Comparative reproductive biology of deep-sea ophiuroids inhabiting polymetallic-nodule fields in the Clarion-Clipperton Fracture Zone

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Abstract

Deep-sea mining in the Pacific Clarion-Clipperton Fracture Zone (CCZ), a low-energy sedimentary
habitat with polymetallic nodules, is expected to have considerable and long-lasting environmental
impact. The CCZ hosts extraordinarily high species diversity in nearly all domains of Life present.
Data on species biology and ecology remain scarce, however. The current study describes the
reproductive biology of *Ophiosphalma glabrum* (Lütken & Mortensen, 1899) (Ophiosphalmidae)
and *Ophiacantha cosmica* (Lyman, 1878) (Ophiacanthidae), two ophiuroids frequently found in the
CCZ. Specimens collected in Spring 2015 and 2019 in four contract areas (BGR, IOM, GSR,
Ifremer) and one Area of Particular Interest (APEI 3) were examined morphologically and
histologically. Size-class frequencies (disc diameter and oocytes feret diameters), sex ratios,
gametogenic status, putative reproductive mode and a simple proxy for fecundity are presented.
Habitat use differs in each. While *O. glabrum* is epibenthic, occurring as single individuals, *O.*
cosmica often form size-stratified groups living on stalked sponges, suggesting gregarious settlement
or retention of offspring (though no brooding individuals were found). Further molecular analyses are
needed to establish whether *O. cosmica* groups are familial. In *O. glabrum*, for which sample sizes
were larger, sex ratios approximated a 1:1 ratio with no size-structuring. In both species, individuals
were at various stages of gametogenic maturity but no ripe females were identified. Based on this, *O.*
glabrum is most probably gonochoric. Reproductive mode remains inconclusive for *O. cosmica*.
Both species are presumptively lecithotrophic, with vitellogenic-oocyte feret diameters exceeding
250 µm. Oocyte feret diameters at times exceeded 400 µm in *O. glabrum*, indicating substantial yolk
reserves. Estimates of instantaneous fecundity (vitellogenic specimens of *O. glabrum* only) were
confounded by interindividual variability in gonad characteristics. The well-furnished lecithotrophic
larvae of *O. glabrum* would be capable of dispersing even under food-impoverished conditions. The

current study examines ophiuroid reproductive biology over multiple localities in the CCZ
concurrently for the first time, at sites characterised by differing productivity regimes. The
reproductive biology of each species is thus discussed with reference to past evolutionary (habitat
stability), contemporary (food supply) and future environmental drivers (potential impacts of deep-
sea mining).

42 1   Introduction

The challenges of exploring remote deep-sea abyssal environments have, thus far, insulated the deep-
sea benthos from the impacts of mineral resource extraction. However, the advent of the
technological means to access and exploit the considerable mineral resources found in these
environments (Ghosh and Mukhopadhyay, 2000), heralds imminent, unprecedented levels of
disturbance in the deep-sea (Weaver et al., 2018). Faunae that have evolved under highly stable,
food-limited environmental regimes are likely to be poorly adapted to large-scale disturbances that
rapidly and/or irreversibly alter their environment (Stearns, 2000). The Clarion-Clipperton Fracture
Zone (CCZ) in the tropical NE Pacific exemplifies this scenario, where the largest known global
reserve of polymetallic nodules is located, formed over geological timescales in abyssal soft
sediments characterized by very low sedimentation rates (Hein et al., 2013). Recent studies that have
sought to describe the benthic fauna that typify the CCZ have also revealed extraordinarily high
taxonomic diversity in nearly all domains of Life present (e.g. Amon et al., 2016; De Smet et al.,
2017; Shulse et al., 2017; Wilson, 2017; Hauquier et al., 2018; Goineau and Gooday, 2019; Brix et
al., 2020; Christodoulou et al., 2020), making the CCZ of critical importance for biodiversity
conservation. In many of these studies, data (e.g. species-abundance curves) strongly suggest that
many – arguably most – species remain unaccounted for, with high species turnover over relatively
short spatial scales even in groups that are brooders like Isopoda (e.g. Wilson, 2017, Brix et al.,
2020). Remoteness of habitat and spatial variability in species composition of this sort present
challenges for performing robust ecological studies, as evidenced by the scarcity of ecologically
meaningful data available for even the most conspicuous 'common' epifaunal species in the CCZ
(Danovaro et al., 2017), including many echinoderm species (Amon et al., 2016).

Members of the phylum Echinodermata represent some of the most emblematic taxa found in deep-
sea abyssal plains (Gage and Tyler, 1991). Echinoderms play a significant role in global marine
carbon budget (Lebrato et al., 2010) and are abundant in many soft- and hard-substrate habitats
globally (Gage and Tyler, 1991). The class Ophiuroidea are known to be particularly prevalent and
diverse on bathyal slopes (e.g. O'Hara et al., 2008) but data concerning species diversity and
abundances at abyssal depths are scarce by comparison (Stöhr et al., 2012). In the equatorial NE
Pacific, such data is limited to select historic (e.g. HMS Challenger and Albatross expeditions,
Lyman, 1878, 1879, 1882; Clark, 1911, 1949) and contemporary surveys (Amon et al., 2016;
Vanreusel et al., 2016, Christodoulou et al., 2020). Drivers for large-scale regional variability in
abyssal ophiuroid population densities are still poorly understood, however in the CCZ it seems that
local-scale patchiness in nodule substrate availability plays a key role in determining the distribution
of many species. Under certain habitat conditions, ophiuroids and the echinoderms more generally,
comprise one of the largest components of mobile epifauna at nodule-rich sites (Amon et al., 2016;
Vanreusel et al., 2016: up to 15 individuals per 100 m^2, with major contributions from ophiuroids).
The presence of nodules appears particularly relevant to certain ophiuroid and echinoid species; when
nodules are absent, mobile epifaunal densities fall sharply to one or two encounters in an equivalent
100 m^2 area, largely due to much-reduced encounters with ophiuroid species (Vanreusel et al., 2016).
Two recent studies published in 2020, one based on video-transect surveys and the other on
specimens collected by remotely operated vehicle (ROV) and epibenthic sledge (EBS), have also

identified ophiuroids in abundance and notably, at unexpectedly high levels of diversity in the
easternmost regions of CCZ (Christodoulou et al., 2020; Simon-Lledó et al., 2020) with the discovery
of previously unknown ancient lineages (Christodoulou et al., 2019, 2020).

Current knowledge of reproductive biology in abyssal ophiuroid species is limited to a few papers
examining gametogenic and/or size-class patterns (e.g. *Ophiomusa lymani* in N Atlantic & NE
Pacific, Gage, 1982; Gage and Tyler, 1982; *Ophiocten hastatum* in NE Atlantic, Gage et al., 2004;
Ophiura bathybia, *Amphilepis patens*, *Amphiura carchara* and *O. cosmica* in NE Pacific, Booth et
al., 2008; *Ophiura irrorata loveni*, *Ophiura lienosa*, *Amphioplus daleus*, *O. cosmica*, *Ophiernus
quadrispinus* and *Ophioplexa condita* in S Indian Ocean, Billett et al., 2013), with no data available
for specimens originating from polymetallic-nodule habitats. As part of a wider concerted effort to
address significant knowledge gaps in our understanding of nodule-rich seabed ecology while this
habitat remains relatively pristine, the current study describes the reproductive biology of the brittle
stars *Ophiosphalma glabrum* Lütken & Mortensen, 1899 (Ophiosphalmidae) and *Ophiacantha
cosmica* Lyman, 1878 (Ophiacanthidae), two of the more frequently encountered ophiuroids within
the eastern CCZ (Christodoulou et al., 2020), based on histological analyses of specimens collected
from several mining-contract areas within the eastern CCZ.

100   2   Methodology

101   2.1   Sample collection, fixation, preservation and species identification

Specimens were collected during remotely operated vehicle transects (ROV Kiel 6000, GEOMAR,
manipulator arm) undertaken over the course of two cruises in Spring 2015 and 2019 on the R/V
Sonne in the eastern CCZ. Cruise SO239 (11 March – 30 April 2015) visited four contract areas and
one Area of Particular Environmental Interest (APEI, 400 x 400 square-km protected areas assigned
to each of nine presumptive ecological subregions of the CCZ). The 2015 samples in the current
study originated from three of these contract areas: BGR area (Federal Institute for Geosciences and
Natural Resources, Germany); the southern IOM area (Interoceanmetal Joint Organization); and the
easternmost GSR area (G-TEC Sea Mineral Resources NV, Belgium) as well as APEI 3, where each
was located along a decreasing SE-to-NW particulate organic-flux (POC) gradient, concomitant with
a decrease in surface primary productivity driven by basin-scale thermocline shoaling towards the N.
Pacific subtropical gyre (Pennington et al., 2006). The 2019 samples from the follow-up cruise
SO268 (18 Feb – 21 May), originated from BGR (again) and the central GSR contract area (2015-
2019 site separation: BGR ~ 50 km; GSR ~ 350 km). A site map is provided in Figure 1.
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Following collection, the arms of each specimen were removed for molecular analyses, while the
central disc was fixed for reproductive histology. Arm fixation was in pre-cooled 96% EtOH, with
replacement after 24 h (stored at -20 °C thereafter); disc fixation was in 4% phosphate-buffered
formaldehyde (48 h) following by serial transfer to 70% ethanol, stored at room temperature.
Specimens were later identified to species level based on morphological characteristics (*O. glabrum*:
Lütken and Mortensen, 1899; Baker, 2016; *O. cosmica*: Lyman, 1878; Paterson, 1985), supported by
mtDNA COI analyses of genomic DNA extracted from arm tissues, described in detail in
Christodoulou et al. (2020).

2.2   Size measurements, dissection and tissue preparation for microscopy

Micrographs of the oral and aboral faces of central discs were created from focus-stacks taken under
a stereomicroscope (Leica M125 and DMC5400 camera, LAS- Leica Application Suite 3.7; focus-
stacking in Combine ZP 1.0). Disc diameter – the length from the radial shield's distal edge to the
opposing interradial margin (Gage and Tyler, 1982) – was measured in LAS, to estimate size at first
maturity and assess size-class frequencies as a function of sex. The aboral face, stomach and bursal-
sac lining were then removed by dissection to reveal the bursal slits that border each arm base, along
which the sac-like gonads are located, arranged in rows on each side. Intact gonad rows were
dissected and then embedded either in paraffin wax or plastic resin, depending on tissue size. An
additional decalcification step in 3% nitric acid in 70% ethanol (Wilkie, 2016) followed by a 70%
ethanol rinse was required for smaller gonads, which were retained on a fragment of calcified tissue
to facilitate tissue processing.

Larger gonad samples (all *O. glabrum* specimens and one *O. cosmica* specimen with larger gonads)
were infiltrated in molten paraffin at 60 °C, following 6-step serial transfer to 100% ethanol, 4-step
transfer to 100% MileGREEN™ (an iso-paraffin based solvent, Milestone) and final 2-step transfer
to paraffin in a mould to set. Blocks were trimmed and 5-µm sections cut, relaxed in a water bath,
placed on Superfrost™+ slides and dry-fixed by incubation at 50 °C. Slides were stained in (Harris')
Haematoxylin & Eosin(-Y) following standard protocols with dehydration by replacement using
ethanol. Note that the *O. cosmica* specimen processed using paraffin wax was also processed with
LR white resin (protocol below) using neighbouring gonads, to identify any procedural biases in
oocyte feret diameter.

Smaller tissue samples (all *O. cosmica* specimens) were blotted dry and then infiltrated directly in a
hydrophilic methacrylate resin (LR white, London Resin Co.) at room temperature (3 resin
replacements by micropipette, 30- mins. each, 4th overnight) then transferred to a fresh resin-filled
gelatine capsule (size 00, Electron Microscopy Sciences, UK), which was capped and polymerised at
50 °C (20+ hrs). Having removed gelatine, LR-white resin pellets were trimmed and wet-sectioned
on a Leica Ultracut UC6 Ultramicrotome (Germany), using a 45° glass knife. Sections 1-µm thick
were transferred to individual aliquots arranged on Superfrost™+ slides. Periodic toluidine staining
tracked progress through tissue. Standard H & E staining was modified by extending staining times
and excluding ethanol, which distorts LR white. Slides were dried at 50 °C with a desiccant (see
Laming et al., 2020 for details). Regardless of protocol, sections were then cleared in MileGREEN™
and mounted with a cover slip (Omnimount™, Electron Microscopy Sciences).

2.3   Gametogenic analyses

Stained serial sections were photographed under a compound microscope (Leica DM2500 with
camera module ICC50W, LAS 3.7). Spermatogenesis was documented qualitatively only. Oogenesis
was examined in more detail. Oocyte size frequencies were determined by image analysis of serial
sections from ovaries, imported as image-stacks into Image-J (Schindelin et al., 2012) to track
individual oocytes through the sample and prevent repeat counting. Up to 100 oocytes (with visible
nuclei only) were counted per specimen, each measured along its longest axis (feret diameter) and
classified into an oogenic developmental stage. Stages were: 1) *Previtellogenic* oocytes (Pv), smallest
oocytes with no clear evidence of a germinal vesicle or vitellogenesis (i.e. eosinophilic granulated
cytoplasm, rich in yolk proteins, has not yet developed); 2) *Vitellogenic I*, (VI) "early" vitellogenic
oocytes that are less than twice the diameter of previtellogenic oocytes, with the beginnings of an
eosinophilic cytoplasm and a distinct germinal vesicle; 3) *Vitellogenic II* (VII), "mid-stage"
vitellogenic oocytes that clearly possess an eosinophilic granulated cytoplasm, but whose diameter is

less than double that of the germinal vesicle; 4) *Vitellogenic III* (VIII), "late" vitellogenic oocytes
with large eosinophilic cytoplasmic reserves, whose diameter exceeds double that of the germinal
vesicle; 5) *Asymmetric* (As), late vitellogenic oocytes with germinal vesicles located asymmetrically
and in contact with the cell membrane, the precursor to germinal vesicle breakdown (GVBD) and
onset of meiosis I; 6) *Polar bodies* (PB), oocytes that have undergone GVBD, with evidence of
peripheral polar bodies, germinal vesicle lacking; and 7) *Mature* (M), fully mature oocytes,
possessing only a small pronucleus.

Overall state of gonad maturity was assessed visually in terms of gonad aspect within the bursal sac
and histological appearance. Gonad state was either defined as "immature" (no gonad), "no gametes"
(gonad but no gametogenesis), "developing" (gametogenesis at all stages of development but with
interstitial spaces remaining), "nearly ripe" (late-stage gametes dominate but gonad is not yet
replete), "ripe" (fully mature gametes and no interstitial space) and "post-spawning / recovery" (some
residual near-mature gametes remain alongside degraded material, epithelial and myoepithelial layers
are thickened and nutritive phagocytes are typically present). For *O. glabrum*, the percentage area
occupied by oocytes relative to gonad area and a maturity stage index (MSI) were also calculated, the
latter using the 4th formula proposed from Doyle, Hamel and Mercier (2012): *Oocyte density x Size*
of individual^{-1} x Oocyte surface area x 0.01, where oocyte density in the current study refers to
oocytes mm^{-2} of all gonads in cross section, size of individual^{-1} is reciprocal disc diameter in mm and
oocyte surface area is calculated from the mean feret diameter ($\frac{1}{4}\pi d^2$).
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190   2.4   Statistical analyses

Sex ratios for each species were assessed by χ^2 test. The statistical significance of rank-based
differences was tested by Kruskal-Wallis H test for: 1) disc diameter as a function of sex (including a
juvenile category); 2) oocyte feret diameter as a function of year, and of disc diameter (for a given
visual gonad state) and; 3) percentage gonad occupied by oocytes, MSI and mean oocyte feret
diameter each as a function of visual gonad state. Post-hoc pairwise comparisons were by Dunn test.
To assess whether a trade-off exists between oocyte diameter and oocyte density (and thus,
fecundity), a spearman rank-order correlation was used to assess the strength of any proportional
relationship between oocyte density and mean oocyte feret diameter. N.B. expressions of variation
around average values are standard deviation (sd., for means) and median absolute deviation (mad.,
for medians). All statistical and graphical analyses were performed in R 4.0.3 (R Core Team, 2020)[1],
using packages *svglite*, *tidyverse*, *cowplot*, *ggExtra* and *ggpubr* (Attali and Baker, 2019; Wickham et
al., 2019, 2020; Kassambara and Kassambara, 2020; Wilke, 2020), with figures prepared in Inkscape
1.0.2 (Inkscape Project)[2].

204 3   Results

205   3.1   Sex ratios, size-class frequencies and size at first maturation

206 A total of eighty *Ophiosphalma glabrum* individuals (26 in 2015, 54 in 2019) and twenty-three
207 *Ophiacantha cosmica* individuals (8 in 2015, 15 in 2019) were processed. Of the two species,
208 *Ophiosphalma glabrum* was larger (across entire sampling area, mean disc diameter 16.1 ± sd. 3.99
209 *vs*. *Ophiacantha cosmica* mean disc diameter 4.9 ± sd. 2.03, example specimens in Figure 2).

[1] https://www.R-project.org/

[2] https://inkscape.org

Diameters ranged from 5.7 – 25.2 mm in *O. glabrum* and 2.2 – 10.0 mm in *O. cosmica*. Although
male *O. glabrum* specimens were numerically dominant (42 *vs.* 26 individuals), overall sex ratios did
not differ significantly from a 1:1 ratio ($\chi^2_1 = 3.765$, p > 0.05). The three intermediate size classes for
O. glabrum (together ranging from 12.5 – 20 µm) were characterised by equal numbers of males and
females, however smaller and larger mature *O. glabrum* individuals outside these size classes were
exclusively male (Figure 3). Ranked-disc diameters did not significantly differ between sexes in *O.*
glabrum, only between mature (male/female) and immature specimens, resulting in significant global
differences across the three categories when immature specimens were included in analyses
(Kruskal-Wallis $\chi^2_2 = 32.20$, p < 0.01, Figure 3). Sample sizes were particularly small for specimens
of *O. cosmica*, however due to an over-whelming dominance of females (7:1) sex ratios were found
to be significantly different ($\chi^2_1 = 4.50$, p < 0.05). The only male *O. cosmica* collected was the
largest individual of this species, making statistical comparisons of disc diameter between sexes
impossible.

For both species, several immature specimens were retrieved (Figures 2 and 3), allowing rough
estimates of size at first maturity to be made. In *O. glabrum*, the largest immature specimen (lacking
discernible gonads) had an 11.93-mm wide disc; a further 11 smaller specimens also lacked gonads.
The smallest *O. glabrum* individual that possessed a gonad was a male at 12.07 mm disc diameter,
while the smallest female was 15.45 mm (gametogenesis observed in both), placing approximate
sizes at first maturity in males at < 12 mm disc diameter and at < 15.5 mm disc diameter in females.
In *O. cosmica*, size at first maturity is less concrete. The largest specimen with no discernible gonad
had a disc diameter of 5.12 mm, with two smaller (4.28, 4.34 mm) and two larger (6.00, 7.04 mm)
specimens each in possession of 1 – 2 very small gonad buds (ca. 100µm diameter) not yet furnished
with gametes. The smallest individual in which gametogenesis was identified was a female of 4.96
mm with six larger females with disc diameters of 5.37 – 7.88 mm (Figure 3, select specimens in
Figure 2). The largest individual – the only *O. cosmica* male – had a 9.98-mm wide disc.

3.2 Gonad state and gametogenesis

Gonad state and gametogenesis were assessed qualitatively in males (Figure 4, Supplementary figure
1) and both qualitatively and semi-quantitatively in females (Figures 4 and 5; Supplementary figures
1 and 2). No evidence of simultaneous hermaphroditism (i.e., ovotestes) were identified in either
species. Most *O. glabrum* males' testes were *developing* (52 %) with easily identifiable cell chains –
or spermatogenic columns – composed of progressively more-advanced stages of spermatogenesis,
extending from the inner-sac membrane into the central lumen (Figure 4bi). Testes were somewhat
angular (Figure 4di), lacking the creamy engorged appearance typical of ripe individuals (example in
Figure 4dii). A further 33 % were considered *nearly ripe*, where testes aspect appears unchanged but
spermatogenic columns are no longer clearly visible, with roughly equal proportions of central
mature spermatozoa, and surrounding tightly packed cells at earlier stages of spermatogenesis. Three
specimens were *ripe* (7 %), where gonads appear engorged, extensively spread throughout the bursal
sac and replete with spermatozoa, which predominate (Figure 4bii, dii). Putative evidence of nutritive
phagocytes was used as the basis for discriminating a minority of male specimens as being in a *post-*
spawn / recovery state (7 %, Figure 4 biii, with gonad aspect in diii). The single *O. cosmica* male
collected was at the *developing* stage, with evident spermatogenic columns (Supplementary figure
1a).

No *ripe* females were identified in either species. However, most *O. glabrum* females had ovaries in
a *nearly ripe* state (53 % overall, 88 % in 2015, 39 % in 2019), where late-stage (VIII+) oocytes
dominate but the ovary is not replete and has not yet spread far into the bursary sac (e.g. Figure 4aii,

cii). An equal proportion of individuals with ovaries *developing* and *post-spawn / recovery* (see
Figure 4ai and aiii) were identified overall (23 % each) but this did not hold true by year (2015- one
developing female representing 12 %; 2019- five *developing* and six *post-spawn / recovery* females
representing 28 % and 38 % of specimens, respectively). All-but-one of the *O. cosmica* females were
developing (e.g., Supplementary figure 1b). The smallest female was in a *post-spawn / recovery* state
(or possibly *developing* for the first time).

The higher proportion of *nearly ripe O. glabrum* females in 2015 versus 2019, is reflected in
significant differences in ranked oocyte feret diameters between 2015 and 2019 (Kruskal-Wallis $\chi^2_1 =$
67.8, p < 0.0001). These differences, echoed by mean and median oocyte feret diameters for each
year (2015- mean 159.5 ± sd. 91.4, median 149.5 ± mad. 109.0; and 2019- mean 112.0 ± sd. 78.3,
median 88.0 ± mad. 57.8), relate to higher frequencies of VIII+ oocytes in the ovaries of specimens
from 2015, clearly visible in the size-frequency data for both years (Figure 5bi). Unfortunately, low
sample sizes prohibit the quantitative comparison of oocyte frequency distributions as a function of
contract area, however the proportion of large, late-stage oocytes found in specimens at the eastern-
most sites appears to be highest with an apparent decrease in large late-stage oocytes in areas further
west (particularly in APEI 3, Figure 5bii). No significant differences in ranked oocyte feret
diameters between the two sampling years was found for *O. cosmica* (Kruskal-Wallis $\chi^2_1 = 2.34$, p >
0.05), which may reflect similar oocyte size-class means, medians and distribution profiles identified
for this species in both years (2015- mean 82.3 ± sd. 40.7, median 79.1 ± mad. 43.7; and 2019- mean
94.4 ± sd. 40.0, median 90.8 ± mad. 39.3; profiles in Figure 5a), though very low sampling sizes are
likely undermining the statistical power of the test. Maximum oocyte feret diameters for *O. glabrum*
and *O. cosmica* were a mature oocyte of 453 µm and VIII oocyte of 273 µm, respectively. However,
unlike *O. glabrum*, oocytes at stages more advanced than VIII were not observed in *O. cosmica*
generally (Supplementary Figure 2a), so it is likely that maximum oocyte diameters in this species
are underestimated.

In addition to classifying oogenic stage and measuring oocyte feret diameters, the cross-sectional
area occupied by oocytes relative to gonad area (inner-sac membrane) was calculated for *O. glabrum*
– where tissue section quality allowed – as a proxy for the degree to which female gonads were full.
A maturity stage index (MSI) previously demonstrated to be more sensitive to subtle changes in
gonad maturation (Doyle et al., 2012), was also calculated for *O. glabrum*. Percentage of gonad
occupied by oocytes (Kruskal-Wallis $\chi^2_2 = 11.37$, p < 0.01), mean oocyte feret diameter (Kruskal-
Wallis $\chi^2_2 = 10.55$, p < 0.01) and MSI (Kruskal-Wallis $\chi^2_2 = 9.13$, p < 0.05) all differed significantly
as a function of *O. glabrum* gonad state (Figure 6). Evident differences existed in MSI and in the
relative gonad area occupied by oocytes in *nearly ripe* females, however these metrics both failed to
resolve differences between *developing* and *post-spawn* females, with post-hoc pairwise comparisons
revealing significant group differences only between *nearly ripe* females and the two other gonad
states (Figure 6). Group differences in mean oocyte feret diameter were restricted to *nearly ripe*
females and those classified as *post-spawn / recovery* (Figure 6), reflecting similar (non-significant)
mean feret diameters between *developing* and *nearly ripe O. glabrum* females. Spearman-rank
correlative analysis revealed oocyte density mm^{-2} of gonad cross-sectional area to be significantly,
inversely related to mean oocyte feret diameter (coefficient $R = -0.74$, p < 0.0001) indicating a trade-
off between energy investment per oocyte and the number of oocytes that can be produced.

Finally, intraindividual variation in the number and size of ovaries and oocyte densities per ovary in
O. glabrum was considerable (examples in Figure 4). Several *developing* and *nearly ripe* females
possessed neighbouring gonads at different stages of maturity both qualitatively and quantitatively,
where differing proportions of late-stage (VIII+) oocytes resulted in median oocyte diameters with

large variances (Figure 7a). Not unexpectedly – since one directly informed the other – the
proportion of oocytes at each stage of oogenesis was relatively consistent in a given gonad state,
irrespective of specimen size (Figure 7b). However, ranked oocyte feret diameters overall were
significantly higher in the largest *nearly ripe* disc-diameter size class, (17.5 – <20 mm) when
compared with smaller size classes (based on post-hoc comparisons of a highly significant Kruskal-
Wallis test for this gonad state: Kruskal-Wallis $\chi^2_2 = 18.94$, $p < 0.0001$, Figure 7a), indicating that
although the relative proportion of later-stage oocytes is similar, larger females possess larger
oocytes at equivalent stages of oogenesis. Significant post-hoc differences in ranked oocyte across
size classes were also identified in individuals in a state of *post-spawn / recovery*, however this was
due rather to the relative dominance of previtellogenic oocytes in one individual from the
intermediate size category for this gonad state (15 – <17.5 mm: Kruskal-Wallis $\chi^2_2 = 12.63$, $p < 0.01$,
Figure 7a). No significant size-related differences were identified in the oocyte feret diameters of
developing individuals of either species (Figure 7a).

As a result of intra- and interindividual variability in gonad maturity overall, a reliable measure of
fecundity proved impossible. However, extrapolating from 25µm of tissue sectioned per individual,
late-stage (VIII+) oocyte counts mm-sectioned^{-1} ovary $^{-1}$ ranged from 1.3 – 12.0 oocytes in
developing females (n = 5), 5.0 – 30.0 oocytes mm-sectioned^{-1} ovary $^{-1}$ in *nearly ripe* females (n =
14) and 1.5 – 5.0 oocytes mm-sectioned^{-1} ovary $^{-1}$ in *post-spawn / recovery* females (n = 2).

320   **4   Discussion**

The current study provides new ecologically relevant reproductive data for two species of ophiuroid
living in an oligotrophic, highly stable low-energy environment in the NE equatorial abyss which,
due to the presence of polymetallic nodules, is under future threat from deep-sea mining activities
(Weaver et al., 2018). The current study documents the reproductive biology of *Ophiosphalma*
glabrum for the first time. Equal sex ratios for intermediate modal disc-diameter size classes and the
absence of any simultaneous hermaphrodites both point to gonochorism in *O. glabrum*.
Unfortunately, the manner (and possibly timing) of sampling may have created biases in the size
classes of each sex. Large (and ripe) females (i.e., > 20-mm disc diameters) were conspicuously
absent, suggesting either an unlikely upper limit on female disc diameter, or most probably, that large
females were overlooked. This may simply be symptomatic of a patchy (or sex-specific) distribution
of females, where large communal echinoderm aggregations in the soft-sediment abyss only really
occur during the episodic arrival of labile organic matter to the seafloor (Kuhnz et al., 2014; Smith et
al., 2018) or during aggregative spawning events (Mercier and Hamel, 2009). Alternatively, since
stomach contents of dissected specimens indicate that *O. glabrum* is a deposit feeder and deposit-
feeding ophiuroids are known to feed infaunally (e.g., Gielazyn et al., 1999), it's possible that larger
females spend more time out of sight below the sediment's surface foraging for food to meet the
nutritional demands of vitellogenesis, which are likely to be considerable, given both the size of
mature oocytes and inherent food limitations.

Oocyte feret diameters frequently exceeding 300 µm in the current study (max 453 µm in a mature
oocyte) are indicative of a lecithotrophic (non-feeding) larval mode with substantial yolk-protein and
lipid reserves (Young, 2003). Although this places an upper limit on transport time relative to
planktotrophy, lecithotrophy releases larvae of nutritional constraints that likely exist in food-
impoverished transport environments. Planktotrophic (feeding) larvae, by contrast, would be
confined to water masses with higher detrital input (Young et al., 1997). Lecithotrophy necessitates

greater energy investment per oocyte than in planktotrophy. This likely places constraints on
fecundity in a food-limiting environment (Ramirez Llodra, 2002), as evidenced by the inverse
relationship between oocyte densities and feret diameter in the current study. Ovaries in the current
study, when *nearly ripe,* were classified as such based mainly on oogenic characteristics, since
striking external indications of maturity were lacking in female specimens. Coloration was
inconsistent and ovaries were not engorged, nor had they spread extensively into the bursal sac, as
was witnessed in at least one ripe male. This indicates considerable scope remained for further
reproductive investment in the females collected, under suitable environmental conditions. Without
ripe females and intra- and interannual time-series sampling it is not possible to ascertain whether
gametogenesis is seasonal (Tyler and Gage, 1980; Gage and Tyler, 1982) or aperiodic ('continuous'),
though the presence of all oogenic stages in most specimens suggests aperiodic or semi-continuous
spawning behaviour (Brogger et al., 2013). Oocyte size-class data also appear to suggest that slight
differences exist between 2015 and 2019, with a higher proportion of females approaching maturity
in 2015. This could reflect the slightly different timing of cruises, as no *post-spawn recovery* females
were found in 2015 or site-related differences for each year since site locations were not the same.
Further data are necessary to confirm this.

In an oligotrophic environment lacking strong seasonal fluctuations in POC flux, apparent aperiodic
(or 'continuous') gametogenesis may arise in species that spawn 1) periodically, but histological
studies fail to identify their cyclical nature (Mercier et al., 2007; Mercier and Hamel, 2008) or 2)
opportunistically, occurring in rapid response to increased food supply related to episodic massive
changes in surface productivity and as such, remain undetected (Mercier and Hamel, 2009). Coupled
with lecithotrophy, aperiodic opportunistic spawning would be resource-driven, allowing a species to
respond to fluctuating food availability by investing in gametogenesis only when suitable conditions
arise (e.g., Booth et al., 2008). Responsive reproductive modes of this sort appear to be a feature of
bathyal and abyssal ecosystems in the tropical NE Pacific (Kuhnz et al., 2014; Smith et al., 2018)
where the influences of episodic disturbance events are of greater influence than seasonal changes in
surface productivity. Further sampling could also establish whether apparent downward trends in the
proportions of late-stage oocytes found in the female specimen from the oligotrophic APEI 3
(Vanreusel et al., 2016; Christodoulou et al., 2020) reflect a lag in maturation rate, energetic
constraints on reproductive output or simply a sampling artefact.

Maximum sizes recorded for *O. glabrum* vary considerably in the literature but the largest attributed
to this species is a 35 mm disc diameter (Clark, 1913), though there exists an *Ophiomusium*
multispinum specimen – now synonymised with *O. glabrum* – with a 40-mm wide disc (Clark, 1911).
In either case, the largest individual in the current study falls considerably short of these sizes, at 25.2
mm. Aside from some concerns around species assignation in historical samples and difficulties in
collecting representative samples of species that occur at low densities in expansive and remote
environments, these larger specimens also originate from shallower habitats (e.g. Cocos, Malpelo and
Carnegie Ridge, Panama Basin, depths 800 – 3200 m, Clark, 1911, 1913), where greater food
availability arriving from productive coastal systems (Pennington et al., 2006) could enable larger
maximum sizes. Although smaller specimens were undoubtedly underrepresented, enough immature
O. glabrum were collected to establish a rough size at first maturity of < 12 mm, around 30 - 35 %
maximum size. Without knowledge of growth rates, the time taken to reach these sizes remains
unknown, however if measures of growth (e.g. Gage et al., 2004) or temporal size-class frequency
analyses can be compiled in future studies, this value could be easily converted to an estimate of age
at first maturity, a highly relevant conservation metric for response to disturbance.

Sample sizes for the smaller species *Ophiacantha cosmica* limit any detailed interpretation of their
reproductive biology. However, some data on size-class distributions and oocyte feret diameters
already exist for this species from nodule-free habitats at Station M in the NE Pacific and around
Crozet Island in the Southern Indian Ocean (Booth et al., 2008; Billett et al., 2013). Although sex
ratios were significantly different in the current study, little stock can be placed in this result as the
total number of mature specimens numbered less than ten and maximum disc diameters for both
sexes are markedly lower than in the literature. Evidence from other studies indicate that sex ratios
follow a 1:1 ratio when sampled in larger numbers (Billett et al., 2013), with maximum disc
diameters of 11 – 12 mm (Booth et al., 2008; Billett et al., 2013; M Christodoulou, personal
observations), although the holotype's disc diameter for this species is unaccountably large at 18 mm
(Lyman, 1878). Maximum oocyte feret diameter in the current study (VIII oocyte at 273 µm) also
appears to be underestimated, when compared with those of specimens from the Crozet plateau
(Billett et al., 2013), which reached maximum feret diameters exceeding 500 µm, indicating
lecithotrophy (or direct development, though no evidence of brooding has ever been recorded, Billett
et al., 2013; this study). This is to be expected as females in the current study were relatively small by
comparison and only in *developing* states, with no oocytes in the final stages of meiosis (i.e.,
asymmetric or later). The only individual classified as *post-spawn / recovery* was probably
developing for the first time, particularly in light of its small disc diameter. Billet et al. (2013), much
like the current study, found no evidence that *O. cosmica* were hermaphroditic, a condition known to
occur in some species from this genus (e.g., *O. fraterna*, Tyler and Gage, 1982, identified at the time
as *O. bidentata* but now revised, Martynov and Litvinova, 2008), with evidence instead that it was
gonochoric. Our data though limited, support this viewpoint. Size at first maturity for *O. cosmica* was
assessed in the current study but remains approximate at < 4.96-mm disc diameter (in females), due
to ambiguity around two relatively large specimens (6 – 7 µm disc diameters) that possessed tiny
bud-like gonads in which no evidence for gametogenesis could be found. These gonads did possess
nodes in which cell clumps with highly basophilic nuclei were located, which are believed to be
gonadal precursors housing primordial germ cells (Supplementary figure 2c).

Ophiacantha cosmica has been recorded from various hard substrata and vertically elevated fauna,
such as deep-sea corals (Tyler and Gage, 1980) and tube worms (Lauerman et al., 1996; Billett et al.,
2013). In the current study, this species is recorded on stalked-sponge species *Caulophacus* sp.
and *Hyalonema* spp for the first time. Although alternative hard substrates are available in the CCZ
(most obviously, polymetallic nodules), *O cosmica* specimens here were exclusively epizoic; this
species was not encountered elsewhere. Sponge stalks provide some of the most elevated fauna on
the seafloor in the CCZ; colonising them at positions above the benthic boundary layer would
mitigate the influences of sheer on current speeds, which likely aids in a suspension-feeding lifestyle
(Booth et al., 2008). The specimens in the current study either occurred as single individuals or, in
certain instances, as size-stratified groups (Supplementary figure 3), suggestive of gregarious
behaviour, offspring retention or fissiparity (though pentameral *Ophiacantha* spp. are not known to
be fissiparous, Lee et al., 2019). A more detailed molecular study would help to reveal common or
distinct genetic origins for the members of these groups.

In contrast, deposit-feeding *O. glabrum* examined in the current study were epibenthic, as found in
previous studies both within the CCZ (Amon et al., 2016; Glover et al., 2016; Christodoulou et al.,
2020) and more generally in the NE Pacific (Booth et al., 2008). Single individuals generally
occurred in the sediment around nodules, or under habitat forming fauna such as xenophyophores
and non-stalked sponges.

The limited availability of food in the deep-sea soft-sediment benthos is a principal driver in
structuring deep-sea benthic communities characterised by low population densities but high levels of
diversity (Hardy et al., 2015). The CCZ is a vast, food-limited ecosystem, reliant on the arrival of
particulate organic matter (POM) to the seafloor, dictated largely by productivity at the surface
(Pennington et al., 2006). While nodule substrate availability plays a role in determining species
distributions (Vanreusel et al., 2016), food availability – largely dependent on POM flux – will
ultimately determine population densities. Having evolved under these relatively stable conditions,
communities in the abyssal deep-sea may be poorly adapted and thus highly susceptible to future
mining impacts. If, for example, elevated substrata provide a nursery-type habitat for *O. cosmica*,
then the ramifications for reproductive success following future mining disturbance events are cause
for concern. In addition, filter-feeding organisms are expected to be severely impacted by plumes and
settling aggregates generated by the resuspension of sea-floor sediments during nodule collections,
resulting in long-term loss of suspension feeding organisms (Simon-Lledó et al., 2019). As with all
deposit feeders, changes in surface-sediment chemical and physical characteristics following mining
activity (e.g., remobilisation of formerly sequestered heavy metals and sediment compaction) could
increase the toxicity of ingested sediments and impact *O. glabrum*'s ability to forage for food.

Food availability (i.e., POC flux) in soft-sediment abyssal habitats is subject to spatial variability
both regionally, due to an undulating seafloor topography and at ocean-basin scales, due its
dependency on surface productivity (Smith et al., 2008). Such heterogeneity in POC flux could create
habitat networks of richer or poorer food supply over spatial scales at which reproductive kinetics
and larval dispersal become ecological relevant (Hardy et al., 2015). The CCZ lies along a POC flux
gradient: lowest in regions that underlie central oligotrophic ocean gyres and highest beneath or
adjacent to coastal and equatorial regions characterised by productive upwelling zones (Smith et al.,
2008). Since population densities decrease concomitantly with POC flux (Tittensor et al., 2011),
species in food impoverished areas often occur at densities below the minimum needed for
reproductive success (e.g. critically low encounter rates for mating), rendering them wholly
dependent on larval supply from populations in higher POC-flux habitats (the oligotrophic sink
hypothesis, Hardy et al., 2015). The two species examined in the current study are relatively common
in the CCZ and beyond (Booth et al., 2008; Amon et al., 2016; Christodoulou et al., 2019, 2020;
Simon-Lledó et al., 2019). In fact, it is for this reason that the current reproductive study was even
possible. However, the relative densities at which they occur at regional scales (such as between
contract areas / APEIs) varies considerably (e.g. Christodoulou et al., 2020). Should mining activities
proceed in regions of higher-POC flux that play a formative role as larval sources, this may have
wide-reaching detrimental effects on the most food-limited populations of these and other species
that employ planktonic larval dispersal.

Reproductive kinetics play a fundamental role in mediating biogeographic patterns, population
dynamics, metapopulation connectivity and ultimately, species survival (Ramirez Llodra, 2002).
While molecular approaches can be used to examine both historical and contemporary connectivity,
the temporal resolution of these data remains too poor to discriminate between sporadic regional
genetic exchange that then spreads locally over successive generations, and regular regional genetic
exchange that acts to supplement local populations to a biologically meaningful degree. Reproductive
ecology remains vital in bridging gaps in our understanding. The current study sought to add to the
paucity of reproductive data for deep-sea species in nodule environments. It also highlights an
uncomfortable truth in deep-sea ecology however: that classical reproductive studies of this sort,
which necessitate large numbers of individuals, may not be practicable in habitats such as the CCZ
where the most susceptible species are typically the rarest. Support for more ambitious approaches

that compensate for sampling constraints, such as temporal studies or permanent monitoring
networks are urgently needed in order for conservation measures to be effective and informed.

5   Conflict of Interest

484   The authors declare that the research was conducted in the absence of any commercial or financial
485   relationships that could be construed as a potential conflict of interest.

6   Author Contributions

487   AH conceived reproductive study. AH, MC and PMA coordinated sample collection, fixation and
488   preservation. MC and PMA performed all aspects of molecular ID. SRL performed specimen
489   imagery, dissections, sectioning, histological, graphical and statistical analyses, prepared figures and
490   wrote manuscript with scientific input from remaining authors.

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10   Supplementary Material

The Supplementary Material for this article can be found in an accompanying PDF presentation
entitled "Supplementary material.pdf".

11   Data Availability Statement

Disc diameter and oocyte feret diameter measurements used in this study can be made available upon
request.

12   Figures

Figure 1 Map of eastern Clarion-Clipperton Fracture Zone (CCZ) with sampling details
Map depicts the eastern half of the Area within the CCZ. Large 400 x400 km square regions are
APEIs that border numerous exploration contract areas in the centre (N.B. reserved areas are not

shown). The areas in which specimens were collected are identified along with site locations for each
cruise.
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Figure 2 Example discs of *O. cosmica* and *O. glabrum* specimens used in the current study
The aboral and oral faces of a subset of specimens encountered during the latter SO268 cruise in
2019 are pictured, representative of the size ranges encountered. Abbreviations: **Juv** *juvenile*; **Un**
undetermined sex (see main text).

Figure 3 Size-class frequency distributions of *O. glabrum* and *O. cosmica* disc diameters
Frequency histograms are colour-coded by sex (including a 'No gonad' category for immature
specimens and an "Inconclusive" category for specimens with gonads devoid of gametes). Main χ^2
statistics relate to test for deviation from a 1:1 sex ratio (M vs F only). Marginal box and whisker
plots depict medians (vertical line), inter-quartile (box width) and 90% (whisker) ranges in disc
diameter for each sex category. Proximate K-W χ^2 statistic and p-value in a) relate to Kruskal-Wallis
test for ranked differences in disc diameter as a function of all sex categories in *O. glabrum*.
Significant post-hoc pairwise comparisons (Dunn's tests) are those with no letter annotations in
common. Size-classes for each species are the same for comparative purposes.

Figure 4 Gametogenesis and gonad development in *O. glabrum*
Gonads at various stages of development in *O. glabrum*, as follows: a) i) *developing* ovaries, ii)
nearly ripe ovaries and iii) *post-spawn* / *recovery* ovaries; b) i) *developing* testes in which
spermatogenic columns (**SC**) are evident (indicated with arrows), ii) *ripe* testes and iii) *post-spawn* /
recovery testes; c) visual aspect of i) *developing* ovaries [corresponding to a-i], ii) *nearly ripe* ovaries
[corresponding to a-ii] and iii) a single ovary viewed down the distal-proximal axis, where late-stage
oocytes are located distally (foreground), having previously developed from the basal region where
early-stage oocytes are visible beneath, and finally; d) visual aspect of i) *developing* testes
[corresponding to c-i], ii) *ripe* testes [corresponding to c-ii] and iii) *post-spawn* / *recovery* testes
[corresponding to c-iii]. White arrowheads in c-d indicate single ovaries, purple dotted lines are
midlines of arm bases. Other abbreviations: **As** *Asymmetric oocytes*, **NPh** *Nutritive phagocytes*, **Pv**
Previtellogenic oocytes, **R** *Residual oocytes***, VI** *Vitellogenic I oocytes*, **VII** *Vitellogenic II oocytes,*
Sz *Spermatazoa,* **VIII** *Vitellogenic III oocytes*. Examples of gametogenesis in *O. cosmica* may be
found in Supplementary figure 2.

Figure 5 Oocyte size-class frequency distributions for *O. cosmica* females
Frequency histograms display the relative proportions of oocyte feret diameters measured for each
species. Only oocytes with nuclei were considered. Colour coding relates to associated oogenic
developmental stages, assessed on a case-by-case basis for each oocyte counted and measured. Data
for a) *O. cosmica* and b) *O. glabrum* are separated by year (2015, upper row; 2019, lower row) with
O. glabrum data split further into c) each contract area sampled in a given year. N = number of
females, n= number of oocytes pooled from N females. Frequency histograms for each species with
both years' data pooled, are available in Supplementary figure 2.

Figure 6 Reproductive metrics plotted as a function of gonad state and oocyte density
a) Percentage-gonad occupied by oocytes, b) overall mean feret diameter per individual and c) a
maturity stage index (MSI) developed by Doyle, Hamel, and Mercier (2012) were each calculated
and evaluated as a function of gonad state, using Kruskall-Wallis Chi-squared tests on ranked data,
the results of which are included in the top right corners for each. Significant post-hoc pairwise
comparisons (Dunn's tests) are those with no letter annotations in common. Depicted in d) is the
Spearman rank-correlation analysis performed to identify any relationship between mean oocyte
diameters and corresponding densities, with the resulting Rho statistic (R), p-value and 95-%
confidence intervals displayed.

Figure 7 Variation in feret diameter in *O. glabrum* and *O. cosmica* with specimen size
Displayed are a) Box and whisker plots and b) scatterplots displaying variation in oocyte feret
diameters as a function of binned and continuous disc-diameter data (respectively) for female
specimens in each gonad state identified. Significant differences in oocyte feret diameter with size
were assessed (where relevant) for each gonad state, using Kruskall-Wallis Chi-squared tests on
ranked data. Significant post-hoc pairwise comparisons (Dunn's tests) are those with no letter
annotations in common. *Box and whisker values for this class based on two measurements only.

Ophiacantha cosmica

Juv
Ø 2.20 mm

Juv
Ø 3.01 mm

Juv
Ø 4.34 mm

♀
Ø 4.96 mm

♀
Ø 5.37 mm

Un
Ø 6.00 mm

♂
Ø 9.98 mm

♀
Ø 7.88 mm

♀
Ø 7.18 mm

5 mm

Ophiosphalma glabrum

Juv
Ø 6.64 mm

Juv
Ø 8.18 mm

Juv
Ø 10.45 mm

♀
Ø 14.14 mm

♂
Ø 25.24 mm

♀
Ø 18.29 mm

5 mm

a) *O. glabrum*

K-W χ^2_2 = 32.20, p < 0.01

♀ = 26
♂ = 42

χ^2_1 = 3.77
p > 0.05

No gonad
Female
Male
Inconclusive

b) *O. cosmica*

♀ =7
♂ =1

χ^2_1 = 4.50
p < 0.05

Percentage frequency

Disc diameter (mm)

Disc diameter (mm)