

1 **A mitochondrial genome phylogeny of voles and lemmings (Rodentia: Arvicolinae):**  
2 **evolutionary and taxonomic implications**

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## 19 **Abstract**

20 Arvicolinae is one of the most impressive placental radiations with over 150 extant and numerous  
21 extinct species that emerged since the Miocene in the Northern Hemisphere. The phylogeny of  
22 Arvicolinae has been studied intensively for several decades using morphological and genetic methods.  
23 Here, we sequenced 30 new mitochondrial genomes to better understand the evolutionary relationships  
24 among the major tribes and genera within the subfamily. The phylogenetic and molecular dating analyses  
25 based on 11,391 bp concatenated alignment of protein-coding mitochondrial genes confirmed the  
26 monophyly of the subfamily. While Bayesian analysis provided a high resolution across the entire tree,  
27 Maximum Likelihood tree reconstruction showed weak support for the ordering of divergence and  
28 interrelationships of tribal level taxa within the most ancient radiation. Both the interrelationships among  
29 tribes Lagurini, Ellobiusini and Arvicolini, comprising the largest radiation and the position of the genus  
30 *Dinaromys* within it also remained unresolved. For the first time complex relationships between genus  
31 level taxa within the species-rich tribe Arvicolini received full resolution. Particularly *Lemmiscus* was  
32 robustly placed as sister to the snow voles *Chionomys* in the tribe Arvicolini in contrast with a long-held  
33 belief of its affinity with Lagurini. Molecular dating of the origin of Arvicolinae and early divergences  
34 obtained from the mitogenome data were consistent with fossil records. The mtDNA estimates for  
35 putative ancestors of the most genera within Arvicolini appeared to be much older than it was previously  
36 proposed in paleontological studies.

## 37 **Introduction**

38 Reconstructing the phylogeny of a taxonomic group that emerged during the rapid species  
39 diversification is the major challenge in evolutionary biology. When a small number of molecular  
40 markers are considered in the study, unresolved bush-like trees or polytomies are obtained. The  
41 subfamily Arvicolinae Gray, 1821 (Rodentia: Cricetidae) consisting of voles, lemmings and muskrats,  
42 provide a good example for the research of systematics and taxonomy of fast species radiations within  
43 mammals. Arvicolinae is a highly diverse, youngest and fast-evolving group within the order Rodentia.  
44 During the rapid explosive radiation and diversification that started in the Late Miocene according to the  
45 fossil records, voles and lemmings occupied all types of landscapes within the temperate and cold climate  
46 biomes of the Northern Hemisphere. The morphological evolutionary history of the group is perfectly  
47 documented using the rich fossil records upon a number of extinct species described. Modern global  
48 fauna of Arvicolinae contains 151-162 recent species grouped into 28 genera [1,2], and new species are  
49 constantly being discovered and described. Similarly to other taxa that experienced rapid adaptive  
50 radiation, phylogenetic reconstruction of this group faces several principal methodological problems, as  
51 ecological convergence and homoplasy severely limit the use of morphological traits in phylogenetic  
52 analysis.

53 Phylogeny of Arvicolinae has been explored using both morphological and genetic methods,  
54 allowing comparisons of reconstruction from different datasets for further cross-validation. Application  
55 of molecular phylogenetic methods resulted in a series of revisions of phylogenetic relationships and  
56 taxonomic structure of several genera and species [3–12] and references therein. Reconstruction of  
57 Arvicolinae phylogeny using nuclear genes *GHR* and *LCAT* demonstrated three successive waves of  
58 adaptive radiations in the evolutionary history of the group [7]. The first radiation wave most plausibly  
59 took place in Late Miocene and marked the emergence of muskrats (Ondatrini), lemmings (Lemmini and  
60 Dicrostonychini) and long-clawed mole voles (Prometheomyini). Second radiation wave is characterized

61 by a divergence of the ancestors of modern Clethrionomyini. The third radiation wave included  
62 formation of the steppe lemmings (Lagurini), mole voles (Ellobiusini) and the richest species group -  
63 Arvicolini [7]. The branching order both within the first and the last radiation waves also remained  
64 unresolved since all attempts to untangle complex phylogenetic relationships within subfamily were  
65 made with the use of only a few mitochondrial or nuclear markers, or the study included an insufficient  
66 number of taxa in the analysis [3,5,7,9,10,12–18].

67 With the upcoming epoch of genomic studies, it is obvious that further breakthrough in the study  
68 of the extremely complex evolutionary history of the subfamily Arvicolinae can only be achieved by  
69 switching from the “gene-centric” approach to the analysis of genomic datasets, yet comprehensive  
70 sampling of taxa is also important. Analyses of complete mitochondrial genomes have been successfully  
71 used to reconstruct robust phylogenies in many animal groups [19–23] and other. The number of  
72 published mitogenomes of voles, lemmings and muskrats is permanently increasing [24–38] and other,  
73 and data on nearly one-fifth of the total species diversity (ca. 30 species) is already available.

74 Though molecular studies during the last decades have considerably extended and refined our  
75 knowledge of the pattern and timescale of arvicoline phylogeny, there are important issues that remain  
76 to be elucidated. In this study, we were aimed on estimating the phylogeny of Arvicolinae using complete  
77 mitogenomes generated using high-throughput sequencing. By significantly increasing the number of  
78 newly sequenced mitogenomes representing major tribes of voles and lemmings, we implement the  
79 phylogenetic and molecular dating analysis on a dataset consisting of almost all living genera within the  
80 subfamily. The following questions were specifically addressed during the study: (1) the order of  
81 divergence and interrelationships of taxa within the first, most ancient radiation, (2) the interrelationships  
82 of three tribe level taxa Lagurini, Ellobiusini and Arvicolini (3) relative phylogenetic placement of  
83 genera *Dinaromys Kretzoi*, 1955 and *Lemmiscus Thomas*, 1912, (4) tangled interrelationships of genera

84 and subgenera in the most speciose tribe Arvicolini, (5) the position of *Agricola agrestis* Linnaeus, 1761  
85 and *Iberomys cabreræ* Thomas, 1906, and (6) the timing of arvicoline divergences.

## 86 **Material and methods**

### 87 **Taxonomic sampling**

88 Fifty-eight species of Arvicolinae, belonging to 27 genera and all the tribe level taxa, as well as  
89 six outgroup taxa were used in this study. Complete mitochondrial genomes for 30 Arvicolinae species  
90 were sequenced in the current study (including 15 species belonging to the Arvicolini tribe, one for  
91 Dicrostonychini, two for Lagurini, three for Lemmini, six species belonging to Clethrionomyini and  
92 three crucial species without stable taxonomic position: *Prometheomys schaposchnikowi* Satunin, 1901  
93 (*Prometheomyini*), *Dinaromys bogdanovi* Martino, 1922 and *Lemmiscus curtatus* Cope, 1868). For 28  
94 species belonging to Arvicolini, Ellobiusini, Clethrionomyini, Dicrostonychini and Ondatrini tribes  
95 sequences were available in the NCBI database. The detailed information, GenBank accession numbers,  
96 and the voucher IDs for new sequences are given in S1 Table. Hereinafter, we use the taxonomic  
97 classification following Gromov & Polyakov [39], Musser & Carleton [1], Abramson & Lissovsky [40]  
98 with amendments made in result of the current study.

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## DNA isolation, NGS library preparation and sequencing

Muscle tissue samples of fresh specimens were collected between 1996-2019 years and stored in 96% ethanol at -20 degrees Celcius in a tissue and DNA collection of the Group of molecular systematics of mammals (Zoological Institute RAS). Historic specimen of *Lemmiscus curtatus* (sampled in 1927) was obtained from the collection of the laboratory of theriology (Zoological Institute RAS), see S1 Table for details.

Homogenization of tissues was performed using the Qiagen TissueLyser LT (Qiagen). For the most samples, genomic DNA was extracted using the Diatom DNA Prep 200 (Isogen, Russia) except for the *L. curtatus* museum specimen. To reduce the potential contamination, all manipulations with the *L. curtatus* were carried out in a separate laboratory room isolated from post-PCR facilities, predominantly being used for studies of historic samples from the collection of Zoological Institute. All the working surfaces, instruments and plastics were sterilized with UV light and chloramine-T. DNA from the museum skin sample (2 × 2 mm piece from the inner side of the lip, dissected by a sterilized surgical blade) was isolated using the phenol-chloroform extraction method according to a standard protocol [41]. PCR was prepared using a PCR workstation (LAMSYSYSTEMS CC, Miass, Russia).

The following ultrasound fragmentation of the total genomic DNA was implemented using Covaris S220 focused ultrasonicator instrument (Covaris). The resulting fragmented DNA was purified and concentrated using paramagnetic bead-based chemistry AMPure XP (Beckman-Coulter) using standard workflow. DNA concentration was evaluated using a Qubit fluorometer (Thermo Fisher Scientific).

NGS libraries were prepared using the NEBNext Ultra II DNA Library Prep Kit for Illumina (New England Biolabs). The resulting PCR products were purified and concentrated using AMPure XP beads (Beckman-Coulter). The concentration of samples was measured using a Qubit fluorometer, and

125 quality control of the libraries was implemented using Bioanalyzer 2100 instrument and the DNA High  
126 Sensitivity kit (Agilent). Sequencing was performed on an Illumina HiSeq 4000 system, resulting in pair-  
127 end reads of 75bp. DNA quality was checked with Qubit, the final distribution of lengths of the libraries  
128 adapter content checking was conducted using Bioanalyzer2100 (Agilent). DNA extraction (except the  
129 museum specimen of *L. curtatus*), library preparation and sequencing were performed using resources  
130 of the Skoltech Genomics Core Facility (<https://www.skoltech.ru/research/en/shared-resources/gcf-2/>).

### 131 **Read processing, mitogenome assembly and annotation**

132 The quality of raw reads was evaluated using FastQC [42], and parts with the quality score below  
133 20 were trimmed using Trimmomatic-0.32 [43]. Bowtie 2.3.5.1 [44] was used to filter reads with  
134 contamination. Complete mitochondrial genomes of other Arvicolinae were used as reference sequences.  
135 Also, this was made for the museum specimen to enrich reads with mitochondrial DNA.

136 Nucleotide misincorporation patterns that can often be observed during the studies of ancient or  
137 old museum sample DNA as a result of post-mortem DNA damage in reads from *L. curtatus* were  
138 achieved using mapDamage 2.0 [45].

139 Complete mitochondrial genome was assembled using *plasmidSPAdes* [46] with default settings.  
140 The resulting contigs were filtered by length, the most similar in size to mitochondrial DNA were  
141 selected (size about 16 kb for mammals). The contigs were annotated using the online-server MITOS  
142 [47] <http://mitos2.bioinf.uni-leipzig.de/index.py>, with default settings and the vertebrate genetic code for  
143 mitochondria.

144 Gene boundaries were checked and refined by alignment against 28 published mitogenome  
145 sequences of Arvicolinae (see details in S1 Table). All positions of low quality, low coverage, as well as  
146 fragments that greatly differed from the reference Arvicolinae mitochondrial genomes, were replaced by

147 N manually. Assembled sequences of protein coding genes (PCGs) were checked for internal stops  
148 manually. All assembled and annotated mitogenomes have been deposited in GenBank (S1 Table).

## 149 **Sequence alignment**

150 The 30 newly obtained mitochondrial genomes were compared with 28 earlier published  
151 Arvicolinae mitogenomes mined from NCBI (see accession numbers in S1 Table), including and five  
152 mitogenomes obtained by us earlier [48–50]. All mitochondrial genomes were aligned with Mauve  
153 (<http://darlinglab.org/mauve/mauve.html>) in Geneious Prime 2019.1 (Biomatters Ltd.).

154 In several studies, it has been convincingly shown that protein-coding sequences may have a  
155 strong resolving power for inferring phylogenetic interrelationships and divergence time estimates  
156 derived from PCG may be quite accurate [22,51,52]. We used this approach, however complete mt  
157 genomes will serve as the starting point for further analyses. For the subsequent analyses, the  
158 concatenated alignment of 13 PCGs using MAFFT version 7.222 [53] was produced.

159 Third codon position has previously been shown to bias phylogenetic reconstructions [54]. The  
160 phylogeny on a smaller dataset of Arvicolinae turned out to be very poorly resolved with the exception  
161 of third codon position [50]. So we masked transitions in 3rd codon position by RY-coding (R for purines  
162 and Y for pyrimidines) as described in Abramson et al. [50].

163 Thus, two datasets were subsequently analyzed — total alignment of 13 PCGs, where all three  
164 codon positions were considered (with a length of 11,391 bp) and RY-coded alignment with transitions  
165 in third codon position masked.

166

## 167 **Analysis of base composition**

168 The base composition was calculated in Geneious Prime 2019.1 (Biomatters Ltd.). The strand  
169 bias in nucleotide composition was studied by calculating the relative frequencies of C and G nucleotides  
170 (CG3 skew =  $[C - G]/[C + G]$ ) [22,55,56]. Both analyses were calculated using full-length mitogenomes.

171 The PCG-alignment of 64 mitochondrial genomes was used to calculate relative frequencies of  
172 four bases (A, C, G and T) at each of three codon positions in MEGA X [57]. The 12 variables, each  
173 representing base frequency in first, second or third position, were then summarized by a Principal  
174 Component Analysis (PCA) using the PAST v.4.04 [58].

## 175 **Saturation tests**

176 The presence of phylogenetic signal was assessed with a substitution saturation analysis using  
177 the Xia test [59] in the DAMBE 7.2.1 software [60] for the whole alignment of the PCG dataset and 13  
178 separate genes following the procedure described by Xia & Lemey [61], particularly when (a) 1st and  
179 2nd codon position considered and 3rd position is masked from the alignment, and (b) when only 3rd  
180 codon position is included in the analysis. The analysis is based on Index of substitution saturation - *Iss*,  
181 and *Iss.c* is the critical value at which the sequences begin to fail to recover the true tree).

182 Once *Iss.c* is known for a set of sequences, then we can calculate the *Iss* value from the sequences and  
183 compare it against the *Iss.c*. If *Iss* value exceeds the *Iss.c*, we can conclude that the sequence dataset  
184 consists of substitution saturation and cannot be used for further phylogenetic reconstruction.

185 The proportion of invariant sites was specified for tests considered 1st and 2nd codon positions.  
186 The analysis was performed on a complete alignment with all sites considered. Additional analysis of  
187 saturation for each of the PCG was estimated using R packages *seqinr* [62] and *ape* [63]. P-distances  
188 were plotted against K81 distances for transitions and transversions of each codon position.

## 189 **Phylogenetic analyses**

190 We used PartitionFinder 2.1.1 [64] applying AICc and “greedy” algorithm, when an analysis is  
191 based on the a priori features of the alignment, to select the optimal partitioning scheme for each dataset.  
192 Our analysis started with the partitioning by codon positions within PCG fragments, each treated as a  
193 unique partition. For the complete 13 PCG alignment, GTR+I+G model was suggested almost for all the  
194 partitions except *ND6* 3rd codon position, for which the TRN+I+G model was selected. For the  
195 alignment with RY-coded 3rd codon position, two partitions were suggested - 1+2nd and 3rd codon  
196 positions with GTR+I+G and GTR+G models respectively.

197 Phylogenetic reconstructions using Maximum Likelihood (ML) and Bayesian Inference (BI)  
198 analyses were performed on both complete and RY-masked datasets partitioned as suggested with  
199 PartitionFinder. Trees were rooted by six sequences of Cricetinae: *Akodon montensis* Thomas, 1913,  
200 *Peromyscus megalops* Merriam, 1898, and four species of hamsters from genus *Cricetulus* Milne-  
201 Edwards, 1867 (S1 Table).

202 Maximum Likelihood (ML) analysis was performed using IQ-TREE web server [65] with 10,000  
203 ultrafast bootstrap replicates [66]. Bayesian Inference (BI) analysis was performed in MrBayes 3.2.6  
204 [67]. Each analysis started with random trees and performed two independent runs with four independent  
205 Markov Chain Monte Carlo (MCMC) for 10 million generations with sampling every 1,000th generation,  
206 the standard deviations of split frequencies were below 0.01; potential scale reduction factors were equal  
207 to 1.0; stationarity was examined in Tracer v1.7 [68]. A consensus tree was constructed based on the  
208 trees sampled after the 25% burn-in.

209 We also conducted ML analysis for each PCG separately (with partitions by codon positions and  
210 models supposed with IQ-TREE). *Hyperacrius fertilis* True, 1894 sequence was excluded from the *ND4*  
211 alignment since this gene was highly fragmented [50]. The mitogenome of *Craseomys rufocanus*

212 Sundevall, 1846 (accessed from GenBank) completely lacked the *ND6* sequence (S2 Table), so this  
213 species was excluded from the analysis for this gene.

## 214 **Divergence dating**

215 Divergence times were estimated on the CIPRES Science Gateway [69] with Bayesian approach  
216 implemented in BEAST v.2.6.2 [70] using both complete PCG dataset and the one in which the  
217 transitions in the third codon position were masked with RY-coding. Datasets were partitioned according  
218 to the recommendations of PartitionFinder. All site model parameters were chosen for separate partitions  
219 with corrected Akaike's information criterion (AICc) in JMODELTEST 2.1.1 [71]. Eight fossil  
220 calibrations were used (S3 Table). Lognormal prior distributions were applied to all the calibrations with  
221 offset values and 95% HPD intervals based on first appearance data (FAD) and stratigraphic sampling  
222 downloaded from the Paleobiology Database on 01.12.2020 using the parameters "Taxon = fossil  
223 species, Timescale = FAD" (S3 Table).

224 BEAST analyses under the birth-death process used a relaxed lognormal clock model and the  
225 program's default prior distributions of model parameters. Each analysis was run for 100 million  
226 generations and sampled every 10 000 generations. The convergence of two independent runs was  
227 examined using Tracer v1.7 [68], and combined using LogCombiner, discarding the first 25% as burn-  
228 in. Trees were then summarized with TreeAnnotator using the maximum clade credibility tree option  
229 and fixing node heights as mean heights. Divergence time bars were obtained automatically in FigTree  
230 v1.4.3 (<http://tree.bio.ed.ac.uk/software/figtree/>) from the output using the 95% highest posterior density  
231 (HPD) of the ages for each node.

## 232 Results

### 233 Mitochondrial genome assembly and annotation

234 We sequenced, assembled and annotated mitochondrial genomes for the 30 new taxa of  
235 Arvicolinae. The mapDamage analysis implemented on the raw reads of *Lemmiscus curtatus* (S1 Fig)  
236 showed a low variation of deamination misincorporations values. C to T misincorporations varied from  
237 10 to 15%, G to A from 10 to 12% and was equal to the results of similar studies [72]. Since the relative  
238 level of observed misincorporations was not significantly different from the other substitution variants,  
239 the mitogenome of *L. curtatus* was assembled using the same pipeline as for the rest of taxa.

240  
241 The assembled mitogenomes, circular double-stranded DNA of the same organization as in other  
242 mammals, contained 13 PCGs, 22 transfer RNAs (tRNA), two ribosomal RNAs (rRNAs), and a non-  
243 coding region corresponding to the control region (D-loop). Nine genes (*ND6* and eight tRNAs) were  
244 oriented in the reverse direction, whereas the others were transcribed in the forward direction. All the  
245 assembled mitogenomes contained all the genes listed above, but in some species demonstrated  
246 incomplete gene sequences (see S2 Table for details).

247 Mitochondrial genome sequences were deposited in GenBank under accession numbers indicated  
248 in S1 Table. In the subsequent analyses, the PCG dataset, containing 11,391 bp was used.

### 249 Variation in base composition

250 Comparison of base composition calculated using the alignment of full-length mitogenome  
251 sequences of Arvicolinae showed that mitochondrial genomes of taxa from tribes Clethrionomyini  
252 (28.36% C) and Ellobiusini (28.7% C) have the highest GC-content. Arvicolini, Dicrostonychini and

253 Lemmini had slightly smaller values: 27.69, 28.03 and 27.77% C respectively. Lagurini were found to  
254 have the most AT-skewed base composition of mitogenomes: 31.20 and 31.30% of adenine, respectively  
255 (S1 Table). Lagurini and Arvicolini also demonstrated the highest GC-skew values (-0.32 in both cases).  
256 Ellobiusini and Clethrionomyini occupied an intermediate position in terms of it with -0.33 and -0.34,  
257 respectively. Dicrostonychini and Lemmini with equal value -0.35 have the smallest GC-skew values.

258 The base composition (frequency of the nucleotides A, C, G, and T) was further analyzed at the  
259 three codon positions in the concatenated alignment of PCGs for each species separately (S1 Table). The  
260 12 variables measured for 64 taxa were summarized by a PCA, based on the first two components, which  
261 contributed 73.7% and 18.8% of the total variance, respectively (Fig 1). Most of the observed variation  
262 was related to the percentage of base composition in a third codon position. The first component  
263 demonstrated a high positive correlation (0.98) with the percentage of C3 (percentage of cytosine in the  
264 third position) and a high negative correlation (-0.93) with T3 (thymine in the third position). The second  
265 component positively correlated with G3 (0.67) and negatively correlated with A3 (-0.88). Most of the  
266 Arvicolinae formed a compact group on the PCA graph. Among the highly dissimilar to the main group  
267 were almost all *Cricetulus* species. The two rest outgroup taxa, *C. kamensis* Satunin, 1903 and *Akodon*  
268 *montensis* grouped with Arvicolinae, and *A. montensis* showed similar base composition to *Hyperacrius*  
269 *fertilis*. Among Arvicolinae, the most dissimilar base composition was observed in *Mynomes*  
270 *longicaudus* Merriam, 1888, *Chionomys gud* Satunin, 1909 and *Arvicola amphibius* Linnaeus, 1758,  
271 showing higher than group average percentage of T3 and lower than group average percentage of C3.  
272 The mitochondrial genome of *Ondatra zibethicus* Linnaeus, 1766 was characterized by the highest  
273 percentage of adenine in the third position (45.7%) compared to other Arvicolinae. *Ellobius lutescens*  
274 Thomas, 1897 demonstrated the highest percentage of cytosine in third position (37.1%) among the  
275 complete PCG dataset (Fig 1).

276

277 **Fig 1. Base composition in mitochondrial PCG of Arvicolinae.** The frequency of the four bases (A,  
278 C, G, and T) at each codon position (first, second and third) in concatenated alignment was used as 12  
279 variables for PCA. Tribes are indicated by colours.

## 280 **Substitution saturation analysis**

281 Substitution saturation decreases phylogenetic information contained in the sequences and  
282 plagues the phylogenetic analysis involving deep branches.

283 According to the analysis implemented in DAMBE software (S4 Table), the observed *I<sub>ss</sub>*  
284 saturation index was significantly ( $P < 0.0001$ ) lower than critical *I<sub>ss.c</sub>* value for both symmetrical and  
285 asymmetrical topology tests indicating the lack of saturation in the studied Arvicolinae dataset.

286 The results of saturation plots for separate genes show the same pattern of negligible saturation.  
287 As a result for all 13 PCGs, no significant saturation for the 1st and 2nd codon position, and they are all  
288 suitable for the phylogenetic inference. *CYTB*, *ND1* and *ND6* show the same for 3rd codon position in  
289 contrast with *ND2*, *ND3*, *ND4*, *ND4L*. For other genes there was no significant saturation even for the  
290 3rd codon position considering symmetrical topology for more than 32 OTUs (number of operational  
291 taxonomic units, S4 Table).

## 292 **Time-calibrated mitochondrial genome phylogeny of Arvicolinae**

293 The maximum-likelihood (ML) and Bayesian inference (BI) trees reconstructed using complete  
294 and RY-coded alignments of PCGs had similar topology (Fig 2). Overall, ML analysis demonstrated  
295 lower node supports compared to BI analysis. In total 70% of the nodes were highly supported by ML

296 and BI, with Bayesian probabilities BP>0.95 and ML bootstrap support BS>95 (Fig 2, nodes with a black  
297 dot).

298  
299  
300 **Fig 2. Time-calibrated mitochondrial phylogeny of Arvicolinae.** Node labels display the following  
301 supports: BI complete / BI RY-coded 3rd codon position / ML complete / ML RY-coded 3rd codon  
302 position. Black circles show nodes with 0.95-1.0 BI and 95-100 ML support. All letters at nodes  
303 correspond to fossil constraints in S2 Table. Traditional tribal designations are also given above the  
304 branches and corresponding branches distinguished by different colors.

305  
306 The monophyly of subfamily Arvicolinae was strongly supported by BI and ML analyses.  
307 However, several nodes, predominantly the internal nodes representing deeper phylogenies, which were  
308 highly supported by Bayesian analysis, did not receive high BS values. The divergence time between  
309 Arvicolinae and Cricetinae was estimated as Late Miocene, ca. 11.31 / 10.7 Ma, based on the complete  
310 and RY-coded alignments respectively (Table 1).

311  
312 **Table 1. Divergence time estimates for the major lineages within the subfamily Arvicolinae.**

TMRCAs	Complete	RY-masked
Arvicolinae + Cricetinae	<b>11.31</b> (9.48-13.3)	<b>10.7</b> (8.42-13.31)
Arvicolinae	<b>7.36</b> (7.04-7.78)	<b>7.33</b> (7.05-7.73)
Lemmini	<b>4.81</b> (3.68-5.97)	<b>4.37</b> (3.31-5.71)
Dicrostonychini + Ondatrini	<b>5.85</b> (5.15-6.56)	<b>5.54</b> (4.41-6.59)
Dicrostonychini	<b>4.89</b> (4.08-5.7)	<b>4.49</b> (3.23-5.86)
Clethrionomyini	<b>4.02</b> (3.33-4.72)	<b>4.46</b> (3.35-5.64)

(Ellobiusini + <i>Dinaromys</i> ) / (Arvicolini + Lagurini)	<b>6.2</b> (5.65-6.76)	<b>6.11</b> (5.17-6.92)
Ellobiusini	<b>4.97</b> (4.21-5.69)	<b>4.58</b> (3.42-5.68)
Lagurini	<b>3.1</b> (2.59-3.75)	<b>3.05</b> (2.56-3.75)
<i>Hyperacrius</i> / Arvicolini	<b>5.76</b> (5.2-6.34)	<b>5.79</b> (4.88-6.63)
Arvicolini s.str.*	<b>4.9</b> (4.33-5.47)	<b>5.02</b> (4.12-5.89)
<i>Chionomys</i> + <i>Lemmiscus</i>	<b>4.04</b> (3.3-4.74)	<b>4.28</b> (3.11-5.4)
<i>Chionomys</i>	<b>3.29</b> (2.5-4.04)	<b>3.45</b> (2.13-4.67)
<i>Proedromys</i> /	<b>4.32</b> (3.81-4.86)	<b>4.52</b> (3.69-5.33)
<i>Microtus</i> ** ( <i>Microtus</i> , <i>Sumeriomys</i> , <i>Terricola</i> , <i>Blanfordimys</i> , <i>Agricola</i> , <i>Iberomys</i> )	<b>3.8</b> (3.31-4.3)	<b>3.87</b> (3.07-4.63)
<i>Mynomes</i>	<b>3.41</b> (2.89-3.91)	<b>3.32</b> (2.48-4.11)
<i>Microtus</i> + <i>Terricola</i>	<b>2.96</b> (2.46-3.5)	<b>3.18</b> (2.35-3.95)
<i>Microtus</i>	<b>1.87</b> (1.43-2.33)	<b>2.06</b> (1.31-2.8)
<i>Terricola</i>	<b>1.38</b> (0.89-1.93)	<b>1.46</b> (0.7-2.32)
<i>Iberomys</i> + ( <i>Agricola</i> + <i>Blanfordimys</i> )	<b>3.18</b> (2.66-3.71)	<b>3.11</b> (2.23-3.99)
<i>Agricola</i> + <i>Blanfordimys</i>	<b>2.82</b> (2.26-3.35)	<b>2.64</b> (1.69-3.54)
<i>Neodon</i> + ( <i>Alexandromys</i> + <i>Lasiopodomys</i> )	<b>3.92</b> (3.4-4.4)	<b>4.12</b> (3.31-4.9)
<i>Neodon</i>	<b>3.16</b> (2.58-3.76)	<b>3.3</b> (2.43-4.23)
<i>Alexandromys</i> + <i>Lasiopodomys</i>	<b>3.6</b> (3.12-4.09)	<b>3.65</b> (2.86-4.44)
<i>Lasiopodomys</i>	<b>3.09</b> (2.59-3.56)	<b>3.07</b> (2.27-3.85)
<i>Alexandromys</i>	<b>2.16</b> (1.55-2.81)	<b>2.2</b> (1.27-3.22)

313 Mean node ages marked in bold and 95% highest posterior density intervals (in brackets) in million years

314 ago (Ma) estimated with two PCG datasets - complete and with RY-masked 3rd codon position.

315 \* excluding *Arvicola* and *Hyperacrius*

316 \*\* sensu Pardinas et al., 2017.

317

318 The earliest radiation of the proper arvicolines (tribes Lemmini, Prometheomyini, Ondatrini and  
319 Dicrostonychini) dates back to the Late Miocene with mean at 7.36 / 7.33 Ma. Despite the high node  
320 support for the nodes marking tribes Lemmini and Dicrostonychini, the basal part of the phylogenetic  
321 tree remains unresolved and represents a polytomy with several nodes not receiving significant BI and  
322 ML support. Analysis based on the PCG dataset where the third codon position was not masked with  
323 RY-coding, indicated significantly high Bayesian support for node C, combining Ondatrini and  
324 Dicrostonychini (Fig 2). The time to MRCA of node C is about 5.85 / 5.54 Ma and the MRCA of proper  
325 Dicrostonychini at 4.89 / 4.49 Ma.

326 The tribe Clethrionomyini representing second radiation of Arvicolinae received high BI and  
327 ML support, and nodes within the clade were also highly supported. The MRCA for Clethrionomyini  
328 dates back to 4.02 / 4.46 Ma.

329 The cluster containing tribes Ellobiusini, Lagurini, Arvicolini and genera *Dinaromys*, *Arvicola*  
330 Lacepede, 1799 and *Hyperacrius* Miller, 1896, i.e. the third radiation of Arvicolinae, was robustly  
331 supported by BI using both alignments and received reliable support by ML only with RY-masked  
332 alignment (Fig 2). Within this cluster, nodes marking tribes were highly supported by BI and ML. At the  
333 level of terminal branches within this cluster *Dinaromys bogdanovi*, *Hyperacrius fertilis* and *Arvicola*  
334 *amphibius* were the only to lack a certain phylogenetic position. *D. bogdanovi* grouped with Ellobiusini  
335 showing high BI support and no ML support. The water vole, *Arvicola amphibius*, clustered with  
336 Lagurini (high BP and no BS support) thus being paraphyletic to Arvicolini. The sagebrush vole  
337 *Lemmys curtatus* was sister to snow voles, *Chionomys gud* and *C. nivalis* Martins, 1842 with a robust  
338 support obtained in all analyses. The cluster of *Chionomys* Miller, 1908 and *Lemmys* was the earliest  
339 derivative in the highly supported group uniting all known vole genera of Arvicolini tribe. Arvicolini

340 *sensu stricto* (excluding *Arvicola*) was fully resolved: both node H marking the whole tribe and all nodes  
341 within the tribe received robust support in ML and BI analyses.

342 The estimated time of the largest radiation event within the subfamily and TMRCA for the  
343 trichotomy Arvicolini - Ellobiusini - Lagurini dates back to 6.2 / 6.11 Ma. All following divergence  
344 events within this radiation according to the obtained estimates took place very close to each other, the  
345 95% HPD of the diverging branches leading to MRCA of existing tribes are highly overlapping. Thus  
346 the date estimate to the MRCA of Ellobiusini was 4.97 / 4.58 Ma. The MRCA of the Lagurini tribe is  
347 around 3 Ma (Fig 2, Table 1). The estimate for the earliest split within the Arvicolini tribe radiation (not  
348 including *Arvicola* and *Hyperacrius*) with all recent genera is about 4.9 / 5.02 Ma, that coincides with  
349 the onset of Pliocene period, whereas the major part of recent genera, excluding early derivating  
350 *Chionomys*, *Lemmiscus* and *Proedromys* Thomas, 1911, according to obtained estimates appear either  
351 in the Middle Pliocene or close to the boundary of Late Pliocene-Early Pleistocene (Fig 2, Table 1).

## 352 **Gene trees**

353 The topology of the Arvicolinae phylogeny varied between the 13 PCG trees (S1 File). While  
354 tribe level nodes received good support at most of the trees, the phylogenetic relationships between the  
355 taxa remained unresolved. The *ATP8*- and *COX2*-based trees lacked resolution at both deep and shallow  
356 nodes, and therefore, these trees resulted in a complete polytomy. The only node at the *ATP8*-based tree  
357 that retained its integrity with high support was the tribe Clethrionomyini. Noteworthy that this  
358 Clethrionomyini node had high support and was consistent at the majority of the gene trees, except for  
359 the *ND3*. The node containing the taxa of the Arvicolini tribe (excluding *Arvicola amphibius*) received  
360 high support on the *COX1*, *ATP6*, *ND3*, *ND5*, *ND6*, *ND1* and *CYTB* gene trees. The *ND4* gene tree  
361 yielded in a highly supported node grouping the semiaquatic species - *Ondatra zibethicus* and *Arvicola*

362 *amphibius*, the result was not supported by any other gene tree and mitogenome BI and ML phylogenetic  
363 reconstructions (Fig 2). Positions of these two species, as well as *Dinaromys bogdanovi* were very  
364 unstable across the individual gene trees.

365

366 These taxa often occupied different positions and clustered with other species randomly.  
367 Remarkable that even in the case when tribal support and content was consistent across various trees and  
368 with the mitogenome tree, the interrelationships between tribes at the individual gene trees were  
369 unresolved. The lack of resolution especially at the deep nodes may be related to high saturation that is  
370 demonstrated with some genes, particularly *ATP6*, *ATP8*, *ND1*, *ND2*, *ND3*, *ND5* and *ND4* (maximum  
371 saturation), since phylogenetic signal disappears when divergence is over 10%.

## 372 Discussion

373 Our phylogenetic reconstruction of the subfamily Arvicolinae is based on a PCG dataset of  
374 mitochondrial genome sequences of 58 species of voles and lemmings with the outgroup of six  
375 *Cricetidae* species. The dataset included 30 original sequences, and for 10 genera the mitogenomic  
376 sequencing was implemented for the first time. To date, this is the most comprehensive dataset aimed at  
377 the revision of the Arvicolinae phylogeny considering almost all recent genera represented by nominal  
378 species. While the monophyletic origin of Arvicolinae has always been considered indisputable, previous  
379 attempts to resolve phylogenetic relationships within the subfamily using either morphological analysis  
380 or combinations of mitochondrial and nuclear markers yielded in several hard polytomies [13] or  
381 conflicting topologies [3,5–7,9,12,18,73,74]. The more taxa and more markers were considered in the  
382 analysis, the better resolution for the nodes marking major tribes within Arvicolinae has been obtained  
383 [7,17,18]. However, the diversification events within major radiation waves remained unresolved. The

384 phylogenetic position of the genera *Prometheomys* Satunin, 1901, *Arvicola*, *Ondatra* Link, 1795 and  
385 *Dinaromys* in reconstructions performed with mitochondrial and nuclear markers was controversial  
386 [3,5,7,18] and genera *Hyperacrius* and *Lemmiscus* received little attention, their phylogenetic position  
387 was arguable.

388

## 389 **Mismatches between the Bayesian and Maximum Likelihood support for** 390 **the tribes and three waves of radiation within Arvicolini**

391 The topology of the mitochondrial genome tree of Arvicolinae obtained in this study, in general,  
392 was in good agreement with previous large-scale phylogenetic reconstructions of the group based on  
393 mitochondrial and nuclear genes [7,17,18,73,74]. Using the concatenated alignment of 13 PCG, the  
394 present reconstruction resulted in high support for the nodes marking tribes in both Bayesian and  
395 Maximum Likelihood analyses. While Bayesian analysis also provided high BP support for the basal  
396 nodes, ML approach failed to recover relationships and order of divergence between the basal branches.  
397 Previously, these deep divergences were identified as three waves of rapid radiations [7].

398 The first radiation within the subfamily is represented by four tribes - Lemmini, Prometheomyini,  
399 Dicrostonychini (including *Phenacomys* Merriam, 1889) and Ondatrini. The order of divergence  
400 between these ancient tribes remains unresolved using mitochondrial genome data. The second radiation  
401 is represented exclusively by the large monophyletic tribe Clethrionomyini (Fig 2). These are  
402 predominantly forest-dwelling taxa originated in Eurasia with only a few species penetrating North  
403 America during the Pleistocene. According to our data, the monophyly of Clethrionomyini was  
404 supported in analyses of either concatenated alignment or individual mitochondrial genes except for the  
405 short *ND4L* (S1 File). With all the nodes receiving high BP and ML support, the internal topology of

406 branches within Clethrionomyini obtained in this study was similar to previous reconstructions of this  
407 tribe based on one mitochondrial and three nuclear loci [11].

408         The third radiation comprises three tribes *Arvicolini*, *Ellobiusini* and *Lagurini*. While these tribes,  
409 as well as most genera within the tribes, received strong support, and our reconstruction demonstrates  
410 that all the taxa of the third radiation share the same putative common ancestor, their interrelationships  
411 within this large clade also were not recovered, actually representing polytomy. According to our data,  
412 the genera *Dinaromys*, *Hyperacrius* and *Lemmiscus* whose assignment to certain tribes has previously  
413 been doubtful (Fig 2) also belong to the third radiation. Their taxonomic position, as well as the position  
414 of the genus *Arvicola* that suddenly appeared to be paraphyletic to other Arvicolini, are discussed below.

415

## 416 **Phylogenetic relationships of the genus level taxa. Monotypic and low-** 417 **diverse genera of uncertain position**

418 The subfamily Arvicolinae includes several seriously understudied genera of unclear taxonomic  
419 position. For these genera, molecular data include either only mitochondrial *CYTB* sequences [5,13–15]  
420 or several additional mitochondrial and nuclear markers [3,7,9–11,18]. These genera are often the orphan  
421 genera, i.e. being represented by a single extant species. Considering such taxa is of remarkable  
422 importance for the reconstruction of high-level phylogenies, but their position on a tree can often be  
423 contradictory due to long-branch attraction [75,76]. While the resolving power of the phylogenetic  
424 reconstruction increases with the number of genes in analysis, several studies of rapid radiations based  
425 on organellar genomes pointed out the effect of long branch attraction [20,77,78] and references therein.  
426 Our study, among other, considers five genera of the unclear position either within the first  
427 (*Prometheomys* and *Ondatra*) or third (*Dinaromys*, *Hyperacrius* and *Lemmiscus*) radiation waves.

428 The Balkan vole, *Dinaromys bogdanovi* is endemic to Balkan Peninsula was attributed to either  
429 Ondatrini [79] or Prometheomyini [80], but conventionally to Clethrionomyini [39,81,82].  
430 Morphologically *Dinaromys* is mostly close to the extinct Pliocene genus *Pliomys* Méhely, 1914 [39,83–  
431 85], which distinguishes it from the rest of extant vole taxa. The genus *Pliomys*, in turn, has generally  
432 been considered the ancestral form for the whole Clethrionomyini tribe. That was the main reason [39]  
433 to distinguish a separate subtribe Pliomyi within the latter consisting of the two genera - extant  
434 *Dinaromys* and extinct *Pliomys*. Until recently, *CYTB* was the only studied locus for *Dinaromys*, and it  
435 was placed as sister to *Prometheomys*, another monotypic genus, and both were close to Ellobiusini -  
436 Arvicolini - Lagurini group [5]. This grouping was strongly rejected by the following attempts to build  
437 molecular phylogeny of Arvicolinae showing the position of *Prometheomys* as the earliest derivative  
438 within the subfamily [3,7,9,17,18], and *Dinaromys* within the clade uniting Ellobiusini, Lagurini and

439 *Arvicola*, i.e. the third radiation [9,17,18]. According to mitochondrial genome phylogeny (Fig 2),  
440 *Dinaromys* does not have putative MRCA with monophyletic Clethrionomyini tribe and most likely  
441 belongs to the third radiation, yet the certain position of this genus within this large group remains  
442 unclear.

443 The analysis of partial mitochondrial *CYTB* sequence [11] demonstrated that genus *Hyperacrius*  
444 does not seem to belong to the Clethrionomyini tribe. By analysing the set of mitogenomes of  
445 Clethrionomyini and Arvicolini it was recently suggested that *Hyperacrius* has the basal position within  
446 the tribe Arvicolini [50]. Here, using the broader taxonomic sampling, we confirm these previous  
447 findings showing that *Hyperacrius* predates the diversification of all main genera of Arvicolini.

448 Reconstructions performed using the individual mitochondrial genes often placed genus *Ondatra*  
449 as sister to *Arvicola* [5,13] or Clethrionomyini tribe [73] with low support. In all studies involving  
450 varying sets of nuclear genes *Ondatra* was among early diverging lineages [7] and sister to *Neofiber*  
451 True, 1884 if it was included in the analysis [18,73]. Such position better corresponds to conventional  
452 taxonomy and paleontological data. Our results placed *Ondatra* sister to the Dicrostonychini tribe, hence  
453 with low support (except BI with transitions in the 3rd position included). Similar topology was observed  
454 by Lv et al. [17].

455 *Lemmyscus curtatus* - the sagebrush vole - is the only extant representative of the genus, it inhabits  
456 semi-arid prairies on the western coast of North America. For a long time, *Lemmyscus* was considered as  
457 closely related to the steppe voles Lagurini of the Old World and even as a subgenus within *Lagurus*  
458 Gloger, 1841 [39,86–88]. The close affinity between *Lemmyscus* and the Palearctic Lagurini was then  
459 seriously criticized from the paleontological perspective. Morphological similarities among the two  
460 groups were interpreted as a result of the parallel evolution at open, steppe-like landscapes, and  
461 *Lemmyscus* was proposed to be close to the tribe Arvicolini, particularly the genus *Microtus* Schrank,

462 1798 [89]. These data corroborated the previous grouping of *Microtus* and *Lemmiscus* in phylogenetic  
463 reconstruction based on restriction fragment LINE-1 [90], yet their taxonomic sampling did not include  
464 Lagurini and most genera of the Arvicolini tribe. In a recent reconstruction using mitochondrial *CYTB*  
465 and the only nuclear gene *Lemmiscus* clustered with *Arvicola amphibius*, yet with no support [18].

466 Using mitochondrial genomes to reconstruct Arvicolinae phylogeny, we sensationally show that  
467 *Lemmiscus* appears to be sister to the snow voles genus *Chionomys*. This clustering was obtained in all  
468 variants of the analysis, and node support values were significant. The snow voles unite three species  
469 occurring only in the Old World, particularly mountain systems of Southwestern, Central and  
470 Southeastern Europe and Southwestern Asia. Snow voles inhabit rocky patches of a subalpine and alpine  
471 belt from 500 up to 3500 m above the sea level [39,91]. Reliable pre-Pleistocene fossil remains of  
472 *Chionomys* are unknown, and the origin of the genus was previously attributed to the mid-Pleistocene  
473 [92]. Our data strongly contradicts this conventional view, and both *Lemmiscus* and *Chionomys* probably  
474 are more ancient taxa. Also, *Lemmiscus* and *Chionomys* occur at different continents and occupy  
475 contrasting ecological niches; they are also very dissimilar morphologically. These findings, broadly  
476 discussed below, are important for the understanding of the migration events of Arvicolinae from Eurasia  
477 to North America.

## 478 **Phylogenetic relationships within the tribe Arvicolini *sensu stricto***

479 By using the mitochondrial genome data, we obtained good support for the nodes within the  
480 tribe Arvicolini except for the *Arvicola amphibius* that clustered with Lagurini (Fig 2). The unclear  
481 position of *A. amphibius* can be a consequence of the long-branch attraction effect, and further studies  
482 should consider including sequences of the e.g. southern water vole, *A. sapidus* Miller, 1908 and nuclear  
483 genome data for better phylogenetic position resolution of the genus. The other nodes within Arvicolini,

484 hereafter called as Arvicolini *sensu stricto* marking the genus and subgenus level taxa, were recovered  
485 as monophyletic and clearly resolved.

486 The phylogenetic pattern indicates two major migration waves of voles to the Nearctic. The  
487 earliest derivative from the MRCA is a branch leading to *Chionomys - Lemmiscus* node and this gives  
488 clear indication on the first dispersal of common ancestors of the group from Palearctic to Nearctic. The  
489 only recent descendant of this lineage in North America is *Lemmiscus*.

490 The next split of ancestral lineage evidently took place in Asia and is represented by poorly  
491 diversified genus *Proedromys* and highly diversified cluster, uniting all the rest recent vole genera. This  
492 latter cluster further splits into highly supported clade of Asian voles showing sister relationships of  
493 genus *Neodon* Horsfield, 1841 and genera *Alexandromys* Ognev, 1914 and *Lasiopodomys* Lataste, 1887  
494 and a cluster uniting two sister clades: Nearctic voles with following fast radiation resulting in nearly 20  
495 recent species (here named *Mynomes* Rafinesque, 1817 after the earliest name of the generic group level),  
496 and a clade that further splits into Western Palearctic (*Microtus* s.str., *Terricola* Fatio, 1867 and  
497 *Sumeriomys* Argyropulo, 1933) and one containing taxa distributed in Central Asia (*Blanfordimys*  
498 Argyropulo, 1933), Westernmost Europe (*Iberomys* Chaline, 1972) and wide-ranged *Agricola* Blasius,  
499 1857 (from Western Europe to Siberia). It is important to note that trees uniting Nearctic “*Microtus*”  
500 species in one cluster were obtained in various studies [12,15,17,18], but for the first time this cluster  
501 receives robust support, justifying the genus level status under the name *Mynomes*.

502 Another significant finding is the more clear assignment of *Iberomys cabrerae* and *Agricola*  
503 *agrestis*, both species conventionally assigned to *Microtus* [1], but whose position at the molecular trees  
504 within the Arvicolini tribe was always uncertain. The tendency for clusterization of *A. agrestis* and  
505 *Blanfordimys*, though without support was shown earlier [9,12,15,17,18]. In the paper where both *I.*  
506 *cabrerae* and *A. agrestis* were analysed in a comprehensive dataset with *CYTB* [15] these species

507 appeared in different clusters: *A. agrestis* with *Blanfordimys*, while *I. cabrerai* within the cluster of  
508 Nearctic voles, however later on [10] in a detailed study of Asian voles came up with analogous to  
509 reported here clustering of *I. cabrerai* and *A. agrestis* with *Blanfordimys*. An important contribution was  
510 recently made by Barbosa et al. [33] who used a genomic approach for resolving phylogeny of speciose  
511 *Microtus* voles. According to their results both species appear to be monophyletic, however this study  
512 was based only on eight species and lacked most of the genera of the group. According to our results the  
513 cluster showing close relationships of these species with *Blanfordimys* is highly supported.

514

## 515 **Molecular estimates of Divergence time of the major Arvicolinae lineages** 516 **in the context of fossil record**

### 517 **Dating the origin of Arvicolinae**

518 Our data estimated the time of radiation from the MRCA of the all Arvicolinae as ca. 7.3 Ma  
519 (Table 1), i.e. the Late Miocene and divergence time of Cricetinae and Arvicolinae from common  
520 ancestors around 11 Ma. These dating estimates correspond with fossil records [93] and molecular dating  
521 obtained by previous studies [18]. Between the 11.1 and 7.75 Ma (from Early Valesian to Late Turolian)  
522 in Eurasia appear many taxa, conventionally referred as microtoid cricetids. These forms were  
523 characterised by the arvicoline-like prismatic dental pattern with variously pronounced hypsodonty [93–  
524 96] and are generally considered as the ancestors for arvicolids [39,93–95,97]. The first fossil forms  
525 attributed to Arvicolinae (*Pannonicola sp.*) dated as ca. 7.3 Ma are known first from Middle Turolian,  
526 Hungary [98], and Asia [99].

## 527 **Ancient radiation of Arvicolinae and the first migration event from Palearctic to** 528 **Nearctic**

529 From the mitochondrial genome data, the date for the MRCA of Lemmini was estimated as 4.81-  
530 4.37 Ma. This molecular dating consider ancestors of Lemmini almost a million years older than the  
531 fossil remains reliably attributed to Lemmini in Europe [100,101] and Asia [102] dated as the Early  
532 Villaniyan (Mammal Neogene zone MN16, 3.2 Ma), while North American fossils of Lemmini were  
533 dated at ca. 3.9 Ma or Late Blancan according to Ruez & Gensler [103].

534 However, these lemming fossils are characterized by very advanced unrooted teeth and  
535 masticatory patterns, close to the recent forms of lemmings. Among the potential ancestors here can be  
536 mentioned *Tobenia kretzoi* Fejfar, Repenning, 1998, a species with rooted molars known from the early  
537 Pliocene of Wolfersheim, Germany [104]. This finding refers to MN15 that is ca. 4 Ma, similar to our  
538 molecular dating.

539 Divergence between Dicrostonychini and Ondatrini took place ca. 6 Ma according to our data,  
540 indicating that the ancestors of this group were very closely related to the first arvicolines. Feifar et al.  
541 [93] pointed that the molar pattern of *Pannonicola Kretzoi*, 1965, the oldest known fossil Arvicolinae,  
542 show similarity with *Dolomys* Nehring, 1898 the putative ancestor of Ondatrini, and possibly  
543 Dicrostonychini, indicating their closer relationships. Our data corroborate this grouping and provide  
544 additional evidence for the time estimate for the first dispersal of Arvicolinae from Palearctic to the  
545 Nearctic.

## 546 **Radiations of Arvicolinae in Late Miocene and Pliocene**

547 The molecular estimate of the two major radiation waves of Arvicolinae, leading to the  
548 Clethrionomyini, Arvicolini, Ellobiusini and Lagurini, dates back to 6 Ma, Late Miocene, MN 13 (Late

549 Turolian). These findings correspond to paleontological data and confirm the estimates received  
550 previously in the study based on nuclear genes [7]. Chronologically, this was the period of simultaneous  
551 appearance of *Promimomys* Kretzoi, 1955 in Eastern Europe [93] and Western Asia [105]. This form is  
552 considered ancestral to numerous species emerged in the Early Pliocene and conventionally assigned to  
553 highly mixed and species-rich genus *Mimomys* Forsyth-Major, 1902. According to the generally  
554 accepted view, different forms of this complex “Mimomys” group represented the starting point for all  
555 subsequent lineages of Arvicolines. The concept of common ancestry for these forms within this  
556 geological period does not contradict the data obtained in the present study and hypothesis proposed by  
557 paleontologists [93]. The radiation of common ancestors for all Clethrionomyini species starts later, since  
558 Late Ruscinian (MN 15), around 4 Ma.

### 559 **The origin of the tribe *Arvicolini sensu stricto*: second trans-Beringian dispersal**

560 The molecular estimate for the MRCA of node H, Arvicolini s.str. (Fig 2) is ca. 5 Ma.  
561 Considering that most primitive forms of the genus *Mimomys* are among the MRCA candidates for all  
562 main genera within the tribe Arvicolini s.str, the obtained time estimate i.e. the very beginning of the  
563 Pliocene is also consistent with the fossil record.

564 One of the earliest records of *Mimomys* in North America was dated as 4.75 Ma [106], while  
565 fossil remains from Asia are slightly older [107]. This is the time of the second dispersal of arvicolids  
566 from Asia to the Nearctic. The only recent descendant of these immigrants in North America is  
567 *Lemmiscus curtatus*. According to our data, the starting point of evolutionary history for this lineage is  
568 around 4 Ma. The earliest remains assigned to the genus are known from the end of Early Pleistocene  
569 from the SAM Cave in New Mexico [108] in the sediments according to paleomagnetic and faunistic  
570 data that may be dated as 1.8 Ma. Repenning [108] deduced *Lemmiscus* from primitive *Allophaiomys*  
571 Kormos, 1932. Remains assigned to the latter taxon are widely distributed among in the Early Pleistocene

572 sites dated between 2.2 and 1.6 Ma in both the Palearctic and Nearctic. Yet, *Allophaiomys* is a rather  
573 collective taxon presumably accepted as ancestral to most *Microtus* species and associated genera.  
574 Tesakov and Kolfschoten [89] suggested the hypothesis of a *Mimomys*–*Lemmiscus* phyletic lineage.  
575 However, their hypothesis also presumes that ancestral *Mimomys* (*Cromeromys* Zazhigin, 1980), a form  
576 having rooted molars and inhabiting vast areas from Western Europe to Beringia dispersed southwards  
577 across North America in the late Early Pleistocene and evolved there into rootless *Lemmiscus*. Thus, our  
578 dating conflicts with both views and supports the idea of dispersal and further evolution from “*Mimomys*”  
579 stage [89,108] in the middle of the Pliocene, ca. 4 Ma. The fossil remains of *Chionomys* are known only  
580 from the Pleistocene sediments [92]. According to our dating based on mtDNA, the diversification of  
581 ancestral lineage may have started in Western Palearctic as early as in the Middle Pliocene.

582

### 583 **Diversification within Arvicolini sensu stricto: Late Pliocene exchange between** 584 **Palearctic and Nearctic faunas**

585 The other genera within Arvicolini were monophyletic according to Bayesian and ML analyses  
586 with high node support. According to conventional view, this group originated from *Allophaiomys*  
587 [39,108], a highly complex taxon common in the Early Pleistocene (ca. 2 Ma) faunas of the Nearctic and  
588 Palearctic. Our results on divergence dating raise another hypothesis on the starting point for the group  
589 is taking place at the level of “*Mimomys*” stage, i.e. in the Late Pliocene. The genus *Proedromys* is the  
590 first derivative from this common stem, most likely in the Middle Pliocene (approx. 4 Ma). The  
591 standalone position of this genus among other genera of Arvicolini, that plausibly derived from  
592 *Allophaiomys* has been earlier underlined by Gromov, Polyakov [39] and Repenning [108].

593 A further split within Arvicolini took place in the late Pliocene and resulted in the entirely Asian  
594 lineage which currently represented by genera *Neodon*, *Alexandromys* and *Lasiopodomys*. The other,  
595 sister lineage emerged in the Late Pliocene, around 3.8-4 Ma, also from the pre-*Allophaiomys* stage and  
596 diverged into two branches. Ancestors of the first branch (*Mynomes*) penetrated the Nearctic during the  
597 third Nearctic immigration event, where they diversified into 20 species. Descendants of the other,  
598 Palearctic branch, further produced two lineages. Among them, the first apparently originated in Central  
599 Asia and dispersed westwards during the Late Pliocene. *Iberomys cabreræ*, inhabiting the Iberian  
600 Peninsula and the foothills of Pyrenees is a relict descendant of this line [109] and references therein.  
601 Our mitogenomic data supports the hypothesis of long independent evolution of *Iberomys cabreræ*  
602 lineage previously confirmed by several unique morphological, biological and ecological traits [109].  
603 The first fossil remains of *Iberomys* were found in the Early Pleistocene [110] sediments in Spain  
604 predating the Jaramillo reversal event (approx. 1.2 Ma). According to the scenario set by Cuenca-Bescós  
605 et al. [109], the genus *Iberomys* has evolved separately from other lineages of arvicolines since its origins  
606 in the Early Pleistocene in the western Mediterranean region. The most probable origin and vicariant  
607 speciation according to these authors was linked to the stock of primitive species of *Allophaiomys*. The  
608 results reported here, in a whole are in a good agreement with this scenario although indicate on more  
609 earlier time of origin and speciation from the stock predating *Allophaiomys*-stage and going far back to  
610 the *Mimomys* stage of the Late Pliocene.

611 The other descendants of the same stock in the recent fauna are represented by *Agricola* and  
612 *Blanfordimys*. The range of *Agricola* covers whole Europe and stretches to the east up to the Lake Baikal  
613 and watershed between the Yenisey and Lena Rivers [1,39]. Recent studies showed that *Agricola* is  
614 represented by three highly divergent lineages, possibly a species level taxa [111]. Three species of  
615 *Blanfordimys* occur in high mountain forests and steppes of Central Asia and are characterized by a very

616 primitive molar pattern, similar to *Allophaiomys*. The idea that *Agricola* and *Iberomys* represent relicts  
617 of a very early colonization of Arvicolini to Western Europe was earlier suggested by Martinkova and  
618 Moravec [16] and well agrees with the given data.

619 The second lineage of Palearctic branch has evolved in Western Palearctic and in the modern  
620 fauna is represented by species-rich genus *Microtus* (with subgenera *Microtus* s.str and *Sumeriomys*) and  
621 *Terricola* (around 14 species, mainly found in South and Southwestern Europe). The divergence between  
622 these lineages corresponds to the Late Pliocene, however the speciation events coincided with Early  
623 Pleistocene for genus *Terricola* and early Middle Pleistocene for subgenera *Sumeriomys* and *Microtus*.  
624 The latter dating matches with known fossil records [85,112].

625 Summing up the comparison between the molecular estimates of divergence times reported here  
626 and known paleontological data, it is curious to note that while the dates for MRCA for most genera  
627 within Arvicolini s.str. significantly older than was previously supposed [85,93,113], dating of speciation  
628 events within the genera (*Lasiopodomys*, *Alexandromys*, *Terricola*) are consistent with fossil record  
629 [85,106,108,112–114] and other.

## 630 **Systematic remarks**

631 While systematic relationships of higher taxa within Arvicolinae undoubtedly require further  
632 studies involving genomic approaches, some amendments to the current taxonomic system could be  
633 made already at this step of the research. Our study provided significant input for the potential review of  
634 taxonomic structure and composition of the tribe Arvicolini (S2 File). Our data shows that the position  
635 of the genus *Arvicola* is still unresolved. On the contrary, genera *Lemmiscus* and *Hyperacrius* certainly  
636 should be considered as members of the tribe Arvicolini. The further grouping of species into genera and  
637 subgenera within this highly diverse tribe always was very subjective and debatable. Most arguable was

638 the composition of the genus *Microtus*. The current system [1] where *Blanfordimys*, *Neodon* and  
639 *Lasiopodomys* have generic status, while *Alexandromys*, *Stenocranius* and *Terricola* are referred as  
640 subgenera within the genus *Microtus* is strongly outdated and contradicts the data of recent phylogenetic  
641 studies. The last checklist [2] partly modified this scheme and following Abramson and Lissovsky [40]  
642 elevated *Alexandromys* to full genus and *Stenocranius* considered as subgenus of *Lasiopodomys*, and  
643 *Neodon* as a genus. However, despite the accumulated evidence from several previous papers [9,16,115],  
644 in this reference book without substantiation the status of *Blanfordimys* was downgraded [116] while  
645 three well differentiated lineages (*Blanfordimys*, all Nearctic microtines, *Terricola*, *Microtus* and  
646 *Sumeriomys*) were illogically united in one genus *Microtus*. These well-differentiated lineages together  
647 form the sister branch to one with similar branching pattern and recognized three genera: *Alexandromys*,  
648 *Lasiopodomys* and *Neodon*. It is widely known, that the better is the phylogenetic resolution of any  
649 species-rich group the more complicated it matches the conventional hierarchical categories of Linnean  
650 system. Trying to retain as much stability of nomenclature retaining the already commonly used names  
651 that correspond to certain lineages from one hand and to reflect robust phylogenetic nodes in a formal  
652 classification from the other, here we suggest the following system of generic group taxa within the tribe  
653 Arvicolini sensu stricto.

654

## 655 **Conclusions**

656 Our phylogenetic analysis based on a complete mitochondrial genomes confirmed the monophyly  
657 of the subfamily, monophyly of the most tribes originated during the three subsequent radiation events.  
658 While order of divergence between ancient genera belonging to the first radiation were not uniformly  
659 supported by Bayesian and Maximum Likelihood analyses, our study reports the high node statistical  
660 support for the groups of genera within the highly diverse tribe Arvicolini. Mitogenome phylogeny

661 resolved several previously reported polytomies and also revealed unexpected relationships between  
662 taxa. The robust placement of *Lemmiscus* as sister to the snow voles, *Chionomys* in the tribe Arvicolini,  
663 in contrast with a long-held belief of its affinity with Lagurini, is an essential novelty of our phylogenetic  
664 analyses. Our results resolve some of the ambiguous issues in phylogeny of Arvicolinae, but some  
665 phylogenetic relationships require further genomic studies, e.g. the evaluation of the precise positions of  
666 *Arvicola*, *Dinaromys* and *Hyperacrius*.

667 Here, we provide the evidence of high informativeness of the mitogenomic data for phylogenetic  
668 reconstruction and divergence time estimation within Arvicolinae, and suggest that mitogenomes can be  
669 highly informative, when the number of extant and extinct forms are comparable (the case of Arvicolini)  
670 and insufficient when extant forms represent single lineages of once rich taxon (most cases of early  
671 radiation in the subfamily).

672 The accuracy and precision of previous divergence time estimates derived from multigene nDNA  
673 and nDNA–mtDNA datasets are here refined and improved; The estimates for subfamily origin and early  
674 divergence are consistent with fossil record, however mtDNA estimates for putative ancestors of most  
675 genera within Arvicolini appeared to be much older than it was supposed from paleontological studies.

676  
677

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686

687

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## 995 **Supporting information**

996 **S1 Table. Material, GenBank accession numbers and mitogenome characteristics.**

997 **S2 Table. Completeness of analyzed mitogenomes.** Mitogenomes obtained in the current study are  
998 marked in bold, partial genes colored by yellow. Absent genes indicated with red color. The length of  
999 protein-coding genes is given in nucleotides.

1000 **S3 Table. Fossil calibrations used in dating analysis.** Node labels correspond to Fig 2, ages are given  
1001 in million years ago (Ma). FAD - age of the first appearance of the taxon in the fossil record (first  
1002 appearance date).

1003 **S1 Fig. Nucleotide misincorporations at 5'-termini (A) and 3'-termini (B) of the *Lemmiscus curtatus***  
1004 **calculated using mapDamage.** All possible misincorporations are plotted in gray, except for guanine to  
1005 adenine (G>A, blue lines) and cytosine to thymine (C>T, red lines).

1006 **S4 Table. Test of substitution saturation.** Analysis performed on all sites for 1&2nd and 3rd codon  
1007 position separately. Iss - index of substitution saturation IssSym is Iss.c assuming a symmetrical  
1008 topology, IssAsym is Iss.c assuming an asymmetrical topology, NumOTU - number of operation  
1009 taxonomic units. Red color indicates P-value < 0.05.

1010 **S1 File. Maximum likelihood phylogenies and saturation plots for each PCG.** Species belonging to  
1011 the tribes is marked with colours (Arvicolini - light blue, Lagurini - blue, Ellobiusini - purple,  
1012 Clethrionomyini - magenta, Dicrostonychini - dark green, Ondatrini - light green, Prometheomyini -

1013 yellow, Lemmini - red, nomen nudum species - black). Node labels display ultrafast ML bootstrap above  
1014 50%. At the saturation plots colours mark the following partitions: 1st codon position transitions (ts) -  
1015 brown, 1st transversions (tv) - red, 2nd ts - blue, 2nd tv - green, 3rd ts - pink, 3rd tv - black.  
1016 **S2 File. The proposed system of generic group taxa within the tribe Arvicolini *sensu stricto*.**

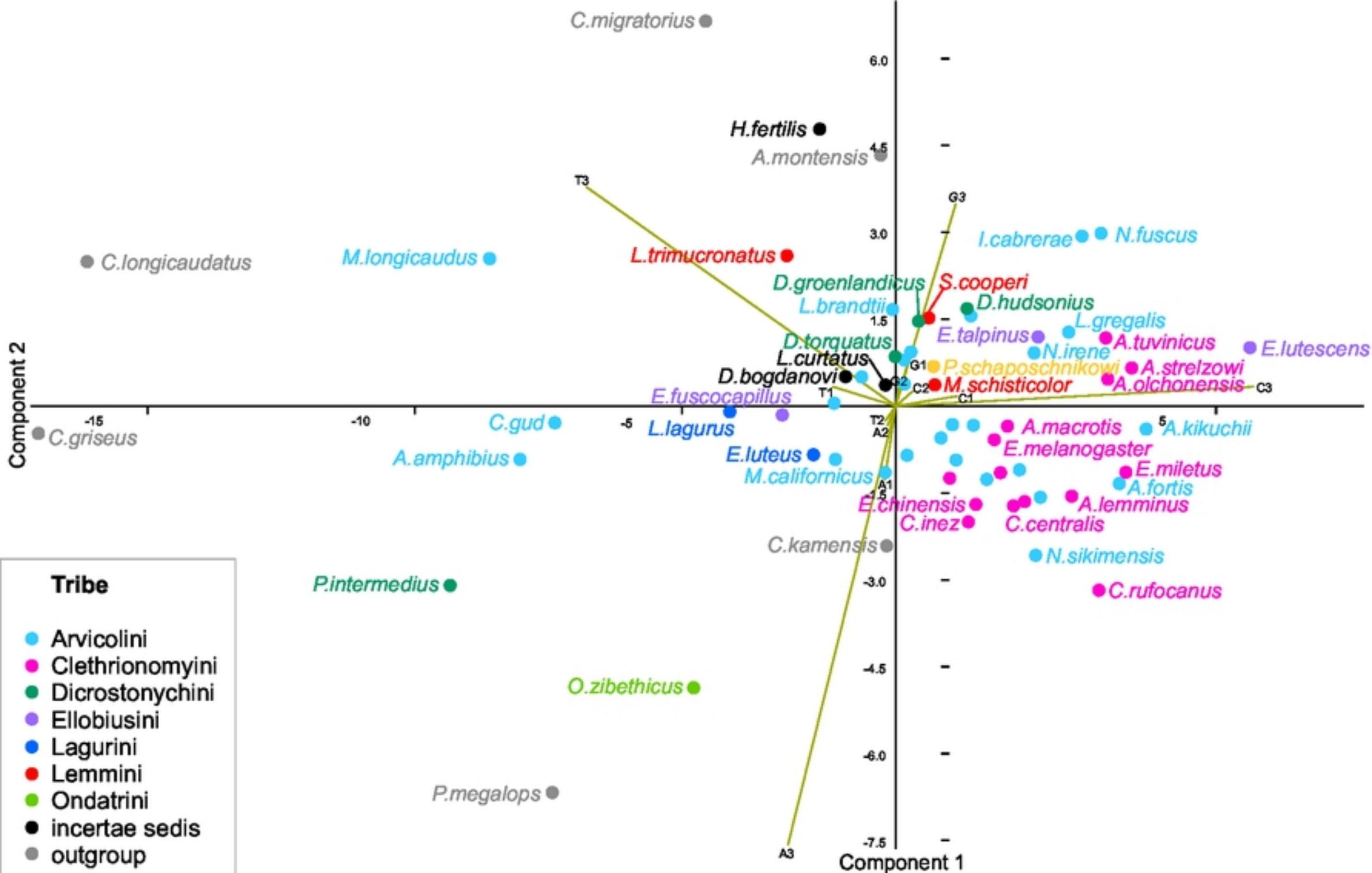


figure 1

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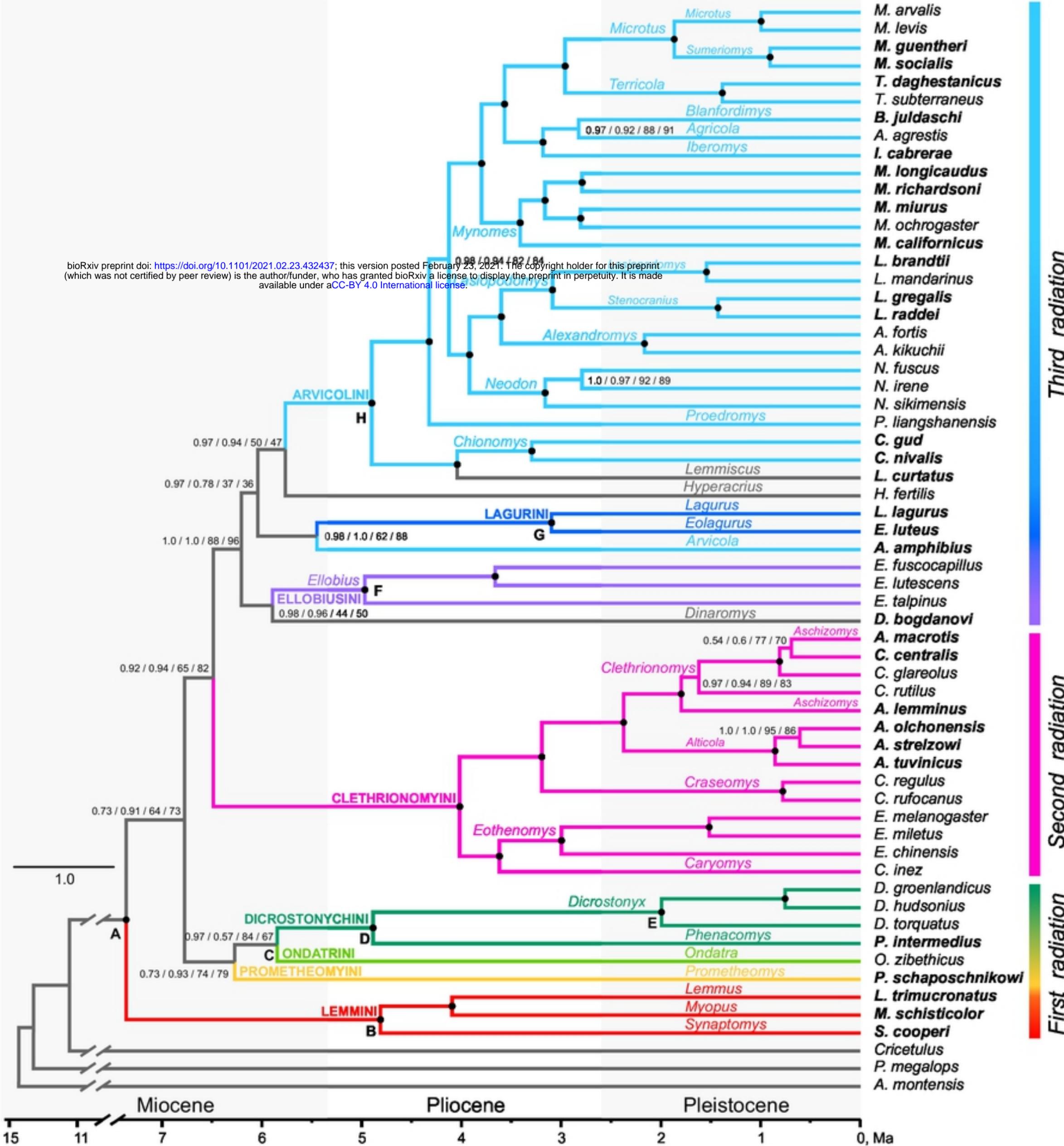


figure2