Machine learning to predict the source of campylobacteriosis using whole genome data

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Abstract

Campylobacteriosis is among the world's most common foodborne illnesses, caused predominantly by the bacterium *Campylobacter jejuni*. Effective interventions require determination of the infection source which is challenging as transmission occurs via multiple sources such as contaminated meat, poultry, and drinking water. Strain variation has allowed source tracking based upon allelic variation in multi-locus sequence typing (MLST) genes allowing isolates from infected individuals to be attributed to specific animal or environmental reservoirs. However, the accuracy of probabilistic attribution models has been limited by the ability to differentiate isolates based upon just 7 MLST genes. Here, we broaden the input data spectrum to include core genome MLST (cgMLST) and whole genome sequences (WGS), and implement multiple machine learning algorithms, allowing more accurate source attribution. We increase attribution accuracy from 64% using the standard iSource population genetic approach to 71% for MLST, 85% for cgMLST and 78% for kmerized WGS data using machine learning. To gain insight beyond the source model prediction, we use Bayesian inference to analyse the relative affinity of *C. jejuni* strains to infect humans and identified potential differences, in source-human transmission ability among clonally related isolates in the most common disease causing lineage (ST-21 clonal complex). Providing generalizable computationally efficient methods, based upon machine learning and population genetics, we provide a scalable approach to global disease surveillance that can continuously incorporate novel samples for source attribution and identify fine-scale variation in transmission potential.

Author summary

C. jejuni are the most common cause of food-borne bacterial gastroenteritis but the relative contribution of different sources are incompletely understood. We traced the origin of human *C. jejuni* infections using machine learning algorithms that compare the DNA sequences of bacteria sampled from infected people, contaminated chickens, cattle, sheep, wild birds and the environment. This approach achieved improvement in accuracy of source attribution by 33% over existing methods that use only a subset of genes within the genome and provided evidence for the relative contribution of different infection sources. Sometimes even very similar bacteria showed differences, demonstrating the value of basing analyses on the entire genome when developing this algorithm that can be used for understanding the global epidemiology and other important bacterial infections.

1 Introduction

2 Campylobacter jejuni and Campylobacter coli are among the most common causes of gastroenteritis 3 globally and are responsible for approximately nine million annual cases in the European Union (1,2). 4 These zoonotic bacteria are a common commensal constituent of the gut microbiota of bird and 5 animal species (3,4) but cause serious infections in humans. Symptoms include nausea, fever, 6 abdominal pain, and severe diarrhoea, with potential for the development of debilitating, and 7 sometimes fatal, sequelae (5,6). Various infection sources have been identified including animal faeces, contaminated drinking water and especially raw or under-cooked poultry and other meats (7). 8 9 However, effectively combating disease requires a detailed understanding of the relative contribution 10 of different sources to human infection.

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12 As in many other bacterial species, Campylobacter populations represent diverse assemblages of 13 strains (3,8–10). Within this structured population, some lineages are more commonly observed in particular host species (3,4,11). Because of this host association, DNA sequence comparisons of 14 15 bacteria from human gastroenteritis and potential reservoir populations have potential to reveal the 16 infection source. This has identified contaminated poultry as a major source of human infection 17 (12,13). Based on the body of evidence including DNA sequence analysis (14), targeted interventions 18 have been implemented, including improved biosecurity measures on poultry farms, which have 19 halved recorded campylobacteriosis cases in New Zealand (15,16).

20

Extending the principal of linking source-sink populations using genotype data, methods have been
developed to attribute *C. jejuni* to the likely source based on bacterial gene frequencies in potential
reservoir populations (17,18). Among the most common genotyping approaches for *C. jejuni* has been

24 multi-locus sequence typing (MLST) that catalogues DNA sequence variation across seven 25 housekeeping genes that are common to all strains (19,20). Isolates with identical alleles at all loci are 26 assigned to the same sequence type (ST) and those with identical sequences at most or all loci are grouped within the same clonal complex (CC). Using these data, and allele frequencies, it has been 27 28 possible to probabilistically assign clinical isolates (STs and CCs) to host source using source attribution 29 models such as the asymmetric island model implemented in *iSource* (17) and the Bayesian population 30 assignment model STRUCTURE (18,21). Both methods have been instructive in estimating the relative 31 contribution of a range of domestic and wild animal hosts to human infection, with poultry often 32 identified as the principal source of human campylobacteriosis across different regions and countries 33 (17,18,22-25).

34

35 There are two main limitations when using genotype data to for bacterial source attribution. The first 36 is that the ability to attribute is only as good as the degree of genotype segregation. For example, in 37 C. jejuni there are host restricted genotypes (3,26) that can be readily attributed to a given host source 38 when observed in human infections, as well as ecological generalists (27,28) that have relatively 39 recently transitioned between hosts and cannot therefore be attributed with confidence (29). While 40 host switching potentially imposes a biological constraint on quantitative attribution models, the 41 second limitation is far more tractable. Specifically, most current source attribution methods are 42 subject to limitations imposed by the underlying data. Reflecting the technology of the time, MLST-43 based source attribution is based only on a small fraction of the genome (approximately 0.2% for C. 44 jejuni (25)) and there is considerable potential for better strain differentiation using current 45 techniques.

46

47 The increasing availability of large whole genome sequence (WGS) datasets has greatly enhanced

48 analyses of bacterial population structure and diversity (30). However, exploiting the full information 49 can be challenging due to variable gene content and the complexity of interpreting the short reads 50 produced by next generation sequencing. Notwithstanding this, some studies have attempted to 51 overcome the limited discriminatory power of MLST in attribution studies by screening WGS data to identify elements (SNPs and genes) that segregate by host (31–33). Using these host segregating 52 53 markers as input data has improved the resolution of existing attribution models, including 54 STRUCTURE, and provided information about potential infection reservoirs and the UK and France. 55 However, using bespoke marker selection approaches with software designed for MLST data does not 56 maximize the potential of WGS data for source attribution.

57

Here, we present a machine learning approach using WGS data to predict the source of human C. jejuni 58 59 infection. This has two principal advantages over existing techniques. First, building on WGS-based 60 machine learning source attribution approaches applied to Salmonella enterica and Escherichia coli 61 (34,35), we take an agnostic approach to identify which machine learning tool performs best from a 62 broad range of available algorithms. Second, we use a WGS input capture approach using data types 63 deposited in public databases allowing the analysis of existing MLST, core-genome MLST and WGS 64 datasets and the reuse of data for continuous updatable monitoring in a generalizable framework. 65 Thus, we aimed to overcome limitations of the currently available methods and use the output to 66 investigate the infective potential of *C. jejuni* strains.

67

68 Methods

69 **Dataset acquisition**

70 A total of 5,798 C. jejuni and C. coli genomes isolated from various sources and host species were

71 available on the public database for molecular typing and microbial genome diversity: PubMLST 72 (https://pubmlst.org/) (S1 Table). WGS data corresponded to MLST ST and CC designations as well as 73 core genome (cg) MLST classes. The dataset was divided into training (75%) and testing (25%) sets 74 using phylogeny-aware sorting, wherein all members of one ST were sorted entirely into either training 75 or testing sets (S1 Table). The ST based sorting accounts for the phylogenetic non-independence of 76 samples (36). To allow for sufficient sample sizes per reservoir population (hereafter "class"), only the 77 five most prevalent classes for MLST and cgMLST were used (chicken, cattle, sheep, wild bird and 78 environment). For farm animals the classes "chicken" and "chicken offal or meat" were combined to 79 "chicken" (likewise for sheep and cattle), whilst "environment", "sand" and "river water" were 80 combined into "environment", consistent with previous studies (18,37).

81

82 Feature engineering

83 The allelic profiles of MLST and cgMLST were used directly. To potentially exploit the gradient of 84 separation encoded in the sequences underlying the MLST allelic profiles, we downloaded the 85 underlying allele sequences and encoded the nucleotides as dummy variables and k-mers (k=21) using 86 DSK (38). DSK was also used for encoding the WGS as k-mers. Using k=21 led to a prohibitively large 87 input vector due to the number of unique k-mers found in all genomes (109,675,176). We reduced the 88 number of k-mers by applying a variance threshold where k-mers which were present or absent in 89 more than 99% of the samples were discarded, reducing the numbers of unique k-mers to 7,285,583. 90 Furthermore, we performed feature selection by testing the dependence of the source labels on every 91 individual k-mer using the Chi-Square statistic. To avoid data-leakage we only performed the feature 92 selection using the training data and labels to select the 100,000 k-mers with the highest score.

94 Algorithm training

95 All machine learning and deep learning was performed in Python (for a list of all algorithms see Figure 1). The xgboost library (39) was used for the gradient boosting classifiers with all other machine 96 97 learners implemented in scikit-learn (40). The hyper-parameters for each classifier were chosen using 98 Cartesian grid search on five-fold cross-validation of the training set. The Keras library 99 (https://github.com/keras-team/keras) was used to construct deep learning algorithms aimed at 100 supplying a wide range of commonly used architectures. We found this to work best, empirically, given 101 that there is no principled means of architecture selection for such models. Specifically: (i) A recurrent 102 neural network consisting of a layer with 64 gated recurrent units, a 50% dropout layer and Rectified 103 Linear Unit (ReLU) activation layer; (ii) A 1-dimensional convolutional network with two convolutional 104 layers of kernel size 3 and 5 respectively and 30 filters, both followed by 50% dropout layers and a 105 ReLU layer; (iii) A Long short-term memory network consisting of one LSTM layer with 64 units and a 106 50% dropout layer; (iv) A Shallow dense network with one dense layer with 64 units followed by a 50% 107 dropout layer and a ReLU activation layer; (v) A Deep dense network with 6 dense layers starting with 108 128 units and halving units with each successive layer. All individual dense layers are followed by a 109 50% dropout layer and a ReLU layer.

110

To all deep learning architectures, we added an output layer comprising a dense layer with soft-max activation with one unit for every class. We encoded the labels as dummy variables and used categorical cross-entropy as a loss function together with the Adam optimiser (41). Cyclical learning rates were used with a maximum learning rate of 0.1 and a minimum learning rate of 0.0001 to overcome local minima. The accuracy on the test set was measured at every epoch and the overall best performing weights were stored as a checkpoint. The data was deployed in batches of 128 samples with every batch randomly undersampled so that each class was represented in equal proportions. The training was run for 500 generations with early stopping after 50 generations.

119

120 Algorithm testing

121 Both machine learning and deep learning were tested on the same 25% test set. The original data were 122 skewed in source composition by ratios which did not necessarily reflect source origin of infection. We 123 therefore used two methods to rebalance the classes in testing. The first test set featured an even 124 distribution of classes, whereas the second undersampled the over-abundant chicken-origin genomes 125 to emulate relative contribution to human disease. We used the ratios predicted by Wilson et al. (12), 126 where Campylobacter genomes from chickens were 1.61 times more common than those from cattle. 127 In both methods, rebalancing the classes was achieved by undersampling, which we repeated 200 128 times with replacement and averaged the accuracy over all iterations whilst also recording the 129 variance. For performance metrics we registered accuracy, precision (positive predictive value), recall 130 (sensitivity), F1, negative predictive value, specificity and speed. Speed was measured relative to other 131 classifiers where a scale was defined with 0 being the slowest classifier and 1 being the quickest and 132 all intermediate values being normalised within these confines. For comparison to previous methods, 133 iSource was applied to the test dataset (17). Having established that XGBoost on cgMLST was the best 134 performing source attribution method, we retrained the classifier with both training and testing data and applied it to all 15,988 human cgMLST samples available on the PubMLST database. The prediction 135 136 took 892 milliseconds on a Dell OptiPlex 7060 desktop using ten threads on an Intel Core i7-8700 CPU 137 and 16 GB RAM.

138

139 **Phylogenetic analysis**

140 We defined the generalist index as the number of sources the ST was found in across all isolates in the

dataset, which included additional samples for which only MLST data was available (S1 Table). We 141 142 built a phylogeny of CC21 genomes from both source-associated and human isolates using Neighbour 143 Joining, based on pairwise hamming distances of k-mer presence/absence in the WGS dataset, as 144 described by Hedge and Wilson (42). We used TreeBreaker to infer the evolution of phenotypes across 145 the phylogenetic tree of ST-21 and the most closely related sequence types. The known labels of the 146 source-associated samples were used as phenotypic information for input into TreeBreaker (43) together with the phylogeny of CC21. TreeBreaker was run for 5,500,000 iterations with 500,000 147 148 iterations as burn-in and 1000 iterations between sampling. The phylogenetic trees were visualised 149 with Microreact (44) and arranged alongside the results of TreeBreaker in Inkscape.

150

151 **Results and Discussion**

152 Machine learning outperforms popular attribution models for MLST data

153 In order to anchor our source attribution performance to previous efforts, we compared results using 154 the machine learning classifiers to source probabilities estimated using the asymmetric island model 155 implemented in iSource, which is based on MLST and the most commonly used source attribution method to date (45). The best performing machine learner on the MLST allelic profile was a random 156 157 forest (61.9%/68.5% balanced/unbalanced) which performed slightly better than iSource (61%/64%) 158 (Figure 1). Since loci within allelic profiles are deemed either to match or not, and underlying 159 nucleotides sequences are ignored, we investigated whether exploiting the gradient of nucleotide 160 differentiation would lead to better attribution. We used dummy variables and generated k-mers from 161 the sequences underlying the MLST allele labels. The additional feature encodings boosted the top 162 achieving accuracies on MLST to 67.9%/70.7% from dummy variables and 63%/67.5% from k-mers, 163 showing the value of the additional nucleotide-level information.

		A	Accuracy	on Pal	ancod T	oct-cot		B	ccuracy	on Test	t-set wit	h sourc	e
	Source composition		(ccuracy			est-set	8	com	position	1 reflect	ing hum	nan infe	ction
	K-nearest neighbour	47.1±3	58.8±3	54.9±3	65.8±3	66.8±2	58.7±3	61.0±1	61.6±2	61.4±2	68.8±2	71.8±2	64.9±2
	Ridge Regression	36.5±2	65.5±3	62.1±3	29.0±3	66.3±3	51.9±3	40.0±1	64.0±2	63.8±2	35.7±2	69.9±2	54.7±2
Simple	SVM (Linear Kernel)	41.6±2	66.7±3	54.0±3	50.8±3	67.2±2	56.1±3	41.5±1	67.9±2	53.6±2	53.2±2	70.0±2	57.2±2
learners	SVM (RBF Kernel)	22.2±2	66.0±3	63.0±3	20.0±0	65.0±3	47.2±2	40.0±1	66.3±2	64.0±2	39.2±0	67.4±2	55.4±1
	Naive Bayesian	34.7±2	45.9±3	45.2±2	42.2±2	54.8±3	44.6±3	30.9±2	30.3±2	27.8±1	38.5±2	55.0±2	36.5±2
	Decision tree	43.6±3	63.2±3	53.9±3	68.2±3	62.7±3	58.3±3	46.3±2	63.0±2	49.2±2	67.6±2	65.0±2	58.2±2
	Random forest	61.9±3	67.9±3	62.4±3	76.0±2	69.4±2	67.5±3	68.5±2	70.7±1	66.1±2	80.4±1	73.8±1	71.9±1
Ensemble learners	Extra-randomised forest	59.5±3	59.4±3	62.3±3	68.5±2	70.7±2	64.1±3	67.4±2	61.9±2	65.5±2	72.4±0	76.2±2	68.7±1
	XGBoost	59.9±3	65.8±3	53.9±3	81.3±2	68.1±3	65.8±3	67.2±2	69.3±1	55.9±2	84.6±0	72.5±2	69.9±1
	1D-Convolutional NN	20.0±0	58.2±2	62.3±3	60.4±3	75.0±3	55.2±2	39.2±0	64.2±1	67.5±2	65.9±2	78.3±1	63.0±1
	Shallow Dense NN	19.7±0	53.3±2	59.4±3	65.7±3	69.1±3	53.4±2	38.7±0	61.1±1	65.0±2	69.2±2	71.3±2	61.1±1
Deep learners	Deep Dense NN	20.0±0	57.8±3	62.5±3	66.6±2	70.3±3	55.4±2	39.2±0	62.1±1	66.1±2	73.1±1	74.7±1	63.0±1
	Recurrent NN	58.0±2	58.7±3	58.5±3	66.3±2	74.1±3	63.1±3	66.2±1	64.2±1	61.3±2	72.5±1	77.5±2	68.3±1
	LSTM NN	60.8±3	63.0±3	59.2±3	66.1±3	69.6±3	63.7±3	68.8±1	68.1±1	65.3±2	71.0±1	74.4±1	69.5±1
	Average	41.8±2	60.7±3	58.1±3	59.1±2	67.8±3	Av	51.1±1	62.5±1	59.5±2	63.7±1	71.3±2	Av
	iSource		Se	<u> </u>		K-m	Average	64.4±0	Se	<u> </u>	Pr	K-m	Average
Encoding		Allelic profile	Sequenc	K-mers	Allelic profile	K-mers	je	Allelic profile	Sequenc	K-mers	Allelic profile	K-mers	je
	Dataset		MLST		cgMLST	WGS			MLST		cgMLST	WGS	

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Figure 1: A heatmap showing classifier performance on the class balanced (A) and imbalanced (B) test set. The individual cells are coloured according to the average accuracy on 200 rounds of resampling with replacement with the variance noted next to the average accuracy. The averages of accuracy per classifiers are shown in the rightmost column, whereas the bottom column shows the averages per data type.

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171 Core genome and WGS datasets increase the power of source attribution

172 models

Having established the competitiveness of machine learning approaches for source attribution using MLST data, we turned our attention to whole genome datasets. Gene-by-gene approaches to cataloguing genomic variation in *Campylobacter* (46) and other species are a logical extension of seven-locus MLST in response to the increasing availability of large WGS datasets. Formalizing this approach to derive an approximation of the core genome for *C. jejuni* allowed the implementation of a cgMLST scheme containing 1,343 genes, that are present in the majority (>95%) of *C. jejuni* genomes (47). This has potential to increase the power of attribution models to discriminate the source of *Campylobacter* isolates based on host segregating genetic variation within the genome (37). The strong performance of tree-based ensemble classifiers continued when using cgMLST data where the XGBoost classifier achieved 81.3%/84.6% accuracy, the highest accuracy over all data types and classifiers.

184 q

Next, we assessed the relative performance of machine learners when applied to k-mers produced from WGS, where the average attribution performance was the highest among all datasets. The bestperforming algorithm was a 1-D convolutional neural net (75.0/78.3%), performing better than the top-achieving classifier on MLST but worse than the best classifier on cgMLST despite WGS encoding more genomic information. This may be explained by the feature selection used to limit the input vector to 100,000 k-mers. Beyond comparing classifier performance on different data types, we also wanted to investigate what led to the difference in performance.

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193 The comparison of average accuracy across all data types reveals that with an increase in encoded 194 variation the average performance across all algorithms improves. This is especially apparent in MLST 195 where, although capturing the same 0.3% of the genome in all isolates, the additional variation in the 196 underlying sequences can be leveraged for better performance. When comparing the average 197 accuracy between classifiers we observed that decision-tree based ensemble learners performed well 198 across all datasets, with random forests performing best on average. The excellent performance of 199 ensemble tree learners on genomic data has been reported on genomic data (48–50) and is linked to 200 their ability to handle correlation as well as interaction of features which is an inherent feature of

201 genomic data (50).

202

Amongst simple learners the K-nearest neighbour algorithm (KNN) performed best, probably owing to the hereditary nature of the phenotypic trait used as classes here. Host association is inherited both genetically, in the ability to colonise different hosts, and environmentally, in the colocation of parent and offspring cells. These patterns of inheritance result in more closely related sequences being more likely to be associated with the same phenotype. Heritability could explain the success of the KNN algorithm which is based on proximity in hyperdimensional feature space (51), which in our case is genetic similarity which is a proxy for relatedness.

210

211 The deep learners generally improved in performance with higher dimensionality of the input data -212 from MLST to WGS data. Among all deep learning architectures, the RNN and LSTM performed best, 213 which was to be expected as DNA is transcribed, and mRNA translated, sequentially 5' to 3'. Both RNNs 214 and LSTMs process input data sequentially and input weights are also adjusted sequentially in back-215 propagation as opposed to the dense or convolutional architectures where input weights are tweaked 216 concurrently. Having investigated trends across all datasets and algorithms we focused on the bestachieving classifier for a more thorough analysis of how classification performance was driven by 217 218 different factors within the underlying data.

219

220 Host transition imposes a biological limit on source attribution models

To better understand the limitations of attribution algorithms we investigated the factors driving misclassification in the different models with different datasets. The XGBoost implementation of gradient boosted decision trees, using the cgMLST dataset, was the overall best-performing classifier in our analyses. Consequently, this was used to investigate attribution performance further (Figure 2).

225 Among all source populations the most frequent misclassification was found between sheep and 226 cattle, which is a common source of errors in source attribution (17) owing to strongly overlapping 227 gene pools stemming from frequent cross-species transmission that may reflect commonalities in 228 physiological features of the ruminant gastrointestinal tracts (52). We also looked at factors besides 229 source reservoir of the sample, as circumstances like geographical origin of the isolate (56) and the 230 season in which they were sampled (57) have been shown to influence source attribution. We 231 therefore stratified classification accuracy by continent, year, generalist index and Campylobacter 232 species using the full non-undersampled Test dataset (Figure 3, S1 Table).

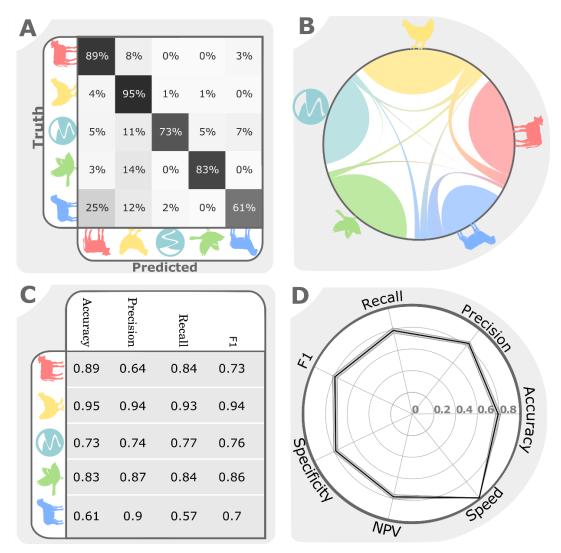


Figure 2: XGBoost Classifier performance on cgMLST: A) Misclassification matrix per source. The 12

diagonal represents correct classification and off-diagonal fields are misclassifications. The percentages are calculated per row. B) Misclassification matrix as depicted in a flow diagram. C) Classifier performance on the unbalanced test set according to four different metrics per source population. D) Radar plot showing the classifier performance on the unbalanced test by seven metrics averaged over 200 rounds of resampling with replacement. The variation is depicted as a shaded surface underneath the black line representing the average.

241

242 Investigating the accuracy of the XGBoost classifier per sample size revealed that the low number of 243 wild bird samples (212 samples; 84% accuracy) did not impede classification performance when 244 compared to more abundant source samples like cattle (716 samples; 84% accuracy) and sheep (584 245 samples; 57% accuracy), presumably because wild bird STs tend to be atypical compared to the other 246 reservoirs (46). To investigate how the ability to colonise multiple hosts affected performance, we 247 defined a 'generalist index' as the number of hosts in which an ST was found across all PubMLST 248 samples (S1 Table). The performance across generalist indices showed that strains restricted to fewer 249 hosts were predicted with higher accuracy. This is likely due to host switching blurring the source-250 specific genetic signal, as previously reported (29). Consistent with this, 58% of all wild bird samples 251 belonged to STs only found in this niche, compared to 41% in environment, 9% in cattle, 3% in sheep 252 and 32% in chicken. Besides C. jejuni, an estimated 10% of campylobacteriosis cases are caused by 253 Campylobacter coli (53). Consistent with previous studies, we found improved accuracy over 254 attribution of *C. jejuni*, potentially reflecting more pronounced strain segregation by host (29), as well 255 as a higher proportion of environmental and sheep associated strains in human infection (11,54,55) (Figure 3). 256

A Sample co animal is			Accuracy of prediction on Test Set	Human sample prediction	Source
		212	84 %	1.5%	Bird
	-	716	84%	13.6%	Cattle
	4147		93%	74.1%	Chicken
		140	77%	0.4%	Environment
l		584	<mark>5</mark> 7%	10.5%	Sheep
					Continent
		47	92 %		South America
	4	65	82 %		North America
		180	100 %		Oceania
5082			89 %		Europe
		25	17%		Asia
					Year
Legend		29	100%		2000
Predicted		15	75%		2001
		49	91%		2003
CATTLE		90	85%		2004
SHEEP		84	76%		2005
BIRD	1	10	87%		2006
Accuracy of		44	94%		2007
prediction		36	92 %		2008
CORRECT PREDICTION	1	02	82%		2009
INCORRECT PREDICTION		65	94 %		2010
	344		86%		2011
	320		78 %		2012
	429		88%		2013
7	43		87 %		2014
	415		91%		2015
1576	_		96 %		2016
	454		84 %		2017
	300		70 %		2018
				G	eneralist index
1593			96%		1
	446		91%		2
	643		77%		3
1523			82%		4
1335			75%		5
				Campyle	bacter species
4748	10.10		88%		C. jejuni
	1043		90%		C. coli

259	Figure 3: Source attribution per source, continent, year generalist index and <i>Campylobacter</i> species.
260	A) Sample sizes across different factors in the imbalanced training set. B) Prediction accuracy on the
261	full test dataset divided by different factors. C) Source attribution stratified into varying factors
262	
263	Having analysed the classification accuracy within the dataset, the machine learning method was

compared to previous source attribution studies (Figure 4). Attribution of cases to chicken was consistent with higher estimates from previous studies, resulting in less attribution to all other sources, with environment identified as the source of just 0.4% of human infections. This differences in our prediction to previous studies could reflect the greater discriminatory power of cgMLST data over MLST.

- 269
- 270
- 271

	Com	parison	source a	attributi	on to pr	evious s	tudies
	%	$\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{$					+)-)
	Wilson 2009	57	36	1	4	2	
uo	Mullner 2009	67	19		11	12	
licati	Sheppard 2009	78		4		4	18
f Pub	Kittl 2013	69	21				
First Author and Year of Publication	Strachan 2009	43	35	6	15		
Y bue	Gras 2012	66	21		3	10	
thor a	Mossong 2016	61				5	33
st Auf	Ravel 2017	69	14			2	
Ξ	Rosner 2017	74	0				
	Thepault 2018	56				6	37
	Our Study	74	14	1	11	0	25

273 **Figure 4:** Comparison of our source attribution to previously published studies

274

275 The fine-grained structure of source attribution can be identified with

276 machine learning

277 Attribution predictions are inferred from the observed frequencies of genotypes in host reservoirs 278 assessed through sampling. However, the relative source composition observed in sampling does not 279 necessarily correspond to host contributions to human infection as some strains that are found at low 280 frequency in the host could be more infectious to humans. For example, some C. jejuni strains increase 281 in relative frequency through different stages of the poultry slaughter and production chain because 282 they have genes that promote survival outside of the host (58). There is also evidence that there is a 283 genetic bottleneck at the point of human infection that promotes colonization by strains that have 284 specific genes conferring human niche tropism (59). Analysis of WGS or cgMLST data can potentially 285 allow for changes in relative frequency and provide finer-grained source attribution, potentially at the 286 level of the individual genome.

287

288 To identify evidence of differential host affinities, we applied treeBreaker (43) to trace the evolution 289 of a host association along the phylogeny of CC-21, the most commonly found clonal complex in 290 human infection (27). CC-21 frequently colonizes all host sources analysed in this study and is 291 therefore considered a generalist strain, potentially complicating accurate attribution. TreeBreaker 292 detected a change in host association on a branch that groups together a cattle-associated ST-21 293 subgroup with the cattle-associated lineages ST-982 and ST-806 (Figure 5A). The source composition 294 in this clade (asterisked in Figure 5A) differed from the rest of CC-21, which were predominantly 295 composed of chicken and sheep isolates. Moreover, the asterisked clade differed in its propensity for 296 transmission to humans. Overall, CC-21 was over-represented among human infections, perhaps

- 297 reflecting its generalist affinities. Yet the asterisked clade was over-represented only 1.7 to 3.6-fold,
- compared to 5.5 to 6.2-fold for the rest of CC-21 (Figure 5B).

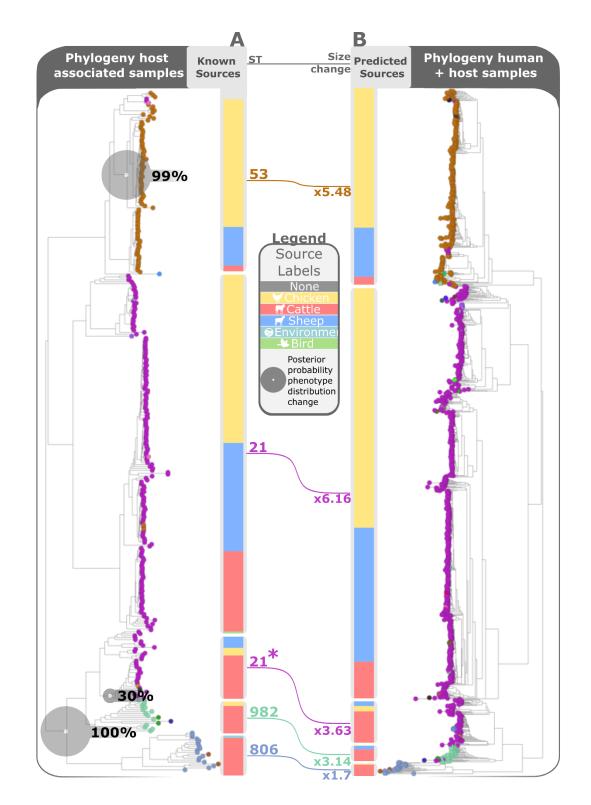


Figure 5: Phylogeny of clonal complex 21 of host animal associated samples (A) and bar charts showing
 the known source distribution and human samples (B) alongside the predicted source distribution. The

phylogeny is based on Neighbour joining using hamming distance of the k-mers drawn from WGS. The connecting lines show the increase in frequency of the clades in human samples and the size of the grey circles show the posterior probability of a change in phenotypic distribution along the branches of the tree.

307

As the host association changed within CC-21, the ability to transmit to humans appears to have changed as well. This in turn induced a change in the source composition of CC-21 sampled from human infections compared to CC-21 sampled from animals. Previous studies analysing source attribution based on MLST would have overlooked these shifts.

312 **Outlook and conclusions**

313 The increasing availability of large pathogen genome datasets, algorithms and resources for 314 analysing them, has created possibilities for investigating the transmission of zoonotic diseases that 315 are incompletely understood. It is clear from the data presented here that tree-based ensemble methods for machine learning classification using bacterial genomic data provide considerable utility 316 317 for improving the accuracy host source attribution for human campylobacteriosis. Key to the 318 effectiveness of this approach is leveraging the full gradient of genomic differentiation afforded by 319 WGS or cgMLST analysis. Host associated genetic variation can be observed in both core and 320 accessory genes (60) but using these data presents practical considerations. With more 321 computational resources available, it may be possible to analyse all k-mers present in the WGS 322 samples (here 109,675,176 unique kmers) with multiple algorithms accompanied by cross-validation 323 and bootstrap replication.

324

325 Beyond simple attribution to host source, resolving the fine-grained structure of genomic signatures

of association has considerable potential to account for differences in the relative frequency of sublineages in samples taken from reservoir hosts and human disease. This can provide important clues about the propensity of strains to survive outside of the host for long enough to transmit to humans as well as the capacity to colonize the human gut given the opportunity (58,59). This of course leads to questions about the genomic basis of bacterial adaptation, specifically the extent to which 'associated' genetic elements represent adaptations and whether the same genes and alleles enable colonisation of different host animals.

333

334 Improving on the approaches described here, better sampling and incremental training of the XGBoost 335 classifier has considerable potential. The classifier's low computational requirements and high 336 prediction speed make it an excellent tool for analysing large genome datasets. Furthermore, by using 337 phylogeny-aware train/test splitting for measuring performance, prediction remains accurate when 338 new genetic variants are introduced because the algorithm can be incrementally trained with new 339 data. This has considerable potential for developing automated and continuous disease surveillance systems to reduce campylobacteriosis that remains one of the most common food-borne illness in the 340 world. 341 342

343

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- 357 of the author(s) and not necessarily those of the NHS, the NIHR or the Department of Health.
- 358

359 **Conflicts of interest**

- 360 DAC declares grants from GlaxoSmithKline and personal fees from Oxford University Innovation,
- 361 BioBeats, and Sensyne Health, in areas unrelated to this work
- 362

363 Supporting information

- 364
- 365 S1 Table. Metadata of all *Campylobacter* isolates used in this study. Contains the accession numbers,
- 366 year and country of isolation, source label, generalist index, ST, CC ,prediction by our classifier,
- 367 *Campylobacter species* and whether the samples were used in training or testing.

368

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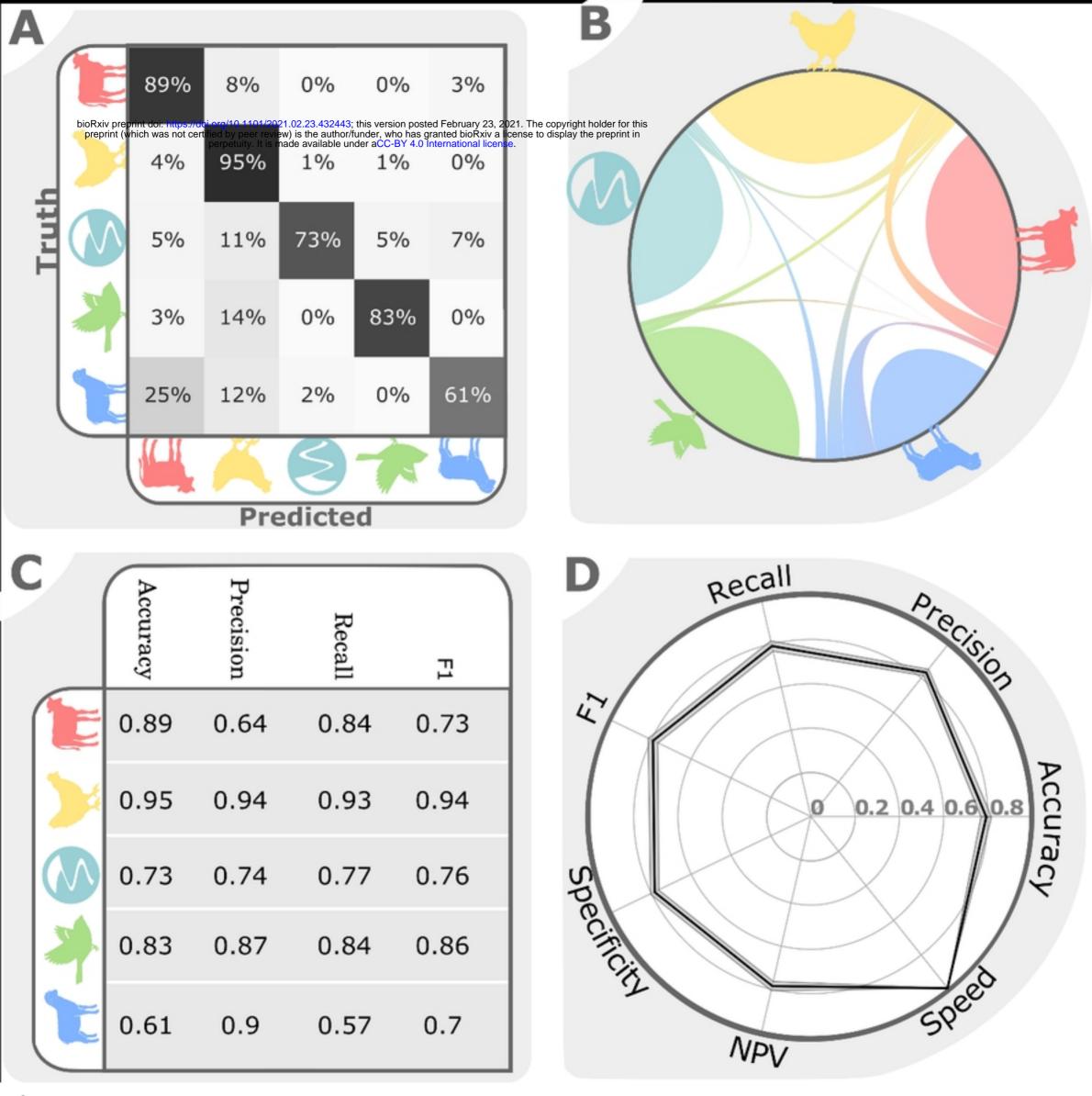
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					Accuracy on Test-set with source									
			4	Accuracy	y on Bal	anced T	est-set						th source an infe	
	Source co	omposition	<u> </u>				-	8		X		-	7	× 8
	K-nearest r	neighbour	47.1±3	58.8±3	54.9±3	65.8±3	66.8±2	58.7±3	61.0±1	61.6±2	61.4±2	68.8±2	71.8±2	64.9±2
	Ridge R	egression	36.5±2	65.5±3	62.1±3	29.0±3	66.3±3	51.9±3	40.0±1	64.0±2	63.8±2	35.7±2	69.9±2	54.7±2
Simple	SVM (Line	ar Kernel)	41.6±2	66.7±3	54.0±3	50.8±3	67.2±2	56.1±3	41.5±1	67.9±2	53.6±2	53.2±2	70.0±2	57.2±2
learners	SVM (RE	BF Kernel)	22.2±2	66.0±3	63.0±3	20.0±0	65.0±3	47.2±2	40.0±1	66.3±2	64.0±2	39.2±0	67.4±2	55.4±1
	Naive	Bayesian	34.7±2	45.9±3	45.2±2	42.2±2	54.8±3	44.6±3	30.9±2	30.3±2	27.8±1	38.5±2	55.0±2	36.5±2
	Dec	ision tree	43.6±3	63.2±3	53.9±3	68.2±3	62.7±3	58.3±3	46.3±2	63.0±2	49.2±2	67.6±2	65.0±2	58.2±2
	Rand	om forest	61.9±3	67.9±3	62.4±3	76.0±2	69.4±2	67.5±3	68.5±2	70.7±1	66.1±2	80.4±1	73.8±1	71.9±1
Ensemble learners	Extra-randomis	sed forest	59.5±3	59.4±3	62.3±3	68.5±2	70.7±2	64.1±3	67.4±2	61.9±2	65.5±2	72.4±0	76.2±2	68.7±1
		XGBoost	59.9±3	65.8±3	53.9±3	81.3±2	68.1±3	65.8±3	67.2±2	69.3±1	55.9±2	84.6±0	72.5±2	69.9±1
	1D-Convolu	utional NN	20.0±0	58.2±2	62.3±3	60.4±3	75.0±3	55.2±2	39.2±0	64.2±1	67.5±2	65.9±2	78.3±1	63.0±1
	Shallow	Dense NN	19.7±0	53.3±2	59.4±3	65.7±3	69.1±3	53.4±2	38.7±0	61.1±1	65.0±2	69.2±2	71.3±2	61.1±1
Deep learners	Deep	Dense NN	20.0±0	57.8±3	62.5±3	66.6±2	70.3±3	55.4±2	39.2±0	62.1±1	66.1±2	73.1±1	74.7±1	63.0±1
	Rec	urrent NN	58.0±2	58.7±3	58.5±3	66.3±2	74.1±3	63.1±3	66.2±1	64.2±1	61.3±2	72.5±1	77.5±2	68.3±1
		LSTM NN	60.8±3	63.0±3	59.2±3	66.1±3	69.6±3	63.7±3	68.8±1	68.1±1	65.3±2	71.0±1	74.4±1	69.5±1
		Average	41.8±2	60.7±3	58.1±3	59.1±2	67.8±3		51.1±1	62.5±1	59.5±2	63.7±1	71.3±2	Av
		iSource	61.0±0	se	Ā	PI A	K-n	erage	64.4±0	Se	Ā	PI	K-n	erage
Encoding		Allelic profile	Sequenc	K-mers	Allelic profile	K-mers	Je	Allelic profile	Sequenc	K-mers	Allelic profile	K-mers	Je	
		Dataset		MLST		cgMLST	WGS			MLST		cgMLST	WGS	
	4													



<u>A</u>	B	<u>C</u>	
Sample count host animal isolates	Accuracy of prediction on Test Set	Human sample prediction	Source
	84%	1.5%	Bird
,	84%	13.6%	Cattle
	93%	74.1%	Chicken
	77%	0.4%	Environment
	<mark>5</mark> 7%	10.5%	Sheep
			Continent
	92%		South America
	82%		North America
	100%		Oceania
	89%		Europe
	17%		Asia
https://doi.org/10.1101/2021.02.23.	der aCC-BY 4.0 International license.		Year
Legend Predicted			2000
Sources	75%		2001
CHICKEN	91%		2003
CATTLE	85%		2004
SHEEP ENVIRONMENT	76%		2005
S BIRD	87%		2006
Accuracy of	94%		2007
prediction	92%		2008
CORRECT PREDICTION	82%		2009
INCORRECT PREDICTION	94%		2010
	86%		2011
	78%		2012
	88%		2013
	87%		2014
	91%		2015
	96%		2016
	84%		2017
	70%		2018
	060/	6	eneralist index
	96% 91%		
	77%		3
	82%		4
	75%		4
	73%	Campyk	obacter species
	88%	campyi	<i>C. jejuni</i>
	90%		C. coli
	30/0		0,001

Comparison source attribution to previous studies

%						
Wilson 2009	57	36	1	4	2	
Mullner 2009	67	19		11	12	
Sheppard 2009	78		4		4	18
Kittl 2013	69	21				
Strachan 2009	43	35	6	15		
Gras 2012	66	21		3	10	
Mossong 2016	61				5	33
Ravel 2017	69	14			2	
Rosner 2017	74	0				
Thepault 2018	56				6	37
Our Study	74	14	1	11	0	25

