High Affinity Chimeric Antigen Receptor with Cross-Reactive scFv to Clinically Relevant EGFR Oncogenic Isoforms

Radhika Thokala[1,2,□], Zev A. Binder[1,2,□], Logan Zhang[1,2], Jiasi Vicky Zhang[1,2], Daniel Y.
Zhang[2,3], Michael C. Milone[2,4], Guo-li Ming[3], Hongjun Song[2,5], Donald M. O'Rourke[1,2,*]

[1]Laboratory X, Institute X, Department X, Organization X, City X, State XX (only USA, Canada and
Australia), Country

[1]Department of Neurosurgery, Perelman School of Medicine, University of Pennsylvania,
Philadelphia, PA, USA.

[2]GBM Translational Center of Excellence, Abramson Cancer Center, Perelman School of Medicine,
University of Pennsylvania, Philadelphia, PA, USA

[3]Biochemistry and Molecular Physics Graduate Group, Perelman School of Medicine, University of
Pennsylvania, Philadelphia, PA, USA

[4]Department of Pathology and Laboratory Medicine, Perelman School of Medicine, University of
Pennsylvania, Philadelphia, PA, USA

[5]Department of Neuroscience and Mahoney Institute for Neurosciences, Perelman School of
Medicine, University of Pennsylvania, Philadelphia, PA, USA

[□]These authors have contributed equally to this work and share first authorship

16
*Correspondence:
Donald M. O'Rourke, M.D
John Templeton, Jr. M.D Professor in Neurosurgery
Director, Glioblastoma Translational Center of Excellence (TCE)
The Abramson Cancer Center
Perelman School of Medicine
The University of Pennsylvania
Philadelphia, PA 19104
Telephone: 215-662-3490
donald.orourke@pennmedicine.upenn.edu

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Abstract

Tumor heterogeneity is a key reason for therapeutic failure and tumor recurrence in glioblastoma
(GBM). Our chimeric antigen receptor (CAR) T cell (2173 CAR T cells) clinical trial
(NCT02209376) against Epidermal growth factor receptor (EGFR) variant III (EGFRvIII)
demonstrated successful trafficking of T cells across the blood brain barrier into GBM active tumor

sites. However, CAR T cell infiltration was associated only with a selective loss of EGFRvIII[+]

tumor, demonstrating little to no effect on EGFRvIII[-] tumor cells. Post-CAR T treated tumor

specimens showed continued presence of EGFR amplification and oncogenic EGFR extracellular

domain (ECD) missense mutations, despite loss of EGFRvIII. To address tumor escape, we generated

an EGFR-specific CAR by fusing monoclonal antibody (mAb) 806 to a 4-1BB co-stimulatory

domain. The resulting construct was compared to 2173 CAR T cells in GBM, using in vitro and in

vivo models. 806 CAR T cells specifically lysed tumor cells and secreted cytokines in response to

amplified EGFR, EGFRvIII, and EGFR-ECD mutations in U87MG cells, GBM neurosphere-derived

cell lines, and patient-derived GBM organoids. 806 CAR T cells did not lyse fetal brain astrocytes or

primary keratinocytes to a significant degree. They also exhibited superior antitumor activity in vivo

when compared to 2173 CAR T cells. The broad specificity of 806 CAR T cells to EGFR alterations

gives us the potential to target multiple clones within a tumor and reduce opportunities for tumor

escape via antigen loss.

Introduction

Chimeric antigen receptor (CAR) cells targeting pediatric B cell malignancies have shown

unprecedented responses and were the first CAR T cell therapies to receive FDA approval, in 2017

(1-3). The successful application of this therapeutic technology in the treatment of solid tumors,

including glioblastoma (GBM), remains a significant challenge; chief among them are tumor

heterogeneity, immunosuppressive tumor microenvironment, and antigen escape (4, 5). Successful

strategies for overcoming these obstacles are required to advance CAR T therapy in solid tumors.

Epidermal growth factor receptor (EGFR) was one of the first oncogenes identified in GBM and

presents an attractive therapeutic target, given its extracellular nature and frequent alterations in

GBM. Approximately 60% of GBM specimens contain a mutation, rearrangement, splicing

alteration, and/or amplification of EGFR (6). EGFR overexpression, mediated through focal

amplification of the EGFR locus as double minute chromosomes, has long been recognized as the

most common EGFR alteration, present in 60% of GBM patients (7, 8). Tumor-specific EGFR

variant III (EGFRvIII), resulting from deletion of exon 2-7 of wildtype EGFR (wtEGFR), is present

in 30% of GBM patients (9). In addition, oncogenic missense mutations EGFR$^{A289D/T/V}$, EGFR$^{R108G/K}$,

and EGFR^{G598V} have been identified in 12-13% of cases in the extracellular domain (ECD) of EGFR,

independent of EGFRvIII. Missense mutations and EGFRvIII often co-occur with EGFR

amplification and activate EGFR receptor independent of its ligand (10). Several of the missense

mutations have been shown to have a negative effect on patient survival, driving tumor proliferation

and invasion (11).

Our first-in-man CAR T clinical trial (NCT02209376) against EGFRvIII in recurrent GBM

demonstrated the safety of a peripheral infusion of CAR T cells and resulted in successful trafficking

of the CAR T cells to active tumor sites, across the blood brain barrier (12). After treatment, CAR T

cells infiltrated the GBM tumors rapidly, proliferated in situ, and persisted over a prolonged period of

time. However, CAR T cell infiltration was associated only with a selective loss of EGFRvIII[+] GBM

cells. Importantly, post-CAR T treated tumor specimens showed the continued presence of EGFR

amplification and missense mutations, despite the decrease in EGFRvIII target antigen. Persistence of

EGFR amplification and ECD missense mutations in the context of loss of EGFRvIII expression
suggested tumor heterogeneity played an essential role for tumor recurrence and continued regrowth.

mAb806, originally raised against EGFRvIII, recognizes a conformationally exposed epitope of
wtEGFR when it is overexpressed on tumor cells. The same epitope is not exposed in EGFR
expressed on normal non-overexpressing cells (13, 14). ABT-414, an antibody-drug conjugate
composed of a humanized mAb806 (ABT-806), showed early efficacy in phase I/II clinical trials
with no apparent skin toxicity in treated GBM patients (15). However, a recent Phase III trial was
terminated when an interim analysis failed to demonstrate a survival benefit over placebo (16).
mAb806 showed an increased binding affinity for not only EGFRvIII but also EGFR ECD mutations
and a low affinity for wtEGFR (11). These findings suggest that mAb806 is a viable therapeutic
option for tumors harboring EGFR alterations in addition to EGFRvIII.

In the present study, we have developed EGFR-specific CAR T cells derived from the single chain
fragment variable region (scFv) of 806 mAb, using our standard 4-1BB-ζ construct (17). We then
compared 806 CAR T activity with EGFRvIII-specific CAR T cells (2173 CAR T), currently in
clinic, for specificity against oncogenic EGFR alterations, including amplified EGFR, EGFRvIII, and
extracellular mutations *in vitro* and *in vivo*.

Materials and Methods

CAR constructs

806 scFvs were swapped with scFv of our standard CD19-BB-ζ lentiviral vector described previously
to generate 806-BB-ζ CAR (17, 18). Briefly, the nucleotide coding sequences of 806 or C225 scFv
with huCD8 leader were synthesized by Geneart (Thermo Fisher Scientific, Waltham, MA) with 5'
Xba1 and 3'Nhe1 and ligated to Xba1 and Nhe1 sites of CD19-BB-ζ car construct. The C225-BB- ζ
CAR was obtained from Dr. Avery Posey's lab at the University of Pennsylvania. The 2173-BB-ζ
CAR T construct was obtained from Dr. Laura Johnson's Lab at the University of Pennsylvania (19,
20).

Transduction and expansion of primary human T lymphocytes

Human primary total T cells (CD4 and CD8) were isolated from normal healthy donors following
leukapheresis by negative selection using RosetteSep kits (Stemcell Technologies, Vancouver, CA).
All specimens were collected with protocol approved by University Review Board and written
informed consent was obtained from each donor. T cells were cultured in RPMI 1640 (Thermo Fisher
Scientific) supplemented with 10% fetal bovine serum (FBS) (VWR, Radnor, PA), 10 mM HEPES
(Thermo Fisher Scientific), 100 U/mL penicillin (Thermo Fisher Scientific), 100 g/mL streptomycin
sulfate (Thermo Fisher Scientific), and stimulated with magnetic beads coated with anti-CD3/anti-
CD28 (Thermo Fisher Scientific) at 1:3 T cell to bead ratio. Approximately 24 hours after activation,
T cells were transduced with lentiviral vectors encoding CAR transgene at an MOI of 3 to 6. On day
5 beads were removed and thereafter cells were counted and fed every 2 days, supplemented with IL
2 150 U/mL until they were either used for functional assays or cryopreserved for future use.

Cell lines and cell culture

The human cell line U87MG was purchased from the American Type Culture Collection (ATCC)
and maintained in MEM (Richter's modification) (Thermo Fisher Scientific) with components
GlutaMAX-1 (Thermo Fisher Scientific), HEPES pyruvate, and penicillin/streptomycin
supplemented with 10% FBS. Primary human keratinocytes were purchased from the Dermatology
Core Facility at the University of Pennsylvania. K562 cells were purchased from ATCC and
maintained in RPMI media (Invitrogen, Carlsbad, CA) supplemented with 10% FBS, 20 mM
HEPES, and 1% penicillin/streptomycin. Primary astrocytes were purchased (Sciencell Research
Laboratories, Carlsbad, CA) and cultured according to manufacture instructions. The cells from early
passages were used for cytotoxicity and cytokine experiments. GSC cell lines were cultured in
DMEM F12 media (Sigma Aldrich, St. Louis, MO) supplemented with 2% B27 without vitamin A
(Thermo Fisher Scientific), 20 mM HEPES, and penicillin/streptomycin.

EGFR-mutant cell lines

To produce the overexpressing EGFR cell line (designated as U87MG-EGFR), lentivirus co-
expressing wtEGFR and Cyan Fluorescent Protein (CFP) under the control of EF-1α promoter was
transduced into U87MG cell line. On post-transduction day 4, cells were sorted on an Influx cell
sorter (BD, Franklin Lakes, NJ) on the basis of high EGFR expression, and subsequently expanded.
Lentivirus co-expressing CFP and EGFR mutants $EGFR^{R108K/G}$, $EGFR^{A289D/T/V}$, or EGFRvIII were
transduced into U87MG-EGFR, GSC5077 neurosphere cells (21), and K562 cell lines. CFP positive
cells were sorted by fluorescence activated cell sorting (FACS). For luciferase killing assays and in
vivo tracking studies, U87MG and U87MG-EGFR mutant cell lines were transduced with lentivirus
click beetle green (CBG) luciferase and Green Fluorescent protein (GFP). Anti-GFP positive cells
were sorted by FACS.

Cytokine analysis

144   CAR T cells and K562 targets expressing EGFR and its variants were co-cultured in 1:2 ratio in R10
145   medium in a 96 well plate, in triplicate. Plates were incubated at 37°C with 5% CO_2. After 48 hours,
146   supernatants were collected and cytokine levels were assessed by ELISA kit (R&D Systems,
147   Minneapolis, MN) for IFN-γ, TNF-α, and IL2 production, according to manufacture instructions.

Chromium release assay

The cytolytic efficacy of CAR T cells against K562 cells was evaluated by 4-hour chromium release
assays using E:T ratios of 5:1, 2.5:1, and 1:1. 51Cr labeled target cells were incubated with CAR T
cells in complete medium or 0.1% Triton X-100, to determine spontaneous and maximum 51Cr
release respectively, in a V-bottomed 96-well plate. The mean percentage of specific cytolysis of
triplicate wells was calculated from the release of ^{51}Cr using a Top Count NXT (Perkin-Elmer Life
and Analytic al Sciences, Inc., Waltham, MA) as:

$100 \times \dfrac{(experimental\ release - spontaneous\ release)}{(maximal\ release - spontaneous\ relase)}$

Data was reported as mean ± SD.

Luciferase based Cytotoxic Assay

CBG+ target cell lines (U87 variants and GSC5077 variants) were co-cultured with CAR T cells at
E:T ratios of 10:1, 5:1, and 2.5:1, for 24 hours at 37°C. 100 μL of the mixture was transferred to a 96
well black luminometer plate, 100uL of 66 μg/mL D-luciferin (Goldbio, St. Louis, MO) was added,
and the luminescence was immediately determined. Results were reported as percent killing based on
luciferase activity in wells with tumor cells alone.

CD107a degranulation

To assess CD107a degranulation, we plated $1x10^5$ T cells and $5x10^5$ stimulator target cells per well
in round-bottom 96-well plates, to a final volume of 200 μL in complete R10 medium, in triplicates.
CD107a-PE antibody (BD) was added into each well and incubated at 37°C for 4 hours, along with
surface staining for CD8 (Biolegend, San Diego, CA) and CD3 and then analyzed by flow cytometry.

Flow cytometry

For CAR detection, cells were stained with biotinylated protein L (GenScript, Piscataway, NJ), goat
anti-mouse IgG, and anti-human IgG (Jackson ImmunoResearch Laboratories, West Grove, PA),
followed by streptavidin-conjugated Allophycocyanin (APC) (BD). Surface expression of EGFR and
its mutants was detected by CFP and APC-conjugated cetuximab antibody (Novus Biologicals,
Centennial, CO). EGFRvIII expression was detected by anti-EGFRvIII Antibody, clone DH8.3
(Santa Cruz Biotechnology, Dallas, TX). Flow analysis done by LSR Fortessa (BD) and data were
analyzed by FlowJo software (BD).

Animal experiments

All mouse experiments were conducted according to Institutional Animal Care and Use Committee
(IACUC)–approved protocols. NSG mice were injected with $2.5x10^5$ U87MG-
EGFR/EGFRvIII/Luc+ tumors subcutaneously in 100 μL of PBS on day 0, 7 animals per cohort.
Tumor progression was evaluated by luminescence emission on a IVIS Lumin III In Vivo Imaging
System (Caliper Life Sciences, Hopkinton, MA) after intraperitoneal D-luciferin injection according
to the manufacturer's instructions (GoldBio). Tumor size was measured by calipers in two
dimensions and approximated to volume using the following calculation:

$$Volume = \frac{L \times \pi W^2}{6}$$

Seven days after tumor implantation, mice were treated with 3×10^6 CAR T cells intravenously via the
tail vein, in 100 μL of PBS. Survival was followed over time until predetermined IACUC-approved
endpoints were reached.

GBM organoids

GBM organoids (GBOs) were established from primary patient tissue, under a University of
Pennsylvania Institutional Review Board approved protocol and with patient written informed
consent, and co-cultured with CAR T cells as described previously (22, 23). GBOs were fixed and
stained after co-culture, using anti-CD3 (BioLegend), anti-cleaved caspase 3 (Cell Signaling
Technology, Danvers, MA), anti-EGFR (Thermo Fisher Scientific), anti-EGFRvIII (Cell Signaling
Technology), and DAPI (Sigma). To control for tumor heterogeneity, 4 GBOs per condition were

used. Mutational data and variant allele fractions (VAF) were obtained from the Center for
Personalized Diagnostics at the University of Pennsylvania, as described previously (24).

Statistical analysis

All in vitro experiments were performed at least in triplicate. GraphPad Prism 6 software (GraphPad
Software, San Diego, CA) was used for statistical analyses. Data were presented as mean ± standard
deviation. The differences between means were tested by appropriate tests. For the mouse
experiments, changes in tumor radiance from baseline at each time point were calculated and
compared between groups using t-test or Wilcoxon rank-sum test, as appropriate. Survival
determined from the time of T cell injection was analyzed by the Kaplan-Meier method and
differences in survival between groups were compared by log-rank Mantel-Cox test.

Results

Generation of 806 CARs and Cell lines expressing EGFR mutated proteins

In the present study, we have generated CARs that target EGFR and EGFR mutants by fusing the
scFv derived from mAb806 to a 2nd generation CAR construct containing 4-1BB-CD3ζ signaling 806
CAR, the design of which is shown schematically in Fig. 1A. The EGFRvIII-specific 4-1BB-CD3ζ
based 2173 CAR used in our clinical trials (NCT02209376 and NCT03726515) was generated for
comparative evaluation with 806 CAR. 4-1BB based Cetuximab (C225) and CD19 CARs were used
as positive and negative controls. Lentiviral vectors encoding CARs were transduced into a mixture of
CD4 and CD8 T cells and surface expression was confirmed by flow cytometry (Fig. 1B). We next
turned to generating target-positive tumor cell lines, expressing the mutations EGFR$^{R108K/G}$,
EGFR$^{A289D/T/V}$, EGFR^{G598V}, and EGFRvIII, for testing of our CAR constructs (Fig. 1C). In order to
more faithfully model the EGFR mutations, which are almost always co-expressed with amplified
wtEGFR, we transduced the GBM cell line U87MG and patient-derived glioma stem cell line
GSC5077 (21), both of which express low levels of wtEGFR, with a lentiviral vector encoding
wtEGFR (Fig.1D) (resultant lines referred to as U87MG-EGFR and GSC5077-EGFR), as well as
K562 chronic myelogenous leukemia (CML) cells that lack endogenous expression of EGFR, with
wtEGFR (Fig. 1E). U87MG-EGFR, GSC5077-EGFR, and K562 cells were also transduced with
EGFRvIII lentivirus and expression was then analyzed by an EGFRvIII-specific antibody (Fig.1F). A
lentiviral vector co-expressing CFP and the targeted EGFR extracellular mutants (Fig.1D) was
transduced into U87MG-EGFR, GSC5077-EGFR, and K562 cells. The resulting CFP positive cells
were sorted by fluorescence-activated cell sorting to obtain a positively transduced cell population
(Fig. 1G).

In vitro **characterization of 806 CAR T cells**

To determine the specificity of the 806 and 2173 CARs for overexpressed wtEGFR, EGFRvIII, and
the EGFR-ECD mutants, 2173 and 806 EGFR BB-ζ CAR T cells were co-cultured with U87MG-
EGFR and GSC5077-EGFR cell lines expressing EGFRvIII and extracellular mutants EGFR$^{R108K/G}$,
EGFR$^{A289D/T/V}$, and EGFR^{G598V}, in 24-hour bioluminescence-luciferase based killing assays (Fig. 2A-
B). While 2173 CAR T cells demonstrated specificity for EGFRvIII alone, 806 CAR T cells efficiently
lysed all targets and exhibited similar cytolytic potential as C225 CAR T (Fig. 2A-B). Notably, 806
CAR T cells were able to kill U87MG cells, despite expressing only low levels of wtEGFR, at an

equal level when compared to overexpressed wtEGFR and EGFRvIII. Since U87MG-EGFR mutants
expressed endogenous and ectopic EGFR, we could not distinguish if the 806 scFv binding
specificity was restricted to the mutant or wtEGFR. To test the exclusive specificity to the mutants,
we co-cultured 806 and 2173 CAR T cells with the CML cell line K562, transduced to express
wtEGFR, EGFRvIII, or EGFR-mutants, as K562 does not have any endogenous EGFR (Fig. 2B).
806 CAR T cells did not lyse untransduced K562 cells, confirming the lack of EGFR on the parental
line. The 806 CAR T cells selectively targeted K562 cells expressing EGFR, EGFRvIII, or EGFR-
ECD mutants and demonstrated similar efficacy as C225 CAR T cells. 2173 CAR T cells lysed
K562-EGFRvIII cells but did not show any activity against either wtEGFR or the ECD mutants, as
expected (Fig. 2B). T cell activation was assessed by induction of surface CD107a expression after
co-culture of CAR T cells with target-expressing cells (Fig. 2C). Antigen-specific effector cytokine
production was assessed by co-culturing K562 target cells transduced with EGFR and its variants
with CAR T cells. The resulting supernatants were analyzed for IFN-γ, TNF-α, and IL2 production
(Fig. 2D). Untransduced K562 and Nalm6 cells were used as negative controls. 806 and C225 CAR
T cells produced similar levels of CD107 degranulation (Fig. 2C) and IFN-γ, TNF-α, and IL2 (Fig.
2D) in response to EGFRvIII, EGFR-ECD mutants, and EGFR overexpressing cells, while 2173
CAR T cells responded to EGFRvIII alone.

806 CAR T cells exhibit low or no affinity for EGFR expressed on primary astrocytes and
keratinocytes

Having confirmed the function of the 806 CARs, we next sought to compare the reactivity of 806 and
2173 CAR T cells in response to endogenous levels of EGFR in normal cells, *in vitro*. We cultured
primary human keratinocytes and astrocytes, as those cell types express wtEGFR (Fig. 3A) and used
them to stimulate CAR T cells. We observed production of IFN-γ by C225 CAR T cells, in response
to EGFR presented by either astrocytes or keratinocytes, as well as U87MG-EGFR (Fig. 3B). In
contrast, 2173 CAR T cells produced IFN-γ in response to EGFRvIII antigen alone. 806 CAR T cells
exhibited low or no cytotoxicity when co-cultured with astrocytes or keratinocytes (Fig. 3C), with
corresponding low IFN-γ production (Fig. 3B).

Anti-tumor activity of 806 CAR T cells in *in vivo*

Having compared the antigen specific effector function of 806 CAR with 2173CARs, we next sought
to confirm its *in vivo* anti-tumor effects, using immunodeficient NSG mice bearing human GBM
tumors (Fig. 4A). On Day 0, U87MG-EGFR/EGFRvIII tumors were implanted subcutaneously and
on Day 5, tumor engraftment was confirmed by bioluminescence imaging (BLI). On Day 7, a single
dose of $3x10^6$ CAR positive T cells were infused intravenously (n = 7 per cohort). Total
bioluminescence (Fig. 4B) and individual bioluminescence (Fig. 4C) was assessed in 806 and 2173
CAR T cell treated groups. Animals in the negative control cohort, receiving CD19 CAR T cells,
demonstrated rapid tumor growth, with all mice reaching a predetermined humane experimental
endpoint by 42 days after initial tumor engraftment. To be noted, all mice in the CD19, 2173, and
806 groups reached experimental endpoint by day 42, 63, and 91 days, respectively (Fig. 4A).

High fidelity GBM organoids demonstrate cross-reactivity of 806 CAR

Given the ability of the 806 CAR to target EGFR alterations beyond EGFRvIII, we turned to patient-
derived GBM organoids (GBOs) to demonstrate activity in a heterogenous model previously
characterized to be of high fidelity to human tumors (22, 23). GBOs retain the originating tumor

heterogeneity to a high degree out beyond 12 weeks of culturing and maintain expression of
endogenous EGFR and its alterations, providing a valuable model platform for testing therapies
aimed at addressing tumor escape. The GBOs selected for co-culture experiments contained multiple
EGFR mutations (Fig. 5A). GBO 9057 had EGFR copy number gain, EGFRvIII, and two missense
mutations, $EGFR^{G598V}$ and $EGFR^{C595Y}$. The missense mutation was found to have a VAF of 24%,
while EGFRvIII was identified in less than 10% of the reads, based on next generation sequencing
(NGS). GBO 9066 had EGFR copy number gain, $EGFR^{A289V}$, and $EGFR^{G598V}$. Both $EGFR^{A289V}$ and
$EGFR^{G598V}$ had a VAF of less than 15%, making determination of co-occurrence impossible through
NGS.

GBOs were co-cultured with 806, 2173, and CD19 CAR T cells, at a 1:10 E:T ratio, for 72 hours
before fixation and evaluation. CAR T cell infiltration, as quantified by CD3 staining, was more
significant in the 806 CAR T cell population than either the 2173 or CD19 CAR T cell population
(Fig. 5B). Cleaved caspase 3 (CC3) was used as a measure of cell death and anti-tumor activity. As
with the $CD3^+$ cell infiltration, the 806 CAR co-culture resulted in higher CC3 levels than either the
2173 or CD19 CAR co-cultures (Fig. 5C). These results highlighted the broad cross-reactivity of the
806 CAR in a heterogeneous, high-fidelity GBM model. wtEGFR staining in both GBO lines
provided additional evidence of the cross-reactive nature of the 806 CAR (Fig. 5D). Staining
intensity, normalized to CD19 CAR-treated GBOs, showed consistent decreases in 806 CAR-treated
GBOs, to a greater degree than the 2173 CAR-treated GBOs (Fig. 5E).

Discussion

We have shown broad cross-reactivity of 806 CAR T cells to EGFR mutant proteins resulting in
enhanced anti-GBM tumor killing, along with a low on-target, off-tumor effect against both
astrocytes and keratinocytes that express wildtype EGFR. Importantly, 806 CAR T cells are able to
more effectively control tumor growth in a wtEGFR/EGFRvIII model. 806 CAR T cells also
demonstrate greater killing in GBOs with heterogeneity of endogenous EGFR and EGFR mutants,
confirming its potential to more effectively treat GBM tumors by limiting the impact of tumor escape
due to antigen loss.

With regard to the CAR T trial in recurrent GBM (12), the demonstrated tumor recurrence was likely
due to the exclusive specificity of the scFv employed in the trial. The 2173 construct was chosen for
its selective binding to a novel glycine residue formed at the exons 2-7 deletion in EGFRvIII and for
a lack of cross-reactivity to wtEGFR (20). However, the binding affinity and target repertoire were of
secondary importance. Given the co-occurrence of amplified wtEGFR with EGFRvIII and most ECD
missense mutations (11), there is a clinically-relevant rationale for targeting multiple EGFR
alterations in the GBM population (25). Dual targeting of EGFR and EGFRvIII by CAR T and NK
cells has been demonstrated in recent studies using scFvs specific for both antigens (26-29). Our
work expands on that, as 806 CAR T cells were able to lyse GBM (U87MG, GSC5077) and non-
GBM (K562) cell lines modified to express not only wtEGFR and EGFRvIII, but also EGFR
extracellular mutations. In comparison, 2173 CAR T cells exhibited specificity for EGFRvIII alone
(12, 20).

GBM tumors are significantly heterogeneous, both intratumorally (30, 31) and intertumorally (6).
Intratumorally, there are mixed cytological subtypes, exhibiting regional differences in gene

expression, key genetic mutations, and chromosomal alterations. This polyclonal nature contributes
to therapeutic resistance and tumor escape (32). To address intratumoral heterogeneity, relevant
targeted therapies would ideally be able to target larger tumor cell populations within the entire tumor
bulk. Given the co-occurrence of wtEGFR amplification seen with EGFR mutations and splice
variants (24), the cross-reactive EGFR-targeting 806 scFv should provide greater tumor cell
coverage, resulting in better tumor control. The potential for broader tumor control was demonstrated
through the high-fidelity, heterogeneous GBO model (22). While the VAFs associated with the
originating tumors of the GBOS allow for hypothesizing of independent EGFR mutant tumor
populations, one caveat is that the NGS methods used do not allow for concrete determination of
subpopulations. The data was subject to bias from tumor viability and number of reads of the sample.
Additionally, the heterogeneity of the EGFR variants on amplified alleles is complicated by the
mechanisms of amplification of EGFR. GBMs frequently harbor double minutes, extrachromosomal
sequences of DNA that are acentric and lead to asymmetric distribution to daughter cells (33). This
causes increased cell-to-cell heterogeneity of EGFR alterations in GBM.

Intertumoral variation, from patient to patient, reduces the applicable population for targeted
therapies. However, there are gene families frequently found altered across GBM (6). In particular,
EGFR amplification is found in up to 60% of GBMs. Concurrently with amplification, 30–40% of
GBM tumors express the constitutively active mutant variant, EGFRvIII (34). Combined with the
intratumoral expression of EGFR variants, these data suggest that targeting the EGFR family of
tumor-specific alterations may successfully address both inter- and intratumoral heterogeneity.

Several EGFRvIII targeted agents are currently in development or in clinical trials for the treatment
of GBM. Though the preclinical data from experimental studies evaluating these therapies have been
promising, their efficacy in the clinic has yet to be conclusively demonstrated (35-37). In a
vaccination approach to target the EGFRvIII in GBM patients, a phase III trial for newly diagnosed
glioblastoma failed to show overall efficacy despite 60–80% of recurrent tumors showing complete
loss of EGFRvIII positive cells (38). Additional trials targeting EGFRvIII demonstrated similar loss
of EGFRvIII concurrent with tumor recurrence (12, 39, 40). Similarly, the EGFRvIII-targeting CAR
T trial illustrated the continued presence of EGFR amplification and oncogenic EGFR ECD missense
mutations despite EGFRvIII antigen loss in post-treatment tumor specimens (12). These results
confirm the need to target multiple EGFR alterations simultaneously.

Despite preclinical efficacy, the success of wtEGFR targeting mAbs cetuximab and panitumumab
have been associated with on-target, off-tumor toxicity in other tumor types, due to their significant
binding to EGFR expressed on normal tissues (41, 42). Their clinical activity in GBM has yet to be
successfully demonstrated in large-scale studies. Co-culture of 806 CAR T cells with basal
physiologic EGFR-expressing normal tissue cell lines did not lead to significant cell killing in our
work. Previous work has suggested the 806 epitope is exposed on both mutated EGFR (EGFRvIII,
$EGFR^{R108G/K}$, $EGFR^{A289D/T/V}$) as well as amplified wtEGFR found on tumors, but not accessible on
wtEGFR found on normal tissue (43). The wtEGFR differences have been proposed to be due to
different post-translational mannose modifications and kinetics of EGFR trafficking in tumors
compared to normal tissue (44). Multiple clinical trials with humanized mAb 806 conjugated to a
microtubule inhibitor (ABT-414) have demonstrated only low levels of cutaneous toxicity (45-47).
The therapeutic window of CAR T cells for tumor-associated antigens relies on the quantitative
difference between antigen-overexpressing tumor and antigen-low normal tissue. Preclinical studies

targeting EGFR and erbB2 with affinity-lowered CAR T cells have demonstrated potent antitumor
effects against high antigen density while sparing low antigen density normal tissue (48-50). The
demonstrated cross-reactivity of 806 CAR T cells for EGFR alterations, including amplified
wtEGFR, EGFRvIII, and ECD missense mutations, suggests that 806 CAR T cells may be a more
efficacious therapeutic strategy to achieve tumor control and prevent tumor escape via target antigen
loss.
384

385 **Conflict of Interest**

386 The described work involves patent applications owned by the University of Pennsylvania. MM is an
387 inventor on multiple issued and pending patents related to CAR T cell technology used in this study.
388 These patents are assigned to the University of Pennsylvania and have been licensed to 3rd parties for
389 which royalties have or may be received.
390

391 **Author Contributions**

392 RT and ZB conceived and carried out the experiments, with contributions from LZ, JVZ, and DZ for
393 specific assays. MM, GM, HS, and DO supervised the project. RT and ZB wrote the manuscript and
394 all authors contributed to the final version of the manuscript.
395

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406

Figure Legends

Figure 1. Construction and expression of 806 CAR and EGFR mutant cell lines. (A) Schematic diagram of
vector map of 806 CAR containing the 4-1BB co-stimulatory domain. (B) CAR surface expression in primary
human CD4$^+$ and CD8$^+$ T cells. Human T cells were simulated for 24 hours with anti-CD3/anti-CD28 T-cell
activating beads and transduced with CAR transgenes and CAR expression was analyzed by flow cytometry
using biotinylated goat-anti-mouse (806, C225, and CD19 CARs) and goat-anti human F(ab)2 fragment
specific antibodies (2173 CARs) followed by secondary staining with streptavidin-APC. (C) Schematic
showing targeted missense mutations in extracellular domain of EGFR, EGFR$^{R108K/G}$, EGFR$^{A289D/T/V}$,

EGFR^{G598V} and splice variant EGFRvIII. (D) Schematic of lentiviral vector co-expressing CFP and wtEGFR
or EGFR mutant. (E) Flow based analysis of endogenous and ectopically expressed EGFR in U87MG,
GSC5077, and K562 cell lines using the cetuximab antibody. (F) U87MG, U87MG-EGFR and GSC5077-
EGFR expression of EGFRvIII. (G) U87MG-EGFR, GSC5077-EGFR, and K562 cell lines were transduced
with a lentiviral vector co-expressing CFP and indicated EGFR missense mutations and sorted by CFP
expression using fluorescent activated cell sorting.

Figure 2. *in vitro* characterization of 806 EGFR CAR T cells. Antigen specific cytolytic activity of
806 and 2173 CAR T cells against cell lines expressing EGFR and its variants. (A) U87MG-EGFR
and GSC5077-EGFR cell lines expressing EGFRvIII, EGFR$^{R108K/G}$, EGFR$^{A289D/T/V}$, and EGFR^{G598V}
mutant variants were stably transduced with Click Beetle Green (CBG) and co-cultured with CAR T
cells at indicated effector to target ratios for 24 hours. One representative experiment from 3 normal
donors is shown. Samples were performed in triplicates in 3 replicative experiments. C225-BB-ζ
CAR and CD19-BB-ζ CAR were used as positive and negative controls, respectively. (B) Antigen
specific cytolytic activity of 806 and 2173 CAR T cells in EGFR and its variants expressed in K562
cells in a 4-hour chromium release assay at indicated effector to target ratios. (C) K562 cells
expressing wtEGFR, EGFRvIII, or EGFR-mutants were co-cultured with 806 CART cells for 48
hours. IFN-γ, TNF-α, and IL2 secretion was measured in the supernatant by ELISA. Bar charts
represent results from single experiment and values represent the average ± SD of triplicates. (D)
CD107a upregulation on CAR T cells stimulated with K562 cells expressing wtEGFR, EGFRvIII, or
EGFR-mutants for 4 hours. The percentage of CD107a expression was quantified on CD3 cells
(values represent the average of ± SD of 2 repeated experiments).

Figure 3. Anti-tumor efficacy of 806 CAR T cells in primary astrocytes and keratinocytes. (A)
Surface expression of EGFR assessed by flow cytometry on human primary astrocytes and
keratinocytes using EGFR-specific cetuximab antibody. (B) Primary astrocytes and keratinocytes
were co-cultured with 806 CAR T cells at indicated ratios in a 4-hour chromium assay and results are
representative of a single experiment showing the average ± SD of triplicates. (C) Levels of IFN-γ
measured in supernatants by ELISA 24 hours after co-culturing 806 and 2173 CAR T cells with
primary astrocytes and keratinocytes at effector to target ratio of 1:1. Results are representative of a
single experiment with the average ± SD of triplicates. (D) EGFR expression analysis by quantitative
flow cytometry, with quantified EGFR counts on each bar graph.

Figure 4. In vivo anti-tumor effect of 806 CAR T cells in NSG mice bearing U87MG-
EGFR/EGFRvIII$^+$ xenografts. Seven days after 250,000 U87MG-EGFR/EGFRvIII cells were
subcutaneously implanted into mice, 3×10^6 T cells were injected intravenously with indicated CAR
constructs. (A) Survival based on time to endpoint was plotted using a Kaplan-Meier curve and
statistically significant differences between CAR groups were determined using log-rank Mantel-Cox
test. Tumor burden was assessed by bioluminescent imaging. Bars indicate means ± SD (n = 7 mice
per group). Tumor burden was quantified as total flux (B) and in individual mice (C) in units of
photons/second. Bars indicate means± SD (n = 7 mice). P = photons.

Figure 5. 806 CAR T activity in heterogenous GBOs highlights cross-reactivity of 806 binder
against oncogenic EGFRs. CAR T co-culture with GBOs was used to demonstrate anti-EGFR
activity. (A) EGFR alterations identified in each GBO line. (B) Immunofluorescence images of CAR

T cells engrafted GBOs, for 4 organoids per condition, 9057 (left) and 9066 (right). Blue=DAPI;
Red=CD3$^+$; White=Cleaved caspase 3$^+$ (CC3$^+$), scale bar = 100 µm. (C) Quantification of CD3$^+$ cells
(left) and CC3$^+$ cells (right) showing anti-tumor activity from the 806 CAR T cells. (D)
Immunofluorescence images of CAR T cell targets in GBOs, for 9057 (left) and 9066 (right).
Blue=DAPI; Red=EGFR$^+$; White=EGFRvIII$^+$, scale bar = 100 µm. (E) Quantification of EGFR$^+$
(left) and EGFRvIII$^+$ signals (right) showing anti-tumor activity from the 806 CAR T cells. Error
bars are ± standard error.

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A

<u>GBO 9057</u>
EGFR copy number gain
EGFRvIII
EGFR G598V
EGFR C595Y

<u>GBO 9066</u>
EGFR copy number gain
EGFR A289V
EGFR G598V

B

UP-O-9057

CD19
2173
806

DAPI CD3 Cleaved Caspase 3

UP-O-9066

CD19
2173
806

DAPI CD3 Cleaved Caspase 3

C

D

UP-O-9057

CD19
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806

DAPI EGFR EGFRvIII

UP-O-9066

CD19
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DAPI EGFR EGFRvIII

E