Differences in gait stability and acceleration characteristics between healthy young and older females

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10   **Abstract**

11   Our aim was to evaluate differences in gait acceleration intensity, variability and stability of feet
12   and trunk between older females and young females using inertial sensors. Twenty older females
13   (OF; mean age 68.4, SD 4.1 years) and eighteen young females (YF; mean age 22.3, SD 1.7 years)
14   were asked to walk straight for 100 meters at their preferred speed, while wearing inertial sensors
15   on heels and lower back. We calculated spatiotemporal measures, foot and trunk acceleration
16   characteristics and their variability, as well as trunk stability using the local divergence exponent
17   (LDE). Two-way analysis of variance (including the factors foot and age), Student's t-test, and
18   Mann–Whitney U test were used to compare statistical differences of measures between groups.
19   Cohen's d effects were calculated for each variable. Foot maximum vertical acceleration and
20   amplitude, trunk-foot vertical acceleration attenuation, as well as their variability were
21   significantly smaller in OF than in YF. In contrast, trunk mediolateral acceleration amplitude,
22   maximum vertical acceleration, and amplitude, as well as their variability were significantly
23   larger in OF than in YF. Moreover, OF showed lower stability (i.e., higher LDE values) in
24   mediolateral acceleration, mediolateral and vertical angular velocity of the trunk. Even though we
25   measured healthy older females, these participants showed lower vertical foot accelerations with
26   higher vertical trunk acceleration, lower trunk-foot vertical acceleration attenuation, less gait
27   stability, and more variability of the trunk, and hence, were more likely to fall. These findings
28   suggest that instrumented gait measurements may help for early detection of changes or
29   impairments in gait performance, even before this can be observed by clinical eye or gait speed.

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31   **Keywords: walking, aging, wearable system, motor control, balance**

32 **1   Introduction**

33   Falls among older adults are the leading indirect cause of disability and death (Tang et al., 2017).
34   Epidemiological studies have shown that the 30% of people aged 65 years and older fall, with an
35   increase in incidence to 40% in people over 80 years (Weber et al., 2018). This is due to poorer
36   physiological function and control of stability with ageing (Winter, 1995). In China, 53% of falls
37   occur while walking (Xia et al., 2010), and hence, it is particularly important to pay attention to
38   gait performance of older adults for early identification of stability problems to prevent falls.
39   Moreover, many studies have shown that among people over 60 years, females were more likely
40   to fall (Tinetti et al., 1988;Daley and Spinks, 2000;De Rekeneire et al., 2003), as about 65% of
41   women and 44% of men fell in their usual place of residence (Masud and Morris, 2001).
42   Therefore, we focused on gait stability of females in our study.
43   There are several ways to evaluate gait, such as clinical function tests, questionnaires and
44   measurements in a biomechanics laboratory (Hamacher et al., 2011). Questionnaires and clinical
45   tests cannot reflect gait performance outside the laboratory, and sometimes have poor objectivity
46   (van Schooten et al., 2015a). Gait assessment in a biomechanical laboratory has the advantage of
47   capturing whole body kinematics which is accurate but also costly, time-consuming and limited to
48   space and time (Terrier and Deriaz, 2011). Nowadays, the feasibility of inertial sensor to quantify
49   the whole-body gait kinematics has been demonstrated(Tao et al., 2012), and it can be used to
50   collect gait data in people's own environment by a single sensor on either the trunk or foot (Zhu
51   et al., 2012;Weiss et al., 2013).
52   Gait stability reflects the ability to keep walking in the face of perturbations (Pai and Bhatt,
53   2007;Bruijn et al., 2013). Dynamical systems and non-linear time series analysis can be used to
54   evaluate gait stability by quantifying the complex and chaotic characteristics of the human body
55   (Bressel, 2004). One of these measures, the local divergence exponent (LDE) has been shown to
56   have good reliability and validity (England and Granata, 2007;Son et al., 2009;Hu et al., 2012).
57   The LDE quantifies the average exponential rate of divergence of neighboring trajectories in state
58   space, and provides a direct measure of the sensitivity of a system to small perturbations
59   (Dingwell and Marin, 2006).
60   Internal perturbations of the human body cause variability and randomness in gait (Zhang et
61   al., 2011). If gait is within a stable range, people would not need to correct this variability.
62   Increased variability likely reflects a less automatic gait pattern, instability and increased
63   susceptibility to falls (Weiss et al., 2013). Studies also confirmed that variability in some gait
64   characteristics (such as stride length, stride width, stride time) is highly related to the risk of
65   falling (O'Loughlin et al., 1994;Chau et al., 2005). However, some studies suggested that
66   variability is not equal to stability, as the level of variability was not necessarily negatively related
67   to the level of stability (Li et al., 2005;van Emmerik et al., 2016).
68   As the control of stability in gait declines with ageing, we aimed to use inertial sensors to
69   assess differences in gait stability and variability between healthy young and older females. In
70   doing so, we focused on data obtained from trunk as well as foot sensors and calculated
71   acceleration intensity, stability, and variability measures. We hypothesized that older females
72   have a lower gait stability and increase variability on trunk accelerations compared with younger
73   females.

2   Materials and Method

2.1 Participants

A total of 20 healthy older females (OF) and 18 younger females (YF) were recruited from the campus of Beijing Sport university, China (Table 1). None of our participants had any orthopedic or neurological disorders, acute pain or other complaints that might have affected gait and they were all able to walk independently without a walking aid. All participants were informed about the research procedures and the protocol was approved by the Ethics Committee of Sports Science Experiment of Beijing Sport University (approval number: 2021010H).

2.2 Data Acquisition

Participants wore three inertial sensors (Xsens MTw Awinda, the Netherland) on heels and on the lumbar region of the trunk, using the supplied elastic belt. These sensors had a sample rate of 100 samples/s and a range of -160 m/s^2 and +160 m/s^2. Data collection was synchronized between sensors. All participants wore the same model of shoes. They were asked to walk 100 meters on a straight running track at a self-selected speed, since gait variability is expected minimal at this speed for healthy people (Hausdorff et al., 1997). In addition, although clinical gait tests are usually 4 or 10 meters, these tests do not represent daily-life gait very well (Van Ancum et al., 2019). Therefore, 100 meters used in this study can reflect well the natural gait at a comfortable speed without participants being exhausted.

2.3 Gait Measures

MATLAB (R2019b, MathWorks Inc, Natick, MA, USA) was used to analyze data without the first and last steps. Each gait cycle was identified from the sagittal plane angular velocity of foot sensors with three gait events: heel-strike (T_{heel_strike}), toe-off (T_{toe_off}) and foot-flat (T_{foot_flat}) (Mariani et al., 2010). Stride time was defined as the duration between two consecutive T_{heel_strike}. Combined with the gait events of both feet, we got the initial double support period (IDS) and the terminal double support period (TDS).

For the trunk sensor, sensor data were realigned to a coordinate system based on the accelerometer's orientation with respect to gravity (vertical axis) and optimization of left-right symmetry (mediolateral axis) (Rispens et al., 2015;Van Schooten et al., 2015b).

For the foot sensors, initial displacements were calculated by integrating linear accelerations twicer for each gait cycle (in the global coordinate system), using zero-velocity-update method to eliminate drift, assuming linearity of the drift (Skog et al., 2010). The hence obtained direction of displacement was not necessary along the x or y axis of the global coordinate system. To obtain meaningful stride lengths, we thus rotated the obtained positions, the acceleration and angular velocity of the feet to a coordinate system that was aligned with the direction of walking (i.e., end position minus starting position), with the vertical axis being vertical. Then, walking speed was obtained by dividing the distance of the walking direction by the time.

For acceleration measures, maximum vertical acceleration of feet and trunk were calculated to reflect the intensity of ground contact (Gill and O'Connor, 2003). It has been suggested that people stabilize their head during walking (Kavanagh et al., 2006). Although the trunk segment plays a key role in damping gait-related oscillations (Kavanagh et al., 2006), the damping of

114   oscillations by the trunk in the vertical direction has been suggested to be minor (Prince et al.,
115   1994;Kavanagh et al., 2004). Hence, such accelerations must be attenuated by the lower limbs.
116   Thus, we calculated trunk-foot vertical acceleration attenuation was used in our study, which was
117   calculated by the difference in maximum vertical acceleration between trunk and foot, which
118   represents the impact absorption of the lower limbs. Acceleration amplitude (in the coordinate
119   system prescribed by the walking direction, see above) for each direction (anteroposterior
120   direction (AP), mediolateral (ML) and vertical (VT)) was calculated as the range of acceleration
121   in a gait cycle.

122   For above measures of each person, after getting the mean and standard deviation (SD) over
123   all cycles (see Table 2, Table3), we obtained coefficient of variation (CV) by dividing the
124   standard deviation by the mean (Kavanagh and Menz, 2008) (see Supplementary Table 1).

125   We calculated the LDE of acceleration and angular velocity of each dimension separately (in
126   the coordinate system prescribed by the walking direction, see above). The time series of 50 gait
127   cycles was normalized into 5000 samples, with the average of 100 samples per cycle. From these
128   data, state spaces were reconstructed using the method of correlation integral (C-C method),
129   which not only can determine both embedding dimension and delay time, but also has a good
130   robustness to the noise in small amount of data (Kim et al., 1999) (see Supplementary Tables 2
131   and 3 for dimension and delay values). LDE was expressed as the mean logarithmic rate of
132   divergence per stride using Rosenstein's method (Rosenstein et al., 1993). Higher values of the
133   LDE indicate a lower local stability.

2.4 Statistical Analysis

135   Normality was assessed using the Kolmogorov–Smirnov test. For measures of the left and right
136   feet, differences were tested using two-way ANOVAs, with within-subject factor Foot (left and
137   right) and between-subject factor Group (YF and OF). For other measures, we used Student's
138   t-tests to compare between age groups. For LDE, which appeared not distributed normally, we
139   compared between groups using the Mann–Whitney U test. For all measures, $p < 0.05$ was
140   considered as a significant effect. Cohen's d effects were calculated for each variable as the
141   difference between group means divided by the group pooled standard deviation. Magnitudes of d
142   = 0.01, 0.20, 0,50, 0.80, 1.20 and 2.0 were considered very small, small, medium, large, very
143   large and huge, separately (Sawilowsky, 2009;Charach et al., 2011;Cohen, 2013).

3   Results

145   Descriptive characteristics of the participants are summarized in Table 1. OF were significantly
146   older, shorter and had a higher weight and higher BMI than YF. The mean age of OF and YF was
147   68.4 and 22.3, respectively.

148   Table 2 shows the mean values for all measures. We found no interaction between Foot and
149   Group for any of the outcome measures, and no significant effect of Foot. Hence, all variables
150   that were calculated for both feet are displayed as averages over both feet. OF had higher
151   maximum vertical acceleration of the trunk than YF, with medium effect size (0.75), but smaller
152   maximum vertical acceleration of the feet than YF, with very large effect size (1.34). As a result,
153   OF had significantly smaller trunk-foot vertical acceleration attenuation, with a very large effect
154   size of 1.8. In addition, OF's vertical accelerations amplitude of the feet were significantly

155 smaller than YF, with medium effect size (-0.59). For the trunk, OF's ML and VT acceleration
156 amplitude were significantly larger than YF, and the effect size of the latter was largest (0.76).
157 The LDE of trunk from ML acceleration, and from ML and VT angular velocity were
158 significantly larger (less stable) for OF than for YF, with large (1.01), very large (1.48) and
159 medium effect size (0.66), respectively.
160   Table 3 shows the variability of all measures. No significant difference in variability of
161 spatial-temporal gait measures were found between groups. The variability of maximum vertical
162 acceleration of the feet was significantly smaller for OF than YF, and its effect size was 1.70.
163 While for trunk, the variability of the maximum vertical acceleration was significantly larger for
164 the OF (medium effect size 0.72). The variability of trunk-foot vertical acceleration attenuation
165 was smaller in OF than in YF, (effect size very large, 1.87). OF had significantly smaller
166 variability of acceleration amplitude of the feet in three directions than YF, with huge effect size
167 in ML direction (2.53) and a large effect size in the VT direction (1.01). For the trunk, OF's
168 variability of acceleration amplitude was significantly larger than YF in ML and VT direction,
169 with effect sizes of 0.41 and 0.86, respectively. The CV of gait measures showed largely the same
170 pattern as the SD (see Supplementary Table 1).

171 4 Discussion

172 4.1 Mean gait measures

173 In this study, we used inertial sensors to evaluate differences in acceleration intensity, variability
174 and stability of feet and trunk during gait between healthy young and older females. Although
175 older adults generally were suggested to walk slower due to physical limitations like muscle
176 weakness or loss of flexibility (Hamacher et al., 2014), the OF in our study walked at similar
177 preferred speed and stride length as the YF.
178   We found a reduction in foot vertical maximum acceleration in OF, which probably reflected
179 a reduction of peak ground reaction forces. Such a reduction of ground reaction forces could
180 result from a crouch-like gait, which has been shown in young adults to lead to a reduction of the
181 peak ground reaction force (Li et al., 1996;Grasso et al., 2000). Such a crouch like gait may
182 increase the metabolic cost of locomotion in the elderly (Carey and Crompton, 2005). Although
183 the trunk segment plays a key role in damping gait-related oscillations (Kavanagh et al., 2006),
184 the damping of oscillations by the trunk in the vertical direction has been suggested to be minor
185 (Prince et al., 1994;Kavanagh et al., 2004). In our study, we found a lower trunk-foot vertical
186 acceleration attenuation and a higher trunk acceleration amplitude in OF, which implies a
187 decreased cushioning (impact absorption) and hence less preservation of the head's stability
188 (Menz et al., 2003). Even though foot (vertical) accelerations were lower in OF, suggesting less
189 impact, the OF were not able to attenuate the higher accelerations in the trunk. This reduction in
190 impact absorption may be caused by age-related neuromuscular changes, such a reduced muscle
191 strength of the triceps surae and quadriceps femoris(Reeves et al., 2006), degraded stiffness and
192 elastic modulus of the tendons(McCrum et al., 2018), muscle co-contraction and degraded
193 absorption of the intervertebral disc(Brzuszkiewicz-Kuźmicka et al., 2018). Considering that
194 two-thirds of the weight of the human body is in the upper body, such higher trunk accelerations
195 may be destabilizing, which may cause falls (Woollacott and Tang, 1997).

196   For stability, LDE calculated from trunk time-series data have been shown to better reflect
197   differences in gait stability due to age than LDE calculated from data of other segments (Punt et
198   al., 2015). In our study, OF showed significantly lower local dynamic stability (higher LDE) in
199   ML acceleration, ML and VT angular velocity. Among these, the LDE calculated from trunk ML
200   angular velocity had the largest effect size. As stability in the ML direction needs more control
201   than stability in the AP direction during gait (Bruijn et al., 2009;O'Connor and Kuo, 2009),
202   decreased LDE of trunk angular velocity in ML direction could be an early indicator of gait
203   stability problems.

204   **4.2 Variability measures**

205   All participants in this study walked under the same environmental conditions. Thus, any
206   between-subject differences in variability arose from differences in (internal) neuromotor noise
207   and not (external) environmental noise. No differences were found in the variability of
208   spatiotemporal measures, which was consistent with a previous study showing that temporal gait
209   variability of older non-fallers was not significantly different from young adults in terms of
210   standard deviation and coefficient of variation (Hausdorff et al., 1997).
211   Our OF walked with similar variability of maximum vertical acceleration of feet variability
212   compared to YF (Table 3 and Supplementary Table 4). However, the variability of ML and VT
213   acceleration amplitude of the trunk was larger for the OF, which could suggest OF are at a higher
214   risk of balance loss and falling (Kavanagh and Menz, 2008).
215   All in all, our findings suggests that stability of the trunk might be a more sensitive indicator
216   of locomotor impairment and potential future risk of falls than changes in variability of the trunk,
217   as the LDE had higher effect sizes (Kang and Dingwell, 2008). Measures of variability of
218   acceleration of the feet showed even higher effect sizes and might thus be even more useful.
219   However, here, it should be noted that these effects were opposite from theoretically expected,
220   with the OF having lower (means and variability) acceleration of the foot.

221   **4.3 Limitations**

222   All tests in our study were aimed at testing the same hypothesis, that is, OF are less stable and
223   more variable than YF, hence, we did not use a correction for multiple testing. Nonetheless, not
224   correcting may lead to Type I errors, and thus, some caution is warranted. Furthermore, the older
225   participants in our study were quite fit and additional studies are needed to further investigate the
226   applicability of acceleration attenuation when studying older adults. Future research can expand
227   the sample size and conduct a multi-center study to obtain more representative results. Although
228   we used only trunk and feet sensors for practical usefulness, the underlying mechanisms for the
229   alterations in gait in the older women remain unclear and would require more detailed
230   assessments of e.g. whole body kinematics and muscle activity.

231

5   Conclusions

Although healthy older females had similar walking speed and spatiotemporal parameters as young females during steady state walking, they showed lower vertical foot accelerations and higher vertical trunk accelerations, suggesting less impact, and less absorption of the impact. In addition, lower gait stability and higher variability of trunk movements for older females also indicated they were more likely to fall. The measures derived from the accelerations of the trunk were sensitive to reflect the gait instability as expected, especially trunk-foot vertical acceleration attenuation and its variability. While the variability of foot acceleration amplitudes was also sensitive to age, these differences were opposite from expected, making it harder to draw any conclusion as to their usefulness for fall prediction. These findings suggest that instrumented gait measurements may help for early detection of changes or impairments in gait performance, even before this can be observed by clinical eye or gait speed.

6   Conflicts of Interest

The authors declare no conflict of interest.

7   Author Contributions

Yuge Zhang: Methodology, Software, Formal analysis, Investigation, Data curation, Writing-Original Draft, Writing-Review and Editing, Visualization. **Xinglong Zhou:** Conceptualization, Resources. **Mirjam Pijnappels:** Formal analysis, Writing review and Editing, Supervision, Funding acquisition. **Sjoerd M. Bruijn:** Methodology, Software, Formal analysis, Writing- Review and Editing, Supervision, Funding acquisition. All authors have read and agreed to the published version of the manuscript.

8   Acknowledgments

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397 **10 Tables**

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Table 1. Participant characteristics

Groups	YF	OF	p-Value (T-test)
Age (years)	22.3 (1.7)	68.4 (4.1)	<0.001***
Height (m)	1.65 (0.04)	1.59 (0.05)	<0.001***
Body mass (kg)	54.66 (3.93)	63.2 (7.95)	<0.001***
BMI (kg/m^2)	20.19 (1.53)	24.96 (2.60)	<0.001***
Leg length (cm)	88.21 (3.53)	87.28 (3.19)	0.29

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407 **Table 2.** Mean (and SD) of all gait measures

	OF	YF	p-value	Effect size
Spatial-temporal measures				
Stride time (s)	1.04 (0.07)	1.07(0.05)	0.06	-0.69
Initial double support period, IDS (%)	14.30(1.70)	14.00(1.20)	0.50	0.00
Terminal double support period, TDS (%)	14.10(1.60)	14.30(1.40)	0.76	0.02
Swing (%)	35.80(1.70)	35.90(1.20)	0.78	-0.01
Velocity of feet (m/s)	1.35(0.17)	1.37(0.14)	0.88	-0.06
Stride length of feet (m)	1.28(0.11)	1.35(0.12)	0.06	-0.61
VT Acceleration Maximum (m/s^2)				
VT maximum acceleration of feet	24.81(4.32)	33.52(8.78)	<0.001***	-1.34
VT maximum acceleration of trunk	18.04(2.75)	16.43(1.09)	0.011*	0.75
Trunk-foot vertical acceleration attenuation (m/s^2)	17.00(3.73)	28.62(8.61)	0.023*	-1.80
Acceleration Amplitude (m/s^2)				
AP acceleration amplitude of feet	86.53(14.35)	94.11(13.45)	0.13	0.53
ML acceleration amplitude of feet	31.25(7.01)	28.37(4.53)	0.29	-0.86
VT acceleration amplitude of feet	60.11(10.34)	68.30(9.74)	0.007**	-0.59
AP acceleration amplitude of trunk	8.97(2.69)	7.35(1.69)	0.07	0.71
ML acceleration amplitude of trunk	9.11(2.89)	8.52(1.28)	0.01*	0.26
VT acceleration amplitude of trunk	11.94(3.88)	9.65(1.39)	0.01*	0.76
Local Divergence Exponent (LDE)				
AP acceleration of trunk	1.15(0.46)	1.00(0.37)	0.28	0.35
ML acceleration of trunk	0.84(0.18)	0.68(0.12)	0.005**	1.01
VT acceleration of trunk	0.92(0.26)	0.87(0.21)	0.89	0.19
AP angular velocity of trunk	0.80(0.31)	0.69(0.30)	0.06	0.38
ML angular velocity of trunk	0.97(0.23)	0.69(0.11)	<0.001***	1.48
VT angular velocity of trunk	0.73(0.32)	0.56(0.12)	0.048*	0.66

408 Notes: AP = anteroposterior direction; ML = mediolateral direction; VT = vertical direction.
409 P-values refer to group comparisons based on t-tests, except for measures of the feet, where they
410 refer to the main effect of Group.
411

412 **Table 3.** Variability (and SD) of all gait measures

	OF	YF	p-value	Effect Size

Spatial-temporal Gait Measures				
Stride time (s)	0.02(0.01)	0.02(0.01)	0.64	-0.23
Initial double support period, IDS (%)	0.74(0.11)	0.71(0.11)	0.48	0.35
Terminal double support period, TDS (%)	0.80(0.20)	0.79(0.15)	0.93	0.17
Swing (%)	0.75(0.16)	0.75(0.19)	0.97	0.10
Velocity of feet (m/s)	0.06(0.03)	0.08(0.04)	0.05	-0.72
Stride length of feet (m)	0.02(0.01)	0.05(0.05)	0.13	-0.38
VT Acceleration Maximum (m/s^2)				
VT maximum acceleration of feet	4.05(1.39)	7.90(3.26)	<0.001**	-1.70
VT maximum acceleration of trunk	1.02(0.44)	0.78(0.13)	0.007**	0.72
Trunk-foot vertical acceleration attenuation (m/s^2)	4.02(1.09)	7.99(2.91)	0.008**	-1.87
Acceleration Amplitude (m/s^2)				
AP acceleration amplitude of feet	5.97(1.77)	7.52(1.91)	0.026*	-0.85
ML acceleration amplitude of feet	4.64(1.20)	5.84(1.88)	0.045*	-2.53
VT acceleration amplitude of feet	4.92(1.38)	9.69(2.72)	<0.001**	-1.01
AP acceleration amplitude of trunk	1.26(0.45)	1.05(0.31)	0.350	0.53
ML acceleration amplitude of trunk	0.98(0.48)	0.82(0.21)	0.017*	0.41
VT acceleration amplitude of trunk	1.31(0.67)	0.08(0.15)	<0.001**	0.86

413   Notes: AP = anteroposterior direction; ML = mediolateral direction; VT = vertical direction.

414   P-values refer to group comparisons based on t-tests, except for measures of the feet, where they
415   refer to the main effect of Group.

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