The role of dectin-1 signaling in altering tumor immune microenvironment in the context

of aging

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Abstract

An increased accumulation of immune-dysfunction-associated $CD4^+Foxp3^+$ regulatory T cells

(T_{regs}) is observed in aging oral mucosa during infection. Here we studied the function of T_{regs}

during oral cancer development in aging mucosa. First, we found heightened proportions of T_{regs}

and myeloid-derived suppressor cells (MDSC) accumulating in mouse and human oral squamous

cell carcinoma (OSCC) tissues. Using the mouse 4-Nitroquinoline 1-oxide(4-NQO) oral

carcinogenesis model, we found that tongues of aged mice displayed increased propensity for

epithelial cell dysplasia, hyperplasia, and accelerated OSCC development, which coincided with

significantly increased abundance of IL-1β, T_{regs}, and MDSC in tongues. Partial depletion of T_{regs}

reduced tumor burden. Moreover, fungal abundance and dectin-1 signaling were elevated in aged

mice suggesting a potential role for dectin-1 in modulating immune environment and tumor

development. Confirming this tenet, dectin-1 deficient mice showed diminished IL-1β, reduced

infiltration of T_{regs} and MDSC in the tongues, as well as slower progression and reduced severity

of tumor burden. Taken together, these data identify an important role of dectin-1 signaling in

establishing the intra-tumoral immunosuppressive milieu and promoting OSCC tumorigenesis in

the context of aging.

Introduction

Head and neck cancers (HNC) including OSCC are the sixth most common cancers, accounting for an estimated 657,000 new cases and more than 330,000 deaths globally each year. The aging population (age >65) has grown to 727 million in 2020 and will double to nearly 1.5 billion, roughly ~16% of the world population by 2050. A majority of all new cancers are diagnosed among elderly adults [1]. Therefore, the convergence of the aging population and a higher cancer incidence in this population will result in a significant rise in cancer. Aging triggers the immune system to be in a chronic hyper-inflammatory state known as inflammaging. An imbalance between pro- and anti-inflammatory mechanisms due to the combination of inflammaging and impaired immune-surveillance may contribute to increased susceptibility of elderly individuals to cancer [2-4]. However, precise cellular alterations in the tumor immune microenvironment (TIME) that contribute to tumor growth and progression in the elderly are not completely understood. HNC are among the most highly immune-cell infiltrated cancer types, highlighting the significance of immune cells in tumor development and progression blockade. Immune-suppressive cells including T_{regs}, MDSC, and tumor-associated macrophages (TAM) that are important in immune tolerance, accumulate in tumors, and are thought to contribute to poor immunologic responses against tumors resulting in cancer immune evasion [5-14]. Depending on the tumor type, T_{regs} may constitute between 30 - 45% of $CD4^+$ T cells in solid tumors of nonlymphoid origin, with OSCC cancers having the highest degrees of T_{reg} infiltration[15; 16]. T_{regs} and MDSC have been shown to contribute to tumor evasion and even hinder the success of α-PD1 cancer immunotherapy[17-20]. Thus there is considerable interest in understanding the involvement of these cells in many cancers[21-25]. However, molecular mechanisms that instruct intra-tumoral T_{reg} recruitment, proliferation, stability, or functions in the context of their interactions with anti-tumor CD8 cells

and other immune-suppressive cells remain elusive. Understanding these mechanisms will lead to

new combinatorial strategies in the face of PD-1/PD-L1 blockade resistance, leading to improved

patient outcomes. Having previously linked some of the immunosenescent cytokines in T_{reg}

accrual and aging previously [26], we now show that dectin-1 signaling is required for increased

IL-1β, MDSC, and T_{regs} during carcinogenesis, and that this enhancement in aged mice contributes

to the acceleration in tumor development in them.

Results

Aged mice show earlier and exacerbated dysplasia/hyperplasia, and OSCC development as

well as heightened levels of immunosuppressive cells in the tongue.

To obtain mechanistic insights into immunological changes driving OSCC and to define aging-

dependent alterations in early immunological events during carcinogenesis, we used the 4-NQO

oral carcinogenesis mouse model. This constitutes 16-weeks of 4-NQO administration in drinking

water followed by another 6-weeks with regular drinking water (**Fig. 1A**). We compared young

(3-4 months of age) and aged (20-24 months of age) C57BL/6 mice treated with 4-NQO. Control

mice received the propylene glycol vehicle in drinking water. At indicated times, we examined

the tongue pathology by immuno-histochemistry using established OSCC criteria (**Fig. 1B**).

Thickened keratinized layer (Hyperkeratosis; HK), lesions showing enlarged nuclei with increased

nuclear to cytoplasmic ratio, loss of typical epithelial cell organization (dysplasia), intrusion into

the connective tissue, and presence of cell nests were used for scoring on a 0-5 scale. Aged mice

demonstrated earlier and higher incidence of accelerated progression of hyperkeratosis,

hyperplasia, and dysplasia during early time points (**12- 22 weeks**) (**Fig. 1B**). Also, more mice in

the aged group showed these changes at early time-points (**Fig. S1**). These data are consistent

with a previous study that elegantly showed similar results in aged mice [27]. Since aging-related

differences in OSCC were not significant after 23-24 weeks, we focused on early events of

carcinogenesis in defining altered and accelerated dysplasia in aging mice. Therefore, we

examined the rest of the parameters at this early time (12- 16 weeks) window. We isolated the

tongue/gingival tissues and the oral draining cervical lymph nodes (CLN) and determined the

frequency of $CD3^+CD4^+Foxp3^+$ T_{regs} by flow cytometry (n=6/ Veh. group; n=8/ 4-NQO group).

4-NQO carcinogenesis process also increased the frequency of $Foxp3^+$ T_{regs} in oral tissues and

draining lymph nodes, which increased with time(**Fig. 1C, S2**). Aged mice displayed significantly

higher proportions of T_{regs} when compared to younger mice (**Fig. 1C**). Correlating with the

increase in T_{regs}, the percentage of $CD3^+CD8^+$ cells decreased, and the aged mice had a

significantly higher T_{reg}: CD8 ratio than young mice (**Fig. 1D, left**). $CD8^+$ T cells in the tongue

also upregulated PD-1 but showed a decreased expression of IFN-γ in 4-NQO treated mice in both

groups (**Fig. 1D, right**). Also, tongues from 4-NQO treated mice showed increased accumulation

of $CD11b^+Ly6G^+$MDSC cells that were at higher levels in aged mice than young mice (**Fig. 1E**).

Consistent with their MDSC phenotype, $CD11b^+Ly6G^+$ cells were Arginase-1^{high} (Arg-1), when

compared to $CD11b^+Ly6G^-$ cells (**Fig. 1F, top**). Moreover, the expression of Arg-1 was much

more elevated in aged 4-NQO mice than young 4-NQO mice (**Fig. 1F, bottom**). Thus, earlier

epithelial dysplasia coincided with an increased T_{regs}: CD8 ratio and $CD11b^+Ly6G^+$ Arg-1^{high}

MDSC in aged tongues. Taken together, these results show that aging in the context of the

carcinogen 4NQO, causes premature development of oral carcinogenesis, which is associated with

increased infiltration of immune-suppressive cells.

Figure legend

Fig. 1. Aged mice display accelerated development of OSCC and heightened levels of immunosuppressive cells

in the tongue. 4-NQO was (50 μg/ml) administered in drinking water. Time-points for readouts and various stages of

OSCC development in this model are shown **(A)**. H&E immunohistochemistry images of the tongue at 200X

magnification at indicated times [IF: Infiltration, MD: mild dysplasia, SD: severe dysplasia/hyperplasia, HK:

Hyperkeratosis]. Samples were processed for flow cytometry **(B)**. Statistics showing %T$_{regs}$ **(C**, top) and T$_{reg}$:CD8

ratio **(C**, bottom) in the draining cervical lymph nodes (CLN) (left), or tongue (right) at 16 weeks **(D)**. Contour plots

(left) and statistics (right) showing % of PD-1 and IFN-γ expressing CD8$^+$ cells **(E)**. Representative contour plots (left)

and statistics (right) showing % of CD11b and Ly6G expressing CD45$^+$ cells. Arg-1 expression in MDSC

(CD45$^+$CD11b$^+$Ly6G$^+$) *vs* non MDSC (CD45$^+$CD11b$^+$Ly6G^{neg} cells (**F**, top) and in MDSC in young and aged 4-NQO

treated mice (**F**, bottom) (Geometric Mean = G.M)(Mann-Whitney test * P<0.05).

Heightened levels of intra-tumoral T$_{regs}$, MDSC, and IL-1β in OSCC patients. To validate 4-

NQO findings, we examined surgically excised human OSCC tumors, control biopsies that

included tissue derived from a site 2-3 cm from the tumor margin, as well as tumor lesion

cytobrushings and contralateral control tissue cytobrushings from patients (**Fig. 2A, S3**). Tumor

tissues were obtained from 16 patients that included eight former smokers, four current smokers,

and four non-smokers. Similar to 4-NQO treated mice, human OSCC tumors showed very high

proportions of CD25$^+$Foxp3$^+$ T$_{reg}$ cells, (sometimes up to 42%; Mean 22%) (**Fig. 2B**). This was

significantly higher than what is found in gingival mucosa of healthy individuals (*P<0.05)[26].

Among CD45$^+$ cells, there were also higher proportions of CD14^{neg}CD11B$^+$CD33$^+$ MDSC cells

(**Fig. 2C**), which had higher ARG-1 expression, compared to non-MDSC cells(**Fig. 2D**). Because

IL-1β signaling is critical for induction of immunomodulatory ROR-γt$^+$FOXP3$^+$ cells in oral

mucosal tissues and is implicated in oral tumor progression[26; 28; 29], we examined the

expression of IL-1β. IL-1β was significantly increased in the supernatants derived from human

tumors and resected tumor supernatants (**Fig. 2E**), as well as in immune cells (**Fig. 2F**). However,

the IL-1 receptor antagonist (IL-1RA) levels were significantly lower in tumor samples (**Fig. S4**)

suggesting that IL-1 antagonism is associated with health while IL-1β was associated with tumor

development. Notably, MDSC cells showed heightened levels of IL-1β than other cells, implying

that IL-1β is likely linked to immune-suppressive milieu in tumors (**Fig. 2F**).

Figure legend

Fig. 2. Heightened levels of intra-tumoral T_{regs}, MDSC, and IL-1β in OSCC patients. Human oral tissue samples were obtained by excision (tumor resection), cytobrushing (mostly epithelial cells), or by biopsy (control tissue 2-3 cm from the tumor margin) under an approved IRB protocol. H&E immunohistochemistry images of the tongue at 200X magnification (**A**). Samples were digested by collagenase-1A and restimulated with PMA/Ionomycin for 4 hours. Contour plots gated on $CD45^+CD3^+CD4^+$ lymphocytes (**B**, top) and statistical analysis (**B**, bottom) showing % of T_{regs} and MDSC (**C**). ARG-1 expression in MDSC *vs* non-MDSC cells (**D**). Supernatants were collected from these cultures and assessed for IL-1β using ELISA (**E**). Contour plots showing IL-1β expressing MDSC (left), non-MDSC (middle), and T cells (right) (**F**). Mean +/-SEM are shown. (Mann-Whitney test * P<0.05).

Aged mice have a distinct T_{reg} phenotype and elevated levels of IL-1β compared to young mice.

The degree of phenotypic and functional heterogeneity of tissue/intra-tumoral T_{regs} can be high

[30], and evaluating them solely with FOXP3 and CD25 markers without examining anti-tumor

$CD8^+$ T cells can sometimes be misleading. Besides recruiting thymic T_{regs} into tumors via

chemotaxis, T_{regs} can be induced *in situ* in tumors due to the abundance of mediators such as nitric

oxide synthase, indoleamine 2, 3-dioxygenase 1, transforming growth factor- β1, and adenosine

released from tumor cells, TAM, and MDSC [31]. Some of these $FOXP3^+$ cells may also be

critical for tissue repair or become dysfunctional depending on the inflammatory cytokine

milieu[32]. Indeed we[26; 33-37] and others have shown distinct functions of T-bet$^+$Foxp3$^+$cells,

ROR-γt$^+$Foxp3$^+$ cells, and PD-1$^+$Foxp3$^+$ cells, whose functions are significantly altered by

cytokines including IL-6 and IL-1β in an mTOR dependent manner in oral mucosa[26]. Since

CD25, PD-1, T-bet, ROR-γt, Suppression of Tumorigenicity 2 (ST-2) expression were all

implicated in determining intra-tumoral T_{reg} functions positively and negatively in other tissues[7;

28; 38; 39], we examined the expression of the molecules, in aged vs. young T_{regs}, 16 weeks after

4-NQO administration. While the expression of CD25 (ability to consume IL-2) [10; 40], Ki-67

(proliferation), and ST-2 (tissue T_{reg} and tumorigenicity marker) [7] did not change between young

and aged T_{regs}, CD103 (TGF-β1 dependent, tolerogenic, and tumor-specific T cell marker)[41],

PD-1, ROR-γt, and T-bet were significantly up-regulated in aged T_{regs} (**Fig. 3A**). Consistent with

the higher expression of ROR-γt in T_{regs} and earlier dysplasia in aging mice, increased numbers of

IL-1β expressing cells were found among infiltrating immune cells in aged mice as early as 5 and

12 weeks after 4-NQO administration (**Fig. 3B, C**). IL-6 levels were also elevated in aged tongue

supernatants(**Fig. S5**). Moreover, at later times, IL-1β expression was found in epithelial tumor

cells and immune cell infiltrates in both the groups, but was dramatically elevated in aged 4-NQO

treated mice when compared to their young counterparts (**Fig. 3D**). These results showed that T_{regs}

found in tongues during early tumor development in 4-NQO treated mice are phenotypically

distinct, appearing to be functionally more immunosuppressive in aged mice, and may be linked

to higher expression of IL-1β in the milieu.

Figure.3

Figure legend

Fig. 3. Aged mice have distinct T$_{reg}$ phenotype and elevated levels of IL-1β compared to young mice. 16 weeks

after 4-NQO administration, single-cell suspension from tongue was re-stimulated with PMA/Ionomycin for 4 hours.

Flow cytometry histograms showing indicated protein expression in CD45$^+$ CD3$^+$ CD4$^+$Foxp3$^+$cells **(A)**. Tongue

tissues were processed for IL-1β immuno-histochemical staining at 5 weeks**(B)**. Supernatants collected at 12 weeks

were processed for IL-1β ELISA. Mean +/- SEM in 3 mice/group (Mann-Whitney test * P< 0.05) **(C)**. Tongue tissues

were processed for IL-1β immuno-histochemical staining at 24 weeks**(D)**.

Partial T$_{reg}$ depletion reduces tumor progression.

Although tumorigenesis coincides with increases in Foxp3$^+$ T$_{regs}$, the function of these cells has not been validated in the 4-NQO model. Therefore, we employed Foxp3^{DTR}-eGFP reporter (Foxp3 diphtheria toxin receptor; FDTR) mice that express the diphtheria toxin receptor on Foxp3$^+$ cells and allow targeted T$_{reg}$ depletion by intraperitoneal diphtheria toxin (DT) injection (20 μg/10 gm body weight; n=4/group)[36; 40]. We took two approaches; 1) Early and long-term T$_{reg}$ depletion where DT was injected every 5 days, in the first 16 weeks of 4-NQO treatment 2) Late and short-term T$_{reg}$ depletion where DT was injected every 5 days, from the 16th -21st weeks of 4-NQO treatment n=6/group)(**Fig. 4A)**. Early T$_{reg}$ depletion caused systemic autoimmunity characterized by splenomegaly, lymphadenopathy, CD4 hyperactivation (CD44^{high}, IFN-γ^{high}), and mortality in 30% of mice which confounded the effects on early tumorigenesis (*not shown*). However, late and short-term T$_{reg}$ depletion caused only partial T$_{reg}$ reduction, but the mice had significantly reduced dysplasia and OSCC at 24 weeks (**Fig. 4B, C, S6A**). The frequencies of CD11b$^+$Ly6G$^+$ and IL-1β$^+$ cells were unchanged with T$_{reg}$ depletion, showing that these components are likely upstream to T$_{reg}$ induction and infiltration during tumorigenesis (**Fig. S6B, C**). However, the PD-1 expression was diminished and IFN-γ expression was partially restored in CD8$^+$ T cells(**Fig. 4D**). Collectively, these results show, for the first time in a 4-NQO OSCC model, that infiltrating T$_{regs}$ control anti-tumorigenic functions of CD8$^+$ T cells and contribute to OSCC tumorigenesis and progression.

Figure.4

Figure legend

Fig. 4. Partial T_{reg} depletion reduces tumor progression. T_{regs} were depleted in FDTR mice by injecting diphtheria

toxin (DT) at late time points (every 5 days between 16th -21st weeks of 4-NQO treatment) **(A)**. Statistics showing

$CD4^{+}Foxp3^{+}$cells based on flow cytometry analyses at 20 and 24 weeks **(B)**. Immunohistochemistry H&E staining

(left) and OSCC score based on invasive hyperkeratosis, and nesting (0-5) (right) **(C)**. Contour plots (left) and

statistics (right) showing PD-1 and IFN-γ expressing $CD8^{+}$ T cells **(D)**. Mean +/- SEM in 6 mice/group (Mann-

Whitney test * P< 0.05).

Candida **and zymosan exacerbate and accelerate dysplasia and hyperplasia.**

Previous evidence has shown that oral carriage of *Candida* is higher in elderly individuals and

patients with OSCC[42-46]. We and others have previously shown that *Candida* infection can

also induce IL-1β expression and T_{reg} infiltration in oral mucosa[26; 47]. Since aforementioned

results showed IL-1β was positively linked with tumorigenesis, we hypothesized that *Candida*

infection and consequent IL-1β induction might worsen OSCC outcome. Therefore, we examined

the effect of *Candida* infection and zymosan during carcinogenesis in 4-NQO treated mice. We

sublingually applied *Candida* (10^7 blastospores) or D-zymosan (TLR-2 depleted zymosan; 1

mg/100ul) under anesthesia WT or TLR-2 knockout (TLR-2 KO) C57BL/6 mice every week

between 4th and 8th weeks of 4-NQO administration. These treatments significantly accelerated

and worsened dysplasia/hyperplasia (**Fig. 5A, B**), increased IL-1β$^+$ cells and T$_{regs}$ in the tongue at

12 weeks (**Fig. 5C, D, S7)**, and induced OSCC around 21 weeks (**Fig. 5E)**. Importantly, premature

OSCC development was also observed in TLR-2 KO mice, revealing that zymosan and *Candida*

mediated exacerbation of OSCC development was independent of TLR-2 signaling. In fact, in the

absence of TLR-2, the numbers of IL-1β$^+$ cells were increased implying the involvement of anti-

fungal dectin-1 signaling in OSCC.

Figure.5

 Figure legend

Fig. 5. *Candida* and Zymosan exacerbate and accelerate dysplasia and hyperplasia. A) 4-NQO was administered

in WT or TLR-2 KO C57BL/6 mice as in **Fig. 3A** (6 mice/group). Zymosan (1mg), *Candida* (10^7 blastospores), or

both were applied sublingually under anesthesia every week between the 4th and 8th weeks of 4-NQO administration.

H&E staining was performed to assess dysplasia and hyperplasia (**A**). Immunohistochemistry and flow cytometry

were performed. Statistics based on dysplasia and hyperplasia score (**B**), IL-1β immunohistochemistry (**C**), flow

cytometry staining for T_{reg} assessment (**D**), and OSCC scores (**E**). OSCC scores were assigned based on hyperplasia,

invasive hyperkeratosis, and nests after 20 weeks after 4-NQO administration. Statistics show Mean +/- SEM (Mann-

Whitney test * P< 0.05).

Aged mice show elevated Dectin-1 signaling in immune cells in the tongue and increased

fungal abundance in saliva. Dectin-1 is expressed by myeloid phagocytes[48] and binds

specifically to fungal β-1,3 glucans, endogenous galectins, and annexins on apoptotic cells[49].

Dectin1 signaling activation induces the phosphorylation of Syk and consequently the expression

of IL-1β. We and others have shown that dectin-1 signaling can regulate T_{reg} alterations and

macrophage metabolism[50-53]. Fungal dysbiosis and dectin-1 have also been implicated in

inflammatory diseases and cancers[54-56]. Thus, previous reports and our above-mentioned

results led us to hypothesize that dectin-1 might be involved in OSCC development and earlier

predisposition of aged mice to OSCC. Confirming the hypothesis, 4-NQO induced tumorigenesis

promoted a significant elevation of dectin-1 expression and Syk activation in immune infiltrates

(CD45+ cells) (**Fig. 6A**). Dectin-1 expression and Syk activation were further increased in aged 4-

NQO treated mice suggesting the involvement of dectin-1 signaling in accelerated tumorigenesis

in aging mice(**Fig. 6B**). Although not-significant, there was also a trend towards increased fungal

abundance in the saliva of 4-NQO treated mice, as measured by fungal abundance qPCR[57; 58].

Interestingly, aged 4-NQO treated mice that displayed accelerated dysplasia and OSCC (**Fig. 1A**),

showed a significantly higher fungal abundance than control mice (**Fig. 6C**). Together, these

results revealed that increased fungal abundance and resultant aberrant dectin-1 signaling might

play a role in accelerated tumorigenesis in aged mice.

Figure.6

Figure legend

Fig. 6. Aged mice show elevated dectin-1 signaling in immune cells in the tongue and increased fungal

abundance in saliva. Tongue samples were processed for flow cytometry. Contour plots (top) and statistics (bottom)

showing dectin-1 expressing CD45$^+$ cells **(A)**. Contour plots showing % of Dectin-1$^+$ and phosphorylated SYK (p-

SYK) expressing CD45$^+$ cells **(B)**. Saliva swabs collected at 12, 15, and 23 weeks were pooled. qPCR was performed

to quantify fungal DNA using ITS-2 and fungi-quant PCR primers **(C)** [57; 58]. Relative abundance was standardized

to input DNA using β-actin as reference. (Mann-Whitney test * P<0.05).

Dectin-1 deficiency reduces immunosuppressive cells and OSCC tumor burden. Next, we

investigated the role of dectin-1 in tumorigenesis using the mice deficient in dectin-1 signaling

(Dectin KO mice). By monitoring body-weight we found that weight loss was much less

pronounced in Dectin-1 KO than WT mice **(Fig. 7A)**. Dectin-1 KO mice also had significantly

reduced IL-1β^+ cells **(Fig. 7B, S8)**, T_{reg} induction (**Fig. 7C, S9**), T_{reg}:CD8 ratio(**Fig. 7D**), PD-1

induction in CD8$^+$ T cells(**Fig. 7E, G**), and MDSC cells(**Fig.7F**). However, coinciding with IFN-

γ restoration in CD8 T cells(**Fig. 7E, G**), mice showed significantly slower progression of

dysplasia and lower numbers and sizes of OSCC tumors(**Fig. 7H, I**). Based on the phenotype of

Dectin-1 KO mice, dectin-1 signaling appears to play a clear role in OSCC development. Taken

together, these results show dectin-1 signaling is critical for establishing an immunosuppressive

milieu and the development of oral tumorigenesis.

Figure.7

Figure legend

Fig. 7. Dectin-1 deficiency reduces immunosuppressive cells and OSCC tumor burden. Statistics showing the

body weight loss, comparing the indicated groups (**A**). Tongue tissues were processed for immunohistochemistry

(IHC) and flow cytometry at 23 weeks after 4-NQO administration. Statistics showing the number of IL-1β+ cells in

the entire field of 200X IHC image (**B**). Flow cytometry-based statistics showing % increase in T_{regs} in 4-NQO treated

mice compared to Veh. controls (**C**), T_{reg}:CD8 ratio (**D**), the % of PD-1 and IFN-γ expressing CD8 cells (**E**), the % of

CD11b and Ly6G expressing CD45$^+$ cells (**F**), and the statistical analysis for all of the above (**G**). H&E

immunohistochemistry of tongue (**H**), and blinded OSCC scoring (**I**). (Mann-Whitney test except for (**B**), where t-

test with Welch's correction was performed, * P<0.05).

Discussion

Aging skews host CD4$^+$ T cells and T_{regs} towards an inflammatory T_{reg} type, which fails to control

immunopathology during an infection. Instead, there is an increased accumulation of Foxp3$^+$ cells,

a proportion of which is associated with increased CD4$^+$ T cell hyperactivation and altered levels

of IL-6 and IL-1β in mice and humans in oral mucosa *in vivo* [26]. To our knowledge, no study

has examined T_{reg} interactions in TIME with relevance to aging and OSCC carcinogenesis. While

there are contentious data on age-related changes in T_{regs} in blood [59; 60], there is no report to

date on intra-tumoral T_{regs} and their interactions with other immune suppressive cells in the context

of aging. In this study, we found that mouse and human OSCC tissues showed not only an

increased accumulation of T_{regs} but also of MDSC. Moreover, aged mice showed increased and

early filtration of these cells and were also more susceptible to early dysplasia and tumor

development compared to young mice. The 4-NQO mouse model mimicked the multistage

carcinogenesis of human OSCC so that we could investigate the immunological events in tumors

and tumor-draining lymph nodes in the early stages [61; 62]. In this model, we found that

infiltration of immunosuppressive cells was one of the early immunological events of OSCC

initiation. We also validated these findings in developed human OSCC tumors, comparing them

to adjacent normal control tissues. These data provided a compelling link among aging,

immunosuppressive milieu, and oral cancer. While many previous studies have shown that high

levels of intra-tumoral T_{regs} associate with poor prognosis, a few studies show that intra-tumoral

T_{regs} in some cancers correlate with improved disease outcomes[63-66]. However, multivariate

analysis shows that T_{reg} levels are not independently prognostic of OSCC but cytolytic T cells that

co-infiltrate along with T_{regs} appear to drive the favorable prognosis [15]. Therefore we examined

FOXP3$^+$ cells in relation to CD8$^+$T cell frequency and MDSC. Increased intra-tumoral T_{reg}: CD8

ratio rather than increases in T_{reg} frequencies is more informative about pro-tumorigenic functions

of T_{regs} during OSCC. Indeed, examining T_{reg}: CD8 ratio has allowed investigators to obtain

consistent data showing clearly that a higher ratio is associated with poor prognosis and survival

in human studies [67; 68]. While we also characterized the T_{regs} by examining their inflammatory

and tissue markers during early carcinogenesis in aging mice, more studies are required to

determine the mechanisms underlying their altered phenotype and their contribution to OSCC

development.

IL-1β is a cancer-associated pro-inflammatory cytokine that is abundant in breast, colon,

lung, esophageal, as well as OSCC cancers[69]. It is linked to poor prognosis for patients with

esophageal cancer[70]. Targeting IL-1β has been shown to hinder oral carcinogenesis in the 4-

NQO model, and is considered in the clinical settings[29; 71]. IL-1β is also involved in inducing

immunomodulatory Foxp3$^+$ROR-γt$^+$ cells in microbiome dependent manner[26; 29; 36; 37; 51;

72-79]. Foxp3$^+$ROR-γt$^+$ cells have been shown to be present in tumors and contribute to tumor

immune evasion and autoimmunity control[28; 80]. But the events preceding excessive IL-1β

expression and at the IL-1β/T_{reg} interface are largely unknown. Our data showed that there was

excessive IL-1β production in tongues of aged 4-NQO treated mice compared to young mice,

demonstrating that IL-1β is clearly associated with the establishment of a worse

immunosuppressive milieu. These results are distinct from an acute infection milieu and steady

state-conditions where aging oral mucosa shows IL-1β suppression [26]. Although it is

conceivable that early carcinogenesis environment triggers elevated levels of IL-1β in aged mice,

the sequence of events that promote elevated levels of IL-1β, T_{reg} accrual, and MDSC increase

during aging need to be addressed. Furthermore, we found increased dectin-1 expression in

immune infiltrates in aging mice. Also, signaling through dectin-1 promoted T_{reg} and MDSC

accrual. While it is clear that immune cells show activation of Syk signaling, the precise subset of

cells that responds through dectin-1 signaling hyperactivation in the context of aging remains to

be addressed. While we hypothesize that changes in fungal stimulation emanating from the

commensal fungal microbome could lead to dectin-1 activation, this possibility remains to be

further confirmed in the future. The microbiome is a significant contributor to OSCC in the 4-

NQO mediated carcinogenesis model [81; 82]. Aging is also associated with changes in the

resident microbiome, reduced microbiota diversity, and an increase in certain types of bacteria and

fungi, resulting in local immunological changes, chronic inflammation, and other geriatric

sequelae [83-85]. *Candida* carriage and related co-morbidities are significantly more frequent in

elderly individuals[86]. The role of microbiome dysbiosis in enhancing dectin-1 signaling,

immunosuppressive settings, and OSCC development, and how these are dysregulated during

aging are critical questions to be addressed and will be studied in future investigations. Taken

together, our study sheds new light on the immune-oncological events in TIME providing some

key insights into mechanisms of immune evasion. By linking MDSC and T_{regs} with a higher

predisposition of aged oral mucosa to tumor development, our study also provides important

insights into mechanisms of higher susceptibility of the aging population to oral cancer. By

revealing the pro-tumorigenic role of dectin-1 signaling and T_{regs}, our study may also provide a

way to control intra-tumoral T_{regs} without affecting the peripheral T_{reg} cell repertoire.

Author contributions

PP supervised the project, designed the study, performed experiments, analyzed data, and wrote

the manuscript. QP and AT provided tumor resections from human participants under an approved

protocol and contributed to discussions. NB and SJ performed the experiments and analyzed

ELISA and qPCR data. PM weighed the mice and performed validation qPCRs. CQ and SJ

performed microscopy of the histology slides, scored the histology data in a masked fashion,

performed animal breeding, and processed tissues for flow cytometry. MG and AW read the

manuscript and contributed to discussions.

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Declaration of interests

379 The authors declare no competing interests.

Materials and methods

Mice and human samples

Mouse experiments were performed following all guidelines and regulations under an approval from the CWRU Institutional Animal Care and Use Committee. Young (3-4 months of age), aged (20-24 months of age) C57BL/6 mice, TLR-2$^{-/-}$ and Dectin-1$^{-/-}$ mice were purchased from Jackson laboratories. Human oral tissue samples were obtained by excision (tumor resection), cytobrushing (mostly epithelial cells), or by biopsy (control tissue 2-3 cm from the tumor margin) under an approved protocol approved by the University Hospitals Cleveland Medical Center Institutional Review Board.

4-NQO administration in mice

4-NQO was dissolved in 1:1 solution of propylene glycol and DMSO and administrated in drinking water (50 -100 μg/ml) for 16 weeks, followed by the regular drinking water for another 6 weeks. Mice were sacrificed at the end of 24-25 week 4-NQO regimen. Young mice developed OSCC tumors after 24- 25 weeks and aged mice around 21-22 weeks after the start of 4-NQO administration (**Fig. 1A**). Control mice received the propylene glycol/DMSO vehicle. Mouse body weight was measured every other day until sacrifice. 5-, 12 and 15- week time-points were considered early timepoints for the evaluation of immune cell changes in the tongue. Scores were assigned using tongue immuno-histochemistry using established OSCC criteria[61]. Epithelial hyperplasia and dysplasia scores were designated at 12 weeks after the 4-NQO regimen as follows: 0 = No immune infiltrates and the presence of cohesive epithelium;1 =Sparse immune infiltrates with mild epithelial hyperplasia; 2= Sparse immune infiltrates and moderate epithelial hyperplasia

and dysplasia; 3 = Severe epithelial hyperplasia and dysplasia and moderate hyperkeratosis; 4 =

Severe epithelial hyperplasia and dysplasia and hyperkeratosis; 5= Presence of invasive

hyperkeratosis.   OSCC scores were given at 20 or 24-25 weeks after the 4-NQO regimen as

follows: 0 = No immune infiltrates and the presence of cohesive epithelium;1 =Sparse immune

infiltrates with mild epithelial hyperplasia; 2= Moderate epithelial hyperplasia and dysplasia; 3 =

Severe epithelial hyperplasia and dysplasia and moderate hyperkeratosis; 4 = Severe epithelial

hyperplasia and dysplasia and hyperkeratosis; 5= Presence of invasive hyperkeratosis, cell nests

and OSCC.

Antibodies

Purified or fluorochrome conjugated mouse and human α-CD25 (3C7 and 7D4), CD4, IFN-γ,

Foxp3, CD45, CD8, CD11C, CD38, HLADR, Phospho-Syk (Ser473), IL-1β, IL-6, Arg-1, PerCP-

eFluor 710 conjugated Ly-6G Monoclonal Antibody (1A8-Ly6g), APC conjugated CD11b

Monoclonal Antibody (M1/70) and dectin-1 antibodies were all purchased from Life

Technologies/Thermofisher and BD-Biosciences.

Quantitative-PCR

DNA was isolated from mouse saliva swabs using the PureLink™ Microbiome DNA Purification

Kit (Invitrogen). ITS and FungiQuant qPCR primers [57; 58] (Invitrogen), and SYBR Green PCR

Kit (BioBasic) were used for performing qPCR, employing the real time PCR machine (Applied

Biosystems). The relative amount of fungal DNA of interest was estimated from its Ct values,

which were normalized to the host β-actin DNA levels.

Histochemistry and immunohistochemistry of proteins

For immunocytochemical hematoxylin and eosin (H&E), and immuno-histochemistry staining, tongue tissues were rinsed with PBS, fixed with 10% formalin overnight, and rehydrated in 70% ethanol overnight for paraffin embedding. This was followed by paraffin-sectioning and staining by the commercial facility (Histoserv, Inc, MD). Antibodies were used at 1-5 μg/ml concentrations.

Flow cytometry and ELISA

Cells were re-stimulated with PMA (50 ng/ml) and Ionomycin (500 ng/ml) for 4 hours in complete RPMI-1640 (Hyclone) supplemented with 10% FCS, 100 U/ml penicillin, 100 μg/ml streptomycin, 2 mM glutamine, 10 mM HEPES, 1 mM sodium pyruvate and 50 μM β-mercaptoethanol [40]. Brefeldin-A (10 μg/ml) added in last 2 hours before harvesting the cells and supernatants. For single-cell flow cytometry staining, cells washed in PBS or PBS/BSA before surface and intracellular staining. Live-Dead viability staining was used to remove dead cells in the flow cytometry analyses. Appropriate un-stain, isotype, secondary antibody, single stain and FMO controls were used. For p-Syk staining, the cells were washed, fixed and were stained with Phosflow staining kit from BD Biosciences using manufacturer's protocol. For examining T cells, leukocyte singlets, Live-dead^{neg}, CD45$^+$CD3$^+$CD4$^+$ or CD8$^+$ gates were used. For examining MDSC, leukocyte singlets, Live-dead^{neg}, CD45$^+$CD3^{neg} gates were used. Data was acquired using BD Fortessa cytometers and were analyzed using FlowJo 9.8 or 10.5.3 software. Mouse IL-1β and IL-6 ELISA kits from Boster Bio (Pleasanton, CA) were used to assess the protein levels in the supernatants.

Oral *Candida* infection and Zymosan application

Mice were infected with *Candida* as previously described [36; 87]. Briefly, they were sublingually

applied under anesthesia by placing a 3 mm diameter cotton ball saturated with 1×10^7 *Candida*

albicans (SC5314) blastospores for 90 min. Mice were re-infected as indicated. We applied

Zymosan sublingually in the same manner[88].

Statistical analysis

Results represent at least two to three independent experiments. P values were calculated by Mann-

Whitney test in Prism 6.1 (GraphPad Software, Inc.) assuming random distribution. Welch's

correction and student t tests were used where indicated. One or two way ANOVA analyses were

also used for grouped analyses. $P < 0.05^*$ was considered significant.

Supplemental information

Supplementary figures are attached separately.

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