Recombination marks the evolutionary dynamics of a recently endogenized retrovirus

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Abstract

All vertebrate genomes have been colonized by retroviruses along their evolutionary trajectory. While endogenous retroviruses (ERVs) can contribute important physiological functions to contemporary hosts, such benefits are attributed to long-term co-evolution of ERV and host because germline infections are rare and expansion is slow, because the host effectively silences them. The genomes of several outbred species including mule deer (*Odocoileus hemionus*) are currently being colonized by ERVs, which provides an opportunity to study ERV dynamics at a time when few are fixed. Because we have locus-specific data on the distribution of cervid endogenous retrovirus (CrERV) in populations of mule deer, in this study we determine the molecular evolutionary processes acting on CrERV at each locus in the context of phylogenetic origin, genome location, and population prevalence. A mule deer genome was de novo assembled from short and long insert mate pair reads and CrERV sequence generated at each locus. CrERV composition and diversity have recently measurably increased by horizontal acquisition of a new retrovirus lineage. This new lineage has further expanded CrERV burden and CrERV genomic diversity by activating and recombining with existing CrERV. Resulting inter-lineage recombinants endogenized and subsequently retrotransposed. CrERV loci are significantly closer to genes than expected if integration were random and gene proximity might explain the recent expansion by retrotransposition of one recombinant CrERV lineage. Thus, in mule deer, retroviral colonization is a dynamic period in the molecular evolution of CrERV that also provides a burst of genomic diversity to the host population.

Keywords: endogenous retrovirus, ERV, recombination, genome diversity, mule deer, CrERV

Introduction

Retroviruses are unique among viruses in adopting life history strategies that enable them to exist independently as an infectious RNA virus (exogenous retrovirus, XRV) (Coffin 1996) or as an integral component of their host germline (endogenous retrovirus, ERV) (Löwer et al. 1996; Weiss 2006). An ERV is the result of a rare infection of a germ cell by an XRV and is maintained in the population by vertical transmission. Germline colonization has been a successful strategy for retroviruses as they comprise up to 10% of most contemporary vertebrate genomes (Stoye 2012). Over the evolutionary history of the species, ERV composition increases by acquisition of new germ line XRV infections, and through retrotransposition or reinfection of existing ERVs (Boeke and Stoye 1997; Belshaw et al. 2004; Belshaw, Katzourakis, et al. 2005; Johnson 2015), which results in clusters of related ERVs. The ERV profile in extant species therefore reflects

both the history of retrovirus epizootics and the fate of individual ERVs. Because the acquisition

of retroviral DNA in a host genome has the potential to affect host phenotype (Jern and Coffin

2008; Kurth and Bannert 2010; Feschotte and Gilbert 2012), the dynamic interactions among

ERVs and host could shape both retrovirus and host biology. However, the evolutionary

processes in play near the time of colonization are difficult to discern based on an ERV

colonization event that occurred in an ancestral species. A better understanding of both host

and virus responses to recent germ line invasion might inform homeostatic changes in ERV-

host regulation that are relevant to the pathogenesis of diseases in which ERV involvement has

been implicated (Antony et al. 2011; Magiorkinis et al. 2013; Wildschutte et al. 2014; Li et al.

2015; Li, Yang, et al. 2019; Xue et al. 2020). Fortunately, there is now evidence that retrovirus

colonization is occurring in contemporary, albeit often non-model, species (Arnaud et al. 2007;

Elleder et al. 2012; Roca et al. 2017), allowing for investigation of ERV dynamics near the time

of colonization. Our goal in this research is to investigate the evolutionary dynamics of the

phylogenetically distinct ERV lineages that have sequentially colonized mule deer over the

approximate million-year history of this species using the complete genome sequence of a

majority of coding ERVs in the context of a draft assembly of a newly sequenced mule deer

genome.

The life history strategy adopted by retroviruses indicates why this virus family has been so

successful in colonizing host germline. Retroviral replication requires that the viral RNA genome

be converted to DNA and then integrated into the genome of an infected cell (Coffin et al. 1997).

As with many RNA viruses, the virus polymerase enzyme, reverse transcriptase (RT), is error

prone, which contributes to a high mutation rate and enables rapid host adaptation. In addition,

RT moves between the two RNA copies that comprise a retroviral genome (Luo and Taylor

1990); this process can repair small genomic defects and increases evolutionary rates via

recombination if the two strands are not identical. Retroviral DNA is called a provirus and is

transported to the nucleus where it integrates into host genomic DNA using a viral integrase

enzyme. The provirus represents a newly acquired gene that persists for the life of the cell and

is passed to daughter cells, which for XRV are often hematopoietic cells. For a retrovirus

infecting a germ cell, all cells in an organism will contain the new retroviral DNA if reproduction

of the infected host is successful.

The retroviral life cycle also demonstrates how ERVs can affect host biology (Jern and Coffin

2008; Bolinger and Boris-Lawrie 2009). ERVs require host transcription factors and RNA

polymerases to bind to the retrovirus promoter, called long terminal repeats (LTRs), to produce

viral transcripts and the RNA genome. Thus, the viral LTRs compete with host genes for

transcription factors and polymerases (Sofuku and Honda 2018). A retrovirus encodes at a

minimum, genes for the capsid, viral enzymes, and an envelope gene needed for cell entry,

which is produced by a sub-genomic mRNA. Hence an ERV also utilizes host-splicing

machinery and can alter host gene expression pattern if the site of integration is intronic (Isbel

and Whitelaw 2012; Kim 2012). While XRVs are expressed from small numbers of somatic

cells, ERVs are present in all cells and ERV transcripts and proteins can be expressed in any

cell type at any stage of host development. Hosts actively silence the expression of full or

partial ERV sequences by epigenetic methods (Yao et al. 2004; Hurst and Magiorkinis 2017)

and by genes called viral restriction factors (Lavie et al. 2005; Matsui et al. 2010; Sze et al.

2013; Bruno et al. 2019; Geis and Goff 2020). Because there will be no record of an ERV that

causes reproductive failure of the newly colonized host, ERVs in contemporary vertebrates are

either effectively controlled by host actions, are nearly neutral in effects on host fitness, or

potentially contribute to the overall fitness of the host (Haig 2012; Göke and Ng 2016; Blanco-

Melo et al. 2017; Fu et al. 2019).

The coding portion of a new ERV can be eliminated from the genome through non-allelic

homologous recombination (NAHR) between the LTRs, which are identical regions that flank the

viral coding portion. A single LTR is left at the site of integration as a consequence of the

recombination event and serves as a marker of the original retrovirus integration site (Hughes

and Coffin 2004). Most ERV integration sites in humans are solo LTRs (Belshaw, Dawson, et al.

2005; Subramanian et al. 2011). Because the efficiency of NAHR is highest between identical

sequences (Hoang et al. 2010), conversion of a full-length ERV to a solo LTR likely arises early

during ERV residency in the genome before sequence identity of the LTR is lost as mutations

accrue (Belshaw et al. 2007). Because mutations are reported to arise in ERVs at the neutral

mutation rate of the host (Kijima and Innan 2010), sequence differences between the 5' and 3'

LTR of an ERV have been used to approximate the date of integration (Johnson and Coffin

1999; Zhuo et al. 2013).

Although in humans most ERV colonization events occurred in ancestral species, acquisition of

new retroviral elements is an ongoing (Stocking and Kozak 2008; Anai et al. 2012) or

contemporary (Roca et al. 2017) event in several animal species. The consequences of a recent

ERV acquisition are important to the host species because it creates an insertionally

polymorphic site; the site is occupied in some individuals but not in others. All ERVs are

insertionally polymorphic during the trajectory from initial acquisition to fixation or loss in the

genome. Indeed, the HERV-K (human endogenous retrovirus type K) family is insertionally

polymorphic in humans (Soriano et al. 1987; Turner et al. 2001; Moyes et al. 2007; Wildschutte

et al. 2016) and HERV-K prevalence at polymorphic sites differ among global populations (Li,

Lin, et al. 2019). Phylogenetic analyses of the ERV population in a genome can inform on the

origins of ERV lineages to determine which are actively expanding in the genome and the

mutational processes that drive evolution. These data indicate if expansion is related to the site

of integration or a feature of the virus, or both and coupled with information of ERV prevalence

at insertionally polymorphic sites, can inform ERV effects on host phenotype.

To this end, we explored the evolutionary history of the mule deer (*Odocoileus hemionus*) ERV

(Cervid endogenous retrovirus, CrERV) because we have extensive data for prevalence of

CrERV loci in northern US mule deer populations (Bao et al. 2014) and preliminary data on

CrERV sequence variation and colonization history (Elleder et al. 2012; Kamath et al. 2013). A

majority of CrERV loci is insertionally polymorphic in mule deer; 90% of animals shared fewer

than 10 of approximately 250 CrERV integrations per genome in one study (Bao et al. 2014).

Further, mule deer appear to have experienced several recent retrovirus epizootics with

phylogenetically distinct CrERV and, because none of the CrERV loci occupied in mule deer are

found in the sister species, white-tailed deer (*Odocoileus virginianus*) (Elleder et al. 2012), all

endogenization events have likely occurred since the split of these sister taxa. Based on the

phylogeny of several CrERV identified in the mule deer genome, at least four distinct epizootics

resulted in germ line colonization (Kamath et al. 2013). A full-length retrovirus representing the

youngest of the CrERV lineages was recovered by co-culture on human cells, indicating that

some of these CrERV are still capable of infection (Fábryová et al. 2015). In this study, we

expand on these preliminary data by sequencing a mule deer genome and conducting

phylogenetic analyses on a majority of reconstructed CrERV genomes. Our results demonstrate

that expression and recombination of recently acquired CrERV with older CrERV have

increased CrERV burden and diversity and consequently have increased contemporary mule

deer genome diversity.

Results

Establishing a draft mule deer reference genome to study CrERV evolution and integration site

preference

We developed a draft assembly of a mule deer genome from animal MT273 in order to

determine the sequence at each CrERV locus for phylogenetic analyses and to investigate the

effect of CrERV lineage or age on integration site preference. ERV sequences are available in

any genome sequencing data because a retrovirus integrates a DNA copy into the host

genome. However, there is extensive homology among the most recently integrated ERVs

making them difficult to assemble and causing scaffolds to break at the site of an ERV insertion

(Chaisson et al. 2015). We assembled scaffolds using a combination of high coverage Illumina

short read whole genome sequencing (WGS) and long insert mate pair sequencing. Our *de*

novo assembly yielded an ~3.31 Gbp draft genome with an N50 of 156 Kbp (Table S1), which is

comparable to the 3.33 Gbp (c-value of 3.41 pg) experimentally-determined genome size of

reindeer (*Rangifer tarandus*) (Vinogradov 1998; Gregory 2019).

Approximately half of CrERV loci are located at the ends of scaffolds based on mapping our

previously published junction fragment sequences (Bao et al. 2014), which is consistent with the

fact that repetitive elements such as ERVs break scaffolds. To determine the sequence of these

CrERVs and the genome context in which they are found, we developed a higher order

assembly using reference assisted chromosome assembly (RACA) (J. Kim et al. 2013). RACA

further scaffolds our *de novo* mule deer assembly into 'chromosome fragments' by identifying

synteny blocks among the mule deer scaffolds, the reference species genome (cow), and the

outgroup genome (human) (Figure 1A). We created a series of RACA assemblies based on

scaffold length to make efficient use of all data (Table S1). RACA150K takes all scaffolds

greater than 150,000 bp as input and yielded 41 chromosome fragments, 35 of which are

greater than 1.5 Mbp; this is consistent with the known mule deer karyotype of 2n=70

(Gallagher et al. 1994). However, RACA150K only incorporates 48% of the total assembled

sequences (1.59 Gbp) because of the scaffold size constraint. In contrast, RACA10K uses all

scaffolds 10,000 bp or longer and increases the assembly size to 2.37 Gbp (~72% of total

assembly) but contains 658 chromosome fragments (Table S1). The majority of scaffolds that

cannot be incorporated into a RACA assembly are close to the ends of alignment chains (File

S1, section 1a). Most sequences not represented in any assemblies were repeats based on *k-*

mer analyses (File S1, section 1a and Figure S1).

Some scaffolds were excluded from the RACA assemblies, presumably because there is no

synteny between cow and human for these sequences. We oriented these scaffolds using the

cow-mule deer and sheep-mule deer alignments (RACA+, Table S2). Approximately 124 Mbp of

sequence (~4% of total assembly) is in scaffolds larger than 10kb but cannot be placed in

RACA10K, nearly all of which can be found on the mule deer-cow alignment chain and the mule

deer-sheep (oviAri3) alignment chain (123 Mbp in each chain). Because there is overlap

between these alignments, only ~1 Mbp is specific to cow and ~1 Mbp is specific to sheep.

Therefore, RACA+ incorporated all but 69 scaffolds that are greater than 10 kbp, which

consisted of 1.17 Mbp of sequence (~0.04% of total scaffold size of the assembly) and yields an

assembly size of 2.49 Gbp (Table S1).

To enable the investigation of CrERV integration site preference relative to host genes, we

annotated the mule deer scaffolds. We used Maker2 (Cantarel et al. 2008; Holt and Yandell

2011) for the annotation, which detects candidate genes based on RNA sequencing data and

protein homology to any of the three reference genomes: human, cow and sheep. After four

Maker iterations, 21,598 genes with an AED (annotation edit distance) (Cantarel et al. 2008) of

less than 0.8 were annotated (Table S3). Approximately 92% of genes are found on RACA150K

scaffolds and 95% of genes are represented in RACA10K scaffolds.

<u>Establishing the location and sequence at CrERV loci</u>

Several lines of evidence suggest that most CrERVs are missing from the assemblies. Only

three CrERVs with coding potential were assembled by the *de novo* assembly. The *k-mer* based

analysis shows that less than 9.62% of all LTR repeat elements are in the assemblies (Table

S4). The CrERV-host junction fragments previously sequenced (Bao et al. 2014) support that

CrERV loci are near scaffold ends or in long stretches of 'N's. Therefore, we took advantage of

the different chromosome fragments generated by RACA10K, RACA150K and RACA+ and the

long insert mate pair sequencing data to reconstruct CrERVs at each locus (Figure 1B). We

identified 252 CrERV loci in the MT273 genome, which is consistent with our estimates of an

average of 240 CrERV loci per mule deer by quantitative PCR (Elleder et al. 2012) and 262

CrERV loci in animal MT273 by junction fragment analysis (Bao et al. 2014). The majority of

CrERV loci (206/252) contains CrERVs with some coding capacity and 46 are solo LTRs. Of the

206 CrERVs containing genes, 164 were sufficiently complete to allow phylogenetic analysis on

the entire genome or, if a deletion was present, on a subset of viral genes; at 42 loci we were

unable to obtain sufficient lengths of high-quality data for further analyses.

<u>Evolutionary history of CrERV</u>

We previously showed that mule deer genomes have been colonized multiple times since the

ancestral split with white tailed deer approximately one million years ago (MYA) (Kamath et al.

2013) because none of the CrERV integration sites are found in white-tailed deer. To better

resolve the colonization history, we conducted a coalescent analysis based on an alignment

spanning position 1,477-8,633 bp (omitting a portion of *env*) of the CrERV genome (GenBank:

JN592050) using 34 reconstructed CrERV sequences with high quality data that had no

signature of recombination and that were representative of the phylogeny in a larger data set

(Figure 2). The majority of the *env* gene, which has distinct variable and conserved region (Benit

et al. 2001), was manually blocked because of alignment difficulties (6,923-7,503 bp by

JN592050 coordinates; see Figure 2, right panel for diagram of *env* variable regions and Table

S5, column C for *env* structure of each CrERV). This tree shows four well-supported CrERV

lineages, each diverged from a common ancestor at several points since the split of mule deer

and white-tailed deer. Although *env* sequence is not included in the phylogenetic analysis,

CrERV assigned to each of the four identified lineages share the same distinct *env* variable

region structure of insertions and deletions, which define the receptor-binding domain of the

envelope protein (Figure 2, right panel).

Lineage A CrERVs are the youngest ERV family in mule deer. Our estimates indicate that

Lineage A colonization has occurred over the last 300 thousand years to the present (Figure 2;

Table S6, node f, 95% high posterior density (HPD) interval 110-470 thousand years ago (KYA))

and is represented by three well-supported CrERV subgroups evolving over this time frame. All

have a complete open reading frame (ORF) in *env* and likely represent a recent retrovirus

epizootic. An infectious virus recovered by co-culture belongs to this lineage (Elleder et al.

2012). Lineage A represents 30% of all CrERV sampled from MT273 (Table S5). Our age

estimates for each subgroup of Lineage A CrERV are consistent with their prevalence in

populations of mule deer in the Northern Rocky Mountain ecosystem (Figure 2); (Hunter et al.

2017). For example, S29996 and S10113 are estimated to derive from an older Lineage A

CrERV subgroup and occur in our sampled mule deer at higher prevalence than those

estimated to have entered the genome more recently (see S22897 and S111665, Figure 2).

Lineage B CrERV shared a common ancestor with Lineage A approximately 300 KYA (node i,

Figure 2). Lineage B CrERVs have a short insertion in the 5' portion of *env* followed by a

deletion that removes most of the *env* surface unit (SU) relative to Lineage A *env*. Because our

coalescent analysis does not include *env* sequence, these results suggest that two

phylogenetically distinct XRV with different envelope proteins were circulating about the same

time in mule deer populations. Lineage B CrERV represent 32% of sampled viruses from our

sequenced genome (Table S5). Like Lineage A, the prevalence of CrERV from Lineage B

among mule deer in the northern Rockies region is low, reflecting their more recent colonization

of the mule deer genome. Indeed, six Lineage B CrERVs were identified only in MT273, while

only one Lineage A CrERV is found only in MT273 (Table S5), which could be indicative of a

recent expansion of some Lineage B CrERV. Of note, there are two related groups of CrERV

affiliated with Lineage B (Lineage B1 and B2, Table S5). One shares the short 5' insertion in *env*

but has a full-length *env* with an additional short insertion relative to the *env* of Lineage A

CrERV (Lineage B1, Figure 2). CrERV with this *env* configuration represent 9% of coding

CrERV in MT273. Because the prevalence of Lineage B1 is high in mule deer, this group could

represent the ancestral state for Lineage B CrERVs. The second group has a unique *env* not

found in any other CrERV lineages (Lineage B2, Figure 2, node k; S16113 and S6404). We are

unable to estimate the prevalence of this unusual *env* containing CrERV in mule deer because

the host junction fragments are not represented in our draft mule deer assembly. It is possible

that these viruses represent a cross-species infection and it would be interesting to determine if

representatives of Lineage B2 are found in the genomes of other species that occupied the

ecosystem in the past.

Our coalescent estimates indicate that Lineage C CrERV emerged about 500 KYA (Table S6).

Several members of this lineage are found in all mule deer sampled (Figure 2; Table S5),

consistent with a longer residence in the genome. There is a 59 bp insertion (C) and 362 bp

deletion (E) in *env* (Figure 2; Table S5) compared to the full length *env* of Lineage A; none have

an intact *env* ORF. Despite evidence that Lineage C is an older CrERV, the approximately 13%

of identified CrERV in MT273 belonging to this lineage share a common ancestor ~50 KYA

(95% HPD: 16-116 KYA, Table S6). These data are consistent with a recent expansion of a

long-term resident CrERV.

The first representatives of the CrERV family still identifiable in mule deer colonized shortly after

their split from white-tailed deer, approximately one MYA (Elleder et al. 2012). Lineage D

CrERVs comprise 12% of reconstructed CrERV in MT273 and appear to be near fixation.

Indeed, all mule deer in a larger survey of over 250 deer had CrERV S26536, which is not found

in white-tailed deer (Kamath et al. 2013). This lineage shares an *env* insertion with Lineage C

but lacks the deletion, which removes the transmembrane region of *env*.

These data expand our previous findings that over the approximately one million year history of

mule deer, the mule deer genome has been colonized at least four times by phylogenetically

distinct CrERVs; this likely reflects several retroviral epizootics each characterized by a unique

env structure. The two lineages responsible for most recent endogenization events comprise

62% of sampled CrERV. In addition, these data capture the evolutionary processes acting on

the *env* gene of exogenous retroviruses, which are characterized by gain or loss of variable

regions of this important viral protein.

<u>Recombination among CrERV lineages</u>

Our coalescent estimates (Figure 2) indicate that two phylogenetically distinct CrERV lineages

have been expanding in contemporary mule deer genomes over the last 100,000 years. Both

lineages have been actively colonizing contemporary mule deer genomes based on divergence

estimates, which include zero. While CrERVs represented by Lineage A are capable of infection

(Elleder et al. 2012), all Lineage B CrERVs have an identical deletion of the SU portion of *env*

and should not be able to spread by reinfecting germ cells. However, the mule deer genome is

comprised of approximately equal percentages of Lineage B and Lineage A CrERVs so we

considered two modes by which defective Lineage B CrERVs could expand in the genome at a

similar rate with Lineage A. Firstly, ERVs that have lost *env* are proposed to preferentially

expand by retrotransposition (Gifford et al. 2012) because a functional envelope is not

necessary for intracellular replication. Secondly, we consider that Lineage B CrERVs could

increase in the genome by infection if the co-circulating Lineage A group provided a functional

envelope protein, a process called complementation (MAGER and FREEMAN 1995; Belshaw,

Katzourakis, et al. 2005). This latter mechanism requires that a member of each CrERV lineage

be transcriptionally active at the same time in the same cell, and that intact proteins from the

'helper' genome be used to assemble a particle with a functional envelope for reinfection. If two

different CrERV loci are expressed in the same cell, both genomes could be co-packaged in the

particle. Because the reverse transcriptase moves between the two RNA genomes as first

strand DNA synthesis proceeds, evidence of inter-lineage recombination would support that the

molecular components necessary for complementation were in place. We assessed Lineage B

CrERV for recombination with Lineage A to determine if coincident expression of the RNA

genomes of these two lineages could explain the expansion by infection through

complementation of the *env*-less Lineage B CrERV.

There is good support for recombination between Lineage A and B in a region spanning a

portion of *pol* to the beginning of the variable region in *env* (4,422-7,076 based on coordinates

of JN592050). In this region, several CrERV that we provisionally classified as Lineage B

because they carried the prototypical *env* deletion of SU form a monophyletic group that is

affiliated with Lineage A CrERV (Figure 3, upper collapsed clade containing orange diamonds).

These Lineage B recombinants all share the same recombination breakpoint just 5' of the

characteristic short insertion for these viruses (Figure S2, indicated by "**"; Table S7). In

addition, several other CrERVs with Lineage B *env* branch between lineages A and B, indicating

that the recombination breakpoints fall within the region assessed (Figure S2). Indeed, the

breakpoint in a group of three CrERV is at position 6630 based on coordinates of JN592050,

which is near the predicted splice site for *env* at position 6591 (Elleder et al. 2012); this confers

an additional 500 bp of the Lineage B *env* on these viruses (Figure S2) resulting in their

observed phylogenetic placement. Because recombination between the two retroviral RNA

genomes occurs during reverse transcription, our data indicate that both Lineage A and B

CrERVs were expressed and assembled in a particle containing a copy of each genome. A

functional envelope from Lineage A would therefore have been available for infection. These

data support our premise that complementation with a replication competent Lineage A CrERV

or CrXRV (cervid exogenous gammaretrovirus, an exogenous version of CrERV) contributes to

the 32% prevalence of *env*-deleted Lineage B CrERV in the genome. It is notable that recent

retrotransposition of the lineage A-B recombinant CrERVs likely occurred because they are

nearly identical and the branches supporting them are short (Figure 3, orange diamonds in the

Lineage A type *env* cluster).

There is additional data to support the transcriptional activity of a Lineage B CrERV, which is

requisite for recombination with an infectious Lineage A CrERV or for retrotransposition. We

identified a non-recombinant Lineage B CrERV (S24870 in Table S5) with extensive G to A

changes (184 changes) compared to other members of this monophyletic group. These data are

indicative of a cytidine deaminase acting on the single stranded DNA produced during reverse

transcription (Suspène et al. 2004).

Lineage C CrERV are enigmatic because based on full length sequences lacking a signature of

recombination it diverged around 500KYA (Figure 2) but all extant members of this group

diverged recently. From Figure 3, it is evident that over the region of *pol* assessed, CrERVs

containing the Lineage C *env* cluster with an older Lineage A subgroup. Given that the *env* of

Lineage C CrERV shares sequence homology and an insertion with that of the oldest Lineage
D, it is likely that Lineage C is in fact the result of recombination between an early member of
Lineage A and a relative of a Lineage D CrERV. Many, but not all, Lineage C CrERVs are found
at high prevalence in the mule deer population (Figure 2; Table S5), supporting that the initial
recombination event occurred early during the Lineage A colonization. Our identification of
Lineage C as derived from a non-recombinant CrXRV is therefore incorrect. Instead, Lineage C
CrERVs are derived from a CrERV or CrXRV that is not currently represented in mule deer
genomes either because it was lost or it never endogenized. Fourteen of the twenty-two CrERV
in Lineage C have multiple signatures of recombination predominantly with Lineage A CrERV.
The expansion of a subset of Lineage C as a monophyletic group approximately 50 KYA (Figure
2; Table S6) suggests that like some members of Lineage B, CrERVs generated by
recombination with Lineage A have recently retrotransposed.
386
<u>Genomic distribution of CrERV lineages</u>
Of the 164 CrERV that we reconstructed from MT273, only 12 can be detected in all mule deer
that we have sampled (Kamath et al. 2013; Bao et al. 2014) (Table S5). This means that the
majority of CrERV loci in mule deer are insertionally polymorphic; not all animals will have a
CrERV occupying a given locus. ERVs can impact genome function in multiple ways but the
best documented is by altering host gene regulation, which occurs if the integration site is near
a host gene (Rebollo et al. 2012). Thus, we investigated the spatial distribution of CrERV loci
relative to host genes to determine the potential of either fixed or polymorphic CrERV to impact
gene expression, which could affect host phenotype.
396
The actual distance between genes is likely to be unreliable in our assembly because most high
copy number repeats are missing in the mule deer assembly (Figure S1, Table S4, section 1a of
File S1). To investigate potential problems determining the spatial distribution of CrERV
insertions imposed by using a draft assembly, we simulated the distribution of retrovirus
insertions (File S1, section 2l) in mule deer (scaffold N50=156 Kbp) and the genomes of cow
(Btau7, scaffold N50=2.60 Mbp) and human (hg19, scaffold N50=46.4 Mbp). The mean distance
between insertion and the closest gene for all simulation replicates (Figure S3) is significantly
higher in the cow and human (Mann-Whitney U test $p < 2.2 \times 10^{-16}$ for any pair of comparison
among the three species). Therefore, we determined if the number of CrERV loci observed to
be within 20Kbp of a gene differed from that expected if the distribution was random. There are
significantly more observed insertions that fall within 20 Kbp of the translation start site of a

gene than occur randomly (Figure 4A). In contrast, intronic CrERV insertions are significantly

less than expected based on our simulations (Figure 4B). Among Lineage A CrERVs, only a

single sub-lineage (CrERVs that are associated to node 'a' in Figure 2) are found in closer

proximity to genes (bold font in Column G of Table S5) than expected if integrations are random

(Fisher's exact test p = 0.002891). We also investigated whether any of the recombinant CrERV

with a signature of recent expansion was integrated within 20 Kbp of a gene. Two of the three

recombinant clusters (Figure 3) contain members that are close to a gene (Table S5, bold font

in column G). In particular, Lineage A/B recombinant CrERV S10 is 494 bp from the start of a

gene. Remarkably, four Lineage C CrERVs with the typical *env* sequence are within 20 Kbp of a

gene (Table S5, bold font in column G). Our data indicate that integration site preference overall

favors proximity to genes but that this is not reflected in all lineages. In particular, the history of

Lineage C CrERV suggests they could have acquired a different integration site preference

through recombination that facilitated recent genome expansion.

Discussion

The wealth of data on human ERVs (HERVs) provides the contemporary status of events that

initiated early in hominid evolution. Potential impacts of an ERV near the time of colonization on

a host population is thought to be minimal because infection of host germ line by an XRV is a

rare event and ERVs that affect host fitness are quickly lost. Potentially deleterious ERVs that

are not lost due to reproductive failure can be removed by recombination leaving a solo LTR at

the integration site or can suffer degradation presumably because there is no benefit to retain

function at these loci; most HERVs are represented by these two states. In addition, humans

and other vertebrate hosts have invested extensive genomic resources (Feschotte and Gilbert

2012; Stoye 2012; Zheng et al. 2012) to control the expression of ERVs that are maintained.

The dynamics between host and ERV are described as an evolutionary arms race (Daugherty

and Malik 2012; Duggal and Emerman 2012). This narrative may underrepresent any

contributions of ERVs to fitness as they were establishing in a newly colonized host population.

Because there are now several species identified to be at different points along the evolutionary

scale initiated by the horizontal acquisition of retroviral DNA it is possible to investigate

dynamics of ERV that are not yet fixed in a contemporary species. Considering the numerous

mechanisms by which newly integrated retroviral DNA affect host biology, such as by

introducing new hotspots for recombination (Campbell et al. 2014), altering host gene regulation

(Maksakova et al. 2006; Cohen et al. 2009; Rebollo et al. 2012), and providing retroviral

transcripts and proteins for host exaptation (Bénit et al. 1997; Finnerty et al. 2002; Lu et al.

2014; Kawasaki and Nishigaki 2018), colonizing ERVs could make a substantive contribution to

species' evolution. Our research on the evolutionary dynamics of mule deer CrERV

demonstrates that genomic CrERV content and diversity increased significantly during a recent

retroviral epizootic due to acquisition of new XRV and from endogenization and

retrotransposition of recombinants generated between recent and older CrERVs. These data

suggest that CrERV provide a pulse of genetic diversity, which could impact this species'

evolutionary trajectory.

Our analyses of CrERV dynamics in mule deer are based on the sequence of a majority of

coding CrERVs in MT273. Of the 252 CrERV loci identified in the MT273 assembly, we were

able to reconstruct CrERV sequences from long insert mate pair and Sanger sequencing to use

for phylogenetic analysis at 164 sites; 46 sites were solo LTR and 42 were occupied by CrERV

retaining some coding capacity. We complimented phylogenetic analyses with our previous data

on the frequency of each CrERV locus identified in MT273 in a population of mule deer in the

northern Rocky Mountain ecosystem (Bao et al. 2014; Hunter et al. 2017). In addition, we

incorporated information on the variable structure of the retroviral envelope gene, *env*, which is

characteristic of retrovirus lineages but was excluded from phylogenetic analyses. The variable

regions of retroviral *env* result from balancing its role in receptor-mediated, cell specific infection

while evading host adaptive immune response (Stamatatos et al. 2009; Murin et al. 2019).

Despite excluding most of *env* from our phylogenic analysis because of alignment problems,

each of the lineages we identified has a similar distinct *env* structure, as is well known for

infectious retroviruses. By integrating population frequency, coalescent estimation, and the

unique structural features of *env* we provide an integrated approach to explore the evolutionary

dynamics of an endogenizing ERV.

The most recent CrERV epizootic recorded by germline infection was coincident with the last

glacial period, which ended about 12 KYA. The retroviruses that endogenized during this

epizootic belong to Lineage A, have open reading frames for all genes and have been

recovered by co-culture as infectious viruses (Fábryová et al. 2015). There are several sub-

lineages within Lineage A, which likely reflect the evolutionary history of CrXRV contributing to

germline infections over this time period. Lineage A retroviruses constitute approximately one

third of all retroviral integrations in the genome. Only four of the fifty Lineage A CrERV that we

were able to reconstruct did not have a full length *env*. An important implication of this result is

that over the most recent approximately 100,000 years of the evolution of this species, the mule

deer genome acquired up to half a megabase of new DNA, which introduced new regulatory

elements with promoter and enhancer capability, new splice sites, and sites for genome

rearrangements. Thus, there is a potential to impact host fitness through altered host gene

regulation even if host control mechanisms suppress retroviral gene expression. None of the

Lineage A CrERV is fixed in mule deer populations (Table S5, column F) so any effect of CrERV

on the host will not be experienced equally in all animals. However, none of the Lineage A

CrERV is found only in M273 indicating that the burst of new CrERV DNA acquired during the

most recent epizootic has not caused reproductive failure among mule deer. These data

demonstrate that in mule deer, a substantial accrual of retroviral DNA in the genome can occur

over short time spans in an epizootic and could impose differential fitness in the newly colonized

population.

Lineage A CrERV has an open reading frame for *env* but Lineages B-D do not. Lineage B

CrERVs are intriguing in this regard because they also constitute approximately a third of the

CrERV in the genome. Yet all have identical deletions of the extracellular portion of *env*, which

should render them incapable of genome expansion by reinfection. ERV that have deleted *env*

are reportedly better able to expand by retrotransposition (Gifford et al. 2012), which could

account for the prevalence of Lineage B. However, because we have evidence for recent

expansion of Lineage A and B recombinants, we considered an alternative explanation; that

env-deficient Lineage B CrERV was complemented with an intact Lineage A CrERV envelope

glycoprotein allowing for germline infection. Complementation is not uncommon between XRV

and ERV (Hanafusa 1965; Evans et al. 2009), is well established for murine Intracisternal A-

type Particle (IAP) (Dewannieux et al. 2004) and has been reported for ERV expansion in

canids (Halo et al. 2019). Complementation requires that two different retroviruses are co-

expressed in the same cell (Ali et al. 2016). During viral assembly functional genes supplied by

either virus are incorporated into the virus particle and either or both retroviral genomes can be

packaged. Because the retroviral polymerase uses both strands of RNA during reverse

transcription to yield proviral DNA, a recombinant can arise if the two co-packaged RNA strands

are not identical. We investigated the possibility of complementation by searching for Lineage A-

B recombinants. Our data show that Linage A and B recombination has occurred several times.

A group of CrERV that encode a Lineage B *env* cluster with Lineage A CrERV in a phylogeny

based on a partial genome alignment (JN592050: 4422-7076bp). The recombinant breakpoint

within this monophyletic group is identical, suggesting that the inter-lineage recombinant

subsequently expanded by retrotransposition. Notably, two of the CrERV in this recombinant

cluster were only found in M273, indicating that retrotransposition was a recent event. There are

other clusters of CrERV with Lineage B *env* affiliated with Lineage A CrERV that have different

breakpoints in this partial phylogeny. Recombination between an XRV and ERV is also a well-

documented property of retroviruses (Kozak 2014; Bamunusinghe et al. 2016; Löber et al.

2018). However, the recombinant retroviruses that result are typically identified because they

are XRV and often associated with disease or a host switch. Our data indicate that multiple

recombination events between Lineage A and B CrERV have been recorded in germline; this in

itself is remarkable given that endogenization is a rare event. Thus both the burden of CrERV

integrations and the sequence diversity of CrERV in the mule deer genome increase

concomitant with a retrovirus epizootic by CrERV inter-lineage recombination.

Recombination is a dominant feature of CrERV dynamics and is also displayed in the

evolutionary history of Lineage C CrERV. Our phylogenetic analysis places the ancestor of

Lineage C CrERV at 500 KYA and indeed, Lineage C and Lineage D, which is estimated to be

the first CrERV to colonize mule deer after splitting from white-tailed deer (Elleder et al. 2012;

Kamath et al. 2013), share many features in *env* that are distinct from those of Lineage A and B.

Consistent with a long-term residency in the genome, many Lineage C CrERV are found in most

or all mule deer surveyed. A recent expansion of a CrERV that has been quiescent in the

genome since endogenizing could explain the estimated 50 KYA time to most recent common

ancestor of extant members of this lineage. Although this scenario is consistent with the

paradigm that a single XRV colonized the genome and recently expanded by retrotransposition,

our analysis shows that all Lineage C CrERV are recombinants of a Lineage A CrERV and a

CrERV not recorded in or lost from contemporary mule deer genomes. Hence the resulting

monophyletic lineage does not arise from retrotransposition of an ancient colonizing XRV.

Rather, as is the case with Lineage B CrERV, recombination between an older CrERV and

either a Lineage A CrXRV or CrERV occurred, infected germline, and recently expanded by

retrotransposition. It is noteworthy that all retrotransposition events detectable in our data

involve recombinant CrERV. Further, recombination often leads to duplications and deletions in

the retroviral genome, therefore some of the deletions we document in Lineages B-D are not a

consequence of slow degradation in the genome but rather are due to reverse transcription and

as was recently reported for Koala retrovirus (Löber et al. 2018).

These data highlight that expansion of CrERV diversity and genomic burden has occurred in the

recent evolutionary history of mule deer by new acquisitions, complementation, and pulses of

retrotransposition of inter-lineage recombinants. Indeed, several of the recombinant Lineage C

CrERVs that have expanded by retrotransposition are within 20kbp of a gene raising the

question as to whether there is a fitness effect at these loci that is in balance with continued

expression of the retrovirus. It is remarkable that so many of the events marking the dynamics

of retrovirus endogenization are preserved in contemporary mule deer genomes. Given that

germline infection is a rare event, it is likely that the dynamics we describe here also resulted in

infection of somatic cells. It is worthwhile to consider the potential for ERVs in other species, in

particular in humans where several HERVs are expressed, to generate novel antigens through

recombination or disruptive somatic integrations that could contribute to disease states.

Materials and Methods

<u>Sequencing</u>

Whole genome sequencing (WGS) was performed for a male mule deer, MT273, at ~30x depth

using the library of ~260 bp insert size, ~10x using the library of ~1,400-5,000 bp insert size and

~30x using the library of ~6,600 bp insert size. 3' CrERV-host junction fragment sequencing was

performed as described by Bao *et al.* (Bao et al. 2014). 5' CrERV-host junction fragment

sequencing was performed on the Roche 454 platform, with a target size of ~500bp containing

up to 380 bp of CrERV LTR.

<u>Assembly and mapping</u>

The draft assembly of MT273 was generated using SOAPdenovo2 (Luo et al. 2012) (File S1,

section 2a). WGS data were then mapped back to the assembly using the default setting of bwa

mem (Li and Durbin 2009) for further use in RACA and CrERV reconstruction. RNA-seq data

was mapped to the WGS scaffolds using the default setting of tophat (Trapnell et al. 2009; D.

Kim et al. 2013). 3' junction fragments were clustered as described in Bao *et al.* (Bao et al.

2014). 3' junction fragment clusters and 5' junction fragment reads were mapped to the WGS

assembly using the default setting of blat (Kent 2002). A perl script was used to filter for the

clusters or reads whose host side of the fragment maps to the host at its full length and high

identity. 5' junction fragments were then clustered using the default setting of bedtools merge.

<u>RACA</u>

Synteny based scaffolding using RACA was performed based on the genome alignment

between the mule deer WGS assembly, a reference genome (cow, bosTau7 or Btau7), and an

outgroup genome (hg19). Genome alignments were performed with lastz (Harris 2007) under

the setting of '--notransition --step=20', and then processed using the UCSC axtChain and

chainNet tools. The mule deer-cow-human phylogeny was derived from Bininda-Emonds *et al*.

(Bininda-Emonds et al. 2007) using the 'ape' package of R.

<u>CrERV sequence reconstruction</u>

CrERV locations and sequences were retrieved based on junction fragment and long insert

mate pair WGS data. The long insert mate pair WGS reads were mapped to the reference

CrERV (GenBank: JN592050) using bwa mem. Mates of reads that mapped to the reference

CrERV were extracted and then mapped to the WGS assembly using bwa mem. Mates mapped

to the WGS assembly were then clustered using the 'cluster' function of bedtools. Anchoring

mate pair clusters on both sides of the insertion site were complemented by junction fragments

to localize CrERVs. Based on the RACA data, CrERVs that sit between scaffolds were also

retrieved in this manner. CrERV reads were then assigned to their corresponding cluster and

were assembled using SeqMan (DNASTAR). Sanger sequencing was performed to

complement key regions used in CrERV evolutionary analyses. All reconstructed CrERV

sequences used in the phylogenetic analyses are included in File S2 in fasta format.

<u>CrERV evolution analyses</u>

CrERV sequences of interest were initially aligned using the default setting of muscle (Edgar

2004), manually trimmed for the region of interest, and then re-aligned using the default setting

of Prank (Löytynoja and Goldman 2005). Lineage-specific regions are manually curated to form

lineage-specific blocks. Models for phylogeny were selected by AICc (Akaike Information

Criterion with correction) using jModelTest (Posada 2008). Coalescent analysis and associated

phylogeny (Figure 2) was generated using BEAST2 (Bouckaert et al. 2014). In the coalescent

analysis, we used GTR substitution matrix, four Gamma categories, estimated among-site

variation, Calibrated Yule tree prior with ucldMean ucldStddev from exponential distribution,

relaxed lognormal molecular clock, shared common ancestor of all CrERVs 0.47-1 MYA as a

prior (Elleder et al. 2012; Kamath et al. 2013). Maximum likelihood phylogeny in Figure 3 was

generated using PhyML (Guindon and Gascuel 2003) using the models selected by AICc and

the setting of '-o tlr -s BEST' according to the selected model.

<u>CrERV spatial distribution</u>

We simulated 274 insertions per genome to approximate the average number of CrERVs in a

mule deer (Bao et al. 2014). The simulation was performed 10,000 times on three genomes: the

mule deer WGS scaffolds, cow (Btau7) and human (hg19). Distance between simulated

insertions and the closest start of the coding sequence of a gene was calculated using the

'closest' function of bedtools, and the simulated insertions that overlap with a gene were marked

with the 'intersect' function of bedtools. Number of simulated simulations that are within 20 Kbp

or intronic to a gene was counted for each of the 10,000 replicates. Counts were then

normalized by the total number of insertions and plotted using the 'hist' function of R.

<u>Supplementary methods</u>

Methods with extended details are available in File S1.

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<u>Availability of Data and Materials</u>

The raw sequencing data was deposited in NCBI BioProject PRJNA705069. Other data

generated are included in supplementary file and figures.

<u>Author's contributions</u>

LY, RM, RC, TK, JR, PM, and MP conducted analyses; LY, RM, DE, MP interpreted data; LY

and MP wrote the manuscript.

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Figure Legends

Figure 1. Diagram of CrERV reconstruction and RACA. A. Mule deer chromosome fragment

reconstruction using syntenic fragments. Gray, green and blue boxes correspond to aligned

human, cow and mule deer scaffold respectively. Lighter shades represent regions that can only

be aligned between two species. Dashed boxes highlight syntenic fragments where the region is

conserved among all three species, which yield a chromosome fragment that orients mule deer

scaffolds. B. Reconstruction of CrERV sequences. CrERV and mule deer scaffolds are shown in

bold orange and blue boxes, respectively. Long insert mate pair reads are connected by dotted

lines and are colored to indicate whether they derive from the mule deer scaffold or CrERV

genome. CrERV genomes were assembled by gathering the broken mate pairs surrounding

each CrERV loci as described.

Figure 2. Coalescent phylogeny, *env* structural variation and population frequency of

representative full-length non-recombinant CrERVs. Nodes with at least 95% posterior

probability support are marked by black dots. The high posterior density for each labeled node

is shown in Table S6. Boxes next to CrERV names display the frequency of the CrERVs in the

mule deer population with a gray scale (annotated at the top-left corner). Diagrams on the right

side depict the lineage-specific structural variations in the CrERV envelope gene. White

triangles represent insertions (A, B, C), and white rectangles represent deletions (D and E).

Figure 3. Recombination among CrERVs. Shown is a maximum likelihood phylogeny based

on a region spanning a portion of *pol* to 5'*env* (JN592050: 4422-7076). Taxa used are a subset

of full-length non-recombinant CrERVs representing the four lineages shown in Figure 2 and

CrERVs with a recombinant signature containing a Lineage B *env*. Supported nodes (aLRT >=

0.85) are represented by black dots on the backbone of the tree. Lineage designation is

assigned to supported branches based on the non-recombinant CrERV. Over this interval,

Lineage B CrERVs are found as a sister group to lineage A CrERV but some CrERV containing

a prototypical Lineage B *env* are dispersed among Lineage A CrERV. Note that in this interval

lineage C CrERVs cluster with Lineage A CrERVs.

Figure 4. CrERV insertions are enriched within 20 kbp of genes and depleted in introns.

We simulated the expected number of CrERV insertions by randomly placing them on the *de*

novo assembled MT273 genome. The proportion of insertions expected within 20kb of a gene

from the 10,000 replicates is shown in Panel A. The proportion of intronic insertions is in panel

B. The distribution of insertions within 20kb of a gene or an intron from the simulation is shown

as a histogram. Blue dashed lines indicate the mean of the simulated data. Red dashed lines

indicate the observed data in MT273. Black dashed lines indicate the 5th and 95th percentile of

the simulated data, which are used to call significant differences.

918 **Figures**

921 <u>Figure 1</u>

927 <u>Figure 2</u>

932   <u>Figure 3</u>

938   <u>Figure 4</u>