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BRIEF REPORT

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Rapidly Increasing SARS-CoV-2 Neutralization by Intravenous Immunoglobulins

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Produced from Plasma Collected During the 2020 Pandemic

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SUMMARY: The ongoing COVID-19 pandemic has resulted in seroconversion of a

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significant proportion of the US plasma donor population, and thus SARS-CoV-2 neutralizing

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antibodies are now present in commercial immunoglobulin lots fractionated from US-sourced

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plasma.

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RUNNING TITLE: SARS-CoV-2 neutralization in commercial IVIG

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24 **Footnotes**

25

26 **Potential conflicts of interest**

27 Authors are employees of Baxter AG, Vienna, Austria, now part of the Takeda group of
28 companies. MRF, MK, RI and TRK have Takeda stock interest.

29

30 **Financial support**

31 This study was funded by Baxter AG, now a Takeda Company.

32

33 **Presented in part**

34 The results have not been presented anywhere else.

35

36 **Correspondence and requests for reprints**

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41 **Abstract**

42 Immunoglobulin (IG) lots (N=176) released since March 2020 were tested for SARS-CoV-2
43 neutralizing antibodies, with first positive results for September 2020 lots, mean = 1.8 IU/ml,
44 46% of lots positive. From there, values steadily increased, in correlation with the cumulative
45 COVID-19 incidence, to reach a mean of 36.7 IU/ml and 93% of lots positive by January
46 2021. Extrapolating the correlation, IGs could reach an anti-SARS-CoV-2 potency of
47 ~400 IU/ml by July 2021. At that stage, prophylactic IG treatment for primary/secondary
48 immunodeficiency could contain similar doses of anti-SARS-CoV-2 as convalescent plasma
49 which is used for treatment of COVID-19.

50

51 **Keywords**

52 Primary immunodeficiency; Secondary immunodeficiency; SARS-CoV-2; SARS coronavirus
53 2 antibody potency; neutralizing antibodies; COVID-19; intravenous immune globulin;
54 immunoglobulin; plasma; prophylaxis

55 **Background**

56 People with primary and secondary immunodeficiencies (PID / SID) need substitution therapy
57 with antibodies prepared from the plasma of healthy donors in the form of immunoglobulin
58 (IG) preparations. For emerging agents, however, the plasma donor community is initially
59 seronaïve, and thus IG preparations cannot afford protection against these new infectious
60 agents.

61 After the emergence of another zoonotic Coronavirus in humans, Severe acute
62 respiratory syndrome coronavirus-2 (SARS-CoV-2), it was initially unclear whether past
63 infection with other, seasonally circulating Human coronaviruses (HCoV) would have
64 induced cross-protective antibodies to the new virus. Using the gold standard virus
65 neutralization test this was shown not to be the case, i.e. intravenous immunoglobulins (IVIG)
66 produced from plasma collected before the Corona virus disease 2019 (COVID-19) pandemic
67 did not neutralize SARS-CoV-2 [1].

68 By the end of 2020, close to 100 million COVID-19 cases had been reported globally,
69 with almost a quarter of them in the US alone [2]. The US is quantitatively the most important
70 origin of plasma for fractionation [3], and thus an increasing proportion of the plasma supply
71 will be collected from donors post COVID-19, plasma that is now expected to also carry
72 antibodies to SARS-CoV-2.

73 Here, we report the results from an investigation into the seroconversion of the US
74 supply of plasma for fractionation, through testing of IVIGs derived exclusively from US
75 plasma for SARS-CoV-2 neutralization, which revealed rapidly increasing antibody titers
76 which correlated with cumulative COVID-19 incidence. Together with the characterization of
77 SARS-CoV-2 neutralizing antibody (nAb) titers in a large collection of COVID-19
78 convalescent plasma (CP) donations, this permits a near-term projection of potentially

79 protective SARS-CoV-2 antibody levels in future IG lots, particularly when used in a
80 prophylactic setting for substitution therapy.

81 **Methods**

82 **Immunoglobulin preparations**

83 A total of 176 IVIG lots (Gammagard Liquid; Baxter Healthcare Corp., Westlake Village,
84 CA) released between March 2020 and January 2021 from plasma collected by
85 plasmapheresis (source plasma) in the US were analyzed. For a subset of 12 of these IVIG
86 lots, information about the dates of plasma collection was obtained.

87

88 **COVID-19 convalescent plasma samples**

89 438 COVID-19 CP samples collected between March and July 2020 were obtained from
90 Austrian (n = 300) and US (n = 138) plasma donation centers (BioLife). The samples
91 originated from donors who had PCR-confirmed SARS-CoV-2 infections or who described a
92 disease progression consistent with COVID-19 and for which SARS-CoV-2 neutralization
93 was confirmed. Information on disease severity was requested from each donor. Donors
94 signed informed consent and agreed to additional testing.

95

96 **Measurement of SARS-CoV-2 and HCoV-229E neutralizing antibodies**

97 SARS-CoV-2 and HCoV-229E neutralizing antibody (nAb) titers were determined using
98 materials and methods previously reported [1]. Briefly, 2-fold serially diluted samples were
99 incubated with equal volumes of SARS-CoV-2 (strain “BavPat1/2020”, Charité Berlin,
100 Germany) or HCoV-229E (for IVIG samples, Cat. no. VR-740, ATCC, Rockville, MD) at
101 $10^{3.0}$ tissue culture infectious doses 50% per milliliter (TCID₅₀/ml) and incubated for 150 min
102 before titration on Vero cells (for SARS-CoV-2; Cat. no. 84113001, ECACC, Porton Down,
SARS-CoV-2 neutralization in commercial IVIG

103 Salisbury, UK) or MRC-5 cells (for HCoV-229E; Cat. no. CCL-171, ATCC) in eight-fold
104 replicates per dilution. The virus-induced cytopathic effect was determined after 5-7 days of
105 incubation. The reciprocal sample dilution resulting in 50% virus neutralization (NT₅₀; SARS-
106 CoV-2 detection limit in IVIG: $\leq 1:2$) was determined using the Spearman-Kärber formula,
107 and the calculated neutralization titer for 50% of the wells reported as 1:X. For further
108 analyses, samples with a neutralization titer below the detection limit were assigned a value of
109 0.5x the detection limit. The National Institute of Biological Standards and Control (NIBSC,
110 Potters Bar, UK) research reagent 20/130, for which a potency in international units has
111 recently been assigned [4], was included in the study and the concentration of SARS-CoV-2
112 nAbs therefore reported in IU/ml.

113 Testing was done using a fully validated analytical method (for SARS-CoV-2 nAbs) or a
114 controlled assay that included several validity criteria, i.e. confirmatory titration of input virus
115 infectivity and cell viability (for HCoV-229E nAbs).

116

117 **Graphs and statistical analysis**

118 Overall COVID-19 incidence in the US was taken from the Centers for Disease Control and
119 Prevention (CDC) COVID data tracker [2]. Data analysis and visualization was done using
120 GraphPad Prism v8.1.1 (San Diego, CA), R Studio v1.1.383 (Boston, MA), Minitab v. 17.3.1
121 (State College, PA) and Microsoft Excel.

122 Mean SARS-CoV-2 antibody values for IVIG lots released in a month were correlated
123 against the cumulative incidence of COVID-19 in the US that was recorded 6 months prior to
124 IVIG release, e.g. the mean antibody potency measured for IVIG lots released in September
125 2020 was correlated against cumulative COVID-19 incidence in the US in March 2020. A
126 log-transformed linear model and a polynomial regression model indicated comparable
127 quality of fit and both were used to calculate an extrapolation beyond the period for which
SARS-CoV-2 neutralization in commercial IVIG

128 antibody measurements were made. The slope at the highest observed cumulative incidence
129 (i.e. July 2020; 1.39%) was used for extending the models in a linear manner.

130

131 **Results**

132 **SARS-CoV-2 and HCoV-229E neutralizing antibodies in commercial IVIG preparations**

133 SARS-CoV-2 nAbs were undetectable for IVIG lots released to the market between March
134 and August 2020 (n = 63). For IVIG lots released in September 2020, 12 of 26 lots (46%)
135 were seropositive with a mean SARS-CoV-2 nAb concentration of 1.8 IU/ml (Figure 1A).
136 From there onwards, the proportion of SARS-CoV-2 nAb positive IVIG lots steadily
137 increased, with mean nAb concentrations of 3.0 (n = 7/13; 54%) in October, 4.8 (n = 20/30;
138 67%) in November, 12.1 (n = 16/17; 94%) in December, and 36.7 (n = 25/27; 93%) IU/ml for
139 January 2021, respectively (Figure 1A).

140 HCoV-229E nAb titers of the same IVIG lots remained at similar levels throughout
141 the period surveyed (Figure 1A) and the observed variations in HCoV-229E nAb titers were
142 similar to previously observed ranges [1].

143

144 **COVID-19 incidence in the US and development of SARS-CoV-2 antibody content**

145 For the first 12 IVIG lots that contained measurable SARS-CoV-2 nAbs, the time of
146 collection for the several thousand plasma units used for production was analyzed. On
147 average, plasma was collected six months before IVIG lot release. Thus, the cumulative
148 incidence as the underlying cause for the seroprevalence in any given month would be
149 expected to determine the level of antibodies present in IVIG lots released six months later.
150 The low SARS-CoV-2 antibody content of IVIG lots released in September 2020 would thus
151 on average be derived from plasma collected in March 2020, when the cumulative COVID-19

152 incidence was 0.06% of the US population (Figure 1A). By July 2020, the cumulative
153 incidence had increased to 1.39%, i.e. about 20-fold, and similarly the mean SARS-CoV-2
154 antibody concentration increased from 1.8 IU/ml for September 2020 IVIG lots, to 36.7 IU/ml
155 for IVIG lots released in January 2021 (Figure 1A). Based on the increasing cumulative
156 COVID-19 incidence since August 2020, an estimation of SARS-CoV-2 nAb concentrations
157 in future IVIG lots is possible (Figure 1B). Given a cumulative incidence of 7.94% in
158 January 2021, IG lots to be released in July 2021 are expected to contain a mean SARS-CoV-
159 2 nAb concentration of around 400 IU/ml (Figure 1B).

160

161 **SARS-CoV-2 neutralizing antibodies in COVID-19 convalescent plasma samples**

162 A large collective of COVID-19 CP units (n = 438) was tested with the gold standard
163 neutralization assay for anti-SARS-CoV-2 potency and the results reported in relation to the
164 newly assigned WHO standard [4]. The mean SARS-CoV-2 nAb concentration was 301
165 IU/ml (Figure 2: IU/ml histogram, median 156, 20th percentile 75, 80th percentile 353, range <
166 2 - 6,937). A mean of 516 IU/ml was determined for all units above the median (n = 218) and
167 a mean of 945 IU/ml for the top 20% of CP characterized in this study (n = 88). No SARS-
168 CoV-2 nAbs were detected in the sample of one donor who had PCR-confirmed SARS-CoV-
169 2 infection. Most donors had experienced asymptomatic or mild COVID-19 (75% of
170 samples), around 9% of samples originated from donors who had recovered from severe
171 COVID-19.

172

173 **Discussion**

174 The cumulative incidence of past COVID-19 cases, i.e. the proportion of individuals expected
175 to be SARS-CoV-2 antibody positive, in the US in any given month forms the basis for
176 seropositivity in IG lots released to the market approximately six months later. This prediction

177 assumes a constant proportion of asymptomatic infections to COVID-19 cases, as they, too,
178 are expected to result in antibody positive plasma donors.

179 For the extrapolation of future SARS-CoV-2 neutralizing antibody concentrations in
180 IG, consideration of only past COVID-19 cases is very conservative. With large vaccination
181 campaigns under way at this moment, approximately 9.6% of the US population has already
182 been vaccinated by the end of January 2021 [2]. Vaccine-induced antibodies will thus further
183 increase the anti-SARS-CoV-2 potency of future lots of IGs, and based on the current
184 cumulative incidence of 7.94% in addition to a 9.6% vaccination rate, and higher mRNA
185 vaccine-induced antibody titers than post-COVID [5, 6], the anti-SARS-CoV-2 potency of
186 future IG products may potentially be higher than the SARS-CoV-2 potency extrapolated here
187 (Figure 1B).

188 To date, the level of SARS-CoV-2 neutralizing antibody titers required in IG to
189 provide protection against COVID-19 has not been determined. A comparison of the antibody
190 potency contained in CP, to those expected in IG soon may provide for some perspective.

191 In a large cohort of COVID-19 convalescents, a median anti-SARS-CoV-2 potency of
192 156 IU/ml was determined (Figure 2). The original US FDA emergency use authorization for
193 transfusion of CP required use of plasma at a potency of above the median, as determined by
194 a high throughput binding assay [7, 8]. The mean neutralization potency of above median
195 units of the cohort of samples tested here is 516 IU/ml. Joyner et al. [7] did, however, report
196 significantly better clinical success when using CP above the 80th percentile, the mean of
197 which in neutralization potency is 945 IU/ml. With about 200 ml used for CP transfusion, this
198 would equate to SARS-CoV-2-neutralizing antibody doses of 103,200 IU and 189,000 IU,
199 respectively.

200 By July 2021, IGs can be extrapolated to contain a mean potency of approximately
201 400 IU/ml, of which standard prophylaxis regimen for PID / SID would apply approximately

202 500 mg IG/kg, resulting in the administration of 350 ml IG for a 70 kg person, or a dose of
203 140,000 IU. While the total doses would be quite similar, it has become evident that CP
204 treatment was more successful when administered at early stages of COVID-19 [9, 10], i.e.
205 before extensive virus spread within respiratory and other organs. Regular IG substitution
206 therapy for the treatment of PID and SID represents prophylaxis, i.e. antibody administration
207 even before virus exposure, and thus should have a significantly better likelihood of success.
208 This prospect is of particular importance for PID patients, for whom a 10-fold higher COVID-
209 19 mortality rate has been reported [11]. The above calculations are quite conservative, as in
210 the US already now the proportion of vaccinated individuals has surpassed those with post
211 COVID-19, a trend that can be expected to accelerate in the months to come. In addition,
212 currently available data indicate superior levels of SARS-CoV-2 antibodies after mRNA-
213 based vaccines as currently in use in the US [5, 6]. Cumulatively, these circumstances would
214 appear to support rapidly increasing levels of SARS-CoV-2 antibodies in IG, so that IG-
215 mediated protection against COVID-19 for regularly substituted PID / SID seems quite
216 possible. More research to confirm the extrapolations from this study is currently under way.
217 For COVID-19 treatment, rather than prophylaxis, a hyper-IVIG manufactured exclusively
218 from plasma of COVID-19 convalescent donors is currently under evaluation in a phase III
219 clinical trial [12].

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264 **FIGURE LEGENDS**

265

266 **Figure 1** (A) SARS-CoV-2 (●) neutralizing antibody concentration and Human coronavirus
267 229E (■) neutralizing antibody titers in 176 commercial IVIG lots manufactured from March
268 2020 until January 2021; mean values \pm 95% confidence interval are indicated, the number of
269 IVIG lots for which SARS-CoV-2 neutralization was detected and the total number of IVIG
270 lots tested are indicated for each month. SARS-CoV-2 incidence (\diamond) in the US population
271 (confirmed cases per million) is shown. (B) Prediction model of SARS-CoV-2 antibody
272 development in future IVIG lots. Significant curvilinearity (red solid line; P-value = 0.006)
273 was seen in the relationship between -6 months COVID-19 cumulative incidence in the US
274 population and measured SARS-CoV-2 antibody concentrations in IVIG lots (\blacklozenge). The slope at
275 the upper end of the observation range was used for linear extrapolation (grey dotted line) of
276 SARS-CoV-2 antibody concentrations in IVIG lots that will be released in the coming months
277 (●). Abbreviations: IVIG, intravenous immunoglobulin; IU/ml, international units per
278 milliliter; μ NT50, 50% neutralization titer; SARS-CoV-2, Severe acute respiratory syndrome
279 coronavirus 2.

280

281 **Figure 2.** Characterization of 438 COVID-19 convalescent plasma samples collected in
282 Austrian and US BioLife Plasma centers. SARS-CoV-2 neutralizing antibody content is
283 reported as \log_2 [IU/ml] against relative frequency of occurrence (%). Median, 20% and 80%
284 percentile values are indicated as IU/ml. Abbreviations: IU/ml, international units per
285 milliliter; SARS-CoV-2, Severe acute respiratory syndrome coronavirus 2.

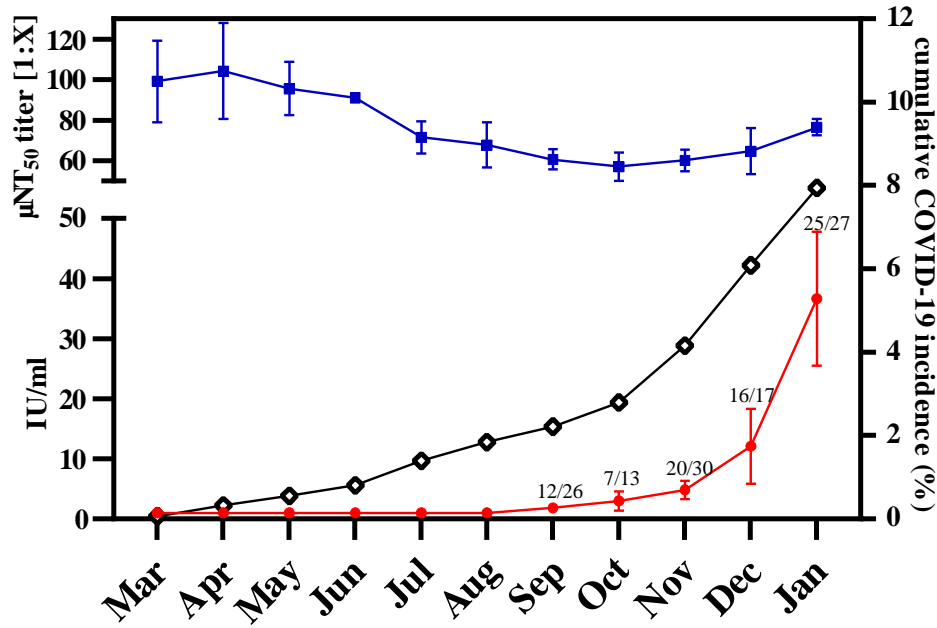
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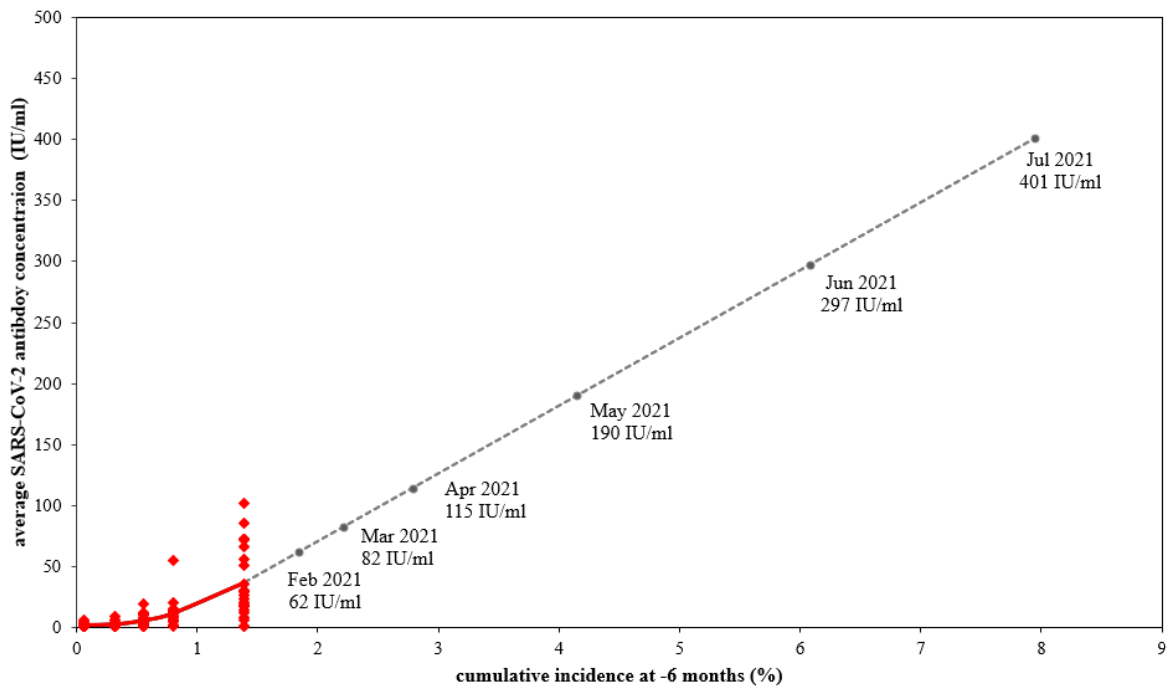
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290 **Figure 1A.**



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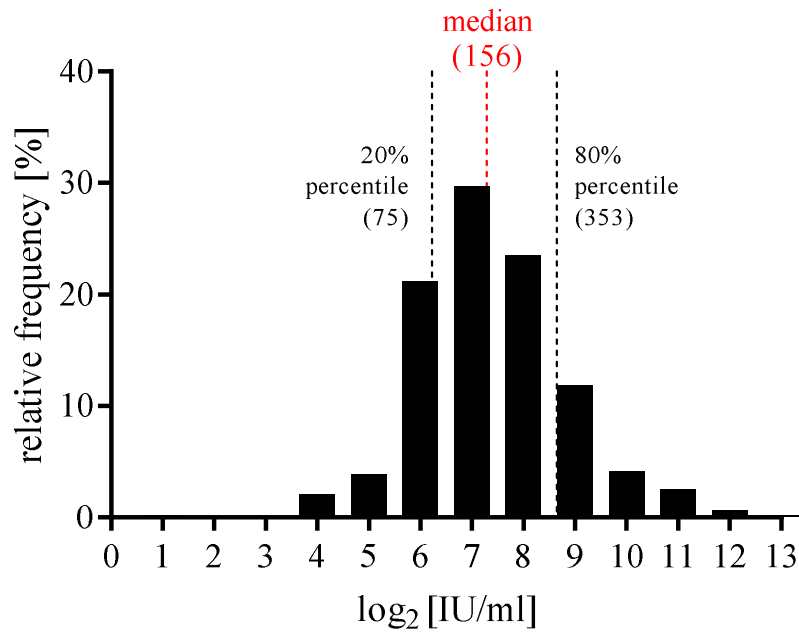
292 **Figure 1B.**



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295 **Figure 2.**



296