1	Individuals at risk for developing rheumatoid arthritis harbor differential intestinal		
2	bacteriophage communities with distinct metabolic potential		
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## 27 SUMMARY

28 Rheumatoid arthritis (RA) is an autoimmune disease characterized in seropositive individuals by 29 the presence of anti-cyclic citrullinated protein (CCP) antibodies. RA is linked to the intestinal 30 microbiota, yet the association of microbes with CCP serology and their contribution to RA is 31 unclear. We describe intestinal phage communities of individuals at risk for developing RA, with 32 or without anti-CCP antibodies, whose first degree relatives have been diagnosed with RA. We 33 show that at-risk individuals harbor intestinal phage compositions that diverge based on CCP 34 serology, are dominated by Lachnospiraceae phages, and originate from disparate ecosystems. 35 These phages encode unique repertoires of auxiliary metabolic genes (AMGs) which associate 36 with anti-CCP status, suggesting that these phages directly influence the metabolic and 37 immunomodulatory capability of the microbiota. This work sets the stage for the use of phages 38 as preclinical biomarkers and provides insight into a possible microbial-based causation of RA 39 disease development. 40 41 42 43 44 45 46 47 48 49 50 **KEYWORDS** 51 Bacteriophages, rheumatoid arthritis, autoimmune disease, microbiome, phage-host interaction,

52 phage-host metabolism

#### 53 INTRODUCTION

54 Rheumatoid arthritis (RA) is a systemic autoimmune disease with a global prevalence of 55 approximately 1%. The development of RA in at-risk individuals is dependent on a combination 56 of genetics, epidemiological factors, and systemic immune dysregulation [1]. The heritability of 57 RA is estimated to be 40-60%, with increased familial risk evident among first-degree relatives (FDRs) of individuals with diagnosed RA [2, 3]. Analyses of at-risk FDRs, even those without 58 59 serum RA-related autoantibodies, have identified patterns of mucosal inflammation whereby 60 anti-cyclic citrullinated peptide (anti-CCP) antibodies and rheumatoid factors (RF), as well as 61 cytokines and chemokines, are expressed locally in a subset of individuals [4-6]. In addition, 62 anti-CCP and RF are present in the blood for years prior to the onset of RA, and their presence 63 as well as circulating cytokine and chemokine biomarkers, are predictive of future RA 64 development [7-9]. To probe the mucosal origins hypothesis [1] and the mounting evidence 65 implicating intestinal microbiota perturbations in RA etiopathogenesis [10], it is necessary to 66 characterize the ecological associations of the microbiota in at-risk individuals susceptible to 67 RA.

68 Studies linking the role of the intestinal microbiota to systemic autoimmune diseases 69 predominantly rely on 16S ribosomal gene analyses of bacteria within the microbiome, and have 70 expanded our understanding of dysbiosis in the RA intestine. Individuals with established RA 71 harbor a microbiota dominated by Prevotella copri [11, 12], enriched with Gram-positive bacteria 72 [13], and decreased carriage of bifidobacteria [14], Gram-negative Bacteroides, and Firmicutes 73 [13, 15]. The association of enriched Prevotellaceae, including P. copri, has also been 74 described in individuals with preclinical RA [16], indicating that intestinal P. copri is immune-75 relevant to the pathogenesis of RA [17]. The presence of P. copri may therefore represent a 76 biological indicator and additional risk factor for RA development and progression [18]. 77 However, associating a single organism to RA etiology neglects the interactions of bacteria with

their surrounding environment and other bacterial community members whose populations canbe influenced by predatory bacteriophages (phages).

80 In contrast to the recent enthusiasm for characterizing microbial links to the etiology of 81 RA, relatively little is known concerning the composition of phage communities in the intestine 82 as it relates to RA disease risk. Phages of the intestinal microbiota can fluctuate in community 83 composition in response to immune system function and disease, which suggests that they 84 could be exploited as biomarkers for early disease detection [19]. Metagenomic sequencing 85 strategies have revealed extensive and diverse populations of phages in the human intestine 86 [20-22], in which phage community dynamics correlate with distinct disease states [23-25]. Specific intestinal phage genomic signatures precede autoimmunity development of type 1 87 88 diabetes in a cohort of diabetes-susceptible children, with disease-associated phages 89 correlating to the bacterial component of the microbiota [26]. In addition to the direct impact of 90 intestinal phages on bacterial community composition via classical predation and prophage 91 mediated bacterial competition and metabolism, phages also adhere to mucosal surfaces, 92 significantly impacting microbial colonization [27] and host mucosal immunity development [28]. 93 Evidence is emerging that phages are also immunomodulatory through intrinsic anti-94 inflammatory properties, and are capable of direct lymphocyte regulation through the ability to 95 translocate to multiple tissues and organs [29]. Despite these observations and potential 96 implications for systemic autoimmune diseases like RA, evaluation of intestinal phages in the 97 context of RA disease risk has yet to be described.

The interplay between intestinal bacteria, their phages, and the host immune system, whose interactions have consequences not only for compositional dysbiosis but immunomodulation, must be considered in the etiopathogenesis of RA. The microbiome, and more recently the virome, have been implicated in a range of human diseases including cancers [30, 31], inflammatory bowel diseases [32, 33], and arthritis [11, 34]. By characterizing the phage populations in an at-risk RA FDR cohort; further sub-grouped with regard to autoantibody

status as defined by the presence of anti-CCP antibodies and compared to healthy controls, we have begun to address this question. The cohort contains individuals that do not have inflammatory arthritis or established RA disease but are FDRs to an individual with diagnosed RA, which alone increases RA risk. Studying the microbiomes of at-risk individuals in the preclinical RA state could lead to the identification of biomarkers and therapeutic targets independent of confounding by the use of drugs in subjects with active arthritis.

110 We used metagenomics to define intestinal phage populations of anti-CCP positive 111 (CCP+) and negative (CCP-) individuals in an at-risk FDR cohort. Phage matching to bacterial 112 hosts showed divergent intestinal phage communities dependent on anti-CCP serology status. 113 We observed an overabundance of phages targeting Bacteroidaceae and Sreptococcaceae 114 bacteria in CCP+ at-risk FDRs as well as phages targeting Bacteroidaceae bacteria in CCP- at-115 risk FDRs. Importantly, analysis of the metabolic traits encoded in phage metagenomes 116 revealed intra-cohort profiles reflecting distinct immunomodulatory potential. Phages with 117 auxiliary metabolic genes (AMGs) that modify lipopolysaccharide and other outer membrane 118 glycans of host bacteria were differentially abundant, implicating modifications to bacterial 119 antigenicity [35] and bacterial fitness [36] in RA-associated communities. Core phage metabolic 120 genes, including 14 genes which are globally conserved among phages from multiple diverse 121 environments [37], as well as bacterial surface modifying enzymes, were associated with 122 phages targeting Flavonifractor sp. in the CCP+ cohort and Bacteroides sp. in the CCP- cohort. 123 Phages targeting Lachnospiraceae (Clostridium scindens) and Actinomyces (A. oris), including 124 several AMGs, were over-abundant among CCP+ and CCP- individuals, respectively, compared 125 to healthy controls. Our data show that there are unique and abundant intestinal phages specific 126 to RA-susceptibility status, and this highlights their potential as biomarkers for preclinical RA 127 and the need for further pursuit of community-level bacteria-phage interactions during the 128 development and progression of RA.

#### 130 RESULTS

#### 131 First-degree relatives to individuals with rheumatoid arthritis.

132 A total of 25 human subjects were identified from the Studies of the Etiology of Rheumatoid 133 Arthritis (SERA) [38], including 16 FDRs of individuals with RA and 9 age and sex matched 134 healthy controls (HC). FDR subjects for which a detectable level of anti-CCP autoantibody was 135 present (defined by a value of  $\geq$  20 units/mL in either ELISA assay for anti-CCP3.1 IgA/IgG or 136 anti-CCP3 IgG (Inova Diagnostics) [39]) were designated the CCP+ group (n = 8). FDRs with no 137 anti-CCP detected were designated the CCP- group (n = 8) (Table 1). Mean ages for the three 138 groups in this study were  $61.3 \pm 11.0$  for CCP+,  $49.0 \pm 15.7$  for CCP-, and  $44.4 \pm 13.6$  for HC. 139 The distribution of sexes for each group is reported as percent female, with 88.9% for CCP+, 140 62.5% for CCP-, and 66.7% for HC. Among the CCP+ and HC groups, 3/9 and 2/9 of individuals 141 have reported ever smoking (a risk factor associated with RA), respectively (Table 1).

142

#### 143 Generation and curation of *de novo* assembled VLP contigs.

144 We used individual fecal samples from the subjects obtained at the time of autoantibody and 145 clinical evaluations, and isolated total genomic DNA for shotgun metagenomic sequencing using 146 an untargeted amplification-independent approach [23, 40]. Samples were physically separated 147 into whole metagenome (M), including all genomic DNA present in the sample, and virus-like 148 particle (VLP) fractions, which were subjected to phage-specific precipitation (Figure S1A). 149 Illumina sequencing resulted in an average of 123.8  $\pm$  32.2, 135.2  $\pm$  40.4, and 104.7  $\pm$  45.9 150 million (M) paired end reads per sample for CCP+, CCP- and HC whole metagenomes, 151 respectively, and an average of 67.3  $\pm$  29.5, 73.2  $\pm$  33.7, and 89.6  $\pm$  47.8 M paired reads per 152 sample for CCP+, CCP- and HC VLP fractions, respectively (Figure S1B). VLP sequencing 153 reads were used for *de novo* contig assembly of VLP metagenomes. In total, 3.56 M contigs 154 were assembled and pooled from the 25 individual metagenomes, with 80,762 contigs longer

than 5 kb (Figure 1A). VLP contigs longer than 5 kb were distributed evenly across the three sample groups, totaling 2908.6  $\pm$  1461.3, 3209.0  $\pm$  2573.8, and 3535.7  $\pm$  2826.4 contigs per sample for CCP+, CCP- and HC respectively (Figure S1C).

158 These 80.762 contigs served as a starting point for identifying putative phages using a 159 three-pronged approach of independent phage discovery methods (Figures 1A and S1D). The 160 first method (P/M ratio) employed a previously validated read mapping strategy whereby VLP 161 read sets from all 25 samples were mapped to both whole metagenome (M) and VLP (P) 162 contigs [23]. Using the read-mapping P/M ratio (see Methods), we identified 2,117 unique 163 putative phage contigs after dereplication at 95% sequence identity. Next, we identified an 164 independent set of phage contigs by aligning all open reading frames (ORFs) of the 80,762 VLP 165 contigs against a set of 25,281 curated viral protein families (VPFs) [41]. Using this VPF 166 method, several filters were applied to identify viral contigs; (i) 2,902 contigs were identified as 167 having 5 or more VPF hits and non-viral Pfam hits below 20% of total ORFs on a contig, (ii) 263 168 contigs were identified with 5 or more VPF hits and less than 50% non-viral Pfam hits on a 169 contig, (iii) 644 contigs with 2-4 VPF hits and 0 non-viral Pfams, (iv) 976 contigs with at least 1 170 VPF hit, without considering any non-viral Pfams. In total, after dereplication, the viral contigs 171 arising from all above filters resulted in 4.785 unique viral contigs. For the third and final 172 approach we employed VIBRANT (Virus Identification By iteRative ANnoTation), a sequence-173 independent algorithm that uses neural networks of viral protein signatures to identify lytic and 174 lysogenic phages [37]. Using VIBRANT, we identified 4,758 unique viral contigs.

To consolidate this list, we identified contigs that were shared between all three phage discovery methods, resulting in a curated list of 660 contigs (Figures 1A and 1B). This curated list of putative phage contigs range in size from 5,007 bp to 557,525 bp. To assess host bacterial contamination among these contigs, we employed CheckV, a pipeline for assessing the quality of viral genomes [42]. CheckV analysis revealed a reduced level of host bacterial contamination and an increase of pure viral genomes in the final list of 660 curated contigs as

181 compared to varying levels of contamination among the three separate methods prior to contig 182 overlap identification (Figure 1C). We estimated completeness of our curated contigs using 183 CheckV and determined a greater distribution of "high quality" contigs relative to contig length, 184 in comparison to the three independent methods (Figure S2) [43]. Further, using the VIBRANT 185 platform for integrated provirus prediction, we describe communities of predominantly lytic viral 186 genomes belonging to Siphoviridae morphology (Figure S3). By using a combination of 187 approaches for viral contig discovery and assessing the overlap among these methods, we 188 have extracted a set of 660 predicted phages which are of overall high quality, both in terms of 189 viral contig completeness and lack of bacterial contamination than those from each of the 190 individual methods (Figures 1C and S2), which to date have been used primarily in isolation to 191 identify and characterize viral metagenomes.

192

193 Clustering of metagenomic viral contigs reveals distinct viral ecological composition.

194 Next we compared our set of curated contigs to over 2.3 million viral whole genome and 195 metagenome sequences from the IMG/VR database [44]. We used blastn at a threshold of 95% 196 sequence identity over 85% of 1 kb sequence length and Markov clustering to group our contigs 197 with related sequences from IMG/VR. Of the 660 contigs, 346 (52.4%) clustered into 255 198 clusters that contained 7,736 additional metagenomic viral contigs (mVCs) from IMG/VR. The 199 remaining 314 contigs (47.6%) were classified as singletons, with an even distribution among 200 CCP cohorts compared to healthy controls (Figure S4A). Of the curated contigs that were 201 clustered, cluster sizes ranged from 2 to 646 members with 78.4% of the groups containing 202 more than 2 partners and 36.5% containing more than 10 members, and 65.9% between 2 - 10203 members (Figure S4B). Among these 255 clusters, 14 included reference prophages and lytic 204 phages, and 318 (48.2%) clustered with classified mVCs, thus assigning multiple levels of 205 taxonomy to our contigs (Figures 2A, 2B, and Supplementary Table 1).

206 Although host assignments were made using sequence-based clustering, host 207 specificity was further determined by aligning Clustered Regularly Interspaced Short 208 Palindromic Repeat (CRISPR) spacer sequences to our 660 curated contigs. CRISPR-Cas 209 serves as a snapshot of previous phage infections in the form of acquired spacer sequences 210 that represent invading viral genomes [45], and these sequences can be used for accurate 211 identification of phage-host interactions in intestinal microbiomes [23, 46]. CRISPR spacer host 212 assignments at the family level were present in 207 of 660 contigs (31.4%). All CRISPR spacer 213 queries considered for these analyses, ranging in length from 18 to 70 bp, were matches of 214 93.1–100% identity across the full length of the query and allowing for 0–2 mismatches and up 215 to 1 gap throughout [47] (Supplementary Table 2). Among predicted phages, total assigned 216 CRISPR spacers were evenly distributed, yet CCP+ sample containing phages predicted to 217 target Lachnospiraceae, Ruminococcaceae, Streptococcaceae, and Veillonellaceae bacterial 218 families were disproportionately abundant (Figures 2A and 2B). In total, 21 bacterial families 219 were identified as hosts via CRISPR spacer matching, supplementing the phage-host 220 interactions discerned from sequence-based clustering (Figure 2A). Among all samples in this 221 study, phages were predicted to target Lachnospiraceae, Ruminococcaceae, Clostridiaceae and 222 Bacteroidaceae bacteria with highest frequency of total CRISPR spacers (Figure 2A). Phage-223 host interactions were also measured in terms of host range specificity, showing that while the 224 majority of the phages were predicted to have narrow host ranges, several spacers were linked 225 to multiple hosts across family level and higher taxa (Figure 2C), consistent with prior 226 observations of diverse viromes [47]. Broad host range phages were found across all cohorts, 227 but particularly among CCP+ sample contigs (Figure 2D) suggesting a more dysbiotic 228 community of host bacteria among these individuals' metagenomes.

We further explored the association of sample cohorts to phage hosts using read mapping to determine differential host abundance profiles (Figure 3). Reads from all samples were mapped to assembled phage contigs whose host assignments were deduced using

232 CRISPR-spacer matching and Markov clustering to guantify sequence abundances by measuring cohort-based read recruitment [23, 48-50]. In comparing the differential read 233 234 recruitment to phages predicted to infect separate bacterial families, we observed differences 235 based on reads originating from either the CCP+ or CCP- groups in relation to the HC cohort 236 (Figure 3). Among the most striking, phage contigs targeting Bacteroidaceae recruited 237 significantly more reads from CCP+ viromes than either HC or CCP- individuals (Figure 3A). In 238 contrast, phages predicted to target Clostridiaceae bacteria were evenly abundant across all 239 three groups (Figure 3B). For Lachnospiraceae bacteria, CCP+ phages recruited were evenly 240 distributed among the groups with a slight elevation in CCP+ individuals that was not statistically 241 significant (Figure 3C). Ruminococcaceae phages were significantly skewed when comparing 242 HC to CCP- individuals (Figure 3D) and a major shift in phage read recruitment abundance was 243 evident for Streptococcaceae phages, as a greater percentage of total CCP+ reads were 244 mapped to these phages in relation to either HC or CCP- virome reads (Figure 3E). This skew 245 among CCP+ individuals is supported by prior works showing elevated Streptococcal phage 246 abundances in intestinal viromes of humans with inflammatory bowel disease [32] and a murine 247 model of colitis [23]. Lastly, no significant differences were observed for read recruitment to 248 Veillonellaceae-targeting phages (Figure 3F). Thus, differences in the host specificities were 249 evident between CCP+, CCP-, and HC groups with respect to read mapping abundance profiles 250 for Bacteroidaceae, Ruminococcaceae, and Streptococcaceae phages.

251

# 252 CRISPR spacer host metadata reveal CCP+ phages represent greater variability in 253 microbial host ecology.

To further explore the phage ecology from our subject cohort, we analyzed the host and mVC metadata from the Joint Genome Institute's (JGI) Genomes OnLine Database (GOLD) [51]. The JGI GOLD database contains metadata from over 100,000 biosamples and over 350,000 sequencing projects involving genomic and metagenomic sequencing data from biological

258 isolates worldwide. Moreover, recent work has contributed an additional 52,515 metagenome-259 assembled genomes from diverse ecologies and geographic distributions [52], further 260 enhancing microbial host ecosystem analysis. Using the GOLD Biosample Ecosystem 261 Classification system, we analyzed the ecosystem distributions for all CRISPR spacers 262 identified in our curated contig list and discovered that the majority of host assigned contigs fell 263 within four distinct ecosystem classification levels; from broad to specific environments: host-264 associated, human-associated, digestive system, and large intestine (Figure 4). For phages that 265 were previously identified as having CRISPR spacer host assignments, total spacer alignments 266 as identified by blastn ranging from 1 to 825 per contig, were tallied and used to calculate the 267 uniformity of spacer origins per contig (Supplemental Table 3). For each of the four ecosystem 268 categories, the most abundant classifications were used to compare across the study cohorts. 269 At the highest order GOLD Ecosystem distribution, the host-associated (i.e., human, mammal, 270 plant, arthropod, fungi) origin classification per contig was comparable for the HC and CCP-271 groups but not for the CCP+ group (Figure 4A). A similar pattern was evident at the lower order 272 metadata distributions, with phage contigs derived from CCP+ individuals being more divergent 273 from the other cohorts for contigs of human-associated origin (Figure 4B), digestive system 274 origin (Figure 4C), and large intestine origin for the Ecosystem Subtype (Figure 4D).

275 These compositions of multiple CRISPR spacer ecosystem distributions reveal 276 homogeneity among phages derived from HC and CCP- samples, and indicates more dysbiotic 277 communities across CCP+ samples, suggesting that CCP+ individuals harbor disparate phage 278 communities that are more likely to originate from non-host associated sources. The putative 279 origins of these phages are related to environmental metadata of CRISPR spacers in the JGI 280 GOLD database describing the origin of bacterial DNA samples across ecologically diverse 281 biomes worldwide [52]; and increased heterogeneity in the CCP+ phages suggests a condition-282 dependent host intestinal environment that maintains diversity. At the highest Ecosystem 283 classification level, with only three unique classification terms, these non-host associated

sources that are more prevalent in the CCP+ group, correspond to a higher degree of spacers matching organisms originating from environmental and/or engineered habitats as archived in GOLD (Figure S5). The ecosystem distributions of Category, Type, and Subtype have 43, 126, and 146 unique terms for each classification level respectively, indicating multiple possible combinations for organism habitats. Thus, our analysis of GOLD metadata for all phages with predicted host isolates within our study reveals divergent habitat origins for CCP+ derived contigs.

291

# 292 Quantitative read mapping reveals differentially abundant contigs despite sample 293 cohesiveness.

294 We next asked whether certain phage community members are present in different abundances 295 among the members of the cohort at-risk for rheumatoid arthritis compared to healthy controls. 296 To assess differences between phages among the sample groups, we used a viral read 297 recruitment strategy whereby VLP reads from all samples were mapped to the 660 curated 298 contigs [23, 48]. Using read count matrices for all contigs as input in the DEseg2 statistical 299 package for differential analysis of comparative count data [53], we analyzed three pairwise 300 comparisons for over- or under-abundant viral contigs (Figure 5). Initial comparisons of the 301 normalized and log-transformed count matrices were performed to evaluate the experiment-302 wide trends across all samples. Principal component analyses reveal minimal variance 303 explained by the first two principal components for CCP+ vs HC samples (Figure 5A), CCP- vs 304 HC samples (Figure 5B), and CCP+ vs CCP- samples (Figure 5C), indicating that total sample 305 community signatures cannot be readily differentiated based on at-risk or healthy control 306 cohorts. We further explored the sample similarities by comparing Euclidian sample-to-sample 307 distances of the regularized log-transformed count matrices. Hierarchical clustering of sample-308 to-sample distances did not reveal any discernable clustering for CCP+ vs HC samples (Figure 309 5D), and only minimal similarities between two CCP- samples when compared to the HC

310 (Figure 5E) and CCP+ (Figure 5F) groups, suggesting general sample cohesiveness between
311 cohorts.

We next analyzed specific members of the intestinal phage community, considering the 312 313 rationale that samples with complex communities are better explored at the level of each unique 314 member [33]. Visualization of the principal components incorporating the viral identification 315 metrics used in the VIBRANT neural network for our 660 curated contigs shows minimal 316 differentiation among phage scaffolds based on scaffold quality (Figure S6A) or predicted phage 317 state (i.e., lytic or lysogenic) (Figure S6B), although fragmentation of smaller sized contigs is 318 evident for both analyses. Further, grouping of contigs at the sample type level does not 319 differentiate any specific cluster (Figure S6C), which is consistent with the minimal variance 320 observed at the sample level (Figures 5A, 5B, and 5C). Finally, we assessed the differential 321 abundance of read recruitment counts for the set of 660 contigs and estimated fold changes 322 based on the negative binomial generalized linear model provided by DESeg2 [53]. Using 323 thresholds of log2-fold change greater than 1 or less than -1 (equivalent to fold change of  $\pm 2$ ) 324 and Benjamini-Hochberg adjusted p-values < 0.001, we identified a total of 178 differentially 325 abundant contios (27% of the 660 phages) across three pair-wise abundance comparisons. For 326 CCP+ vs HC samples a total of 59 contigs (30 over- and 29 under-abundant) (Figure 5G), for 327 CCP- vs HC a total of 66 contigs (27 over- and 39 under-abundant) (Figure 5H), and for CCP+ 328 vs CCP- a total of 53 contigs (27 over- and 21 under-abundant) (Figure 5I) passed our 329 thresholds for significance. This suggests that there are unique changes in select phage abundances from the intestinal viromes of individuals at risk for RA, and that these changes are 330 331 more nuanced than sample-based community associations can reveal. These data indicate that 332 these cohort groups represent minimal sample-sample variation, but may provide clues related 333 to detection of biomarkers via specific community members. The top phage contigs associated 334 with either CCP+ or CCP- individuals were Clostridium scindens (Lachnospiraceae) and

Actinomyces oris (Actinomycetaceae), respectively, over-abundant at log<sub>2</sub> fold changes of 25.9
and 23.5 compared to the healthy control samples.

337 A comparison of the bacterial relative abundances via 16S amplicon sequencing 338 confirmed an expansion of Lachnospiraceae bacteria among samples originating from CCP+ 339 individuals (Figure S7A). This confirms, in part, observations of over-abundant 340 Lachnospiraceae-targeting phage contigs for the CCP+ but not CCP- cohorts (Figures 6B and 341 6C). The bacterial composition across all cohorts was relatively even in terms of richness 342 (Figures S7B and S7C), evenness (Figure S7D), and species diversity (Figure S7E). 343 Conversely, phage host abundances in the CCP- cohort relative to healthy controls were not 344 correlated to a family-level differentiation in bacterial taxa relative abundance.

345

# Phage auxiliary metabolic gene abundances highlight cohort-associated disparities in metabolic potential.

348 To determine the functional potential and metabolic capabilities within intestinal phages, we 349 quantified AMGs assigned to specific metabolic pathways in the Kyoto Encyclopedia of Genes 350 and Genomes (KEGG) database across at-risk and healthy cohorts. Since their identification as viral drivers of host metabolism [54], phage-encoded AMGs have been recognized as 351 352 consequential actors that redirect host functional capacities thereby directly influencing local 353 ecology [55, 56]. Analyses of AMGs using VIBRANT and KEGG pathway annotations can 354 provide valuable insights into potentially altered metabolic functions or informative biosignatures 355 for cohort-associated microbial communities [37, 57]. To this end, we assessed our set of 356 curated phage contigs against 2,835 AMGs with KEGG annotations identified as "metabolic 357 pathways" or "sulfur relay system" [37]. Among our 660 phage contigs, 161 (24%) were found to 358 encode at least 1 AMG, with 252 AMGs in total across all samples (Supplemental Table 4). 359 Phages originating from the HC cohort accounted for 131 metabolic signatures, while CCP+ and 360 CCP- had less total AMGs with 77 and 44, respectively (Figure S8A). Among the most

represented metabolic categories across all phages, amino acid metabolism and the metabolism of cofactors and vitamins contained 121 and 88 AMGs, respectively, with energy metabolism being the next largest category with 22 AMG hits (Figure S8B). These general pathway results indicate that phages in the intestine presumably affect host metabolism through the consumption of metabolic resources needed for their own biogenesis, as described in phage-host infection studies of model pathogens [58-60] and marine virocells [61].

367 To further probe all metabolic phage-encoded functions corresponding to our sample 368 cohorts, we assessed all AMG hits for total KEGG pathway abundances. Hierarchical clustering 369 grouped AMGs into 5 distinct metabolic clusters relative to HC and at-risk CCP cohorts (Figure 370 6A). Among these groups, the gene coding for phnP (K06167) stands apart from the others, 371 both in terms of clustering and also for relative pathway abundance (Figure 6A). Among group-372 associated differences in AMG pathway abundances, there are notable absences among both 373 CCP+ and CCP- individuals. Namely, several clustered transferases such as the mannose-374 phosphate transferases (algA, xanB, rfbA, wbpW, psIB), manno-heptose transferases (gmhC, 375 hldE, waaE, rfaE), and the galE epimerase and glmS transaminase (Figure 6A). Considering the 376 impact of such transferases on bacterial cell wall polysaccharides and biofilm formation [62, 63], 377 these results point to a baseline of phage-driven bacterial surface modifications from HC-378 derived phages. Conversely, AMGs involved in lipopolysaccharide (LPS) biosynthesis such as 379 the waaL O-antigen ligase and the gmhB phosphatase are only present in CCP+ phages or at 380 greater abundance in CCP+ phages, respectively, indicating a possible role in immune evasion. 381 Within the CCP- cohort, one of the most abundant AMGs, KEGG orthology entry K23144 382 encoding for a polyketide sugar transferase important in peptidoglycan biosynthesis is 383 completely absent from the HC cohort and present at lower levels for CCP+ samples. Thus, 384 phage-encoded bacterial surface modifying enzymes such as the sugar transferases and 385 LPS/peptidoglycan biosynthetic genes, are differentially represented across the cohorts in this

study, which has implications for bacterial fitness in the intestinal ecosystems and theirinteractions with the immune system.

388 We next incorporated the AMG characterization of genomes within our curated set of 389 phages to those that were significantly over- or under-abundant in previous differential 390 abundance analyses (Figures 5G, 5H, and 5I). Among the 20 differentially abundant contigs 391 from the CCP+ vs HC pairwise comparison that contained CRISPR spacer-predicted hosts, 8 of 392 these encoded at least one AMG (Figure 6B). The 9 under-abundant phages in this comparison 393 encode 5 AMGs, including manno-heptose transferases (gmhC, hldE, waaE, rfaE), mannose-1-394 phostphate transferases (algA, xanB, rfbA, wbpW, pslB) and ahbD AdoMet-dependent heme 395 synthase all together on 1 contig, and cysH and iscS genes on 2 other contigs (Figure 6B). 396 Among the 11 significantly over-abundant contigs, 3 of these encode the phnP 397 phosphodiesterase; 3 phages predicted to infect Flavonifractor sp. (Ruminococcaceae) and one 398 predicted to infect Clostridiales bacteria. The remaining AMG found in CCP+-associated over-399 abundant phages encodes for a cobalamin biosynthesis protein cobS, found in marine 400 cyanophages [64], viruses of marine archaea [65], and is considered a core component of 401 marine phage genomes [66], but also ubiquitous in phage genomes that infect E. coli [67]. Our 402 identification of a CCP+ over-abundant phage contig targeting Bacteroides fragilis and carrying 403 the cobS AMG (Figure 6B) reinforces the universal nature of this central AMG that is conserved 404 across hosts and environments [37].

We also identified 16 unique phage contigs with definitive CRISPR spacer-predicted hosts that were differentially abundant and associated with the CCP- cohort (Figure 6C). Within these contigs, 9 are significantly under-abundant compared to healthy controls, with 3 of these encoding AMGs. CCP- associated phages were identified as carrying *cobS*, *DNMT3A*, *thiF*, and *iscS* metabolic genes (Figure 6C). Thus, in contrast to CCP+ associated contigs which harbored phnP and *cobS* on a combination of Lachnospiraceae, Rumminococcaceae, and

Bacteroidaceae targeting phages, CCP- associated phages were identified to target primarily
Bacteroidaceae and *Actinomyces oris* and harbor a combination of AMGs.

413

#### 414 **DISCUSSION**

415 RA is a complex disease with an unknown etiology that puts a burden on quality of life resulting 416 in a strong societal impact [68, 69]. In addition to multiple epidemiological factors being 417 associated with RA development, including genetic and familial risk, environmental risk factors 418 and biological sex [3], the microbiota remains an important and understudied factor that likely 419 influences RA autoimmunity [70]. Given the widespread occurrence and diversity of phages in 420 the human intestinal microbiota and their impact on intestinal microbial ecology during health 421 and disease [19, 20, 71], we analyzed this previously neglected component of the microbiota as 422 it relates to RA etiopathogenesis. We used shotgun metagenomics to identify intestinal phages 423 of individuals at risk for developing RA and discovered an association of distinct phage 424 communities with RA-specific serology in the at-risk population.

425 Using three separate database-independent approaches, we describe a collection of 660 426 phage genomic sequences, their potential metabolic capability, and their differential abundance. 427 Through a combination of CRISPR spacer matching and Markov clustering with other viral 428 metagenomic sequences from diverse environments, we predicted host assignments for 285 or 429 43.2% of these phages, which is a high level of taxonomic assignments relative to recent 430 reports of approximately 10 – 30% host assignment identification [23, 48, 72]. By analyzing a 431 core set of *de novo* assembled phage contigs paired with taxonomy, we identified differential 432 phage communities associated with the at-risk RA individuals compared to healthy controls, all 433 while adding novel phage-host assignments to previously unidentified intestinal phages [73, 74].

Phage-host assignments were dominated by Lachnospiraceae-targeting phages, some
of which were over-abundant in CCP+ individuals. This expansion of phages also correlated
with increased abundances of Lachnospiraceae bacteria in the CCP+ cohort compared to either

437 CCP- or the healthy cohort, suggesting a link to this family of Firmicutes and CCP autoantibody 438 production in the human intestine. Interestingly, increased abundance of Lachnospiraceae has 439 been observed in at least two previous studies of intestinal microbiotas in mice during the 440 course of collagen-induced arthritis (CIA) [75, 76]. Considering the precedence for overlap of 441 identified phage contigs from mouse intestines to human-associated intestinal phages [23], the 442 previously-reported increase in abundance of Lachnospiraceae bacteria during experimental 443 arthritis in mice is supported by our findings of increased Lachnospiraceae phage-host 444 interactions in CCP seropositive individuals at-risk for developing RA. To this end, given that the 445 FDR individuals included in this study do not show clinical signs of established RA, our 446 identification of a preclinical cohort with increased Lachnospiraceae phage-host interactions 447 could serve as a biological indicator of disease. Similarly, an expansion of Bacteroidaceae-448 targeting phages associated with the CCP- cohort was described, which corresponds to a 449 previously observed expansion of Bacteroidaceae bacteria following CIA in mice [75]. In 450 addition to these phages serving as potential biomarkers of disease in humans at risk for RA, 451 our data indicate that Bacteroidaceae and Lachnospiraceae-targeting phages designate a 452 distinction between CCP serology status that may serve as an additional indicator of disease 453 progression and/or future disease severity [77]. Notably, bacteria in the Lachnospiraceae and 454 Ruminococcaceae families have been linked to the pre-diabetic intestinal microbiota and 455 diabetic pathogenesis, while Bacteroidaceae are associated with disease protection in a murine 456 model of diabetes [78]. The identification of cohort-specific phage-host interactions sheds light 457 on potential preclinical biomarkers connecting specific dysbiotic intestinal microbial communities 458 to possible regulation of microbiota-mediated mucosal inflammation [1, 79].

We calculated the differential abundance of curated phages on a contig-to-contig basis to estimate dispersion and fold changes of quantitative read mapping matrices. In doing so, we identified 178 differentially abundant contigs (27% of the total curated list) across three pair-wise cohort comparisons. Among the CCP+ vs HC comparison, we observed over-abundant phages

463 targeting Clostridium scindens, Flavonifractor sp., Actinomyces oris, as well as other family-level 464 taxonomic assignments. A member of the Lachnospiraceae, C. scindens is an intestinal 465 commensal bacterium involved in maintaining homeostatic large intestinal bile acid composition 466 and providing host protection from opportunistic Clostridioides difficile blooms [80, 81]. A differential abundance of phage targeting C. scindens in the CCP+ at-risk cohort, may have 467 468 implications for bile acid dysmetabolism in these individuals, which has consequences for 469 inflammatory bowel diseases [82, 83]. Differential abundance of phages in the CCP- cohort 470 revealed several phages targeting Bacteroidaceae and Bacteroides species, bacteria involved 471 in multiple reactions of bile acid metabolism promoting host metabolic health [84, 85]. Recent 472 phage-Bacteroides interactions have described the influence of phage BV01 in reducing 473 Bacteroides bile acid metabolism [86], which has implications for the impact of phages on 474 mammalian gut metabolic function. Our findings suggest individuals at risk for RA harbor 475 divergent communities of phages with potential to alter intestinal metabolic potential through 476 either reduction of key bacterial species and thus reducing endogenous metabolic function, or 477 through the phage-derived introduction of specific AMGs.

478 Changes to the intestinal metabolome can lead to compositional microbiota transitions 479 that in turn impact host nutrient uptake and immune homeostasis [87]. Considering that 480 manipulations of microbial metabolic pathways in the intestine can influence inflammation and 481 dysbiosis [88], our identification of phage communities with differential abundances of encoded 482 AMGs points to divergent metabolic landscapes associated with at-risk RA cohorts. A majority 483 of the AMGs identified in our analysis make up a group of 14 genes conserved across many 484 environments [37], indicating their functional importance in core metabolism. We were surprised 485 to identify three phages that were over-abundant in the CCP+ cohort (3 of 11 in total), three with 486 Flavonifractor sp. predicted hosts and one Clostridiales-targeting phage, encoding the phnP 487 phosphodiesterase. Encoding a phosphoribosyl 1,2-cyclic phosphate phosphodiesterase, phnP 488 accounts for 10% of the total AMGs represented in our phage genomes, and is differentially

489 abundant among the CCP+ cohort samples. While phnP is one of 14 genes considered to be 490 globally conserved across multiple environments [37], it is the only gene among AMGs in our 491 analysis that is the lone representative of its pathway. The PhnP phosphodiesterase, part of a 492 14-gene operon originally described in Escherichia coli, plays a crucial intermediary role in the 493 carbon-phosphorous lyase pathway by degrading a dead-end cyclic phosphonate byproduct 494 [89]. The uniform presence of phnP across phages derived from at-risk and healthy cohorts 495 (Figure 6A), suggests phage-driven organophosphonate degradation, which is fundamental for 496 bacteria in diverse environments [90].

497 Phosphonate degradation is important for phosphorus assimilation in enteric bacteria 498 [91], although phosphonate metabolism has not been described for *Flavonifractor* species and a 499 phnP homolog is not available for this genus in the KEGG database (K06167). In a recent study 500 characterizing microbiota KEGG orthologs as predictors of methotrexate responsiveness for RA 501 treatment, a gene in the phosphonate transport system, phnC (K02041), exhibited high median 502 random forest importance as a predictor of drug response in new-onset RA subjects [92]. The 503 contribution of the phosponate metabolic pathway in bacteria and phages, will require further 504 exploration in the context of RA pathogenesis and treatment. However, it is possible that these 505 phage-encoded metabolic products are supplementing phosphorous uptake among 506 Ruminococcaceae and Lachnospiraceae bacteria that predominate in CCP+ individuals prior to 507 RA clinical symptoms. Our analysis is limited in that we did not measure a longitudinal 508 progression of microbial metabolic pathways in these human samples, yet these metabolic 509 associations warrant further investigations into causality and the potentially cascading effects on 510 interbacterial interactions [93].

511 Our results point to divergent communities of phages with multiple bacterial host targets 512 that group according to anti-CCP serology in individuals predisposed to developing RA. These 513 at-risk individuals who develop seropositive RA, a disease manifestation that is more severe 514 [94] and less responsive to treatment [95], endure a prolonged asymptomatic period before

515 pathological early RA develops in those who are at a higher disease susceptibility in the 516 preclinical RA state [1]. Current approaches for RA diagnosis rely in large part on anti-CCP 517 serology which has up to 93% specificity but as low as 67% sensitivity (for the CCP3.1 assay 518 used here) [39], indicating that a negative result does not preclude current or development of 519 clinically apparent RA. Phage community composition analyses may complement existing 520 diagnoses for RA, considering that intestinal phages can play important roles in immune 521 tolerance, mucosal immunity, and microbial homeostasis [96]. Given that phage community 522 alterations have been shown to precede autoimmunity development in children at risk for 523 developing type 1 diabetes [26], phage community structure should be considered as a 524 biomarker for diseases such as RA that are influenced by non-genetic microbial factors [19]. To 525 that end, we have characterized the intestinal viromes of RA at-risk individuals corresponding to 526 anti-CCP serology status. Furthermore, we calculated species-specific phage-host interactions 527 and identified over-abundances of C. scindens and A. oris targeting phages in CCP+ and CCP-528 individuals, respectively. Divergent metabolic profiles evident by differential abundance of AMG-529 encoding phages in both conditions warrant further interrogation during models of RA-like 530 disease. Future work should investigate the potential of phages in a murine CIA model to 531 determine the influence of RA-associated phages with immunomodulation and inflammatory 532 disease progression. Our multifaced approaches for phage prediction and phage host 533 assignments hold promise to better ascertain the occurrence and diversity of the virome and the 534 identification of key phages influencing the microbiota and individuals at risk for developing RA 535 autoimmune disease. This RA-focused study implicating specific phage populations could open 536 new avenues to assess the basis for phage implication in other microbiota dysbiosis-associated diseases. 537

538

#### 539 **RESOURCE AVAILABILITY**

540 Lead Contact

- 541 Further information and requests for resources and reagents should be directed to and will be
- 542 fulfilled by the Lead Contact, Breck A. Duerkop (breck.duerkop@cuanschutz.edu).
- 543

#### 544 Materials Availability

- 545 This study did not generate new unique reagents.
- 546

## 547 Data and Code Availability

548 The VLP and whole metagenome DNA sequencing reads as well as the final curated phage 549 contigs generated in this study are available at the European Nucleotide Archive under the Study titled "Intestinal VLP reads and predicted phage contigs for at-risk RA individuals" 550 551 (accession numbers PRJEB42612 and ERP126498). The VLP and whole metagenome raw 552 unmapped read sets are available for each of the 25 individual samples included in this study 553 and are available under the Study Primary Accession PRJEB42612. The 660 curated contigs 554 are compiled in a multifasta file deposited as Sample SAMEA7856466 under the same Study 555 PRJEB42612.

556

#### 557 EXPERIMENTAL MODEL AND SUBJECT DETAILS

#### 558 Study Subjects and Fecal Samples

559 Fecal samples were obtained from individuals recruited for the SERA (Studies of the Etiology of 560 Rheumatoid Arthritis) initiative, aimed at understanding the mechanisms that prelude the 561 preclinical development of RA. SERA is a multicenter prospective cohort study that has 562 identified first-degree relative (FDR) probands defined as a parent, full sibling, or offspring of 563 individuals with diagnosed clinical RA [38]. FDR probands were evaluated in extensive clinical 564 research visits, longitudinal follow-ups, and autoantibody testing to determine CCP status [38]. 565 FDR probands were split into cohorts dependent on serum CCP levels, with 100% of subjects in 566 the CCP+ cohort positive and 0% of subjects in either CCP- or HC (Healthy Control) cohorts

567 testing positive. Healthy control subjects were recruited and included in this study as described 568 previously [97]. The present study consisted of 25 subjects split into 3 cohorts, of which 8 were 569 CCP+, 8 were CCP-, and 9 were HC. Ethical approval for this study was obtained from the 570 University of Colorado Multiple Institutional Review Board (COMIRB) study numbers 01-675 571 (primary) and also 13-2606 and 14-1751. COMIRB Protocol 01-675 included informed consent 572 with HIPAA authorization for stool sample collections. Stool samples were obtained 573 independently by SERA study participants and returned within 1 week of their original visit. 574 Samples were stored in aliquots at -20°C until processing.

575

#### 576 METHODS

# 577 Extraction of Fecal Whole Metagenome and VLP DNA, Library Preparation and 578 Sequencing

579 Whole metagenome and VLP fraction DNA were isolated as described previously [98], with 580 some modifications as follows. For all samples, 0.1 g of human stool was homogenized in 8 mL 581 salt magnesium plus (SM+) buffer [99] and 0.5 ml of homogenate was transferred to a 582 BashingBead Lysis tube (Zymo) and designated as the whole metagenome sample. Whole 583 metagenome DNA was extracted using a ZymoBIOMICS DNA kit (Zymo) following the 584 manufacturer recommended protocol. VLPs were clarified from the remaining 7.5 mL of sample 585 by three successive centrifugation steps (3200g for 10 min, 3200g for 10 min, 7800g for 10 586 min), and the supernatant was filtered through a 0.45-µm PVDF filter membrane. VLPs were 587 precipitated by adding 0.5M NaCl and 10% wt/vol PEG8000 and incubating on ice at 4°C 588 overnight, followed by centrifugation (7800g for 20 min). VLP pellets were resuspended in 400 589 µL SM+ buffer and treated with 40 µL DNase buffer (10 mM CaCl<sub>2</sub>, 50 mM MgCl<sub>2</sub>), 25 units 590 DNase, and 15 units RNase for 1 hr at 37°C. VLPs were further treated with 50 mg/mL 591 proteinase K and 0.5% SDS for 30 min at 56°C before addition of 100 µL phage lysis buffer (4.5 592 M guanidiniumisothiocyanate, 44 mM sodium citrate pH 7.0, 0.88% sarkosyl, 0.72% 2593 mercaptoethanol) and incubated for 10 min at 65°C. VLP DNA was precipitated and extracted 594 with an equal volume of phenol/chloroform/isoamyl alcohol 25:24:1, spun at 7800g for 5 min, 595 and further extracted with an equal volume of chloroform. VLP DNA was precipitated with 0.3M 596 NaOAc (pH 5.2) and an equal volume of isopropanol, washed with ice-cold 70% ethanol, and 597 resuspended in sterile water.

598

## 599 Metagenomic DNA Sequencing

VLP and whole metagenomic DNA was sequenced using the Illumina NovaSEQ 6000 platform with paired-end 150-cycle sequencing chemistry. DNA libraries were amplified using the Ovation Ultralow System v2 (Nugen, part no. 0334) library preparation kit including 12 cycles of amplification. TruSeq adapters (Illumina) were used for multiplexing. Libraries were quantified using a Qubit and quality was measured using a Tapestation. All library preparation, quantification, quality assessment and control, were performed by the University of Colorado Anschutz Medical Campus Genomics and Microarray Core.

607

## 608 16S rRNA Amplicon Sequencing and Analysis

609 16S rRNA gene analysis was performed using fecal samples that were processed for isolation 610 of whole metagenomic DNA using a ZymoBIOMICS DNA kit (Zymo) and stored at -80°C. 611 Amplicons of the 16S rRNA gene V4 region were amplified using Earth Microbiome Project 612 primers 515F and 806R [100] with custom barcodes. Samples were sequenced on the Illumina 613 MiSeq platform with paired end 250 bp reads using bTEFAP technology [101] by MR DNA 614 (Molecular Research LP, Shallowater, TX), and processed using mothur v.1.44.0 [102]. 615 Sequenced reads, which averaged 607,915 ± 112,641.7 per sample, were demultiplexed, 616 assembled as contigs, and processed to remove chimeras and erroneous sequences per the 617 Kozich protocol [103] and mothur MiSeq SOP (https://mothur.org/wiki/miseq\_sop/ accessed

618 07/16/2020). Sequences were aligned to the Greengenes core reference alignment for 619 taxonomy using the 2013 release (gg\_13\_8\_99) [104]. Sequences were differentiated into 620 amplicon sequence variants (ASVs) using the make.shared command, resulting in a total of 621 8,108,071 sequences. Subsampling was performed using 186,745 sequences, which 622 corresponded to the smallest sample in our dataset. Diversity measurements were performed 623 using mothur calculators to estimate community richness (Chao1 estimator), community 624 evenness (Shannon evenness), and community diversity (inverse Simpson index).

625

#### 626 Decontamination and Read Processing

627 Metagenomic reads were decontaminated and trimmed as previously described [23] using 628 BBMap short read aligner v38.56 [105]. Briefly, raw reads were mapped to the internal Illumina 629 phage genome control phiX174 (J02482.1), human reference genome (hg38), and potential 630 laboratory contaminants including mouse genome (mm10), Enterococcus faecalis V583 631 genome (NC\_004668.1), E. faecalis OG1RF genome (NC\_017316.1), and E. faecalis phage 632 VPE25 (LT546030.1) using the bbsplit algorithm with default settings. Unmapped reads were 633 trimmed of adapter sequences, with low guality reads and reads of insufficient length removed 634 using the bbduk algorithm with the following parameters: ktrim = lr, k = 20, mink = 4, minlength =635 20, qtrim = f, as previously described [23].

636

#### 637 Metagenomic Assembly

Decontaminated and trimmed R1 and R2 reads were interleaved using the fq2fa --merge command from the IDBA-UD package [106]. Whole metagenome and VLP assemblies were performed using the MEGAHIT assembler v1.2.7 [107] using the default setting plus the following flags: --presets meta-large (--k-min 27 --k-max 127 --k-step 10) for large and complex metagenomes.

643

#### 644 Quantitative Read Mapping and Construction of the Curated VLP Contig Database

VLP reads were assembled into 25 individual sample sets, corresponding to the 25 individual 645 646 fecal samples included in our study. All contigs resulting from MEGAHIT assembly were filtered 647 to a minimum length of 5kb, resulting in a pool of 80,762 total contigs from all samples. Three 648 separate independently published methods were employed to identify putative phages from the 649 pooled set of contigs over 5kb in length. First, the P/M read mapping approach was used 650 whereby each sample's VLP and whole metagenome reads were mapped to their 651 corresponding assembled contigs, using BBMap as previously described [23]. After pooling, the 652 top 100 largest ratios of VLP reads to whole metagenome reads for all 25 read-mapping sets for 653 each sample were identified and pooled. Redundancy was removed using cd-hit-est at an 654 identity threshold of 95% resulting in 2117 unique contig sequences. Next, as a separate 655 method, putative phages from the 80,762 contigs were identified by searching for viral protein 656 family (VPF) hits, as previously described [41]. Separate filters were applied for VPF hits 657 calculated in relation to total genes, microbial genes, and percent non-viral Pfams. 2,902 contigs 658 were identified that contained 5 or more VPF hits and with non-viral Pfam hits below 20%. 263 659 contigs were identified with 5 or more VPF hits, with more viral gene content than microbial genes per contig, and 644 contigs were identified with 2 – 4 VPF hits and 0 microbial gene hits. 660 661 Finally, 976 contigs were identified with only 1 VPF hit per contig, and were included regardless 662 of microbial gene content. The third and final independent phage contig identification method 663 used was VIBRANT v1.2.1 [37], a neural network machine learning algorithm that identifies viral 664 protein signatures. VIBRANT identified 4,758 unique phage contigs. After combining these three 665 independent approaches used to identify unique sets of phages, all sets were combined and the 666 overlapping 660 contigs were used for analysis as the curated contig set. To assess contig 667 completion and contamination levels, CheckV v0.6.0 was used with standard operating 668 parameters.

669

#### 670 Differential Abundance Analyses

671 To calculate differential abundance in pairwise analyses, we first generated read mapping count 672 matrices by mapping all VLP reads to the curated contig set of 660 contigs. The bbmap 673 algorithm from the BBMap suite of tools was used with the following parameters: ambiguous = 674 random, qtrim = lr, minid = 0.97. Total raw read counts were aggregated per contig and 675 assembled into 25 count matrices for all samples, which were then used as input for DESeq2 676 v1.20.0 [53] running in R version 3.6.3 for comprehensive differential abundance analysis. Raw 677 un-normalized read count coverage values were used to compare fold changes across three 678 pairwise comparisons: CCP+ vs. HC, CCP- vs. HC, and CCP+ vs. CCP- groups. The standard 679 workflow for differential analysis within DESeg2 was used, producing logarithmic fold-change 680 values incorporating Wald tests for *p*-value calculations and the Benjamini-Hochberg multiple 681 testing correction for the adjusted p-value. In total, 178 phage contigs from our set of 660 were 682 found to be differentially abundant using thresholds of  $log_2$  Fold Change < -1 or > 1 and 683 adjusted p-value < 0.001.

684

## 685 VLP Clustering, Phage Host Matching, and AMG Identification

686 Clustering of all viral contigs within the RA virome described in this study was performed using 687 two lists of contigs, the total 4,785 viral sequences identified by all filters of the VPF method, as 688 well as the final curated set of 660 contigs. First, all 4,785 sequences were screened against the 689 most recent iteration of the public viral database IMG/VR v3.0 [44] using blastn with 95% 690 sequence similarity over 85% of each 1kb region of the contig, which resulted in 19,892 viral 691 sequences. Then, a total of 24,926 sequences were screened against each other using blastn 692 with the same parameters and omitting duplicate hits. Markov clustering of these 9.4 million 693 connections resulted in a total of 1,193 clusters encompassing 22,306 total sequences. Overall, 694 2,420 of the 4,785 total RA virome sequences were clustered into 1,184 clusters. Of these 695 clusters, 41 contained a reference viral isolate, 1,037 contained another metagenomic viral 696 contig from IMG/VR, and 106 were identified as originating from RA metagenomic sequencing 697 projects. Lastly, clustering was also calculated for the 660 curated viral sequences, which 698 resulted in 266 individual clusters containing 336 (roughly 48% of curated set) unique 699 sequences. Phage host assignments were determined via bacterial CRISPR spacer matching 700 as previously described [23], requiring at least 93% sequence identity match over the entire 701 spacer length and allowing for up to 2 mismatches. Of our 660 curated contig list, 207 (31.4%) 702 had CRISPR spacers matching reference isolates therefore leading to host predictions for a 703 third of our final contigs. VIBRANT v1.2.1 was used to identify auxiliary metabolic genes (AMGs) 704 according to KEGG metabolic pathway annotations. VIBRANT annotates using VOG, Pfam, and 705 KEGG databases: therefore, if the best HMM hit is to the KEGG database and also if the 706 annotation is in a metabolic pathway, the hit gets called as an AMG.

707

#### 708 Data Visualizations

Various R packages were used, including DESEq2, ggplot2, ComplexHeatmap, pheatmap, corrplot, RColorBrewer, and EnhancedVolcano. Graphpad Prism v8.4.3 was used for all supplemental calculations. Lastly, SankeyMATIC (<u>https://github.com/nowthis/sankeymatic</u>) and meta-chart (<u>https://www.meta-chart.com/venn</u>) were used to create the Sankey and Venn diagrams depicted in Figure 1, respectively.

714

#### 715 FIGURE LEGENDS

**Figure 1. Generation and curation of** *de novo* **assembled VLP contigs.** Metagenomic sequencing was carried out for 25 samples belonging to 3 cohorts of individuals, FDRs at risk for developing RA later in life with either CCP+ or CCP- serology status, and a Healthy Control (HC) group. (A) Contigs were assembled *de novo* for all samples, ranging from 30,011 to 284,689 contigs per sample, and a total of 3,557,500 contigs for the entire sample set. Each node on the Sankey diagram is scaled to the number of contigs it contains. Thresholds of

722 minimum contig sizes being greater than 1 and 5 kilobases reduced the total numbers to 723 564,228 and 80,762 contigs respective to the size cut-off. Three independent methods were 724 used to identify putative phages from the list of 80,762 contigs (boxed portion of panel A), 725 resulting in 2,117 contigs from the P/M ratio method, 4,785 contigs from the Viral Protein Families method, and 4,758 contigs using the VIBRANT algorithm. (B) A Venn diagram was 726 727 created to show the overlap of redundant contigs identified among the three methods. 660 728 unique contigs were identified independently by all phage identification methods. (C) CheckV 729 analysis of the three separate methods as well as the final set of curated contigs revealed a 730 disparity in host contamination, with the set of 660 contigs being relatively free of host bacterial 731 contamination. Colors were assigned to the CheckV categories that account for prophage 732 elements based on their position on the contig sequence, as well as pure viral (green) and pure 733 bacterial (grey) classifications.

734

735 Figure 2. Clustering with metagenomic viral contigs reveals viral ecological composition. 736 (A) Host assignments for the set of curated phages based on Markov clustering to the IMG/VR 737 database metagenomic viral clusters or direct match to bacterial CRISPR spacers, based on 738 cohort abundance. Bacteroidaceae. Lachnospiraceae, Ruminococcaceae, and 739 Streptococcaceae hosts are evident to be cumulatively more abundant than other bacterial 740 families, especially for the CCP+ cohort. (B) Cladogram of the complete host phylogeny at the 741 genus level for all spacers identified from total RA virome via the VPF method. The pie chart at 742 the center represents all 958 CRISPR spacers from the family level quantified in panel A that 743 have been color coordinated on this cladogram as well. Total host hits were quantified at the 744 genus level and are represented in relative size by colored circles, indicating host assignments 745 that were discerned via clustering (dark grey) and those that were identified via direct CRISPR 746 spacer matching (light grey). Total CRISPR spacers per contig with family level host taxonomy

747 assignments were tabulated per cohort group (C) and differentiated as narrow or broad phage748 host ranges (D) based on target uniformity to bacterial family.

749

750 Figure 3. Phage-host assignments for curated VLP contigs reveal cohort-based 751 differential read recruitment among several bacterial families. Relative abundances were 752 calculated for all VLP reads mapped to phages predicted to target Bacteroidaceae (A). 753 Clostridiaceae (B), Lachnospiraceae (C), Ruminococcaceae (D), Streptococcaceae (E), and 754 Veillonellaceae (F) bacterial families. For these analyses, VLP reads were mapped to predicted 755 phage contigs to which CRISPR spacers were assigned using bbmap at a 97% minimum read-756 mapping identity level. Scaffold abundances were averaged across all samples and statistics 757 were determined by nonparametric Wilcoxon tests (\* p < 0.05, \*\* p < 0.01, \*\*\*\* p < 0.0001).

758

759 Figure 4. CRISPR spacer host metadata reveal CCP+ phages represent greater variability 760 in microbial host ecology. Phage host isolate ecology metadata was compiled from 761 JGI/GOLD v7.0 and broken down by Ecosystem, Ecosystem Category, Ecosystem Type, and 762 Ecosystem Subtype distributions accordingly for all CRISPR spacers identified within our list of 763 660 phages. (A) Ecosystem Distribution showing the percent host-associated spacers 764 calculated for each contig based on cohort distribution. (B) Ecosystem Category distribution 765 showing the percent human-associated spacers. (C) Ecosystem Type distribution showing the 766 percent of contigs that contain spacers originating from the digestive system. (D) Ecosystem 767 Subtype showing the percent of contigs that contain spacers originating from the large intestine 768 microenvironment. Cohort distributions based on these metadata revealed a disproportionate 769 distribution of CRISPR spacers among samples originating from CCP+ individuals when 770 compared to CCP- or HC groups. Statistical significance was determined using pairwise 771 Wilcoxon rank sum tests for comparisons between the three groups, using the Benjamini-Hochberg correction for multiple testing comparisons (\* p = 0.023, \*\*\*\*  $p < 2 \times 10^{-16}$ ). 772

773

774 Figure 5. Quantitative read mapping exposes differentially abundant contigs despite 775 sample cohesiveness. Quantitative read mapping of all VLP read sets to the final curated 660 776 phages reveals contig-contig dissimilarities despite minimal sample-sample variance or intra-777 sample hierarchical clustering. Differential abundance calculations were carried out within the 778 DESeg2 package by way of 3 pairwise comparisons: CCP+ vs. HC, CCP- vs. HC, and CCP+ 779 vs. CCP-. (A, B, C) Analyses of the first and second principal components for sample-to-sample 780 exploratory analyses revealed minimal variance explained across all comparisons. (D. E. F) 781 Euclidian distances for sample-sample read-based coverages were used for hierarchical 782 clustering across all pairwise comparisons reveal minimal clustering based on sample type. (G. 783 H, I) Volcano plots reveal 9%, 10%, and 8% of contigs included in our analysis are differentially 784 abundant respective to CCP+ vs. HC, CCP- vs. HC, and CCP+ vs. CCP- group-based 785 comparisons of specific contig community members.

786

787 Figure 6. Phage auxiliary metabolic gene abundances highlight cohort-associated 788 disparities in potential metabolic function. AMGs were identified within the VIBRANT 789 algorithm, based on screening 2,835 auxiliary metabolic genes with KEGG Orthology 790 annotations (March 2019 KEGG release, ftp://ftp.genome.jp/pub/db/kofam/archives/2019-03-791 20/). (A) Total counts per KEGG Pathway were used normalize relative abundance of AMGs per 792 sample, which were clustered using the ComplexHeatmap package in R. Areas in black indicate 793 no AMG hits were present for the entire cohort for the 660 contig samples. (B) Differentially 794 abundant contig for the CCP+ to HC pairwise comparison, visualizing only the contigs which 795 had CRISPR spacer-predicted hosts. Color-coded stars belong to a list of AMGs and indicate 796 association with the contig they are adjacent to. (C) Differentially abundant contigs for the CCP-797 vs HC comparison.

## 799 **TABLES**

#### **Table 1. Summary of the Subjects' Characteristics for the Samples Included in the Study**

VARIABLE	HC	CCP+	CCP-
Count	9	8	8
Age (mean)	44.4	61.3	49
Age (SD)	13.6	11	15.7
Sex (% female)	66.7	88.9	62.5
Serum CCP+ (%)	0	100	0
Ever smokers (%)	22.2	33.3	0

801

#### 802 SUPPLEMENTAL INFORMATION

803 Figure S1. Overview of methods for VLP isolation and phage identification from 804 sequencing reads. (A) Individual stool samples were homogenized and split into P and M 805 subsamples for generating VLP and whole metagenome DNA, respectively. (B) Total 806 sequencing reads generated per sample for each P and M read sets, after quality control and 807 decontamination. (C) Total assembled contigs with length greater than 5kb generated per 808 sample for each P and M read sets. (D) Overview of the computational pipeline used to identify 809 phages; from short-insert pair end read sets averaging approximately 75M read pairs per 810 sample, to the 80,762 de novo assembled contigs greater than 5kb in length, and the three 811 independent methods for phage identification (P/M, VPF, VIBRANT).

812

Figure S2. Estimation of contig completeness by CheckV. Distribution of contig lengths across contig quality categories according to the MIUViG standards. Contigs derived from the (A) P/M ratio method of phage identification, (B) the VPF method, (C) VIBRANT algorithm, and finally (D) the curated contig list. Boxplots depict the following five summary statistics: median, lower and upper hinges corresponding to the first and third quartiles, and two whiskers corresponding to 1.5 times the interquartile range between the first and third quartiles. Points beyond the whiskers correspond to outlier points.

820

821 Figure S3. Lifestyle and morphology distributions of curated phage contigs. (A) Total contigs per sample among the three groups, divided according to infection mechanism (lytic vs. 822 823 lysogenic) as determined by the VIBRANT algorithm. (B) Relative abundances of phage 824 lifestyles as determined by the VIBRANT algorithm. In total, for our 660 predicted phages, 467 825 (70.8%) are classified as lytic and 193 (29.2%) are classified as lysogenic by VIBRANT. (C) 826 Viral taxonomy of all contigs per sample including the top four morphotypes: Siphoviridae, 827 Myoviridae, Podoviridae, and Microviridae. (D) Relative abundance of all viral morphotypes 828 identified for all 660 phages. Viral taxonomy was determined using a custom database 829 described in this preprint by Kieft et al. (2020; bioRxiv preprint doi: https://doi.org/10.1101/2020.08.24.253096). 830

831

Figure S4. Clustering distribution of singletons and viral groups. (A) Total singletons, viral contigs that did not cluster with any other genome, per sample and RA cohort group. (B) Distribution of total viral clusters in relation to the number of viral genomes clustered within each group.

836

837 Figure S5. CRISPR spacer host metadata distribution of environmental and engineered 838 derived phages per cohort. Phage host isolate ecology metadata was compiled from 839 JGI/GOLD v7.0 at the highest Ecosystem classification level for all CRISPR spacers identified 840 within our list of 660 phages. Data is presented as percent of spacers per contig whose 841 metadata is designated as originating from (A) environmental or (B) engineered environments, 842 distributed across the three RA cohort groups. Statistical significance was determined using 843 pairwise Wilcoxon rank sum tests for comparisons between the three groups, using the Benjamini-Hochberg correction for multiple testing comparisons (\* p = 0.011, \*\*\*\*  $p < 2 \times 10^{-16}$ ). 844

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Figure S6. Principal component analyses based on quality, predicted phage lifestyle, and sample cohort. Principal components for the final curated set of 660 contigs derived from the VIBRANT phage identification program categorized by (A) contig quality, (B) phage lifestyle, and (C) cohort group. Total identified open reading frames were incorporated in analyses in (A) and (B), showing a greater dispersion of smaller sized contigs and a consensus grouping of bigger contigs.

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853 Figure S7. Analysis of bacterial family diversity from fecal samples based on 16S 854 sequencing and analyzed using mothur. (A) Relative abundances of bacterial families based 855 on ASV binning reveals a significant difference in Lachnospiraceae bacteria originating from 856 CCP+ fecal DNA samples. Unpaired nonparametric Mann-Whitney tests were used to compare 857 ranks, revealing p values of 0.0464 comparing CCP+ to HC individuals. Community richness 858 was measured by the standard observed richness calculator in mothur (B) as well as the Chao1 859 richness estimate (C). Community evenness was measured using the Shannon index (D), and 860 community diversity was measured using the inverse Simpson index (E). No statistically 861 significant differences were observed among any of the above calculators using nonparametric 862 tests of significance among the three groups.

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Figure S8. Distribution of auxiliary metabolic genes found on curated contigs. (A) A total of 252 AMGs were discovered among our 660 phages, distributed across the three cohorts. (B) AMGs were categorized predominantly as belonging to amino acid and cofactor/vitamin metabolism categories.

868

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#### 879 AUTHOR CONTRIBUTIONS

- 880 Conceptualization, M.R.M, D.P., A.C., K.A.K., and B.A.D.; Methodology, M.R.M., D.P., K.K.,
- A.C., J.A.S., M.L.F., M.K.D., and B.A.D.; Investigation, M.R.M, D.P., K.K., A.C., M.E.C.; Sample
- 882 Procurement, M.E.C., J.A.S., M.L.F., and M.K.D.; Visualization, M.R.M, D.P. and K.K.; Writing -
- 883 Original Draft, M.R.M and B.A.D.; Writing Review & Editing, M.R.M, D.P., K.K., A.C., M.E.C,
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- 885 B.A.D.; Supervision, B.A.D., V.M.H., K.D.D., K.A., A.S. and K.A.K.
- 886

## 887 DECLARATION OF INTERESTS

D.P.E is an employee of Mammoth Biosciences and co-founder/employee of Ancilia
Therapeutics. A.S. is the founder/employee of Ancilia Therapeutics. B.A.D. is a co-founder and
shareholder of Ancilia Therapeutics.

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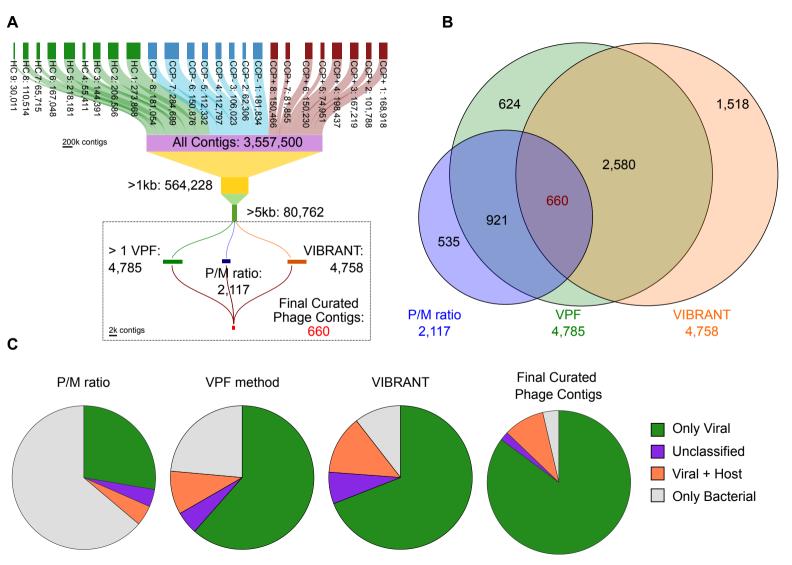
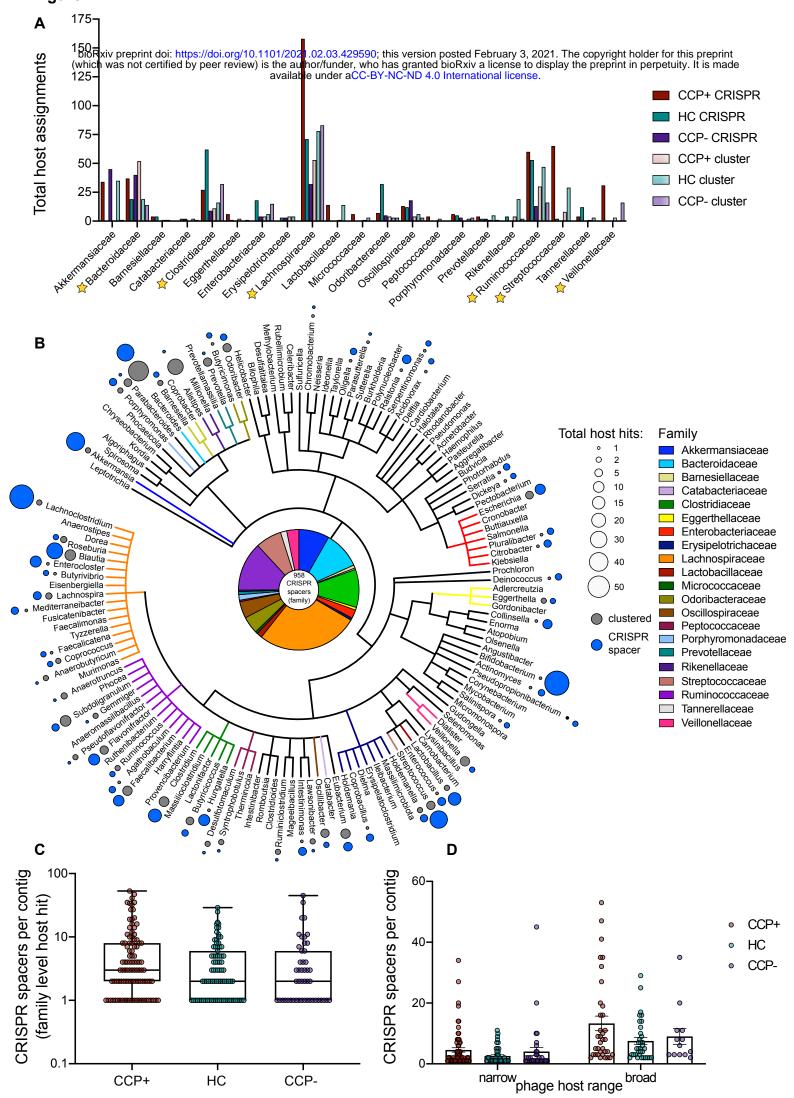
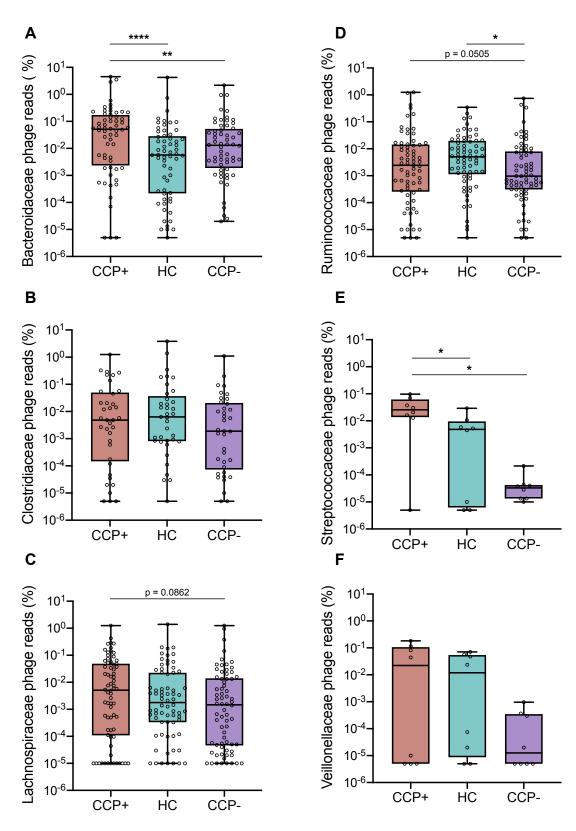


Figure 2





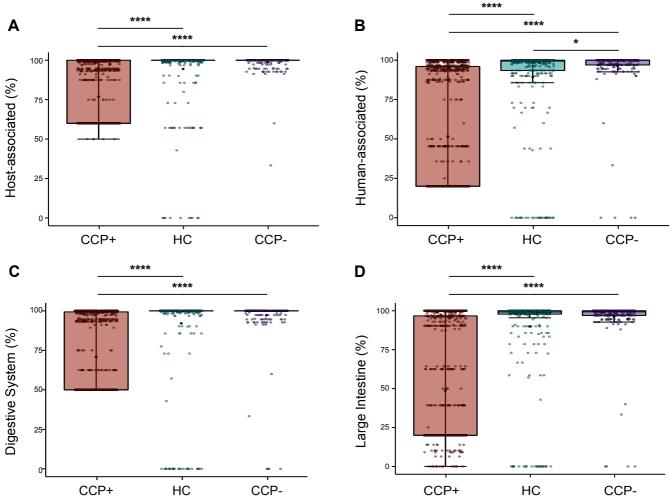


Figure 5

