

1 **Lactic Acid Production by *Clostridium acetobutylicum* and *Clostridium beijerinckii* Under**
2 **Anaerobic Conditions Using a Complex Substrate**

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15 **SUMMARY/ ABSTRACT**

16 High societies consumption, elevated residues generation and environmental awareness
17 strengthen alternatives solutions for bioprocess' residues. This study investigated the production
18 of volatile acids from a complex substrate, which intends to be replaceable in the future by
19 vinasse of sugar cane, in anaerobic reactors operated in triplicates at 35 °C. Two different
20 inoculum were studied: *Clostridium acetobutylicum* ATCC 824 and *Clostridium beijerinckii*
21 ATCC 25752. The nutrient medium had as carbon source a complex substrate containing sucrose
22 without addition of vitamins, buffer solution and micronutrients. The experiment was conducted
23 in the variation of F/M-ratio (food-to-microorganisms) by increasing substrate concentration.

24 The concentration of sucrose in the complex substrate were $5.2 \text{ g}\cdot\text{L}^{-1}$ (conditions of $10,000 \text{ mg}$
25 $\text{O}_2\cdot\text{L}^{-1}$ in terms of COD) and $10.5 \text{ g}\cdot\text{L}^{-1}$ (conditions of $20,000 \text{ mg O}_2\cdot\text{L}^{-1}$ in terms of COD),
26 keeping the initial concentration of inoculum in $500 \text{ mg SVT}\cdot\text{L}^{-1}$. Cultures *C. acetobutylicum* and
27 *C. beijerinckii* resulted in high lactic acid production. Concentrations of COD of $10,000 \text{ mg}$
28 $\text{O}_2\cdot\text{L}^{-1}$ produced optimum lactic acid of $3,331 \text{ mg}\cdot\text{L}^{-1}$ and $5,709 \text{ mg}\cdot\text{L}^{-1}$ with respectively *C.*
29 *acetobutylicum* and *C. beijerinckii*. Moreover, cultures *C. acetobutylicum* and *C. beijerinckii*
30 with $20,000 \text{ mg O}_2\cdot\text{L}^{-1}$ concentrations in terms of COD produced optimum lactic acid of $6,417$
31 $\text{mg}\cdot\text{L}^{-1}$ and $7.136 \text{ mg}\cdot\text{L}^{-1}$ respectively. There was repeatability in the reactors when considering
32 level of significance of 0.05, independent of the concentration and inoculum used.

33

34 **KEYWORDS**

35 *Clostridium*; Lactic acid; F/M-ratio; Anaerobic processing

36

37 **1 INTRODUCTION**

38 The replacement of fossil fuels by other sources of renewable energy grows worldwide, mainly
39 because of the pressure of policies to preserve the environment. Processes that use biomass as
40 raw material rather than non-renewable source are called biorefinery. An example of biorefinery
41 in Brazil is sugar cane mills. The apparent antagonism between the environmental issue and the
42 increased productivity can affect the economic viability of some industrial processes. Thus, an
43 expanded concept of biorefinery aimed at a sustainable process is the use of all material flows
44 present in a biological process. In sugarcane mills, the new concept of biorefinery as a
45 sustainable process is established when using its residues, such as vinasse, for the generation of
46 products with higher added value, such as acids and solvents.

47 Ethanol from sugarcane makes up a significant part of Brazil's bioenergy matrix. In the 2015, it
48 was showed that 43.5% of the Brazilian energy matrix comes from renewable energy, 18.1% of
49 which is sugarcane [1]. In the 2014/2015 crop, Brazil produced 634.8 million tons of sugarcane
50 in 9 million hectares. Specifically, for ethanol production were 361 million tons of sugarcane,
51 equivalent to 56.9% of total production [2]. The State of São Paulo has a strong influence in this
52 sector, representing 49.4% of the country's ethanol production. In the 2016/2017 harvest, the
53 State of São Paulo produced approximately 365.9 million tons of sugarcane [3]. Even though
54 ethanol is an interesting alternative as biofuel, it continues to be a topic of improvements in the
55 production process. At the Brazilian mills, on average, one ton of sugar cane produces 280 kg of
56 bagasse [4] and 800 to 1,000 liters of vinasse [5]. Sugarcane vinasse is the liquid residue
57 generated on a high scale in the ethanol production process. It is reported that, on average, in
58 order to produce 1 liter of ethanol 10 – 15 liters of vinasse are generated which may vary
59 depending of the material and technology used [6]. Since vinasse is a largely generated
60 byproduct of ethanol production it is an example of a range of biomass which have been studied
61 as an alternative substrate in anaerobic bioprocessing to produce added value products. It is
62 constituted of organic solids and minerals, which may provide a rich culture medium for
63 bioprocesses [7].

64 Due to the diversity of the composition during the harvests, some researchers choose to use a
65 synthetic solution with characteristics similar to the biomass for conducting research. A synthetic
66 effluent [8] with characteristics similar to the soluble fraction (1: 5) of sugarcane vinasse was
67 used to evaluate the efficiency of COD and sulphate removal in the anaerobic fixed-bed and
68 downflow (DFSBR) together with an acid solution rich in iron ore [8]. The substrate composition
69 was defined based on the characterization of the real vinasse.

70 Anaerobic digestion is a process that occurs in the absence of oxygen and transforms various
71 forms of complex organic matter (carbohydrates, proteins and lipids) into simpler products (such
72 as carbon and methane) by the metabolism of a consortium of different microorganisms
73 (SPEECE, 1996). Among the several metabolic routes present in the anaerobic process, the ABE
74 (acetone, butanol and ethanol) fermentation was discovered in the 1920s by Chaim Weizmann.
75 Effective anaerobic bacteria in the ABE fermentation process are those of the genus *Clostridium*,
76 belonging to at least 60 species of bacteria of this genus. The anaerobic bacterium *C.*
77 *acetobutylicum* produces naturally acetone, butanol and ethanol in a ratio of 3: 6: 1 from the pure
78 glucose substrate [9]. It is emphasized that the metabolic sequence of *C. acetobutylicum* in the
79 ABE fermentation has two phases: acidogenesis and solventogenesis [10]. In the acidogenic
80 process cell growth occurs and the production of organic acids (acetic acid and butyric acid) with
81 the consequent decrease of the pH of the medium to 4.5. Then, in the solventogenic process, the
82 acids produced in the previous phase are in high concentrations allowing them to be processed in
83 solvents. Although ethanol production is also part of the solventogenic process, it is considered
84 that this route can occur independently of the production of acetone and butanol [11].
85 Even though, the metabolic pathway of pyruvate to lactate shows as a deviation from ABE
86 fermentation, lactate formation is a route of paramount importance and widely studied. The
87 enzyme D-lactate dehydrogenase (LDH) is present in the reaction of pyruvate to lactate when
88 using *C. acetobutylicum* ATCC 824. The enzyme D-Lactate dehydrogenase (LDH) has the
89 cofactor fructose-1-6-diphosphate, and therefore is an enzyme with allosteric activation [13, 14].
90 The anaerobic digestion of organic compounds to lactate instead of methane has some
91 advantages due to the lower hydraulic retention time (HRT) which it is reported as less than 5
92 days against 28 days in single stage reactors [14]. In addition, lactic acid has applications in

93 pharmaceutical and chemical industries, besides food and beverage sector, and it can be
94 transformed in the biodegradable polymer poly(lactic acid) (PLA) [15].

95 This study is an experimental investigation aiming the production of acids and solvents from a
96 complex substrate (with characteristics based on sugarcane vinasse) and the two different
97 inoculums: *C. acetobutylicum* and *C. beijerinckii*.

98

99 **2 MATERIAL AND METHODS**

100

101 **2.1 Experimental procedure**

102 The experimental was done in batch reactors maintained under constant agitation in shakers (100
103 min^{-1}) at 35°C during 720 hours. Those batch reactors were Duran® flasks (500 mL) with
104 reactional volume of 250 mL and initial pH of 6.0. N₂-gas was fluxioned 3 times of 8 min each
105 to keep an anaerobic environment. The batch reactors were structured into two inoculums (*C.*
106 *acetobutylicum* and *C. beijerinckii*) with substrate concentration of 10,000 and 20,000 $\text{mg O}_2\cdot\text{L}^{-1}$
107 in terms of chemical oxygen demand (COD). The main parametron used was the ratio F/M-ratio,
108 maintaining constantly the inoculum concentration of 500 $\text{mg SVT}\cdot\text{L}^{-1}$. This ratio of inoculum
109 was determined according to the variation of 2 to 4% used in an industrial scale [11]. Therefore,
110 concentration of 10,000 $\text{mg O}_2\cdot\text{L}^{-1}$ in terms of COD refers to 5% and concentration of 20,000 mg
111 $\text{O}_2\cdot\text{L}^{-1}$ in terms of COD refers to 2,5%. The experimental design of the research is detailed in
112 Table 1.

113 Table 1 □ Experimental design of the influence of complex substrate concentration variation on
114 each inoculum

Organic matter (mg O ₂ ·L ⁻¹)	F/M (mg O ₂ ·mg SVT ⁻¹)	<i>C. acetobutylicum</i>	<i>C. beijerinckii</i>
10,000	20	Ac-10	Bj-10
20,000	40	Ac-20	Bj-20

115

116 As shown in Table 1, the inoculums *C. acetobutylicum* and *C. beijerinckii* will be referred
117 respectively to the abbreviation "Ac" and "Bj" in order to simplify the discussion of the results.

118 In each condition the reactor cycle started after inoculation and the end of the test occurred in
119 720 hours. All assays of the experimental conditions previously described were conducted in
120 triplicates.

121

122 **2.2 Composition of substrate**

123 The composition of the substrate used in this research was based on the macro and
124 micronutrients employed by Godoi et al. (2017) [8].

125 The composition of substrate used in these experiments aims to approximate the complex
126 substrate to the sugar cane vinasse. The study of the metabolism of a synthetic substrate rather
127 than the actual substrate aims at the future application of this fundamental study in the biological
128 processing of this residue. In this sense, it is important that the substrate used in this investigation
129 is not a variable source of concentration and composition, which would impair the conclusions
130 obtained. Table 2 shows the average concentrations of sucrose (carbon source) with their
131 respective standard deviation used in the tests of 10,000 mg O₂·L⁻¹ and 20,000 mg O₂·L⁻¹ in
132 terms of COD.

133 Table 2 □ Concentration of sucrose ($\text{mg}\cdot\text{L}^{-1}$) in conditions of 10,000 $\text{mg O}_2\cdot\text{L}^{-1}$ and 20,000 mg
134 $\text{O}_2\cdot\text{L}^{-1}$ in terms of COD with *C. acetobutylicum* and *C. beijerinckii*.

	<i>C. Acetobutylicum</i>		<i>C. Beijerinckii</i>
	Sucrose ($\text{mg}\cdot\text{L}^{-1}$)		Sucrose ($\text{mg}\cdot\text{L}^{-1}$)
Ac-10	$5,258.2 \pm 0.28$	Bj-10	$5,256.8 \pm 1.70$
Ac-20	$10,512.2 \pm 1.98$	Bj-20	$10,515.8 \pm 0.28$

135

136 It can be seen from the standard deviation shown in Table 2 that the triplicates had little variation
137 in relation to the sucrose concentration, which allows comparisons of results in terms of
138 metabolites production.

139

140 **2.3 Inoculum**

141 The pure cultures of *C. acetobutylicum* ATCC 824 and *C. beijerinckii* ATCC 25752 were
142 obtained through a microorganism collection of the André Tosello Foundation - Campinas, SP.
143 Both strains were stored in ampoules in lyophilized form. The strains were reactivated and the
144 contents of the ampoules were transferred to a test tube containing 5 ml of liquid culture medium
145 (RCM - Reinforced Clostridium Medium). Multiplication of each culture was performed in a 2L
146 Erlenmeyer flask with 1L RCM medium kept in an oven at 35 ° C for at least 7 days until the
147 turbidity of the medium stabilized. Monitoring of the growth curve of the cultures was performed
148 by analysis of turbidity ($\lambda = 500 \text{ nm}$) and total volatile solids.

149

150 **2.4 Monitoring analysis**

151 The pH and organic matter concentration determined according to Standard Methods [16]. The
152 following variables were also monitored: carbohydrates [17], organic acids (Penteado et al.,
153 2012) and alcohols (Penteado et al., 2012 and Pavini, 2017).

154 The liquid phase composition analyzes were determined by a modular Shimadzu® liquid
155 chromatograph (HPLC), using an LC-10AD pump system, a CTO-20A column oven, a SCL-
156 10A controller, a luminous matrix detector (PDA - Photo Diode Array), adjusted for scanning
157 comprising a wavelength range of 190 to 370 nm (UV region), with 1 nm step, the
158 chromatogram being read at 210 nm and a RID-10A refractometer detector with cell temperature
159 of 35°C. The fixed phase comprised a BIO-RAD Aminex® HPX-87H 3000 x 7.8 mm column,
160 with pre-column of the same type, operating at a constant temperature of 43°C. The eluent used
161 was a 0.005 mol·L⁻¹ H₂SO₄ solution at the flow rate of 0.5 ml·min⁻¹ and the volume injected
162 was 100 µL. The integration and identification of peaks was performed using Shimadzu Class-
163 VP® software version 5.032.

164 Analysis for butanol determination were carried out in the CEMPEQC laboratory using Thermo
165 Electron Corporation (Thermo Scientific) model Trace GC Ultra, coupled with a TriPlus AS
166 (Thermo Scientific) automatic sampling system and SGE Analytical Science liquid syringe with
167 10 µL capacity. The column used was ZB-WAX (30 m x 0.25 mm x 0.25 µm, Phenomenex),
168 with split/splitless injector, flame ionization detector (FID) and helium drag gas (He). The
169 extraction of the analytics from the aqueous sample was performed according to the Pavini
170 method (2017) by adding 0.555 g of sodium sulfate in 2.0 ml Eppendorf with two fractions of
171 600 µL of sample and 400 µL of solution of 1-octanol containing Internal Standard at the
172 concentration of 1,000 mg·L⁻¹. After homogenization of the Eppendorf content for 30 seconds on

173 vortex tubes, 900 μL of the supernatant (organic fraction) was removed for analysis on the gas
174 chromatograph.

175

176 **2.5 Kinetic analysis**

177 The residual first order kinetic model from the simplification of the kinetic model proposed by
178 Monod was used due to the low substrate concentrations. Equation 1 shows the model used to
179 evaluate this study [18].

180

$$C(t) = C_R + (C_I - C_R) \cdot e^{-k_1^{\text{app}} \cdot t} \quad \text{I}$$

181

182 Where $C(t)$ is the concentration of sucrose ($\text{mg}\cdot\text{L}^{-1}$); C_R is residual sucrose concentration ($\text{mg}\cdot\text{L}^{-1}$);
183 C_I is the initial concentration of sucrose ($\text{mg}\cdot\text{L}^{-1}$); t is the time of the experiment (hours) and
184 k_1^{app} is the apparent kinetic constant (h^{-1}).

185 For the calculation of the lactic acid production it was used the simple dose-response sigmoid
186 model, presented in Equation 2.

187

$$A(t) = \frac{A_{\text{max}}}{1 + 10^{(1-t) \cdot p}} \quad \text{2}$$

188

189 In Eq. 2, $A(t)$ is the accumulated production of the product after a certain time t of fermentation
190 ($\text{mg}\cdot\text{L}^{-1}$); A_{max} is the maximum production of the product ($\text{mg}\cdot\text{L}^{-1}$); 1 is the time to reach the
191 maximum production speed (h); t is the time of the experiment (h) and p is the average rate of
192 production of the product in the exponential growth phase ($\text{mg} \cdot \text{L}^{-1} \cdot \text{h}^{-1}$).

193 The Levenberg-Marquadt interaction algorithm of Microcal Origin® v 8.1 software was used as
194 an adjustment tool for kinetic parameters.

195

196 **3 RESULTS AND DISCUSSION**

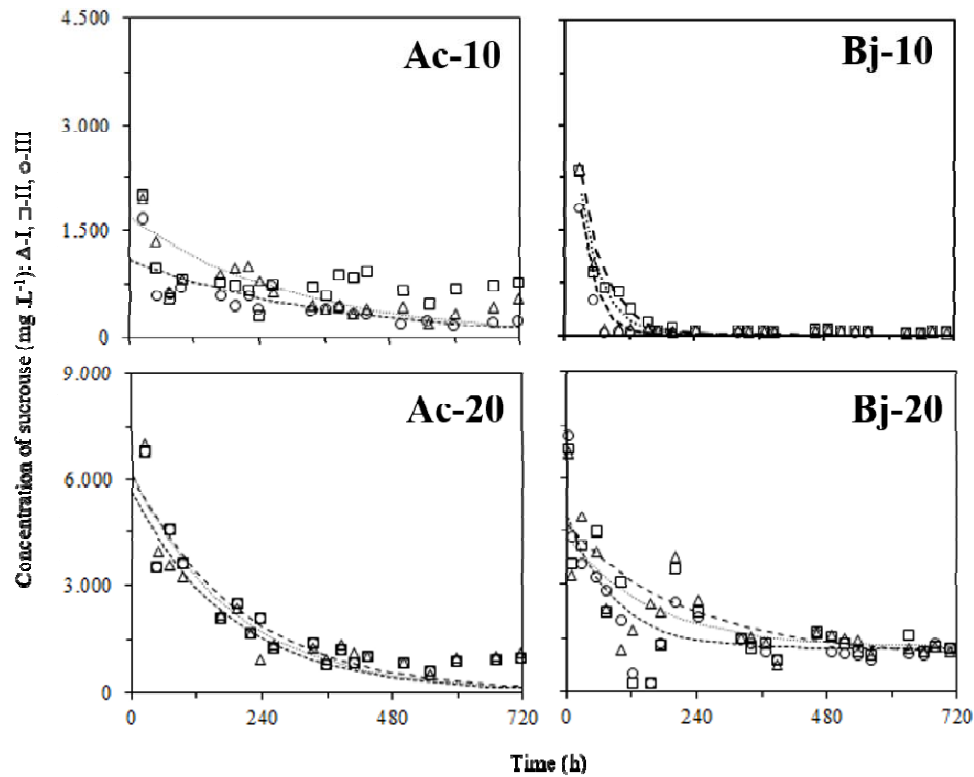
197 Acids and solvents production from a complex substrate are influenced by reactors
198 environmental. The fermentation process is dependent on a variety of conditions such as nutrient
199 shortage and pH, the metabolism is highly influenced by these parameters and the fermentation
200 can be favored in order to produce acids or solvents [19].

201 The parameters used to evaluate this study were consumption of sucrose and pH profile
202 throughout the experiment.

203 **Substrate consumption profiles**

204 Both inoculums were able to adapt to the complex substrate as well as to the concentrations
205 evaluated. This behavior can be confirmed by the reduction of the organic matter concentration,
206 expressed in terms of COD, observed in Figure 1. The adjustment of the first order residual
207 kinetic model for sucrose concentration has been referenced by the line.

208 Figure 1 – Sucrose concentration profiles with *C. acetobutylicum* and *C. beijerinckii* inoculums
209 at concentrations of 10,000 mg O₂·L⁻¹ and 20,000 mg O₂·L⁻¹.



210
211 The first sucrose concentration analysis occurred after 24 hours from experiment start. All the
212 sucrose concentration adjustments presented satisfactory statistical correlation values ($R_2 > 0.62$),
213 mainly using *C. beijerinckii* ($R_2 > 0.85$), indicating that the first-order kinetic model was adequate
214 to represent the behavior of the substrate consumption for the both COD concentrations. The
215 value variation of the first order apparent kinetic constants (k_1^{app}) are presented in Table 2 and
216 demonstrated that the increase of the initial complex substrate concentration exerted a favorable
217 effect to the process. Therefore, lower values of the first order apparent kinetic constant (k_1^{app})
218 were obtained in the replicates of Bj-20 in relation to Bj-10.
219
220

221

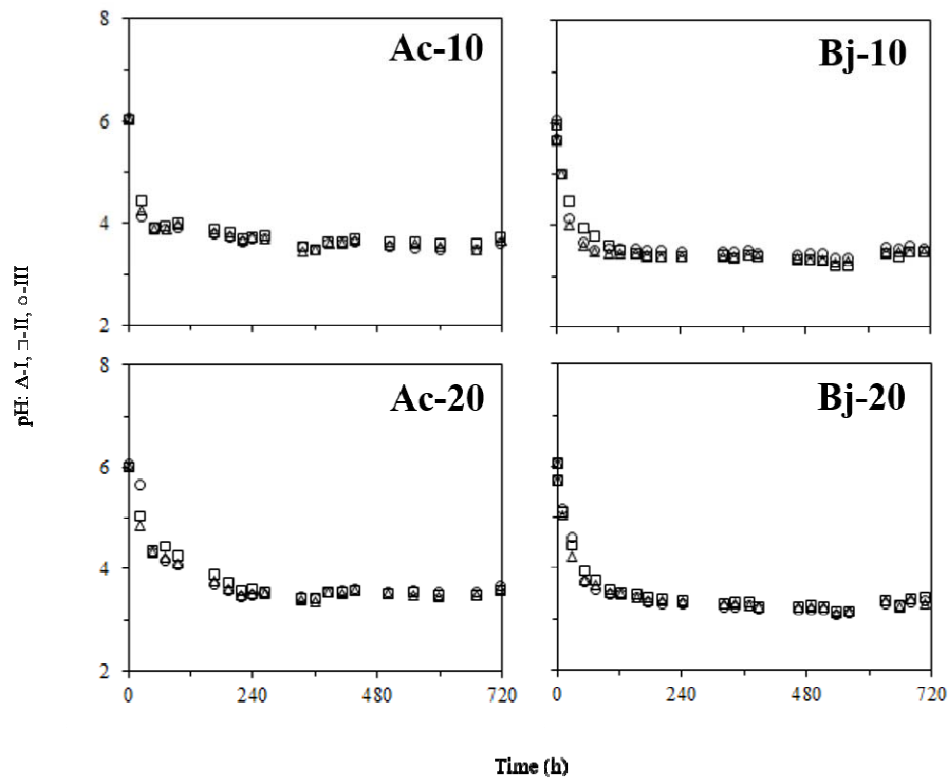
Strain	COD (mg L ⁻¹)	k ₁ ^{app} (h ⁻¹)
<i>C. acetobutylicum</i>	10,000	
	20,000	
<i>C. beijerinckii</i>	10,000	
	20,000	

222

223 pH profiles

224 The pH profiles were performed at COD concentration of 10,000 mg O₂·L⁻¹ and 20,000 mg O₂·L⁻¹
225 ¹ with *C. acetobutylicum* inoculum (conditions Ac-10 and Ac-20) and *C. beijerinckii* (Bj-10
226 conditions and Bj-20). Each graph on the Figure 2 presents three pH curves for each triplicate
227 (replicate I-Δ, replicate II - □ and replicate III - ○).

228 Figure 2 – pH profiles in the assays with *C. acetobutylicum* and *C. beijerinckii* inoculums at
229 concentrations of 10,000 mg O₂·L⁻¹ and 20,000 mg O₂·L⁻¹.



230

231 It can be observed that the pH declines throughout the experiment, suffering a variation from 6.0,
232 which was set at all batches, to 3.5. Fig. 2 and 3 highlight that the lactic acid production occurred
233 mostly when the pH was declined. The fermentation products were strongly affected by the pH.
234 These observations agree with literature which has already reported that the metabolism of
235 *Clostridium* strains shifts when the pH declines. It was observed that when pH decreased to
236 below 6.0, acetic acid and lactic acid concentration increased, and the acidogenic pathway was
237 favored. When the pH was reduced to below 5.0, lactic acid was the major fermentation product
238 [20].

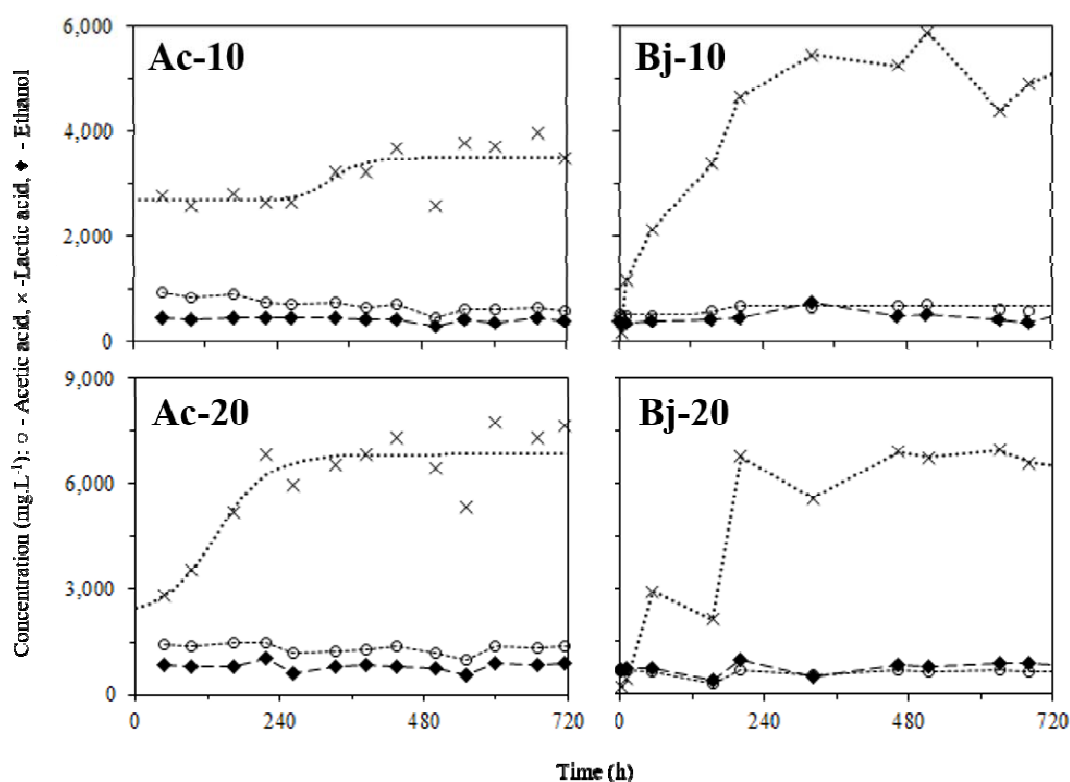
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240

241 Metabolites production

242 The metabolites production was detected and monitored throughout the experiment. Figure 3
243 shows the production of acetic acid (○), lactic acid (×) and ethanol (◆) occurred in one replicate
244 for each condition.

245 Figure 3 – Products from reactors with *C. acetobutylicum* and *C. beijerinckii* inoculums at
246 concentrations of 10,000 mg O₂·L⁻¹ and 20,000 mg O₂·L⁻¹



247

248 It is observed in Figure 3 that the concentration of ethanol and acetic acid remained stable during
249 the experiment, while lactic acid production was favored. This behavior of the batches can occur
250 due to the decrease of the pH, as already discussed. The carbon source concentration also plays a

251 major role in the experiment performance and can be observed in Fig. 3, since the conditions
252 with higher COD presented higher lactic acid production.

253 According to Figure 3, a simple sigmoidal curve was performed for lactic acid production using
254 *C. acetobutylicum*. Kinetic parameters for those curves are presented in Table 3. The best
255 adjustment occurred with *C. acetobutylicum* at concentrations of COD of 20,000 mg O₂·L⁻¹.

256 Table 3 – Kinetic parameters (A_{max} , A_{min} , l e p) and correlation coefficient (R^2) related to lactic
257 acid from reactors with *C. acetobutylicum* at concentrations of 10,000 mg O₂·L⁻¹ and 20,000 mg
258 O₂·L⁻¹

		Kinetic Parameters				R^2
		A_{max} (mg·L ⁻¹)	A_{min} (mg·L ⁻¹)	l (h)	p (g·L ⁻¹ ·h ⁻¹)	
Lactic acid	Ac-10	3,484 ± 142	2,678 ± 192	329 ± 52	0.016 ± 0.030	0,46
	Ac-20	6,846 ± 253	2,268 ± 2,079	135 ± 60	0.010 ± 0.008	0,75

259
260 As shown in Figure 3, cultures *C. acetobutylicum* and *C. beijerinckii* resulted in high lactic acid
261 production. Table 4 highlights the production of volatile organic acids and the productivity of the
262 most significant product, as well as solvent production and ethanol yield.

263

264 Table 4 – Initial concentrations of sucrose, final concentrations of volatile organic acids (acetic,
 265 butyric, propionic and lactic), final solvent concentrations (ethanol and butanol), average
 266 productivity (P_m , $\text{g}\cdot\text{L}^{-1}\cdot\text{h}^{-1}$) and ethanol yield (Y_{EtOH}).

Condition	Sucrose (1st analysis) (mg L^{-1})	Final concentration of acids (mg L^{-1})				Concentration of solvents (mg L^{-1})		P_m ($\text{g}\cdot\text{L}^{-1}\cdot\text{h}^{-1}$)	
		Acetic acid	Butyric acid	Propionic acid	Lactic acid	Ethanol	Butanol		
10,000 $\text{mg O}_2\cdot\text{L}^{-1}$	<i>C. Aceto.</i>	1,663 (24h)	535.8	160.5	168.0	3,331	262.5	0	Lactic: 0.02 ± 0.030
	<i>C. Beij.</i>	3,021 (2h)	759.0	244.3	351.2	5,709	464.5	1.9	Acetic: 0.03 ± 0.106
20,000 $\text{mg O}_2\cdot\text{L}^{-1}$	<i>C. Aceto.</i>	7,023 (23h)	1,148	345.1	343.1	6,417	536.7	0	Lactic: 0.01 ± 0.008
	<i>C. Beij.</i>	6,830 (3h)	701.9	462.0	314.4	7,136	848.8	3.8	-

267
 268 As shown in Table 4, concentrations of COD of 10,000 $\text{mg O}_2\cdot\text{L}^{-1}$ produced optimum lactic acid
 269 of 3,331 $\text{mg}\cdot\text{L}^{-1}$ and 5,709 $\text{mg}\cdot\text{L}^{-1}$ with respectively *C. acetobutylicum* and *C. beijerinckii*.
 270 Moreover, concentrations of COD of 20,000 $\text{mg O}_2\cdot\text{L}^{-1}$ produced optimum lactic acid of 6,417
 271 $\text{mg}\cdot\text{L}^{-1}$ and 7.136 $\text{mg}\cdot\text{L}^{-1}$ with respectively *C. acetobutylicum* and *C. beijerinckii*.
 272 The complex substrate used allow to infer that both strains can adapt to the usage of vinasse as
 273 substrate to produce lactic acid. This use is presented as an alternative method of environmental
 274 waste management.

275
 276 **4 CONCLUSION**
 277 The study presented that both strains, *C. acetobutylicum* and *C. beijerinckii*, were able to produce
 278 lactic acid from a complex substrate without additional of vitamins, buffer solution and
 279 micronutrients. The production of lactic acid was favored presenting a final concentration of

280 7.136 g L⁻¹, in the condition of 20,000 mg l⁻¹ of COD. The results presented were highly
281 influenced by pH and the acidogenic route was preponderant over the solventogenic route,
282 despite the different inoculums and F/M-ratio studied.

283

284 **5 ACKNOWLEDGMENTS**

285 The authors thank *Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES)* for
286 supporting this master study. Moreover, authors appreciate the collaboration of *Centro de*
287 *Monitoramento e Pesquisa da Qualidade em Combustíveis, Biocombustíveis, Petróleo e*
288 *Derivados (CEMPEQC)* for gas chromatography (CG) analysis focusing on butanol production.

289

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