Microbial population dynamics are dominated by stochastic forces in a low biomass subseafloor habitat

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Abstract

The subseafloor is a vast global habitat that supports microorganisms that have a global scale impact on

geochemical cycles. Much of the subseafloor contains endemic microbial populations that consist of small

populations under growth-limited conditions. For small population sizes, the impacts of stochastic

evolutionary events can have large impacts on intraspecific population dynamics and allele frequencies.

These conditions are fundamentally different than those experienced by most microorganisms in surface

environments, and it is unknown how small population sizes and growth-limiting conditions influence

evolution and population structure. Using a two-year, high-resolution environmental time-series, we

examine the dynamics of 10 microbial populations from cold, oxic crustal fluids collected from the

subseafloor site North Pond, located near the mid-Atlantic ridge. The 10 microbial populations were

divided into groups with distinct patterns of population dynamics based on abundance, nucleotide

diversity, and changes in allele frequency. Results reveal rapid allele frequency shifts linked to different

types of population interactions, including sweeps, dispersal, and clonal expansion. Dispersal plays an

important role in structuring the most abundant populations in the crustal fluids. Microbial populations in

the subseafloor of North Pond are highly dynamic and evolution is governed largely by the stochastic

forces of dispersal and drift.

Importance

The cold, oxic subseafloor is an understudied habitat that is difficult to access, yet important to global

biogeochemical cycles and starkly different compared microbial habitats on the surface of the Earth. Our

understanding of microbial evolution and population dynamics has been largely molded by studies of

microbes living in surface habitats that can host 10-1,000 times more microbial biomass than has been

observed in the subsurface. This study provides an opportunity to observe evolution in action within a low

biomass, growth-limited environment and reveals that while microbial populations in the subseafloor can

be influenced by changes in selection pressure and small-scale gene sweeps, the stochastic forces of

genetic drift and dispersal have an important impact on the evolution of microbial populations. Much of

the microbial life on the planet exists under growth-limited conditions and the subseafloor provides a

natural laboratory to explore these fundamental biological questions.

Introduction

The marine subsurface is home to more than half of the archaea and bacteria inhabiting the oceans[1,2]. Marine subsurface habitats are frequently energy-limited environments, which impacts the total biomass that can be supported in these environments[3]. As a result, microbial communities in these habitats are composed of relatively small populations[4-6] ($<10^4$ cells per unit volume). Population size can have important consequences for how microbial populations evolve over time, and factors such as genetic drift can have an exaggerated impact on small microbial populations compared to habitats in which microbial populations are larger. Most previous studies of adaptation in natural microbial communities have focused on habitats with high biomass and thus larger population sizes, such as the surface ocean, deep-sea hydrothermal vents and freshwater lakes[7-10]. It is unclear how natural selection and genetic drift shape the evolution of microbial communities in low-biomass habitats, despite the fact that a substantial fraction of global microbial biomass is found in such habitats.

North Pond, located near the mid-Atlantic ridge, offers a unique opportunity to examine dynamics of microbial adaptation in natural populations in a low-biomass, growth-limited habitat[11]. The North Pond site consists of exposed crustal ridges with a depression that has accumulated <100 m of low permeability sediment, resulting in the bulk of fluid exchange and transport to occur beneath the sediment basin. This subseafloor aquifer connects the deep waters of the oceans through a porous network of crustal rock, which globally contains about 2% of the oceans' volume[12-14]. The crustal aquifer beneath the sediments of North Pond is accessible through two circulation obviation retrofit kits (CORKs) installed in 2011, providing direct access to the fluids circulating within the aquifer, while preventing exchange with the overlaying water and sediment environments[15,16]. Repeated sampling in 2012, 2014, and 2017, including an eight sample *in situ* time-series collected from 2012-2014, has revealed a dynamic microbial community with a high degree of functional redundancy in a complex hydrological system depleted in dissolved organic carbon (DOC)[6,17-19]. The aquifer microbial communities are distinct from bottom seawater, and there are multiple lines of genetic evidence to suggest that the microbial community within the aquifer is motile and metabolically flexible, with the ability to carry out both autotrophic and organotrophic pathways [6,17,20]. The North Pond subseafloor microbial community is low biomass[6,20] (10^3-10^4 cells ml^{-1}) and incubation experiments suggest the North Pond microbial community is growth-limited and that increasing temperature and enrichment with DOC result in rapid growth (E. Trembath-Reichert, S. R. Shah Walter, M. A. Fontánez, P. D. Carter, P. R. Girguis, J. A. Huber, submitted for publication).

It has been hypothesized that in energy- and growth-limited environments, such as low-deposition deep-sea sediments, adaptive mutations would be unable to sweep through populations and mutations would accumulate as a result of energy limitation[21]. However, the crustal aquifer does not reflect the limited fluid flow and isolated cellular environments in low-energy sediments. North Pond fluids are

capable of traversing distances of approximately[19] 2-40 m day^{-1}, though this fluid flux is spatially and

temporally complex, resulting in convective and oscillatory fluid movement rather than linear flow along

the North-South axis[22]. Fluid movement appears to impact the microbial community, as previous analysis

of the system revealed discrete ecological units within the time-series that would oscillate between

presence and absence with extended time periods (*e.g.*, 100s of days) between reoccurrences[6]. However,

it is unclear how this dynamic environment impacts natural selection and genetic drift on the endemic

microbial populations.

Here, we present an analysis of the intraspecific population dynamics of the North Pond aquifer

microbial community from a time series spanning 10 time points over a two-year period. We show that

abundant microorganisms within the aquifer have patterns of genome diversity where large-scale genomic

sweeps are infrequent and small gene sweeps, genetic drift, and dispersal of populations from the larger

metapopulation within the aquifer may have a more pronounced impact on genome diversity. The

metagenomic samples collected from North Pond between 2012-2014 present a unique opportunity to

explore microbial population dynamics on short timescales and provide new understanding as to how

microorganisms adapt and persist in the largest habitat on the planet.

Materials and methods

Sample collection and sequencing

As has been described elsewhere[6,19,20], North Pond water samples were collected from two CORKs at

holes U1382A and U1383C in 2012 and 2014. For sample time points (TP) during expeditions (TP0 in

April 2012 and TP9 in April 2014), the Mobile Pumping System (MPS) attached to the *ROV* Jason II was

used to collect samples through umbilical lines connecting the CORK platform to fluids in the aquifer.

For samples collected between expeditions (TP1-TP8), the battery powered GEOMicrobeSled[23,24]

deployed on the CORK collected samples approximately every two months. In all instances, lines were

purged to remove stagnant water prior to sampling and all samples were fixed with RNALater *in situ*. A

complete set (n = 8) of *in situ* samples were collected from U1382A and provide the necessary samples

and resolution for downstream analysis (as described below). Previously described in Tully *et al.*[6], DNA

was extracted from filters using a phenol chloroform method[25] and paired-end sequencing was performed

on an Illumina HiSeq 1000 at the Marine Biological Laboratory. Raw sequences were quality controlled

using Cutadapt[26] v1.7.1 (parameters: -e 0.08 - -discard-trimmed - -overlap = 3) and Trimmomatic[27] v0.33

(parameters: PE SLIDINGWINDOW:10:28 MINLEN:75).

Metagenome-assembled genomes and manual curation

To build upon the set of MAGs generated in Tully *et al.*[6], the quality controlled paired end reads were

assembled using three different approaches to maximize recovery of MAGs that had previously remained

unbinned. A detailed methodology has been provided in the Supplementary Information and a

comprehensive assessment of the final set of MAGs can be found in Supplemental Data 1.

SNV identification and analysis

anvi'o[28] v5.0 contig databases were generated for all MAGs. FASTA files of the contigs of each MAG

were converted to an anvi'o database (anvi-gen-contigs-database --skip-mindful-splitting). Bowtie2[29]

v2.3.4.1 (parameters: --no-unal) was used to create recruitment profiles for each MAG in each sample.

The output SAM format file was converted to a sorted BAM format file using samtools[30] v1.9 and reads

with <95% sequence identity over 75% of the alignment were filtered from the recruitment profile using

BamM v1.7.3 (parameters: --percentage_id 0.95 --percentage_aln 0.75;

https://github.com/Ecogenomics/BamM). Recruitment profiles were merged with anvi-merge. During the

anvi-profile step, the flag --profile-SCVs was set to include identification of single nucleotide variants

(SNVs) and single codon variants (SCVs). Each individual MAG anvi'o database had the collection value

'DEFAULT' and bin value 'EVERYTHING' added using anvi-script-add-default-collection. Using those

collection and bin values, anvi-gen-variability-profile with default parameters and the flags --engine AA,

--include-contig-names, and --engine CDN to extract SNV, single amino acid variants (SAAVs), and

SCVs, from the profiles of each MAG in each North Pond sample (Supplemental Data 2). The SCV and

SAAV values were used to calculate pN/pS ratios using anvi-script-calculate-pn-ps-ratio (anvi'o v6.2).

The sorted, filtered BAM format recruitment profiles described above were used to determine

read counts for each contig using featureCounts[31] v1.5.3 (default parameters) as implemented within

Binsanity-profile[32] v0.3.3 (default parameters). Read counts were converted to the normalized unit reads

per kilobase pair MAG per Megabase pair of metagenomic sample (RPKM; Supplemental Data 3). Read

counts were also used to determine the relative fraction of each MAG in each metagenomic sample and

combined with cell count data collected as part of Tully *et al.*[6] (Eq. 1) to estimate the number of cells per

MAG present in each sample (Supplemental Data 4).

$Eq. 1\ (reads\ recruited\ to\ MAG/total\ reads\ in\ metagenomic\ sample)\ x\ cells\ per\ mL$

It is important to note that without sufficient controls, all metagenomic data is compositional in nature

and is impacted by numerous biases of sampling and sequencing methodology[33]. Thus, estimates of cell

abundances derived from metagenomic data should be considered to be imprecise estimates of absolute

abundance[21].

U1382A provided a high-resolution sample dataset (10 time points over ~825 days) and MAGs

detected in these samples were selected for downstream analysis based on two criteria from the time

1 time point. Only time points that had ≥5 RPKM were considered for SNV calculations. This criterion

was set to ensure that most base pairs in each MAG had at least 20× read coverage, which would be

required to reliably call SNVs. As has been reported previously[34-36], MAGs tend to represent a cohesive

population represented by a >95% nucleotide identity boundary. To confirm that a majority of the signal

for each population came from closely related organisms, RPKM values were recalculated and compared

using recruited reads filtered to 99% identity over 75% of the length of the alignment using BamM

(parameters: --percentage_id 0.99 --percentage_aln 0.75; Supplemental Data 3). Two of the MAGs

(NORP143 and NORP246) were taxonomically assigned to the genus *Shewanella* and shared 98.2% ANI,

as determined with FastANI[35]. For consideration of this analysis these MAGs were deemed to represent

the same species-level population and NORP143 (67.24% complete, 9.87% redundancy) was removed

from downstream analysis while NORP246 was retained (82.57% complete, 2.28% redundancy; Table 1).

The features identified in NORP246 were confirmed by creating a new anvi'o database with recruited

reads filtered to 99% identity over 75% of the length of the alignment (as above). To ensure consistency

of presentation, all analysis below was based on anvi'o databases constructed using recruited reads filtered

to 95% identity over at least 75% of the length of the alignment.

Table 1. Genome statistics, taxonomic assignment, relative abundance values for persister and bloomers designated MAGs.

						Calendar Date	25-Apr-12	6-Aug-12	5-Oct-12	4-Dec-12	2-Feb-13	3-Apr-13	2-Jun-13	1-Aug-13	30-Oct-13	5-Apr-14
						Julian Days	116	219	279	339	399	459	519	579	669	826
Classification	MAG ID	Complete (%)	Redundancy (%)	Strain Heterogeneity (%)	Length (bp)	GTDB Taxonomy	TP0 (RPKM)	TP1 (RPKM)	TP2 (RPKM)	TP3 (RPKM)	TP4 (RPKM)	TP5 (RPKM)	TP6 (RPKM)	TP7 (RPKM)	TP8 (RPKM)	TP9 (RPKM)
Persister	NORP83	68.26	0.87	100.00	2,484,891	(o) Rhizobiales	0.02	16.62	0.23	13.69	11.27	2.73	9.95	1.04	0.38	0.00
	NORP139	65.80	0.39	100.00	1,500,119	(f) Parvibaculaceae	2.13	6.84	6.90	4.37	9.78	8.50	6.97	1.27	1.21	0.01
	NORP147	64.94	2.41	14.29	2,818,071	(f) Rhizobiaceae	0.00	36.41	0.08	20.33	12.36	0.11	0.30	0.02	0.02	0.03
	NORP163	85.59	0.35	14.29	2,163,954	(c) Gammaproteobacteria	20.86	16.51	48.66	3.43	2.92	1.12	0.24	19.77	20.95	2.97
	NORP169	97.79	0.74	33.33	2,915,406	(g) Nitratireductor_B	2.12	6.53	5.09	0.82	0.52	1.43	8.38	4.84	4.14	0.08
	NORP246	82.57	2.28	52.94	4,176,188	(g) Shewanella	0.02	11.60	0.10	8.34	5.24	1.74	0.12	0.10	0.13	0.00
Bloomer	NORP6	82.65	0.60	0.00	3,242,937	(g) Desulforhopalus	0.00	0.00	0.00	0.00	88.61	0.00	0.02	0.00	0.00	0.00
	NORP57	98.28	4.42	78.26	5,236,658	(g) Halomonas	0.04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	38.25
	NORP100	94.63	2.69	0.00	4,801,899	(o) Thiohalomonadales	1.05	0.10	1.97	0.08	0.16	0.11	0.01	2.46	2.77	38.98
	NORP167	58.25	0.00	0.00	2,959,101	(f) Flavobacteriaceae	0.03	30.13	0.07	0.13	0.01	0.00	0.00	0.01	0.00	0.00

Functional annotation and taxonomic assignment

Protein sequences as determined within anvi'o were submitted to the GhostKOALA KEGG annotation

and mapping service[37] (Submitted 23 October 2018; parameters: genus_prokaryotes +

family_eukaryotes). KEGG annotations were added to the anvi'o contig databases for each MAG using

the Python script KEGG-to-anvio (https://github.com/edgraham/GhostKoalaParser) and anvi-import-

functions (http://merenlab.org/2018/01/17/importing-ghostkoala-annotations/).

GTDB-Tk[38] v1.3.0 (classify_wf default parameters) using database R95 was used to determine a

taxonomic assignment for each MAG.

Data transformations for analysis

The SNV occurrence table generated by anvi'o was filtered by retaining all entries with >0 entropy value,

SNV positions ≥20× coverage in the time point(s) of interest, and if the departure from consensus was

≥0.10. Putative SNVs at each time point were converted to nucleotide diversity (SNVs kbp^{-1})[21] based on

the full length of the MAG (Supplemental Data 2). Time points for which a MAG was present at <5

RPKM were not used to calculate SNVs kbp^{-1} or considered in further analysis.

Majority allele frequency was calculated by identifying the consensus allele for each position and

dividing the number of occurrences of that allele by the total coverage for that site. For each MAG, mean

majority allele frequency was determined by averaging all majority allele frequencies for each SNV

across the entire MAG. Positions for which no SNV was recorded were assumed to be fixed at the

reference allele in the contig. If the consensus allele did not match the reference allele in the contig, then

the position was assumed to be fixed at the consensus allele. Hierarchical clustering was performed and

plotted using seaborn (doi: 10.5281/zenodo.3767070) with the default clustermap settings (average

distance linkage method and Euclidean distance metric).

For each MAG, gene coverage values were exported from the anvi'o databases using anvi-export-

gene-coverage-and-detection. Gene frequency in a sample was calculated by dividing each gene coverage

value by the median coverage value of all genes. Genes of interest were identified based on changes in

gene frequency such that the maximum frequency value minus the minimum frequency value in the time

points of interest was ≥1. Genes identified in this manner lacking a KEGG annotation were compared

against GenBank nr using BLASTP (Supplemental Data 5). Hierarchical clustering was performed and

plotted using seaborn, as above.

Putative genes were assigned to the broad KEGG categories (*e.g.*, carbohydrate metabolism,

energy metabolism, *etc*.) based on KEGG annotations (Supplemental Data 6). For each MAG, the

calculated pN/pS ratios for all putative genes within each KEGG category was compared in a pairwise

manner against the relevant time points (≥5 RPKM) with the Mann-Whitney U test[39] and Benjamini-

Hochberg[40] corrected *p*-values assuming a 5% false discovery rate. pN/pS values for KEGG categories

with significant difference between time points were plotted as box and swarm plots with seaborn.

Results and Discussion

Improving North Pond metagenome-assembled genomes

By comparing and combining the total set of new MAGs to the original 2018 NORP MAGs[6] (n = 997

MAGs), it was possible to replace 22 2018 NORP MAGs with newly reconstructed MAGs with higher

completion and/or lower redundancy statistics and to produce 137 completely new MAGs, previously

unrecovered from the metagenomic datasets (Supplemental Data 1). Additional results and discussion of

these MAG results can be found in the Supplementary Information.

Intraspecific population diversity of microbes in North Pond fluids

To conduct a robust analysis of genome dynamics at borehole U1382A over the two-year time series, we focused on MAGs that occurred in at least three samples with ≥5 RPKM (Table 1; Figure 1; Supplemental Data 3). In total, six MAGs met these criteria. The set of mapped metagenomic reads to each MAG represents the overall population diversity of a corresponding microbial population. Therefore, the terms "MAG" and "population" are used interchangeably for the remainder of the manuscript. To reflect the fact that the populations represented by these MAGs persisted in multiple samples, the group was defined as "persisters." We identified another four MAGs with high coverage (>30 RPKM) in only one sample. In all four instances, these MAGs had low coverage (<3 RPKM) in the other time points, with three having extremely low coverage at other time points (<0.15 RPKM) (Table 1; Figure 1; Supplemental Data 3). This group of four MAGs were defined as "bloomers." As reviewed in Van Rossum *et al.*[41], variation with populations is added and removed through soft sweeps, genetic drift, and dispersal. Through this lens, we conducted an in-depth analysis of these 10 MAGs to better understand the impacts of dispersal, genome sweeps, and drift on microbial populations in the aquifer. Due to the complexity of fluid movement within the aquifer, it is challenging to distinguish between dispersal and drift, thus we assumed that rapid shifts in population structure were likely associated with dispersal, but in all instances, drift may contribute to or cause the observed shifts.

Figure 1. Scatter plot of the nucleotide diversity for each of the six "persister" and four "bloomer" MAGs for the ten time points sampled from North Pond CORK U1382A. The size of each point is scaled by the RPKM in the time point. Only time points with ≥ 5 RPKM are included. Lines denote points from the same MAG and do not display continuous data.

Low nucleotide diversity and clonal expansion. We designated MAGs demonstrating a sudden abundance

increase as "bloomers," suggesting they had undergone rapid growth in the subseafloor (Table 1). Thus,

these MAGs were candidates for a putative clonal expansion. Clonal expansions occur when a population

undergoes rapid growth, usually due to expansion into a new niche, and subsequently does not have

enough time to accumulate mutations within the population[42]. We used single nucleotide variants (SNVs)

per kilobase pair as a measure of genomic diversity within the populations represented by these MAGs.

NORP6 and NORP57 had low nucleotide diversity (<2 SNVs kbp^{-1}) and low abundance (<0.04 RPKM;

equivalent to approximately <1 cell mL^{-1}) in all other time points except for the "bloom" time point of

interest (Figure 1, Table 1). The low observed nucleotide diversity suggested that these particular

populations may have undergone a recent clonal expansion relative to the other populations (Figure 1).

The other two "bloomers," NORP100 and NORP167, do not show the reduced nucleotide

diversity expected for a clonal expansion and instead seem to have undergone rapid changes in abundance

due to the movement of populations through the borehole. NORP100 was found in high abundance (38.98

RPKM; equivalent to approximately 5,209 cells mL^{-1}) at a single time point (T9) but had a higher

nucleotide diversity (5.7 SNVs kbp^{-1}) compared to NORP6 and NORP57 (Figure 1). Unlike the other two

"bloomers," NORP100 was present in the preceding time points (TP7 and TP8) but at low abundance

(2.46 and 2.77 RPKM, respectively; equivalent to approximately 211 and 65 cells mL^{-1}, respectively),

suggesting that the higher observed nucleotide diversity for NORP100 may be the result of accumulation

of mutations in the nine months between TP7 and TP9, followed by a period of growth. As such, this

increase in abundance from TP8 to TP9 may represent growth of multiple co-occurring genotypes, and

not a single clonal expansion. In contrast, NORP167 appeared to be an example of dispersal rather than

clonal expansion. NORP167 was virtually absent from the preceding time point (TP0; 0.03 RPKM;

equivalent to approximately <1 cell mL^{-1}), but was observed at TP1 with relatively high nucleotide

diversity (16.3 SNVs kbp^{-1}), and subsequently a more complex population structure, unlike the expected

population structure after a clonal expansion. The high diversity observed in NORP167 suggested that the

observed population may have migrated into the borehole prior to (or during) sampling from elsewhere

within the aquifer.

For the four populations labeled as "bloomers," SNV analysis was limited to a single time point.

For the six populations labeled as "persisters," multiple time points were used to assess the role of

selection, drift, and dispersal on the population (Figure 1). The population represented by the MAG

NORP147 (unknown *Rhizobiaceae*) displayed genomic variation patterns indicative of clonal expansion

followed by dispersal through the aquifer (Figure 2A). NORP147 transitioned from no/low abundance to

high abundance twice during the sampling period (between TP0-TP1 and between TP2-TP3), which may

correspond to two separate clonal expansion events (Table 1). The NORP147 intraspecific TP1-

population had low nucleotide diversity (0.2 SNVs kbp^{-1}) and a corresponding allele frequency indicating

that most alleles were fixed. The subsequent populations in TP3 and TP4 had a slightly elevated

nucleotide diversity (1.12 and 0.84 SNVs kbp^{-1}, respectively), and new alleles were introduced and then

removed from the population from TP1 to TP3 and TP3 to TP4, respectively (Figure 2B). For the

NORP147 population, selection pressure did not appear to change over time, as the SAAV/SNV ratio

remained constant and none of the KEGG categories had significant changes in pN/pS values

(Supplemental Data 6). Therefore, while the low nucleotide density and dominance of specific alleles

indicate that TP1-population resulted from a recent clonal expansion, the high diversity of allele variants

in TP3 and TP4 suggest that patterns of nucleotide variation fluctuated in the NORP147 population

between time points, most likely through dispersal of members of the metapopulation within the aquifer.

Similar rapid accumulation of SNVs in the human gut have been linked to the introduction of a new strain

as opposed to the accumulation of thousands of mutations[43]. Further, several small co-localized segments

across the genome maintained an allele frequency of ~0.5 in all three time points, suggesting that several

parts of the genome maintained genotypic diversity independent of the shifting population structure even

in the TP1-population after experiencing a clonal expansion (Supplemental Figure 2A).

Figure 2. Intraspecific population metrics for NORP147. **A.** Scatterplots tracking RPKM, SAAV/SNV ratio, and SNVs kbp^{-1} across the time-series. Grey boxes highlight the time points of interest (≥ 5 RPKM). SAAV/SNV ratio and SNVs kbp^{-1} in low abundance time points have been removed. **B.** Hierarchically clustered heatmap of allele frequency at each identified SNV location. Scaled from 0-1. Both 0.0 and 1.0 represent a fixed allele; 0.5 represents a split allele.

Figure 3. Intraspecific population metrics for NORP83, NORP163, and NORP169. **A, D, G.** Scatterplots tracking RPKM, SAAV/SNV ratio, and SNVs kbp^{-1} across the time-series. Grey boxes highlight the time points of interest (≥5 RPKM). SAAV/SNV ratio and SNVs kbp^{-1} in low abundance time points have been removed. The y-axes across MAGs are not held constant. **B, E, H.** Hierarchically clustered heatmap of allele frequency and identified SNV location. Scaled from 0-1. Both 0.0 and 1.0 represent a fixed allele; 0.5 represents a split allele. **C, F, I.** Hierarchically clustered heatmap of gene frequencies for genes ≥1× change in coverage over the time points of interest. Scaled range from 0-5× copies. Maximum value of gene frequency in F and G is 9.5× and 6.5× copies, respectively. Supplemental Data 5.

Dispersal as a major driver of population structure. While the dynamics between clonal growth and

dispersal may be difficult to assess in the "bloomers," and NORP147 showed evidence of both clonal

growth and dispersal, dispersal through the aquifer appeared to have played a major role in influencing

the population structure of four "persister" MAGs (NORP83, NORP163, NORP169, and NORP246). For

these four "persisters," there was an extensive amount of underlying intraspecific diversity and most

experienced large shifts between allele frequencies over relatively short timescales (Figures 3 and 4). The

changes in allele frequencies were more dynamic and stochastic than has been observed previously in

other habitats, such as the surface ocean, deep-sea hydrothermal vents and freshwater lakes[10,34,44],

suggesting that the genomic diversity we observed was created by the movement of multiple populations

through the crustal aquifer rather than consistent selection on a specific population over time.

Due to the observed high nucleotide diversity, both NORP169 (*Nitratireductor_B*) and NORP83

(unknown *Rhizobiales*) were identified as candidate populations that have been molded by dispersal

(Figure 3A-F). NORP169 had sufficient coverage in three time points (TP1, TP2, TP6; Figure 3A) and

NORP83 had sufficient coverage in four time points (TP1, TP3, TP4, TP6; Figure 3D) to accurately call

SNVs. Both MAGs experienced drops in abundance (≤ 0.82 RPKM; equivalent to approximately ≤ 22

cells mL^{-1}) between time points, and it is unclear if these sharp changes resulted from migration or cell

death. The observed nucleotide diversity in each MAG also changed across the time points of interest

(Figure 3A & D). The observed nucleotide diversity remained relatively constant for NORP169 (8.0-12.5

SNVs kbp^{-1}) while that of NORP83 more than doubled over the time points of interest (16.4 to 44.9 SNVs

kbp^{-1}).

Despite differences in how nucleotide diversity changed over time, the rapidly shifting patterns of

allele frequency in both of these populations indicated the dispersal of at least two competing dominant

genotypes within each population. Patterns of allele frequency for NORP169 revealed a complex dynamic

between co-existing populations (Figure 3B). Specifically, while nucleotide diversity suggested that there

was little difference between TP1 (9.9 SNVs kbp^{-1}) and TP2 (8.0 SNVs kbp^{-1}), the allele frequency

reveals that TP2 represented an entirely different intraspecific population compared to TP1 and TP6

(Figure 3B). The rapid transition from the TP1-population to the TP2-population (60 days), followed by

low abundance in intervening time points, and a return to allele frequencies similar to those of the TP1-

population at TP6, suggests that either dispersal or drift, rather than selection, may have influenced

genotypic diversity. Given the relatively rapid changes in allele frequency between TP1 and TP2 (Figure

3B), and without the corresponding decrease in nucleotide diversity indicative of clonal expansion or

population bottleneck, dispersal seems the likeliest explanation for the genome dynamics observed here.

Further, the data suggests that selection had a limited impact on genome diversity within this population,

as SAAV/SNV ratio remained constant (Figure 3A) and none of the putative genes assigned to KEGG

categories have changes in the observed pN/pS ratio (Supplemental Data 6). The populations at different

time points also possessed different sets of genes based on observed changes in gene frequency ($\geq 1\times$

change in coverage between time points; Figure 3C): for example, the TP2-population possessed a co-

localized set of putative genes (NORP169 Gene IDs 1205-1208) that shifted from $<1\times$ copies per genome

in TP1 and TP6 to $\sim 4\times$ copies per genome in TP2 and an additional three genes that fluctuated between

$1\times$ and $2\times$ copies per genome (Figure 3C). While none of these putative genes had KEGG annotations,

several of the functional assignments from GenBank implicated transcriptional regulation possibly related

to plasmid replication (Supplemental Data 5). It is possible the region encompassing gene IDs 1205-1208

represents an integrated extrachromosomal element within the NORP169 TP2-population.

Unlike NORP169, NORP83 showed substantial accumulation of mutations in the genome over

the four time points of interest (Figure 3E). But like NORP169, the patterns in allele frequency suggest

that the genotypic population was completely different from TP1 to TP3 with more than half of the sites

represented by a different dominant allele compared to TP1 (Figure 3E). Abundance of NORP83 dropped

in the intervening time point (0.23 RPKM; equivalent to approximately 5 cells mL^{-1}) implicating either

migration or cell death (Figure 3D). The underlying TP4-population reveals that many of the SNV sites

were split between two alleles (~ 0.5 allele frequency), suggesting a mixed population (Figure 3E). While

abundance dropped in TP5 below the threshold for accurately calling SNVs (2.73 RPKM), the allele

patterns in TP6 appeared similar to those in TP4. One possible explanation for these patterns is dispersal:

at least two populations of NORP83, captured separately in TP1 and TP3, mixed together in TP4 and TP6

and resulted in the doubling of the nucleotide diversity between TP3 and TP4. Alternatively, this

population structure may be the result of genetic drift: the decrease in abundance at TP2 may have

resulted in a small population that "reset" the allele frequencies, though the high nucleotide diversity

(22.17 SNVs kbp^{-1}) in TP3 make such a clonal expansion unlikely. While the SAAV/SNV ratio remained

low and constant over the four time points of interest, there was a statistically significant shift in pN/pS

ratio for the broad "No KEGG annotation" category for putative proteins from TP3 to TP4 and TP6

(Supplemental Figure 1A). This shift in pN/pS may reflect the mixed signal of the two populations in TP4

and TP6 compared to the lower diversity populations in TP1 and TP3. The relative gene abundances

between populations mirror the allele frequency dynamics: we observed shifts in gene abundance between

TP1 and TP3, followed by gradual accumulation or loss of genes over time (Figure 3F; Supplemental

Data 5). Two sets of genes (NORP83 Gene IDs 2184-2186 and 2476-2477) were in TP1 at $\sim 2\times$ copies per

genome but dropped to <1× copies per genome for the other time points. These genes were annotated as

part of a Type IV secretion system (IDs 2184-2186) and chromosome partitioning (ID 2477), which may

indicate a role in horizontal gene transfer[45]. Conversely, two co-localized sets of genes were absent in

TP1 (IDs 1472-1473, sugar permease; IDs 1719-1720, a putative sodium:proton antiporter and serine

hydrolase) and had > 1× copies per genome in TP3 (Figure 3F; Supplemental Data 5). The sugar

permease was absent in TP4 and TP6 (Figure 3F). Lastly of note, while present at <0.5× copies per

genome in TP1, a set of genes (IDs 1027-1029) annotated as transposases increased in gene copy in TP3

(5-9× copies per genome) before dropping to an elevated level in TP4 and TP6 (2-6× copies per genome;

Figure 3F; Supplemental Data 5). The accumulation of transposases may be indicative of genetic drift in

this population[46], but based on the available data, it is challenging to distinguish between the forces of

dispersal and drift in molding the population structure of NORP83. Regardless, the data indicate that the

stochastic forces of drift and dispersal appear to have a stronger impact on population structure than

selection in this crustal aquifer habitat.

The evidence suggests that the population represented by NORP163 (unknown

Gammaproteobacteria) was also molded by a dispersal event. However, unlike NORP83 and NORP169,

the variation in NORP163 appeared to be limited to a smaller section of the genome (Figure 3H). With

sufficient coverage in five time points (TP0-TP2 and TP7-TP8) to accurately call SNVs, NORP163 was

present in high abundance during the first five months of sampling, then persisted at lower abundance

(0.24-3.43 RPKM) for seven months before increasing in abundance again (Figure 3G; Table 1). During

the time points of interest, the nucleotide density remained relatively constant (20.0-32.1 SNVs kbp^{-1}).

Despite the relatively consistent nucleotide density between TP0 and TP1, there was a sharp transition

from the dominant alleles in TP0 to TP1 (Figure 3H). The alleles that shifted from TP0 to TP1 were not

co-located in the genome, suggesting that rather than resulting from a gene-specific selective sweep, the

shifts in allele frequency from TP0 to TP1 were introduced through dispersal (Supplemental Figure 2B).

This interpretation is further supported by the fact that most of these alleles in TP2 are present at ~0.5

frequency, possibly resulting from the mixing of populations (Figure 3H). The population present in TP7-

TP8 reflects the T1-population, but some alleles introduced in TP2 are still present. The SAAV/SNV ratio

remained relatively constant across the time points of interest (Figure 3G), and there was no statistical

change in pN/pS ratio in any of the KEGG categories (Supplemental Data 6). There were 45 putative

genes that had shifts in frequency over the analyzed time points (Figure 3I; Supplemental Data 5). KEGG

and GenBank annotations revealed that 12 of these genes were suspected transposases, with several

exceeding >4× copies per genome in all of the time points (Figure 3I; Supplemental Data 5). There were

at least five nonlocalized genes that were absent in TP0-TP2 and had >0× copies per genome in TP7-TP8

(NORP163 Gene IDs 167, 922, 1712, 1714, 2068; Figure 3I). These genes were annotated as ribosomal

proteins (167 and 2068), hypothetical proteins (IDs 922 and 1712), and a sulfite reductase (ID 1714;

Supplemental Data 5). A larger set of genes (some co-localized) changed from <1× copies per genome to

>1× copies per genome from TP0 to TP8 (Figure 3I; Supplemental Data 5). All of these genes were

ascribed annotations from GenBank with various functions, with only one annotated as a possible

transposase (Gene ID 465; Supplemental Data 5). The co-localized genes had functions related to core

carbon metabolism (Gene IDs 1083-1084), heavy metal sensing and transcriptional response (IDs 1589-

90), and osmolyte transport (IDs 1622-1623). While there was a trend towards increased gene copy

number over time for several of the genes identified in this MAG, we cannot state with certainty that

these genes provide a selective advantage for this variant.

For these MAGs (NORP83, NORP163, and NORP169) where allele frequency revealed the likely

mixing of at least two distinct populations, there were a few common threads. Dispersal not only had an

impact on which populations were present in the sample, but also the abundance of the population,

resulting in instances where the MAGs were virtually absent from the sample. While dispersal is a likely

mechanism driving the genome dynamics observed here, genetic drift cannot be discounted as a possible

evolutionary force. Both NORP83 and NORP163 had multiple transposases that shifted in copy number

over time. An increase in transposase activity has previously been identified as a signature for systems in

which drift may be the dominant evolutionary force, as it does not act to remove extrachromosomal

insertions from the population[46]. Further, many of the genes that had large changes in coverage confer

putative functions that could change the fitness of the population or provide specialization at the

intraspecific level, such that populations may compete for and/or maintain partially overlapping

ecological niches. In the instances where two (or more) populations mixed, as observed by allele

frequency, there generally was not a corresponding increase in abundance, perhaps reinforcing this idea

that the intraspecific populations shared an ecological niche rather than directly competed for it[44].

Dispersal and evidence of selection. While it appears that dispersal is a major factor influencing the

microbial population structure within the aquifer, there was evidence of gradual selection in NORP246

(*Shewenella*). NORP246 changed rapidly in abundance between TP0 (0.02 RPKM) and TP1 (11.60

RPKM), and thus would be a reasonable candidate for having undergone a clonal expansion in the three

months between samplings (Figure 4). However, in TP1, NORP246 had the highest initial nucleotide

diversity (46.7 SNVs kbp^{-1}) of all MAGs observed in their respective time points (Figure 1; Figure 4A).

This initial high nucleotide diversity in TP1 would be expected if the increase in abundance of the

NORP246 population resulted from the migration of an extant population into the borehole. For the three

time points of interest (TP1, TP3, and TP4), there was a corresponding gradual decrease in abundance

(11.60 to 5.24 RPKM), which may be indicative of cell death (Figure 4A). This gradual decline was

interrupted by a sharp drop in abundance in TP2 (0.02 RPKM; equivalent to approximately 3 cells mL^{-1}).

When the population rebounded in TP3 (8.34 RPKM) there was no evidence of clonal expansion, as

nucleotide diversity remained high (29.11 SNVs kbp^{-1}), which is likely indicative of a dispersal event that

transported most NORP246 cells out of the borehole in TP2 before returning in TP3. As abundance

decreased, so did nucleotide diversity. The allele frequency reveals a transition from fairly even allele

representation in TP1 towards fixation for many sites in TP4 (Figure 4B). A statistically significant drop

in pN/pS from TP1 to TP3 and TP4 for the "No KEGG annotation" category may reveal an increase in

purifying selection (Supplemental Figure 1). Collectively, the gradual shift in allele frequency combined

with a decrease in pN/pS may be indicative of an increase in purifying selection operating on the

NORP246 population (Figure 4).

Figure 4. Intraspecific population metrics for NORP246. **A.** Scatterplots tracking RPKM, SAAV/SNV ratio, and SNVs kbp^{-1} across the time-series. Grey boxes highlight the time points of interest ($\geq$ 5 RPKM). SAAV/SNV ratio and SNVs kbp^{-1} in low abundance time points have been removed. **B.** Hierarchically clustered heatmap of allele frequency and identified SNV location. Scaled from 0-1. Both 0.0 and 1.0 represent a fixed allele; 0.5 represents a split allele. **C.** Hierarchically clustered heatmap of gene frequencies for genes $\geq$ 1$\times$ change in coverage over the time points of interest. Scaled range from 0-5$\times$ copies. Maximum value of gene frequency in C is 21.7$\times$ copies. Supplemental Data 5.

Changes in gene copy number accompanied the shift in allele frequencies. Forty-seven putative

genes changed substantially in frequency over three time points in the NORP246 population (Figure 4C).

These genes can be divided into three broad groups: (1) present in TP1 and absent in TP3 and TP4, (2)

absent in TP1 and present in TP3 and TP4, and (3) absent in TP1 and present in high coverage in TP3 and

TP4. The 13 putative genes in group 1 were present in TP1 (~1$\times$ copies per genome) and absent in TP3

and TP4 predominantly annotated as hypothetical proteins with some conserved domains (n = 7; Figure

4C). Three of the putative genes had roles in transport: xanthine permease (ID 1682), sodium/glutamate

symporter (ID 833), and cobalt ATP binding cassette-type permease (ID 2292; Supplemental Data 6).

Two co-localized genes in this group were annotated as a transposase and reverse transcriptase (IDs 3549-

3550; Supplemental Data 6). Conversely, the 23 putative genes in group 2 were absent in TP1 (<1×

copies per genome) and present in TP3 and TP4 (1-2× copies per genome) and were predominantly

annotated as hypothetical proteins with conserved domains (n = 18; Figure 4C). The other five putative

genes in group 2 were not co-localized and had nonspecific functional annotations except for a fumarate

reductase subunit C (ID 3394; Supplemental Data 6). Genes in group 3, which were absent in TP1 and

present in high coverage in TP3 and TP4 (15-20× copies per genome), were co-localized (IDs 2848-2853)

and lack annotations, except for gene ID 2851 with homology to proteins in GenBank annotated as DNA

replication protein (Figure 4C; Supplemental Data 6). This segment appeared to contain a fragment of

DNA that in TP3 and TP4 was independently replicating relative to the NORP246 genome, possibly a

plasmid or similar extrachromosomal element. The lack of annotations makes it impossible to determine

the roles of these genes within the TP3- and TP4- populations, but the loss of several transporters from

the TP1-population and the observed increase in purifying selection may reflect selection pressure

towards a shift in cellular priorities for NORP246.

Relaxed purifying selection. Amongst all of the MAGs analyzed here, NORP139 (unknown

Parvibaculaceae) had unique patterns of genomic variation (Figure 5). Unlike all of the other MAGs,

NORP139 was present in relatively high abundance at all time points in the time-series. This MAG had

sufficient coverage to accurately call SNVs in five time points (TP1, TP2 and TP4-TP6) and was

consistently present in other time points at lower abundance values, except in TP9 (1.21-4.37 RPKM;

Table 1; Figure 5A). Moreover, the NORP139 population accumulated SNVs over time. The shift from

fixed alleles in TP1, TP2, and TP4 to an increased number of variable sites in TP5 and TP6 may be

indicative of changes in selection pressure (Figure 5B). This variation coincides with changes in observed

pN/pS values in two functional categories (Supplemental Figure 1B & C). While the increase in

nucleotide diversity did not translate to changes in the SAAV/SNV ratio, there was a statistically

significant increase in pN/pS for both the "No KEGG annotation" and Energy Metabolism category

(KEGG Category 09102) between several time points (Supplemental Figure 1B & C). This change in

pN/pS suggested a shift toward diversifying selection or more relaxed purifying selection between time

points, specifically between TP4, TP5, and TP6. The Energy Metabolism genes with a pN/pS shift

consisted of 25 putative genes that have annotations as part of carbon, nitrogen, sulfur transformations

(Supplemental Data 6). However, most of the annotations (n = 16) were for genes that are part of the

oxidative phosphorylation process, specifically, components of complexes I (NADH dehydrogenase;

NuoCDEFGHIJKLM), II (succinate dehydrogenase; SdhCDB), and IV (cytochrome c oxidase; CoxCA)

of the electron transport chain. Unlike other persisters, NORP139 had very few genes (n = 5) with

differences in gene frequency (Figure 5C). Four of the five were hypothetical in nature and the remaining

gene was annotated as the large subunit ribosomal protein L32, which fluctuates from 1× to 2× copies per

genome in TP2 (Figure 5C; Supplemental Data 6). The gradual increase in diversity within the NORP139

population suggested a limited influence of either natural selection or genetic drift in removing variation

from the population during the study period. Although there was an observed shift in the selection

pressure for some genes, especially related to the electron transport chain, selection was weak and did not

result in a broad population sweep.

Figure 5. Intraspecific population metrics for NORP139. **A.** Scatterplots tracking RPKM, SAAV/SNV ratio, and SNVs kbp^{-1} across the time-series. Grey boxes highlight the time points of interest (≥ 5 RPKM). SAAV/SNV ratio and SNVs kbp^{-1} in low abundance time points have been removed. **B.** Hierarchically clustered heatmap of allele frequency and identified SNV location. Scaled from 0-1. Both 0.0 and 1.0 represent a fixed allele; 0.5 represents a split allele. **C.** Hierarchically clustered heatmap of gene frequencies for genes ≥ 1× change in coverage over the time points of interest. Range from 0-5× copies.

Conclusions

The MAGs described here represent subseafloor microbial populations that exhibited substantial changes

in size over the ~825-day sampling period. Thus, these datasets provide evidence that the marine crustal

aquifer represents a dynamic habitat in which microbial populations grow and decay and can be dispersed

over monthly timescales. The rapid shifts in allele frequencies and nucleotide diversity suggest that

stochastic events, such as genetic drift associated with population bottlenecks or dispersal and mixing of

populations through the aquifer, play an important role in molding the evolutionary trajectories of

microbial populations in this habitat[47]. Though classically structured as the introduction of mutation or

removal of mutations through selection and drift, evolution, broadly defined, reflects changes in allele

frequency within a population. Thus, dispersal can introduce extant populations from elsewhere in the

environment and the interaction of these newly introduced alleles with the environment reflects an

evolutionary response. As such, we are able to observe microbial evolution in action in the fluids moving

through the oceanic crust. The observed population shifts occur in the span of months, reflecting the

highly dynamic nature of the system, both biologically and physically. While rapid allele frequency shifts can be linked to different types of population interactions, such as sweeps, dispersal, and clonal expansion, dispersal appears to play an important role in structuring the most abundant populations in the crustal fluid samples. As noted above, it is difficult to distinguish the relative contributions of dispersal (*i.e.*, changing allele frequencies due to physical movement of populations) and genetic drift (*i.e.*, changing allele frequencies due to stochastic events) in driving changing allele frequencies in these habitats. The accumulation of extrachromosomal insertions, such as transposable elements and plasmid insertions, suggests that drift can impact these populations in a manner distinct from dispersal. In summary, the subseafloor aquifer of North Pond represents a highly dynamic habitat where evolution may be governed largely by the stochastic forces of dispersal and drift.

Data Availability

Elements for this project can be found deposited at DDBJ/ENA/GenBank under the BioProject accession no. PRJNA391950. Raw sequence reads are available through the Short Read Archive with the accession no. SRX3143886-SRX3143902. Raw sequence reads from Meyer *et al*. (20) constituting the metagenomic samples from 2012, are available under the BioProject accession no. PRJNA280201. Additional files, including the contigs, anvi'o protein calls, and anvi'o database profiles for the MAGs used in this analysis, are available through figshare (doi: 10.6084/m9.figshare.13568549). As detailed in Supplemental Data 1, all new/updated MAGs have been submitted to NCBI under the BioProject accession no. PRJNA391950.

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Author Contributions

Analyses were conducted by R.E.A., E.D.G., and B.J.T. E.D.G. performed the multi-tiered binning

approach that generated the new MAGs. R.E.A. and B.J.T. performed the population analysis. R.E.A. and

B.J.T. wrote the manuscript. All authors reviewed and edited the manuscript. J.A.H. was the lead

researcher on establishing North Pond as a long-term research site and supported the collection and

processing of all samples. The study was conceived by R.E.A. and B.J.T.

The authors declare no conflict of interest.

Figure Legends

Figure 1. Scatter plot of the nucleotide diversity for each of the six "persister" and four "bloomer" MAGs

for the ten time points sampled from North Pond CORK U1382A. The size of each point is scaled by the

RPKM in the time point. Only time points with ≥ 5 RPKM are included. Lines denote points from the

same MAG and do not display continuous data.

Figure 2. Intraspecific population metrics for NORP147. **A.** Scatterplots tracking RPKM, SAAV/SNV

ratio, and SNVs kbp^{-1} across the time-series. Grey boxes highlight the time points of interest (≥ 5 RPKM).

SAAV/SNV ratio and SNVs kbp^{-1} in low abundance time points have been removed. **B.** Hierarchically

clustered heatmap of allele frequency at each identified SNV location. Scaled from 0-1. Both 0.0 and 1.0

represent a fixed allele; 0.5 represents a split allele.

Figure 3. Intraspecific population metrics for NORP83, NORP163, and NORP169. **A, D, G.** Scatterplots

tracking RPKM, SAAV/SNV ratio, and SNVs kbp^{-1} across the time-series. Grey boxes highlight the time

points of interest (≥5 RPKM). SAAV/SNV ratio and SNVs kbp^{-1} in low abundance time points have been

removed. The y-axes across MAGs are not held constant. **B, E, H.** Hierarchically clustered heatmap of

allele frequency and identified SNV location. Scaled from 0-1. Both 0.0 and 1.0 represent a fixed allele;

0.5 represents a split allele. **C, F, I.** Hierarchically clustered heatmap of gene frequencies for genes ≥ 1×

change in coverage over the time points of interest. Scaled range from 0-5× copies. Maximum value of

gene frequency in F and G is 9.5× and 6.5× copies, respectively. Supplemental Data 5.

Figure 4. Intraspecific population metrics for NORP246. **A.** Scatterplots tracking RPKM, SAAV/SNV

ratio, and SNVs kbp^{-1} across the time-series. Grey boxes highlight the time points of interest (≥ 5 RPKM).

SAAV/SNV ratio and SNVs kbp^{-1} in low abundance time points have been removed. **B.** Hierarchically

clustered heatmap of allele frequency and identified SNV location. Scaled from 0-1. Both 0.0 and 1.0

represent a fixed allele; 0.5 represents a split allele. **C.** Hierarchically clustered heatmap of gene

frequencies for genes ≥ 1× change in coverage over the time points of interest. Scaled range from 0-5×

copies. Maximum value of gene frequency in C is 21.7× copies. Supplemental Data 5.

Figure 5. Intraspecific population metrics for NORP139. **A.** Scatterplots tracking RPKM, SAAV/SNV ratio, and SNVs kbp^{-1} across the time-series. Grey boxes highlight the time points of interest ($\geq$ 5 RPKM). SAAV/SNV ratio and SNVs kbp^{-1} in low abundance time points have been removed. **B.** Hierarchically clustered heatmap of allele frequency and identified SNV location. Scaled from 0-1. Both 0.0 and 1.0 represent a fixed allele; 0.5 represents a split allele. **C.** Hierarchically clustered heatmap of gene frequencies for genes $\geq$ 1$\times$ change in coverage over the time points of interest. Range from 0-5$\times$ copies.

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