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1	What are housekeeping genes?
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25 Abstract

- 26 Two gene classes that have proven useful in understanding the phenotypic states of cells are
- 27 housekeeping genes and essential genes. Housekeeping genes are often defined as stably expressed in
- 28 mRNA expression experiments, as essential for cellular maintenance in functional analyses, or both. This
- 29 imprecise definition can suggest that stably expressed genes are essential for cellular maintenance.
- 30 Although defining whether there is a relationship between stable expression and essentiality (deleterious
- 31 if not expressed) would not only aid in the design of experiment controls but could also reveal some
- 32 fundamental biological principles, this question has not been formally approached. Gini coefficient has
- been proposed to identify housekeeping genes that we refer to as Gini genes. We use transcriptomics and
- 34 functional genomics data to identify and characterize Gini genes in several human datasets, and across 12
- 35 species, that include human, chicken, and *C. elegans*. We show that Gini coefficients are highly correlated
- across human tissue and human cancer datasets. We also show that the Gini coefficients of Gini genes
- that are conserved (1:1 human orthologs) across different organisms can capture taxonomic groups such
 as primates. We find that essential genes tend to have lower Gini coefficients suggesting that Gini genes
- may also be essential. Thus, we provide here not only experimental basis for defining housekeeping
- 40 genes; we also show that these genes capture organism-specific biology.

41 Significance

- 42 Housekeeping genes are considered to be consistently expressed across cell types due to being essential
- 43 for cellular maintenance. These genes have been known to have unique evolutionary and genomic
- 44 features, to be markers of organismal health, and for benchmarking gene expression experiments. Here
- 45 we present the first quantitative experimental support for this definition. We further show that across
- species the list of housekeeping genes can vary drastically, despite being highly correlated at pathway-
- 47 level. Finally, we provide a resource and computational pipeline for identifying housekeeping genes and
- 48 lists of housekeeping genes for 12 different organisms.

49 Introduction

- 50 Analysis of large-scale "omics" data is now commonplace¹, applied to a gamut of questions²⁻⁵ and
- organisms $^{6-13}$. This situation is rife with opportunities to study the molecular bases of phenotypes and
- 52 biological principles within and across organisms^{11,14,15}. One key feature in all organisms is housekeeping
- 53 genes. Housekeeping genes are often identified by being stably expressed in all samples/conditions
- 54 (tissues, environments, cell lines, etc.)¹⁶. Additionally, the most pervasively used definition invokes
- essentiality (as in, required or necessary for cell survival) $^{16-20}$. However, stability (similar expression
- across cell types and conditions) and essentiality (loss-of-function) are two very different features of a
- 57 gene with different levels of regulation, that manifest at different levels of organization, and have not
- 58 been shown to be related. Here, we present an experimental basis for this definition, and define
- 59 housekeeping genes for several species.
- 60 Predefining housekeeping genes for an organism brings several potential benefits. At the experimental
- 61 level, it can save in troubleshooting for the identification and validation of mRNA expression controls in
- 62 difficult cell types (i.e. reticulocytes) and unique samples (i.e. patient biopsies) analyzed via
- transcriptomics²⁰ and quantitative real-time PCR $(qRT-PCR)^{21}$, as well as more robust ways to normalize
- 64 the growing number of single-cell RNAseq studies. Indeed, one can look for expression levels for these
- 65 genes, as has been done historically. However, a better list of candidates may be possible if there was a
- 66 way to systemically identify a large list of these genes from transcriptomics datasets.

- 67 At the systems level, housekeeping genes can be defined as the minimal set of genes required to sustain
- 68 life²² and markers of an organism's healthy biological state²³. At the evolutionary level, they may allow us
- to define organism-specific unique genomic²⁴⁻²⁶ and evolutionary features²⁶⁻²⁸. Thus, knowledge of
- 70 housekeeping genes can significantly contribute to explorative, basic, and translational studies. Despite
- these and other potential benefits, a list of housekeeping gene candidates for multiple species has not yet
- been produced.
- 73 Recently, we presented StanDep, a pipeline for constructing context-specific metabolic network models.
- 54 StanDep effectively captures metabolic housekeeping genes, defined as genes expressed in most of the
- analyzed contexts (tissues, cell types, cell lines, etc.)²⁹. The ability of StanDep to capture housekeeping
- 76 genes can be attributed to its effectiveness at capturing transcriptomic variability among different
- samples. Other recent efforts have been made to identify housekeeping genes 16,21,30,31 . A particularly powerful approach is a mathematical framework called GeneGini^{28,30,31} which leverages the Gini
- 73 powerful approach is a mathematical ma
- Economics, lower Gini coefficients mean lower income inequality. Similarly, in the framework of our
- work, the G_C of a gene is proportional to the inequality in its expression across samples³⁰. Therefore,
- genes with a low G_C (referred to as Gini genes here on) are stably expressed, and could be considered
- housekeeping genes. However, many questions remain about Gini genes. Do these genes retain their
- housekeeping status across species? Which cellular functions are they responsible for? How essential are
- they? Answers to these questions are central to the definition of a housekeeping gene and efforts to
- 86 understand their biological relevance.
- 87 Here, we used the G_C approach to identify Gini (or housekeeping) genes in human tissue and cell
- 88 lines^{7,10,33-36}. We show that G_C values were highly correlated across human datasets and that the
- 89 correlation was higher between datasets of similar samples (e.g. correlation between GTEx and HPA was
- 90 higher than that between GTEx and CellMiner). We also applied G_C analysis to transcriptomics datasets
- 91 of 12 different organisms which include those in Brawand et al. ³³, humans^{10,34,35,37}, *C. elegans*⁶, Chinese
- hamster tissues³⁸ and Chinese hamster ovary (CHO) cells. We show that the list of Gini genes may
- 93 capture species relevant features and yet maintain a high across-species correlation when analyzed as GO
- terms. Using CRISPR-Cas9 essentiality screen of CHO^{39} and cancer cell lines^{40–42}, and whole-animal
- 95 essentiality RNAi screening of *C. elegans*, we show that essential genes tend to have lower G_C. Further,
- 96 we also show that Gini genes and essential genes significantly overlap in their functions. Further, we
- 97 provide a list of housekeeping genes with their Gini coefficient for each of the datasets used in this
- analysis. Thus, our analysis provides an experimental basis for the concept of housekeeping gene.

99 **Results**

100 GAPDH may not be a good candidate as a housekeeping gene

- 101 Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) is the most commonly used housekeeping gene to
- 102 benchmark expression of other genes in qRT-PCR analyses. To define the appropriateness of GAPDH as
- 103 a housekeeping gene, we started with previously published transcriptomics data belonging to CHO cells,
- 104 hamster tissues³⁸, human tissues from Genotype-Tissue Expression (GTEx) project³⁴ and Human Protein
- 105 Atlas $(\text{HPA})^{10}$, and NCI-60 cancer cells (Klijn et al.³⁵, and CellMiner⁴³). We calculated the G_C for these
- 106 datasets and then compared the G_C of GAPDH across the 7 datasets.
- 107 Low G_C represents low variability in level of expression across tissues or samples, as would be expected
- 108 for housekeeping genes. However, our analyses indicated that the G_C values for GAPDH were very
- 109 different across all human and hamster datasets. For instance, Klijn et al. (i.e., NCI-60 cell lines) showed
- 110 the lowest G_C value was at 14.6 percentile and hamster tissue had the highest G_C value at 57.6 percentile

- 111 (Figure 1A). The G_C values in human datasets varied by 18 percentiles while hamster and CHO data
- 112 differed by 35.3 percentiles. Thus, the high variability in G_C percentiles indicate that GAPDH may not be
- a good candidate as a housekeeping gene as it is not as stably expressed as generally thought.





Figure 1. Analysis of previously identified housekeeping genes. (A) Glyceraldhyde 3-phosphate dehydrogenase

(GAPDH) may not be a good choice for housekeeping gene. Gini coefficients were converted to percentiles (x-axis)

using each of the datasets (y-axis). GAPDH has high Gini coefficient in most of the datasets. (B) Coverage of
 previously identified 3688 housekeeping genes¹⁶ within the 3688 Gini genes with lowest Gini coefficients within

119 each of the datasets.

120 Gini coefficient identifies consistently expressed genes across different datasets

121 Housekeeping genes, by definition, should have low G_C (low inequality across samples). To test whether

122 Gini genes had low G_C, as expected for housekeeping genes, we first tested whether Gini genes are found

123 across two datasets of the same organism. For this we compared the list of Gini genes we extracted from

124 human tissue datasets from HPA and GTEx, and from the NCI-60 Cancer datasets from CellMiner and

125 Klijn et al. We further compared the Gini genes to a previously published list of 3688 housekeeping

- 126 genes¹⁶. For this analysis, we chose 3688 Gini genes for each dataset.
- 127 15687 genes were present in both human tissue datasets, 16052 genes were present in both NCI-60 cancer
- datasets, and 14327 genes were present in all 4 datasets. From each dataset, Gini genes were the 3688
- 129 genes that have the lowest G_C to account for different shapes of Gini coefficient distributions (Fig. S1A).
- Gini genes obtained from combining lists from datasets of same sample types had a coverage of 81.4%
- 131 and 69.8% of the 3688 previously reported housekeeping genes, for tissue datasets and NCI-60 cancer
- 132 datasets, respectively (Figure 1B). Further, the G_C for genes present in a given pair of datasets were
- highly correlated, and more so for datasets of the same sample types (Figure 2A). Yet, we found that for
- all datasets, previously identified housekeeping genes had low G_C values (Fig. S1B).
- 135 The lower accuracy of cancer datasets is expected as previously identified housekeeping genes were
- 136 defined using human tissue transcriptomics. However, since both the datasets are of human cells, this is
- also reflected in, not only the correlation coefficient but also in the ~70% accuracy of the combined
- 138 prediction from cancer datasets. In the absence of data to remove batch effects, it is difficult to control for
- 139 other factors. Cross comparison of G_C of Gini genes revealed that the median of these genes was very
- similar (Fig. S2). These results together suggest that Gini genes for a given species will consistently have
- 141 low G_C regardless of sample types or dataset being considered.



associated to a GO term
 Figure 2. Gini coefficients are highly correlated for human datasets. (A) Gini coefficients of genes are highly

- 144 correlated across human datasets, regardless of sample type. Datasets of sample types are more highly correlated
- 145 than those of different sample types. (B) GO term coverage is highly correlated across human datasets.

146 Gini genes preserve organism-specific information

- 147 The correlation of G_C across datasets supports the idea that the Gini genes belong to similar pathways
- 148 across datasets. To test this hypothesis, we performed GO term enrichment analysis on the Gini genes
- 149 identified in the transcriptomic analyses just described above.
- 150 Across the sample types, we found 1189 GO terms enriched in at least one dataset (Fig. S3, Table S1). To
- 151 minimize undesired influence from changes in the number of subject or query genes for the
- 152 hypergeometric test, we analyzed all the 1189 GO terms across all datasets. Background frequency of a
- 153 GO term was defined using all the genes in each dataset for which G_C was calculated. We found that
- 154 coverage of these GO terms (ratio of number of Gini genes to the number in the background in a GO
- term) was highly correlated across datasets ($\rho_{mean} = 0.93$ across 6 pairs of datasets; Figure 3E (red box)).
- 156 This comparison is shown for dataset pairs involving GTEx in Figure 2B. These results suggest that
- 157 human Gini genes are enriched for some biological functions. However, the high correlation in enriched

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- 158 GO terms between these datasets could also be due to datasets belonging to the same organism, i.e.
- 159 humans.

160





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- 164 Principal component 1 (PC1) also captures the cluster containing primates. Also shown are the top 20 primate Gini
- genes (pink), the top 20 shared Gini genes (green), and top 20 Gini genes in all non-primates (blue) using the
- 166 principal component coefficients of the first principal components. (D) Correlation among Gini coefficients across
- 167 different organisms reproduce cluster containing primates (left panel). The Gini coefficients of genes belonging to
- top 20, middle 20, and bottom 20 coefficients of PC1 are shown (right panel). Left 20 Gini genes are specific to non-
- 169 primates, middle 20 Gini genes are shared, and right 20 Gini genes are specific to primates. (E) GO term coverage is
- highly correlated across different datasets, also shown are the GO term correlations with human datasets used inFigure 1
- **171** Figure 1.
- 172 Next, we adapted and applied our analysis to a previously published 9 endothermal organisms³³ dataset
- that includes chicken, platypus, opossum, mouse, macaque, orangutan, gorilla, chimpanzee, and humans.
- 174 Since most of these organisms do not have a Gene Ontology available, we analyzed only the 1:1 orthologs
- across all these organisms, i.e. 5423 genes. Another advantage is also that one can control for the number
- 176 of subject genes which will be same as the 5423 genes; effectively removing the influence of sample size
- 177 in the statistical tests. For the purpose of this exercise, Gini genes were defined by applying a threshold at
- 178 17.5 percentile.
- 179 Interestingly, we found that Gini genes (Figure 3A and 3B) and correlations of Gini coefficients (Figure
- 180 3C) of 1:1 orthologs were able to cluster primate mammals from egg laying chicken and metronome
- 181 (platypus), and marsupials mouse, and opossum. Different from the analyses of human datasets shown
- above, we found lower correlations among Gini values when comparing the 9 endothermal species than
- 183 those found amongst human datasets (Figure 3B). Importantly, Principal Component Analysis (PCA) on
- 184 these data was able to reproduce the cluster consisting of primates and the cluster consisting of other
- 185 organisms (Figure 3C, dendrogram). The first two principal components accounted for 45.4% of the
- 186 explained variation (Fig. S4). The first principal component determined the primate cluster; and, at the
- same time, revealed genes for which expression pattern remained conserved across tissues for all
 organisms (Figure 3D, green), primates only (Figure 3D, pink), or non-primates only (Figure 3D, blue).
- 188 organisms (Figure 5D, green), primates only (Figure 5D, pink), or non-primates only (Figure 5D, blue). 189 Interestingly, similar to human datasets, the coverage of GO terms (Table S2) associated with Gini genes
- 190 was highly correlated across all the organisms (Figure 3E (black box)). These results together show that
- 191 Gini genes contain important information about species-specific biology, yet higher-level features, such
- 192 as GO terms, are shared by all Gini genes.

193 Gini genes are essential

- 194 Considering Gini genes are similar across different organisms, they are likely to also be essential for
- 195 survival of these organisms. Due to technological and ethical limitations, it is difficult to test all
- 196 organisms mentioned above. Therefore, we compared gene essentiality in CRIPSR-Cas9 screens with
- 197 Gini genes of CHO and human cancer cell lines. For cancer cell lines, we used CRISPR guide-RNA score
- 198 (log-fold change of guide-RNA) from Depmap^{40,41}. For CHO cells, we used the list of genes from a
- 199 published study (Table S6 for accession IDs).
- 200 Across 20 cancer cell lines, 72% of Gini genes had a negative CRISPR score; and thus, were essential.
- 201 We also found that 2800 genes essential in all 20 cancer cell lines also had lower G_C, when calculated
- using transcriptomics data for 20 cell lines from Klijn et al. and CellMiner (Figure 4A and B). Similar
- 203 results were observed for 338 essential genes in CHO (Figure 4C). Thus, suggesting that essential genes
- 204 have lower G_C and are more likely to be Gini genes too.
- 205 Since gene essentiality is context and health-status dependent, we investigated the correlation between G_C
- and gene essentiality in a healthy living animal model. We identified Gini genes in *C. elegans* using a
- 207 previously published transcriptomics dataset⁶ and whole animal RNAi screen of all 1535 predicted
- 208 metabolic genes in the worm (Ke W. and Drangowska-Way A. *et al*, unpublished). Three relevant

- 209 phenotypic classes were observed in RNAi-treated C. elegans: 1) high-confidence essential, after 5 days
- 210 of incubation at 25C animals were arrested at a pre-adulthood stage in \geq 5 out of the 6 independent RNAi
- 211 treatments against that gene; 2) Medium confidence essential, animals did not reach adulthood in >3 out
- 212 of the 6 independent RNAi treatments; and 3) Wild-type (Please see Supplementary Methods for details).
- 213 Here, too, we found that essential genes (high confidence and medium confidence classes) had
- significantly lower Gini coefficients than the rest of the tested genes (Table 1, Table S4). 214





Figure 4. Gini genes are essential. Gini coefficients of essential genes compared to the complete (A) CellMiner, 217 (B) Klijn et al. cancer datasets, and (C) CHO datasets. 2800 genes essential in 20 cell lines were extracted from 218 DepMap^{40,41}, and 338 CHO essential genes (Table S5) were extracted from Kai et al.³⁹ (D) GO term coverage of 219 essential genes and that of Gini genes from CellMiner (blue, 0.8557), Klijn et al. (yellow, 0.8907), and CHO (green, 220 0.7055) are correlated. The slightly lower correlation in CHO cells is likely due to fewer number of essential genes

221 in CHO.

Geneset	Geneset definition	Number of genes in each class	Number of genes for which G _C was calculated ^(a)	$\begin{array}{l} \text{Sign test} \\ (\text{median} \\ G_{\text{C}}^{\text{geneset}} < \\ \text{median} \ G_{\text{C}}^{\text{all}}) \end{array}$
G1	High confidence essential	48	38	5.808 x 10 ⁻⁵
G2	Medium confidence essential	64	49	0.0427
G1 + G2		112	87	4.5304 x 10 ⁻⁵
G3	Wild-type	1095	532	0.9814
G4	Untested ^(b)	174	97	0.0335
G1 + G2 + G4		286	184	9.3050 x 10 ⁻⁵
G5	Unknown ^(c)	94	58	0.347

222

Table 1. Whole animal essential genes in C. elegans have significantly lower Gini coefficient than non-

223 essential genes. (a) Numbers in this column are smaller than in column C because genes with G_{C} equal to zero were 224 excluded from the analysis. (b) Untested includes genes with strong effects on health and/or development that 225 prevented us from obtaining large enough populations of worms for quantitative analyses. These observations are in 226 agreement with the low gene essentiality correlation p value observed for this class. (c) Untested core metabolic 227 gene due to lack of RNAi clone or other technical limitations.

228 GO terms of Gini genes are highly correlated across different datasets and organisms (Figure 2B, Figure

229 3E). Thus, we also performed GO term analysis for essential and Gini genes in CHO and cancer cell lines.

230 The analysis of cancer cell lines revealed that coverage of GO terms for the 2800 essential genes is highly

231 correlated with that of same number of Gini genes identified using Klijn et al. (Figure 4D, yellow; $\rho =$

232 0.8907) and CellMiner (Figure 4D, blue; $\rho = 0.8596$). A similar comparison between CHO essential

233 genes and Gini genes (at 17.5 percentile) also resulted in a high correlation of $\rho = 0.9557$ (Figure 4D,

234 green). Together these results suggest that Gini genes and essential genes show the same distribution, and

235 hence, are likely largely overlapping.

Discussion 236

237 Historically, housekeeping genes have been defined as genes that are consistently expressed across

238 tissues, and often thought to be essential. Extending from this definition, genes qualified as

239 "housekeeping" are extensively used in benchmarking and normalizing gene expression results in diverse

experimental settings, including qRT-PCR, bulk and single-cell transcriptomics, in situ hybridization, 240

241 western blots, FACS, etc. Further, housekeeping genes are expected to convey important information

242 about the needs of an organism. However, systematic investigation of whether the underlying biology

243 supports the current definition of housekeeping genes has been mostly lacking (Supplementary Results).

244 Thus, we extensively evaluated the claims of this definition by spanning our analysis across datasets

245 belonging to a variety of organisms. As a result, we provide an experimentally supported list of Gini

genes (Table S3) and formalize the evidence in support of the notion that we can call these Gini genes 246

247 housekeeping genes as they are expressed across tissues and species. Further, we validate the notion that

248 housekeeping genes are enriched in, though not necessarily are, essential genes.

- 249 Gini coefficient (G_C) is a statistical metric that allows one to identify inequality in gene expression across
- different samples. G_C has recently been shown to identify housekeeping genes in human cells^{30,31}. 250
- However, it remained unclear whether housekeeping genes are "housekeeping" across species. Besides, 251
- application to other datasets and organisms, we also study the properties of G_{C} -identified housekeeping 252

253 genes. We referred to these genes with low G_C as Gini genes. The low G_C of these genes suggests that

they are more equally, i.e. consistently, expressed across samples. Therefore, we here show that Gini genes are expressed at a wide range of expression levels, they are likely to be essential, and that they

256 share functions across different datasets.

257 Scientific articles often define housekeeping genes as being required for cellular maintenance. However, 258 they are most often identified through searching for genes with consistent expression across samples. 259 Thus, though two important properties of housekeeping genes are: (i) they belong to cellular maintenance 260 pathways; and hence, (ii) their functions are "essential", to the best of our knowledge, there has not been a 261 study that quantitatively tests the basis for neither of these two implicit, and often explicit, claims. This is despite the reality that the importance of characterizing a list of essential genes has garnered significant 262 interest^{44–47}. Hence, here we quantitatively test these claims about housekeeping genes. Firstly, for the 263 264 claim of essentiality, using CHO and cancer gene essentiality CRISPR-Cas9 screens, we show that the majority, but not all, of consistently expressed genes (Gini genes) are essential. Secondly, we show that 265 266 there is a high correlation in GO terms derived from Gini genes from different datasets, suggesting that 267 Gini genes are indeed coming from population of genes with similar molecular functionalities, as 268 described by GO terms, across different datasets. However, given the vagueness of the term "cellular 269 maintenance", it is rather arbitrary to decide whether housekeeping genes are preferentially associated to

this term.

271 Another gap in the current understanding of housekeeping genes is whether they are "housekeeping"

272 across species. Gini genes calculated using multi-organism datasets showed high GO term correlation

across organisms, suggesting conservation of housekeeping pathways. However, this correlation across

species is slightly reduced when compared to the correlation across human datasets (Figure 3E). It is

275 possible that this reduced correlation in due to data limitations. The pathway analyses presented here were

done using human GO terms; therefore, genes from any given organism were mapped to corresponding

human orthologs and gene identifiers. Of course, this process eliminated many Gini genes. In fact, the 1:1

278 ortholog-based GO term analysis used here resulted in eliminating, on average, 69% of the Gini genes

from each of the 9 organisms analyzed. Therefore, availability of gene ontology beyond model organisms

280 can provide molecular insight into species-specific biology.

281 Until now, the concept of housekeeping was often described using a list of genes; in the framework of G_C,

282 selecting housekeeping genes would require thresholding such that genes which have a G_C below a

certain value may be regarded as housekeeping genes. However, thresholding eliminates possibly

284 meaningful information. Indeed, our PCA of genes showed that principal component containing the

highest variation (39.5%) did not explain Gini values in distinctly some of the 9 organisms (Fig. S5). Gini

values for large number of genes were needed to capture species-specific clusters. In this study, we also

show that even though fewer GO terms were enriched in all the datasets or organisms, the coverage of

288 GO terms that were enriched in each dataset was highly correlated across datasets. These results suggest

that housekeeping functions, rather than a list of genes, are better described as the state of the organism.

290 This explanation has been suggested previously⁴⁹. To test such a hypothesis, there would be a need to

prepare models of these organisms at multiple levels of regulation that could simulate and quantify an

organismal phenotype. Then, one could possibly test, for example, if carbon flux across different tissues

of organisms is correlated. Indeed, this means there is a need for standardized models for diverse set of 50.51

294 $\operatorname{organisms}^{50,51}$.

295 Key molecular similarities likely underlie the physiological similarities between related species. By

crossing Gini coefficients with CRISPR-Cas9 essentiality screens and GO terms we may have captured

some of these key molecular similarities as our analysis was able to distinguish primate from non-primate

- 298 endotherms. On the other hand, even though animals seem phenotypically very different they share
- 299 molecular similarities that we can capture at the level of GO terms, even if not at the level of specific
- 300 gene IDs. Nevertheless, what is essential across environmental contexts and taxonomic groups, if
- 301 anything, is worth future investigation. Our study only scratches the surface of the answer to these
- 302 questions and shows the need for organism-specific tools and models; but not just for model organisms,
- 303 we need models for a diverse set of organisms. Our study suggests that analysis of the ever-increasing
- 304 "omics" datasets presents an opportunity for better understanding of the biological functions fundamental
- 305 to sustain life and drive evolution.

306 Method

307 Literature search

- 308 We performed a literature search using Harzing's Publish or Perish 7^{52} to extract the top 1000 hits from
- 309 Google Scholar for the query keywords: housekeeping, genes, maintenance, and required. The list of top
- 310 1000 papers was downloaded to an excel sheet for further analysis and visualization on MATLAB.

311 Data extraction

- 312 Transcriptomic datasets were obtained from various sources (Table 2). To resolve differences in gene
- 313 identifiers, we mapped all to NCBI Entrez gene identifiers using BioMart, within the Ensembl website.
- 314 When genes did not map to an NCBI gene identifier, we discarded these genes from the analyses.

Organism (sample type)	Data source	Modifications	
Transcriptomics			
Human (tissues)	HPA^{10}	-	
	Brawand et al., 2011 ³³	Converted to TPM from read	
		per base	
	GTEx ³⁴		
Human (NCI-60 cancer cell	CellMiner ⁴³	-	
lines)	Klijn et al. 2015 ³⁵	-	
C. elegans (cell types)	Cao et al., 2017^{6}	-	
Chicken, Platypus, Orangutan,	Brawand et al., 2011^{33}	Converted to TPM from read	
Bonobo, Gorilla, Chimpanzee,		per base	
Macaque, Mouse, Opossum			
(tissues)			
Chinese hamster (tissues)	Shamie I.S.*, Duttke S.H.*, la		
	Cour Karottki K.J., Han C.Z.,		
	Hansen A.H., Hefzi H., Xiong		
	K., Li S., Roth S., Tao J., Lee		
	G.M., Glass C.K., Kildegaard		
	H.F., Benner C., Lewis N.E. A		
	Chinese hamster transcription		
	start site atlas that enables		
	targeted editing of CHO cells.		
	bioRxiv, (2020). DOI:		
	10.1101/2020.10.09.3340453		
Chinese hamster ovaries (cell	See Table S6 for accession IDs		
lines)			
Essentiality screens	40.42		
Human (NCI-60 cancer cell	DepMap ^{40–42}	-	
lines) – CRISPR-Cas9			

CHO cell lines – CRISPR-Cas9	Kai et al. 2020 ³⁹ and Table S5	-
C. elegans (cell types) - RNAi	Unpublished study provided by	-
	Eyleen J. O'Rourke; method	
	described in Ke et al. 2018 ⁵³ and	
	Supplementary text.	

315 Table 2. Data sources used for this study.

316 Gini Coefficient (G_C)

- 317 The G_C measures the inequality in frequency distribution of a given parameter (e.g., levels of income,
- income mobility⁵⁴, education⁵⁵, etc.) compared to the frequency distribution of total population³². For
- analysis of transcriptomic data, the parameter is expression of a given gene and is compared against the $\frac{30}{10}$
- 320 total gene expression is distributed across different samples³⁰. The G_C is calculated as the ratio of area
- between the Lorenz curve and line of equality over the total area under the line of equality. The Lorenz
- 322 curve is the graphical representation of the distribution of a given parameter; and is given by eqn. (1):

$$L(F(x)) = \frac{\int_{-\infty}^{x} t f(t) dt}{\mu}$$
(1)

- 323 where μ denotes the average, f(x) denotes the probability density function, and F(x) denotes the
- 324 cumulative distribution function. The calculation was implemented in MATLAB (R2016b), for which the
- 325 code is available at GitHub (<u>https://github.com/LewisLabUCSD/gene-gini-matlab</u>).

326 Gene Ontology (GO) enrichment

- 327 Due to lack of availability of unique gene ontologies for the different organisms discussed in the study,
- 328 genes of the organisms that mapped to the human ortholog genes were used to identify the respective GO
- term. Here, hypergeometric tests were used to check whether the number of genes associated to a GO
- term, in the query list, are more significant given the distribution among GO terms in the subject gene list.
- 331 GO terms associated to human genes were downloaded from Gene Ontology Consortium webpage
- 332 (<u>http://current.geneontology.org/products/pages/downloads.html</u>). All analysis was focused only on the
- 333 Biological Process (P) aspect. All p-values were calculated using hypergeometric test for
- overrepresentation reported after correction using the Benjamini Hochberg FDR.

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340 Competing Interest

341 The authors have declared that no competing interests exist.

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460 Figure Captions

461 Figure 1. Analysis of previously identified housekeeping genes. (A) Glyceraldhyde 3-phosphate
 462 dehydrogenase (GAPDH) may not be a good choice for housekeeping gene. Gini coefficients were
 463 converted to percentiles (x-axis) using each of the datasets (y-axis). GAPDH has high Gini coefficient in

464 most of the datasets. (B) Coverage of previously identified 3688 housekeeping genes¹⁶ within the 3688
 465 Gini genes with lowest Gini coefficients within each of the datasets.

466 Figure 2. Gini coefficients are highly corelated for human datasets. (A) Gini coefficients of genes are
467 highly correlated across human datasets, regardless of sample type. Datasets of sample types are more
468 highly correlated than those of different sample types. (B) GO term coverage is highly correlated across
469 human datasets.

Figure 3. Gini coefficients accurately capture organism-specific differences. (A-B) Jaccard similarity
between Gini genes identified using organism-specific transcriptomes capture cluster containing primates.
The number of Gini genes with 1:1 orthologs in all organisms is shown using the bar plot on the right of
the dendrogram. (C) Principal component 1 (PC1) also captures the cluster containing primates. Also
shown are top 20 (pink), middle 20 (green), and bottom 20 (blue) coefficients of the first principal

475 components. (D) Correlation among Gini coefficients across different organisms reproduce cluster

476 containing primates (left panel). The Gini coefficients of genes belonging to top 20, middle 20, and

477 bottom 20 coefficients of PC1 are shown (right panel). Top 20 Gini genes are specific to primates, middle

478 20 are universal Gini genes, and bottom 20 are specific to non-primates. (E) GO term coverage is highly

479 correlated across different datasets, also shown are the GO term correlations with human datasets used in

480 Figure 1.

481 **Figure 4. Gini genes are essential.** Gini coefficients of essential genes compared to the complete (A)

482 CellMiner, (B) Klijn et al. cancer datasets, and (C) CHO datasets. 2800 genes essential in 20 cell lines

483 were extracted from DepMap^{40,41}, and 338 CHO essential genes were extracted from Kai et al.³⁹ (D) GO

term coverage of essential genes and that of Gini genes from CellMiner (blue, 0.8557), Klijn et al.

485 (yellow, 0.8907), and CHO (green, 0.7055) are correlated. The slightly lower correlation in CHO cells is

486 likely due to fewer number of essential genes in CHO.







