antibodies, namely BD-629 and CC12.3 (Supplementary Figures 2c-2f).  
163 While the CDR H3 conformations are similar within each clonotype (RMSD ranges from 0.27 to

164 0.41 Å), they are quite different between clonotypes (RMSD ranges from 0.77 Å to 1.5 Å).  
165 Although our sample size is small, this analysis suggests that antibodies from clonotypes 1 and  
166 2 have different preferences for their CDR H3 conformations. Such differential preference of CDR  
167 H3 conformations may be partly influenced by light-chain usage, as indicated by the structural  
168 analyses above on V<sub>H</sub> residues 96, 98, and 100 (Figure 2, Supplementary Figures 2 and 5).

169  
170 To experimentally examine the compatibility between CDR H3 and the light chains from clonotype  
171 1 and clonotype 2 antibodies, we focused on antibodies COV107-23 (clonotype 1) and COVD21-  
172 C8 (clonotype 2). The heavy-chain sequences of these two antibodies only differ by four amino  
173 acids in CDR H3, namely V<sub>H</sub> residues 96, 98, 99, and 100 (Supplementary Figure 6a). Of note,  
174 COV107-23 uses IGHJ4, which is seldom observed among clonotype 1 antibodies but highly  
175 preferred in clonotype 2 antibodies (Figure 1c), to encode the two amino acids at the C-terminus  
176 of its CDR H3 (Supplementary Figure 6b). Both COV107-23 and COVD21-C8 bind strongly to the  
177 SARS-CoV-2 RBD, with dissociation constants (K<sub>D</sub>) of 1 nM and 4 nM, respectively (Figure 3a).  
178 However, when their light chains are swapped, their binding affinity to the RBD is weakened  
179 substantially to K<sub>D</sub> > 1 μM. We further determined apo crystal structures of COV107-23 paired  
180 with its native light chain and with the light chain from COVD21-C8 to 2.0 Å and 3.3 Å, respectively  
181 (Supplementary Table 2). The conformations of CDR H3 indeed differ when paired with different  
182 light chains, as exemplified by the 3.3 Å displacement of V<sub>H</sub> G97 near the tip of CDR H3 and  
183 different side-chain orientations of V<sub>H</sub> T98 (Figure 3b). In addition, a type I' β-turn is observed at  
184 the tip of CDR H3 in COV107-23 when paired with its native light chain but not with the light chain  
185 from COVD21-C8 (Figure 3c). These observations demonstrate that the conformation of CDR H3  
186 changes substantially when IGKV1-9 in COV10-23 is swapped to IGKV3-20, which abolishes the  
187 binding to RBD (Figure 3a). The CDR H3 conformation is therefore a determinant for compatibility  
188 between the CDR H3 sequence and the light chain in IGHV3-53/3-66 RBD antibodies.

189



## 190 **Compatibility of different CDR H3 variants with IGHV1-9 for binding to RBD**

191 Besides antibodies from clonotypes 1 and 2, other IGHV3-53/3-66 RBD antibodies with a range  
192 of CDR H3 lengths pair with different light chains (Figure 1a). We further aimed to expand our  
193 analysis on CDR H3 compatibility to include CDR H3 from IGHV3-53/3-66 RBD antibodies other  
194 than clonotypes 1 and 2. In particular, we focused on identifying CDR H3 sequences that are  
195 compatible with IGKV1-9, which is used by clonotype 1 antibodies for binding to RBD. We first  
196 compiled a list of 143 CDR H3 variants that were observed in IGHV3-53/3-66 RBD antibodies  
197 (Supplementary Table 3). A yeast display library was then constructed with these 143 CDR H3  
198 variants in the B38 antibody, which is a IGHV3-53/IGKV1-9 RBD antibody<sup>26</sup>. Subsequently,  
199 fluorescence-activated cell sorting (FACS) was performed on the yeast display library based on  
200 antibody expression level and binding to SARS-CoV-2 RBD (Supplementary Figures 7 and 8).  
201 The enrichment level of each CDR H3 variant in the sorted library was quantified by next-  
202 generation sequencing (see Methods, Supplementary Table 4). CDR H3 variants that were  
203 positively enriched in binding ( $\log_{10}$  enrichment  $> 0$ ) are derived from both IGKV1-9 and non-  
204 IGKV1-9 antibodies (Figure 4a). The native CDR H3 for B38 has a  $\log_{10}$  enrichment level of -  
205 0.002. As a result, positively enriched CDR H3 variants should have a higher affinity than wild-  
206 type B38. A total of 68% (17 out of 25) binding-enriched CDR H3 variants have a length of 9  
207 amino acids, whereas only 31% (37 out of 118) have a length of 9 amino acids in the non-enriched  
208 group (Figure 4b). Interestingly, binding-enriched CDR H3 variants with a length of 9 amino acids  
209 displayed very similar sequence features as that of clonotype 1 antibodies obtained from literature  
210 mining (Figure 1b and 4c). Of note, 41% (7 out of 17) binding-enriched CDR H3 variants with a  
211 length of 9 amino acids come from non-IGKV1-9 antibodies. Overall, our yeast display screen  
212 indicates that certain CDR H3s from non-IGKV1-9 RBD antibodies are compatible with IGKV1-9  
213 for RBD binding and have similar sequence features as those CDR H3s from clonotype 1  
214 antibodies.

215

216 We noticed that some CDR H3 sequences that come from IGKV1-9 RBD antibodies do not enrich  
217 in binding. One possibility is that they are still able to bind to RBD, but with a lower affinity than  
218 B38, which has a  $K_D$  of 70 nM to the RBD<sup>26</sup>. However, as shown by our yeast display screen,  
219 CDR H3 sequences from IGKV1-9 antibodies in general have a significantly stronger binding to  
220 RBD than those from non-IGKV1-9 antibodies (p-value = 0.002, Figure 4d), whereas their  
221 expression level is only marginally higher than that from non-IGKV1-9 antibodies (p-value = 0.06,  
222 Figure 4d).

223

#### 224 **Y58F is a signature SHM in IGHV3-53/3-66 RBD antibodies**

225 We further aimed to understand if there are common SHMs among IGHV3-53/3-66 RBD  
226 antibodies. We first categorized IGHV3-53/3-66 RBD antibodies from convalescent SARS-CoV-  
227 2 patients by CDR H3 length. The occurrence frequencies of individual SHMs in each category  
228 were then analyzed (Figure 5a). This analysis included 214 IGHV3-53/3-66 RBD antibodies that  
229 have sequence information available. One clear observation is that Y58F is highly common  
230 among IGHV3-53/3-66 RBD antibodies with a CDR H3 length of less than 15 amino acids, but  
231 completely absent when the CDR H3 length is 15 amino acids or above, suggesting that Y58F  
232 improves the binding of affinity IGHV3-53/3-66 antibodies to RBD only when they have a short  
233 CDR H3 loop (CDR H3 < 15 amino acids). To understand the effect of Y58F on the binding affinity  
234 of IGHV3-53/3-66 antibodies to the RBD, we compared the binding affinity of the same antibodies  
235 that carry either Y58 or F58 to the RBD. In particular, we focused on three IGHV3-53/3-66 RBD  
236 antibodies that have a CDR H3 length of 9 amino acids – one in clonotype 1 (COV107-23), and  
237 two in clonotype 2 (COVD21-C8 and CC12.3). Our BLI experiments showed that the Y58F  
238 mutation dramatically improved the affinity of the three antibodies (COV107-23, COVD21-C8 and  
239 CC12.3) by ~10-fold to ~1000-fold (Figure 5b, Supplementary Figure 9). As a control, we also  
240 performed the same experiment on an IGHV3-53/3-66 antibody with a CDR H3 length of 15 amino  
241 acids, namely COVA2-20. In contrast to those three IGHV3-53/3-66 RBD antibodies with a short

242 CDR H3, COVA2-20 shows similar binding affinity to RBD between Y58 and F58 variants (Figure  
243 5b, Supplementary Figure 5). Taken together, our results show that Y58F appears to be a  
244 signature SHM in IGHV3-53/3-66 RBD antibodies with CDR H3 length of < 15 amino acids. In  
245 fact, the results here are consistent with our previous finding that IGHV3-53/3-66 RBD antibodies  
246 with CDR H3 length of 15 amino acids or longer adopt a different binding mode as compared to  
247 those with a shorter CDR H3<sup>54</sup>.

248

249 Interestingly, a Y58F mutation results in a loss of hydrogen bonding interactions between residue  
250 58 of the heavy chain and T415 of the RBD (Supplementary Figure 10), yet the mutation  
251 significantly increases the binding affinity of the antibody to the RBD. We then performed a  
252 structural analysis on seven IGHV3-53/66 RBD antibodies with Y58F mutation and nine  
253 without<sup>26,29,38,40,47,54-57</sup>. Our results indicate that, by removal of the hydroxyl group, the side chain  
254 of Y58F moves closer to the backbone carbon of RBD T415 (Supplementary Figure 10). The  
255 average distance between the centroid of the side-chain aromatic ring at V<sub>H</sub> residue 58 and the  
256 backbone carbon of RBD T415 are 5.3 Å and 5.9 Å for antibodies that carry F58 and Y58,  
257 respectively. Since T-shaped  $\pi$ - $\pi$  stacking is optimal at around 5.0 to 5.2 Å<sup>58,59</sup>, F58 but not Y58  
258 can form strong T-shaped  $\pi$ - $\pi$  stacking interactions with the amide backbone of RBD T415. This  
259 observation can at least partly explain why Y58F improves affinity despite the loss of a hydrogen  
260 bond with the RBD.

261

## 262 **Discussion**

263 While several studies to date have described IGHV3-53/3-66 as a commonly used germline for  
264 SARS-CoV-2 RBD antibodies<sup>23,31,47,54</sup>, the exact sequence requirements for generating an  
265 IGHV3-53/3-66 antibody to SARS-CoV-2 RBD has remained largely elusive. As a result of  
266 numerous efforts from multiple groups in isolating RBD antibodies and reporting their

267 sequences<sup>20-40</sup>, detailed characterization of RBD antibody sequence features has become  
268 possible. Through sequence analysis, biophysical experiments, and high-throughput screening,  
269 we identified distinct sequence requirements for two public clonotypes (clonotypes 1 and 2) of  
270 IGHV3-53/3-66 RBD antibodies. In fact, the frequent occurrence of IGHV3-53/3-66 RBD  
271 antibodies with IGHJ6 and a CDR H3 length of 9 amino acids, which are germline features of  
272 clonotype 1 antibodies, have also been reported in previous publications<sup>23,60</sup>.

273

274 One important finding in this study is that the CDR H3 sequence that supports IGHV3-53/3-66  
275 antibodies binding to RBD is light chain-dependent. This finding is consistent with our previous  
276 observation that there is a large diversity of CDR H3 sequences in IGHV3-53/3-66 RBD  
277 antibodies<sup>54</sup>. In addition, our findings explain a recent observation by Banach and colleagues<sup>61</sup>  
278 who showed that swapping the heavy and light chains of different IGHV3-53/3-66 RBD antibodies  
279 often substantially reduced their neutralization potency. Therefore, IGHV3-53/3-66 provides a  
280 robust framework to generate different public clonotypes that have distinct CDR H3 and light chain  
281 sequence signatures. While only two major clonotypes of IGHV3-53/3-66 RBD antibodies are  
282 examined in this study, it will be worth characterizing other minor clonotypes to obtain a more  
283 complete understanding of the compatibility between CDR H3 sequence and light-chain identity  
284 among IGHV3-53/3-66 RBD antibodies.

285

286 Although this study revealed that Y58F is a common SHM that improves the affinity of IGHV3-  
287 53/3-66 antibodies with a short CDR H3 to RBD, other common SHMs have also shown up in our  
288 sequence analysis (Figure 5a), albeit with a lower frequency. Most noticeably, a cluster of  
289 common SHMs is found in V<sub>H</sub> framework region 1 from residues 26 to 28. This cluster of SHMs  
290 is also likely to be important for affinity maturation to RBD. A recent study has indeed shown that  
291 SHMs V<sub>H</sub> F27V and T28I together increase affinity by 100-fold of an IGHV3-53/3-66 antibody to  
292 the SARS-CoV-2 RBD<sup>38</sup>. Additional common SHMs among IGHV3-53/3-66 RBD antibodies with

293 a short CDR H3 include S31R in CDR H1 and V50L in CDR H2 (Figure 5a). As a result, while  
294 IGHV3-53/3-66 RBD antibodies do not require any SHM to neutralize SARS-CoV-2<sup>57</sup>, this study  
295 along with others have shown that SHM can substantially improve the binding affinity of IGHV3-  
296 53/3-66 antibodies to RBD<sup>38,57</sup>. Consistently, RBD antibodies from convalescent SARS-CoV-2  
297 patients have significantly more SHMs and higher neutralization potency at 6 months post-  
298 infection than at 1-month post-infection<sup>62</sup>.

299

300 Circulating SARS-CoV-2 mutant variants represent a major ongoing challenge to natural immunity  
301 and vaccination. In particular, a lot of attention has been focused on RBD mutation E484K, which  
302 has emerged in multiple independently SARS-CoV-2 lineages<sup>63,64</sup> and can alter the antigenicity  
303 of the spike protein<sup>65-67</sup>. Another naturally occurring RBD mutation, K417N, which has emerged  
304 in South Africa and Brazil (B.1.351 lineage and B.1.1.28, respectively)<sup>63,64,68</sup>, has recently been  
305 shown to also alter antigenicity of the spike protein<sup>66,69-71</sup>. Consistently, we found that K417N  
306 dramatically decreased the binding of COV107-23 (clonotype 1) and COVD21-C8 (clonotype 2)  
307 to RBD (Supplementary Figures 11a-11b). In fact, K417 forms an electrostatic interaction with the  
308 signature residue V<sub>H</sub> D/E98 of CDR H3 in clonotype 2 antibodies (Figure 2b) and can also interact  
309 with CDR H3 of clonotype 1 antibodies (Supplementary Figure 11c), providing a structural  
310 explanation for its change in antigenicity. Constant antigenic drift of SARS-CoV-2 is unavoidable  
311 if it keeps circulating among humans. Thus, sustained efforts in characterizing the antibody  
312 response to SARS-CoV-2 as it evolves will not only benefit vaccine development and assessment,  
313 but also improve our fundamental understanding of the ability of the antibody repertoire to rapidly  
314 respond to viral infections.

## 315 **Methods**

### 316 **Literature mining for antibodies to SARS-CoV-2 RBD**

317 Sequences of anti-SARS-CoV-2 RBD from convalescent patients infected with SARS-CoV-2  
318 were obtained from published articles<sup>20-40</sup> (Supplementary Table 1). IgBlast was used to identify  
319 somatic hypermutations and analyze IGHJ gene usage<sup>72</sup>. Of note, IgBlast can only identify IGHJ  
320 gene usage for antibodies with available nucleotide sequences. Sequence logos were generated  
321 by WebLogo<sup>73</sup>.

322

### 323 **Expression and purification of Fc-tagged RBD**

324 The receptor-binding domain (RBD) (residues 319-541) of the SARS-CoV-2 spike (S) protein  
325 (GenBank: QHD43416.1) was fused with an N-terminal Igk secretion signal and a C-terminal  
326 SSSSG linker followed by an Fc tag and cloned into a phCMV3 vector. The plasmid was  
327 transiently transfected into Expi293F cells using ExpiFectamine™ 293 Reagent (Thermo Fisher  
328 Scientific) according to the manufacturer's instructions. The supernatant was collected at 7 days  
329 post-transfection. The Fc-tagged RBD was purified with by KanCapA protein A affinity resin  
330 (Kaneka).

331

### 332 **Expression and purification of Fabs**

333 Fab heavy and light chains were cloned into phCMV3. Heavy chain Y58F or F58Y mutants were  
334 constructed using the QuikChange XL Mutagenesis kit (Stratagene) according to the  
335 manufacturer's instructions. The plasmids were transiently co-transfected into Expi293F cells at  
336 a ratio of 2:1 (HC:LC) using ExpiFectamine™ 293 Reagent (Thermo Fisher Scientific) according  
337 to the manufacturer's instructions. The supernatant was collected at 7 days post-transfection. The  
338 Fab was purified with a CaptureSelect™ CH1-XL Pre-packed Column (Thermo Fisher Scientific).

339

### 340 **Biolayer interferometry binding assay**

341 Binding assays were performed by biolayer interferometry (BLI) using an Octet Red96e  
342 instrument (FortéBio) as described previously<sup>74</sup>. Briefly, Fc-tagged SARS-CoV-2 RBD proteins at  
343 20 to 100 µg/ml in 1x kinetics buffer (1x PBS, pH 7.4, 0.01% w/v BSA and 0.002% v/v Tween 20)  
344 were loaded onto streptavidin (SA) biosensors and incubated with the indicated concentrations of  
345 Fabs. The assay consisted of five steps: 1) baseline: 60 s with 1x kinetics buffer; 2) loading: 300 s  
346 with His<sub>6</sub>-tagged S or RBD proteins; 3) baseline: 60 s with 1x kinetics buffer; 4) association: 60 s  
347 with samples (Fab or IgG); and 5) dissociation: 60 s with 1x kinetics buffer. For estimating the  
348 exact  $K_D$ , a 1:1 binding model was used.

349

### 350 **X-ray crystallography**

351 Fabs COV107-23 (15 mg/ml) and COV107-23 paired with the light chain of COVD21-C8  
352 (COV107-23-swap, 14 mg/ml) were screened for crystallization using the 384 conditions of the  
353 JCSG Core Suite (Qiagen) on our custom-designed robotic CrystalMation system (Rigaku) at  
354 Scripps Research by the vapor diffusion method in sitting drops containing 0.1 µl of protein and  
355 0.1 µl of reservoir solution. For COV107-23, optimized crystals were grown in 0.085 M of sodium  
356 citrate - citric acid pH 5.6, 0.17 M ammonium acetate, 15% (v/v) glycerol, and 25.5% (w/v)  
357 polyethylene glycol 4000 at 20°C. For COV107-23-swap, optimized crystals were grown in 0.1 M  
358 of sodium citrate pH 4, 1 M lithium chloride, and 20% (w/v) polyethylene glycol 6000 at 20°C.  
359 Crystals were grown for 7 days and then harvested and flash cooled in liquid nitrogen. Diffraction  
360 data were collected at cryogenic temperature (100 K) at Stanford Synchrotron Radiation  
361 Lightsource (SSRL) on the Scripps/Stanford beamline 12-1 with a beam wavelength of 0.97946  
362 Å, and processed with HKL2000<sup>75</sup>. Structures were solved by molecular replacement using  
363 PHASER<sup>76</sup>, where the models were generated by Repertoire Builder  
364 ([https://sysimm.org/rep\\_builder/](https://sysimm.org/rep_builder/))<sup>77</sup>. Iterative model building and refinement were carried out in  
365 COOT<sup>78</sup> and PHENIX<sup>79</sup>, respectively.

366



### 367 **Construction of plasmids and CDR H3 library**

368 143 oligonucleotides (Supplementary Table 3) encoding CDR H3 were obtained from Integrated  
369 DNA Technologies (IDT) and PCR-amplified using 5'-ACC TAC AGA TGA ATT CTC TTA GGG  
370 CAG AAG ATA CCG CCG TCT ACT ACT GC-3' as forward primer and 5'-GGG CCT TTT GTA  
371 GAA GCT GAA CTC ACA GTG ACG GTA GTC CCT TGT CCC CA-3' as reverse primer. Then,  
372 the amplified oligonucleotide pool was gel-purified using a GeneJET Gel Extraction Kit (Thermo  
373 Scientific).

374

375 Wild-type (WT) B38 yeast display plasmid, pCTcon2\_B38, was generated by cloning the coding  
376 sequence of (from N-terminal to C-terminal, all in-frame) Aga2 secretion signal, B38 Fab light  
377 chain, V5 tag, ERBV-1 2A self-cleaving peptide, Aga2 secretion signal, B38 Fab heavy chain, HA  
378 tag, and Aga2p, into the pCTcon2 vector<sup>80</sup>. pCTcon2\_B38 was PCR-amplified using 5'-TGG GGA  
379 CAA GGG ACT ACC GTC ACT GTG-3' as forward primer and 5'-GCA GTA GTA GAC GGC  
380 GGT ATC TTC TGC-3' as reverse primer to generate the linearized vector. The PCR product was  
381 then gel-purified.

382

### 383 **Yeast antibody display library generation**

384 5 µg of the amplified oligonucleotide pool and 4 µg of purified linearized vector were transformed  
385 into *Saccharomyces cerevisiae* EBY100 via electroporation following previously published  
386 protocol<sup>81</sup> to generate a B38 yeast display library with different CDR H3 variants.

387

### 388 **Fluorescence-activated cell sorting of yeast antibody display library**

389 100 µl of WT B38 yeast antibody display library glycerol stock was recovered in 50 ml SD-CAA  
390 medium (2% w/v D-glucose, 0.67% w/v yeast nitrogen base with ammonium sulfate, 0.5% w/v  
391 casamino acids, 0.54% w/v Na<sub>2</sub>HPO<sub>4</sub>, 0.86% w/v NaH<sub>2</sub>PO<sub>4</sub>·H<sub>2</sub>O, all dissolved in deionized water)  
392 by incubating at 27°C with shaking at 250 rpm until OD<sub>600</sub> reached between 1.5 and 2.0. At this



393 time, 15 ml of the yeast culture was harvested, and the yeast pellet was obtained via centrifugation  
394 at  $4,000 \times g$  at  $4^{\circ}\text{C}$  for 5 min. The supernatant was discarded, and SGR-CAA (2% w/v galactose,  
395 2% w/v raffinose, 0.1% w/v D-glucose, 0.67% w/v yeast nitrogen base with ammonium sulfate,  
396 0.5% w/v casamino acids, 0.54% w/v  $\text{Na}_2\text{HPO}_4$ , 0.86% w/v  $\text{NaH}_2\text{PO}_4 \cdot \text{H}_2\text{O}$ , all dissolved in  
397 deionized water) was added to make up the volume to 50 ml. The yeast culture was then  
398 transferred to a baffled flask and incubated at  $18^{\circ}\text{C}$  with shaking at 250 rpm. Once  $\text{OD}_{600}$  has  
399 reached between 1.3 and 1.6, 1 ml of yeast culture was harvested, and the yeast pellet was  
400 obtained via centrifugation at  $4,000 \times g$  at  $4^{\circ}\text{C}$  for 5 min. The pellet was subsequently washed  
401 with 1 ml of 1x PBS twice. After the final wash, cells were resuspended in 1 ml of 1x PBS.

402

403 Then, for expression assay, 1  $\mu\text{g}$  of PE anti-HA.11 (epitope 16B12, BioLegend, Cat. No. 901517)  
404 buffer-exchanged into 1x PBS was added to the cells. A negative control was set up with nothing  
405 added to the PBS-resuspended cells. Samples were incubated overnight at  $4^{\circ}\text{C}$  with rotation.  
406 Then, the yeast pellet was washed twice in 1x PBS and resuspended in FACS tubes containing  
407 2 ml 1X PBS. Using a BD FACS Aria II cell sorter (BD Biosciences), PE-positive cells were  
408 collected in 1 ml of SD-CAA containing 1x Penicillin/Streptomycin. Cells were then collected via  
409 centrifugation at 4,500 rpm at  $20^{\circ}\text{C}$  for 15 min. The supernatant was discarded. Subsequently,  
410 the pellet was resuspended in 100  $\mu\text{l}$  of SD-CAA and plated on SD-CAA plates at  $37^{\circ}\text{C}$ . After 40  
411 h, colonies were collected in 2 ml of SD-CAA. Frozen stocks were made by reconstituting the  
412 pellet in 15% v/v glycerol (in SD-CAA medium) and then stored at  $-80^{\circ}\text{C}$ .

413

414 For binding assay, 20  $\mu\text{g}$  of SARS-CoV-2 S RBD-Fc was added to washed cells. A negative  
415 control was set up with nothing added to the PBS-resuspended cells. Samples were incubated  
416 overnight at  $4^{\circ}\text{C}$  with rotation. The yeast pellet was then washed twice in 1x PBS. After the last  
417 wash, cells were resuspended in 1 ml of 1x PBS. Subsequently, 1  $\mu\text{g}$  of PE anti-human IgG Fc

418 antibody (clone HP6017, BioLegend, Cat. No. 409304) buffer-exchanged into 1x PBS was added  
419 to yeast. Cells were incubated at 4°C for 1 h with rotation. The yeast pellet was then washed twice  
420 in 1x PBS and resuspended in FACS tubes containing 2 ml 1x PBS. Using a BD FACS Aria II cell  
421 sorter (BD Biosciences), PE-positive cells were collected in 1 ml of SD-CAA containing 1x  
422 Penicillin/Streptomycin. Cells were then collected via centrifugation at 4,500 rpm at 20°C for 15  
423 min. The supernatant was then discarded. Subsequently, the pellet was resuspended in 100 µl of  
424 SD-CAA and plated on SD-CAA plates at 37°C. After 40 h, colonies were collected in 2 ml of SD-  
425 CAA, and subsequently pelleted. Frozen stocks were made by reconstituting yeast pellets with  
426 15% v/v glycerol (in SD-CAA medium) and then stored at -80°C.

427

#### 428 **Next-generation sequencing of CDR H3 loops**

429 Plasmids from the unsorted yeast display library (input) as well as two replicates of sorted yeast  
430 display library based on RBD-binding and expression were extracted from sorted yeast cells using  
431 a Zymoprep Yeast Plasmid Miniprep II Kit (Zymo Research) following the manufacturer's protocol.  
432 The CDR H3 region was subsequently amplified via PCR using 5'- ACC TAC AGA TGA ATT CTC  
433 TTA GG-3' and 5'- GGG CCT TTT GTA GAA GCT GAA CT-3' as forward and reverse primers,  
434 respectively. Subsequently, adapters containing sequencing barcodes were appended to the  
435 genes encoding the CDR H3 region via PCR. 100 ng of each sample was used for paired-end  
436 sequencing using Illumina MiSeq PE150 (Illumina). PEAR was used for merging the forward and  
437 reverse reads<sup>82</sup>. Regions corresponding to the CDR H3 were extracted from each paired read.  
438 The number of reads corresponding to each CDR H3 variant in each sample is counted. A  
439 pseudocount of 1 was added to the final count to avoid division by zero in enrichment calculation.  
440 The enrichment for variant *i* was computed as follows:

$$441 \quad \text{Enrichment} = \frac{(\text{read count of variant } i \text{ in sorted sample})/(\text{total read count in sorted sample})}{(\text{read count of variant } i \text{ in input})/(\text{total read count in input})}$$

442

443 **Code availability**

444 Custom python scripts for analyzing the deep mutational scanning data have been deposited to  
445 [https://github.com/wchnicholas/IGHV3-53\\_sequence\\_features](https://github.com/wchnicholas/IGHV3-53_sequence_features). Files for Rosetta modeling are  
446 available at [https://github.com/timothyjtan/ighv3-53\\_3-66\\_antibody\\_sequence\\_features](https://github.com/timothyjtan/ighv3-53_3-66_antibody_sequence_features).

447

448 **Data availability**

449 Raw sequencing data have been submitted to the NIH Short Read Archive under accession  
450 number: BioProject PRJNA691562. The X-ray coordinates and structure factors will be deposited  
451 to the RCSB Protein Data Bank prior to publication.

452

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458

459 **Competing interests**

460 The authors declare no competing interests.

461

462 **Author Contributions**

463 T.J.C.T., G.C.P. and N.C.W. conceived and designed the study. J.R.B., M.Y. and B.M.S.  
464 expressed and purified the proteins. T.J.C.T., K.K., X.C., J.R.C. and C.B.B. performed the yeast  
465 display experiments. T.J.C.T., Y.W. and N.C.W. processed the next-generation sequencing data.  
466 M.Y. and X.Z. performed the crystallization, X-ray data collection, determined and refined the X-  
467 ray structures. T.J.C.T., M.Y., G.C.P., I.A.W. and N.C.W. analyzed the data. T.J.C.T., M.Y., I.A.W.  
468 and N.C.W. wrote the paper and all authors reviewed and/or edited the paper.

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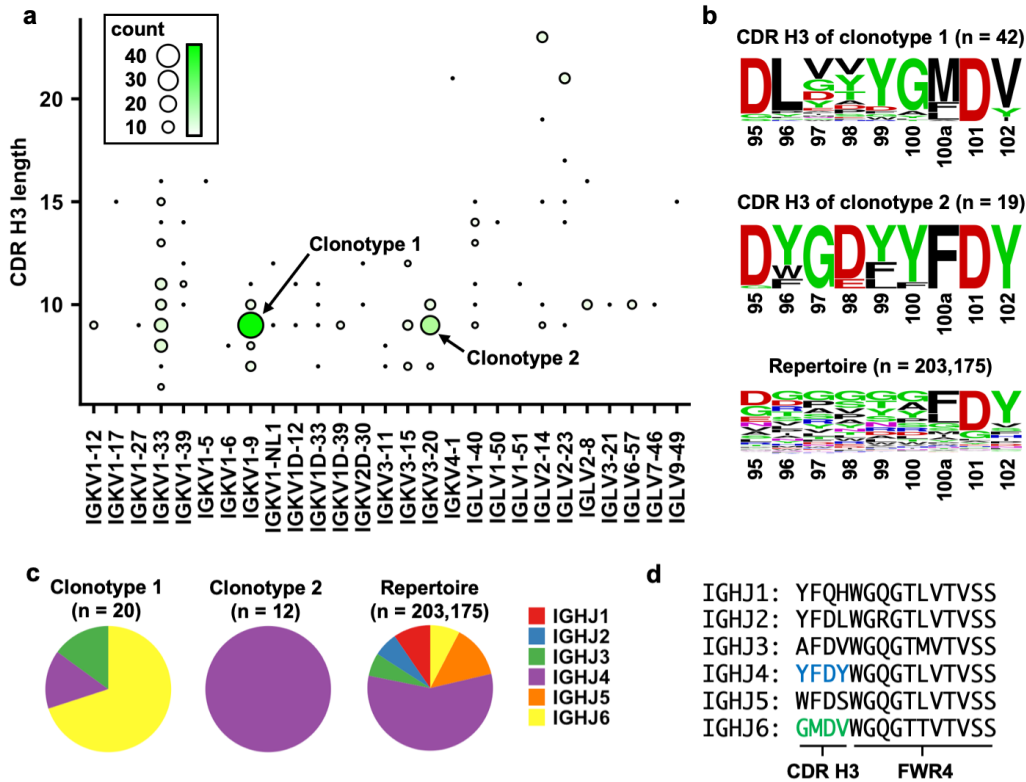
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660

661 **Figures**



662

663 **Figure 1. Two major clonotypes of IGHV3-53/3-66 antibodies to SARS-CoV-2 RBD. (a)** The

664 number of IGHV3-53/3-66 RBD antibodies that use the same light chain with the same CDR H3

665 are tabulated. The two most common combinations are IGKV1-9 pairing with 9 aa CDR H3 and

666 IGKV3-20 pairing with 9 aa CDR H3, denoted as clonotype 1 and clonotype 2, respectively. **(b)**

667 Sequence logos for the CDR H3 regions of IGHV3-53/66 antibodies that pair with IGKV1-9 or

668 IGKV3-20. A sequence logo for the CDR H3 regions of 203,175 IGHV3-53/3-66 antibodies from

669 Observed Antibody Space database<sup>83</sup> that have a CDR H3 length of 9 aa is shown for reference

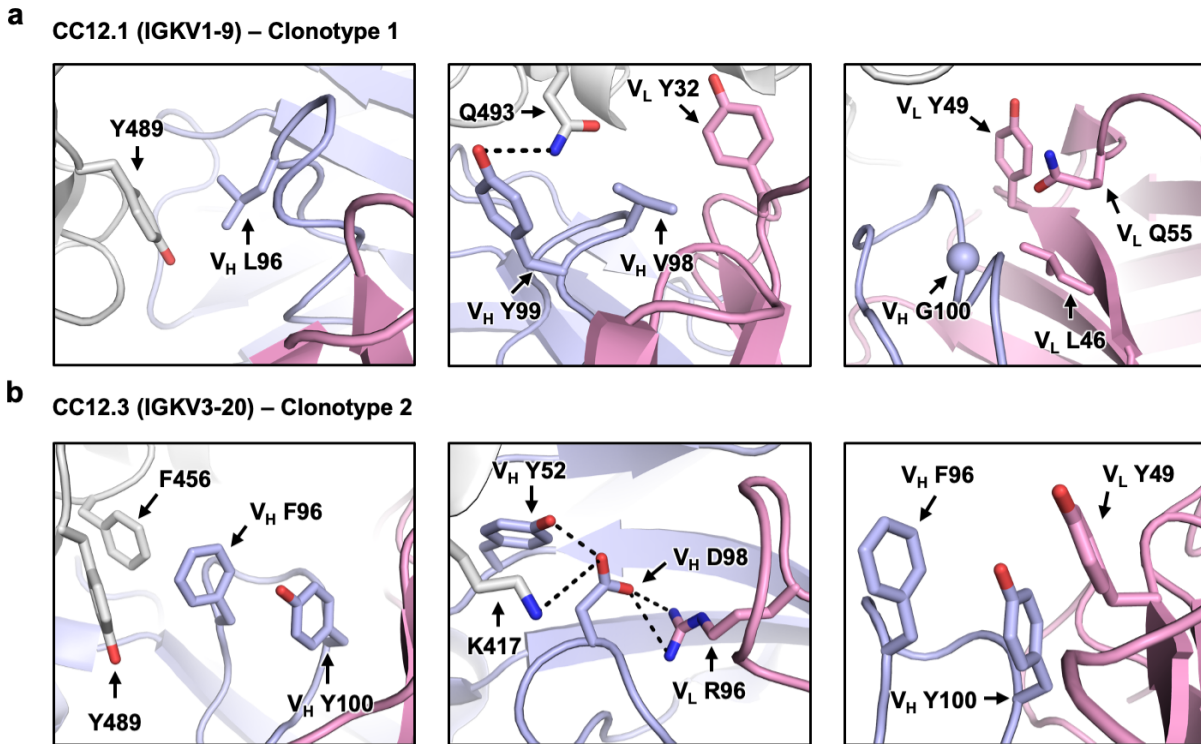
670 (repertoire). The position of each residue is labeled on the x-axis based on Kabat numbering. **(c)**

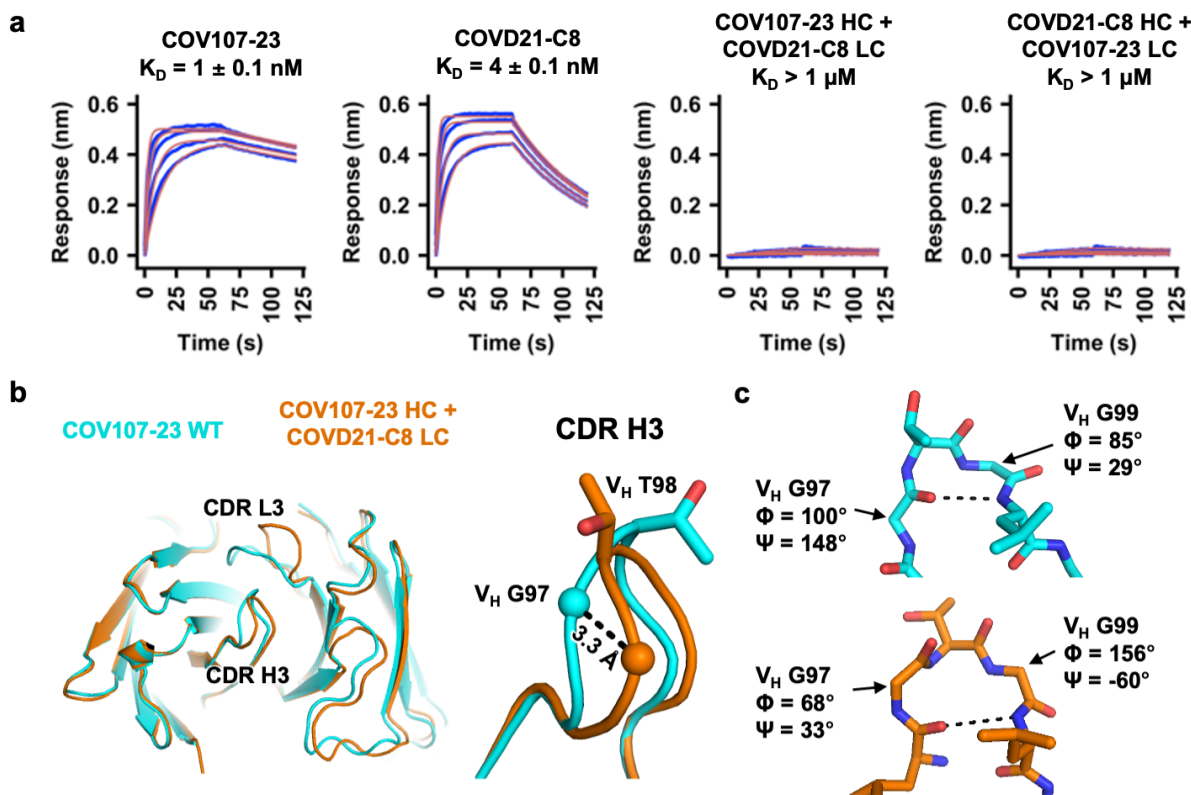
671 IGHJ gene usage for clonotypes 1 and 2 as well as 203,175 IGHV3-53/3-66 antibodies from

672 Observed Antibody Space database that have a CDR H3 length of 9 aa (repertoire) are shown

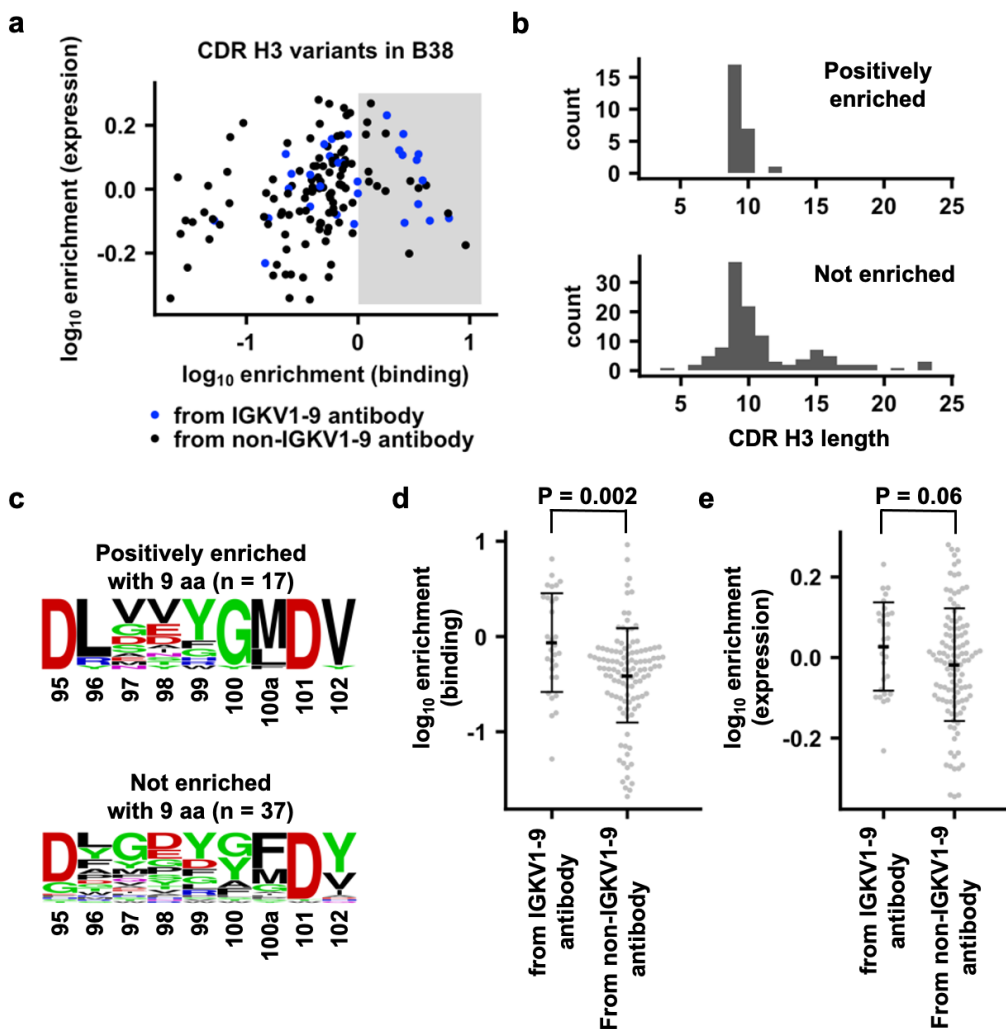
673 as pie charts. For antibodies in clonotypes 1 and 2, only those with nucleotide sequence

674 information available were analyzed. **(d)** Amino acid sequences for different IGHJs are shown.





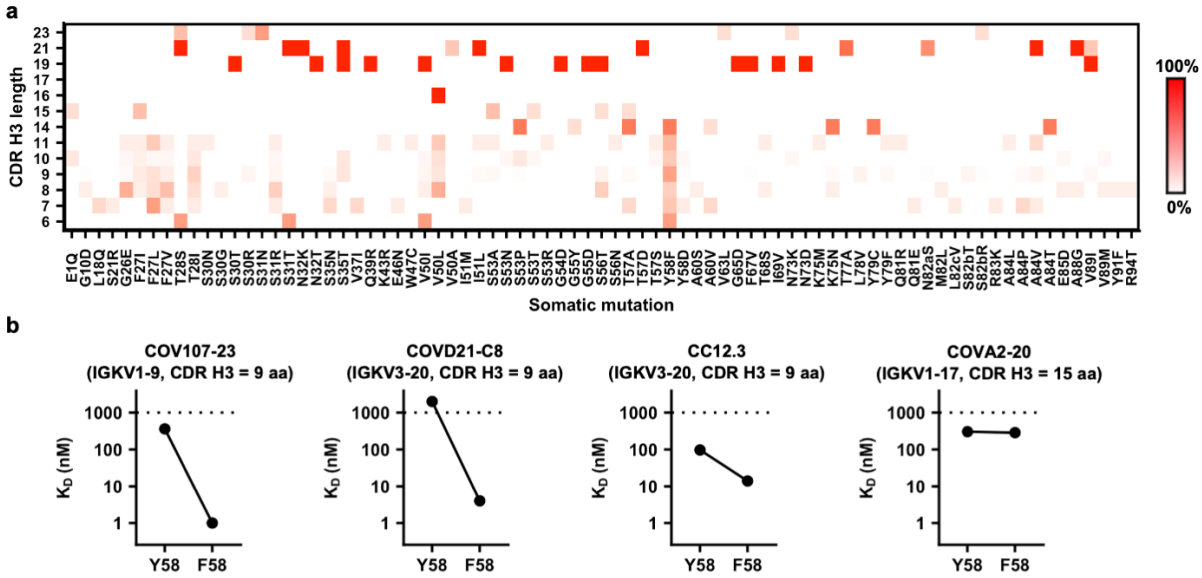
681  
682 **Figure 3. Specific pairing of CDR H3 and light chain is critical for IGHV3-53/3-66 antibody**  
683 **binding to SARS-CoV-2 RBD.** (a) Binding of different Fabs to SARS-CoV-2 RBD was measured  
684 by biolayer interferometry with RBD loaded onto the biosensor and Fab in solution. Y-axis  
685 represents the response. Dissociation constant ( $K_D$ ) for each Fab was obtained using a 1:1  
686 binding model, which is represented by the red curves. (b) Fab crystal structures of wild-type (WT)  
687 COV107-23 and COV107-23 heavy chain pairing with COVD21-C8 light chain are compared. Left  
688 panel: structural alignment using residues 1-90 of the heavy chain. Right panel: Zoom-in view for  
689 the CDR H3. (c) Conformations at the tips of the CDR H3s in WT COV107-23 and COV107-23  
690 heavy chain pairing with COVD21-C8 light chain are shown. A  $\beta$ -turn is observed in the CDR H3  
691 of WT COV107-23, with  $V_H$  G97 and  $V_H$  G99 at  $i$  and  $i+2$  positions, respectively.



692

693 **Figure 4. Binding and expression profiling of 143 CDR H3 variants in B38 antibody.** (a) For  
 694 each of the 143 CDR H3 variants, the enrichment in occurrence frequencies after FACS  
 695 selections for binding to RBD and expression level are shown. Blue: CDR H3 variants that are  
 696 derived from IGHV3-53/3-66 RBD antibodies that use IGKV1-9. Black: CDR H3 variants that are  
 697 derived from IGHV3-53/3-66 RBD antibodies that do not use IGKV1-9. Shaded area indicates  
 698 log<sub>10</sub> enrichment in binding > 0. (b) The amino-acid length distribution of CDR H3 variants that  
 699 are positively enriched in binding (log<sub>10</sub> enrichment in binding > 0) or not (log<sub>10</sub> enrichment in  
 700 binding ≤ 0) is shown. (c) Sequence logos are shown for CDR H3 variants with 9 aa (Kabat  
 701 numbering) that are positively enriched or not enriched. (d) Comparison of log<sub>10</sub> enrichment in

702 binding for CDR H3 variants from IGHV3-53/3-66 RBD antibodies that use IGKV1-9 and those  
703 that do not use IGKV1-9. **(e)** Comparison of  $\log_{10}$  enrichment in expression for CDR H3 variants  
704 from IGHV3-53/3-66 RBD antibodies that use IGKV1-9 and those that do not use IGKV1-9. **(d-e)**  
705 Student's t-test was used to compute the p-value.



706

707 **Figure 5. Y58F is a signature somatic hypermutation in IGHV3-53/3-66 RBD antibodies with**

708 **a short CDR H3. (a)** IGHV3-53/3-66 RBD antibodies are categorized based on their CDR H3

709 length (Kabat numbering). Occurrence frequencies of individual somatic hypermutations in

710 different categories were quantified and shown as a heatmap. **(b)** Both Y58 and F58 variants

711 were constructed for four IGHV3-53 antibodies. Binding affinity ( $K_D$ ) of each of these antibodies

712 as Fab format to SARS-CoV-2 RBD was measured by biolayer interferometry with RBD loaded

713 on the biosensor and Fab in solution. Y-axis represents the response. Dissociation constants ( $K_D$ )

714 for the Fabs were obtained using a 1:1 binding model. Of note, the WTs of COV107-23, COVD21-

715 C8, and CC12.3 contain F58, whereas the WT of COVA2-20 contains Y58.