

1 Human cytomegalovirus blocks canonical TGF β signaling during lytic infection to limit
2 induction of type I interferons

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14 Running title: SMAD3 and IRF7 regulate IFN production during HCMV infection

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24 **Abstract**

25 Human cytomegalovirus (HCMV) microRNAs (miRNAs) significantly rewire host signaling
26 pathways to support the viral lifecycle and regulate host cell responses. Here we show
27 that SMAD3 expression is regulated by HCMV miR-UL22A and contributes to the IRF7-
28 mediated induction of type I IFNs and IFN-stimulated genes (ISGs) in human fibroblasts.
29 Addition of exogenous TGF β interferes with the replication of a miR-UL22A mutant virus
30 in a SMAD3-dependent manner in wild type fibroblasts, but not in cells lacking IRF7,
31 indicating that downregulation of SMAD3 expression to limit IFN induction is important for
32 efficient lytic replication. These findings uncover a novel interplay between SMAD3 and
33 innate immunity during HCMV infection and highlight the role of viral miRNAs in
34 modulating these responses.

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36 **Author Summary**

37 Cells trigger the interferon (IFN) response to induce the expression of cellular genes that
38 limit virus replication. In turn, viruses have evolved numerous countermeasures to avoid
39 the effects of IFN signaling. Using a microRNA (miRNA) mutant virus we have uncovered
40 a novel means of regulating the IFN response during human cytomegalovirus (HCMV)
41 infection. Lytic HCMV infection induces the production of TGF β , which binds to the TGF β
42 receptor and activates the receptor-associated SMAD SMAD3. SMAD3, together with
43 IRF7, induces the expression of IFN β and downstream IFN-stimulated genes in human
44 fibroblasts. To counteract this, HCMV miR-UL22A, along with other HCMV gene products,
45 directly targets SMAD3 for downregulation. Infection of fibroblasts with a miR-UL22A
46 mutant virus results in enhanced type I IFN production in a SMAD3-dependent manner

47 and the virus is impaired for growth in the presence of TGF β , but only when both SMAD3
48 and IRF7 are present, highlighting the unique interaction between TGF β and innate
49 immune signaling.

50

51 **Introduction**

52 Human Cytomegalovirus (HCMV) has co-evolved with its host over millions of
53 years, resulting in exquisite control of both the cellular environment and the viral lifecycle
54 that is highly cell type-dependent. Successful viral gene expression depends on blocking
55 the powerful innate antiviral responses induced by viral binding and entry to limit
56 interferon (IFN) production and signaling that act to render cells less permissive to viral
57 infection (1). To evade the innate immune response, HCMV encodes numerous gene
58 products that block the induction of intrinsic antiviral responses and the production of IFN
59 and IFN stimulated genes (ISGs) (reviewed in (2, 3)). Along with IFNs, viral infection
60 results in the secretion of additional cellular and viral cytokines and chemokines, which
61 act in an autocrine and paracrine fashion to alter the intracellular and extracellular
62 environment (4). For example, lytically infected cells (5-7) as well as latently-infected
63 CD34⁺ hematopoietic progenitor cells (HPCs) (8-10), produce and secrete TGF β which
64 causes myelosuppression and has significant implications for hematopoietic stem cell
65 transplantation (9).

66 TGF β is a powerful regulator of numerous cellular pathways and has important
67 roles in inflammation, immune modulation and cellular differentiation. The specific
68 transcriptional outcomes of TGF β signaling depends on cell type, TGF β concentration
69 and presence of additional signaling regulators resulting in either transcriptional activation

70 or repression of different subsets of cellular genes (reviewed in (11, 12)). The complex
71 and context-dependent outcome of canonical TGF β signaling initiates with a relatively
72 simple signal transduction pathway. TGF β binding results in assembly of the receptor
73 complex consisting of TGF β receptors I and II. Receptor-associated SMADs (R-SMADs)
74 SMAD2 and/or SMAD3 are then recruited and phosphorylated. Phosphorylation of R-
75 SMADs enhances their interaction with the co-SMAD SMAD4 which results in shuttling of
76 the R-SMAD/SMAD4 complex to the nucleus. SMADs regulate transcription by altering
77 chromatin structure and generally have only weak affinity for the SMAD binding element
78 (CAGAC) (13-15), therefore other DNA binding proteins are required for selective binding
79 of the SMAD complexes to target elements. In addition to the choice of DNA binding
80 partners, the recruitment of transcriptional coactivators, such as CBP/p300 (16) or
81 corepressors, such as TGIF (17), SKI or SnoN (18) is also critical for determining the
82 outcome of TGF β signaling. Thus, the transcriptional outcome of canonical TGF β
83 signaling critically depends on the presence of a SMAD DNA binding cofactor as well as
84 coactivators or corepressors, whose expression and localization are regulated by
85 additional cellular signaling pathways in a context-dependent and cell type-specific
86 manner (12).

87 Given the critical role that TGF β plays in many cellular functions, activation and
88 signaling by TGF β is carefully regulated by the cell. One of the more recently studied
89 means of regulation of the TGF β signaling pathway is through the expression of cellular
90 miRNAs (19). miRNAs are small, ~22 nucleotide regulatory RNAs that post-
91 transcriptionally regulate expression of genes through binding regions of complementarity
92 in the targeted transcript. Sequence recognition occurs through the 'seed region' of

93 miRNAs, nucleotides 2-8, and most commonly the 3' UTR of the targeted gene, although
94 binding to other regions of the transcript can also mediate regulation (20). miRNAs are
95 the mRNA recognition component of a larger multi-protein RNA-induced silencing
96 complex (RISC) that recruits proteins that mediate translational repression and/or mRNA
97 degradation to the targeted transcript (21). Cellular miRNAs regulate the TGF β signaling
98 pathway at every level. Ligands, receptors, R-, inhibitory (I)- and co-SMADs and non-
99 SMAD pathway components are all targets of cellular miRNAs (19). By targeting
100 components of the signaling pathway as well as downstream transcriptional targets,
101 miRNAs regulate all aspects of the intricate negative and positive feedback networks of
102 the TGF β signaling pathway.

103 HCMV also encodes its own miRNAs (22), and we have previously demonstrated
104 that HCMV miR-UL22A-5p and -3p block canonical TGF β signaling in latently-infected
105 CD34⁺ HPCs by decreasing expression of the critical R-SMAD SMAD3 (9). Importantly,
106 infection with a Δ miR-UL22A mutant virus restored TGF β signaling to levels observed in
107 mock infected HPCs and the mutant virus was impaired for reactivation from latency due
108 to a loss of viral genomes or viral genome-containing cells. The attenuation of canonical
109 TGF β signaling in CD34⁺ HPCs as well as maintenance of the viral genomes during
110 latency was restored by expression of a SMAD3 shRNA from the Δ miR-UL22A genome,
111 indicating that targeting SMAD3 and the canonical TGF β signaling pathway is essential
112 to maintain the viral genome in latently infected cells (9). The mechanism of TGF β -
113 mediated viral genome loss remains to be determined and the effect of TGF β signaling
114 on other stages of the HCMV lifecycle is also still unknown.

115 Here we show that canonical TGF β signaling negatively affects lytic replication of
116 HCMV and is counteracted by reduced SMAD3 expression mediated, in part, by miR-
117 UL22A. We show that miR-UL22A targeting of SMAD3 is critical for viral replication in the
118 presence of TGF β through attenuating IRF7-mediated activation of type I IFNs and ISGs.
119 This study uncovers a novel link between SMAD3 and IRF7 in the induction of type I IFNs,
120 highlighting the crosstalk between TGF β and innate immune signaling during HCMV
121 infection.

122

123 **Results**

124 **Canonical TGF β signaling is impaired during lytic HCMV infection**

125 Our work (9) and that of others (6-8, 23, 24) has shown that CMV-infected cells
126 produce and secrete TGF β . We hypothesized that HCMV protects the lytically infected
127 cell from the effects of TGF β signaling by manipulating components of TGF β signaling
128 pathway, as we have observed during latent infection in CD34⁺ HPCs (9). To test this
129 hypothesis, we infected normal human dermal fibroblasts (NHDF) (Fig 1A, C) and primary
130 human aortic endothelial cells (hAEC) (Fig 1B, D) with the wild type TB40/E strain of
131 HCMV for 48 hours followed by overnight serum starvation and 4 hour stimulation with
132 TGF β . In contrast to mock-infected cells, which respond to TGF β treatment by
133 upregulating the TGF β -responsive transcripts JunB and SERPINE, HCMV-infected
134 fibroblasts and endothelial cells do not show an enhancement in transcript expression
135 following TGF β treatment (Fig 1A, B). We next harvested protein lysates from mock- and
136 HCMV-infected cells treated with TGF β and analyzed expression of total and
137 phosphorylated levels of SMAD3. Levels of both total and phosphorylated SMAD3 are

138 reduced in HCMV-infected fibroblasts (Fig 1C) and endothelial cells (Fig 1D) compared
139 to mock-infected cells. These data indicate that lytic HCMV infection reduces the levels
140 of SMAD3 protein which contributes to a block in canonical TGF β signaling.

141 **Mutation of miR-UL22A partially relieves the block to canonical TGF β signaling**
142 **observed during HCMV infection**

143 We have previously identified SMAD3 as a target of the HCMV miRNAs miR-
144 UL22A-5p and -3p, and have shown that miR-UL22A-mediated reduction of SMAD3 is
145 required for genome maintenance during latent infection (9). To investigate the
146 contribution of miR-UL22A targeting of SMAD3 to the block in canonical TGF β signaling
147 observed during HCMV lytic infection, we assessed SMAD3 transcript levels after
148 infection with WT and Δ miR-UL22A virus. As shown in Fig 2A, we observed a significant
149 decrease in SMAD3 transcript levels upon infection with WT HCMV at 3 ($p=0.05$) and 6
150 ($p<0.01$) days post-infection (dpi), suggesting that the decrease in total and
151 phosphorylated protein (Fig. 1C) is due, at least in part, to a decrease in transcription of
152 SMAD3. Moreover, we observed only a partial restoration in SMAD3 transcript levels after
153 Δ miR-UL22A infection, although significantly increased ($p=0.02$) compared to WT-
154 infected cells at 6 dpi. We next treated mock, WT or Δ miR-UL22A virus-infected
155 fibroblasts with TGF β and assessed phospho- and total SMAD3 protein levels (Fig 2B).
156 Cells infected with the miR-UL22A knockout virus showed enhanced SMAD3
157 phosphorylation and increased SMAD3 protein levels compared to WT-infected cells but
158 did not reach the levels observed in mock-infected cells. We also assessed downstream
159 transcriptional targets of TGF β signaling after infection with WT or miR-UL22A knockout
160 virus (Fig 2C). Infection with miR-UL22A knockout virus resulted in significantly increased

161 JunB (p=0.03) and SERPINE (p<0.01) transcript levels compared to WT infected cells but
162 again infection with the Δ miR-UL22A mutant virus did not restore transcript levels to that
163 observed in mock-infected cells. Similar observations were made with endothelial cells
164 (Fig 2D-E) infected with WT and miR-UL22A knockout viruses. These data indicate that
165 miR-UL22A contributes to the blockade in TGF β signaling observed during HCMV
166 infection but, unlike in CD34⁺ HPCs where mutation of miR-UL22A completely restores
167 TGF β signaling, other viral factors contribute to the reduction in SMAD3 transcript and
168 protein levels during lytic infection.

169 **miR-UL22A downregulation of SMAD3 expression is important for viral replication**
170 **in the presence of exogenous TGF β**

171 The data presented here indicate that HCMV encodes multiple mechanisms to
172 reduce SMAD3 expression and block canonical TGF β signaling suggesting an anti-viral
173 role for TGF β during lytic infection. With this in mind, we assessed the functional
174 consequences of TGF β signaling on viral replication using the Δ miR-UL22A mutant virus
175 which does not fully attenuate signaling through the canonical TGF β signaling pathway
176 (Fig 2). We also utilized a Δ miR-UL22A mutant that expresses a SMAD3 shRNA in place
177 of the miR-UL22A hairpin (Δ miR-UL22A/SMAD3shRNA). We have previously determined
178 that expression of a SMAD3 shRNA in place of miR-UL22A results in SMAD3 protein
179 levels similar to WT infection (9). Additionally, we observed that expression of an shRNA
180 from the miR-UL22A locus restores TGF β -responsive transcript levels to those observed
181 during WT lytic infection (Fig 3A). We next performed multi-step growth analysis in
182 fibroblasts infected with WT, Δ miR-UL22A and Δ miR-UL22A/SMAD3shRNA where
183 exogenous TGF β was added every 3 days. As shown in Fig 3B, replication of WT virus is

184 unaffected by the addition of exogenous TGF β . However, the miR-UL22A knockout virus,
185 which shows an ~1 log growth defect upon low multiplicity infection, was further inhibited
186 for growth in the presence of exogenous TGF β . Expression of a SMAD3 shRNA in place
187 of miR-UL22A restored growth of the mutant virus to WT levels and showed no growth
188 defect upon TGF β treatment. This data suggests that reducing SMAD3 protein levels and
189 blocking the canonical TGF β signaling pathway is important for efficient lytic viral
190 replication.

191 **miR-UL22A targeting of SMAD3 regulates expression of IFN β and interferon** 192 **stimulated genes**

193 The negative effect of exogenous TGF β on lytic replication of the Δ miR-UL22A virus and
194 the restoration of mutant virus growth upon downregulation of SMAD3 implicates SMAD3
195 protein in anti-viral responses. SMAD proteins themselves have very weak affinity for
196 SMAD binding elements in the promoters of targeted genes, and most often interact with
197 additional DNA binding cofactors to mediate their effects (11). One cofactor known to
198 interact with SMAD3 is interferon regulatory factor 7 (IRF7) which, along with SMAD3,
199 has been shown to mediate activation of the IFN β promoter (25). Thus, we asked whether
200 miR-UL22A, through its ability to target SMAD3, could affect the induction of IFN β and
201 ISGs in response to a variety of stimuli. As shown in Fig 4, transfection of miR-UL22A,
202 SMAD3 siRNA or IRF7 siRNA significantly blocked the induction of IFN β or the ISG
203 RSAD2 (Viperin) in response to the cytosolic DNA stimuli ISD90 or UV-HCMV (Fig 4A,
204 C) and RNA stimuli, including the single-stranded RNA virus Sendai virus and polyI:C (Fig
205 4B, D). These data implicate both SMAD3 and IRF7 in IFN β and ISG induction in

206 fibroblasts and show that miR-UL22A, likely through targeting SMAD3, also interferes
207 with IFN β and ISG induction.

208 We next wanted to validate that the effects of miR-UL22A and SMAD3 knockdown on IFN
209 and ISG induction occurred through the well characterized STING and JAK/STAT
210 mediated signaling pathways. To do this we tested the effects of miR-UL22A and SMAD3
211 or IRF7 siRNA expression on IFN and ISG induction in previously constructed telomerized
212 human fibroblast (tHF) cell lines deficient for STING or the type I IFN receptor IFNAR
213 (Δ STING and Δ IFNAR) (26-28). STING is a key regulator in innate immune signaling
214 downstream of cGAS recognition of incoming viral DNA including the response to HCMV
215 infection (29, 30). In WT tHF cells, expression of miR-UL22A, SMAD3 or IRF7 siRNAs
216 reduced IFN α , IFN β and RSAD2 induction in response to ISD90, in agreement with the
217 data presented in Fig 4 in NHDF. In the absence of STING, IFNs and RSAD2 were not
218 induced by ISD90 stimulation and miR-UL22A and SMAD3 or IRF7 siRNAs did not further
219 affect expression (Fig 5A-C), indicating that SMAD3, as a target of miR-UL22A, functions
220 downstream of STING-mediated innate immune signaling. In Δ IFNAR cells, the initial
221 induction of IFN β detected, but further amplification mediated by the IFNAR/JAK/STAT
222 signaling pathway does not ensue (Fig 5D). We observed that miR-UL22A, SMAD3 and
223 IRF7 siRNAs attenuated the (reduced) induction of IFN β in Δ IFNAR cells (Fig 5D),
224 indicating that they function in the initial induction of IFN β that occurs prior to signal
225 amplification through the JAK/STAT pathway. IFN α and RSAD2 expression was
226 abrogated in Δ IFNAR cells and not further affected by expression of miR-U22A or SMAD3
227 or IRF7 siRNAs (Fig 5E-F) consistent with their functions as ISGs induced by JAK/STAT
228 signaling, in the case of RSAD2, and directly dependent on IRF7 expression, in the case

229 of IFN α (31-33). Thus, this data supports the hypothesis that miR-UL22A modulates
230 SMAD3-mediated induction of IFN β and downstream ISG induction.

231 In order to determine if the effect of miR-UL22A expression and knockdown of SMAD3
232 on IFN and ISG induction required IRF7, we derived an IRF7 knockout tHF cell line using
233 CRISPR/Cas9 genome editing (27, 28, 34). Endogenous IRF7 protein levels are generally
234 undetectable in many cell types, but its expression can be induced by IFN or other innate
235 immune stimuli (33). As shown in Fig 6A, IRF7 expression is induced by transfection of
236 the parental tHF cells with ISD90, but IRF7 protein was undetectable in the IRF7 knockout
237 tHF cell line. In addition, IRF7 plays a key role in the induction of IFN α (33, 35), which we
238 show is not induced in the IRF7 knockout cells following ISD90 treatment (Fig 6C), further
239 validating the Δ IRF7 cell line. We next tested the effects of miR-UL22A and SMAD3 or
240 IRF7 siRNA expression on IFN and ISG induction after treatment of Δ IRF7 tHF cells with
241 ISD90. In Δ IRF7 cells, type I IFN and ISG induction is abrogated, and miR-UL22A or
242 siRNAs targeting SMAD3 and IRF7 had no additional effect on gene expression
243 consistent with the hypothesis that miR-UL22A, through targeting SMAD3, affects IRF7-
244 mediated induction of IFN β and downstream ISGs.

245 **miR-UL22A targeting of SMAD3 limits induction of type I interferons and ISGs** 246 **during lytic infection**

247 In order to assess the role of miR-UL22A regulation of SMAD3 and IRF7-mediated
248 signaling in the context of HCMV infection, we next tested the induction of IFN transcripts
249 following infection with WT and Δ miR-UL22A mutant viruses. As shown in Fig 7A and B,
250 infection with the Δ miR-UL22A virus resulted in increased IFN β and IFN α transcript
251 accumulation both in the absence and presence of IFN treatment compared WT- and

252 Δ miR-UL22A/SMAD3shRNA-infected cells. We also measured IFN secretion following
253 infection with WT and miR-UL22A mutant virus (Fig 7C and D) and showed enhanced
254 secretion of IFN β and IFN α upon Δ miR-UL22A mutant virus infection that is reduced in
255 cells infected with Δ miR-UL22A/SMAD3shRNA virus. We then assessed the induction of
256 additional ISGs following infection with the Δ miR-UL22A mutant viruses and treatment
257 with IFN. As shown in Fig 7E and F, induction of IRF7 and RSAD2 is enhanced in cells
258 infected with Δ miR-UL22A mutant virus compared to WT- and Δ miR-
259 UL22A/SMAD3shRNA-infected cells after IFN treatment but does not reach levels
260 observed in IFN-treated, mock-infected cells. These data indicate that miR-UL22A,
261 through targeting SMAD3, is important for reducing type I IFN and ISG production during
262 lytic HCMV infection but also that other gene products are likely also involved in SMAD3
263 and IFN regulation.

264 **TGF β -mediated attenuation of lytic infection is mediated through SMAD3 and IRF7**

265 Finally, in order to determine whether the TGF β -mediated attenuation of replication of the
266 Δ miR-UL22A virus is due to the cross-talk between TGF β and innate immune signaling,
267 we analyzed the growth of WT and miR-UL22A mutant viruses in WT and Δ STING or
268 Δ IRF7 tHFs. Fig 8 demonstrates that while the Δ miR-UL22A mutant virus shows reduced
269 virus released into the supernatant upon TGF β treatment in parental tHFs compared to
270 WT and Δ miR-UL22A/SMAD3shRNA viruses (Fig 8A), this defect was abrogated in cells
271 lacking STING (Fig 8B), further supporting the role of innate immune signaling in
272 attenuating replication of a Δ miR-UL22A mutant virus. Moreover, the defect in Δ miR-
273 UL22A mutant virus growth is also abrogated in Δ IRF7 cells, (Fig 8C) indicating that IRF7
274 is directly involved in impeding Δ miR-UL22A growth in response to TGF β . Together, these

275 data support the hypothesis that SMAD3 and IRF7 cooperate to induce IFN production
276 during HCMV infection, which has negative effects on viral replication.

277

278 **Discussion**

279 In this study we show that SMAD3, a TGF β receptor-associated SMAD,
280 cooperates with IRF7 to induce type I IFN during HCMV infection. miR-UL22A, through
281 downregulating SMAD3 expression, plays an important role in regulating IRF7-mediated
282 IFN production and viral replication during lytic infection. Infection with virus lacking miR-
283 UL22A results in enhanced IFN production and release, enhanced downstream ISG
284 induction and inhibition of growth in the presence of exogenous TGF β . However, if the
285 Δ miR-UL22A mutant expresses an shRNA targeting SMAD3, type I IFN and ISG induction
286 as well as viral replication returns to WT levels, directly implicating the regulation of
287 SMAD3 expression by miR-UL22A in modulation of IFN production and efficient viral
288 replication.

289 Herpesviruses manipulate the intrinsic and innate IFN signaling pathways to aid in
290 their replication cycles, which is especially important during lytic infection, where efficient
291 viral gene expression and new virion production is paramount. In order to induce the
292 production of IFNs after recognition of viral infection by pattern recognition receptors, the
293 transcription factors IRF3 and IRF7 are phosphorylated by the kinase TBK1, translocate
294 to the nucleus and, along with c-Jun, ATF2, NF κ B and CBP/p300, bind to the *Ifnb*
295 promoter to induce its expression (36). Autocrine IFN signaling through the IFN receptor
296 then stimulates the production of more IRF7 and thus more type I IFN via positive
297 feedback (35, 37), establishing IRF7 as a ‘master regulator’ of IFN production (35). In this

298 study we show that SMAD3, a TGF β receptor-associated SMAD, cooperates with IRF7
299 to induce type I IFNs during HCMV infection, highlighting a unique interconnection
300 between TGF β and IFN signaling.

301 An interaction between IRF7 and SMAD3 was first postulated due to the similarity
302 of each transactivation domain and the fact that, upon phosphorylation, both proteins
303 undergo structural rearrangements that promote complex formation (25). SMAD3 was also
304 shown to interact with IRF7 (but not IRF3) at the *Irf7* promoter in mouse embryonic
305 fibroblasts and this interaction was critical for *Irf7* transcription (25). Here we show that
306 both IRF7 and SMAD3 are required for induction of IFN and ISG transcripts in response
307 to a variety of PAMPs in human fibroblasts (Fig 4). The effect of miR-UL22A and SMAD3
308 siRNA on type I IFN and ISG induction was abrogated in the absence of the signaling
309 adaptor STING (Fig 5A-C), indicating that the SMAD3, like IRF7, functions as a
310 component of the IFN-terminal innate immune signaling pathway. The hypothesis that
311 SMAD3 and IRF7 work together in the initial induction of IFN β is supported by the
312 observations using Δ IFNAR cells, which are capable of the initial IFN β production
313 following infection but cannot amplify the response. Expression of miR-UL22A and
314 SMAD3 or IRF7 siRNA blocks the initial induction of IFN β in Δ IFNAR cells (Fig 5D).
315 Furthermore, miR-UL22A and SMAD3 siRNA have no effect on the low levels of IFN β
316 transcription in IRF7 knockout cells (Fig 6B-D), suggesting a cooperative function of
317 SMAD3 and IRF7 in the induction of type I IFNs and downstream ISG induction in human
318 fibroblasts.

319 The functional significance of SMAD3 targeting by miR-UL22A during lytic infection
320 is underscored by the increased IFN α and IFN β expression and secretion upon infection

321 with a Δ miR-UL22A mutant virus (Fig 7). This enhanced type I IFN response results in
322 increased ISG induction in the absence of miR-UL22A expression, although not to levels
323 observed in mock-infected cells indicating that likely other SMAD3-targeting gene
324 products are involved in this process (Fig 7 E&F). Critically, replacing the miR-UL22A
325 hairpin locus with an shRNA targeting SMAD3 reduces type I IFN expression and
326 secretion, along with ISG induction, to levels seen during WT infection. This indicates
327 both the necessity and sufficiency of targeting SMAD3 for HCMV-mediated impairment
328 of IFN. The negative effect of TGF β on replication of the Δ miR-UL22A mutant virus is
329 abrogated in cell lines lacking STING or IRF7 (Fig 8), further supporting the hypothesis
330 that SMAD3 and IRF7 function together to limit replication of HCMV through the induction
331 of a type I IFN response.

332 Regulation of IRF7 expression and function is utilized by α - and γ -herpesviruses
333 as a means to dampen the IFN response during infection. Kaposi's Sarcoma-associated
334 Herpesvirus (KSHV) and Herpes Simplex virus (HSV) encode proteins that sequester or
335 degrade IRF7 (38-45). In contrast, during Epstein Barr virus (EBV) latent infection, IRF7
336 expression is stimulated by latent membrane protein-1 (LMP-1) (46-49) which in turn
337 regulates expression of the EBNA1 Q promoter (50) and LMP-1 itself. However, during
338 reactivation, EBV IE proteins BZLF1 (51) and LF2 (52) bind and repress IRF7 activity and
339 BRLF1 downregulates IRF7 expression and IFN β production (53). Thus, along with the
340 data presented here for HCMV, it is clear that targeting IRF7 expression and/or function
341 during lytic infection is a common theme amongst the herpesvirus family.

342 The role of TGF β signaling in herpesvirus infection is highly relevant yet complex,
343 with differing effects on lytic and latency stages of the lifecycle. TGF β treatment of EBV

344 latently infected cells can induce reactivation via SMAD binding to the *BZLF1* promoter
345 (54). Thus, EBV encodes factors that directly or indirectly interfere with components of
346 the TGF β signaling pathway (55-57). Additionally, EBV proteins upregulate the cellular
347 miRNA miR-146a which directly targets SMAD4 (58, 59). Likewise, KSHV proteins induce
348 the expression of the cellular miR-17-92 family, which targets SMAD2 (60). KSHV also
349 encodes proteins (61-63) and miRNAs (64, 65) that target components of the TGF β
350 signaling pathway. In contrast, infection of human mononuclear cells with HSV-1 induces
351 TGF β production (66) and use of conditional TGF β knockout mouse models suggests that
352 TGF β signaling, while dampening the innate immune response, enhances HSV-1 latency
353 (67).

354 Similar to HSV-1, the HCMV major immediate early proteins can activate the TGF β
355 promoter, which occurs indirectly through IE2 transactivation of the cellular immediate
356 early transcription factor EGR-1, but was also shown to require additional viral factors
357 during infection (6, 7). Recent studies implicate miR-US5-2 targeting of the transcription
358 repressor NAB1 as one of the possible additional mechanisms (9). Furthermore, HCMV
359 IE1 and IE2 induce the expression of MMP-2 in renal tubular epithelial cells, which may
360 result in enhanced activation of latent TGF β in the extracellular matrix of HCMV-infected
361 cells and contribute to the fibrosis observed during transplantation (68). Thus, CMV
362 infection induces the production of TGF β by multiple mechanisms but encodes multiple
363 factors to block the canonical TGF β signaling pathway (Figs 1-3).

364 While removal of the pre-miR-UL22A sequence from the viral genome results in
365 enhanced SMAD3 protein levels and expression of classical downstream TGF β
366 transcriptional targets during lytic infection, responses do not return to levels observed in

367 mock-infected cells (Fig 2B-E, 7E&F), suggesting that the virus uses additional
368 mechanisms (utilizing viral proteins and/or additional miRNAs or long non-coding RNAs)
369 to alter SMAD3 mRNA and protein levels and inhibit the TGF β signaling pathway.
370 Possible additional mechanisms utilized by HCMV to to manipulate the TGF β signaling
371 pathway remain to be identified, but could include inhibiting SMAD3 transcription
372 initiation, affecting the expression or stability of additional members of the signaling
373 pathway or induction of negative pathway regulators, such as the I-SMADs. Interestingly,
374 HCMV blocks the signaling pathway of the related TGF β family member activin by directly
375 targeting the activin receptor (ACVR1B) using miR-UL148D to prevent the production and
376 release of IL6 in monocytes (69).

377 The interplay between type I IFN and TGF β signaling during HCMV infection
378 highlights the complex interconnection of signaling pathways that are regulated by viral
379 proteins and non-coding RNAs. An inability to downregulate SMAD3 during latent
380 infection results in a loss of viral genomes from the infected cells (9) and whether this
381 phenotype is due to enhanced IFN signaling remains to be determined. Future studies
382 will explore how this novel interplay between the TGF β and IFN signaling pathways is
383 important for HCMV latency in hematopoietic progenitor cells.

384

385 **Materials and Methods**

386 **Cell lines:** Normal human dermal fibroblasts (NHDF), human foreskin fibroblasts (stably
387 transduced with constitutively expressed human telomerase reverse transcriptase and
388 the IRF/IFN-responsive pGreenFire-ISRE lentivector; tHF) (27) and the tHF Δ STING (26),
389 Δ IFNAR (28) and Δ IRF7 cell lines were cultured in Dulbecco's modified Eagle's medium

390 (DMEM) supplemented with 5% heat-inactivated fetal bovine serum (FBS; HyClone), 100
391 units/ml penicillin, and 100ug/ml streptomycin (ThermoFisher). Human aortic endothelial
392 cells (AEC) (CC-2535; Lonza) were cultured in EBM-2 basal medium with EGM-2
393 SingleQuots™ supplement excluding Heparin (Lonza), as well as 10% FBS, penicillin,
394 and streptomycin. All cells were maintained at 37°C and 5% CO₂. Recombinant human
395 TGFβ and Universal Type I IFN was obtained from R&D Systems.

396 **HCMV Constructs and infections**

397 HCMV used in this study include BAC-generated WT TB40/E expressing GFP from the
398 SV40 promoter (70), a TB40/E mutant virus lacking the pre-miR-UL22A sequence or a
399 TB40/E mutant virus with the pre-miR-UL22A sequence replaced by a SMAD3 shRNA
400 generated by galk-mediated recombination (9). All virus stocks were propagated and
401 titered on NHDFs. Fibroblasts were infected with HCMV at three plaque-forming units
402 (PFU)/cell and hAEC were infected with HCMV at five PFU/cell for 2 hours at 37°C.
403 After this time, the inoculum was removed and replaced with fresh medium and samples
404 were harvested as appropriate for each experiment. For experiments involving TGFβ
405 stimulation, cells were infected as above for 48 hours followed by serum starvation
406 overnight. The next day cells were treated with 100pg/mL TGFβ for 4 hours. Multi-step
407 growth curves were performed in duplicate using NHDF, tHF or derivatives infected with
408 0.01 PFU/cell in DMEM containing 1% FBS and recombinant TGFβ (100pg/mL) was
409 added immediately after infection and every 3 days thereafter. UV inactivation of HCMV
410 was performed using the Spectrolinker XL-1000 (Spectronics Corporation) by exposing
411 virus resuspended in 200 μl for 30 s at 600 μJ three times sequentially (71). Sendai

412 virus (SeV) was obtained from Charles River Laboratories and used at 160
413 hemagglutination units (HAU)/mL.

414 **Transfections:** NHDF or tHF cells seeded in 12 well plates were transfected with 40uM
415 siRNAs (SMAD3 and IRF7; ThermoFisherScientific) or miRNA mimics (custom
416 designed; IDT) per well using Lipofectamine RNAiMax (ThermoFisherScientific)
417 according to the manufacturer's instructions. 33ug/mL ISD90 (IDT) and 16.5ug/mL
418 polyI:C (Invivogen) was transfected into cells using Lipofectamine 3000 according to the
419 manufacturer's instructions.

420 **qRT-PCR:** Total RNA was isolated from transfected or infected cells using the Trizol RNA
421 isolation method. cDNA was prepared using 1000ng of total RNA and random hexamer
422 primers. Samples were incubated at 16°C for 30 minutes, 42°C for 30 minutes and 85°C
423 for 5 minutes. Real-time PCR (Taqman) was used to analyze cDNA levels in transfected
424 or infected samples. An ABI StepOnePlus Real Time PCR machine was used with the
425 following program for 40 cycles: 95°C for 15 sec and 60°C for one minute. Relative
426 expression was determined using the $\Delta\Delta C_t$ method using 18S as the standard control.
427 JunB, SERPINE, SMAD3, TGFB1, IFNA1, IFNB1, RSAD2, IRF7 and 18S primer/probe
428 sets were obtained from ThermoFisher Scientific.

429 **Immunoblotting:** Protein extracts were run on an 8% SDS-PAGE, transferred to
430 Immobilon-P Transfer Membranes (Millipore Corp., Bedford, MA), and visualized with
431 specific antibodies: phosho-SMAD3 (Abcam), total SMAD3 (Abcam), IE86 (mAb 810;
432 Millipore), IRF7 (Santa Cruz) and GAPDH (Abcam). Relative intensity of bands detected
433 by western blotting was quantitated using ImageJ software.

434 **ELISAs:** Supernatants harvested from infected cells were centrifuged at maximum
435 speed for 30sec to remove cell debris and stored at -80°C prior to cytokine
436 measurements. $\text{IFN}\alpha$ was quantified using the pan- $\text{IFN}\alpha$ ELISA kit (Stem Cell
437 Technologies). $\text{IFN}\beta$ was quantified using human IFN -beta Quantikine ELISA kit (R&D
438 Systems). All measurements were made following the manufacturers' protocols.

439 **Construction of IRF7 KO fibroblasts:** Genome editing using lentivirus-mediated
440 delivery of CRISPR-Cas9 components was performed generally as described previously
441 (27, 28, 34). Briefly, a 20 nucleotide guide RNA (gRNA) sequence targeting the IRF7
442 protein-coding region was inserted into the lentiCRISPRv2 vector (Addgene; catalog
443 #52961). The IRF7 guide sequences used was: TACACCTTGTGCGGGTCGGC. tHF
444 cells stably transduced with the IFN -responsive pGreenFire-ISRE lentivector (System
445 Biosciences) were further stably transduced with the lentiCRISPRv2 vector, selected
446 using puromycin at $3\mu\text{g}/\text{mL}$, and IRF7 knockdown was confirmed by western blotting.

447 **Statistical Analysis:** The Student's two tailed t test (Microsoft Excel software) was used
448 to determine p values. Results were considered significant at a probability (p) < 0.05 .

449

450 **Acknowledgments**

451 The authors would like to acknowledge members of the Nelson and Defilippis labs for
452 helpful discussions.

453

454 **Figure Legends**

455 **Fig 1. HCMV infection blocks canonical $\text{TGF}\beta$ signaling.** NHDF (A) of hAEC (B) were
456 infected with WT TB40E for 48 hours, followed by overnight serum starvation and

457 stimulation with recombinant TGF β (100pg/mL) for 4 hours. RNA was isolated and
458 subjected to qRT-PCR for JunB and SERPINE. Experiments were performed in triplicate.
459 * $p < 0.05$ by two tailed Student's t test. NHDF (C) or hAEC (D) were infected as above
460 and protein lysates subjected to immunoblotting using the indicated antibodies.

461

462 **Fig 2. miR-UL22A targets SMAD3 during lytic infection.** (A) NHDF were infected for 3
463 or 6 days with the indicated virus and then RNA was isolated and subjected to qRT-PCR
464 for SMAD3. Experiments were performed in triplicate. NHDF (B) or hAEC (D) were
465 infected with the indicated viruses for 48 hours followed by overnight serum starvation
466 and stimulation with TGF β (100pg/mL) for 4 hours. Protein lysates were subjected to
467 immunoblotting using the indicated antibodies. NHDF (C) or hAEC (E) were infected as
468 in (B) and RNA was isolated followed by qRT-PCR for JunB or SERPINE. Experiments
469 were performed in triplicate. * $p < 0.05$ by two tailed Student's t test.

470

471 **Fig 3. Targeting SMAD3 for downregulation is necessary for efficient lytic**
472 **replication.** (A) NHDF were infected with the indicated viruses for 48 hours followed by
473 overnight serum starvation and stimulation with recombinant TGF β (100pg/mL) for 4
474 hours. RNA was isolated and subjected to qRT-PCR for JunB and SERPINE.
475 Experiments were performed in triplicate. * $p < 0.05$ by two tailed Student's t test. (B) NHDF
476 were infected at 0.01 PFU/mL for 2 hours. 100pg/mL TGF β was added immediately after
477 infection and every 3 days throughout the experiment. Samples were harvested at the
478 indicated timepoints and titered on NHDF. Experiment was performed in duplicate.

479

480 **Fig 4. miR-UL22A and SMAD3 siRNA affect IFN and ISG induction in response to**
481 **multiple stimuli.** (A-D) NHDF were transfected with negative control, miR-UL22A mimic
482 or SMAD3 or IRF7 siRNA. 24 hours post-transfection cells were either: (A, C) infected
483 with UV-inactivated HCMV or transfected with ISD90 for a further 24 hours or (B, D)
484 infected with Sendai virus or transfected with polyI:C for a further 24 hours. After this time,
485 RNA was isolated and qRT-PCR was performed for IFN β or RSAD2. All experiments were
486 performed in triplicate. * $p < 0.05$ by two tailed Student's t test.

487
488 **Fig 5. SMAD3 participates in STING- and IFN receptor-mediated signaling.** tHF,
489 Δ STING (A-C) or Δ IFNAR (D-F) tHFs were transfected with negative control, miR-UL22A
490 mimic or SMAD3 or IRF7 siRNA for 48 hours after which cells were additionally mock
491 transfected or transfected with ISD90 for 16 hours. RNA was isolated and subjected to
492 qRT-PCR for IFN β , IFN α 1 or RSAD2. All experiments were performed in triplicate. *
493 $p < 0.05$ by two tailed Student's t test.

494
495 **Fig 6. SMAD3 participates in IRF7-mediated signaling.** (A) tHF or Δ IRF7 tHFs were
496 transfected with ISD90 for 16 hours. Protein lysates were subjected to immunoblotting for
497 IRF7 or GAPDH. (B-D) tHF or Δ IRF7 tHFs were transfected with negative control, miR-
498 UL22A mimic or SMAD3 or IRF7 siRNA for 48 hours after which cells were additionally
499 mock transfected or transfected with ISD90 for 16 hours. RNA was isolated and subjected
500 to qRT-PCR for IFN β , IFN α 1 or RSAD2. All experiments were performed in triplicate. *
501 $p < 0.05$ by two tailed Student's t test.

502

503 **Fig 7. miR-UL22A targeting of SMAD3 regulates IFN and ISG induction.** (A-B) NHDFs
504 were infected with the indicated viruses for 48 hours followed by treatment with uIFN
505 (1000U/mL) for 16 hours. RNA was isolated and subjected to qRT-PCR for IFN β and
506 IFN α 1. (C-D) NHDFs were infected as in (A) and supernatants were harvested after 72
507 hours. ELISAs were performed for (C) IFN β and (D) pan-IFN α . (E-F) NHDF were infected
508 as in (A) and treated with IFN after 24 hours of infection. RNA was isolated 48 hours post-
509 infection and subjected to qRT-PCR for IRF7 and RSAD2. All experiments were
510 performed in triplicate. * $p < 0.05$ by two tailed Student's t test.

511

512 **Fig 8. TGF β impairs lytic replication in coordination with IRF7.** tHF (A), Δ STING (B)
513 or Δ IRF7 (C) tHFs were infected at 0.01 PFU/mL. TGF β was added (100pg/mL) after
514 initial infection and again at days 6 and 9. Supernatants were harvested and titered in
515 NHDF. Experiments were performed in duplicate.

516

517

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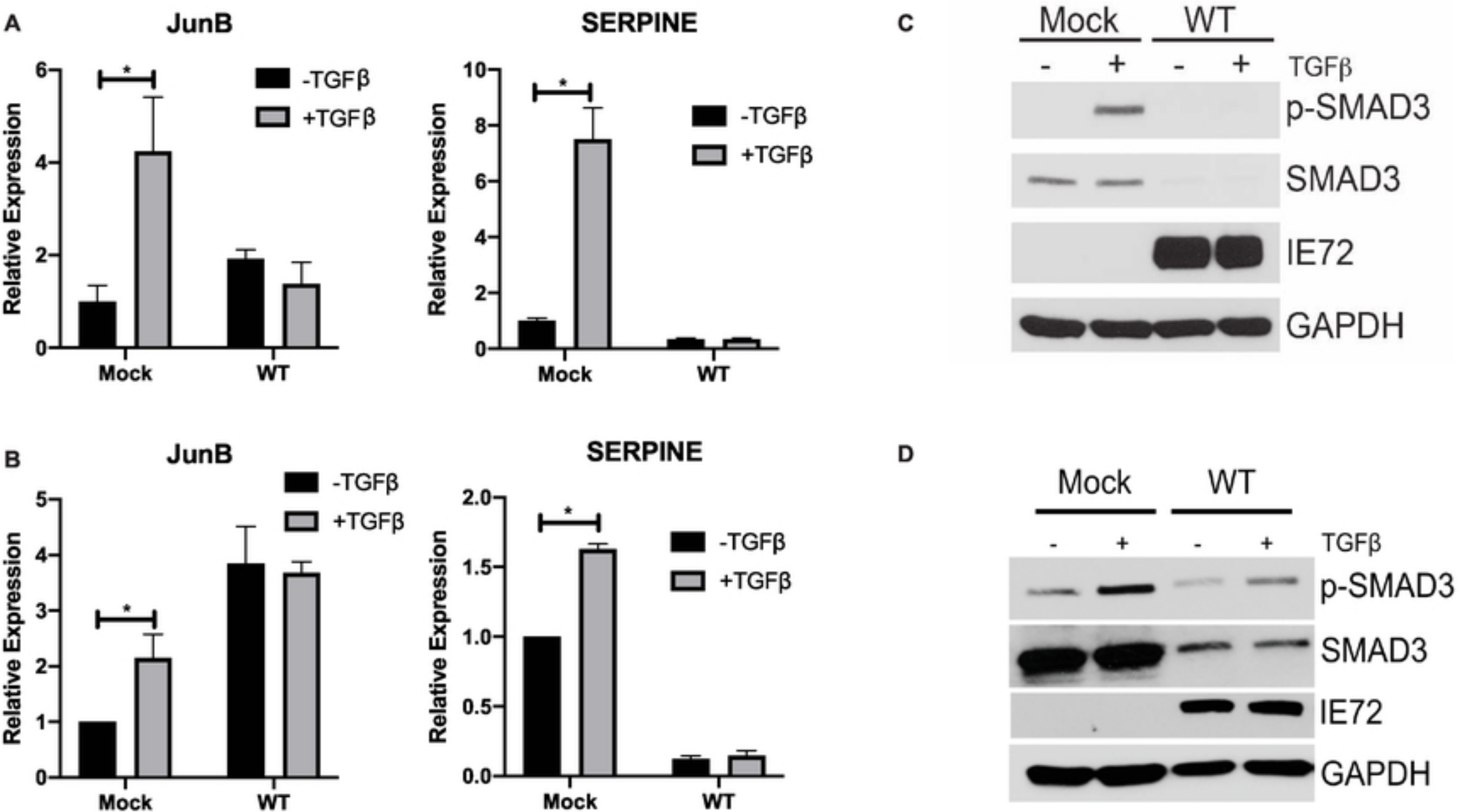


Figure 1

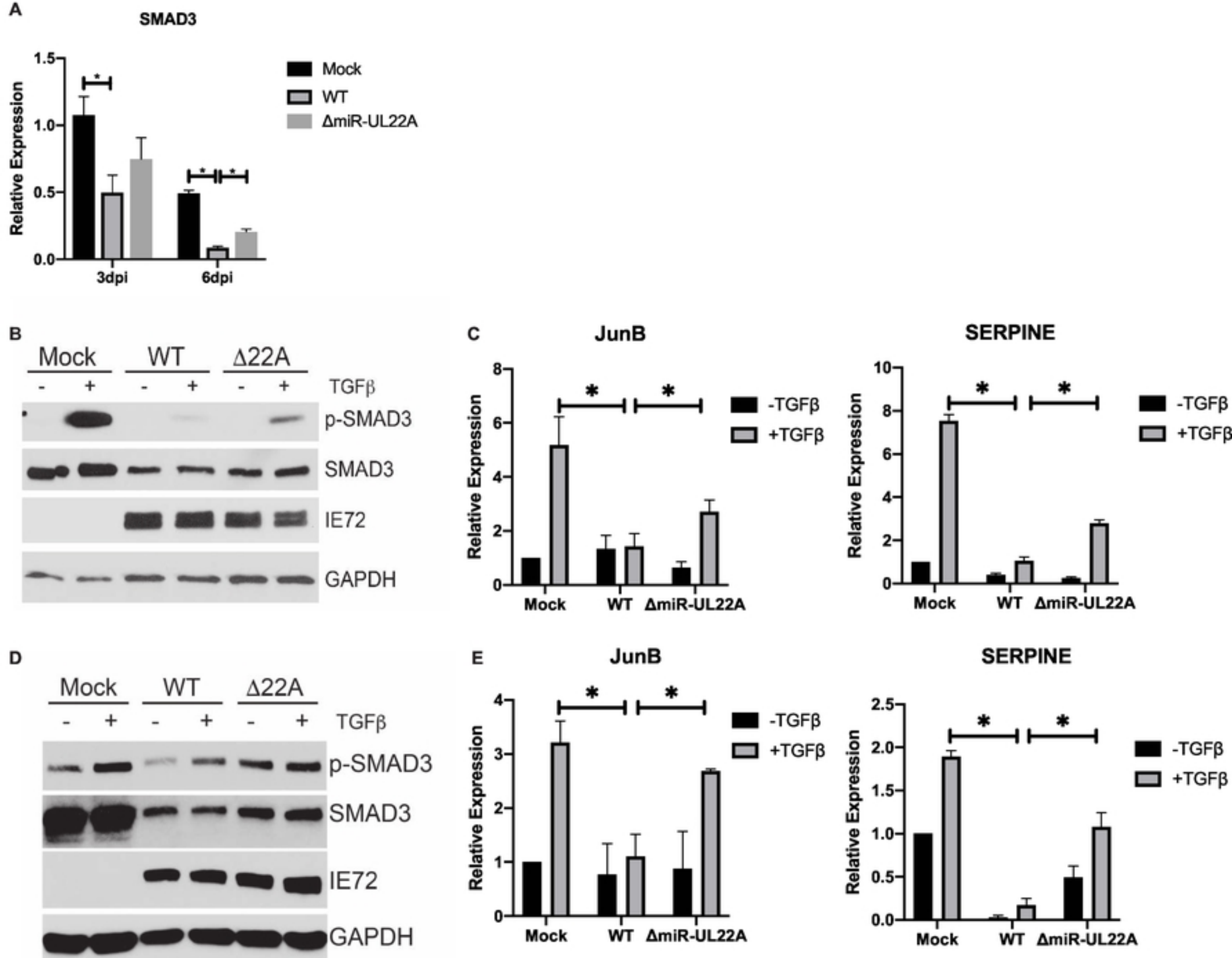


Figure 2

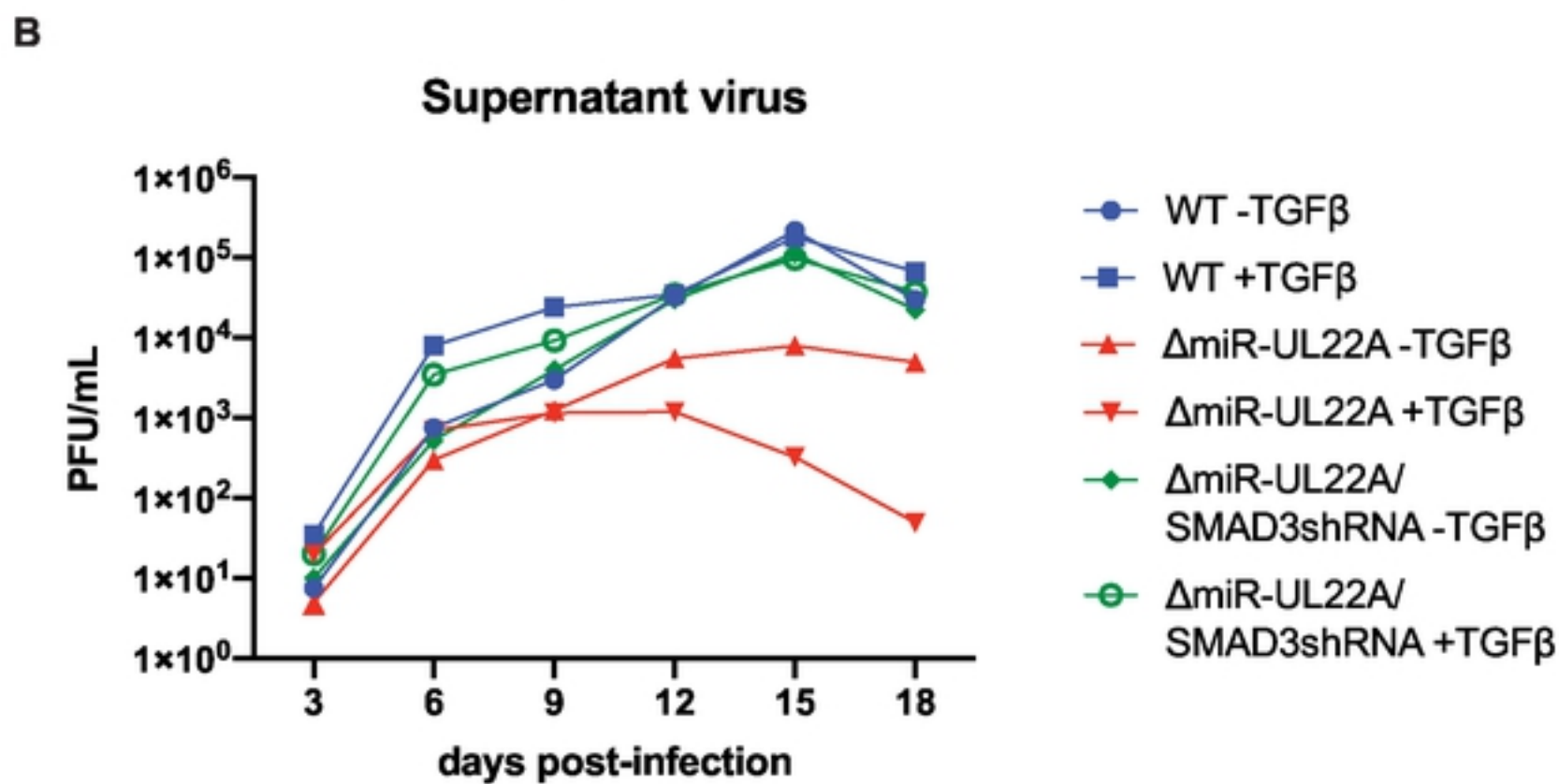
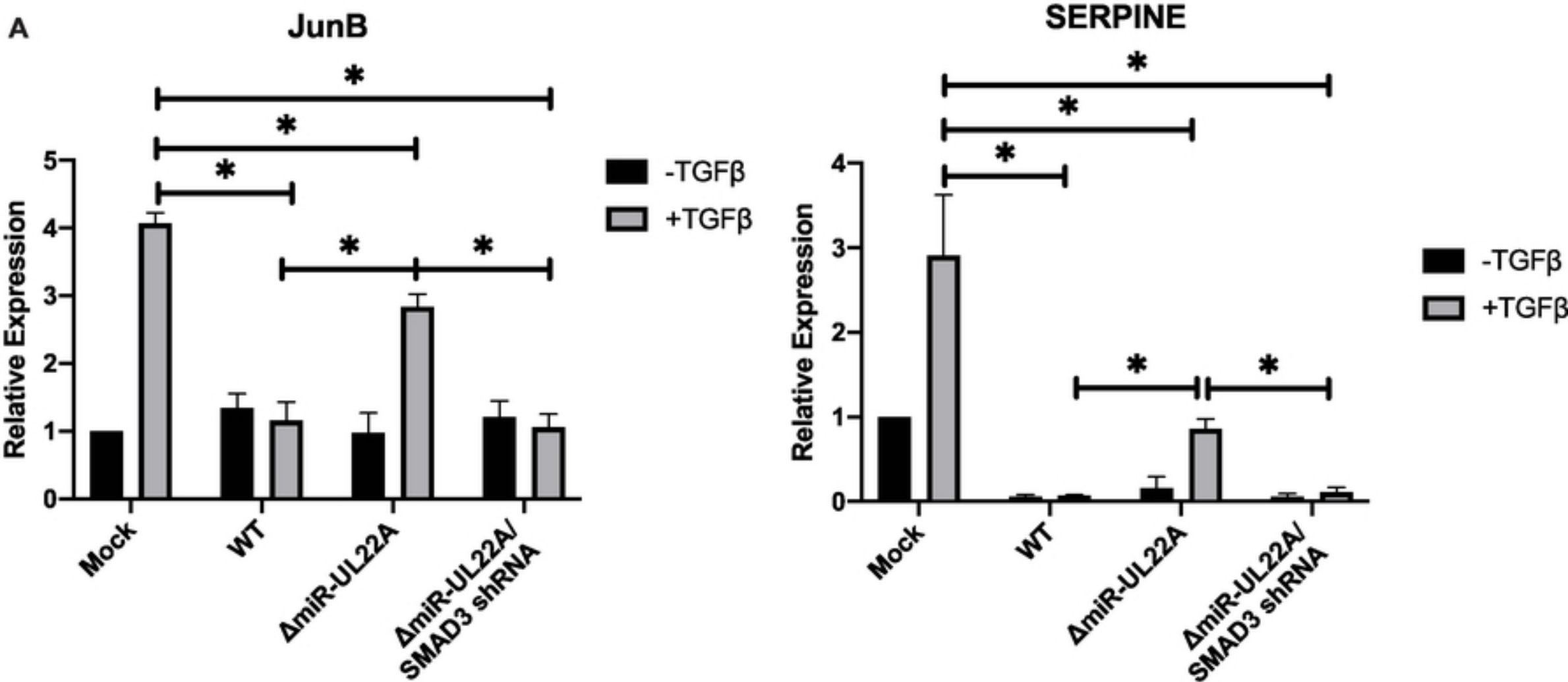
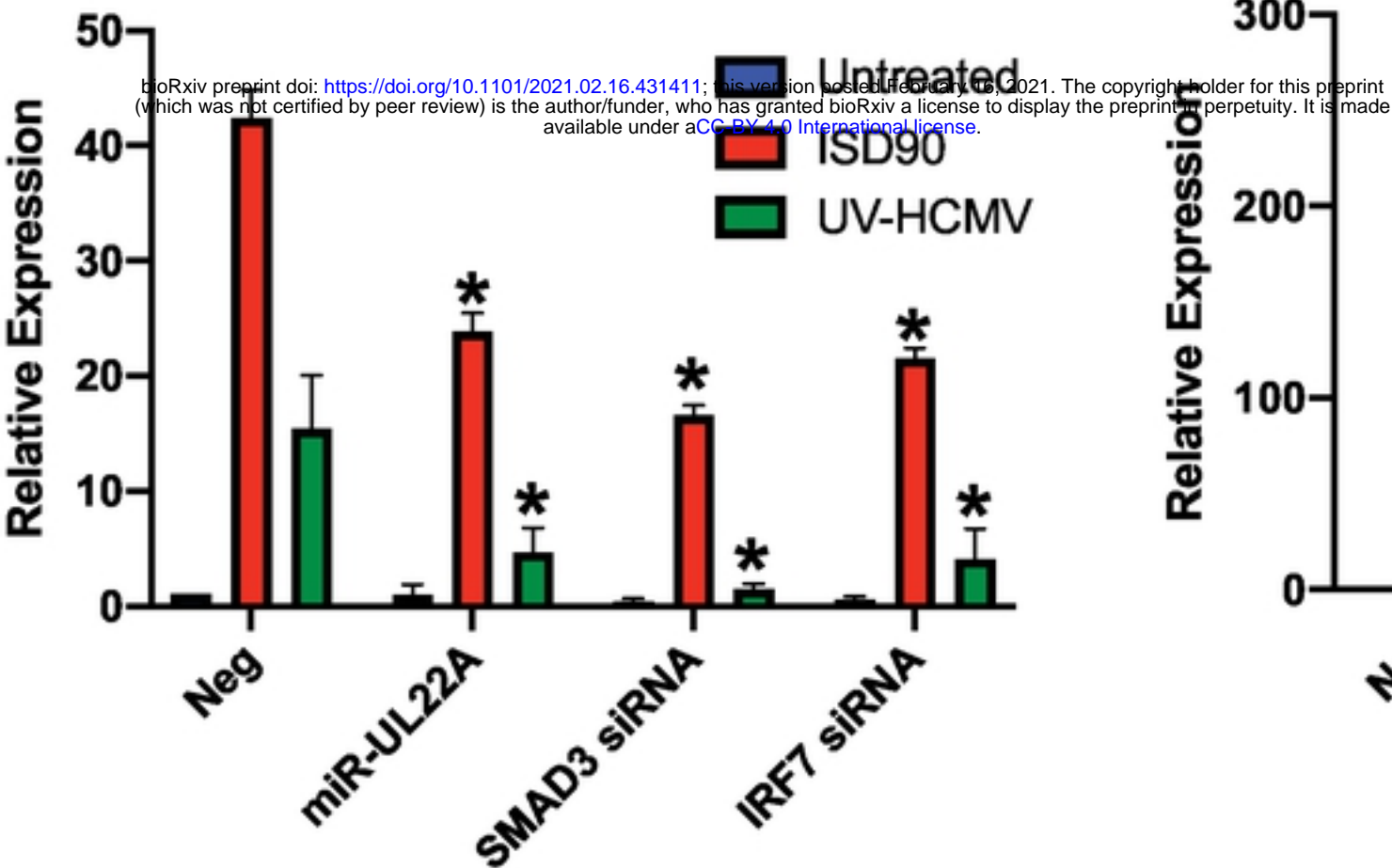
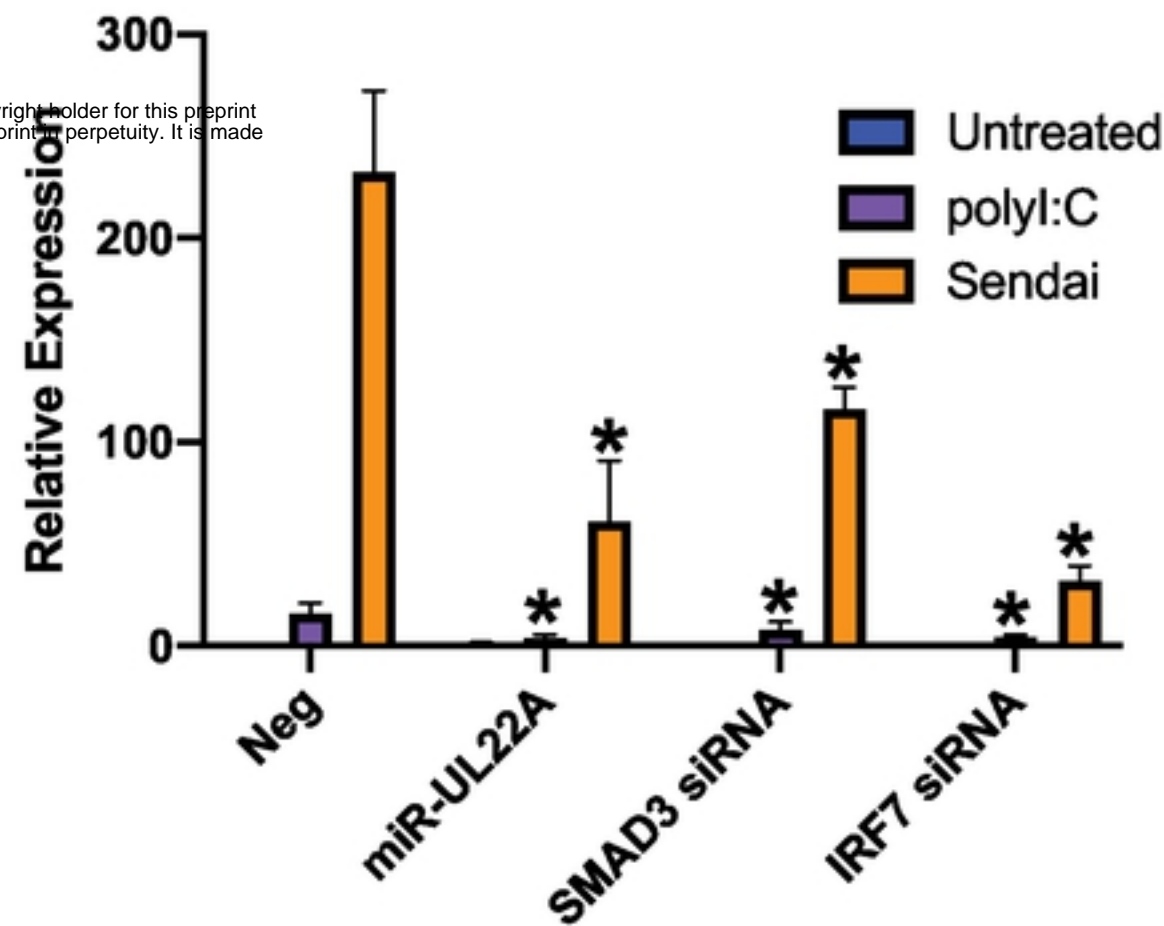


Figure 3

A

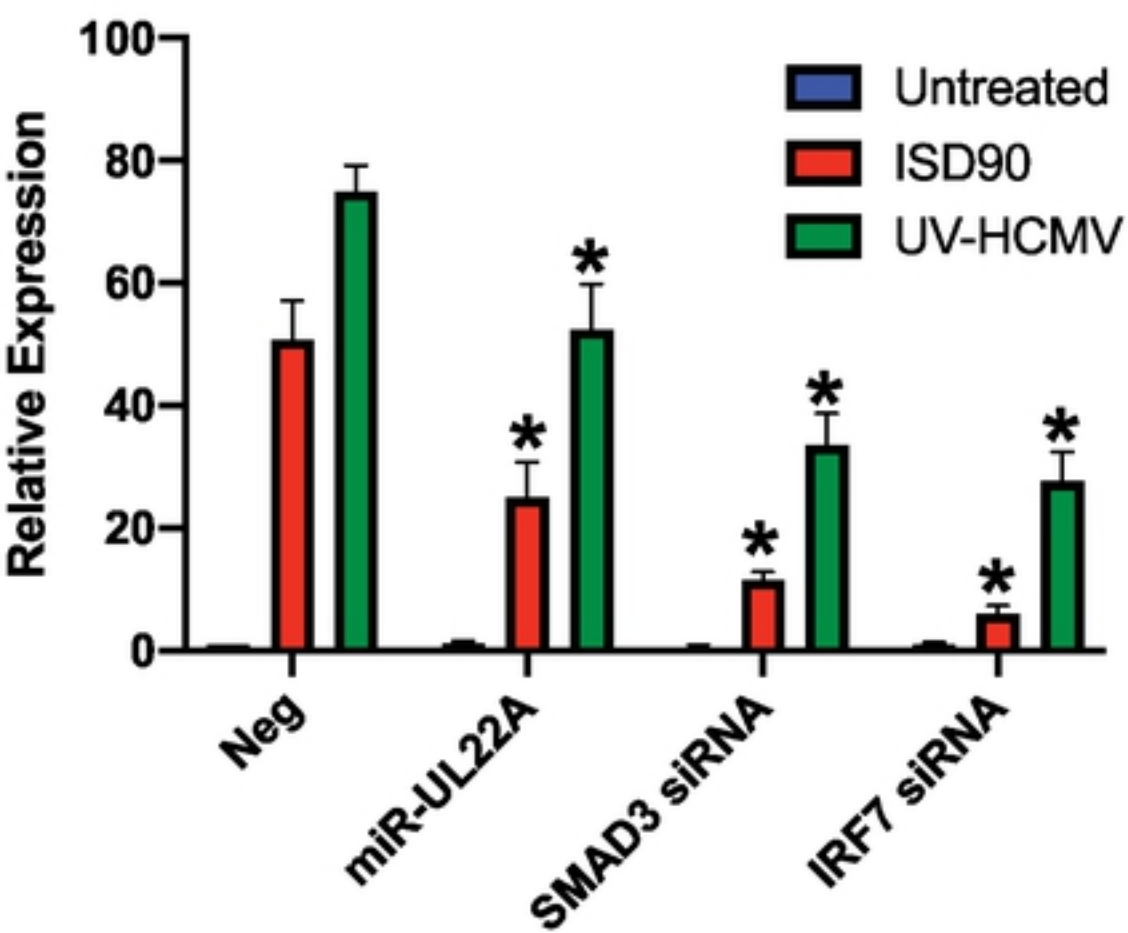
IFN β 

B

IFN β 

C

RSAD2



D

RSAD2

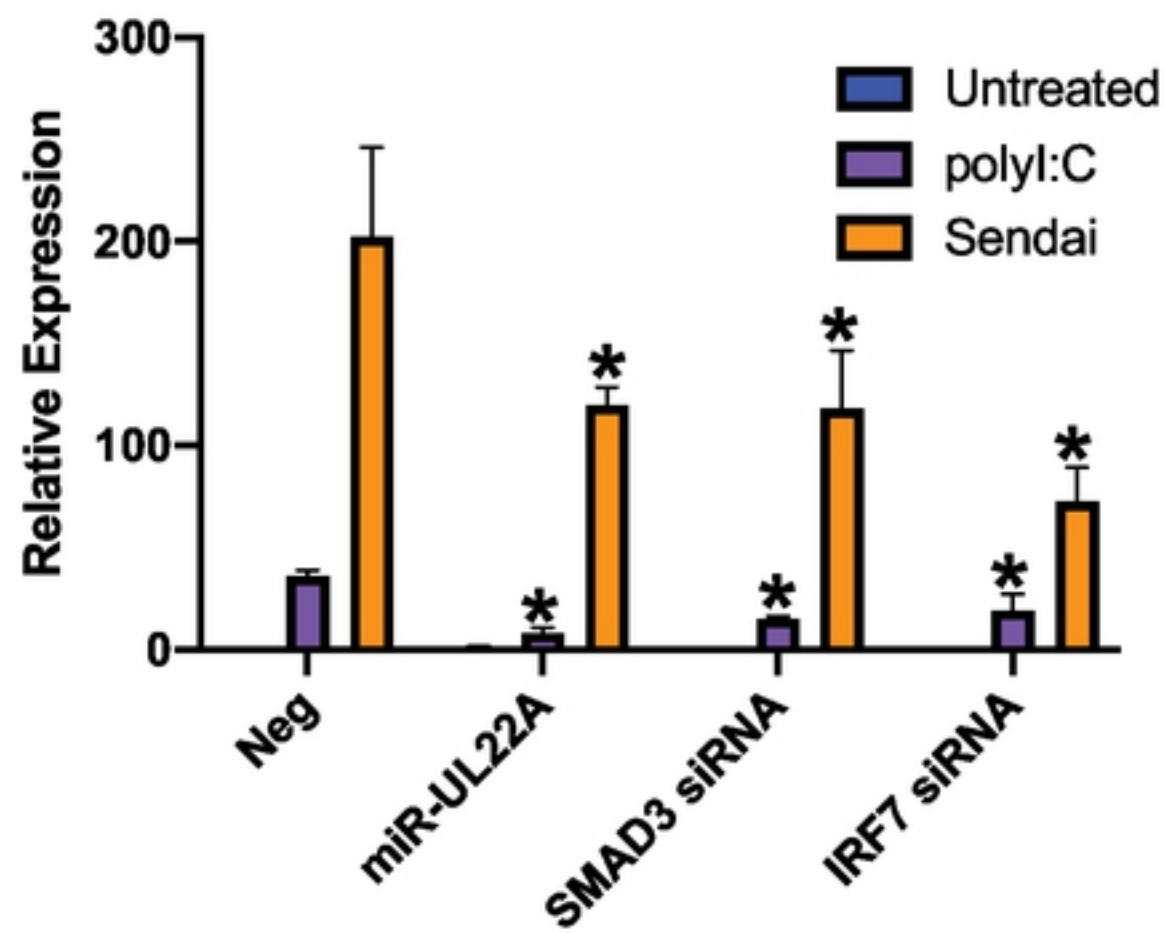


Figure 4

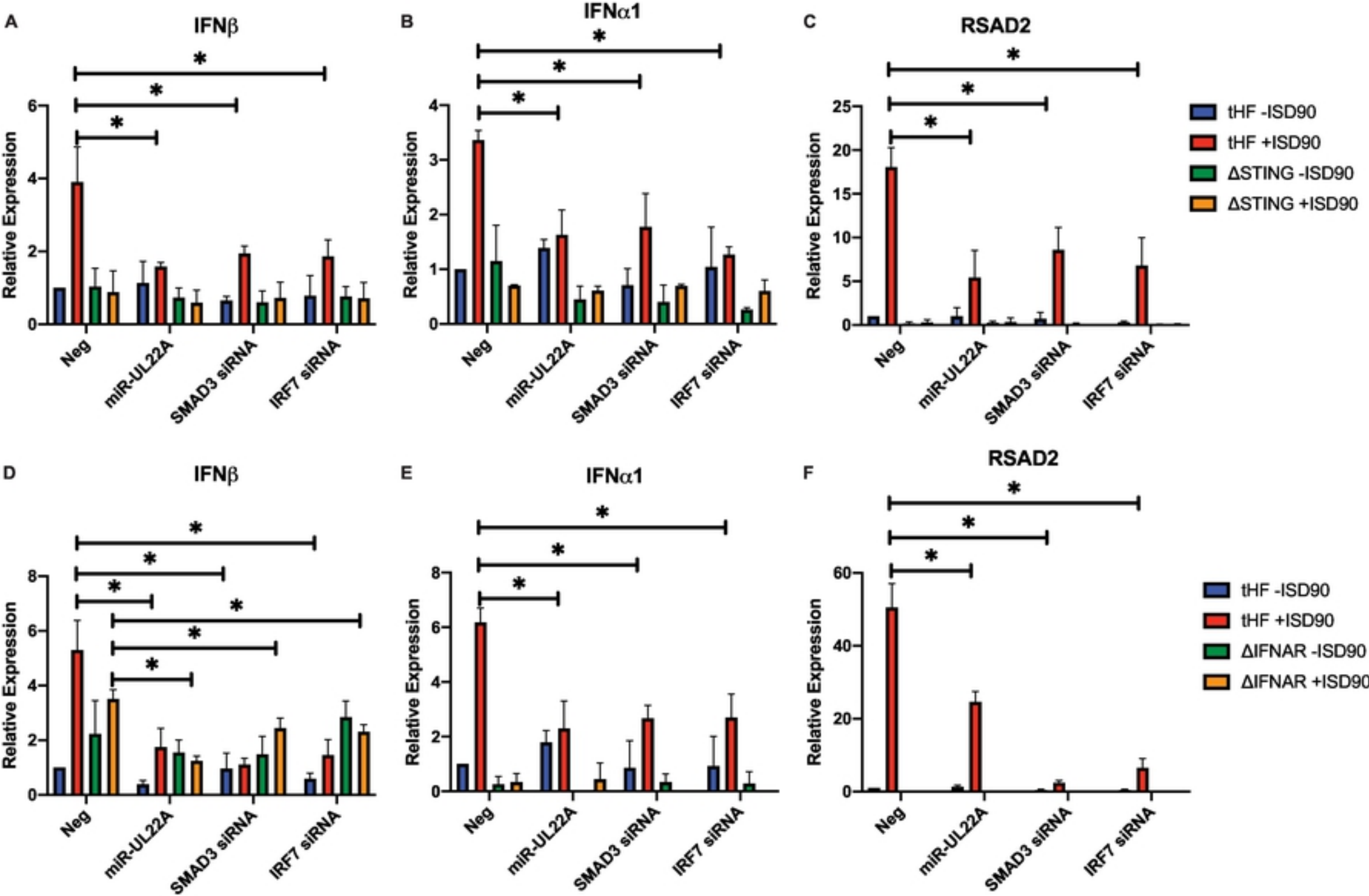


Figure 5

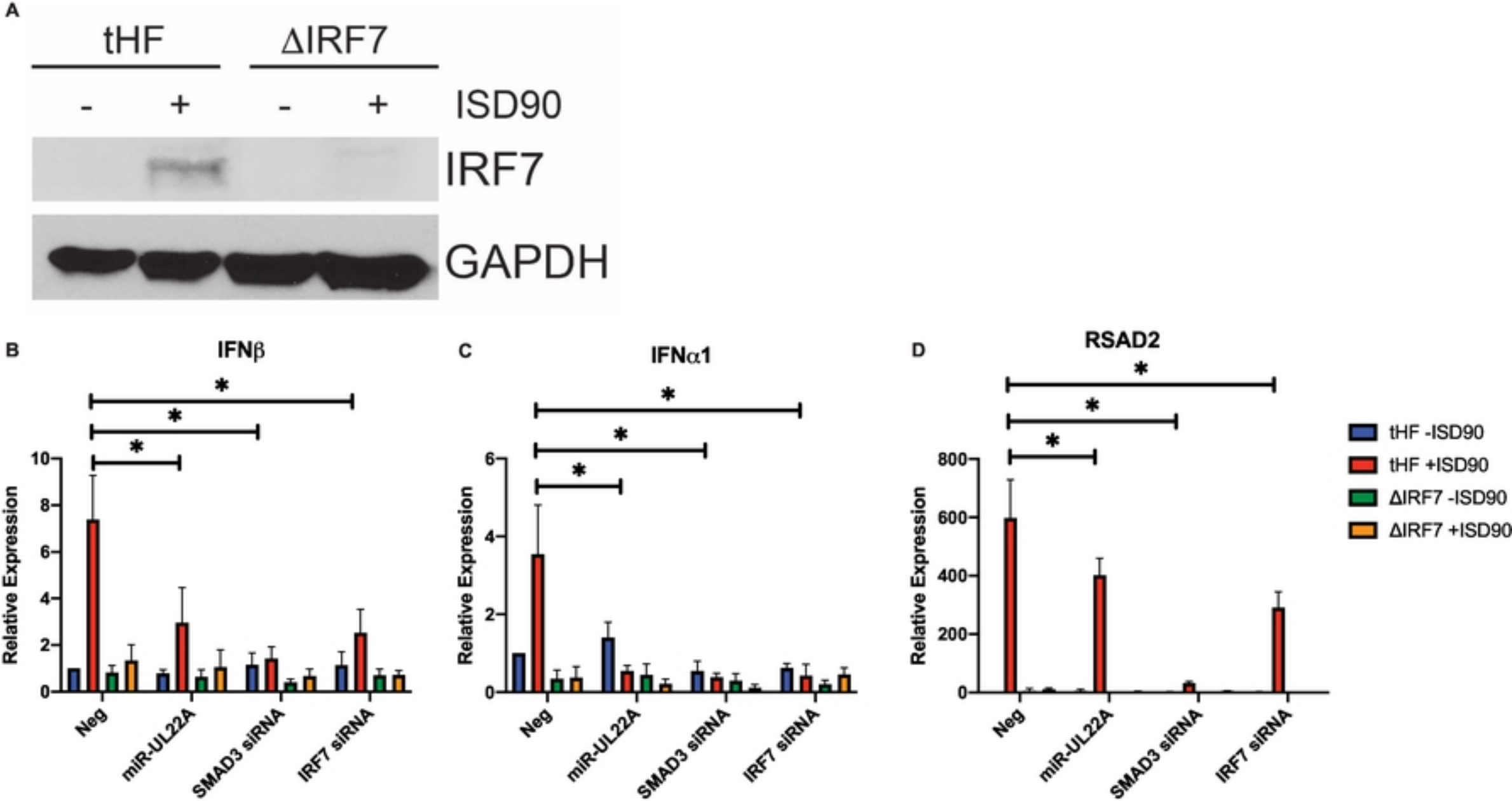


Figure 6

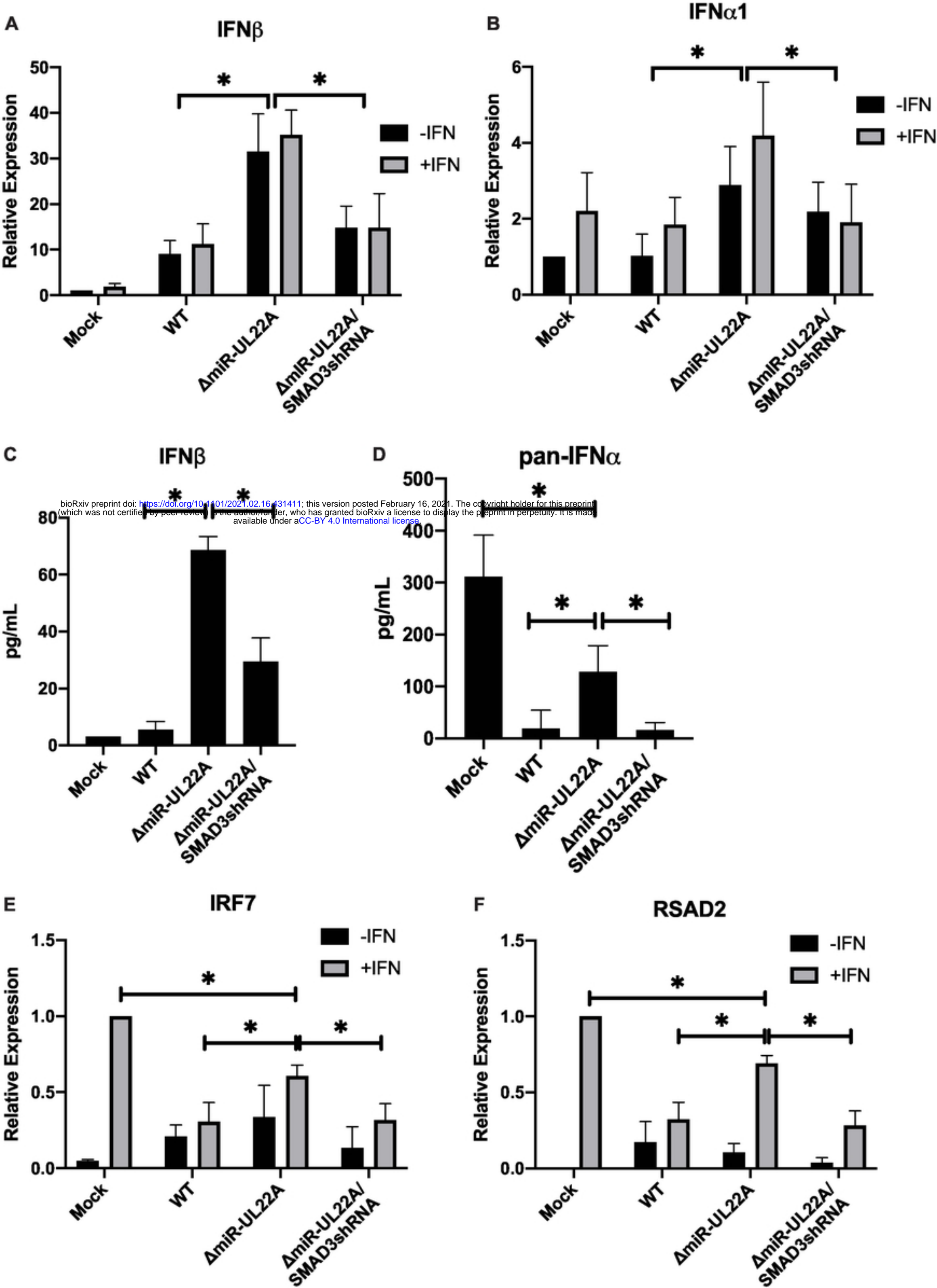


Figure 7

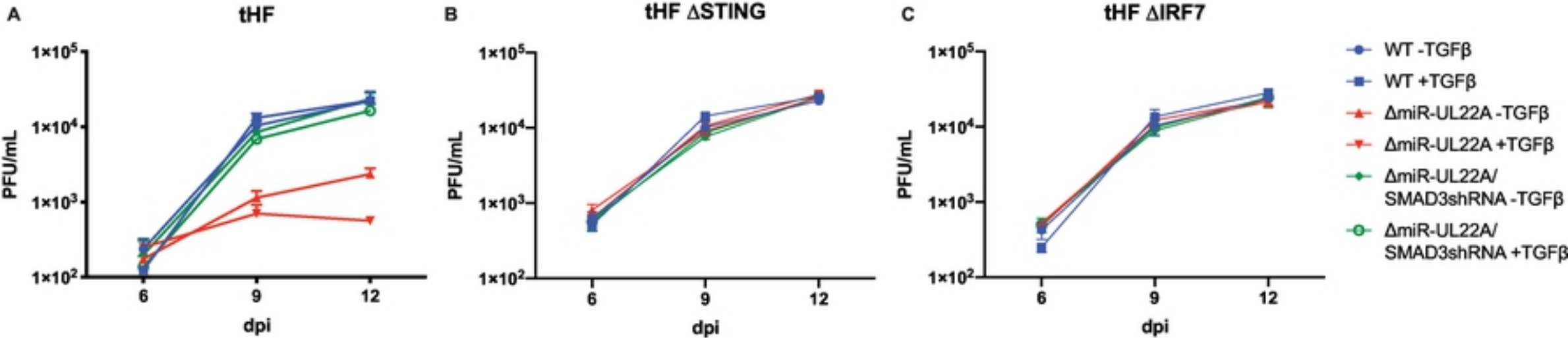


Figure 8