

DEEPDRIM: A DEEP NEURAL NETWORK TO RECONSTRUCT CELL-TYPE-SPECIFIC GENE REGULATORY NETWORK USING SINGLE-CELL RNA-SEQ DATA

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ABSTRACT. Single-cell RNA sequencing is used to capture cell-specific gene expression, thus allowing reconstruction of gene regulatory networks. The existing algorithms struggle to deal with dropouts and cellular heterogeneity, and commonly require pseudotime-ordered cells. Here, we describe DeepDRIM a supervised deep neural network that represents gene pair joint expression as images and considers the neighborhood context to eliminate the transitive interactions. DeepDRIM yields significantly better performance than the other nine algorithms used on the eight cell lines tested, and can be used to successfully discriminate key functional modules between patients with mild and severe symptoms of coronavirus disease 2019 (COVID-19).

Keywords. single-cell RNA sequencing, gene regulatory network, deep neural network, transitive interactions

BACKGROUND

Reconstruction of gene regulatory networks (GRNs) is critical to understand the mechanisms of synergic gene effects and context-specific transcriptional dynamics. High-throughput technologies such as chromatin immunoprecipitation (ChIP)-chip and ChIP-seq can directly capture the transcription factor (TF) binding sites of targeted genes; however, these techniques are costly and TF-specific, and are therefore unsuitable for use on a whole-genome scale [45]. As a consequential observation, the fact that the co-expression of TFs and their target genes has been adopted to reconstruct GRNs [22, 17, 32, 26] as a means of reverse engineering. In the last two decades, microarrays and bulk RNA sequencing (RNA-seq) have been the two mainstream technologies used to capture gene expression profiles from diverse tissues. Both techniques have been widely applied to identify differentially expressed genes and reconstruct GRNs [43, 25]. However, microarrays and RNA-seq inappropriately assume that gene expression is homogeneous among cells and ignore cellular heterogeneity. Indeed, tissue consists of a diverse range of cell types with distinct GRNs [20] and biological functions [7]. Several studies have sought to reconstruct GRNs using bulk gene expression data [24, 53], but the cell-type-specific GRNs remain largely unexplored. Single-cell RNA sequencing (scRNA-seq) offers an opportunity to capture cell-specific gene expression, which in turn could provide deeper insights into the cellular heterogeneity and cell-type-specific gene activities[51].

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33 Most of the available algorithms for GRN reconstruction are designed for bulk gene expression,
34 and function by resolving two computational challenges. In this context, unique difficulties arise if
35 scRNA-seq data are adopted instead. First, putative TF-gene interactions are derived by examining
36 their co-expression. Bulk gene expression data are commonly normalized to a standard Gaussian
37 distribution, such that the TF-gene correlation can be quantified by methods such as mutual infor-
38 mation (MI) [37], Pearson correlation coefficient (PCC) [48, 40]. The scRNA-seq gene expression
39 data are zero-inflated due to the imbalanced transcript sampling. Although it is possible to impute
40 zero entries before calculating the TF-gene co-expression, this may introduce unpredictable noise
41 and bias [4], given that most of the imputation algorithms make use of gene-gene co-expression.
42 Second, the TF-gene pairs with strong co-expression due to transitive interactions (e.g., those
43 bridged by one or more intermediate genes) should be eliminated (Additional file 1: Figure S1).
44 Several strategies have been designed to remove these transitive interactions by conditioning on
45 the other confounding genes; examples include the Gaussian graphical model [3], conditional MI
46 [62], context-based normalization and edge removal [17], and tree-based ensemble methods [26].
47 Unfortunately, these algorithms were originally developed to analyze bulk gene expression data,
48 and are unsuitable for modeling scRNA-seq data [10]. Many algorithms have recently been pro-
49 posed to cater for the unique characteristics of scRNA-seq for GRN reconstruction. SCODE [38]
50 infers cell-specific pseudo-time and reconstructs the GRN by solving ordinary differential equations.
51 PIDC [9] adopts partial information decomposition to break down the TF-gene correlation into re-
52 dundant, synergistic, and unique effects. SINCERITIES [44] utilizes regularized linear regression
53 to infer GRNs from time-stamped scRNA-seq data by referring to temporal changes in the gene
54 expression distributions. GENIE3 [26] is a tree-based ensemble method that was initially developed
55 for bulk gene expression data. Aibar *et al.* later applied GENIE3 to reconstruct the global GRN
56 for scRNA-seq and developed AUCell to score the active gene signatures for each cell [1]. Although
57 these dedicated strategies have been designed to deal with the inherent issues in scRNA-seq data,
58 none of them yield acceptable results benchmarked by cell-type-specific ChIP-seq data, and some
59 are even close to random guessing [46].

60 CNNC [60] is a supervised deep neural network that represents the joint expression of a gene
61 pair as an image and uses convolutional neural networks (CNNs) to predict gene-gene co-expression
62 from scRNA-seq data. CNNC is robust to dropouts and can infer the interaction causalities using
63 the information from cell-type-specific ChIP-seq data. We generated synthetic GRNs and their cor-
64 responding gene expression data (**Methods** and Fig.1) to examine whether CNNC could effectively
65 distinguish direct and transitive interactions. We noted that a substantial number of the false pos-
66 itives obtained with CNNC were centered in the gene pairs with strong Pearson correlations (Fig.1
67 A).

68 Yet considering the image of the target TF-gene pair (primary image) as the only input for the
69 prediction is insufficient (Fig.1 A). Inspired by an approach named context likelihood of relatedness
70 (CLR) [17] which have been used to remove the transitive interactions by normalizing the MI of the
71 target TF-gene pairs to z-scores with their corresponding neighborhood, one can in fact consider
72 both the target TF-gene pair (primary image) and the images from the gene pairs that share one
73 gene with the target pair (neighbor images) as the input to the model (Fig.1 and 2).

74 Here we propose DeepDRIM (deep learning-based direct regulatory interaction model), a su-
75 pervised deep neural network that can reconstruct highly accurate cell-type-specific GRNs from
76 scRNA-seq data by considering both primary and neighbor images. The rationale and workflow
77 of DeepDRIM are shown in Fig.2. DeepDRIM first transforms the primary and neighbor images
78 (Fig.2 A and B) into low-dimensional embeddings using multiple convolutional layers, where their

79 embeddings are then concatenated as the input to a multiple-layer perceptron to calculate the reg-
80 ulatory confidence scores (Fig.2 C). We compared the effectiveness of DeepDRIM with PCC, MI,
81 GENIE3, and CNNC for the analysis of eight real scRNA-seq datasets. Our results demonstrated
82 that DeepDRIM yielded the best performance with respect to both the area under the receiver op-
83 erating characteristic curve (AUROC) and the area under the precision-recall curve (AUPRC), and
84 significantly outperformed CNNC (Fig.3 A-D). We also compared DeepDRIM with six effective algo-
85 rithms that were recently highlighted for reconstructing GRN on scRNA-seq data [46]. The results
86 demonstrated that DeepDRIM substantially outperformed these algorithms on the five scRNA-seq
87 datasets with the pseudotime-ordered cells (Fig.3 E-F). Further simulation demonstrated that the
88 performance of DeepDRIM could be improved by involving more neighbor images, and was robust
89 to the dropout rate, the cell number, and the size of the training set (Fig.4 A-D).

90 We applied DeepDRIM to the scRNA-seq data collected from the bronchoalveolar lavage fluid of
91 patients with mild and severe symptoms of coronavirus disease 2019 (COVID-19) [34] to discover
92 the changes in B cell-specific GRNs. As a result, we observed that a large number of differentially
93 expressed TFs (DETFs) were “activated” in patients with severe disease (Fig.5 A and B). Further-
94 more, in patients with severe COVID-19 symptoms, the functions of the target genes were enriched
95 in apoptosis, response to decreased oxygen levels, and microtubules (Fig.5 C, Fig.6 A and B), all of
96 which have been previously shown to be associated with COVID-19 [8, 57] and virus infection [18].

97

RESULTS

98 **Effectiveness of neighbor images in removing transitive interactions.**

99 We generated simulated data and attempted to train CNNC using the two types of input, one with
100 only the primary images and the other with the augmented images (combined primary and neighbor
101 images, **Methods**). We observed that the overall proportion of false positives and those due to
102 transitive interactions were remarkably decreased by 40.4% and 55.4%, when considering the neigh-
103 bor images in the model (Fig.1 B). The rationale behind this observation can be regarded as taking
104 a “normalization” on the primary image over their neighborhood to alleviate the overestimation
105 of the strength of interaction. In addition, Fig.1 C and D clearly illustrate that the consideration
106 of neighbor images will not undermine the power in predicting the direct interactions (e.g., gene
107 1 \Rightarrow gene 2 in Fig.1 C, and gene 1 \Rightarrow gene 3 in Fig.1 D). In Fig.1 E, gene 2 connects to gene 3
108 via the indirect edges gene 2 \Rightarrow gene 4 \Rightarrow gene 3. Furthermore, we noticed that the correlations
109 of both {gene 2, gene 4} ($|\text{PCC}| = 0.81$) and {gene 4, gene 3} ($|\text{PCC}| = 0.83$) were stronger than
110 the target {gene 2, gene 3} ($|\text{PCC}| = 0.67$), which provided explicit evidence that {gene 2, gene
111 3} should be marked as a false positive. By considering neighbor images, the model reduce the
112 predicted confidence score of {gene 2, gene 3} from 0.672 to 0.001, with a similar situation observed
113 in Fig.1 F. These findings consolidate the importance of considering the local neighborhood in GRN
114 construction to eliminate false positives due to transitive interactions.

115

116 **Overview of DeepDRIM.**

117 DeepDRIM is proposed to reconstruct cell-type-specific GRNs from scRNA-seq data with high pre-
118 cision and a low false positive rate. Fig.2 illustrates how DeepDRIM can be used to predict the
119 interaction between gene a and gene b . First, DeepDRIM converts the joint gene expression of
120 gene a and gene b into a two-dimensional histogram with 32 by 32 bins (primary image, Fig.2 A),
121 where the intensity of each bin refers to the number of cells falling within it. Second, DeepDRIM
122 constructs $2n + 2$ neighbor images, where the $2n$ images that refer to the n genes have top positive
123 covariance with gene a (a, i) or gene b (b, j) and the 2 images represent the self-images (a, a) and

124 (b, b). These neighbor images are given to the model to capture the neighborhood context of the
125 primary image (Fig.2 B), which provides the key information required to distinguish the direct and
126 transitive interactions. We organize the neighbor images as a tensor rather than an augmented
127 image to achieve better performance on real data (Additional file 1: Figure S2). Third, two CNNs
128 are used to process the primary image (Network A) and the neighbor image tensor (32 by 32 by
129 $2n+2$) (Network B), respectively (Fig.2 C, **Methods** and Additional file 1: Figure S3). The neural
130 networks are trained by known TF-gene interactions taken from publicly available cell-type-specific
131 ChIP-seq data. Finally, the unknown interactions are predicted by the directed edges with confi-
132 dence scores (between 0 and 1, Fig.2 D).

133

134 **DeepDRIM outperforms the existing algorithms for reconstructing cell-type-specific** 135 **GRNs.**

136 We collected the scRNA-seq datasets from eight cell lines (see **Methods** for the definitions of their
137 abbreviations) and their corresponding ChIP-seq data from two sources [46, 60] to compare Deep-
138 DRIM with the existing methods (Table 1) using TF-aware three-fold cross-validation (**Methods**).
139 We first assessed DeepDRIM with PCC, MI, CNNC, and GENIE3; GENIE3 is one of the best
140 algorithms for reconstructing GRNs on scRNA-seq [46] and bulk gene expression data [19, 36].

141 Our results demonstrate that DeepDRIM outperformed all four methods in the eight cell types,
142 and was significantly better than the second best CNNC (Fig.3 A-D, Additional file 1: Table S1
143 and S2) with respect to both AUROC (p -values $\in [1.46E - 3, 7.63E - 6]$) and AUPRC (p -values
144 $\in [3.42E - 3, 7.63E - 6]$). We also showed that DeepDRIM efficiently eliminated false positives
145 from CNNC in all the eight scRNA-seq datasets (Additional file 1: Figure S4).

146 To further evaluate the effectiveness of DeepDRIM, we collected six algorithms that have been
147 recently identified with the highest median AUPRC in synthetic networks and Boolean models from
148 BEELINE [46]. Because some of these algorithms require pseudotime-ordered cells, we selected five
149 eligible cell types (**Table 1** and found the six algorithms perform differently for each of them (Ad-
150 ditional file 1: Table S3 and S4). We compared the efficiency of DeepDRIM to these algorithms and
151 found that DeepDRIM significantly outperformed all six tested algorithms (Fig.3 E-F). DeepDRIM
152 achieved an average median AUROC of 0.789 and an AUPRC of 0.809 across the five cell types,
153 while the second best methods only achieved an AUROC of 0.591 (Additional file 1: Table S3) and
154 an AUPRC of 0.657 (Additional file 1: Table S4). The TF-specific AUROC and AUPRC are shown
155 in Additional file 2-4.

156

157 **DeepDRIM is robust to the quality of scRNA-seq data and the size of the training** 158 **set.**

159 The performance of DeepDRIM can be affected by the quality of scRNA-seq data (the dropout
160 rate and cell number), the number of involved neighbor images, and the size of the training set. To
161 evaluate the robustness of DeepDRIM toward these factors, we first selected the scRNA-seq data
162 from bone marrow-derived macrophages [2] as a template and simulated a series of scRNA-seq data
163 with a range of parameters (**Methods**). Seven scRNA-seq gene expression datasets were gener-
164 ated by subsampling the involved cell numbers (from 20 to 4,000 cells), which in turn changed the
165 resolution of both the primary and neighbor images. We found DeepDRIM to be robust to the
166 low-resolution images when the number of cells was greater than 100 (Fig.4 A). Next, we imputed
167 the dropouts in the template using MAGIC [55] and then randomly masked the entries as dropouts
168 with a range of dropout rates (**Methods**). As shown in Fig.4 B, DeepDRIM demonstrates stable
169 performance in diverse dropout configurations. Third, we compared the performance of DeepDRIM

170 by varying the number of neighbor images input into the model. As a result, we found that the
171 more neighbor images that were involved, the better the performance of DeepDRIM (Fig.4 C). In
172 practice, involving more images would be more computationally costly. In our study, we chose the
173 top 10 genes with the strongest positive covariance with the target TF or gene; thus involving a
174 total of 22 neighbor images (if not specified) to balance the two factors. In addition, to evaluate
175 the effect of the size of the training set, we subsampled 20%, 40%, 60%, 80%, and 100% of the
176 benchmarked TF-gene pairs for training. Our results revealed that the size of the training set did
177 not significantly affect the performance of DeepDRIM (Fig.4 D), and almost reached a plateau
178 when 40% of the training set (including 20,101 TF-gene pairs) was applied.

179

180 **Uncovering the variation of B cell-specific GRNs between the patients with mild and** 181 **severe COVID-19.**

182 Patients diagnosed with COVID-19 can have mild or severe acute respiratory distress syndrome,
183 although the underlying molecular mechanisms responsible for these differences remain unknown.
184 We performed a case study to elucidate the differences in B cell-specific GRNs between the patients
185 with mild and severe COVID-19, because the immune responses have been reported to be distinct
186 between the two situations [6]. To this end, we downloaded scRNA-seq data from the bronchoalve-
187 olar lavage fluid of six patients with severe symptoms, three patients with mild symptoms, and
188 three healthy controls [34]. The cell type clusters were obtained by SC3[30] and the one belonged
189 to B cells was recognized according to the marker genes provided by the original paper [34]. We
190 extracted validated TF-gene pairs in B cells from the Gene Transcription Regulation Database [58]
191 as the positive pairs, and combined them with the negative pairs from the same TFs and the gene
192 expression from the healthy controls as the training set (**Methods**).

193 We observed a clear difference in the GRNs between the two types of patients, and also found
194 that the target genes of the DETFs were highly correlated with severe acute respiratory syndrome
195 coronavirus 2 (SARS-CoV-2) infection. First, we observed that DETFs had significantly more
196 targets (p -values = $8.50E - 4$, Wilcoxon rank sum test) in the patients with severe symptoms, sug-
197 gesting that these DETFs are more “active” in working with their target genes (**Methods** and Fig.5
198 A-B). Indeed, the DETFs in the patients with severe symptoms had 1.9 times more targets with
199 high confidence (confidence scores $\in [0.967, 1]$; the last bar in Fig.5 A) than the patients with mild
200 symptoms. Next, we focused on the GRNs of DETFs that were unique to the patients with severe
201 symptoms (Fig.5 C, Fig.6 A and B). The informative target genes were selected based on the follow-
202 ing two criteria: 1. They should belong to the top 5,000 genes with the highest expression variance
203 in B cells; and 2. they should be ranked in the top 0.1% of the confidence scores of the patients
204 with severe symptoms. The eligible genes were annotated with PageRank scores [21] (**Methods**
205 and Additional file 5) and gene ontology (GO) modules by gene set enrichment analysis (GSEA)
206 [59](**Methods** and Additional file 6). We identified four GO modules that were associated with
207 two common symptoms in patients with COVID-19, hypoxemia and lymphopenia (Fig.5 C, Fig.6
208 A): 1. response to decreased oxygen levels (GO:0036293; *PMAIP1*, *CASP3*, *PSMB3*, *CCNB1*,
209 p -values= $4.80E - 3$); 2. DNA damage response (GO:0030330; *PMAIP1*, *CCNB1*, *RPS27L*, p -
210 values= $1.51E - 2$); 3. negative regulation of the mitotic cell cycle (GO:0045930; *PSMB3*, *CCNB1*,
211 *RPS27L*, p -values= $1.22E - 2$); and 4. the intrinsic apoptotic signaling pathway (GO:0097193;
212 *PMAIP1*, *CASP3*, *RPS27L*, p -values= $6.29E - 3$). The patients were reported to have low oxy-
213 gen levels or hypoxemia without dyspnea [54, 15], both of which were strongly correlated with
214 the GO modules “response to decreased oxygen level” and associated with “the intrinsic apoptotic

215 signaling pathway” [50]. Cao et al. [8] reported that genes related to apoptosis could lead to lym-
216 phopenia in patients with COVID-19. Xiong et al. [57] identified differentially expressed genes in
217 peripheral blood mononuclear cells of patients with COVID-19 and healthy controls. These genes
218 were enriched in apoptosis and p53 signaling pathways, both of which could lead to lymphopenia.
219 Among the genes in these four GO modules, *PMAIP1* [28, 47], *CASP3* [16, 35], *PSMB3* [56],
220 and *CCNB1* [57] have been reported to be associated with COVID-19 individually (Additional file
221 1: Table S5).

222 In addition to these main findings, we also noted that there were four genes with top PageRank
223 scores in the patients with severe symptoms in which unique GRNs could be related to SARS-
224 CoV-2 infection. Three of them (*DYNLRB1*, *HNRNPU*, and *CCNB1*) belong to GO:0005815
225 (microtubule organizing center, p -values= $5.33E-3$), which has been reported to be a major facili-
226 tator of virus infection [18] due to its ability to provide invading pathogens with directed transport
227 (Fig.6 B). The other gene *DNMT1* is related to *ACE2* [49], which is a known co-receptor for the
228 SARS-CoV-2 [42].

229

DISCUSSION

230 Understanding the GRNs is fundamental to the advancement of molecular biology research. Gene
231 expression profiles from high-throughput sequencing enable computational algorithms to reconstruct
232 GRNs by examining TF-gene co-expression. Bulk RNA-seq hides the gene activities at single-cell
233 resolution and will be replaced by scRNA-seq in the near future. However, the gene expression
234 distribution from scRNA-seq data is not consistent with the assumptions made by most of the
235 existing methods, which leads to their poor performance in reconstructing GRNs on the scRNA-seq
236 data [33]. In addition, the widely spread dropouts cause bias in calculating gene-gene co-expression,
237 even after imputation [12].

238 In this study, we propose DeepDRIM, a supervised deep neural network, to reconstruct GRNs
239 on scRNA-seq data. Comprehensive evaluation of the performance of DeepDRIM on different
240 cell types demonstrated that it outperformed the existing algorithms designed for either bulk or
241 scRNA-seq gene expression data. It is inadvisable to calculate TF-gene interactions on scRNA-
242 seq data using classical correlation-based methods due to the ubiquitous cellular heterogeneity and
243 dropouts (Fig.3 A-D). To avoid these limitations, DeepDRIM converts the numerical representation
244 of TF-gene expression to an image and applies a CNN to embed it into a lower dimension. This
245 strategy also avoids data normalization and does not presume any distribution. DeepDRIM requires
246 validated TF-gene pairs for use as a training set to highlight the key areas in the embedding space
247 that can distinguish the direct interactions and false positives.

248 We trained and tested DeepDRIM using data from the same cell type. As there is sometimes
249 an insufficient number of cells or validated TF-gene pairs in the training set, we were interested in
250 training the model using one cell type and then applying it to another. We trained DeepDRIM using
251 bone marrow-derived macrophages and then applied it to mESC(1) and vice versa (Additional file
252 1: Figure S5). The results suggest that it is necessary to apply DeepDRIM to matched cell types
253 in training and test sets; thus, ideas such as transfer learning between cell types are not applicable
254 to this supervised model.

255 The neighborhood context of the target TF-gene pairs has been widely applied to remove false
256 positives in GRN reconstruction from bulk gene expression data via z-score normalization [17],
257 conditional MI [61, 62], and graphical lasso [13]. However, these methods commonly assume that
258 the gene expression profiles follow a Gaussian distribution, which violates our observation in scRNA-
259 seq data. Most of the existing algorithms designed for scRNA-seq are unsupervised and require

260 pseudotime-ordered cells, making them inapplicable to bone marrow-derived macrophages, dendritic
261 cells, and mESC(1), as illustrated in Table 1. DeepDRIM uses the neighborhood context with
262 respect to neighbor images, and consists of two parts: 1) images from the genes that positively
263 correlate with the TF or gene from the target pair, and 2) two self-images. In the current model,
264 we adopted covariance to select the top correlated genes. Although such linear correlation is not
265 resistant to outliers and dropouts, similar method has shown its effectiveness in discovering gene-
266 gene co-expression from scRNA-seq data [5]. The two self-images can highlight the variance of
267 single gene expression.

268 DeepDRIM can not only predict the existence of TF-gene interactions, but also determine their
269 causalities. This task is not given much attention by the unsupervised algorithms, despite it being
270 an important consideration if regulatory interactions exist between two TFs. For this particular
271 task, DeepDRIM does not surpass CNNC, because CNNC only focuses on the primary image
272 and it is easier to capture the causalities by learning the regulatory directions from the validated
273 TF-gene pairs. We generated a combined model from DeepDRIM and CNNC (Additional file 1:
274 Supplementary Notes) and found that it can effectively reduce the false positives without losing
275 any accuracy in the prediction of causality (Additional file 1: Figure S6).

276 Many studies have been proposed with the aim to identify all of the cell types in the human
277 tissues, with the ultimate goal of creating a human cell atlas to facilitate interpretation of the
278 gene activities in individual cell types. DeepDRIM bridges the gap between cell types and gene
279 functions, and will serve to increase our understanding of the activities of key TFs. We believe that
280 as the cell-type-specific ChIP-seq data accumulate, DeepDRIM will attract increased attention in
281 the scRNA-seq research community, and will shed light on drug target discovery and precision
282 medicine in the future.

283 CONCLUSION

284 We propose DeepDRIM, a supervised deep neural network model, to predict GRNs from scRNA-
285 seq data. DeepDRIM converts the joint expression of a TF-gene pair into a primary image and
286 considers the neighbor images as the neighborhood context of the primary image to remove false
287 positives due to transitive interactions. DeepDRIM also utilizes the training set to capture the key
288 areas in the CNN embeddings that can recognize the TF-gene interactions and causalities. Our
289 findings demonstrate that DeepDRIM outperforms nine existing algorithms on the eight cell types
290 tested and is robust to the quality of scRNA-seq data. DeepDRIM can also identify the GRNs of
291 B cells that are different between patients with mild and severe COVID-19 symptoms. We believe
292 that DeepDRIM can fill the gaps in reconstructing cell-type-specific GRNs on scRNA-seq data and
293 contributes to the rapidly growing single-cell research community.

294 METHODS

295 Representation of gene pair joint expression.

296 The scRNA-seq gene expression profiles are represented as a two-dimensional matrix M , where
297 $M_{g,c}$ represents the expression of gene g in cell c . We added a small pseudo-count to $M_{g,c}$ to avoid
298 empty entries before applying log-normalization:

$$(1) \quad \log M_{g,c} = \log_{10}(M_{g,c} + 10^{-2}).$$

299 The joint histogram of genes i and j ($H_{i,j}$) is generated by splitting $\log M_{i,-}$ and $\log M_{j,-}$ (“-”:
300 across all of the cells) into 32 bins, respectively. The value of each bin is derived from the number

301 of cells that falls in the corresponding slot; this value is further log-normalized to avoid extreme
302 values:

$$(2) \quad \log H_{i,j} = \log_{10}(H_{i,j}/\Sigma(H_{i,j}) + 10^{-4})/4 + 1$$

303 We generated an image ($I_{i,j}$) for genes i and j of 32 by 32 pixels, where the intensity of each pixel
304 is the corresponding value in $\log H_{i,j}$. DeepDRIM requires two image sets to predict the direct
305 interaction between genes i and j , namely 1. the primary image $I_{i,j}$ and 2. the neighbor images. The
306 neighbor images consist of 1. $\{I_{i,p_1}, \dots, I_{i,p_n}, I_{j,q_1}, \dots, I_{j,q_n}\}$, where (p_1, p_2, \dots, p_n) and (q_1, q_2, \dots, q_n)
307 are the top n genes that have strong positive covariance with gene i and gene j , respectively; and
308 2. two self-images $I_{i,i}$ and $I_{j,j}$. The default value of n was 10 in the experiments.

309

310 **Network structure of DeepDRIM.**

311 The network structure of DeepDRIM consists of two components, Network A and Network B, which
312 process the primary and neighbor images, respectively (Fig.2 C and Additional file 1: Figure S3).
313 Network A is inspired by VGGnet [52], which contains the stacked convolutional and maxpooling
314 layers, and uses the rectified linear activation function (*ReLU*) as the activation function. The
315 structure of Network B is similar to that of Network A, and is a siamese-like neural network, where
316 the weights are shared among all of the subnetworks. Each image is embedded into a vector of size
317 512, and a total of $2n + 3$ images (1 primary image and $2n + 2$ neighbor images) are converted
318 into a vector of size $512 \times (2n + 3)$. This vector is then condensed by two stacked fully connected
319 layers, and is processed for binary classification using the sigmoid function. Moreover, DeepDRIM
320 is trained by mini-batched stochastic gradient descent.

321

322 **Simulation of scRNA-seq data to examine the effect of neighbor images.**

323 We simulated 2,500 small datasets, each with 4 genes and 1,000 cells. The ground truth network for
324 each dataset was represented by a sparse precision matrix Θ , where each entry had a 50% chance
325 of being non-zero and drawn from $[-1, -0.25] \cup [0.25, 1]$, or otherwise was assigned zero. We sim-
326 ulated the gene expression profiles from a multivariate normal distribution $N(0, \Theta^{-1})$ [14]. Next,
327 we randomly chose two gene pairs from each dataset, one involving a direct interaction ($\Theta_{i,j} \neq 0$)
328 as a positive case, and the other involving an independent pair ($\Theta_{i,j} = 0$) as a negative case. For
329 each case, we prepared two types of images, a primary image of 32 by 32 pixels, and an augmented
330 image by concatenating the primary and six neighbor images (Fig.1 C–F) of 96 by 96 pixels. We
331 generated two training sets with 5,000 primary and 5,000 augmented images, respectively. These
332 images were used to train CNNC and the performance was evaluated using the AUROC from the
333 five-fold cross-validation.

334

335 **scRNA-seq data from eight cell lines.**

336 We prepared the real scRNA-seq data from eight cell lines and the corresponding cell-type-specific
337 ChIP-seq data as the benchmarks (Table 1) to compare DeepDRIM with the existing algorithms for
338 GRN reconstruction. The eight cell lines comprised bone marrow-derived macrophages [2], dendritic
339 cells [2], IB10 mouse embryonic stem cells (mESC(1)) [31], human embryonic stem cells (hESC) [11],
340 and 5G6GR mouse embryonic stem cells (mESC(2)) [23], as well as three mouse hematopoietic stem
341 cell lines [41] of erythroid lineage (mHSC(E)), granulocyte-macrophage lineage (mHSC(GM)), and
342 lymphoid lineage (mHSC(L)). All scRNA-seq data were pre-processed and normalized according to
343 the descriptions in [46, 60]. In practice, GENIE3 is slow if too many genes or cells are involved;
344 thus, we removed the less informative cells and genes using the strategies described in [1].

345 We extracted the validated TF targets from the ChIP-seq data as positive cases, and the same
346 number of non-validated targets as negative cases. As training sets that are too large and are
347 computationally insolvable in terms of generating images, we randomly selected 18 TFs and their
348 validated targets as positive cases in the training data for hESC, mESC(2), mHSC(E), mHSC(GM),
349 and mHSC(L) to alleviate the computational burden (Table 1).

350 To improve the performance of the unsupervised methods in Fig.3 E-F, only the overlap between
351 top-varying 500 genes and the TFs/genes in the training set were selected from the scRNA-seq data
352 of hESC, mESC(2), mHSC(E), mHSC(GM) and mHSC(L). In cross-validation, We trained CNNC
353 and DeepDRIM using 2/3 TF-gene pairs in the training set and evaluated their performance on
354 the overlap between the TFs/genes in the remaining 1/3 test set and top-varying 500 genes. This
355 could guarantee all the supervised and unsupervised were evaluated on the same TF-gene pairs.

356
357

358 **Comparison of DeepDRIM to existing algorithms for GRN reconstruction.**

359 We compared DeepDRIM with the nine existing algorithms using their default parameters. The
360 nine algorithms were PCC, MI, CNNC [60], PIDC [9], GENIE3[26], GRNBOOST2 [39], SCODE
361 [38], PPCOR [29], and SINCERITIES [44]. With the exception of PCC, MI, and CNNC, the other
362 six methods were performed using the interfaces provided by BEELINE [46]. The AUROC and
363 AUPRC for each TF were collected to calculate the p -values between two algorithms using the
364 Wilcoxon signed rank test. Given that CNNC and DeepDRIM are supervised models, the TFs
365 from the ChIP-seq data were divided into three independent parts for cross-validation (Additional
366 file 1: Supplementary Note).

367
368

368 **Simulation of scRNA-seq data to evaluate robustness.**

369 The simulated datasets were transferred from the scRNA-seq of bone marrow-derived macrophages
370 [2] to preserve the characteristics of scRNA-seq data. We simulated gene expression profiles with
371 various cell numbers and sizes of training sets via sub-sampling from the total 6,283 cells and 50,254
372 validated TF-gene pairs from the ChIP-seq data. We applied MAGIC [55] to impute the missing
373 values in the raw gene expression matrix, and subsequently masked the corresponding entries ac-
374 cording to the “dropout step” in BoolODE [46]. BoolODE has two parameters, $drop - probability$
375 and $drop - cutoff$, which are used to control the number of entries to be masked. The entries have
376 a probability of $drop - probability$ to be masked if their gene expression values are at the bottom
377 $drop - cutoff$. We set the $drop - probability = 0.3, 0.5$ and the $drop - cutoff = 0$ to 0.9.

378
379

379 **Generation of validated TF-gene pairs for B cells in patients with COVID-19.**

380 We extracted the ChIP-seq data with the keyword “human B cell” in the Gene Transcription Reg-
381 ulation Database [58] and determined the TF target genes as those with high confidence peaks
382 (p -value $< 1E - 8$) in the promoter regions of these genes. The promoter regions were defined
383 as the 10 kb upstream and 1 kb downstream regions of the transcript start sites. To generate a
384 balanced training set, we extracted an equal number of negative pairs by randomly selecting the
385 non-target genes of the selected TFs.

386
387

387 **Identification of differentially expressed TFs.**

388 We applied SCDE [27] to determine the differentially expressed TFs if the expression fold changes
389 > 2 or < 0.5 , and the p -values to be $< 1E - 11$ after multiple testing correction.

390

391 **Gene PageRank score and functional annotation.**

392 We calculated gene PageRank scores using “networkx” [21] (Additional file 5) and applied GSEA
393 to annotate the enriched GO modules with p -value < 0.05[59]. The genes were ordered by their
394 PageRank scores in GSEA analysis.

395 APPENDIX

396 **Funding.**

397 This research is partially supported by Hong Kong Research Grant Council Early Career Scheme
398 (HKBU 22201419), HKBU Start-up Grant Tier 2(RC-SGT2/19-20/SCI/007), HKBU’s Interdisci-
399 plinary Research Clusters Matching Scheme (IRCRC/IRCS/17-18/04) and Guangdong Basic and
400 Applied Basic Research Foundation (2019A1515011046). XZ is partially supported by Vanderbilt
401 university development funds (*FF_300033*).

402
403 **Availability of data and materials.**

404 DeepDRIM is available at <https://github.com/jiaxchen2-c/DeepDRIM>. Gene expression and ChIP-
405 Seq data of bone marrow-derived macrophages, dendritic cells, mESC(1) are available at <https://github.com/xiaoyey>
406 Gene expression and ChIP-Seq data of hESC, mESC(2), mHSC(E), mHSC(GM), mHSC(L) are
407 available at <https://doi.org/10.5281/zenodo.3378975>. Gene expression profiles from the bron-
408 choalveolar lavage fluid of COVID-19 patients and healthy controls are available at GSE145926.

409
410 **Authors’ contributions.**

411 LZ, WKC conceived the study; LZ, JXC designed DeepDRIM; JXC implemented the algorithm and
412 analyzed the results. JXC, CWC conducted the experiments. JXC, LZ, WKC wrote the article.
413 JML, APL and ZX reviewed the paper. All authors read and approved the final manuscript.

414
415 **Competing interests.**

416 The authors declare that they have no competing interests.

417
418 **Acknowledgements.**

419 We also thank Research Grants Council of Hong Kong, Hong Kong Baptist University and HKBU
420 Research Committee for their kind support of this project.

421
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584

FIGURES

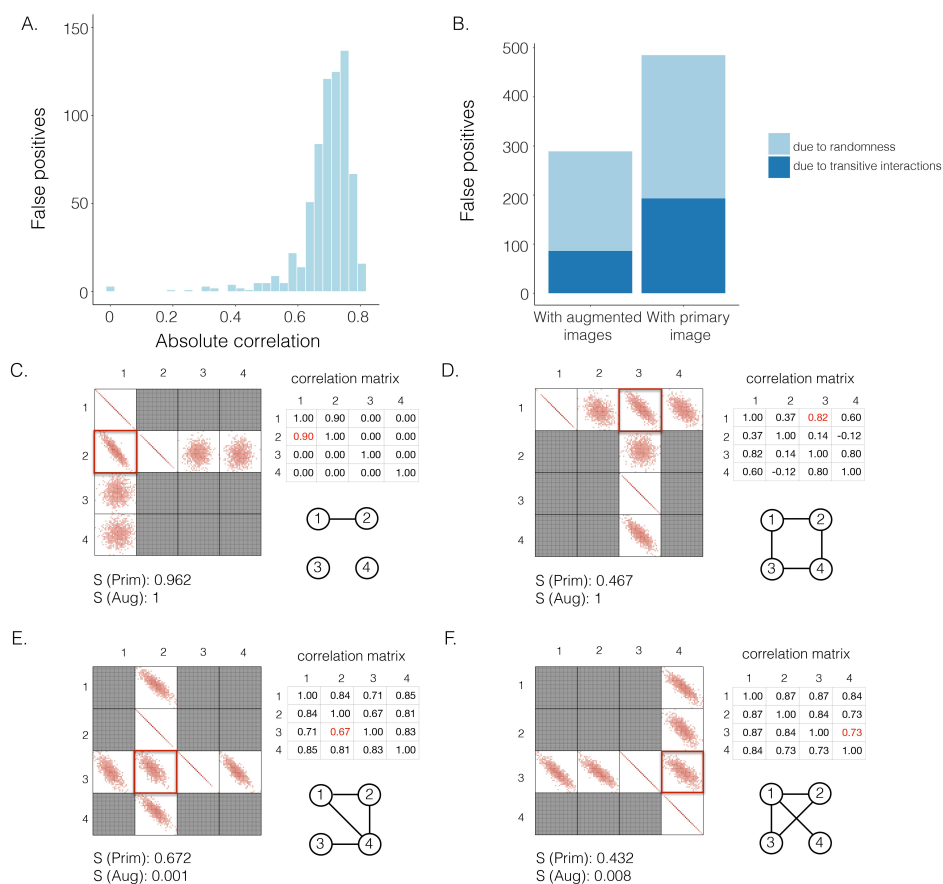
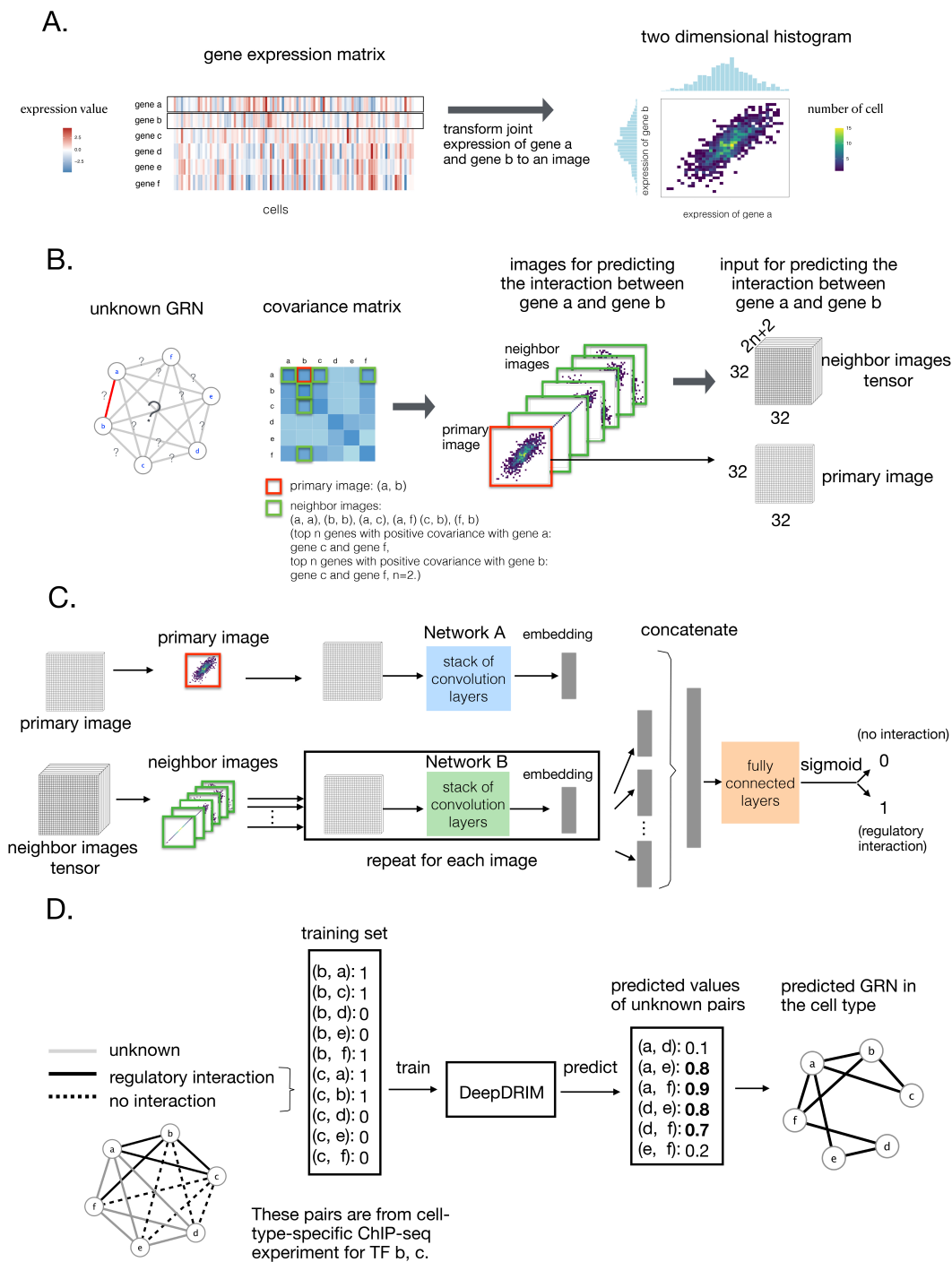


FIG. 1. The effectiveness of neighbor images in reconstructing GRN on the simulated data. **A.** The distribution of false positives from CNNC. **B.** The false positives of the two models with primary (*Prim*) and augmented (*Aug*) images as inputs due to randomness and transitive interactions. **C** and **D.** Two examples that demonstrate both of the models can correctly identify the direct interactions (**C**: $g_1 \Rightarrow g_2$, **D**: $g_1 \Rightarrow g_3$). **E** and **F.** Two examples that demonstrate the model trained by augmented images can recognize and eliminate the false positives caused by the transitive edges (**E**: $g_2 \Rightarrow g_3$, **F**: $g_3 \Rightarrow g_4$). The primary images are highlighted in the red squares, and $S(\cdot)$ denotes the confidence scores from the models with primary ($S(Prim)$) or augmented images ($S(Aug)$) as input. The correlation matrices and absolute correlation are calculated by Pearson correlation coefficient.



partially known GRN in the cell type

FIG. 2. Overview of DeepDRIM. **A.** Representation of the joint gene expression of gene a and gene b as a primary image. **B.** The $2n + 2$ neighbor images are generated from the genes with strong positive covariance with gene a or gene b. **C.** The network architecture of DeepDRIM, including Network A and Network B, which are two stacked convolutional embedding structures designed to process the primary and neighbor images, respectively. Detailed network structures are shown in Additional file 1: Figure S3. **D.** An example for the prediction of a cell-type-specific GRN using DeepDRIM.

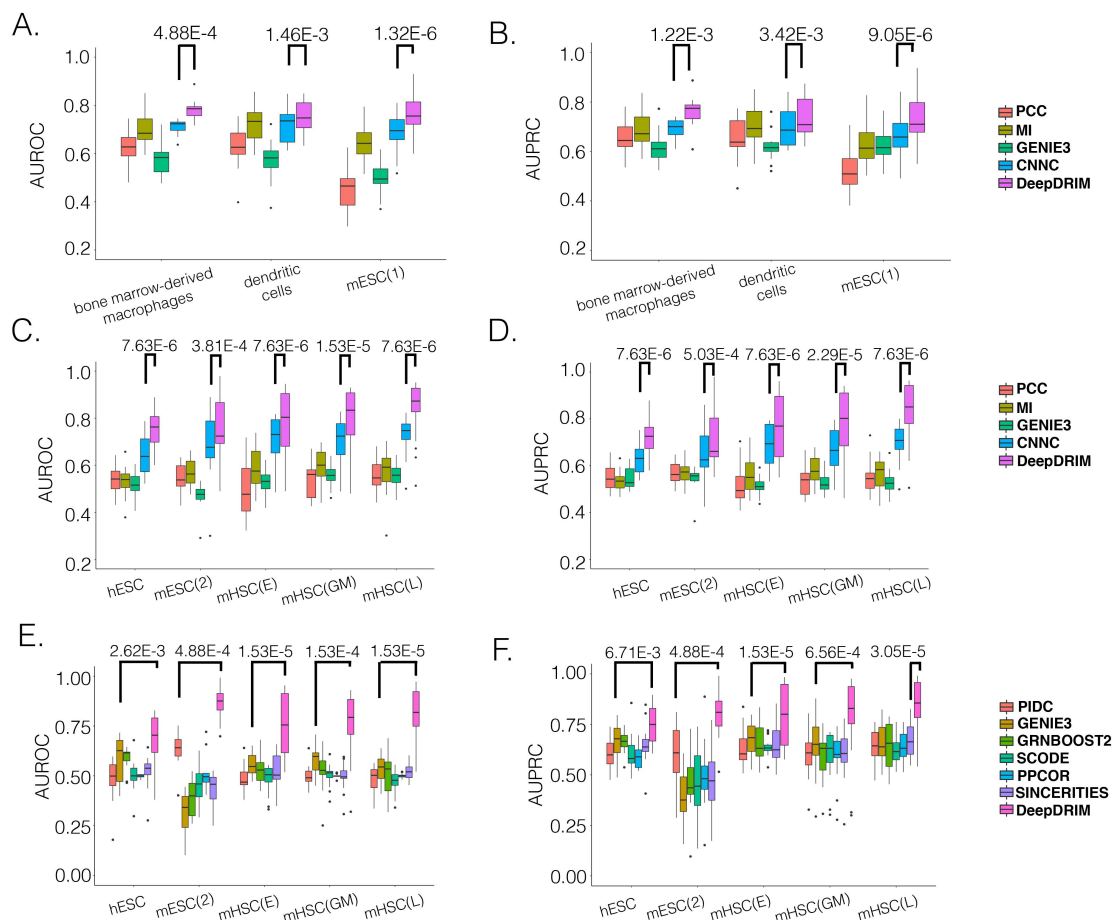


FIG. 3. Comparison of DeepDRIM with the existing algorithms for GRN reconstruction on the scRNA-seq data from eight cell lines. **A**, **B**, **C** and **D**: p -values were calculated between CNNC and DeepDRIM. **E** and **F**: The p -values were calculated between DeepDRIM (the best performer) and the second best algorithms (Additional file 1: Table S3 and S4).

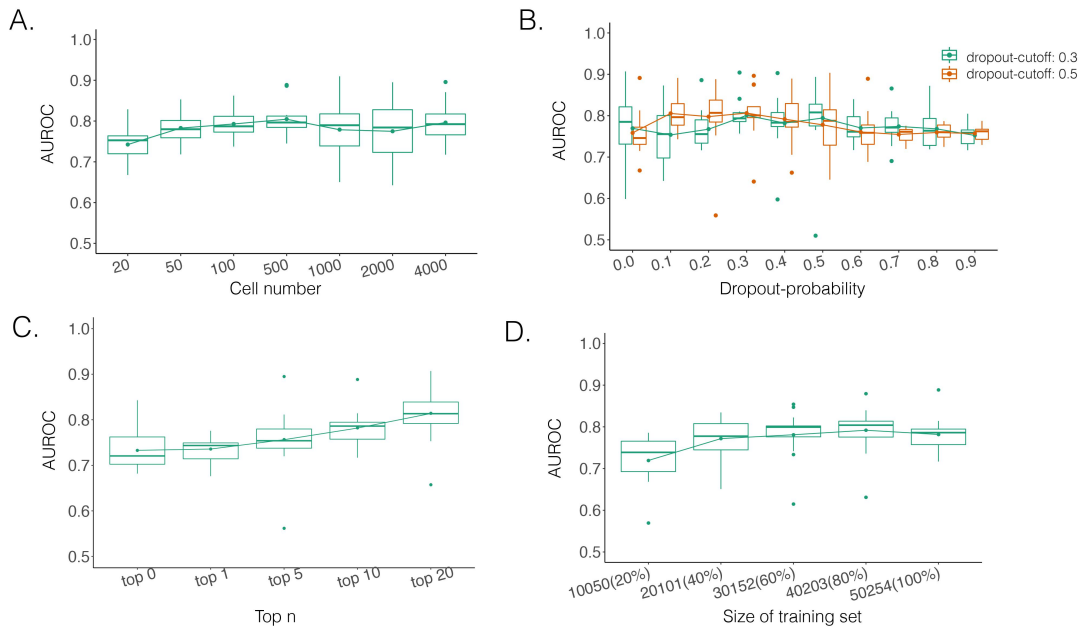


FIG. 4. Performance of DeepDRIM with a wide range of the qualities of scRNA-seq data (cell numbers and dropout rates), the number of involved neighbor images, and the size of training set.

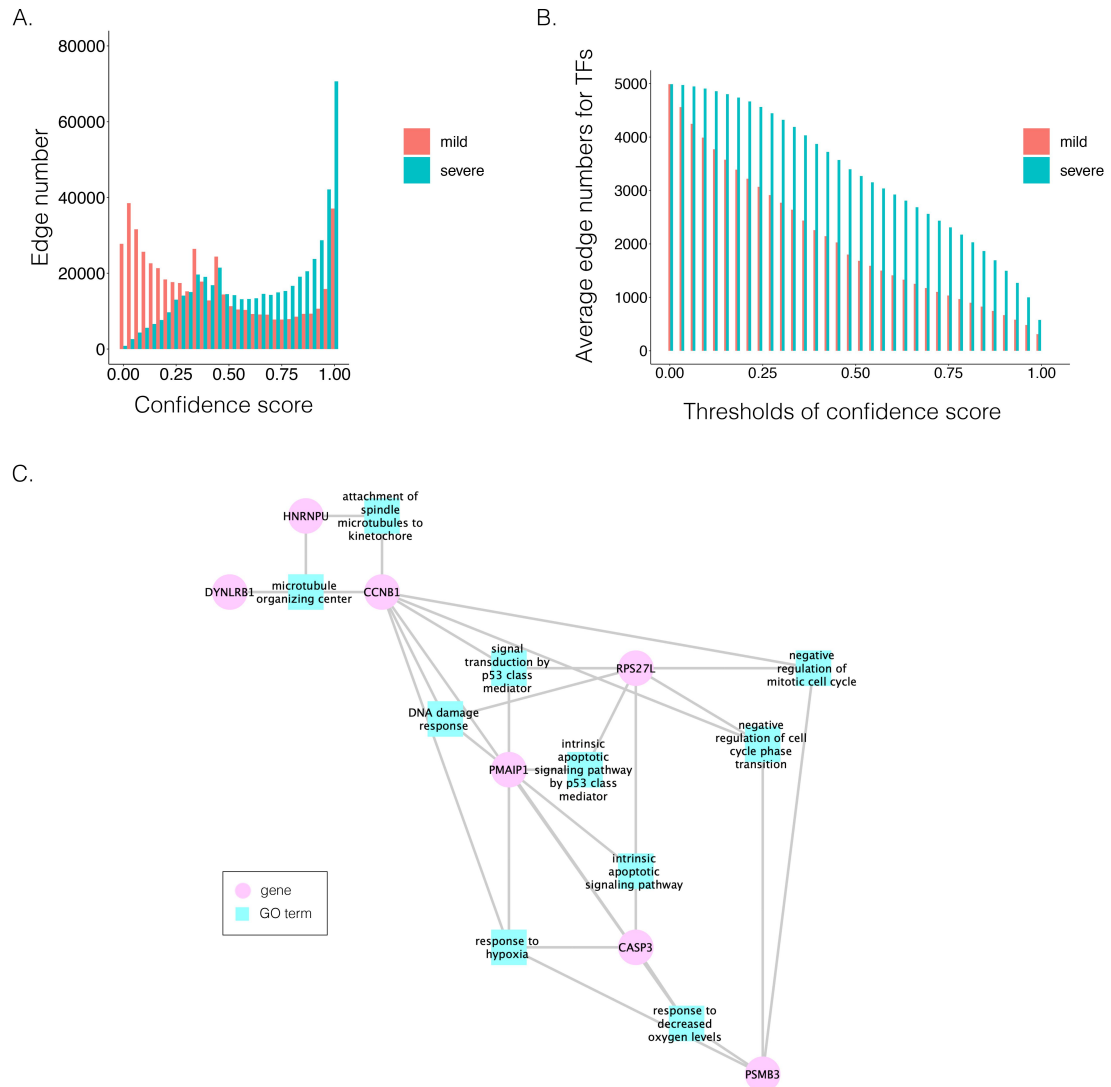


FIG. 5. Comparison of B cell-specific gene regulatory networks (GRNs) for patients with mild and severe COVID-19. **A.** The distribution of the confidence scores of the differentially expressed transcription factors and their target genes. **B.** The average target numbers of DETFs given different confidence score thresholds. **C.** The GO modules and the involved key transcription factors/genes related to COVID-19 symptoms.

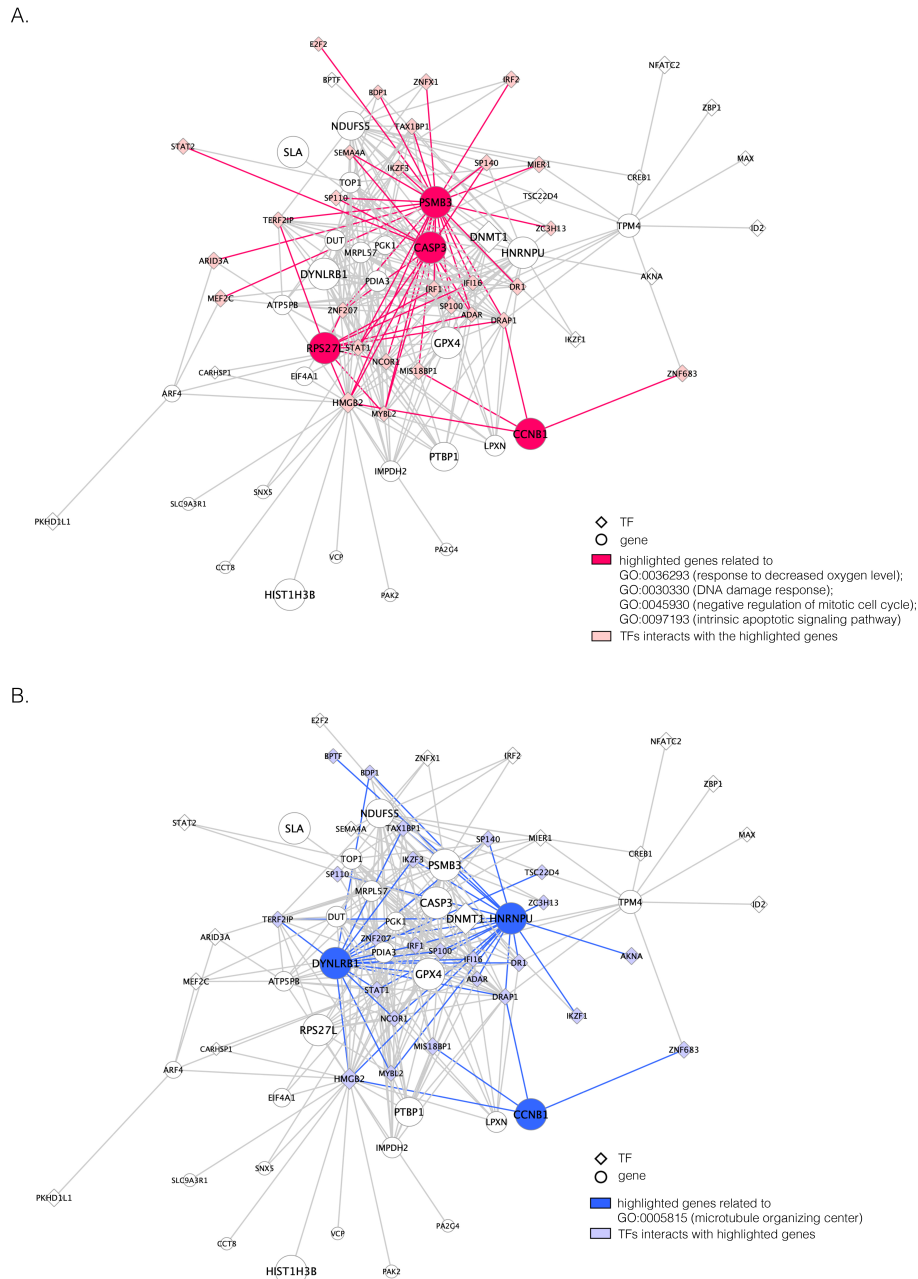


FIG. 6. The unique GRNs of DETFs from the patients with severe COVID-19. **A.** GRNs related to: response to a decreased oxygen level (GO:0036293); DNA damage response (GO:0030330); negative regulation of the mitotic cell cycle (GO:0045930); and the intrinsic apoptotic signaling pathway (GO:0097193). **B.** GRNs related to the microtubule organizing center (GO:0005815). The edges are shown if their absolute Pearson correlation coefficients larger than 0.4. DETFs: differentially expressed transcription factors.

TABLES

TABLE 1. scRNA-seq datasets from the eight cell lines used in the experiments.

Cell lines	Genes	Cells	Size of training set	Number of TFs	Pseudo-time
Bone marrow-derived macrophages [2]	20,463	6,283	50,254	13	N
Dendritic cells [2]	20,463	4,126	28,046	16	N
mESC(1) [31]	24,175	2,717	154,931	38	N
hESC [11]	17,735	758	100,720	18	Y
mESC(2) [23]	18,385	421	94,332	18	Y
mHSC(E) [41]	4,762	1,071	49,114	18	Y
mHSC(GM) [41]	4,762	889	43,712	18	Y
mHSC(L) [41]	4,762	847	48,884	18	Y

mESC(1): IB10 mouse embryonic stem cells, hESC: human embryonic stem cells, mESC(2): 5G6GR mouse embryonic stem cells, mHSC(E), mHSC(GM) and mHSC(L): three mouse hematopoietic stem cell lines of erythroid lineage, granulocyte-macrophage lineage, and lymphoid lineage.

586

ADDITIONAL FILES

587 Additional file 1 — Supplementary Note, Supplementary Figures and Supplementary Tables.
588 Additional file 2 — AUROCs and AUPRCs of PCC, MI, GENIE3, CNNC, and DeepDRIM for each
589 TF on bone marrow-derived macrophages, dendritic cells, and mESC(1).
590 Additional file 3 — AUROCs and AUPRCs of PCC, MI, GENIE3, CNNC, and DeepDRIM for each
591 TF on hESC, mESC(2), mHSC(E), mHSC(GM), and mHSC(L).
592 Additional file 4 — AUROCs and AUPRCs of PIDC, GENIE3, GRNBOOST2, SCODE, PPCOR,
593 SINCERITIES, and DeepDRIM for each TF on hESC, mESC(2), mHSC(E), mHSC(GM), and
594 mHSC(L).
595 Additional file 5 — PageRank scores, degree and betweenness of the genes in the GRNs from the
596 patients with severe COVID-19.
597 Additional file 6 — GO annotation for the genes in the GRNS from the patients with severe
598 COVID-19.