

1 **Lesion site and therapy time predict responses to a therapy for anomia after stroke:**
2 **a prognostic model development study**

3
4 Thomas M.H. Hope^{1,2*}, PhD, Davide Nardo^{1,3}, PhD, Rachel Holland⁴, PhD, Sasha
5 Ondobaka¹, PhD, Haya Akkad¹ MSc, Cathy J. Price, PhD², Alexander P. Leff^{1,5}, PhD, Jenny
6 Crinion¹, PhD.

- 7 1. Institute of Cognitive Neuroscience, University College London, UK.
8 2. Wellcome Centre for Human Neuroimaging, University College London, UK
9 3. MRC Cognition and Brain Sciences Unit, Cambridge University, UK
10 4. Division of Language and Communication Sciences, City University London, UK.
11 5. UCL Queen Square Institute of Neurology, London, UK

12
13 *Corresponding author; E-mail: t.hope@ucl.ac.uk

14
15 **Short title: Predicting anomia treatment responses**

16 **Corresponding author:** Thomas Hope, t.hope@ucl.ac.uk, Institute of Cognitive
17 Neuroscience, 17-19 Queen Square, London WC1N 3AR.

18 **References:** 36

19 **Words:** (abstract): 297; (body): 4,795

20

21

22 **Abstract**

23 **BACKGROUND:** Stroke is a leading cause of disability, and language impairments (aphasia)
24 after stroke are both common and particularly feared. Most stroke survivors with aphasia
25 exhibit anomia (difficulties with naming common objects), but while many therapeutic
26 interventions for anomia have been proposed, treatment effects are typically much larger in
27 some patients than others. Here, we asked whether that variation might be more systematic,
28 and even predictable, than previously thought.

29 **METHODS:** 18 patients, each at least 6 months after left hemisphere stroke, engaged in a
30 computerised treatment for their anomia over a 6 week period. Using only: (a) the patients'
31 initial accuracy when naming (to-be) trained items; (b) the hours of therapy that they devoted
32 to the therapy; and (c) whole-brain lesion location data, derived from structural MRI; we
33 developed Partial Least Squares regression models to predict the patients' improvements on
34 treated items, and tested them in cross-validation.

35 **RESULTS:** Somewhat surprisingly, the best model included only lesion location data and the
36 hours of therapy undertaken. In cross-validation, this model significantly out-performed the
37 null model, in which the prediction for each patient was simply the mean treatment effect of
38 the group. This model also made promisingly accurate predictions in absolute terms: the
39 correlation between empirical and predicted treatment response was 0.62 (95%CI: 0.27, 0.95).

40 **DISCUSSION:** Our results indicate that individuals' variation in response to anomia treatment
41 are, at least somewhat, systematic and predictable, from the interaction between where and
42 how much lesion damage they have suffered, and the time they devoted to the therapy.

43

44 **1. Introduction**

45 Stroke is a leading cause of disability [1], and language impairments (aphasia) after stroke are
46 both common [2] and particularly feared [3]. Most stroke survivors with aphasia exhibit
47 anomia, a difficulty finding words when naming common objects [4], but while many
48 therapeutic interventions for anomia have been proposed, treatment effects typically vary,
49 substantially, from patient to patient [5]. Inter-individual variation in treatment responses is
50 ubiquitous in medicine (e.g. in psychiatry and pharmacology, respectively [6, 7]), but emerging
51 evidence suggests that variation in responses to therapy for aphasia after stroke might be more
52 systematic than previously thought [5, 8, 9]. To the extent that this is true, the implication is
53 that we can use pre-treatment (e.g. behavioural and / or brain imaging) data to explain and even
54 predict patients' likely treatment responses.

55 For example, we recently showed that a model derived from: (a) pre-treatment scores
56 on standardised cognitive and language tasks; and (b) lesion location data, derived from pre-
57 treatment structural MRI, could be used to predict 23 aphasic stroke patients' responses to a
58 treatment for acquired reading impairments (central alexia) [8]. Like the treatment considered
59 here, for anomia, this earlier treatment for alexia was a computerised application designed to
60 engage participants in massed practice of trained items at home, over a period of weeks. Using
61 stepwise forward feature selection, we selected specific predictors from the pre-treatment data
62 for entry into a multiple linear regression model, which explained over 90% of the variance in
63 patients' empirical treatment responses. This result is biased by over-fitting, because all of the
64 patients' data were used to select features, but even when the feature selection was nested
65 within each fold of a leave-one-out cross-validation process (i.e. removing the bias), the
66 resulting predictions were significantly correlated with patients' empirical treatment responses
67 ($r = 0.48$, $p < 0.05$) [8].

68 In what follows, we add to the evidence that responses to aphasia treatment might be
69 predictable from patients' pre-treatment data. Here, we focus on a computerised treatment for
70 naming difficulties (anomia) that is the most common language impairment after stroke [4].
71 This treatment's effectiveness at the group level has already been verified [10]; here, we
72 attempt to explain and predict the same treatment effects at the individual level. Our main
73 hypothesis was that the individual patients' responses to the treatment are systematic and
74 predictable given where and how much lesion damage they had suffered. We tested this by
75 comparing predictions made by models derived from those data (alone or in combination
76 with their pre-treatment anomia severity, demographic data and the hours that they devoted to
77 the therapy), to the predictions made by a 'null' model, which simply predicts the mean
78 treatment response of its training sample. If (any of) the former are significantly more
79 accurate than the latter, the implication is that individual variation in responses to this
80 treatment is systematic and predictable, at least to some extent.

81

82 **2. Methods**

83 The current analysis employs pre-treatment: (a) demographic data (age at stroke onset, time
84 post-stroke at assessment, and sex); (b) patients' initial impairment severity (i.e. their
85 accuracies when naming to-be-treated items, pre-treatment; (c) the hours of therapy actually
86 undertaken; and (d) structural MRI, which we use to extract lesion location data. We use
87 these data to predict and explain patients' responses to therapy, measured as the absolute
88 change in naming accuracy, from pre- to post-treatment, for 'trained' items (i.e. items
89 practiced during the therapy).

90 The therapy was designed to engage participants in massed practice of object naming,
91 over a 6 week period at home. A variety of phonemic cues (e.g. an audio recording of the

92 object's name, or of the name's first phoneme) were presented concurrently with the picture
93 to be named during treatment to encourage error-reducing learning. The approach was both
94 effective and specific to spoken word production, significantly improving patients' overall
95 object naming accuracy and reaction time immediately post-treatment (unstandardized effect
96 size: 29% and 17%, respectively; Cohen's *d*: 3.45 and 1.83). Longer term gains in naming
97 were maintained three months later, though in this study we focus only on the immediate
98 gains made for items trained during the therapy.

99 **2.1 Participants**

100 The study participants were 18 right-handed native English-speakers, with normal
101 hearing, no history of psychiatric disease and no prior history of neurological disorder before
102 suffering a left-hemisphere stroke, causing language impairment (aphasia). Participants were
103 recruited either from an aphasia clinic, run by JC, or via the Predicting Language Outcomes
104 After Stroke (PLORAS) study, run by CJP, between 2009 and 2012. The study size was
105 arrived at via a power calculation based on the expected effect size of the treatment
106 considered.

107 Participants were only included if they had: (i) naming difficulties (anomia), as assessed
108 via the Boston Naming Test (cut-off <56); (ii) relatively preserved single-word
109 comprehension as assessed via the Comprehensive Aphasia Test (CAT) [11]; (iii) good
110 mono-syllabic word repetition as assessed via the Psycholinguistic Assessments of Language
111 Processing in Aphasia [12]; (iv) no speech apraxia as determined by the Apraxia Battery for
112 Adults [13]; and, (v) at least partially spared left inferior frontal cortex (thought to support
113 speech re-learning [10]). All gave written informed consent to take part in the study, which
114 was approved by the Central London Research Ethics Committee and conducted in

115 accordance with the ethical principles stated by the Declaration of Helsinki. A table of the
116 participants' key characteristics, reproduced from [10], is included in supplementary material.

117 **2.2 Stimuli and procedure**

118 The procedure for the treatment study [10] involved behavioural assessments and
119 neuroimaging data acquisition both pre- and post-treatment. Here, we use pre-treatment data
120 only, to predict treatment response, calculated as the change in the number of trained items
121 that patients could name correctly.

122 Stimuli were drawn from a pool consisting of 299 black and white line drawings of
123 objects adapted from the International Picture-Naming Project
124 (<https://crl.ucsd.edu/experiments/ipnp/>). All object names were monosyllabic, with a
125 consonant-vowel-consonant structure and high name agreement (e.g. 'car'). The treatment
126 employed 150 of the 299 stimuli: i.e. for each patient, there were 150 treated items and 149
127 untreated items. 54/150 to-be-trained items and 53/149 un-trained items were kept common
128 across all patients (for use in an fMRI experiment [10], which we do not consider here). The
129 remaining items (96/150 to be trained; 95 /150 to be untrained) were determined for each
130 patient on the basis of their individual pre-treatment naming performance (accuracy) on the
131 299 items, to match each patient's pre-treatment performance on treated and untreated lists,
132 respectively.

133 After baseline assessment and pre-treatment structural MRI, patients were given a laptop
134 and asked to complete a minimum of two hours of naming practice 5 days a week, over a six-
135 week period. The pictures and auditory cues were presented using the 'StepByStep' aphasia
136 treatment software (<http://www.aphasia-software.com>). The naming practice was designed to
137 be completed in an error-reducing manner [14]. For example, in naming a picture of a car the

138 patient was asked to name it three times: (i) after a whole word auditory cue /ka:r/; (ii) after
139 an initial phonemic cue /ka/; (iii) after a whole word cue again. Only then would the patient
140 proceed to the next item to be named. Patients completed on average a total of 73 (\pm 25)
141 hours of naming practice: i.e. within one standard deviation of the mean therapy dose found,
142 in a meta-analysis of aphasia treatment studies [15], to improve patients' communicative
143 ability. After the six-week period, patients were assessed again exactly as at baseline. Naming
144 accuracy was scored according to the standardized Comprehensive Aphasia Test guidelines
145 [11]. Our analyses here are separately focused on absolute change in naming accuracy (from
146 pre- to post-treatment) on the 150 treated items.

147 **2.3 Imaging acquisition and analysis**

148 The same scanner and hardware were used for the acquisition of all images. Whole-brain
149 imaging was performed on a 3 T Siemens TIM-Trio system (Siemens) at the Wellcome
150 Centre for Human Neuroimaging. Lesion images were derived from structural MRI using the
151 Automatic Lesion Identification toolbox [16], and then double-checked for accuracy by a
152 researcher experienced in manual lesion-tracking (DN), , working on individual axial slices.

153 Lesion data were then encoded as lesion load in a series of 398 anatomically defined
154 regions of interest, derived from four publicly available atlases (two focused on grey matter
155 and two focused on white matter) [17-20]. Where regions were represented in probabilistic
156 format, they were re-encoded as binary images at a 50% threshold. For each region, lesion
157 load was calculated as the number of (2mm^3) voxels shared by the lesion and the region,
158 divided by the total number of voxels in that region. Notably, there was significant overlap
159 between these regions, across atlases. Rather than deciding *a priori* what the best or most
160 useful atlas might be, our goal was simply to reduce the dimensionality of the lesion data in a
161 manner that retained an explicit link with familiar brain regions and / or tracts.

162

163 **2.4 Modelling Methods**

164 Our key aim here was to assess whether individual patients' treatment responses could be
165 predicted from pre-treatment data alone. Here, we define 'treatment responses' as the
166 absolute change in patients' naming accuracies from pre- to post-treatment.

167 Treatment studies in this domain are resource intensive and typically involve massed
168 practice, so take time to complete. Like most others in the field, our sample is therefore
169 smaller (n=18) than is usually desirable when building predictive models, increasing the risk
170 of over-fitting. That risk is further increased because we have so much pre-treatment data to
171 consider, including behavioural data, and lesion data derived from structural MRI.

172 One way to manage this risk is via feature selection, as we employed in similar, previous
173 work [8]. But though successful, that work still revealed significant over-fitting, because our
174 in-sample results (using the whole dataset to select features) were so much stronger than our
175 out-of-sample results (i.e. nesting feature selection in cross-validation): R^2 (predicted
176 response, empirical response) = 0.94 (in-sample); 0.23 (out-of-sample). Accordingly, we took
177 a simpler approach in this work by using dimensionality reduction, rather than feature
178 selection, to manage the high dimensionality of the pre-treatment (behavioural and brain
179 imaging) predictors.

180 **2.4.1 Predictive models**

181 We used Partial Least Squares (PLS) regression, as implemented in Matlab 2019a, to develop
182 our models, using either: (a) demographic variables, including age at stroke onset, sex and
183 time post-stroke; (b) pre-treatment naming accuracy (i.e. measuring the initial severity of
184 each patient's anomia); and / or (c) lesion data, derived from pre-treatment structural MRI.

185 We additionally considered one further variable, both singly and in combination with the
186 other data: the hours of therapy actually completed by each patient. There were no missing
187 data for any patient for any of these variables. All predictor variables were standardised (z-
188 scored) prior to entry into models.

189 PLS regression is appropriate, here, because it employs dimensionality reduction
190 analogous to, but more efficient than, that implemented by Principal Components Analysis
191 (PCA): i.e. where PCA identifies latent variables which explain maximal variance in the
192 predictors, PLS regression identifies variables that explain maximal variance in the response
193 variable(s). PLS regression thus allows us to build potentially effective models that are (at
194 least somewhat) robust to irrelevant predictors, rather than excluding those predictors
195 explicitly.

196 The behavioural model employed 28 predictors: i.e. scores on our battery of pre-
197 treatment language and cognitive assessments (as described in detail in [10]). The lesion data
198 were encoded as described previously, into 398 lesion load variables: however, all patients
199 had left-hemisphere lesions, and in fact all patients had zero lesion load in 220/398 regions.
200 These were removed from the analysis (leaving 178 variables), but their removal had no
201 substantive effect on the results. We trained models employing predictors derived from each
202 data type separately, and all higher order combinations of data types.

203

204 **2.4.2 Model assessment and model comparison**

205 Predictive performance was assessed with cross-validation. We report results using 1,000
206 times 10-fold cross-validation here, but analyses employing different types of cross-
207 validation were substantially similar. Absolute measures of predictive performance are
208 suspect in small samples, so we assessed our models in relative terms, by comparing them to

209 an empty, or baseline (i.e. null) model, which simply predicts the average treatment response
210 for all patients in the group. This model reflects our null hypothesis, that treatment responses
211 are *not predictable at the individual level*, leaving group-level averages as the only recourse.
212 When our empirical models outperform the empty model, we reject the null hypothesis,
213 concluding that individual treatment responses are predictable, at least to some extent. We
214 compare models by recording the Root Mean Squared Error (MSE) for predictions made in
215 each of 1,000 repetitions of a 10-fold cross-validation. These folds are kept identical across
216 models, so the MSE values can be compared pair-wise.

217 Traditional paired tests are not appropriate on their own here because different partitions
218 of the data will create overlapping training datasets, which are therefore not independent of
219 each other. Accordingly, while we use the traditional, paired, non-parametric Wilcoxon
220 signed rank test to compare MSEs across models, we further threshold those statistics with
221 paired permutation test. The test construes the two vectors to be compared as having labels,
222 reflecting the models used to generate them. The null hypothesis is that those labels are
223 arbitrary, because the models' performance do not differ except by chance. We therefore
224 create a null distribution of paired test statistics by randomly permuting those labels *within*
225 *each pair*, and repeating the original paired (signed rank) test. If the original statistic is
226 extreme relative to the null distribution, we conclude that the performance difference between
227 the models is significant ($p < 0.05$) after a correction for FamilyWise Error (FWE).

228

229 **2.4.3 Model interpretation**

230 PLS regression models can be interpreted by examining the weights of each of their
231 components on each of the original variables. However, this approach can be challenging
232 when there are multiple components to consider, and because the sign of each component is

233 arbitrary: i.e. positive weights on a given component do not necessarily imply a positive
234 relationship between the highly weighted independent variables and the dependent
235 variable(s). We circumvent these issues with ‘data perturbation’.

236 The data perturbation procedure involves permuting random subsamples of the empirical
237 independent variables and recording the effect of the perturbation on the model’s predictions.
238 The PLS model beta weights themselves are fixed based on the original empirical data: our
239 goal is not to fit further models, but rather to better understand the relationships that have
240 already been encoded. We do this by: (a) permuting random subsets of the independent
241 variables; (b) observing the effect on the models’ predictions; and (c) relating perturbed
242 variable values to the resulting predictions with mass univariate correlation analyses. The
243 resultant correlation coefficients approximate the influence that each variable has on the
244 model’s predictions. We ran 1,000 iterations of the process per model, yielding a total sample
245 size of 18 (patients) * 1,000 (iterations), including both perturbed independent variables and
246 the resultant, predicted dependent variable (treatment response). Repeated analyses with this
247 number of iterations yielded very consistent coefficients for all of the models we report
248 across ten repetitions of 1,000 iterations of this process, all pairwise correlations between
249 derived weights on behavioural and lesion variables were >0.99 .

250

251 **3. Results**

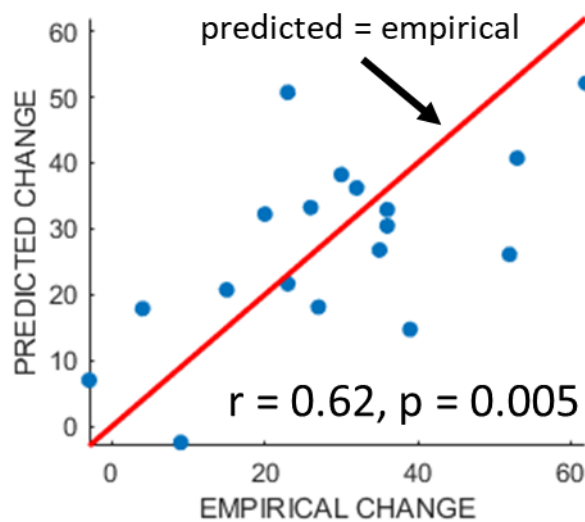
252 **3.1 Predictive performance**

253 Table 1 reports predictive performances (median and inter-quartile ranges of Mean Square
254 Errors, or MSEs) of models driven by all combinations of the data we considered. All but one
255 of the models that out-performed the null model, with lower MSEs, included lesion data. The
256 exception was a model including hours of therapy only, with a median MSE of 300 (IQR =

257 16): i.e. a very small difference relative to the null model, albeit a significant one (FWE
 258 adjusted $p < 0.05$). The best combination was hours of therapy plus lesion data (MSE median
 259 / inter-quartile range = 182 / 21), and indeed this was the only combination which improved
 260 upon lesion data alone: see Table 1. The mean predictions of that best model, across the
 261 1,000 repetitions, were strongly and significantly correlated with empirical treatment
 262 responses ($r = 0.62$, $p = 0.006$, 95% CI = 0.27, 0.95): see Figure 1.

263

Figure 1: Predicted responses versus empirical responses, for the best model identified in Table 1 (lesion load variables + hours of therapy undertaken).



264

265

Data types	Median / IQR MSE
Null	303/16
Hrs (Therapy)	300/16
Initial (severity)	396/31
Demographics	321/24
Lesions	205/30
Initial + Hrs	364/30
Demographics + Hrs	316/26
<u>Lesions + Hrs</u>	<u>182/21</u>
Initial + Demographics	364/30
Initial + Lesions	253/29
Lesions + Demographics	267/21
Hrs + Initial + Demographics	355/30
Hrs + Initial + Lesions	220/32
Hrs + Demographics + Lesions	253/21

Initial + Demographics + Lesions	274/23
Hrs + Initial + Demographics + Lesions	261/23

266

267 **Table 1:** Data configurations and predictive performance, as assessed across the same 1,000
268 10-fold cross-validation runs. MSE = Mean Squared Errors of the model predictions; IQR =
269 Inter-Quartile Range of the model predictions. These quantities are employed in preference to
270 mean and standard deviation because MSEs typically have a Poisson distribution rather than
271 a normal distribution. Lower MSEs imply more accurate predictions. The best model
272 configuration is underlined (Hrs + Lesions): the most accurate predictions are derived from
273 these data.

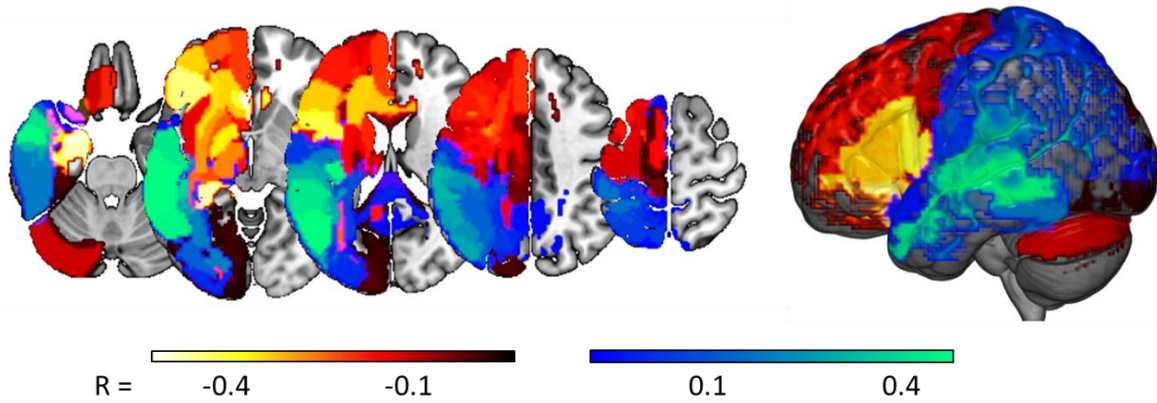
274

275

276 **3.2 Interpreting the best model**

277 Variable weights for the best models predicting change on treated items, using a combination
278 of the hours of therapy undertaken and lesion location data, were calculated via data
279 perturbation, as described in the Methods.

280 First, as expected, the best model predicted better improvement when patients devoted
281 more hours to practice ($r = 0.33$). Regional weights for the lesion data in this model (i.e.
282 taking therapy hours into account) are displayed in Figure 2, with the most negative weights
283 (predicting lesser treatment benefit with more damage) in and around the left inferior frontal
284 gyrus, and positive weights (predicting greater treatment benefit with more damage) in the
285 middle, superior and anterior temporal lobe regions. Where voxels appear in two overlapping
286 regions with different weights (e.g. we had one region covering the whole of the
287 hippocampus and others covering only its cornu ammonis and dentate gyrus subfields), the
288 most extreme of those two weights is displayed.



289

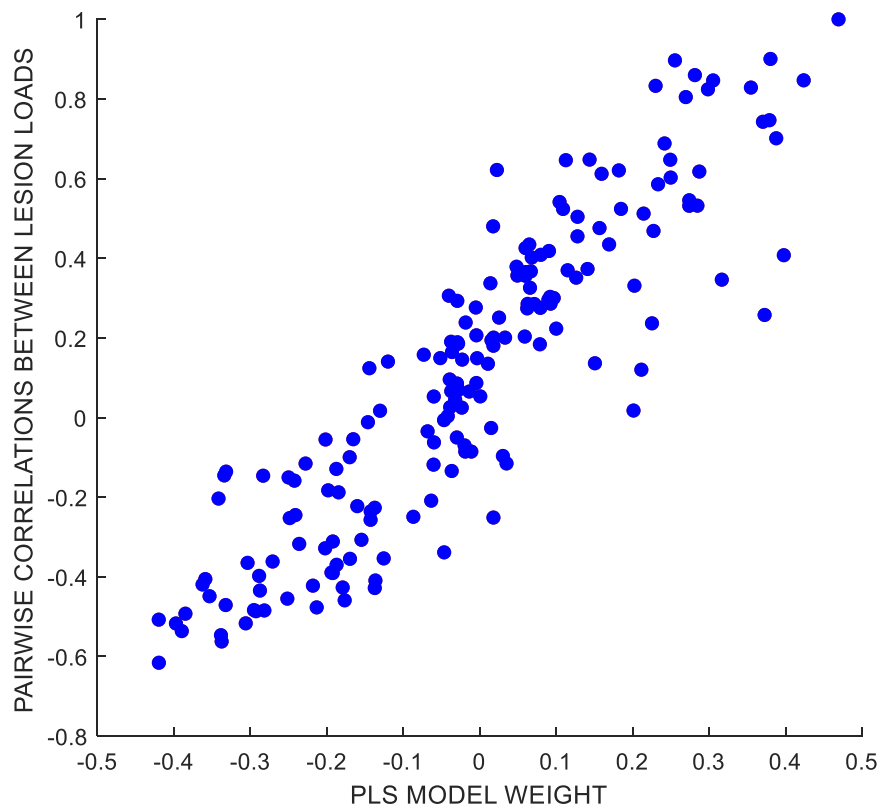
290 **Figure 2: Relating lesion locations to predicted treatment responses.** Correlation
291 coefficients, derived via data perturbation, relating the lesion load in each of 177 regions, to
292 treatment responses predicted by our best model (appending lesion data to the hours of
293 therapy actually undertaken).

294

295 Notably, weights in many brain areas, including the auditory cortex and the superior,
296 middle and anterior temporal lobes, are positive. The potentially curious implication here, is
297 that more damage predicts larger treatment responses. Instead, we suggest that these positive
298 regions are driven by the contingent distribution of the patients' lesions: more damage in
299 those positively weighted regions implies less damage in the negatively weighted regions
300 (where the latter make the more intuitive association between more damage and smaller
301 treatment responses). As an illustration of this relationship, we considered area TE11 of the
302 primary auditory cortex, where the strongest, positive weight was observed (0.47). Pairwise
303 correlations, between lesion loads in this region and lesion loads in each of the other (177)
304 regions under consideration, were very strongly correlated with the weights displayed in
305 Figure 2, which were assigned to those regions by our best PLS regression model ($r = 0.90$):
306 see Figure 3.

307

308



309

310 **Figure 3:** Scatter plot relating: (i) coefficients of the pairwise correlations between lesion
311 load values in primary auditory cortex area TE11, and lesion loads in all of the 177 brain
312 regions that we considered (y-axis); to (ii) the weights assigned to each of those same brain
313 regions by our best PLS regression model, as derived via data perturbation (described in the
314 Methods). The strong correlation between these two quantities implies that lesser lesion load
315 in primary auditory cortex area TE11 serves as a proxy for greater lesion load in areas where
316 that extra damage most strongly predicts poorer treatment responses.

317

318 4. Discussion

319 Recent results suggest that individual stroke patients' responses to aphasia treatment are to
320 some extent systematic and predictable [8]. Our results add to this evidence, showing that
321 responses to a behavioural treatment for anomia are at least somewhat predictable at the

322 individual level. We assessed models derived from demographic variables, and from pre-
323 treatment behavioural and lesion data. Models were derived via PLS regression, drawing on
324 efficient predictor dimensionality reduction and thus obviating the need for either algorithmic
325 or *a priori* feature selection. These models provide a sound way to establish at an individual
326 level whether pre-treatment data include signals that might be used to predict treatment
327 responses.

328 Many of the models we tested made significantly better predictions than those of a
329 baseline model, in which each patient's prediction was simply the mean response of the
330 group (see Table 1). However, the best model employed lesion location data, derived from
331 MRI, plus the hours of therapy undertaken by each patient. As hours of therapy alone has
332 very little predictive power, the results suggest that the benefit of increased therapy depends
333 on lesion location. This may explain why detecting therapy dose effects has been so
334 challenging [21]. Notably, we could not predict training effort, as indexed by hours of
335 therapy undertaken at the individual level, from any of the other data considered here.

336 Our best prognostic model, including lesion data and hours of therapy, is broadly
337 sensible. The negative weights assigned to the left inferior frontal gyrus, the hippocampus
338 and the cerebellum (more damage = less improvement) are consistent with prior work
339 emphasising the importance of the preservation of these regions in the response to aphasia
340 therapy (e.g. [22-24]). And the positive weights may best be explained as emphasising those
341 regions where more extensive damage predicts better preservation of the regions that appear
342 to support better responses to treatment (see Figure 3).

343 Notably, we did not employ any feature selection in this work: i.e. we did not attempt
344 to select the subset of lesion location variables that might best explain the patients' treatment
345 responses. This is a limitation of the current work, made necessary because feature selection

346 encourages over-fitting in small samples [8]: the only general way to circumvent this issue is
347 via external validation: testing the best model from this study in a second, completely
348 independent sample. But this is no simple endeavour, because the time and effort required to
349 run these studies is substantial, and we do not yet know how similar such a study would need
350 to be to that reported here. Does the treatment have to stay exactly the same? How much can
351 the inclusion criteria vary? Work to address these questions, by measuring how prognostic
352 models generalise across independent samples (e.g. as in [25]) and different therapy studies,
353 is ongoing.

354 Perhaps surprisingly, our models did not benefit from the addition, either of the initial
355 severity or the demographic data that we considered – suggesting that this treatment’s
356 efficacy did not depend on the patients’ ages, sex, time post-stroke or pre-treatment
357 impairment severity (once lesion location had been taken into account). Whether these null
358 results generalise in larger samples, is a question for future work. But our results do suggest
359 that pre-treatment structural neuroimaging (lesion data), in combination with treatment dose,
360 can be used to predict individual patients’ therapeutic anomia intervention response. This is
361 consistent with prior results, suggesting that the individual responses to treatment for aphasic
362 stroke might interact with where and how much lesion damage individual patients have
363 suffered [8]. We hope that these results will encourage further attempts to explain and predict
364 inter-individual differences in treatment responses, with pre-treatment data, opening the way
365 for a more positive and personalised treatment approach for aphasia.

366

367 **ACKNOWLEDGMENTS**

368 This study was supported by Wellcome (203147/Z/16/Z; 205103/Z/16/Z; 106161/Z/14/Z),
369 MRC (G0701888), the Stroke Association (TSA PDF 2017/02) and NIHR (RP-2015-06-012).
370 The funders had no participation in the design and results of this study.

371

372 **DATA**

373 The data described in this study is available to accredited researchers from JC, on request.

374

375

376 **REFERENCES**

- 377 1. Corraini, P., et al., *Comorbidity and the increased mortality after hospitalization for stroke: a*
378 *population-based cohort study*. J Thromb Haemost, 2018. **16**(2): p. 242-252.
- 379 2. Engelter, S.T., et al., *Epidemiology of aphasia attributable to first ischemic stroke: incidence,*
380 *severity, fluency, etiology, and thrombolysis*. Stroke, 2006. **37**(6): p. 1379-84.
- 381 3. Lam, J.M. and W.P. Wodchis, *The relationship of 60 disease diagnoses and 15 conditions to*
382 *preference-based health-related quality of life in Ontario hospital-based long-term care*
383 *residents*. Med Care, 2010. **48**(4): p. 380-7.
- 384 4. Laine, M. and N. Martin, *Anomia: Theoretical and clinical aspects*. 2013: Psychology Press.
- 385 5. Crinion, J.T. and A.P. Leff, *Using functional imaging to understand therapeutic effects in*
386 *poststroke aphasia*. Current Opinion in Neurology, 2015. **28**(4): p. 330-337.
- 387 6. Helldin, L., et al., *Neurocognitive variability in schizophrenia spectrum disorders: relationship*
388 *to real-world functioning*. Schizophrenia Research: Cognition, 2020. **20**: p. 100172.
- 389 7. Kadiev, E., et al., *Role of pharmacogenetics in variable response to drugs: focus on opioids*.
390 Expert opinion on drug metabolism & toxicology, 2008. **4**(1): p. 77-91.
- 391 8. Aguilar, O.M., et al., *Lesion-site-dependent responses to therapy after aphasic stroke*. Journal
392 of Neurology, Neurosurgery & Psychiatry, 2018.
- 393 9. Lambon Ralph, M.A., et al., *Predicting the outcome of anomia therapy for people with aphasia*
394 *post CVA: Both language and cognitive status are key predictors*. Neuropsychological
395 Rehabilitation, 2010. **20**(2): p. 289-305.
- 396 10. Nardo, D., et al., *Less is more: neural mechanisms underlying anomia treatment in chronic*
397 *aphasic patients*. Brain, 2017. **140**(11): p. 3039-3054.
- 398 11. Swinburn, K., Porter, G., and Howard, D., *Comprehensive Aphasia Test*. 2004: Psychology
399 Press.
- 400 12. Kay, J., R. Lesser, and M. Coltheart, *Psycholinguistic assessments of language processing in*
401 *aphasia (PALPA)*. Hove, UK: Laurence Erlbaum Associates, 1992.
- 402 13. Dabul, *Apraxia battery for adults (second ed.)*. 2000, Austin, Texas: Pro-Ed.
- 403 14. Fillingham, J.K., K. Sage, and M.A. Lambon Ralph, *The treatment of anomia using errorless*
404 *learning*. Neuropsychological Rehabilitation, 2006. **16**(2): p. 129-154.
- 405 15. Bhogal, S.K., R. Teasell, and M. Speechley, *Intensity of aphasia therapy, impact on recovery*.
406 Stroke, 2003. **34**(4): p. 987-93.
- 407 16. Seghier, M.L., et al., *Lesion identification using unified segmentation-normalisation models*
408 *and fuzzy clustering*. Neuroimage, 2008. **41**(4): p. 1253-66.
- 409 17. Tzourio-Mazoyer, N., et al., *Automated Anatomical Labeling of Activations in SPM Using a*
410 *Macroscopic Anatomical Parcellation of the MNI MRI Single-Subject Brain*. NeuroImage, 2002.
411 **15**(1): p. 273-289.
- 412 18. Hua, K., et al., *Tract probability maps in stereotaxic spaces: analyses of white matter anatomy*
413 *and tract-specific quantification*. Neuroimage, 2008. **39**(1): p. 336-47.
- 414 19. Oishi, K.F., A. F.; van Zijl P. C. M.; Mori, S., *MRI Atlas of Human White Matter*. Vol. 2. 2011.
- 415 20. Eickhoff, S.B., et al., *A new SPM toolbox for combining probabilistic cytoarchitectonic maps*
416 *and functional imaging data*. Neuroimage, 2005. **25**(4): p. 1325-35.
- 417 21. Harvey, S., et al., *Dose effects in behavioural treatment of post-stroke aphasia: a systematic*
418 *review and meta-analysis*. Disability and Rehabilitation, 2020: p. 1-12.
- 419 22. Sebastian, R., et al., *Cerebellar tDCS: A Novel Approach to Augment Language Treatment Post-*
420 *stroke*. Frontiers in human neuroscience, 2017. **10**: p. 695-695.
- 421 23. Mattioli, F., et al., *Early Aphasia Rehabilitation Is Associated With Functional Reactivation of*
422 *the Left Inferior Frontal Gyrus*. Stroke, 2014. **45**(2): p. 545-552.

- 423 24. Meinzer, M., et al., *Integrity of the hippocampus and surrounding white matter is correlated*
424 *with language training success in aphasia*. *Neuroimage*, 2010. **53**(1): p. 283-90.
425 25. Loughnan, R., et al., *Generalizing post-stroke prognoses from research data to clinical data*.
426 *NeuroImage: Clinical*, 2019. **24**: p. 102005.

427

428

429 Supplementary Table S1: Demographic and clinical data of the patients

Patient ID	Sex	Age	Lesion volume (cm ³)	Months post-stroke	BNT	CAT	PALPA 9	PALPA 8	Hours of training
P1	M	64	171	78	47	15	20	6	40
P2	F	49	44	17	12	15	21	6	31
P3	M	54	294	78	14	11	10	0	77
P4	M	41	234	65	28	14	24	8	116
P5	M	49	144	57	34	15	17	2	50
P6	M	66	109	61	52	15	24	6	63
P7	F	44	82	72	34	14	24	10	59
P8	M	54	95	34	35	15	24	8	70
P9	M	67	341	47	42	14	24	9	85
P10	M	41	75	8	23	13	23	8	89
P11	M	63	139	264	51	15	24	9	81
P12	M	47	314	52	16	15	22	6	77
P13	M	56	150	40	1	14	18	2	61
P14	F	60	104	121	27	13	22	7	120
P15	M	41	114	18	42	14	21	3	43
P16	F	21	155	33	18	15	20	3	108
P17	F	47	161	53	9	9 ^a	12	0	76
P18	F	43	165	5	21	15	23	1	67
Mean (SD)		50 (12)	161 (84)	61 (58)	28 (15)	14 (2)	21 (4)	5 (3)	73 (25)
		Max score possible			60	15	24	10	

430

431

432 TRIPOD checklist for prediction model development.

Section/Topic		Checklist Item	Page
Title and abstract			
Title	1	Identify the study as developing and/or validating a multivariable prediction model, the target population, and the outcome to be predicted.	X
Abstract	2	Provide a summary of objectives, study design, setting, participants, sample size, predictors, outcome, statistical analysis, results, and conclusions.	X
Introduction			
Background and objectives	3a	Explain the medical context (including whether diagnostic or prognostic) and rationale for developing or validating the multivariable prediction model, including references to existing models.	X
	3b	Specify the objectives, including whether the study describes the development or validation of the model or both.	X
Methods			
Source of data	4a	Describe the study design or source of data (e.g., randomized trial, cohort, or registry data), separately for the development and validation data sets, if applicable.	X
	4b	Specify the key study dates, including start of accrual; end of accrual; and, if applicable, end of follow-up.	X
Participants	5a	Specify key elements of the study setting (e.g., primary care, secondary care, general population) including number and location of centres.	X
	5b	Describe eligibility criteria for participants.	X
	5c	Give details of treatments received, if relevant.	X
Outcome	6a	Clearly define the outcome that is predicted by the prediction model, including how and when assessed.	X
	6b	Report any actions to blind assessment of the outcome to be predicted.	X
Predictors	7a	Clearly define all predictors used in developing or validating the multivariable prediction model, including how and when they were measured.	X
	7b	Report any actions to blind assessment of predictors for the outcome and other predictors.	X
Sample size	8	Explain how the study size was arrived at.	X
Missing data	9	Describe how missing data were handled (e.g., complete-case analysis, single imputation, multiple imputation) with details of any imputation method.	X
Statistical analysis methods	10a	Describe how predictors were handled in the analyses.	X
	10b	Specify type of model, all model-building procedures (including any predictor selection), and method for internal validation.	X
	10d	Specify all measures used to assess model performance and, if relevant, to compare multiple models.	X
Risk groups	11	Provide details on how risk groups were created, if done.	X
Results			
Participants	13a	Describe the flow of participants through the study, including the number of participants with and without the outcome and, if applicable, a summary of the follow-up time. A diagram may be helpful.	X
	13b	Describe the characteristics of the participants (basic demographics, clinical features, available predictors), including the number of participants with missing data for predictors and outcome.	X
Model development	14a	Specify the number of participants and outcome events in each analysis.	X
	14b	If done, report the unadjusted association between each candidate predictor and outcome.	X
Model specification	15a	Present the full prediction model to allow predictions for individuals (i.e., all regression coefficients, and model intercept or baseline survival at a given time point).	X
	15b	Explain how to use the prediction model.	X
Model performance	16	Report performance measures (with CIs) for the prediction model.	X
Discussion			
Limitations	18	Discuss any limitations of the study (such as nonrepresentative sample, few events per predictor, missing data).	X
Interpretation	19b	Give an overall interpretation of the results, considering objectives, limitations, and results from similar studies, and other relevant evidence.	X
Implications	20	Discuss the potential clinical use of the model and implications for future research.	X
Other information			
Supplementary information	21	Provide information about the availability of supplementary resources, such as study protocol, Web calculator, and data sets.	X
Funding	22	Give the source of funding and the role of the funders for the present study.	X