

1 Hotspot *ESR1* mutations are multimodal and contextual drivers of  
2 breast cancer metastasis

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50 **Abstract (150 words)**

51 Constitutively active estrogen receptor-  $\alpha$  (ER/*ESR1*) mutations have been identified in  
52 approximately one third of ER+ metastatic breast cancer. Although these mutations are  
53 known mediators of endocrine resistance, their potential role in promoting metastatic  
54 disease has not yet been mechanistically addressed. In this study, we show the  
55 presence of *ESR1* mutations exclusively in distant, but not local recurrences. In  
56 concordance with transcriptomic profiling of *ESR1* mutant tumors, genome-edited  
57 Y537S and D538G cell models have a reprogrammed cell adhesive gene network via  
58 alterations in desmosome/gap junction genes and the *TIMP3*/MMP axis, which  
59 functionally confers enhanced cell-cell contacts while decreased cell-ECM adhesion.  
60 Context-dependent migratory phenotypes revealed co-targeting of Wnt and ER as  
61 vulnerability. Mutant *ESR1* exhibits non-canonical regulation of several metastatic  
62 pathways including secondary transactivation and *de novo* FOXA1-driven chromatin  
63 remodeling. Collectively, our data supports evidence for *ESR1* mutation-driven  
64 metastases and provides insight for future preclinical therapeutic strategies.

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66 **Significance (50 words)**

67 Context and allele-dependent transcriptome and cistrome reprogramming in genome-  
68 edited *ESR1* mutation cell models elicit diverse metastatic phenotypes, including but not  
69 limited to alterations in cell adhesion and migration. The gain-of-function mutations can  
70 be pharmacologically targeted, and thus may be key components of novel therapeutic  
71 treatment strategies for ER-mutant metastatic breast cancer.

72

73 **Running Title**

74 *ESR1* mutations drive breast cancer metastasis

75

76 **Key Words**

77 *ESR1* mutations, Metastasis, Cell Adhesion, Migration, Breast cancer

78

79 **Introduction**

80 More than 70% of breast cancers express estrogen receptor- $\alpha$  (ER/*ESR1*). Antiestrogen  
81 therapies, including depletion of estradiol (E2) by aromatase inhibitors (AIs) or  
82 antagonizing ER activity by Selective Estrogen Receptor Modulators/Degraders  
83 (SERMs/SERDs), are conventional treatments for ER+ breast cancer. Development of  
84 resistance to these endocrine therapies, however, remains a clinical and socioeconomic  
85 challenge (1,2).

86 30-40% of endocrine-resistant metastatic breast cancer (MBC) is enriched in *ESR1*  
87 somatic base pair missense mutations (3-5), that can be detected in the blood of  
88 patients with advanced disease (6,7). Clinically, ligand binding domain (LBD) *ESR1*  
89 mutations correlate with poor outcomes in patients with advanced disease (6,8,9).  
90 Recent work from our group and others has uncovered a crucial role for these *ESR1*  
91 hotspot mutations in driving constitutive ER activity and decreased sensitivity towards  
92 ER antagonists (10-12). Moreover, structural investigation of the two most frequent  
93 mutations, variants Y537S and D538G, has demonstrated that *ESR1* mutations stabilize  
94 helix 12 (H12) in an agonist conformation, thereby providing a mechanistic explanation  
95 for constitutive ER activity (13).

96 The identification of *ESR1* mutations in endocrine resistant MBC suggests that mutant  
97 ER may not only mediate endocrine resistance but also have an unappreciated role in  
98 enabling metastasis. Indeed, recent *in vivo* studies showed that mutant ER can promote  
99 metastasis (14,15), and *in vitro* studies showed a gain of cell motility (15,16) and growth  
100 in 3D culture (17). Although epithelial-mesenchymal transition (EMT) has been  
101 described as one potential explanation for the Y537S mutant (18), overall mechanisms  
102 remain largely unclear. In order to identify personalized therapeutic vulnerabilities in  
103 patients harboring *ESR1* hotspot mutations, there is an urgent need to decipher the  
104 mechanistic underpinnings and precise roles of mutant ER in the metastatic progression  
105 using comprehensive approaches and model systems.

106 Previous transcriptomic profiling performed by us and others has revealed a context-  
107 dependence of *ESR1* mutation effects, as well as significant differences between the  
108 two most frequent hotspot mutations, Y537S and D538G (11,12,14,15,19). Differentially

109 expressed genes vary widely following expression of the mutations in their respective  
110 cell line model, however, both Y537S and D538G maintain distinction from the E2-  
111 dependent wild-type (WT) ER transcriptome. Similarly, comparison of the WT and  
112 mutant ER cistromes has also revealed context-dependent and allele-specific effects on  
113 ER recruitment (11,14). Furthermore, we recently showed that *ESR1*-mutant  
114 transcriptomic reprogramming is associated with epigenetic remodeling (19). While  
115 these findings imply that in the setting of high molecular diversity in tumors and patients,  
116 somatic *ESR1* mutations have the potential to trigger different metastatic phenotypes,  
117 this phenomenon has yet to be investigated.

118 In this study, we explore metastatic gain-of-function phenotypes in genome-edited  
119 *ESR1* mutant models under the guidance of transcriptomic changes detected in clinical  
120 samples. We identify mechanisms underlying context and allele-specific metastatic  
121 phenotypes, and subsequently confirm alterations in a number of potential therapeutic  
122 targets in metastatic tumors. We believe that our systematic bedside-to-bench approach  
123 will ultimately lead to improved metastasis-free outcomes and prognosis for patients  
124 with ER+ tumors.

125 **Results**

126 **Significant enrichment of *ESR1* mutations in distant metastases compared to**  
127 **local recurrences**

128 To establish clinical evidence for potential metastasis-conferring roles of *ESR1* LBD  
129 mutations, we compared the *ESR1* mutation frequencies between distant metastatic  
130 and locally recurrent tumors. A combination of four publicly available clinical cohorts  
131 (MSKCC, METAMORPH, POG570 and IEO) showed that while 156/867 distant  
132 metastases (18%) harbored *ESR1* mutations, none were found in the 38 local  
133 recurrence samples (Table 1 and Supplementary Table S1) (20-23). To expand upon  
134 this observation, we additionally screened 75 ER+ recurrent tumors from the Women's  
135 Cancer Research Center (WCRC) and Charite Hospital for *ESR1* hotspot (Y537S/C/N  
136 and D538G) mutations using highly sensitive droplet digital PCR (ddPCR). We identified  
137 12 *ESR1* mutation-positive cases among the distant metastases (25%), whereas none  
138 of the local recurrences were *ESR1* mutation-positive (Table 1 and Supplementary  
139 Table S2). Notably, there was no significant difference in time to recurrence for patients  
140 with distant vs local recurrences in four of the cohorts (Supplementary Fig. S1 & Table  
141 S3, data is not available for IEO cohort), excluding the possibility that the observed  
142 differences could simply be due to duration of time to recurrence, as was previously  
143 suggested (6).

144 ***ESR1* mutant tumors show a unique transcriptome associated with multiple**  
145 **metastatic pathways**

146 To identify candidate functional pathways mediating the metastatic properties of *ESR1*  
147 mutant cells, we compared WT and *ESR1* mutant tumor transcriptomes from four  
148 cohorts of ER+ metastatic tumors: our local WCRC cohort (46 *ESR1* WT and 8 mutant  
149 tumors) (24-26) and three previously reported cohorts - MET500 (34 *ESR1* WT and 12  
150 mutants tumors), POG570 (68 *ESR1* WT and 18 mutants tumors) and DFCI (98 *ESR1*  
151 WT and 32 mutants tumors) (14,22,27) (Fig. 1A & Supplementary Table S4).

152 Although principal component analyses on global transcriptomes did not segregate  
153 *ESR1* WT and mutant tumors (Supplementary Fig. S2A), both "Estrogen Response

154 Early” and “Estrogen Response Late” signatures were significantly enriched in *ESR1*  
155 mutant tumors in 3 out of 4 cohorts, with a trend towards enrichment in the fourth cohort  
156 (Fig. 1B). These results recapitulate the observation of ER hyperactivation as a result of  
157 hotspot mutations, previously described in other preclinical studies (12,14,28).  
158 Differential gene expression analysis identified a considerable number of altered genes  
159 that were associated with *ESR1* mutations (Fig. 1C & Supplementary Table S5), which  
160 further inferred functional alterations in various metastasis-related pathways.  
161 Remarkably, “Cell-To-Cell Signaling & Interaction” and “Cell Movement” were featured  
162 among the top five altered pathways for *ESR1* mutant tumors in all four cohorts (Fig.  
163 1D).

164 In addition to the broad effects associated with *ESR1* mutations, we next questioned  
165 whether different *ESR1* mutant variants could display divergent functions. A meta-  
166 analysis of the five above-mentioned ER+ MBC cohorts examining *ESR1* mutations  
167 underscored D538G (37%) and Y537S (24%) as the predominant variants (Fig. 1E).  
168 Given the challenge of merging RNA-seq data sets from multiple cohorts due to  
169 immense technical variations, we selectively compared mutation variant specific  
170 transcriptomes of ten Y537S- or eight D538G-harboring tumors to the WT counterpart  
171 (n=32) respectively from the DFCI cohort, which provided the largest numbers and thus  
172 maximized statistical power. Aligning enrichment levels of 50 hallmark gene sets for the  
173 two mutant variants again confirmed “Estrogen Response Early” and “Estrogen  
174 Response Late” as the top co-upregulated pathways (Fig. 1F), with Y537S tumors  
175 displaying higher ER activation (Supplementary Fig. S2B), consistent with cell line  
176 studies (12,29). We also identified enriched cell cycle related pathways (E2F targets,  
177 G2M checkpoint and mitotic spindle) and metabolic related pathways (fatty acid, bile  
178 acid and xenobiotic metabolisms) in Y537S and D538G tumors, respectively, implying  
179 that different *ESR1* mutant variants might hijack distinct cellular functions to promote  
180 malignancy. Taken together, these results provide support that despite mutant variant-  
181 specific alterations, *ESR1* mutations might broadly mediate metastatic phenotypes  
182 through effects on cell-to-cell interactions and cell movement. We next validated the *in*  
183 *silico* results using previously established genome-edited MCF7 and T47D cell line  
184 models (12).



185 ***ESR1* mutant-cells exhibit stronger cell-cell adhesion**

186 We first addressed the enrichment of cell-cell interaction signaling in the mutant tumors  
187 through morphological inspection of cell cluster formation in suspension culture (Fig.  
188 2A). We observed more compact cell clusters in MCF7 and T47D mutant cell lines  
189 compared to their WT counterparts after six days of suspension culture. A time course  
190 study confirmed enhanced cluster formation 24-48hrs past cell seeding (Supplementary  
191 Fig. S3A). Similar observations were made in individual clones, eliminating the  
192 possibility for clonal effects (Supplementary Fig. S3B).

193 Since *ESR1* mutant cells displayed significantly increased ligand-independent growth in  
194 suspension (Fig. 2B), we sought to rule out the possibility that increased cluster  
195 formation was simply a result of increased cell number by assessing cell-cell adhesive  
196 capacity using multiple approaches in short term culture (within 1 day). We therefore  
197 directly quantified homotypic cell-cell interactions by measuring the adhesion of calcein-  
198 labelled *ESR1* WT or mutant cells. This assay showed that both MCF7 mutant cells  
199 exhibited significantly stronger cell-cell adhesion compared to the WT cells (Fig. 2C). In  
200 T47D cells, a similar effect was observed, but was limited to the T47D-Y537S mutant  
201 cells (Supplementary Fig. S4A). These assays were complemented by quantification of  
202 cell aggregation rates as a direct reflection of cell-cell adhesion, which confirmed faster  
203 aggregation in MCF7-Y537S/D538G and T47D-Y537S cells (Fig. 2D & Supplementary  
204 Fig. S4B-S4D). In addition, these stronger cell-cell adhesive properties were also  
205 reproduced in additional *ESR1* mutant cell models from other laboratories (19,28)  
206 (Supplementary Fig. S4E and S4F).

207 Cell-cell interaction has been reported to affect several stages of metastasis, including  
208 collective invasion, intravasation, dissemination and circulation (30-32). To test whether  
209 ER mutations may affect tumor cell-cell adhesion in circulation, we utilized a microfluidic  
210 pump system to mimic arterial shear stress. Comparing representative images before  
211 and after 2 hours of microfluidic flow, we found MCF7 *ESR1* mutant cells had a greater  
212 tendency to aggregate together (Fig. 2E and 2F). Larger clusters comprised of five or  
213 greater cells were more prevalent in the *ESR1* mutant cell lines, whereas smaller two-



214 cell clusters were diminished (Fig. 2G). A similar phenotype was also identified in  
215 additional MCF7 *ESR1* mutant cells and in our T47D-Y537S cell line (Supplementary  
216 Fig. S5A-S5I), consistent with our observations in static conditions. In an additional  
217 orthogonal approach, we utilized a quantitative microfluidic fluorescence microscope  
218 system simulating blood flow (33). Quantification of dynamic adhesion events  
219 normalized to adhesion surfaces revealed a consistent enhanced cell-cell adhesion  
220 capacity of *ESR1* mutant MCF7 cells (Supplementary Fig. S5J-S5K, Supplementary  
221 videos 1-3). Together, these results show that hotspot *ESR1* mutations confer  
222 increased cell-cell attachment under static and fluidic conditions, and that the effect size  
223 is dependent upon mutation type and genetic backgrounds. These findings are at odds  
224 with increased EMT features (18), and indeed the majority of *ESR1* mutant models and  
225 tumors did not show increased EMT signature or increased expression of EMT marker  
226 genes (Supplementary Fig. S6).

227 We next sought to assess whether this unexpected phenotype translated into numbers  
228 of CTC clusters and subsequent metastasis *in vivo*. One hour post intracardiac injection  
229 into athymic mice, circulating MCF7 WT and mutant cells were enriched from blood  
230 using a previously described electrical CTC filtering method (34) (Fig. 2H). 41%-81% of  
231 CTC clusters were composed of both cancer and non-cancer cells (Supplementary Fig.  
232 S7A). Despite no difference in the average amount of single CTCs and CTC clusters  
233 per mouse between the WT and mutant *ESR1* (Supplementary Fig. S7B & S7C), we  
234 found that overall MCF7-Y537S mutant cells were significantly enriched in clusters with  
235 greater than 2 cells (Fig. 2I). Furthermore, quantification of inter-nuclei distances  
236 between two-cell clusters revealed denser MCF7-Y537S clusters (Fig. 2J), supporting  
237 stronger MCF7-Y537S cell-cell interactions in an *in vivo* blood circulation environment.  
238 The data from the MCF7-D538G mutant cells did not recapitulate the adhesive  
239 phenotype we discerned *in vitro*, suggesting mutation site-specific interactions with the  
240 *in vivo* microenvironment potentially affect cluster formation.

241 We next performed tail vein injection and monitored bloodborne metastatic development  
242 in longer-term *in vivo* experiments without estradiol supplement (Fig. 2K). We observed  
243 multiple distant macro-metastatic tumors in 4/6 (67%) MCF7-Y537S mutant cell-injected

244 mice (Fig. 2L). In contrast, distant macro-metastatic tumor was observed in only one  
245 mouse of MCF7-D538G group (1/7) and none in MCF7-WT group (0/7) (Fig. 2M, left  
246 panel). We detected no difference in lung micro-metastatic foci areas between WT and  
247 mutant cell-injected mice, potentially due to a high baseline of MCF7 lung colonization  
248 capacity (Fig. 2M, right panel). In contrast to our MCF7 results, we only discerned  
249 macro-metastatic tumors from each T47D mutant group (Y537S: 1/6; D538G: 1/7) and  
250 none in T47D-WT group (0/7) after 23 weeks of injection (Fig. 2O, left panel),  
251 underpinning its less aggressive behavior as compared to MCF7 cells (35,36). However,  
252 both T47D-Y537S and T47D-D538G mutant cells resulted in enlarged lung micro-  
253 metastases, with a more pronounced effect in the T47D-D538G cells (Fig. 2N and 2O,  
254 right panel). Interestingly, our *in vitro* assays did not suggest altered cell-cell adhesion in  
255 the T47D-D538G model, suggesting the potential use of alternative mechanisms to  
256 strengthen its metastatic properties *in vivo*.

257 Encouraged by our *in vitro* and *in vivo* findings, we next examined CTC clusters in  
258 patients with *ESR1* mutant tumors. Taking advantage of a recent CTC sequencing  
259 study (37), we sought to generate CTC cluster gene signatures. Differential gene  
260 expression analysis in two patients with ER+ disease who had at least two CTC clusters  
261 and single CTCs sequenced identified CTC cluster enriched genes (Supplementary Fig.  
262 S8A and Table S6), which we subsequently applied to our RNA-seq dataset with 51  
263 pairs of ER+ primary-matched metastatic tumors (44 *ESR1* WT and 7 mutant) merged  
264 from the WCRRC and DFCC cohorts. *ESR1* mutant metastatic tumors exhibited  
265 significantly higher enrichment of CTC cluster-derived gene signatures (Supplementary  
266 Fig. S8B).

267 To examine the interplay between *ESR1* mutations status, numbers of CTCs, and  
268 clinical outcome, we analyzed a cohort of 151 patients with MBC. Median age at the first  
269 blood draw for CTCs enumeration was 55 years (IQR: 44 - 63 years), 63 patients  
270 (45.7%) were diagnosed with ER+ MBC, 37 (26.8%) with HER2-positive MBC and 38  
271 (27.5%) with TNBC. Bone (49.7%), lymph nodes (41.1%), lung (34.4%) and liver (34%)  
272 were the most common sites of metastasis (Supplementary Table S7). Median number  
273 of CTCs was 1 (IQR: 0-10), clusters were detectable in 14 patients (9.3%) (Fig. 2P) and

274 in this subgroup the median number of clustered CTCs was 15.5 (IQR: 4 - 20).  
275 Classifying the MBC by CTC numbers, with CTC  $\geq 5/7.5$ ml blood being more  
276 aggressive, and CTC  $< 5/7.5$  ml blood more indolent, there were 101 Stage IV indolent  
277 (69.9%) and 50 Stage IV aggressive cases (33.1%) in the study. If cases were classified  
278 by presence of CTC clusters in blood, there were 10 (6.6%) and 141 (93.4%) cases  
279 with  $> 4$  CTC clusters and  $\leq 4$  clusters, respectively. (Supplementary Table S7).  
280 Mutations in hotspots D538 and Y537 of *ESR1* were detected in 30 patients (19.9%),  
281 while mutations in hotspots E453 and H1047 of *PIK3CA* were detected in 40 patients  
282 (26.5%) (Supplementary Table S7). A significant association was observed between  
283 *ESR1* genotype status and clustered CTCs  $> 4$  ( $P = 0.027$ ) (Fig. 2Q), while no  
284 association was observed with respect to *PIK3CA* ( $P=0.725$ ). Notably, patients with  $> 4$   
285 CTCs clusters experienced the worse prognosis in terms of OS (6 months OS: 12.7%)  
286 both with respect to those without clusters (6 months OS: 88.5%) and those with  
287 clusters but with  $\leq 4$  clustered CTCs (6 months OS: 100%) ( $P < 0.0001$ ) (Fig. 2R).

### 288 **Mutant *ESR1* cells show increased desmosome gene and gap junction gene** 289 **families**

290 To elucidate the mechanism of enhanced cell-cell adhesion, we investigated the  
291 enrichment of four major cell-cell junction subtypes – desmosomes, gap junctions  
292 (connexons), tight junctions and adherens junctions within the cell model RNA-seq data  
293 (12) (Supplementary Table S6). Enrichment of the desmosome gene and gap junction  
294 gene families was observed in both MCF7-Y537S/D538G and T47D-Y537S cells (Fig.  
295 3A). Tight junctions were enriched in WT cells, and there were no differences in the  
296 adherens junction gene family expression (Supplementary Fig. S9A). Individual gene  
297 expression analysis ( $FC > 1.2$ ,  $p < 0.05$ ) identified 18 commonly upregulated desmosome  
298 genes and 4 gap junction genes in both MCF7 *ESR1* mutant cell lines (Fig. 3B). In  
299 addition to keratins, induction of classical desmosome genes *DSC1/2*, *DSG1/2* and  
300 *PKP1*, and gap junction genes *GJA1*, *GJB2* and *GJB5* were observed and validated by  
301 qRT-PCR in MCF7 cells (Fig. 3D). Higher protein levels were also observed for *DSC1*,  
302 *DSG2*, *PKP1*, *GJA1* (Cx43), and *GJB2* (Cx26) (Fig. 3C). Immunofluorescence staining

303 revealed significantly higher *DSG2* expression in MCF7-Y537S at cell-cell contact  
304 surfaces, with a trend observed in MCF7-D538G (Fig. 3E). Consistent with the weaker  
305 *in vitro* cell-cell adhesion phenotypes in T47D mutant cells, we observed less  
306 pronounced desmosome and gap junction gene expression changes in T47D-Y537S  
307 cells (Supplementary Fig. S9B). We validated the overexpression of the key  
308 desmosome and gap junction genes in RNA-seq datasets from seven additional *ESR1*  
309 mutant cell models and performed further validation studies in two of them  
310 (Supplementary Fig. S9C-S9E) (11,15,19). Moreover, mining RNA-seq data from  
311 recently reported *ESR1* WT and mutant *ex vivo* CTC models (38), we observed  
312 overexpression of three gap junction and desmosome genes in the *ESR1* mutant CTC  
313 lines (Supplementary Fig. S9F). Finally, the top upregulated desmosome and gap  
314 junction genes (Supplementary Table S6) were also found significantly enriched in intra-  
315 patient matched primary and metastatic lesions with *ESR1* mutations (Fig. 3F).

316 We next investigated the functional roles of the reprogrammed adhesome in the *ESR1*  
317 mutant MCF7 cells. Transient individual knockdown of *DSC1*, *DSC2*, *GJA1* or *GJB2* did  
318 not cause significant changes in adhesion in either *ESR1* mutant line (Supplementary  
319 Fig. S10A). However, we found compensatory effects observed in the desmosome and  
320 gap junction knockdowns as exemplified by increased *GJA1* levels after *DSC1* or *DSC2*  
321 knockdown (Supplementary Fig. S10B). The adhesive phenotype was disrupted,  
322 however, with an irreversible pan-gap junction inhibitor, Carbenoxolone (CBX), or with  
323 blocking peptide cocktails against desmocollin1/2 and desmoglein1/2 proteins. Both  
324 treatments caused significant inhibition of cell-cell aggregation in static conditions  
325 (Supplementary Fig. S10C & S10D) as well as diminished cluster propensities and size  
326 in microfluidic conditions (Fig. 3G-3L), suggesting redundancy in the mutant-driven  
327 reprogrammed desmosome and connexon pathways. In summary, MCF7-  
328 Y537S/D538G and T47D-Y537S mutants showed increased expression of desmosome  
329 and gap junction gene family components, which contributes to our observed enhanced  
330 cell-cell adhesion phenotype.

331 We next investigated the mechanisms underlying the elevated desmosome and gap  
332 junction components in *ESR1* mutant cells. Because hotspot *ESR1* LBD mutations are

333 well-described as conferring constitutive ER activation, we first examined if these cell-  
334 cell adhesion target genes are direct outcomes of ligand-independent transcriptional  
335 programming. Interrogating publicly available RNA-seq and microarray datasets of six  
336 estrogen treated ER+ breast cancer cell lines (12,39-41), we found limited and  
337 inconsistent E2 induction of all examined cell-cell adhesion genes when compared to  
338 classical E2 downstream targets such as *GREB1* and *TFF1* (Supplementary Fig. S11A).  
339 Surprisingly, mining our MCF7 *ESR1* mutant cell model ER ChIP-seq data (42) showed  
340 an absence of proximate Y537S or D538G mutant ER binding sites ( $\pm$  50kb of TSS) at  
341 desmosome and connexon target gene loci. These results suggest that the  
342 reprogrammed cell-cell adhesome is not a direct consequence of mutant ER genomic  
343 binding.

344 We therefore hypothesized that these altered adhesion target genes might be regulated  
345 via a secondary downstream effect of the hyperactive mutant ER. A seven-day siRNA  
346 ER knockdown assessment identified *GJA1* as the only target gene that could be  
347 blocked in mutant cells following ER depletion, whereas, strikingly, *DSC1*, *DSG1*, *GJB2*  
348 and *GJB5* mRNA levels were increased in all cell lines (Fig. 3M). This was congruent  
349 with *ESR1* knockdown in five additional ER+ parental cell lines, with the majority  
350 exhibiting a decrease in *GJA1* expression levels (Supplementary Fig. S11B). To unravel  
351 potential intermediate transcription factors (TFs) involved in the secondary regulation,  
352 we examined the levels of TFs previously reported to regulate *GJA1* expression (43)  
353 (Supplementary Fig. S11C). Among those, the AP1 family component *FOS* (cFos) was  
354 identified as the top TF upregulated in *ESR1* mutant cells in a ligand-independent  
355 manner. In addition, the AP1-associated transcriptional signature was also significantly  
356 enriched in MCF7 *ESR1* mutant cells (Supplementary Fig. S11D), and hence we tested  
357 if *GJA1* overexpression was dependent on the cFOS/AP1 transcriptional network.  
358 Higher cFOS mRNA and protein levels in *ESR1* mutant cells were confirmed, which  
359 declined along with *GJA1* levels after *ESR1* knockdown (Fig. 3N & Supplementary Fig.  
360 S11E). Importantly, pharmacological inhibition of cFOS-DNA binding partially rescued  
361 *GJA1* overexpression in *ESR1* mutant cells (Fig. 3O, Supplementary Fig. S11F-S11G).  
362 In conclusion, our results denote *GJA1* as an indirect target of mutant ER through  
363 activation of the cFOS/AP1 transcriptional axis in MCF7 cell models.

364 Since the majority of the cell-cell adhesion targets altered in the *ESR1* mutant cells  
365 were not direct ER target genes (Supplementary Fig. S11A & S11B), we investigated  
366 potential impacts of epigenetic remodeling on these targets. Using our recently reported  
367 ATAC-seq dataset from T47D *ESR1* mutant cells (19), we observed that one of the  
368 connexon targets, *GJB5*, exhibited increased chromatin accessibility at its gene locus in  
369 T47D-Y537S cells (Supplementary Fig. S12A & S12B), suggesting that epigenetic  
370 activation modulates gene expression in this particular context. We further evaluated  
371 active histone modifications on our target gene loci in the MCF7 model. We observed  
372 enhanced H3K27ac and H3K4me2 recruitment in both MCF7-Y537S and D538G cells  
373 at the nearest two histone modification sites around the *DSC1* and *DSG1* loci, the two  
374 most upregulated desmosome component genes in MCF7 mutant cells (Fig. 3P),  
375 suggesting activation of desmosome genes via an indirect ER-mediated epigenetic  
376 activation (Fig. 3Q).

### 377 ***ESR1* mutations promote reduced adhesive and enhanced invasive properties via** 378 **altered *TIMP3*-MMP axis**

379 In addition to altered cell-cell adhesion, metastasis is also mediated by coordinated  
380 changes in cell-matrix interaction (44,45). Therefore, we assessed whether mutant ER  
381 affects interaction with the extracellular matrix (ECM). Computational analysis showed  
382 inverse correlation between ECM receptor pathway signatures and *ESR1* mutation  
383 status in the DFCI cohort with the same trend appearing in 2/3 of the remaining cohorts  
384 (Fig. 4A, Supplementary Fig. S13A & Table S6). Employing an adhesion array on seven  
385 major ECM components, we observed that the MCF7 *ESR1* mutant cell lines  
386 consistently lacked adhesive properties on almost all ECM components with the  
387 exception of fibronectin, and T47D *ESR1* mutant cells displayed reduced adhesion on  
388 collagen I, collagen II and fibronectin (Fig. 4B). Considering that collagen I is the most  
389 abundant ECM component in ER+ breast cancer (Supplementary Fig. S13B), we  
390 repeated the adhesion assay on collagen I (Fig. 4C & 4D; Supplementary Fig. S13C &  
391 S13D) and similarly found reduced adhesion in both ER mutant cells. In an orthogonal  
392 approach, we visualized and quantified adhesion in a co-culture assay on collagen I  
393 using differentially labelled *ESR1* WT and mutant cells, which confirmed significantly



394 decreased adhesive properties in the mutant cells (Supplementary Figure S13E &  
395 S13F). Of note, *ESR1* mutant adhesion deficiency on collagen I was also observed in  
396 two additional *ESR1* mutant models (Supplementary Fig. S13G).

397 We sought to investigate the molecular mechanisms underlying the unique defect of  
398 collagen I adhesion in *ESR1* mutant cells. There was no consistent change in  
399 expression of members of the integrin gene family, encoding well-characterized direct  
400 collagen I adhesion receptors, in our cell line models (Supplementary Fig. S14A and  
401 Supplementary Table S6). We therefore hypothesized that another gene critical in  
402 regulation of ECM genes might be altered and to test this directly, we performed gene  
403 expression analysis of 84 ECM adhesion-related genes using a qRT-PCR array  
404 (Supplementary Table S8). Pairwise comparisons between each mutant cell line and  
405 corresponding WT cells revealed a strong context-dependent pattern of ECM network  
406 reprogramming, with more pronounced effects in MCF7 cells (Fig. 4E). Intersection  
407 between Y537S and D538G mutants showed 23 and 1 consistently altered genes in  
408 MCF7 and T47D cells, respectively (Fig. 4F). *TIMP3*, the gene encoding tissue  
409 metalloproteinase inhibitor 3, was the only shared gene between all four mutant cell  
410 models (Fig. 4F), and we confirmed its decreased expression at the mRNA (Fig. 4G &  
411 Supplementary Fig. S14B) and protein level (Fig. 4H), as well as in other genome-  
412 edited *ESR1* mutant models (Supplementary Fig. S14C). E2 treatment represses  
413 *TIMP3* expression, suggesting that its downregulation in *ESR1* mutant cells is likely due  
414 to ligand-independent repressive ER activity (Supplementary Fig. S14C).

415 Overexpression of *TIMP3* rescued the adhesion defect in *ESR1* mutant cells (Figure 4I,  
416 4J & Supplementary Fig. S14D), with no impact on cell proliferation (Supplementary Fig.  
417 S14E). Collectively, these data imply a selective role for *TIMP3* downregulation in  
418 causing the decreased cell-matrix adhesion phenotype of the *ESR1* mutant cells,  
419 consistent with a critical role for *TIMP3* in metastasis in other cancer types (46,47).

420 Given the role of *TIMP3* as an essential negative regulator of matrix metalloproteinase  
421 (MMP) activity (48), we compared MMP activity between *ESR1* WT and mutant cells. A  
422 pan-MMP enzymatic activity assay revealed significantly increased MMP activation in all  
423 mutant cells (Fig. 4K & 4L), indicating that the *ESR1* mutant cells have increased



424 capacity for matrix digestion. This was validated in spheroid-based invasion assays in  
425 which cells were embedded in collagen I (Fig. 4M) but without notable growth  
426 differences (Supplementary Fig. S15A & S15B). This was additionally visualized in co-  
427 culture spheroid invasion assays using differentially labelled T47D *ESR1* WT and  
428 mutant cells, which showed an enrichment of *ESR1* mutant cells at the leading edge of  
429 the spheroids (Supplementary Fig. S15C). Lastly, we tested if MMP blockade could  
430 repress the *ESR1* mutant-driven invasiveness. Marimastat treatment substantially  
431 reduced the invasive phenotype of *ESR1* mutant cells in a dose dependent manner (Fig.  
432 4N-4Q). These data demonstrate that decreased TIMP3 expression, resulting in  
433 increased MMP activation causes enhanced matrix digestion associated with decreased  
434 adhesion to ECM, ultimately conferring invasive properties to *ESR1* mutant cells.

#### 435 ***De novo* FOXA1-mediated Wnt pathway activation enhances of the T47D-D538G** 436 **cell migration**

437 T47D D538G cells showed increased *in vivo* tumorigenesis despite showing less  
438 pronounced adhesive phenotypes compared to T47D Y537S and MCF7 Y537S/D538G  
439 cells. Reasoning mutation and context-dependent metastatic activities of the mutant ER  
440 protein and having identified “Cellular Movement” as another top hit in our initial  
441 pathway analysis of differentially expressed genes in *ESR1* mutant tumors (Fig. 1D), we  
442 assessed potential differences in cellular migration between the different models.  
443 Wound scratch assays identified significantly increased cell motility in the T47D-D538G  
444 model (Fig. 5A & 5B), but not in T47D-Y537S (Fig. 5B) or MCF7 mutant cells  
445 (Supplementary Fig. S16A & S16B). This enhanced motility was shared between the  
446 three individual T47D-D538G clones again excluding potential clonal artifacts  
447 (Supplementary Fig. S16C & S16D). Furthermore, we observed a different morphology  
448 of T47D-D538G cells at the migratory leading edges (Fig. 5C) further confirmed by  
449 larger and stronger assembly of F-actin filaments at the edge of T47D-D538G cell  
450 clusters (Supplementary Fig. S16E-S16H). To mimic collective migration from a cluster  
451 of cells, we utilized a spheroid-based collective migration assay on type I collagen (Fig.  
452 5D). The distance to the leading edges of T47D-D538G mutant cells was significantly  
453 longer compared to WT spheroids (Fig. 5E). In orthogonal approaches, enhanced

454 migratory capacities of T47D-D538G cells were observed in co-culture assay using  
455 labelled T47D-WT and D538G cells (Supplementary Fig. S16I & S16J) and in Boyden  
456 chamber transwell assays (Supplementary Fig. S16K & S16L). Finally, in T47D  
457 overexpression models, we also observed significantly enhanced migration in D538G  
458 compared to WT overexpressing cells (Supplementary Fig. S17).

459 To understand the mechanisms underlying the migratory phenotype of T47D-D538G  
460 cells we identified pathways uniquely enriched in these cells. GSEA identified endocrine  
461 resistance-promoting pathways (e.g. E2F targets) in both T47D mutants, whereas Wnt-  
462  $\beta$ -catenin signaling was one of the uniquely enriched pathways in T47D-D538G (Fig.  
463 5F). Hyperactivation of the canonical Wnt- $\beta$ -catenin pathway was further confirmed by a  
464 Top-Flash luciferase assay (Supplementary Fig. S18A). We also observed increased  
465 phosphorylation of GSK3 $\beta$  and GSK3 $\alpha$  as well as  $\beta$ -catenin (both total and nuclear)  
466 protein levels in T47D-D538G cells (Fig. 5G and Supplementary Fig. S18B). To address  
467 the potential clinical relevance of these findings, we utilized the porcupine inhibitor  
468 LGK974, which prevents the secretion of Wnt ligands and is currently being tested in a  
469 clinical trial for patients with advanced solid tumors including breast cancer  
470 (NCT01351103) (49,50). Treatment with LGK974 resulted in a 20% and 40% inhibition  
471 of T47D *ESR1* WT and D538G mutant cell migration respectively (Fig. 5H and  
472 Supplementary Fig. S18C) yet had no effect on cell proliferation (Supplementary Fig.  
473 S18D). We next studied the combination of LGK974 and the selective ER degrader  
474 (SERD), Fulvestrant, in migration assays, in which we detected significant synergy (Fig.  
475 5I), suggesting that combination therapy co-targeting the Wnt and ER signaling  
476 pathways might reduce the metastatic phenotypes of Wnt hyperactive *ESR1* mutant  
477 tumors.

478 We sought to decipher the mechanisms underlying T47D-D538G Wnt hyperactivation.  
479 Comparing the fold changes of canonical Wnt signaling positive regulators between  
480 T47D-Y537S and T47D-D538G mutant cells, we identified eight candidate genes  
481 exhibiting pronounced enrichment in T47D-D538G cells (Fig. 5J), including ligands (e.g.  
482 *WNT6A*), receptors (e.g. *LRP5*) and transcriptional factors (e.g. *TCF4*). With the  
483 exception of *LRP5*, none of these candidate genes were induced by E2 stimulation in

484 T47D *ESR1* WT cells (Supplementary Fig. S19A). Lack of consistent E2 regulation was  
485 confirmed in five additional ER+ breast cancer cell lines (Supplementary Fig. S19B).  
486 Hence, we alternatively hypothesized that D538G ER might gain *de novo* binding sites  
487 proximal to Wnt pathway genes allowing their induction. We mapped ER binding  
488 globally by analyzing ER ChIP-sequencing in T47D WT and *ESR1* mutant cells.  
489 Consistent with previous studies (14,28), mutant ER were recruited to binding sites  
490 irrespective of hormone stimulation (Supplementary Fig. S19C & Table S9). However,  
491 none of the mutant ER bound regions mapped to identified Wnt pathway genes ( $\pm$  50kb  
492 of TSS), again suggesting a lack of direct canonical ER regulation. Moreover, short-term  
493 fulvestrant treatment only weakly dampened T47D-D538G cell migration (Fig. 5K & 5M)  
494 suggesting that ER activation may not be an essential prerequisite for enhanced cell  
495 migration in D538G cells.

496 Given our recent findings of enriched FOXA1 motifs in gained open chromatin of T47D-  
497 D538G cells (19), we decided to validate this pivotal *in silico* prediction, focusing on our  
498 observed migratory phenotype. In contrast to the limited effects of ER depletion,  
499 strikingly, FOXA1 knockdown fully rescued the enhanced migration in T47D-D538G  
500 cells (Fig. 5L & 5N), indicating a more dominant role of FOXA1 in controlling T47D-  
501 D538G cell migration. Ligand-independent 2D growth of T47D-D538G cells was  
502 inhibited by both fulvestrant and FOXA1 knockdown (Supplementary Fig. S19D),  
503 suggesting a canonical ER-FOXA1 co-regulatory mechanism in growth, distinguished  
504 from the role of FOXA1 in the regulation of migration.

505 To further explore how FOXA1 contributes to the migratory phenotype, we performed  
506 FOXA1 ChIP-sequencing to decipher the genomic binding profiles. We identified  
507 approximately 30,000 peaks in T47D WT cells regardless of E2 stimulation and a ~1.6  
508 fold increase in binding sites of the Y537S (61,934) and D538G (54,766) ER mutants  
509 (Supplementary Fig. S20A & Supplementary Table S9). PCA distinctly segregated all  
510 four groups (Fig. 5O), suggesting unique FOXA1 binding site redistribution. Comparison  
511 of binding intensities revealed 14%, 28% and 21% FOXA1 binding sites were altered in  
512 WT+E2, Y537S and D538G groups, respectively, with a predominant gain of binding  
513 intensities in the two T47D mutants (Fig. 5P and Supplementary Fig. S20B).

514 Since FOXA1 is a well-known essential pioneer factor of ER in breast cancer, we  
515 examined interplay between FOXA1 and WT and mutant ER. Interestingly, both Y537S  
516 (39%) and D538G (25%) ER binding sites showed a significantly lower overlap between  
517 FOXA1 compared to the WT+E2 group (56%), albeit with the increased number of  
518 gained mutant FOXA1 binding sites (Supplementary Fig. S20C). This discrepancy  
519 suggests that FOXA1 exhibits a diminished ER pioneering function and instead might  
520 contribute to novel functions via gained *de novo* binding sites. Co-occupancy analysis  
521 using isogenic ATAC-seq data (19) uncovered that the open chromatin of T47D-D538G  
522 cells was more associated with FOXA1 binding sites compared to WT and T47D-Y537S  
523 cells (Fig. 5Q). FOXA1 binding intensities were also stronger in D538G ATAC-sites  
524 (Supplementary Fig. S20D). Collectively, these results provide evidence that FOXA1  
525 likely plays a critical role in the D538G mutant cell to reshape its accessible genomic  
526 landscape.

527 We further investigated the impact of the gained FOXA1-associated open chromatin on  
528 transcriptomes, particularly exploring *ESR1* mutant-specific genes. Intersection of the  
529 gained FOXA1- and ATAC-sites for annotated T47D-D538G genes with non-canonical  
530 ligand-independence identified 25 potential targets that could be attributed to *de novo*  
531 FOXA1 bound open chromatin, exemplified by *PRKG1* and *GRFA* as top targets (Fig.  
532 5R & Supplementary Fig. S21A). Notably, one of our identified D538G specific Wnt  
533 regulator genes, *TCF4*, was uncovered in this analysis. Higher *TCF4* expression in  
534 T47D-D538G cells was validated by qRT-PCR and furthermore this increased  
535 expression could be fully blocked following FOXA1 knockdown (Supplementary Fig.  
536 S21B). Additionally, stronger FOXA1 recruitment at the *TCF4* gene locus was validated  
537 via ChIP-qPCR (Supplementary Fig. S21C and S21D). Importantly, overexpression of  
538 dominant negative *TCF4* strongly impaired cell migration in T47D-D538G, while it only  
539 slightly affected WT cells (Fig. 5S). Together, these results support that FOXA1 binding  
540 site redistribution leads to novel chromatin remodeling and enhanced expression of  
541 genes with roles in metastases including *TCF4*, which subsequently activate Wnt-driven  
542 migration in T47D-D538G cells.

543

## 544 Discussion

545 Hotspot somatic mutations clustered in the LBD of ER represent a prevalent molecular  
546 mechanism that drives antiestrogen resistance in ~30% of advanced ER+ breast cancer.  
547 There is an urgent need for a deeper understanding of this resistance mechanism in  
548 order to develop novel and personalized therapeutics. Utilizing clinical samples, *in silico*  
549 analysis of large datasets, and robust and reproducible experimentation in multiple  
550 genome-edited cell line models, our study uncovers complex and context-dependent  
551 mechanisms of how *ESR1* mutations confer gain-of-function metastatic properties. We  
552 identified *ESR1* mutations as multimodal metastatic drivers hijacking adhesive and  
553 migratory networks, and thus likely influencing metastatic pathogenesis and progression.  
554 Mechanistically, we uncovered novel ER-indirect regulation of metastatic candidate  
555 gene expression, distinct from previously described (11,12,51) canonical ligand-  
556 independent gene induction (Fig. 6). Nonetheless, some limitations were noted in our  
557 study, such as the lack of *in vivo* validation of studied therapeutic approaches. In  
558 addition, our numbers for clinical samples of paired primary-metastatic tumors harboring  
559 *ESR1* mutations is finite, necessitating validation in future studies with larger clinical  
560 cohorts.

561 We discovered enhanced cell-cell adhesion via upregulated desmosome and gap  
562 junction networks in cell lines and clinical samples with *ESR1* mutations. These  
563 transcriptional alterations are associated with a specific clinical phenotype characterized  
564 not only by treatment resistance, but also by high CTC count and a different metastatic  
565 organotropism (52,53). We propose that this key alteration may support increased  
566 metastases in ER mutant tumors through facilitating the formation of homo- or  
567 heterotypic CTC clusters, providing a favorable environment for CTC dissemination, as  
568 previously described (30). This idea is further supported by previous data showing  
569 upregulation of the desmosome gene plakoglobin (*JUP*) and cytokeratin 14 (*KRT14*),  
570 which may play a role in a CTC cluster formation signature (30,54). We observed  
571 increased expression of plakophilin, desmocollin, and desmoglein in *ESR1* mutant cells,  
572 suggesting the importance of the broad desmosome network reprogramming for  
573 functional cell clustering activity. Moreover, enhanced gap junction genes might

574 potentiate intercellular calcium signaling, facilitating the prolonged survival of various  
575 metastatic cell types tethered to *ESR1* mutant cells *en route* (55). Dissociation of CTC  
576 cluster using Na<sup>+</sup>/K<sup>+</sup> ATPase inhibitors decreased metastasis *in vivo* (37). In addition,  
577 previous studies have validated the anti-tumor effects of FDA-approved gap junction  
578 blockers carbenoxolone and mefloquine *in vivo* (56,57). Our results warrant additional  
579 preclinical studies using drugs targeting desmosome and gap junctions, with the  
580 ultimate goal of applying these treatments in a CTC-targeted clinical trial to improve  
581 outcomes for patients harboring breast cancers with *ESR1* mutations.

582 Previous studies using similar *ESR1* mutant cell models described enhanced migratory  
583 properties (15,16), but no mechanistic explanations were uncovered. Here we identify a  
584 critical role for Wnt- $\beta$ -catenin signaling and show that co-targeting of Wnt and ER  
585 resulted in synergistic inhibition of cell migration. Intriguingly, the strong effect we  
586 observed on migration was unique to T47D-D538G cells, a discovery that was made  
587 possible through our use of multiple genome-edited mutation models. This finding might  
588 help explain the higher frequency of D538G mutations in metastatic samples, despite  
589 the stronger endocrine resistance phenotype of Y537S mutation (5,12,14,29,58). Of  
590 note, slightly higher Wnt activity and  $\beta$ -catenin accumulation were also observed in  
591 T47D-Y537S cells, but this failed to convert into a migratory phenotype. It is possible  
592 that some genes uniquely regulated by Y537S ER in T47D cells might inhibit migratory  
593 phenotypes. For instance, the gap junction component, connexin 43, which is  
594 exclusively upregulated in T47D-Y537S cells, has been reported to play an inhibitory  
595 role in epithelial cell migration (59). *In vivo* experiments revealed striking enhancement  
596 of metastatic capacity in the MCF7-Y537S but not D538G model. This discrepancy with  
597 *in vitro* data could possibly be explained by the longer distant metastatic latency  
598 requirement of D538G cells *in vivo*, consistent with a recent study using overexpression  
599 cell models (14). These data support strong allele and context dependent effects of the  
600 *ESR1* mutation on metastatic phenotypes, in line with context dependent effects on  
601 transcriptome, cistromes and accessible genome in *ESR1* mutant cells (11,12,14,19).  
602 Of note, previous efforts using multiple cell line models with *ESR1* mutations elucidated  
603 several congruent molecular and functional alterations associated with endocrine  
604 resistance (14,15,51,58), suggesting that mechanisms underlying metastasis of *ESR1*



605 mutant clones exhibit a higher degree of heterogeneity. This is also supported by  
606 clinical data: the recent BOLERO2 trial showed significant differences in overall survival  
607 and everolimus response between Y537S and D538G mutations (9), and results from  
608 the recent PALOMA3 trial suggest a potential Palbociclib resistance uniquely gained in  
609 tumors bearing the Y537S mutation (60). Taken together, these proof-of-concept  
610 studies are setting the stage for a more contextual and personalized therapeutic  
611 targeting strategy in *ESR1* mutant breast cancer.

612 Of note, our comprehensive clinical investigation from four different cohorts (>900  
613 samples) suggest that *ESR1* mutations are uncommon in local recurrences. The  
614 significant exclusion of *ESR1* mutations in local recurrences is likely due to that *ESR1*  
615 mutant clones are more equipped to escape from local-regional microenvironment. A  
616 recently published study identified hotspot *ESR1* mutations in 15 out of 41 (36%) of  
617 local-regional ER+ recurrences albeit at significantly lower mutation allele frequencies  
618 (61). The reasons for this discrepancy are not clear, and future efforts are warranted to  
619 explore details of potential differences in clinic-pathological features of the cohorts, and  
620 technical approaches.

621 Lastly, we also sought to address the ER regulatory mechanisms involved in induction  
622 of candidate metastatic driver genes utilizing ChIP-seq technology. Interestingly, none  
623 of the metastatic candidate genes in *ESR1* mutant cells gained proximal ER binding  
624 sites. This could be a result of our stringent hormone deprivation protocol resulting in  
625 depletion of weaker binding events, and thus less sensitive binding site readouts (62).  
626 This idea is supported by ChIP-seq data from Harrod et al. (28), which shows stronger  
627 ER binding sites around *DSC2*, *DSG2* and *TIMP3* gene loci in MCF7-Y537S cells. Our  
628 data, however, clearly shows that ER mutant cells display changes in indirect gene  
629 regulation, resulting in metastatic phenotypes. This observation is due to non-canonical  
630 ER action on chromatin structure remodeling, which was alternatively validated from our  
631 ATAC-seq and FOXA1 ChIP-seq data. We propose that mutant ER reprograms FOXA1,  
632 resulting in redistribution of FOXA1 binding to specific enhancers controlling the key  
633 migratory driver gene(s). In addition, several recent studies uncovered the promising  
634 role of androgen receptor (AR) in *ESR1* mutant tumors and cell models (18,63,64), and



635 additional studies are warranted to study *de novo* interplay between FOXA1, AR and  
636 mutant ER.

637 Overall, our study serves as a timely and important preclinical report uncovering  
638 mechanistic insights into *ESR1* mutations that can pave the way towards personalized  
639 treatment of patients with advanced metastatic breast cancer.

640

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651

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667

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693

694 **Materials and methods**

695 Additional details are provided in the Supplementary Materials and Methods section.

696

697 **Human tissue studies from the Womens Cancer Research Center (WCRC) and**  
698 **Charite cohorts**

699 All patients enrolled were approved within IRB protocols (PRO15050502) from the  
700 University of Pittsburgh and Charite Universitaetsmedizin Berlin. Informed consent was  
701 obtained from all participating patients. Biopsies were obtained and divided into distant  
702 metastatic or local recurrent tumors. Genomic DNA was isolated from formalin fixed  
703 paraffin embedded (FFPE) samples and *ESR1* mutation status was detected with  
704 droplet digital PCR (ddPCR) targeting Y537S/C/N and D538G mutations in pre-  
705 amplified *ESR1* LBD products as previously reported (7).

706 For the 54 ER+ metastatic tumor samples, genomic profiles were determined based on  
707 tumor RNA sequencing provided in previous publications (25,26,65).

708

709 **CTCs analysis from the NU16B06 Cohort**

710 A retrospective cohort comprising 151 Metastatic Breast Cancer (BC) patients  
711 characterized for CTCs, and ctDNA at the Robert H. Lurie Comprehensive Cancer  
712 Center of Northwestern University (Chicago, IL) between 2015 and 2019 was analyzed.  
713 Patients' enrollment was performed under the Investigator Initiated Trial (IIT) NU16B06  
714 independently from treatment line. The overall baseline staging was performed  
715 according to the investigators' choice, CTCs and ctDNA collection was performed prior  
716 to treatment start. CTC enumeration was performed through the CellSearch™  
717 immunomagnetic System (Menarini Silicon Biosystems). Mutations in *ESR1* (hotspots  
718 D538 and Y537) and *PIK3CA* (hotspots E453 and H1047) were detected by either  
719 ddPCR assay using the QX200 ddPCR System (Bio-Rad) or through the  
720 Guardant360™ high sensitivity next-generation sequencing platform (Guardant Health,  
721 CA). More details for CTC enumeration, mutation detection and statistical analysis can  
722 be found in Supplementary Materials and Methods.

723

724 **Cell culture**

725 Genome-edited MCF7 and T47D *ESR1* mutant cell models from different sources were  
726 maintained as previously described (12,19,28). Hormone deprivation was performed for  
727 all experiments, unless otherwise stated. Other parental cell lines, ZR75-1 (CRL-1500),  
728 MDA-MB-134-VI (HTB-23), MDA-MB-330 (HTB-127) and MDA-MB-468 (HTB-132),  
729 were obtained from ATCC. BCK4 cells were developed as previously reported (66).

730

### 731 **Reagents**

732 17 $\beta$ -estradiol (E2, #E8875) was obtained from Sigma, and Fulvestrant (#1047),  
733 carbenoxolone disodium (#3096) and EDTA (#2811) were purchased from Tocris.  
734 LGK974 (#14072) and T-5224 (#22904) were purchased from Cayman. Marimastat  
735 (S7156) was obtained from SelleckChem. Recombinant human Wnt3A (5036-WN-010)  
736 was purchased from R&D Systems. For knockdown experiments, siRNA against  
737 *FOXA1* (#M-010319), *DSC1* (#L-011995), *DSC2* (#L-011996), *GJA1* (#L-011042) and  
738 *GJB2* (#L-019285) were obtained from Horizon Discovery. Desmosome and scramble  
739 peptides were designed based on previous studies (67,68) and synthesized from  
740 GeneScript. Peptide sequences are presented in Supplementary Table S10.

741

### 742 **Animal Studies**

743 **Long term metastatic evaluation:** 4-week old female *nu/nu* athymic mice were  
744 ordered from The Jackson Laboratory (002019 NU/J) according to University of  
745 Pittsburgh IACUC approved protocol #19095822. MCF7 and T47D *ESR1* mutant cells  
746 were hormone deprived and resuspended in PBS with a final concentration of 10<sup>7</sup>  
747 cells/ml. 100 $\mu$ l of cell suspension was then injected via tail vein into nude mice with 7  
748 mice per group. Mice were under observation weekly. According to the IACUC protocol,  
749 if greater than 50% of mice in any group show predefined signs of euthanasia, the entire  
750 cohort needs to be euthanized. Cohorts were euthanized at 13 weeks for MCF7 cell-  
751 injected mice and 23 weeks for T47D cell-injected mice. Macro-metastatic tumors and  
752 potential organs (lung, liver, UG tract) for metastatic spread were harvested. Solid  
753 macro-metastatic tumors (non-lymph node) were counted for comparison. All tissues  
754 were processed for FFPE preparation and hematoxylin and eosin (H&E) staining by the  
755 Histology Core at Magee Women's Research Institute. Macro-metastatic tumor FFPE

756 sections were further evaluated by a trained pathologist. Micro-metastatic lesions in the  
757 lung were further examined and quantified by immunofluorescence staining as  
758 described in supplementary materials and methods.

759 **Short term CTC cluster assessment:** 4-week old female *nu/nu* athymic mice were  
760 ordered from The Jackson Laboratory (002019 NU/J) according to University of  
761 Pittsburgh IACUC approved protocol #19095822. MCF7 WT and mutant cells were  
762 stably labelled with RFP-luciferase by infection with the pLEX-TRC210/L2N-TurboRFP-  
763 c lentivirus plasmid. Labelled cells were hormone deprived and resuspended in PBS at  
764 a final concentration of  $10^7$  cells/ml. 100 $\mu$ l of cell suspension was then injected into  
765 nude mice with 6 mice per group via an intracardiac left ventricle injection. Post-injected  
766 mice were immediately imaged using the IVIS200 *in vivo* imaging system (124262,  
767 PerkinElmer) after D-luciferin intraperitoneal injection to confirm successful cell delivery  
768 into the circulation system. All mice were euthanized after one hour of injection and their  
769 whole blood were extracted via cardiac puncture and collected into CellSave  
770 Preservative Tubes (#790005, CellSearch). Blood samples were mixed with 7ml of  
771 RPMI media and shipped to University of Minnesota for CTC enrichment. CTCs were  
772 extracted using an electric size-based microfilter system (FaCTChekr) and stained with  
773 antibody against pan-cytokeratins (CK) and DAPI. Slides with stained CTCs were  
774 manually scanned in a blind manner and all visible single CTCs or clusters were imaged  
775 under 5X or 40X magnification respectively. To set up criteria for identifying CTC  
776 clusters via images, we analyzed seven single CTCs with intact CK signal distribution  
777 and calculated the average nuclei-edge to membrane distance (x). Inter-nuclei-edge  
778 distance greater than 2x for any two CTCs were excluded in CTC cluster calling. All  
779 measurements were performed in a blind manner. Details of filter and staining are  
780 included in the supplementary materials and methods.

781

## 782 **qRT-PCR**

783 MCF7 and T47D cells were seeded in triplicates into 6-well plates with 120,000 and  
784 90,000 cells per well respectively. After desired treatments, RNA was and cDNA was  
785 synthesized using iScript kit (#1708890, BioRad, Hercules, CA). qRT-PCR reactions  
786 were performed with SybrGreen Supermix (#1726275, BioRad), and the  $\Delta\Delta C_t$  method

787 was used to analyze relative mRNA fold changes with *RPLP0* measurements serving as  
788 the internal control. All primer sequences can be found in Supplementary Table S10.

789

## 790 **Immunoblotting**

791 After desired treatments, cells were lysed with RIPA buffer spiked with a fresh protease  
792 and phosphatase cocktail (Thermo Scientific, #78442) and sonicated. Protein  
793 concentrations were quantified using the Pierce BCA assay kit (Thermo Fisher, #23225).  
794 80-120µg of protein for each sample was loaded onto SDS-PAGE gels, and then  
795 transferred onto PVDF membranes. The blots were incubated with the following  
796 antibodies: desmocollin 1 (sc-398590), desmoglein 2 (sc-80663), plakophilin (sc-33636),  
797 connexin 26 (sc-7261) and cFOS (sc-52) from Santa Cruz; ER-α (#8644), HA (#3724),  
798 Non-phospho-β-catenin (#19807), Histone H3 (#4499), AIF (#5318), GSK3β (Ser9,  
799 #5558), phospho-GSK3α (Ser21, #9316), GSK3β (#12456) and GSK3α (#4337) from  
800 Cell Signaling Technology; β-catenin (#610154) from BD; Tubulin (T6557) and connexin  
801 43 (C6219) from Sigma Aldrich; and *TIMP3* (ab39184) from Abcam.

802

## 803 **IncuCyte Live Cell Imaging System**

804 *Wound scratch assay.* MCF7 or T47D cells were seeded at 150,000 cells/well into  
805 Imagelock 96-well plates (Essen Bioscience, #4379) pre-coated with Matrigel (Corning,  
806 #356237). Wounds were scratched in the middle of each well using a Wound Maker  
807 (Essen Bioscience, #4493). Desired treatments mixed with 5µg/ml of proliferation  
808 blocker Mitomycin C (Sigma-Aldrich, #10107409001) were loaded after two washes with  
809 PBS. The IncuCyte Zoom system was used to record wound images every 4 hours and  
810 wound closure density was calculated using the manufacturer's wound scratch assay  
811 module. For the dominant negative *TCF4* overexpression experiment, Myc-tagged  
812 DNTCF4 plasmids (Addgene, #32729) were transiently transfected into targeted cells  
813 for a total of 24 hours before being subjected to the wound scratch assay.

814 *Aggregation rate assay.* 3,000 MCF7 or 4,000 T47D cells were seeded into 96-well  
815 round bottom ultra-low attachment plates (Corning, #7007) with 100µl of respective  
816 media in each well. Cell aggregation was monitored by the IncuCyte living imaging  
817 system every hour. Spheroid areas were normalized to time 0.



818

### 819 **Calcein-labelled cell-cell interaction assay**

820 MCF7 and T47D cells were seeded into black-walled 96 well plate at 15,000 cells per  
821 well to achieve a fully confluent monolayer after 24 hours. Separate cultures of cells  
822 were digested and labelled with 1 $\mu$ M calcein AM (BD Pharmingen, #564061) for 30  
823 minutes in room temperature. 40,000 labelled cells were loaded on top of the previously  
824 plated monolayers and incubated for 1 hour at 37 $^{\circ}$ C. Cells were washed three times  
825 after incubation by manually pouring out the PBS washing agent. The plates were read  
826 using Victor X4 plate reader (PerkinElmer) under the excitation and emission  
827 wavelength of 485/535nm. Cell-cell adhesion ratios were calculated by dividing the  
828 post-wash readouts to the pre-wash readouts after each wash. For the vacuum  
829 aspiration method, we used a standard laboratory vacuum pump with a modified speed  
830 of approximately 100 ml/minutes. Adhesion ratios after three washes were plotted  
831 separately for each independent experiment.

832

### 833 **ibidi microfluidic system**

834 MCF7 and T47D *ESR1* mutant cells were hormone deprived for 3 days and diluted to  
835 10 $^6$  cells in 14ml of respective media before being loaded into the ibidi pump system  
836 (ibidi, #10902). Cells were constantly flowing with 15dynes/cm of shear stress for two  
837 hours before immediate imaging after being seeded back into a flat bottom ULA plate.  
838 For each group, six wells were imaged twice. Time zero (T0) cells were also imaged as  
839 the initial time point control. Cell numbers in clusters or non-clusters were manually  
840 counted. Cell cluster ratios were calculated by dividing the cell numbers in clusters to  
841 the total number of cells. Cell clustering grade was calculated by the cell numbers  
842 present in each cluster. For CBX treatment, cells were pre-treated with 100 $\mu$ M CBX for  
843 two days before being added to the flow chamber. For the desmosome blocking  
844 peptides treatment, 75 $\mu$ M of each *DSC1*, *DSC2*, *DSG1* and *DSG2* peptide or 150 $\mu$ M of  
845 each scramble peptide were pre-mixed into cell suspension for flow experiments.

846

### 847 **Cell-ECM adhesion assay**

848 30,000 cells/well were seeded into collagen I coated (Thermo Fisher Scientific,  
849 A1142803) or uncoated 96-well plates. For the ECM array assay, cells were  
850 resuspended and loaded into the ECM array plate (EMD Millipore, ECM540). After a 2-  
851 hour incubation at 37°C, the plates were washed with PBS three times, and attached  
852 cells were quantified using the FluoReporter kit (Thermo Fisher Scientific, F2962).  
853 Adhesion ratios were calculated by dividing the remaining cell counts in the washed  
854 wells to the initial cell counts in pre-washed plates. For *TIMP3* overexpression, the  
855 PRK5M-*TIMP3* plasmid (Addgene, #31715) was transfected into targeted cells, which  
856 was subjected to the adhesion assay after a 24-hour transfection period.

857

### 858 **Chromatin-immunoprecipitation (ChIP)**

859 ChIP experimentation was performed as previously described (39). The  
860 immunoprecipitation was performed using ER $\alpha$  (sc543) and rabbit IgG (sc2027)  
861 antibodies (Santa Cruz Biotechnologies). Histone 3 acetylation at K27 site (ab4739),  
862 and Histone 3 di-methylation at K4 site (ab7766) and FOXA1 (ab23738) antibodies  
863 were obtained from Abcam.

864

### 865 **ChIP-sequencing Analysis**

866 ChIP-seq reads were aligned to Hg19 reference genome assembly using Bowtie 2.0  
867 (69), and peaks were called using MACS2.0 with a  $p$ -value $<10^{-5}$  (ER ChIP-seq) or a  $q$ -  
868 value $<0.05$  (FOXA1 ChIP-seq) (70). We used the Diffbind package (71) to perform  
869 principle component analysis, identify differentially bound regions and analyze  
870 intersection ratios with other datasets. Briefly, all BED files for each cell line were  
871 merged and binding intensity was estimated at each site based on the normalized read  
872 counts in the BAM files. Pairwise comparisons between WT and mutant samples were  
873 performed to calculate fold change (FC). Binding sites were sub-classified into three  
874 categories: gained sites (FC $>2$ ), lost sites (FC $<-2$ ), and not-changed sites ( $2<FC<2$ ).  
875 Heatmaps and intensity plots for binding peaks were visualized by EaSeq (72). For  
876 gene annotation from FOXA1 binding sites, gained FOXA1 peaks were selected and  
877 annotated genes were inspected in a  $\pm 200$ kb range of the FOXA1 peaks using  
878 ChIPseeker (73). For intersection analysis of the D538G-regulated non-canonical

879 ligand-independent genes, broad differentially expressing genes were first called using  
880 a cutoff of  $|\text{fold change}| > 2$ ,  $\text{FDR} < 0.005$  between WT and D538G cells. Meanwhile, E2-  
881 regulated genes were called using the cutoff of  $|\text{fold change}| > 1.5$ ,  $\text{FDR} < 0.01$  between  
882 WT and WT+E2 groups. D538G ligand-independent genes which are also regulated in  
883 WT cells were excluded from the analysis.

884

### 885 **RNA-sequencing analysis**

886 Data generation and processing of the 54 ER+ tumors in the WCRC cohort was  
887 described above. For the MET500 cohort, RNA-seq fastq files from 91 metastatic breast  
888 cancer samples were downloaded from the Database of Genotypes and Phenotypes  
889 (dbGaP) with accession number phs000673.v2.p1. Transcript counts from all samples  
890 were quantified with Salmon v.0.8.2 and converted to gene-level counts with tximport.  
891 The gene-level counts from all studies were then normalized together using TMM with  
892 edgeR. Log<sub>2</sub> transformed TMM-normalized counts per million [ $\log_2(\text{TMM-CPM}+1)$ ] were  
893 used for analysis. 46 putative ER positive samples were then filtered in the MET500  
894 cohort. *ESR1* mutation status was extracted using the MET500 portal  
895 (<https://met500.path.med.umich.edu>). For the DFCI cohort, raw counts data was  
896 obtained and normalized to  $\log_2(\text{TMM-CPM}+1)$  for further analysis. *ESR1* mutation  
897 status was called using separate whole exon sequencing data. For the POG570 cohort,  
898 raw count matrixes and mutation statuses were downloaded from the BCGSC portal  
899 (<https://www.bcgsc.ca/downloads/POG570/>). ER status of each patient was additionally  
900 requested from the cited original resources and only ER+ metastatic tumors were used  
901 for downstream analysis.

902 For all datasets, differential expression (DE) analysis was performed using the DESeq2  
903 package (74). In brief, genes were prefiltered with a  $\log_2(\text{CPM}+1) > 1$  in at least one  
904 sample criteria across all data sets. DE genes with a q-value below 0.1 and an absolute  
905 log<sub>2</sub> fold change above 1.5 were used for Ingenuity Pathway Analysis (75). GSEA  
906 analysis was performed using the Broad GSEA Application (76). Gene set variation  
907 analyses were performed using the GSVA package (77). All gene sets used in this  
908 study are reported in Supplementary Table S6. Data visualizations were performed  
909 using “ggpubr” (78) and “VennDiagram” packages (79).

910

## 911 **Statistical Analysis**

912 GraphPad Prism software version 7 and R version 3.6.1 were used for statistical  
913 analysis. All experimental results included biological replicates and were shown as  
914 mean  $\pm$  standard deviation, unless otherwise stated. Specific statistical tests were  
915 indicated in corresponding figure legends. All tests were conducted as two-tailed, with a  
916  $p < 0.05$  considered statistically significant. Drug synergy was calculated based on the  
917 Bliss independence model using the SynergyFinder (<https://synergyfinder.fimm.fi/>) (80).  
918 Bliss synergy scores were used to determine synergistic effects.

919

## 920 **Data Availability**

921 The ER and FOXA1 ChIP-seq data has been deposited onto the Gene Expression  
922 Omnibus database (GSE125117 and GSE165280). All publicly available resources  
923 used in this study are summarized in Supplementary Table S11. All raw data and scripts  
924 are available upon request from the corresponding author.

925

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Cohorts	Site of Recurrence	Total Number	<i>ESR1</i> WT	<i>ESR1</i> Mutant	Fisher's Exact p
METAMORPH/POG570/ MSKCC/IEO Merged	Distant	867	711 (82%)	158 (18%)	0.0014
	Local	38	38 (100%)	0 (0%)	
WCRC/Charite	Distant	48	36 (75%)	12 (25%)	0.0031
	Local	27	27 (100%)	0 (0%)	

1141 **Table**

1142

1143 **Table 1. Significant enrichment of *ESR1* mutations in distant compared to local**  
1144 **recurrences.**

1145 Upper panel: Data from 867 distant metastatic and 38 local recurrence cases were  
1146 merged from three cohorts (METAMORPH, 39 distant/9 local; POG570, 86 distant/14  
1147 local; MSKCC, 716 distant/8 local; IEO, 26 distant/7 local). *ESR1* mutation status was  
1148 previously identified by whole exome sequencing (METAMORPH), whole genome  
1149 sequencing (POG570) or target panel DNA sequencing (MSKCC, IEO). Lower panel: 48  
1150 distant ER positive metastases and 27 local ER positive recurrences were obtained  
1151 from the WCRC and Charite cohorts. Genomic DNA (gDNA) was isolated from either  
1152 FFPE or frozen tumor tissues, and subjected to droplet digital PCR (ddPCR) detection  
1153 with specific probes against Y537S, Y537C, Y537N and D538G hotspot point mutations  
1154 (cDNA rather than gDNA was used for 3 of the local recurrent samples). Hotspot *ESR1*  
1155 mutation incidences between distant metastatic and local recurrent samples in both  
1156 panels were compared using a Fisher's exact test.

1157

1158 **Figure legends**

1159

1160 **Figure 1. Transcriptomic landscape of *ESR1* mutant metastatic breast cancers.**

1161 A. Schematic overview of transcriptomic analysis of four ER+ metastatic breast cancer  
1162 cohorts.

1163 B. Box plots representing the enrichment levels of “Estrogen Response Early” and  
1164 “Estrogen Response Late” signatures in *ESR1* mutant versus *ESR1* WT metastatic  
1165 tumors in each cohort. (WCRC, 46 *ESR1* WT/8 mutant; MET500, 34 *ESR1* WT/12  
1166 *ESR1* mutant; DFCI, 98 *ESR1* WT/32 mutant; POG570, 68 *ESR1* WT/18 mutant). Four  
1167 quantiles are shown in each plot. Mann-Whitney U test was used to compare the  
1168 enrichment of the signatures in WT and mutant tumors. (\*  $p < 0.05$ , \*\*  $p < 0.01$ )

1169 C. Volcano plots representing the differentially expressing genes (DE genes) in *ESR1*  
1170 mutant tumors versus WT tumors in the three metastatic breast cancer cohorts. DE  
1171 genes were selected using the cutoff of  $FDR < 0.1$  and  $|\log_2 FC| > 1.5$ . Genes that were  
1172 upregulated or downregulated were labelled in red and blue respectively with  
1173 corresponding counts.

1174 D. Dot plots showing the top 5 altered cellular and molecular functional categories  
1175 derived from DE genes analysis using Ingenuity Pathway Analysis software. Specific  
1176 sub-functions within overarching categories are presented as individual dots.  
1177 Consistently altered pathways across all four cohorts are indicated in red.

1178 E. Stacked bar plot showing the distribution of 14 hotspot *ESR1* mutations identified in  
1179 six independent cohorts using unbiased DNA sequencing approaches. Specific sample  
1180 numbers were indicated in the plots. Variants with percentages above 1% were labelled  
1181 on the top of each bar.

1182 F. Scatterplot representing enrichment level distribution of 50 hallmark gene sets in 10  
1183 Y537S and 8 D538G metastatic tumors (after being normalized against 98 WT  
1184 counterparts) from the DFCI cohort. Top enriched pathways from each quartile are  
1185 labelled.

1186

1187 **Figure 2. *ESR1* mutant cells exhibit stronger cell-cell adhesion.**

1188 A. Representative images of day 6 hormone deprived MCF7 and T47D spheroids  
1189 seeded in 6-well ultra-low attachment (ULA) plates. Images were taken under 1.25x  
1190 magnification. Representative experiment from three independent repeats is shown.  
1191 B. Bar plot representing day 7 cell numbers of MCF7 or T47D WT and *ESR1* mutant  
1192 cells seeded into flat bottom ULA plates. Cell abundance were quantified using Celltiter  
1193 Glo. Fluorescence readouts were corrected to background measurements. Each bar  
1194 represents mean  $\pm$  SD with 10 (MCF7) or 6 (T47D) biological replicates. Representative  
1195 experiment from six independent repeats is shown. Dunnett's test was used between  
1196 WT and each mutant. (\*\*  $p < 0.01$ )  
1197 C. Left panel: A calcein labelled cell-cell adhesion assay was performed in MCF7 WT  
1198 and mutant cells. Adhesion ratios were calculated by dividing the remaining cells after  
1199 each wash to the initial readout from unwashed wells. A pairwise two-way ANOVA  
1200 between WT and each mutant was utilized. Each point represents mean  $\pm$  SD with five  
1201 biological replicates. Representative experiment from 17 independent repeats is shown.  
1202 Right panel: Adhesion ratios after three washes were extracted from 17 independent  
1203 experiments displayed as mean  $\pm$  SEM. Dunnett's test was used to compare between  
1204 WT and each mutant. (\*  $p < 0.05$ , \*\*  $p < 0.01$ )  
1205 D. Line plot representing the aggregation ratio of MCF7 cells seeded into round bottom  
1206 ULA plates. Cell aggregation processes were followed by the IncuCyte living imaging  
1207 system every hour. Spheroid areas were normalized to time 0. Each dot represents  
1208 mean  $\pm$  SD with eight biological replicates. Representative images after 3 hours of  
1209 aggregation are shown across the top panel. Images were captured under 10x  
1210 magnification. Representative experiment from five independent repeats is shown. A  
1211 pairwise two-way ANOVA between WT and each mutant was utilized. (\*\*  $p < 0.01$ )  
1212 E. Representative images of MCF7 cell cluster status after two hours of flow under  
1213 physiological shear stress produced by the ibidi microfluidic system. Images were taken  
1214 under 10x magnification. A regional 2x zoom in is presented on the top of each image.  
1215 Representative experiment from three independent repeats is shown.  
1216 F. Bar graph representing the percentage of MCF7 cells in a cluster based on the  
1217 quantification of cluster and single cell numbers from 12 representative images per  
1218 group. Each bar represents mean  $\pm$  SD. Cell cluster ratios after 2 hours of flow were

1219 further normalized to time 0 to correct for baseline pre-existing clusters. Representative  
1220 experiment from three independent repeats is shown. Dunnett's test was used between  
1221 WT and mutant cells. (\*\*  $p < 0.01$ )

1222 G. Bar plots showing the cluster size distribution of MCF7 cells after normalization to  
1223 time 0. Each bar represents mean  $\pm$  SD from 12 representative images per group.  
1224 Representative experiment from three independent repeats is shown. Dunnett's test  
1225 was used between WT and each mutant cell type within the same cluster size category.  
1226 (\*\*  $p < 0.01$ ).

1227 H. Schematic overview of short-term *in vivo* circulating tumor cell evaluation  
1228 experimental procedure.

1229 I. Left panel: Representative images of two-cell clusters (WT) and a multicellular cluster  
1230 (Y537S). Images were taken under 40x magnification. Right panel: Stacked bar chart  
1231 representing the distribution of cancer cells in each cluster type. This experiment was  
1232 performed once. Fisher's exact test was applied to test whether multicellular clusters  
1233 were enriched in *ESR1* mutant cells. (\*\*  $p < 0.01$ )

1234 J. Left panel: Representative images of a WT and Y537S two cell cluster. Lines  
1235 connecting the two nuclei centers were indicated. Images were taken under 40x  
1236 magnification. Right panel: Dot plot represents the inter-nuclei distance of all two-cell  
1237 clusters in MCF7 WT and mutant cells. Measured distances were normalized to the  
1238 average radius of both cells of this cluster size to avoid cell size bias. This experiment  
1239 was performed once. Mann-Whitney U test was performed between WT and each  
1240 mutant cell. (\*\*  $p < 0.01$ )

1241 K. Schematic overview of *in vivo* metastatic evaluation of *ESR1* mutant cells introduced  
1242 via tail vein injections.

1243 L. Representative H&E staining images the tumorous portion of MCF7-Y537S induced  
1244 macro-metastatic (macro-met) tumors from 3 different mice. This experiment was  
1245 performed once. Images were taken under 20x magnification.

1246 M. Left panel: Dot plots showing the number of macro-met per mouse from MCF7 *ESR1*  
1247 WT and mutant cells-injected mice. Pairwise Mann-Whitney U test was used to  
1248 compare the macro-met numbers in each mutant group to WT cell-injected groups.

1249 Right panel: Quantification of lung micro-met areas based on human specific CK19



1250 staining quantification. This experiment was performed once. Pairwise Mann-Whitney U  
1251 test was applied for statistical analysis. (WT, n=7; Y537S, n=6; D538G, n=7) (\* p<0.05)  
1252 N. Representative images of micro-metastatic loci on the lung sections of T47D-*ESR1*  
1253 mutant cell-injected mice. Images were taken under 10x magnification. Metastatic loci  
1254 were indicated with white arrow. This experiment was p once. (WT, n=7; Y537S, n=6;  
1255 D538G, n=7) (Blue: nuclei; Red: CK8+18; Green: Human specific CK19)  
1256 O. Left panel: Dot plots showing the macro-metastatic counts per mouse from T47D  
1257 *ESR1* mutant-injected mice. Pairwise Mann-Whitney U test was used to compare the  
1258 macro-met numbers in each mutant group to WT cell-injected groups. Right panel:  
1259 Quantification of lung micro-met areas based on CK19 staining and was performed in a  
1260 blind manner. This experiment was performed once. Pairwise Mann-Whitney U test was  
1261 applied for statistical analysis. (N=1, \* p<0.05)  
1262 P. Representative images of CTCs clusters detected through the CellSearch Platform  
1263 after EpCAM dependent enrichment (Pink: nuclei, Green: CK8/CK18/CK 19). Image  
1264 resolution and magnification were achieved in accordance with the CellSearch Platform.  
1265 Q. Mosaic plot showing the association between *ESR1* genotype status and clustered  
1266 CTCs. A significant positive association was observed by Fisher's exact test between  
1267 *ESR1* mutations and high CTC cluster burden. (CTC cluster > 4).  
1268 R. Kaplan Meier plot representing the impact of clustered CTCs in terms of Overall  
1269 Survival (OS). Patients with clustered CTCs > 4 experienced the worse prognosis in  
1270 terms of OS both with respect to those without clusters and those with clusters but with  
1271 ≤ 4 clustered CTCs (P < 0.0001). Patients at risk are reported at each time point. Log  
1272 rank test was to compare the survival curves of the two patient subsets.

1273

1274 **Figure 3. Desmosome and gap junction adhesome reprogramming confers**  
1275 **enhanced adhesive properties in *ESR1* mutant cells.**

1276 A. Gene Set Variation Analysis (GSVA) scores of desmosome and gap junction gene  
1277 sets enrichment in MCF7 and T47D *ESR1* mutant vs WT cell RNA-seq data sets. Each  
1278 cell type has four biological replicates. Dunnett's test was used to test the significance  
1279 between WT and mutant cell lines. (\*\* p<0.01)

1280 B. Heatmaps showing all desmosome and gap junction component genes in MCF7 and  
1281 T47D *ESR1* mutant cells. Data were extracted from RNA-sequencing results with four  
1282 biological replicates. Color scale represents the Log<sub>2</sub> fold changes in each mutant  
1283 normalized to WT counterparts using the log<sub>2</sub>(TPM+1) expression matrix. Genes with  
1284 counts=0 in more than one replicate in each cell type were filtered out of analysis.  
1285 Genes with a log<sub>2</sub>FC>1.2 and a p<0.05 in at least one group are labelled in red.

1286 C. Western blot validation of the expression level of *DSG2*, *DSC1*, *PKP1*, Cx43 and  
1287 Cx26 in MCF7 WT and *ESR1* mutant cells after hormone deprivation. Tubulin was  
1288 blotted as a loading control. Representative blots from three independent repeats was  
1289 shown for each protein.

1290 D. qRT-PCR validation of selected altered candidate desmosome and gap junction  
1291 genes in MCF7 *ESR1* mutant cells.  $\Delta\Delta$ Ct method was used to analyze relative mRNA  
1292 fold changes normalized to WT cells and *RPLP0* levels were measured as an internal  
1293 control. Each bar represents mean  $\pm$  SD with biological triplicates. This experiment was  
1294 a representative from four independent repeats. Dunnett's test was used to compare the  
1295 gene expression between WT and each mutant. (\* p<0.05, \*\* p<0.01)

1296 E. Representative images of immunofluorescence staining showing the distribution of  
1297 desmoglein 2 (*DSG2*) in MCF7 WT and *ESR1* mutant cells. Images were taken under  
1298 20x magnification. A 2x zoom in of each image is presented. Right lower panel: *DSG2*  
1299 signal intensities were quantified and normalized to cell numbers in each image. Data  
1300 from 20 regions within the collected images were combined from four independent  
1301 experiments. Mean  $\pm$  SD is presented in each plot. Dunnett's test was used to test the  
1302 significance between WT and mutant cells. (\*\* p<0.01)

1303 F. Box plots representing GSVA scores of the enrichment of the top desmosome and  
1304 gap junction candidate genes (genes with log<sub>2</sub>FC>2 in at least one mutant line) in  
1305 patient matched primary-metastatic paired samples. Delta GSVA score of each sample  
1306 was calculated by subtracting the scores of primary tumors from the matched metastatic  
1307 tumors. Four quantiles are shown in each plot. Mann-Whitney U test was performed to  
1308 compare the Delta GSVA scores between *ESR1* WT (n=44) and mutation (n=7)  
1309 harboring tumors. (\* p<0.05)

1310 G & J. Representative images of cell cluster status after two hours of flow under  
1311 physiological shear stress in the ibidi microfluidic system, with or without 300 $\mu$ M of the  
1312 desmosomal blocking peptide (G) or 100 $\mu$ M of carbenoxolone (J) treatment. Images  
1313 were taken under 10x magnification. This experiment was a representative from two  
1314 (desmosome peptide treatment ) and three (CBX treatment) independent repeats.  
1315 H & K. Bar graphs representing the T0 normalized percentage of cells in cluster status  
1316 after quantification of cluster and single cell numbers under each treatment. Each bar  
1317 represents mean  $\pm$  SD quantified from 12 images per group. This experiment was a  
1318 representative from two (desmosome peptide treatment ) and three (CBX treatment)  
1319 independent repeats. Student's t test was used to examine the effects of treatment  
1320 between each group's cluster ratio. (\*\*  $p < 0.01$ )  
1321 I & L. Bar graphs representing the T0 normalized 2 cell and greater than 5 cell cluster  
1322 percentages under each treatment. Each bar represents mean  $\pm$  SD quantified from 12  
1323 images per group. This experiment was a representative from two (desmosome peptide  
1324 treatment ) and three (CBX treatment) independent repeats. Pairwise student's t test  
1325 was used to examine the effects of treatment between each group's cluster ratio. (\*\*  
1326  $p < 0.01$ )  
1327 M. Bar graphs representing qRT-PCR measurement of *DSC1*, *DSC2*, *GJA1*, *GJB2* and  
1328 *GJB5* mRNA levels in MCF7 WT and *ESR1* mutant cells following siRNA knockdown of  
1329 *ESR1* for 7 days.  $\Delta\Delta$ Ct method was used to analyze relative mRNA fold changes  
1330 normalized to WT cells and *RPLP0* levels were measured as an internal control. Each  
1331 bar represents mean  $\pm$  SD with three biological replicates. Representative experiment  
1332 from three independent repeats is displayed. Student's t test was used to compare the  
1333 gene expression between scramble and knockdown groups of each cell type. (\*  $p < 0.05$ ,  
1334 \*\*  $p < 0.01$ )  
1335 N & O. Western blot validation of the expression level of ER, Cx43 and cFOS in MCF7  
1336 WT and *ESR1* mutant cells after seven days of *ESR1* knockdown (N) or three days of  
1337 20 $\mu$ M T-5224 treatment (O). Tubulin was blotted as a loading control. Representative  
1338 blot from three (N) and five (O) independent repeats is displayed.  
1339 P. Screen shot of H3K27ac and H3K4me2 binding peaks at proximity to genomic *DSC1*  
1340 and *DSG1* loci in MCF7 parental cells. ChIP-seq data were visualized at WashU

1341 Genome Browser based on public available data set from ENCODE (H3K4me2:  
1342 ENCSR875KOJ ; H3K27ac: ENCSR752UOD). Y axis represents the binding intensity of  
1343 each ChIP-seq data set. Selected peaks for ChIP-qPCR assessment in Q were  
1344 indicated.

1345 Q. Bar graph showing the fold enrichment levels of the two active histone modification  
1346 markers at the two selected peaks around *DSC1* and *DSG1* gene loci illustrated in P.  
1347 Each bar represents mean  $\pm$  SD from biological triplicates. Fold enrichment levels were  
1348 calculated by normalizing to IgG controls and further normalized to WT levels. This  
1349 experiment is representative from two independent repeats. Dunnett's test was used  
1350 within each group. (N=2, \*  $p<0.05$ , \*\*  $p<0.01$ )

1351

1352 **Figure 4. *ESR1* mutant cells show diminished ECM adhesion and enhanced**  
1353 **invasion via an altered *TIMP3*-MMP axis.**

1354 A. Gene set enrichment plots showing the comparison of enrichment levels of the  
1355 "KEGG ECM Receptor Interaction" gene set (MSigDB, M7098) between WT and mutant  
1356 tumors in DFCI cohort. (98 *ESR1* WT and 32 mutant tumors)

1357 B. Heatmap representation of adhesion ratio on 7 ECM components performed with  
1358 MCF7 and T47D *ESR1* WT and mutant cells. Adhesion ratio of each condition with  
1359 biological quadruplicates was quantified by dividing the number of remaining cells after  
1360 washing to the original total cells plated. All data was further normalized to WT cells  
1361 within each cell line. This experiment was performed once. Dunnett's test was applied to  
1362 each condition of each cell line. (\*  $p<0.05$ , \*\* $p<0.01$ )

1363 C. Representative images *ESR1* WT and mutant cells remaining on collagen I after  
1364 three PBS washes. Images were taken using 4x magnification. Experiment displayed is  
1365 representative from three independent repeats.

1366 D. Quantification of adhesion ratios on collagen I in each cell type. Bar graphs represent  
1367 the mean  $\pm$  SD with four biological replicates in each group. Dunnett's test was utilized  
1368 within each cell line to compare WT and mutant adhesion ratios. Experiment displayed  
1369 is representative from 12 (MCF7) and 11 (T47D) independent repeats. (\*  $p<0.05$ , \*\*  
1370  $p<0.01$ )

1371 E. Volcano plots showing the alterations of 84 ECM adhesion genes in all mutant cell  
1372 types in a pairwise comparison to the WT counterparts. Genes were pre-filtered with an  
1373 average Ct<35 in at least one group. An FDR<0.1 was considered as a significantly  
1374 altered gene in *ESR1* mutant cells. Overlapping downregulated (blue) or upregulated  
1375 (red) genes between the two mutants of each cell line were further highlighted, with  
1376 gene name labels for the top targets. Top changed genes in each T47D mutant cells  
1377 were labelled in green. This experiment was performed once.

1378 F. Venn diagrams showing the consistently differentially expressed genes between the  
1379 two mutant variants within each cell line. *TIMP3* was highlighted as the only overlapping  
1380 gene in all four *ESR1* mutant cell types.

1381 G. qRT-PCR validation of *TIMP3* expression in WT and *ESR1* mutant cells. Ct values  
1382 were normalized to *RPLP0* and further normalized to WT cells. Bar graphs represent  
1383 the mean  $\pm$  SD with biological triplicates in each group. Representative experiment from  
1384 seven independent repeats is shown. Dunnett's test was utilized within each cell line. (\*  
1385  $p<0.05$ , \*\*  $p<0.01$ )

1386 H. Western blot validation of *TIMP3* from whole cell lysates after hormone deprivation.  
1387 Tubulin was used as a loading control. Representative experiment from six independent  
1388 repeats is shown.

1389 I & J. Quantification of adhesion ratios on collagen I in each mutant variant following  
1390 transfection of pcDNA empty vector or *TIMP3* plasmids in MCF7 (I) and T47D (J) cell  
1391 models. Bar graphs represent the mean  $\pm$  SD from 5 (MCF7) and 7 (T47D) biological  
1392 replicates. Representative experiment from four independent repeats is shown.

1393 Student's t test was used to compare the empty vector and *TIMP3* overexpressing  
1394 groups. (\*  $p<0.05$ , \*\*  $p<0.01$ )

1395 K & L. Graphical view of pan-MMP FRET kinetic assay. MMPs in MCF7 (K) and T47D  
1396 (L) cell lysates were pre-activated and mixed with MMP substrates. Fluorescence was  
1397 measured in a time course manner and normalized to T0 baseline and further  
1398 normalized to WT cell readouts. Each point represents the mean  $\pm$  SD value from three  
1399 biological replicates. Representative experiment from four independent repeats is  
1400 shown. Pairwise two-way ANOVA between WT and each mutant cell type was  
1401 performed. (\*  $p<0.05$ , \*\*  $p<0.01$ )

1402 M. Top panel: Representative images of the spheroid-based collagen invasion assay in  
1403 *ESR1* WT and mutant cell models. MCF7 and T47D spheroids were mixed in collagen I  
1404 for 4 and 6 days, respectively. Bright field images were taken accordingly with 10x  
1405 magnification. Bottom panel: Quantification of invasive areas within images. Invasive  
1406 areas were calculated by subtracting each original spheroid area from the  
1407 corresponding endpoint total area. Each bar represents mean  $\pm$  SD with 10 biological  
1408 replicates. Experiments displayed are representative from three independent repeats  
1409 from each cell line. Dunnett's test was used to compare the difference between WT and  
1410 mutant cells. (\*  $p < 0.05$ , \*\*  $p < 0.01$ )

1411 N & P. Representative images of the spheroid-based collagen invasion assay with  
1412 different doses of Marimastat treatment in MCF7 (N) and T47D (P) cell models for 4 and  
1413 6 days, respectively. Images were taken under 10x magnification. Experiments  
1414 displayed are representative from three independent repeats from each cell line.

1415 Q & O. Quantification of corresponding invasive areas from 4N and 4P. Experiments  
1416 displayed are representative from three independent repeats from each cell line.  
1417 Student's t test was used to compare the effects of Marimastat treatment to vehicle  
1418 control. (\*  $p < 0.05$ , \*\*  $p < 0.01$ )

1419

1420 **Figure 5. *De novo* FOXA1-mediated Wnt pathway activation enhances migratory**  
1421 **property of the T47D-D538G cells.**

1422 A & B. Representative images (A) and quantification (B) of wound scratch assay of  
1423 T47D WT and *ESR1* mutant cells performed using IncuCyte living imaging system over  
1424 72 hours. The migratory region normalized to T0 are labelled in blue. Images were  
1425 taken under 10x magnification. Cell migration rates were quantified based on relative  
1426 wound densities with 8 biological replicates. Representative experiment from 11  
1427 independent repeats is shown. Pairwise two-way ANOVA between WT and each mutant  
1428 was performed. (\*\*  $p < 0.01$ )

1429 C. Representative magnified images of the migratory edge of each group in wound  
1430 scratch assays in A.

1431 D & E. Representative images (D) and quantification (E) of spheroid collective migration  
1432 assays in T47D mutant cells. T47D cells were initially seeded into round bottom ULA



1433 plates to form spheroids, which were then transferred onto collagen I coated plates.  
1434 Collective migration was measured after 4 days. The migratory edge of each spheroid is  
1435 circled with a white line. Migratory distances were calculated based on the mean radius  
1436 of each spheroid normalized to corresponding original areas. Representative  
1437 experiment from three independent repeats is shown. Dunnett's test was used for  
1438 statistical analysis. (\*\*  $p < 0.01$ )

1439 F. Dot plots representing the enrichment distribution of the 50 MSigDB curated Hallmark  
1440 gene sets in T47D-Y537S and T47D-D538G models normalized to WT cells.  
1441 Significantly enriched gene sets ( $FDR < 0.25$ ) are highlighted in red, with names labeled  
1442 in the venn diagram plot on the right panel. Gene sets enriched in Y537S and D538G  
1443 cell models are in green and blue circles respectively.

1444 G. Immunoblot detection of  $\beta$ -catenin, phospho-GSK3 $\beta$  (Ser9), phospho-GSK3 $\alpha$  (Ser21)  
1445 total GSK3 $\beta$  and total GSK3 $\alpha$  levels in T47D WT and mutant cells after hormone  
1446 deprivation. Tubulin was blotted as a loading control. Representative blots from three  
1447 independent repeats is displayed for each protein.

1448 H. Quantification of IncuCyte wound scratch assay with or without 5 $\mu$ M LGK974  
1449 treatment for 72 hours. The migratory region normalized to T0 are labelled in blue.  
1450 Images were taken under 10x magnification. Cell migration rates were quantified based  
1451 on relative wound densities with eight biological replicates. Representative experiment  
1452 from three independent repeats is shown. Pairwise two-way ANOVA between WT and  
1453 each mutant was performed. (\*\*  $p < 0.01$ )

1454 I. IncuCyte migration assay with combination treatment of four different doses of  
1455 LGK974 and Fulvestrant in T47D-D538G cells. Inhibition rates were calculated using  
1456 the wound density at 48 hours normalized to vehicle control with values labelled using  
1457 color scales in the heatmap. Positive Bliss scores are considered a synergistic  
1458 combination. Representative experiment from three independent repeats is shown.

1459 J. Dot plot representing the fold changes of all Wnt signaling component genes in both  
1460 T47D *ESR1* mutant cell models normalized to WT cells. The blue dotted frame  
1461 highlights the unique T47D-D538G enriched genes as well as genes that are enriched  
1462 in both mutants, but with a larger magnitude of enrichment in the T47D-D538G cells.

1463 K & L. Immunoblot validation of Fulvestrant-induced ER degradation (K) and FOXA1  
1464 knockdown (L). Cell lysates were subjected to ER and FOXA1 detection. Tubulin was  
1465 blotted as a loading control. These validation experiments were performed once.  
1466 M & N. Wound scratch assay in T47D-D538G and WT cells with 1 $\mu$ M of Fulvestrant  
1467 treatment (M) or knockdown of FOXA1 (N) for 72 hours. Cell migration rates were  
1468 quantified based on wound closure density. For fulvestrant treatment, data were merged  
1469 from 3 (WT) or 6 (D538G) independent experiments. For FOXA1 knockdown,  
1470 representative result from three independent repeats is displayed. Pairwise two-way  
1471 ANOVA between siScramble/siFOXA1 or vehicle/Fulvestrant conditions in each cell  
1472 type was performed. (\*  $p < 0.05$ , \*\*  $p < 0.01$ )  
1473 O. PCA plot showing the FOXA1 peak distribution of T47D WT, WT+E2, T47D-Y537S  
1474 and T47D-D538G groups.  
1475 P. Heatmaps representing the comparison of FOXA1 binding intensities in T47D-D538G  
1476 mutants to FOXA1 binding in WT cells. Displayed in a horizontal window of  $\pm 2$ kb from  
1477 the peak center. The pairwise comparison between WT and mutant samples was  
1478 performed to calculate the fold change (FC) of intensities. Binding sites were sub-  
1479 classified into sites with increased intensity ( $FC > 2$ ), decreased intensity ( $FC < -2$ ), and  
1480 non-changed intensity ( $-2 < FC < 2$ ). Percentages of each subgroup are labelled on the  
1481 heatmaps.  
1482 Q. Bar charts showing the percentage of ATAC peaks overlapping (black) or not  
1483 overlapping (grey) with FOXA1 binding sites in T47D-WT, T47D-Y537S and T47D-  
1484 D538G cells.  
1485 R. Left panel: Venn diagram showing the intersection of genes annotated from dually  
1486 gained ATAC and FOXA1 peaks ( $\pm 3$ kb of TSS with 200kb of the peak flank) and RNA-  
1487 seq differentially expressed non-canonical ligand-independent genes (gene with  $|\text{fold}$   
1488  $\text{change}| > 2$ ,  $\text{FDR} < 0.005$  in D538G vs WT excluding genes with  $|\text{fold change}| > 1.5$ ,  
1489  $\text{FDR} < 0.01$  in WT+E2 vs WT groups). Intersected genes are indicated in the right panel.  
1490 S. Wound scratch assay in T47D-WT and T47D-D538G cells with or without prior  
1491 transfection of a dominant negative *TCF4* plasmid for 72 hours. Pairwise two-way  
1492 ANOVA between vehicle and treatment conditions was performed. Data from one

1493 representative experiment of three independent experiments (each with six biological  
1494 repeats) is shown. (\*\*  $p < 0.01$ )

1495

1496 **Figure 6. Schematic model of *ESR1* mutation-driven breast cancer metastases.**

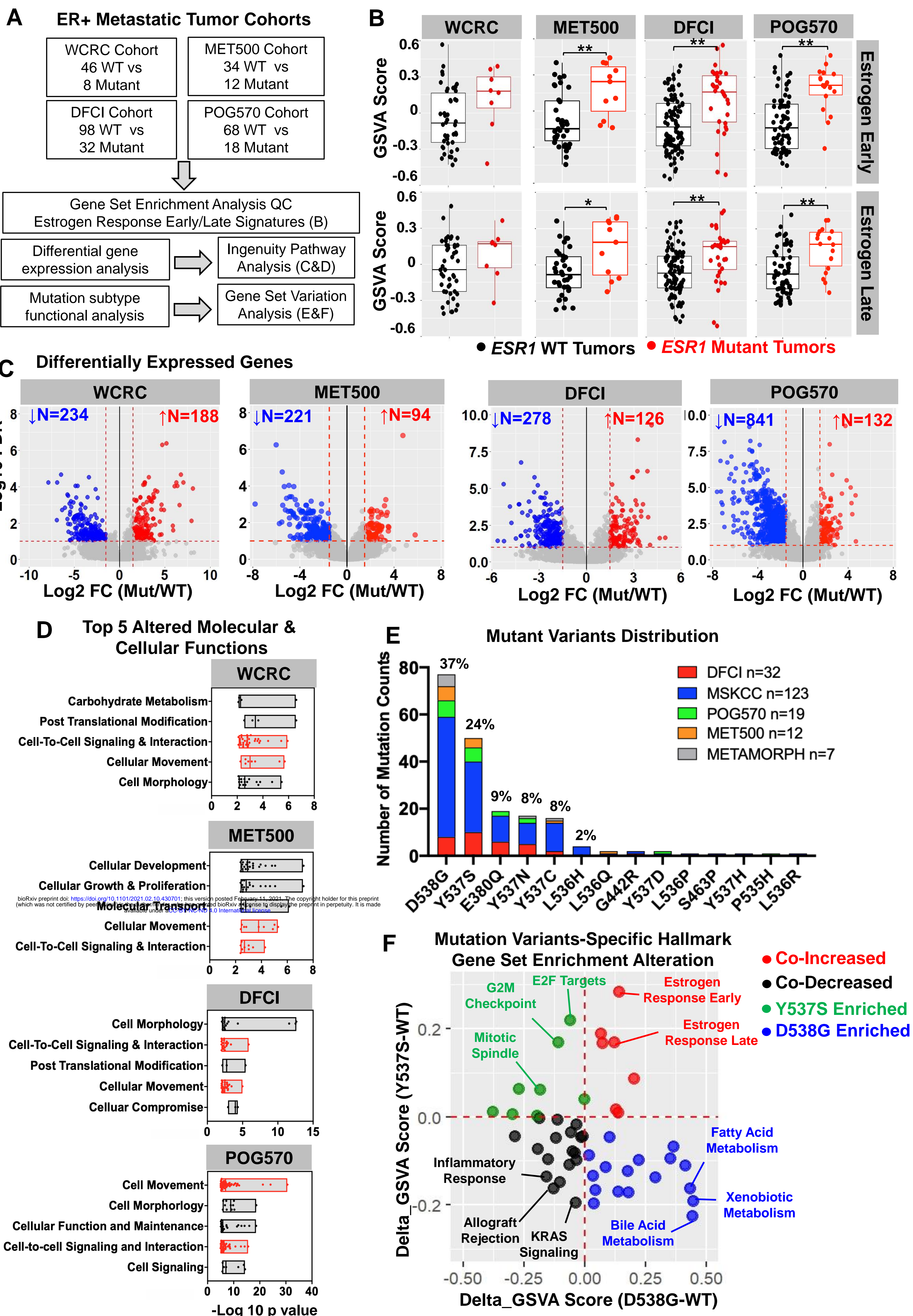
1497 Mutated ER triggers differential gene regulatory reprogramming through 1) ligand-  
1498 independent transcriptional gene activation or repression, 2) secondary transcriptional  
1499 regulation and 3) FOXA1-driven epigenetic remodeling. Ligand independent  
1500 transcription constitutively induces or represses canonical ER regulated sites (e.g.  
1501 *TIMP3*). Secondary transactivation induces gene expression indirectly via activation of  
1502 an intermediate regulator (e.g. *GJA1*). Novel epigenetic remodeling includes *de novo*  
1503 FOXA1 redistribution and increased chromatin accessibility at specific gene loci (e.g.  
1504 *TCF4*). Consequently, increased desmosome and gap junction expression, *TIMP3*-  
1505 MMP axis alteration and hyperactivation of the Wnt pathway results in enhanced cell-  
1506 cell adhesion, collagen invasion, migration and decreased cell-ECM adhesion,  
1507 ultimately facilitating metastases of *ESR1* mutant cells. Corresponding therapeutic  
1508 vulnerabilities can be efficiently targeted by carbenoxolone, marimatsat and LGK974.  
1509 These mechanisms are highly context dependent with phenotypes labeled for specific  
1510 cell line models.

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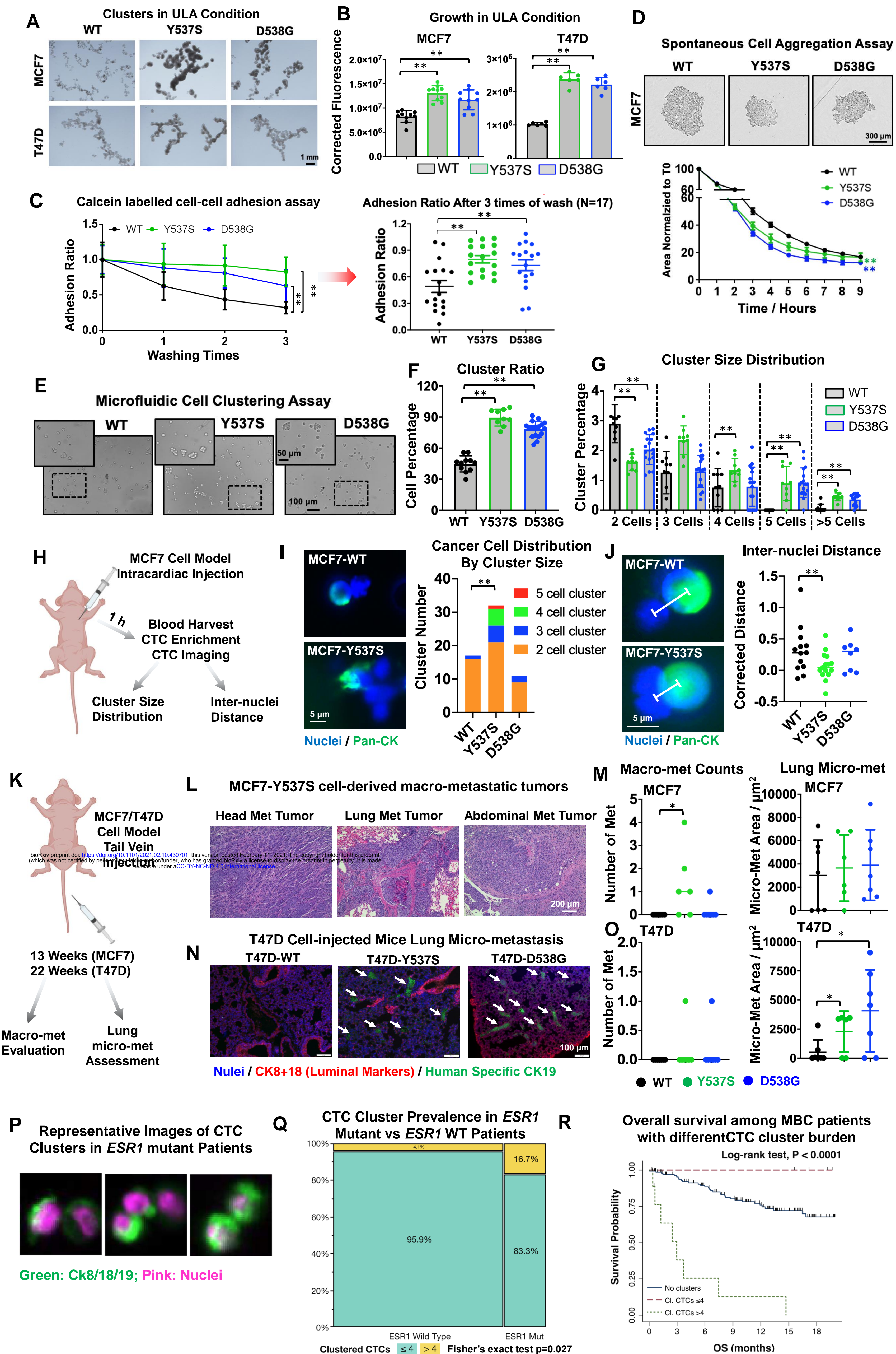
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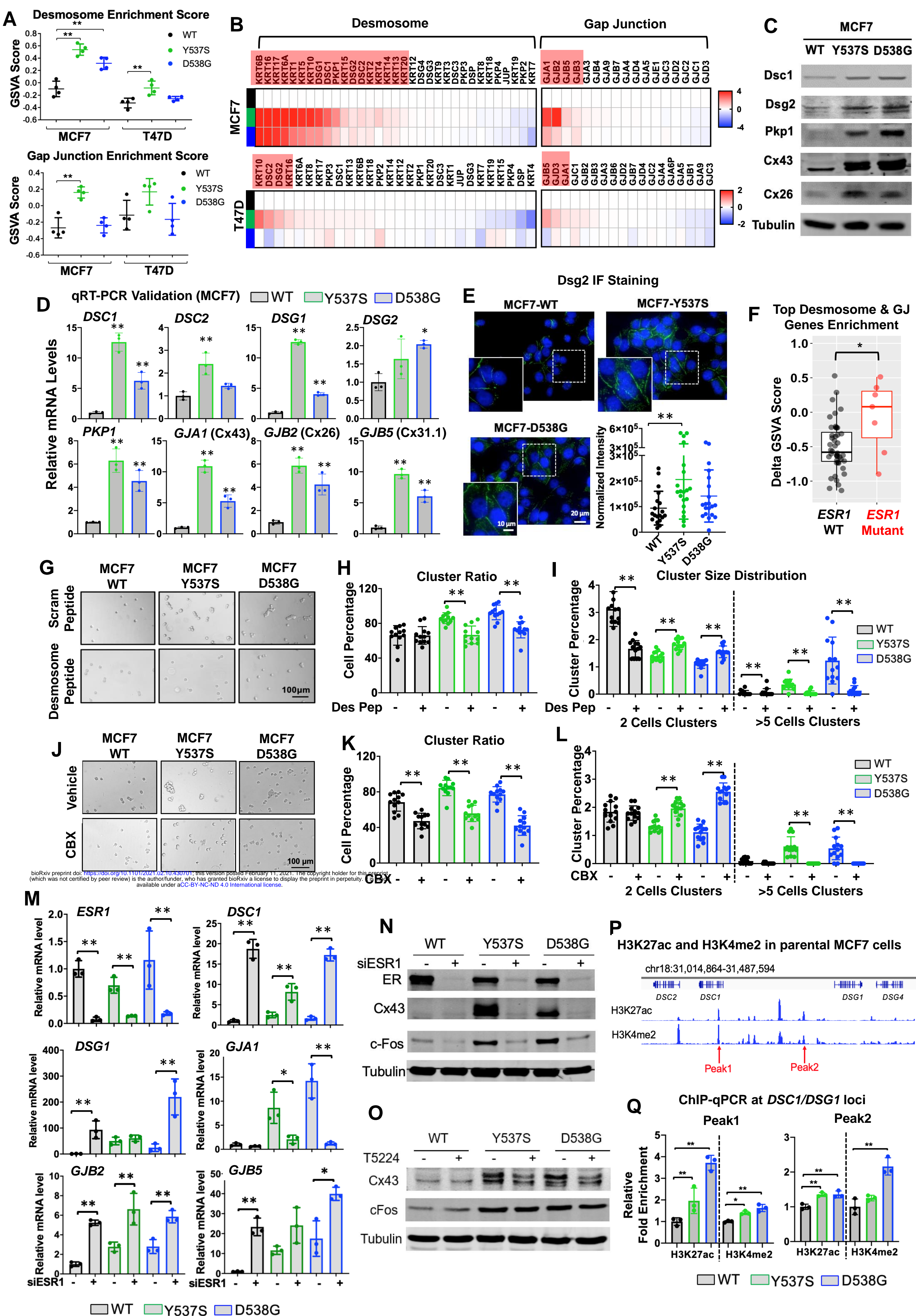
**Figure 1. Transcriptomic landscape of *ESR1* mutant metastatic breast cancers.**





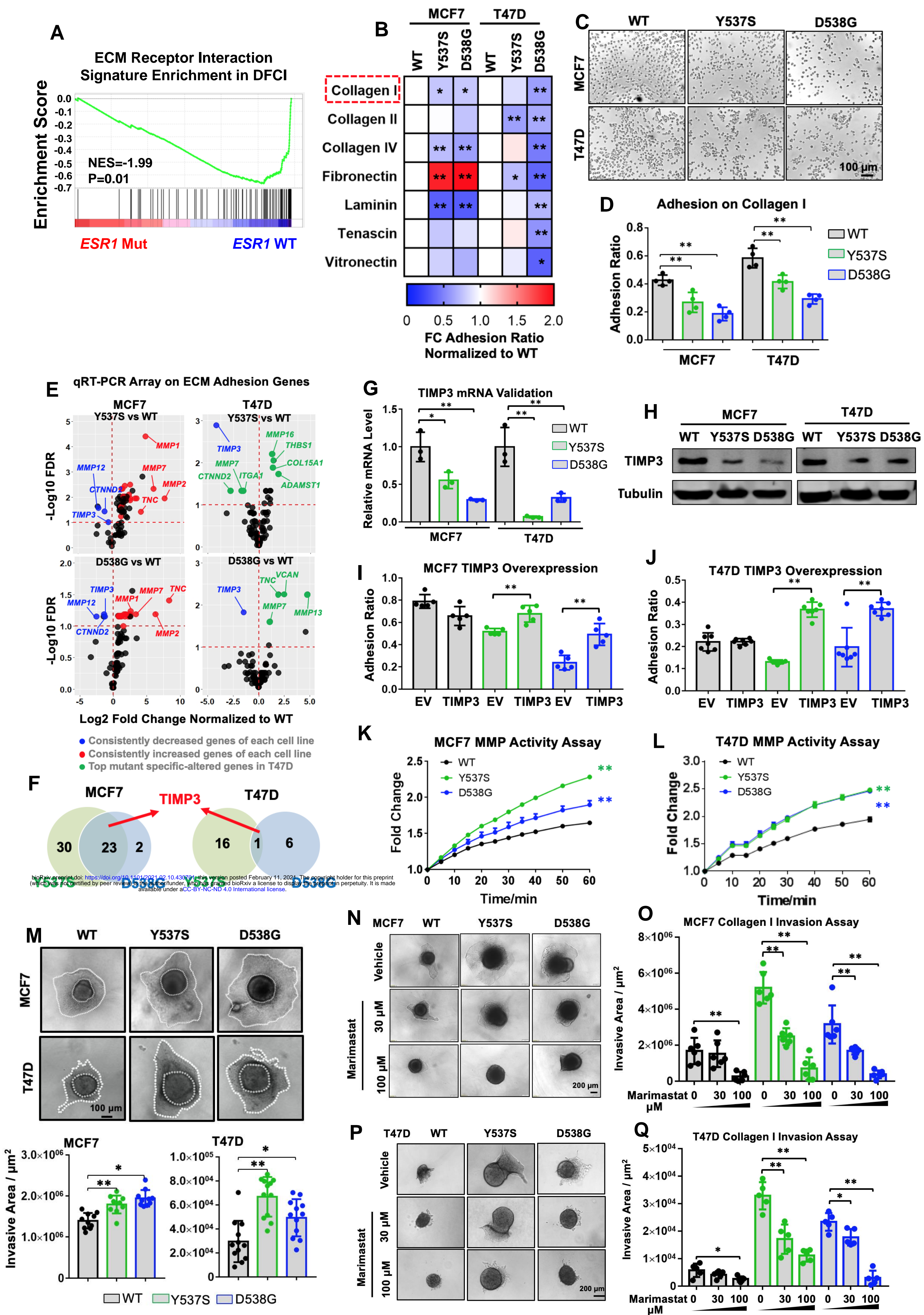
**Figure 2. *ESR1* mutant cells exhibit stronger cell-cell adhesion**





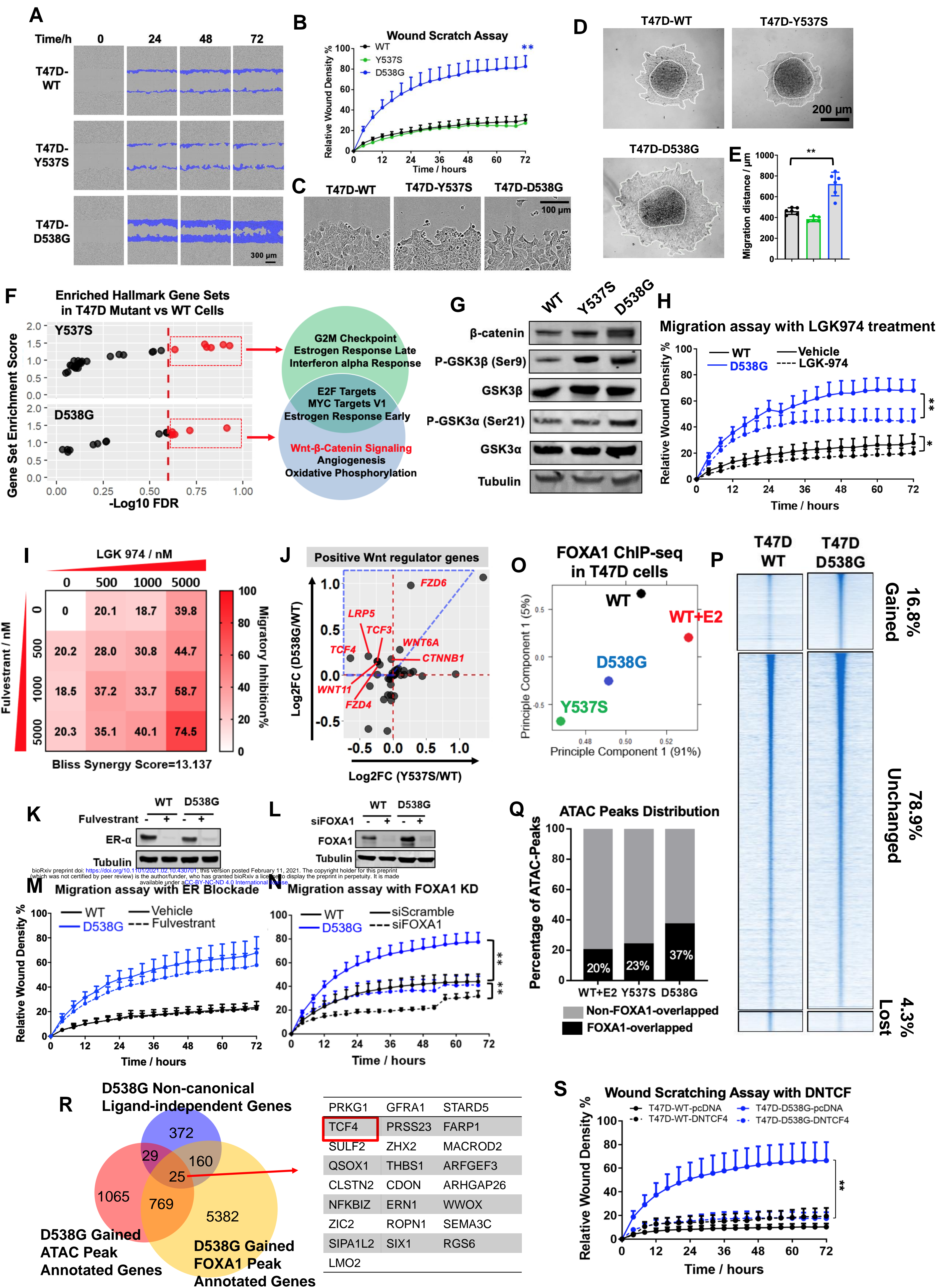
**Figure 3. Desmosome and gap junction adhesion reprogramming confers enhanced adhesive properties in *ESR1* mutant cells.**





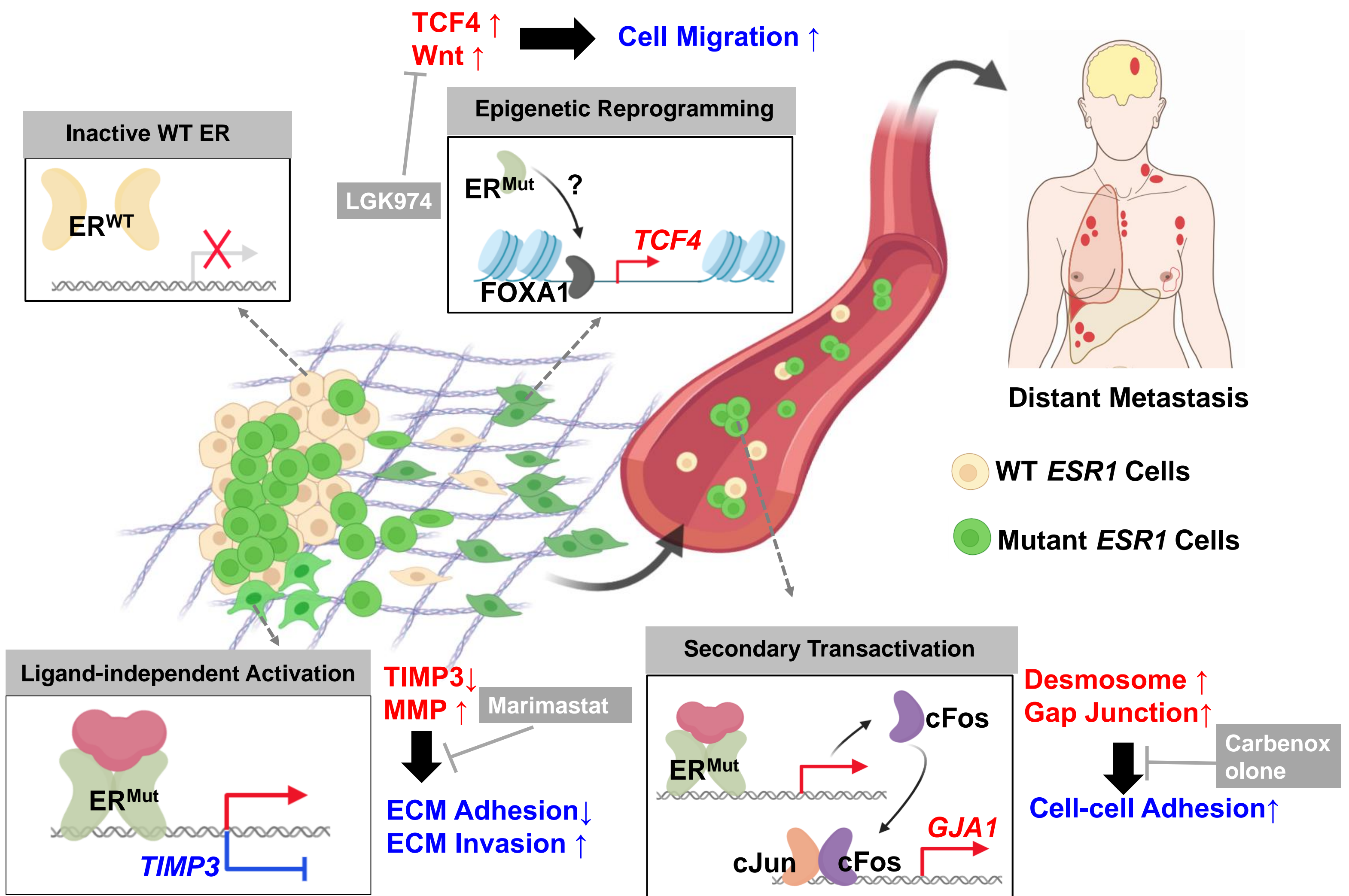
**Figure 4. *ESR1* mutant cells show diminished ECM adhesion and enhanced invasion via altered *TIMP3*-MMP axis.**





**Figure 5. D538G *ESR1* mutant cells escalate migratory properties via Wnt hyperactivation.**





**Figure 6. Schematic Model of *ESR1* mutation-driven metastases.**