1 **Ocean-wide comparisons of mesopelagic planktonic community structures**
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51 Running title: Mesopelagic community ecology

Abstract

For decades, marine plankton have been investigated for their capacity to modulate biogeochemical cycles and provide fishery resources. Between the sunlit (epipelagic) layer and the deep dark waters, lies a vast and heterogeneous part of the ocean: the mesopelagic zone. How plankton composition is shaped by environment has been well-explored in the epipelagic but much less in the mesopelagic ocean. Here, we conducted comparative analyses of trans-kingdom community assemblages thriving in the mesopelagic oxygen minimum zone (OMZ), mesopelagic oxic, and their epipelagic counterparts. We identified nine distinct types of intermediate water masses that correlate with variation in mesopelagic community composition. Furthermore, oxygen, NO_3^- and particle flux together appeared as the main drivers governing these communities. Novel taxonomic signatures emerged from OMZ while a global co-occurrence network analysis showed that about 70% of the abundance of mesopelagic plankton groups is organized into three community modules. One module gathers prokaryotes, pico-eukaryotes and Nucleo-Cytoplasmic Large DNA Viruses (NCLDV) from oxic regions, and the two other modules are enriched in OMZ prokaryotes and OMZ pico-eukaryotes, respectively. We hypothesize that OMZ conditions led to a diversification of ecological niches, and thus communities, due to selective pressure from limited resources. Our study further clarifies the interplay between environmental factors in the mesopelagic oxic and OMZ, and the compositional features of communities.

82   **Keywords**: mesopelagic community, metabarcoding, plankton, oxygen minimum zone

Introduction

Below the ocean's sunlit layer lies the mesopelagic zone that occupies around 20% of the global ocean volume [1]. The mesopelagic zone is biologically defined as starting where photosynthesis no longer occurs (<1% irradiance; around 200m depth), down to its lower boundary where there is no detectable sunlight (around 1000m depth) [2]. This twilight ecosystem cannot rely on photoautotrophy, but sustains its energetic requirements by the combination of heterotrophic, chemoautotrophic, and chemo-mixotrophic metabolisms, together with physicochemical processes. Among the latter, the fraction of upper ocean productivity that escapes epipelagic recycling and sinks by gravity or is delivered by the daily vertical migration of zooplankton constitutes an essential energy source in deep waters and is a vector for attached organisms [3].

Considerable attention has been devoted to the mesopelagic layer in recent years, given its recognized potential for exploitation for bioresources and fisheries [4], potentially becoming an important source of goods for the global bioeconomy [5]. So far, efforts have been made to increase the knowledge of mesopelagic macrofauna by studying the abundance and diversity of nekton. Concerning the mesopelagic community's microscopic fraction, previous reports have shown a stratification of planktonic communities by the water column. In this regard, the mesopelagic zone displays a distinct assemblage of dsDNA viruses [6], Nucleo-Cytoplasmic Large DNA viruses [7], prokaryotes [8–10], and eukaryotes [11]. These studies have highlighted a global organization different from that of the surface. For example, the mesopelagic plankton diversity does not show a latitudinal diversity gradient trend from pole-to-pole, peaking at lower latitudes [12], and also displays a higher heterogeneity compared to epipelagic waters [13]. Conversely, the mesopelagic microbiome seems to make crucial links in the food web between phototrophic primary production from the sunlit layer and dark ocean specialized consumers [10,14,15].

Among the studies conducted in mesopelagic zones, particular efforts have been made to explore regions characterized by extreme conditions, such as oxygen minimum zones (OMZs).

These zones are formed by relatively old, slowly upwelling waters, often lying below highly

productive surface zones [16], and are currently increasing in volume in the ocean [17]. OMZ

prokaryotic communities are well documented and taxa such as *Nitrospira*, *Marinimicrobia*,

and anammox bacteria from the phylum Planctomycetes have been reported as typical

taxonomic features for OMZ regions studied so far [18–23]. In contrast, knowledge about

viruses and eukaryotic diversity in OMZs is still rudimentary. A prevalence of specific

eukaryotic taxa such as Ciliophora, Dinoflagellata, MALV, and Acantharia has been reported,

together with a higher metabolic activity of these taxa [24–26]. Viruses may have a key role in

OMZ ecosystem feedback by modulating the local community (host-virus relationship) [27–

29].

The last decades have seen a significant increase in large-scale oceanic surveys [30–32].

Despite the advances reported in previous studies [10,33,34], most mesopelagic community

studies have been limited to geographically or ecologically fragmented regions, or to specific

taxonomic groups, mainly because of the inherent difficulties of accessing this zone on a global

scale [35]. Moreover, the combination of biotic and abiotic factors influencing community

structure, [36,37], has been poorly explored in the mesopelagic zone. Extending this

knowledge by including more comprehensive and homogeneous datasets from assorted

geographical and oceanographic systems should lead to a global understanding of this layer.

Understanding plankton community structure and dynamics is fundamental to anticipate the

impact of global warming and acidification in these regions.

Here, we present a trans-kingdom omics-based comparative study of epipelagic, oxic- and

OMZ-mesopelagic communities. To this end, we compiled *Tara* Oceans survey taxonomic

DNA barcodes data from four oceanographic basins using standardized sampling protocols.

In particular, we focused on mesopelagic environmental drivers, ecology, and taxa

associations networks in both oxic and OMZ.

Materials and Methods

Sample collection and pre-processing

The environmental and biological data were obtained during the *Tara* Oceans expedition (2009- 2012) in 32 oceanographic stations located in the Indian Ocean (IO - 037, 038, 039), Pacific Ocean (PO - 097, 098, 100, 102, 106, 109, 110, 111, 112, 122, 131, 132, 133, 135, 137, 138), South Atlantic Ocean (SAO - 068, 070, 072, 076, 078) and North Atlantic Ocean (NAO - 142, 143, 144, 145, 146, 148, 149, 152) comprising tropical and subtropical regions (Figure 1). Physico-chemical environmental data were obtained along a vertical profile at each station. Temperature, salinity, and oxygen were measured using a CTD-rosette system with a coupled dissolved oxygen sensor. Chlorophyll-*a* concentrations were measured using high-performance liquid chromatography. Nutrient concentrations were determined using segmented flow analysis. All these metadata are available at PANGAEA [38–43] (https://doi.pangaea.de/10.1594/PANGAEA.875582).

The vertical distribution of marine particles was investigated with an Underwater Vision Profiler (UVP, [44,45]) mounted on the CTD-Rosette. The UVP acquires images in a coherent water volume (1 L) delimited by a light sheet issued from red light-emitting diodes. Automatic identification of objects was made using Ecotaxa [46], based on a learning set of visually identified, manually classified objects and associated features. Images were classified to distinguish mesozooplankton from non-living objects and artifacts (e.g., detrital particles, fibers, and out-of-focus objects).

Water vertical profiles of temperature and salinity generated from the CTD were used to identify the water masses by plotting a temperature x salinity (T/S) diagram using the Ocean Data View V 5.0 (ODV) software package [47].

Three different water layers were sampled: surface (SRF, 3-7 m), deep chlorophyll maximum (DCM - depth identified according to the peak of chlorophyll-*a* fluorescence obtained *in situ*), and mesopelagic (ranging from 200-1000 m) [48]. The planktonic community was sampled by partitioning the pumped seawater by filtering each sampled depth with different filter sizes, the on-board sampling methodology is detailed by Pesant et al. [42].

Among the sampled mesopelagic zones, 13 of them were identified as deficient in oxygen and

classified as oxygen minimum zone (OMZ, stations IO - 037, 038, 039 / PO - 100, 102, 106,

109, 110, 111, 133, 135, 137, 138). The OMZ were categorized as suboxic: <10 µM O_2/kg

seawater and anoxic: (<0.003 µM/kg seawater or undetectable with most sensitive techniques,

e.g., STOX sensors) [Units of O_2 concentration: 1 mL.L^{-1}=1.43 mg. L^{-1} or 1 mL.L^{-1}=44.64 µM]

[25].

Our dataset comprises different organismal size-fractions from viruses (two dsDNA-virus

bacteriophage families *Podoviridae* and *Myoviridae* - hereafter named as phages and Nucleo-

Cytoplasmic Large DNA viruses - hereafter named as NCLDV) to pico-eukaryotes.

Phage libraries were constructed from seawater samples filtered at 0.22 µm, concentrated

using iron chloride flocculation, and treated with deoxyribonuclease (DNase). NCLDV *polB* and

prokaryotic 16S rRNA gene sequences were extracted from plankton metagenomes

sequenced from 0.22–1.6 or 0.22-3 µm filters, and the pico-eukaryote dataset was obtained

by V9-18S rRNA gene marker amplification from 0.8-3 or 0.8-5 µm filters. Details of sample

preparation and sequencing procedures are fully described in Alberti et al. [49].

Phage read counts was accessed through the search for the marker genes *gp23* (*Myoviridae*)

and *polA* (*Podoviridae*) in the protein collection GOV2.0 derived from metagenomic

sequencing described in Gregory et al. [6]. The NCLDV read counts profile was obtained from

the *polB* marker gene gathered from the OM-RGC.v2 catalog [9] as described in Endo et al.

[7]. The Prokaryotic read counts was assessed from a metagenomic dataset called 16S mitag,

as described in Sunagawa et al. [8], which does not rely on PCR amplification [50]. Sequences

matching "Eukaryota", "chloroplasts", and "mitochondria" were removed from the final

Prokaryotic OTU table. Clustering and annotation of pico-eukaryote V9-18S rRNA gene PCR-

amplicons are described in de Vargas et al. [51], and functional annotation of taxonomically

assigned V9-18S rRNA gene metabarcodes was improved afterwards; in this case, we

conserved in the final data only sequences assigned to the "Eukaryota" domain. More details

concerning the acquisition and pre-processing of the sequence data used in the present study

were compiled from earlier publications and are provided in Supplementary Methods.

Throughout the manuscript, we use the classical term "OTU" to denote taxonomic units,

although we did not employ the clustering technique historically associated with this acronym.

More comments about "OTU" usage are available in Supplementary Methods.

To simplify the biological information and obtain a concise epipelagic dataset (EPI), we merged

redundant SRF and DCM OTUs by summing their read counts for each taxonomic group and

preserved non-redundant OTUs. Putative biases produced by this merging procedure were

tested and discarded as shown in the Supplementary Methods; they did not affect the

conclusions.

Afterward, to deal with the compositional nature of the data, OTU count matrices (EPI and

MESO) were transformed using robust CLR (centered log ratio) after adding the value of one

as a pseudo count. Robust CLR transformation considers only values greater than 0 to

calculate the geometric mean and avoid biases due to sparse data [52].

Epipelagic and Mesopelagic Community and Environmental differences

We applied an NMDS analysis based on the Bray-Curtis dissimilarity matrix on CLR

transformed data. The 'metaNMDS' function from the vegan R package [53] was applied to

confirm community differences between epipelagic and mesopelagic layers. Homogeneity of

the sampled environmental parameters was checked using the 'betadisper' function

(homogeneity of multivariate dispersions in the vegan package). The analysis was conducted

using the Euclidean distance matrix of the environmental variables with the depths (epipelagic,

mesopelagic) as group factor. A permutation test statistically confirmed the results.

Ecological inferences and statistics

Ecological patterns were inferred using environmental variables to constrain the variation

observed in biological data (CLR transformed) for planktonic samples using Canonical

Correspondence Analysis (CCA) in the vegan R package. A set of physico-chemical variables

for the discrete depths were selected for the ecological inferences, such as nitrate (NO_3^-),

oxygen, temperature, salinity, density, and particles using particle flux UVP data. In order to

avoid collinearity among factors, the selected variables were checked for variance inflation

factor using the vif.cca function and tested for significance by ANOVA-like tests performed by

'anova' implemented in vegan with 999 permutations. The significance of the effect of each

variable was tested individually using all others parameters as covariables (independently from

their order in the model) by applying the option 'margin' to the 'anova' function in vegan.

Permutational multivariate analysis of variance (PERMANOVA) was performed with the

function 'adonis' in vegan to determine the relationship between mesopelagic community

composition and predefined water masses based on 999 permutations.

Classification of organisms Eco-region

In order to detect organisms specific to epipelagic (EPI), oxic mesopelagic (Oxic MES), and

OMZ eco-regions, only sampling sites containing both epipelagic and mesopelagic information

were considered: in total, 25 stations for NCLDV, prokaryotes, and pico-eukaryotes, and 13

for phages. We ran a Kruskal-Wallis test ('kruskal.test' from stats R package [54]) to detect

differential OTU abundances between eco-regions, followed by a Benjamini & Hochberg

correction to avoid false discovery rate (FDR - p.value.bh). Organisms with a p-value <0.05,

indicating a difference within groups, were subject to a post-hoc Dunn test ('dunn.test' from

dunn.test R package [55]) to identify preferential eco-regions for each OTU. From these

results, OTUs non-significant Kruskal-Wallis tests (p.value.bh >0.05) were assigned to the

"ubiquitous" group. In contrast, those with significant p-values.bh were classified as EPI, Oxic

MES, or OMZ if only the corresponding eco-region was elected according to the Dunn test.

Organisms with no significant differences between Oxic MES and OMZ were assigned to Core

MES.

Co-occurrence network inference

For investigation of ecological associations between organisms across eco-regions, a co-occurrence network was inferred. In this analysis, phage samples were not included due to the lower number of stations sampled. Therefore, samples for NCLDV, prokaryotes and pico-eukaryotes from stations 038, 039, 068, 070, 072, 076, 078, 098, 100, 102, 109, 110, 111, 112, 122, 132, 133, 137, 138, 142, 145, 146, 148, 149 and 152 were retained. OTUs with a relative abundance lower than 10^{-4} and counting fewer than 5 observations were discarded. Network inferences were performed on CLR transformed data using FlashWeave version 0.18 implemented in Julia version 1.2 [56], using the sensitive and heterogeneous mode. FlashWeave assumes features to be multivariate Gaussian distributed in CLR-transformed space.

We analyzed this global co-occurrence network by delineating communities (or modules) using the Clauset-Newman-Moore algorithm [57]. These modules are subsets of OTUs, obtained by maximizing the co-occurrences within modules and minimizing connections between them. Next, we investigated modules enriched in OTUs from specific eco-regions using Fisher's exact test using the "fisher.test" function from the stats R package, followed by the Benjamini & Hochberg correction to control the FDR due to multiple testing.

Results and Discussion

Leveraging the resources produced by the *Tara* Oceans project, we deciphered differences between epipelagic and mesopelagic beta-diversity stratification, with a particular emphasis on the role of environmental variables such as temperature, oxygen, salinity, NO_3^-, chlorophyll-*a*, and particle flux (see Methods). Here, we combined the diversity information obtained for surface and DCM samples to consider a single epipelagic group. We observed a clear distinction between the mesopelagic and epipelagic communities without loss of signal, as shown in previous studies [6–11], supporting our subsequent analyses reported here

(Supplementary Figure 1, Supplementary Methods Figures 1 and 2). Consequently, we first

investigated differences among physicochemical characteristics of the mesopelagic and

epipelagic sampling sites. We observed a high dissimilarity gradient among sites for both

layers (Supplementary Figure 2a, b). Mesopelagic samples were heterogeneously distributed,

with most of the points placed distant from the group centroid (located in the center of the cloud

of points identified for each group) (Supplementary Figure 2a). In contrast, epipelagic points

displayed a large variance due to the samples positioned apart from the main cluster

(Supplementary Figure 2a). These results underlie the heterogeneity of environmental

conditions encountered in both sampled layers, and this environmental variation may be an

important factor that can directly influence community composition.

Next, to quantify how much of the differences in the assemblages' variance can be explained

by environmental conditions, we employed canonical correspondence analysis (CCA) using

the environmental variables measured at discrete depths as constraint variables. The results

showed that abiotic factors explained 40.5% on average of community variance for both layers

(Figure 2). The phage assemblage was the exception, for which about 58% of the epipelagic

variation and 68% of the mesopelagic variation could be explained by the variables

investigated (Figure 2). Our analysis also demonstrated a clustering according to the different

oceanic basins studied for all the assemblages, confirmed by a PERMANOVA analysis (Figure

2, Supplementary TableS1). A basin-scale biogeographical structure was already shown for

virus, bacteria and protist in the epipelagic layer [58,59]. Here, we showed that this

structuration appears even more pronounced in the mesopelagic samples at global scale.

Furthermore, we assessed the variance of communities regarding each environmental

parameter as explanatory variables individually. In contrast with epipelagic communities

mainly structured by temperature, as observed elsewhere [6,8,11,12,60,61], temperature was

only a significant variable structuring the viruses (phage and NCLDV) in the mesopelagic layer.

However, oxygen, NO_3^- and particle flux appeared as common environmental drivers

governing at least three out of four mesopelagic assemblages (Table 1, complete analysis in Supplementary Material Table S2).

Previous studies have identified oxygen as one of the main drivers of the eukaryotic community structure in OMZ regions [25,26,62]. These studies mainly compared community composition along the oxygen gradient within the water column depth, from the surface downwards. However, depth stratification of plankton communities is evident even in regions with high oxygen concentrations, so distinct parameters co-varying with depth must be taken into account in addition to the oxygen gradients [63].

In addition to the physicochemical parameters, our results show that particle flux (derived from UVP measurements) was a significant variable structuring phage, NCLDV and pico-eukaryote mesopelagic assemblages (Table 1, complete analysis in Supplementary Material Table S2). These observations support previous reports about the high correlation of this environmental factor with phages, finding possible relevance for the carbon pump's functioning in epipelagic layers [14]. This observation may also reflect the association with virus (phages and NCLDV) inputs from overlaying water layers via sinking particles [64,65]. Furthermore, Bettarel et al. [66] suggested that marine aggregates can act as virus-factories, where these entities use the adsorbed bacteria to replicate and therefore be massively exported through the water column (one-way motion). The authors also showed that adsorbed bacteria can easily detach from aggregates (two-way motion), which can explain the lack of correlation between prokaryotes and particle flux observed here. On the other hand, pico-eukaryotes were also driven by particle flux. Durkin et al. [67], demonstrated that about 25% of epipelagic diversity can be detected on marine sinking particles and that the particle associated diversity is linked to the size and type of particle (fecal pellet loose or dense, aggregates and detritus).

In situ physico-chemical measurements have revealed the dynamics and fluctuating nature of the ocean, even over a short time scale [68]. The heterogeneity in mesopelagic layers given by deep currents, the impact of surface production, and the low mixing levels may favor a diversification in the mesopelagic community living in different water masses, leading to

species adaptation-acclimation. The *Tara* Oceans expedition route included samples from

common or distinct water masses defined by temperature/salinity profiles - T/S, comprising

regionally connected or unconnected stations. We identified nine different water masses in the

mesopelagic sampled locations (Figure 3). We could confirm significant differences among

mesopelagic communities sampled in these different water masses based on the

PERMANOVA test (Table 2). This result indicates that the oceanic patchiness created by

distinct water masses significantly shape community beta-diversity in the mesopelagic layer,

which would imply it to be a critical component for mesopelagic community variation for all the

assemblages studied (phages, NCLDV, prokaryotes, and pico-eukaryotes). Thus, we

hypothesize that this result may be explained by two non-exclusive causes related to water

masses: (i) past common origin among water masses that have drifted or (ii) constant

connectivity by ocean circulation between sampled sites belonging to the same water mass.

We addressed another lingering question, resolving planktonic community signatures of oxic

MES and OMZ regions from those observed in epipelagic layer. For this, we classified OTUs

into three eco-regions: 1) EPI, 2) Oxic MES, and 3) OMZ. OTUs were classified as Core MES

when commonly present in Oxic MES and OMZ samples. Taxa that were either equally

abundant in all three eco-regions or not statistically confirmed to a single eco-region were

classified as ubiquitous (Supplementary Figure 3, Supplementary Tables S3-S7). Using this

approach, we could identify ubiquitous taxa that are likely to thrive in a wide range of

environmental conditions, or that may be detected in mesopelagic samples due to the simple

vertical movement of sinking particles. This classification should help avoid putative biases

inherent to the metabarcoding methodology.

More specifically, we were able to identify Oxic MES and OMZ signatures mainly at the infra-

taxonomic level (OTU-species) for all biotic groups investigated (Figure 4, Supplementary

Figures 3-7, Supplementary Tables S3-S7). This reflected the wide ecological niche occupied

by the different species at a higher taxonomic level (i.e. family). At the species level, we

observed large taxonomic plasticity of OTUs that occurred equally in both Oxic MES and OMZ

samples, called Core MES. However, most OTUs are not yet classified at the infra-taxonomic level (Supplementary Tables S3-S7). This observation reflects the knowledge gap about the biodiversity and functional plasticity of species thriving in this ecosystem.

The great majority of phage taxa (93.51%) occurred at similar abundance in all eco-regions (ubiquitous). Surprisingly, we did not identify any OTUs assigned specifically to an EPI eco-region, meaning that almost all taxa observed in the epipelagic layer were also present in the mesopelagic layer (Supplementary Figures 3, 4). This observation supports the seed-bank hypothesis raised by Brum et al. [60], and the correlation to the sinking particles observed here. On the other hand, we detected taxa specific to the mesopelagic layer, mostly related to the OMZ eco-region (Figure 4a, Supplementary Figures 3-4). This mesopelagic specificity agrees with the sharp increase in marine phage micro-diversity following depth, as previously shown by Gregory et al. [6]. Our results emphasize that one cause for phage stratification in the water column might be the adaptation to the mesopelagic environment. Two hypotheses arise here, 1) the environment acts as a strong driver, directly selecting phages independently of their hosts, and 2) there is higher phage-host specificity in the mesopelagic layer, promoting phage selection. Following the first hypothesis, we can posit that the environment can directly impact phage assemblage composition. The direct contact with the environment of free phage entities (released from their hosts) may reduce infectivity, degrade, or remove virus particles, and adversely affect adsorption to the host [69]. This direct environmental effect over marine phages was reported for different ionic gradients [70], daylight conditions, and temperature [71]. However, the enrichment of prokaryotic OTUs specific to mesopelagic regions (Figure 4, Supplementary Figures 3, 6), especially in OMZs, does not exclude the phage-host indirect selection relationship.

We found 136 mesopelagic-specific NCLDV OTUs out of 5538 in both oxic and OMZ eco-regions. Even though it represents a small number, these OTUs were highly abundant (Figure 4b, Supplementary Figures 3, 5). Most of the mesopelagic-specific NCLDV OTUs corresponded to the Core MES group (OMZ = 18 OTUs, Oxic MES = 31 OTUs, Core MES =

87 OTUs- Supplementary Material Table S3). NCLDV can encode genes such as transporters

for ammonium, magnesium, and phosphate that are important in marine oligotrophic areas

[72]. This characteristic can improve the host's fitness in the short-term and ultimately favor

NCLDV fecundity and endurance. This property is named NCLDV-mediated host

reprogramming [72]. Our results therefore indicate that these entities are less diverse in

mesopelagic waters and may successfully infect a wide range of hosts adapted to different

oxygen concentrations.

Among the planktonic microorganisms, prokaryotes have been, so far, the most investigated

group in OMZ regions, especially in the Pacific Ocean [20,21]. We could better distinguish the

prokaryotic mesopelagic signatures between Oxic MES and OMZ, confirming the influence of

oxygen reported here and in previous studies [20–22] (Figure 4c, Supplementary Figures 3,

6). We observed similar occurrences and abundances for the OMZ signature taxa in the Indian

Ocean stations (IO - 037, 038, 039) and in stations PO - 100, 137, and 138 from the Pacific

Ocean (Figure 4c). These Pacific stations are located in the open ocean (PO - 137 and 138

located in the Equatorial upwelling zone and station PO - 100 in the South Pacific Subtropical

Gyre). They present a strong upwelling signature, disclosing an intense decrease in oxygen

concentration almost reaching shallow waters. Likewise, the sampling stations in the Indian

Ocean are located in well-stratified waters, markedly characterized by the abrupt decrease of

oxygen concentration below the thermohaline at 100-120m depth, especially for stations 038

and 039. At the mesopelagic layer of the Indian Ocean stations, the oxygen concentration

ranges from 0.83 to 3 µmol/kg, characterizing functionally anoxic waters since aerobic

metabolisms cannot be sustained at this oxygen level [73]. The other OMZ stations in the

Pacific Ocean (PO - 102, 109, 110, 111) are located in coastal areas. Although they are also

under the influence of upwellings, with low oxygen content, the oxygen level does not

correspond to anoxic conditions, so they are classified as suboxic waters. This microoxic

condition of this environment is sufficient to completely alter the microbial metabolism

delineating the community composition in these sites. In addition, differences in the formation

of offshore and coastal upwelling, for instance, or the influence of river runoffs, transporting

anthropogenic nutrient enrichment from the continent to coastal areas [73], could be crucial in

supporting the differences we observed in OMZ communities.

The same clear enrichment in both OMZ anoxic and suboxic samples was observed for the

pico-eukaryotic groups Diplonemida, MALV-II, and Dinophyceae, suggesting these OTUs as

the true OMZ eukaryotic signatures (Figure 4d). Some OTUs of these groups exhibited similar

occurrences in the anoxic Indian and Pacific Oceans but not in suboxic samples from the

Pacific Ocean. However, we observed a lower number of pico-eukaryotic taxa in the OMZ eco-

region, the prevailing OTUs being specific to Oxic MES locations in most cases.

Another step to better understand mesopelagic community dynamics is to dissect the

ecological relationships among species that thrive in this layer. Co-occurrence networks can

indicate how the environment may structure the community acting as a filter for resident

species [74]. They can also give us glimpses of organisms' potential ecological interactions

based on species connectivity [74,75]. Combining the NCLDV, prokaryote, and pico-eukaryote

data, we inferred a network containing 6,154 nodes and 12,935 edges (Figure 5a, Table 3).

Due to the lower number of stations sampled for phages, we excluded this group from the

analysis. We found mainly positive relationships (94%), suggesting a predominance of putative

biotic interactions (e.g. competition, symbiosis) rather than taxa avoidance or exclusion. This

dominance of positive relations was also reported for epipelagic plankton communities [36,76].

The global network had a modularity value greater than 0.4 (Table 3), indicating that the

network has a modular structure [73]. Applying a community detection algorithm [57] on this

global graph, we were able to delineate 36 distinct modules (or subnetworks), presumably

corresponding to ecological communities. Three of them were mainly composed of OTUs

significantly enriched in mesopelagic OTUs (Oxic MES enriched module 1, p-value.bh = 1.29e^{-153}

and OMZ enriched modules 4, p-value.bh = 1.90e^{-51}, and 17, p-value.bh = 2.1e^{-13}; Figure

5). Together, these three modules covered almost the total richness found in the mesopelagic

zone (Figure 5b), and presented similar values for the average degree, clustering coefficient,

and average path length (Table 3). These parameters indicate a network complexity [74],

hinting at distinct ecological niches within the mesopelagic layer.

More precisely, the OMZ modules were composed of a few connected nodes (323 and 175

nodes for modules 4 and 17, respectively - Table 3), potentially indicating two distinct OMZ

community niches. The Oxic MES module 1 counted more nodes composing the network

associations (731 nodes), and all modules presented a variation in taxonomic composition and

proportions. OMZ module 4 (OMZ-4) contained mainly prokaryotic (23%) and NCLDV (55%)

OTUs (Figure 5c, d). Among the prokaryotes, we detected taxa previously determined as

representing OMZ signatures (Nitrospinae, Marinimicrobia SAR 406, and Planctomycetes). As

this module contains both prokaryotes and NCLDV OTUs, it suggests the existence of a

confounding factor not captured in the dataset (such as large eukaryotes), as NCLDV are

known to be specific to eukaryotes. Nevertheless, this correlation has been observed

independently in another study [12]. On the other hand, module 17 (OMZ-17) is mainly

composed of pico-eukaryotes OTUs (83%) (notably MALV-II (14%) and Diplonemida (17%)

previously indicated as OMZ signatures) and NCLDV (16%) as expected due to virus-host

relationships. Module 1 is taxonomically more diverse but consisted mainly of NCLDV and

pico-eukaryotes. These groups accounted for 36% and 55%, respectively, of OTUs in this

module (Figure 5c, d). NCLDV contributed to 598 associations (edges) in mesopelagic module

1, of which 177 occurred between NCLDV and pico-eukaryotes. NCLDV from the *Mimiviridae*

family are the most numerous taxa in all three mesopelagic modules. *Mimiviridae* is a very

abundant family in the ocean, present in various size ranges from piconanoplankton (0.8-5

µm) up to mesoplankton (180- 2,000 µm) [9,75]. This observation supports our finding that

NCLDV are a prosperous group in mesopelagic waters, undertaking different strategies to

endure in such environmental conditions. In all three modules, we observed the presence of

Foraminifera, of which some species can use nitrate over oxygen as an electron acceptor,

favoring their survival in OMZ regions [77].

Our converging results suggest that the mesopelagic zone can be characterized by at least

three well-defined ecological niches (Oxic MES, OMZ-4 and OMZ-17), with established

conditions and resources (abiotic and biotic) that allow the survival of a specific communities

in these environments. Observed differences between OMZ and Oxic MES networks suggest

a potential loss of connections and interactions among mesopelagic community members,

directly affecting ecosystem stability due to habitat change.

Conclusions

In this study, we explored mesopelagic pico-plankton ecological structuring and concluded that

this component of oceanic plankton is heterogeneous with respect to environmental conditions.

We could pinpoint the relevance of oxygen for all assemblages and the relation of particle flux

with phages, NCLDV and pico-eukaryotes. These results reinforce the need to better

understand the mesopelagic ecosystem in order to improve our comprehension of carbon

export through the biological carbon pump in the twilight zone. Also, we show that

intermediated water masses defined by their T/S profiles can explain the differences in the

observed mesopelagic pico-plankton structure, pointing to the role of a set of environmental

parameters for community composition.

By establishing eco-regions (Epipelagic, Oxic MES, and OMZ), we were able to discriminate

specific mesopelagic signatures OTUs across all Life's domains. While we recovered known

markers for Oxic MES and OMZ regions at high taxonomic levels, we also found that most of

these OTU signatures are observed at low taxonomic levels, which sometimes cannot be

resolved using existing databases. Combining these OTU profiles within co-occurrence

networks, we proposed three niches with biotic and abiotic conditions that appear to

characterize mesopelagic ecosystems.

Limited access to data is usually the bottleneck for knowledge of mesopelagic dynamics. Our

study benefits from a larger number of organism samples and distinct oceanic provinces. This

allowed us to integrate these data and thus obtain an expanded vision of mesopelagic community structure and dynamics. Our results emphasize the need for a better understanding of mesopelagic life, particularly by improving our knowledge of oxic and oxygen-low mesopelagic-dwelling communities. This effort is especially necessary as climate change can be expected to expand marine OMZs in the future.

Acknowledgments

This study is part of the "Ocean Plankton, Climate and Development" project conducted by the Tara Ocean Foundation with the support of the French Facility for the Global Environment (FFEM). Rigonato J., Budinich M., Murillo A.A., Brandão M.C., Pierella Karlusich J.J. and Soviadan Y.D. received financial support from FFEM to execute the project. Brandão, M.C. also received financial support from Coordination for the Improvement of Higher Education Personnel of Brazil (CAPES 99999.000487/2016-03). Budinich M. and Chaffron S. received financial support from the H2020 European Commission project AtlantECO (award number 862923). Tara Oceans (which includes both the Tara Oceans and Tara Oceans Polar Circle expeditions) would not exist without the leadership of the *Tara* Ocean Foundation and the continuous support of 23 institutes (https://fondationtaraocean.org). We further thank the commitment of the following sponsors: CNRS (in particular Groupement de Recherche GDR3280 and the Research Federation for the study of Global Ocean Systems Ecology and Evolution, FR2022/Tara Oceans-GOSEE), European Molecular Biology Laboratory (EMBL), Genoscope/CEA, The French Ministry of Research, and the French Government 'Investissements d'Avenir' programs OCEANOMICS (ANR- 11-BTBR-0008), FRANCE GENOMIQUE (ANR-10-INBS-09-08), MEMO LIFE (ANR-10-LABX-54), CLIMACLOCK (ANR-20-CE20-0024) and PSL* Research University (ANR-11-IDEX-0001-02). This study benefits from the European Union's Horizon 2020 Blue Growth research and innovation programme under grant agreement number 862923 (project AtlantECO). We also thank the support and commitment of agnès b. and Etienne Bourgois, the Prince Albert II de Monaco Foundation, the

Veolia Foundation, Region Bretagne, Lorient Agglomeration, Serge Ferrari, World Courier.

The global sampling effort was enabled by countless scientists and crew who sampled aboard

the schooner *Tara* from 2009-2013, and we thank MERCATOR-CORIOLIS and ACRIST for

providing daily satellite data during the expeditions. We are also grateful to the countries who

graciously granted sampling permission. We want to thank to the reviewers and Editor for all

the critics and suggestions that certainly improved the quality of the manuscript. We also

acknowledge Noan Le Bescot (Ternog Design) for assistance in preparing figures. The authors

declare that all data reported herein are fully and freely available from the date of publication,

with no restrictions, and that all of the analyses, publications, and ownership of data are free

from legal entanglement or restriction by the various nations whose waters the Tara Oceans

expeditions sampled in. This article is contribution number 145 of Tara Oceans.

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Conflict of Interest

529 The authors declare that they have no conflict of interest.

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Figure legends

Figure 1: Geographical locations of *Tara* Oceans epipelagic and mesopelagic sampling sites

included in this study. Symbol colors represent organism groups evaluated in the present

study.

Figure 2: Ordination plot of canonical correspondence analysis (CCA) from epipelagic (left)

and mesopelagic (right) communities based on OTU composition. Percentages in parentheses

are the amount of variation constrained - in titles represent the total in each analysis, and in

the axis represent the correspondent value for each dimension. Arrows represent

environmental quantitative explanatory variables with arrowheads indicating their direction of

increase. Shapes represent sampling sites. Shape formats represent eco-regions, epi:

epipelagic, Oxic MES: oxic mesopelagic, OMZ: oxygen minimum zone mesopelagic. IO: Indian

Ocean, NAO: North Atlantic Ocean, NPO: North Pacific Ocean, SAO: South Atlantic Ocean,

SPO: South Pacific Ocean.

Figure 3: Temperature and salinity plot indicating water mass designation for all mesopelagic

samples. Formats represent the different oceanic basins (■ - North Atlantic Ocean, ● - South

Atlantic Ocean, ▲ - Pacific Ocean, ★ - Indian Ocean). Colors indicate the oxygen concentration

at the sampling depth. LSW - Labrador Sea Water; AAIW - Antarctic Intermediate Water;

tNPIW transitional North Pacific Intermediate Water; SAMW - Subantarctic Mode Water;

SPSTMW - South Pacific Subtropical Mode Water; modAAIW - modified Antarctic Intermediate

Water; PGW - Persian Gulf Water mass; RSW - Red Sea Water mass; NASTMW - North

Atlantic Subtropical Mode Water.

Figure 4: Heatmaps occurrence of OTUs assigned to mesopelagic eco-regions. A) Phages,

B) NCLDV, C) Prokaryotes and D) pico-Eukaryotes.

Figure 5: Co-occurrence network in epipelagic and mesopelagic communities. A) Global

network, with connected modules for OMZ (purple and orange) and MES (green) highlighted.

B) Relative taxa abundance in each module in each station and depth. C) Relative number of

OTUs classified in taxonomic groups. D) Network representation of modules.

Supplementary Figure S1: Non-metric multidimensional scaling (NMDS) showing epipelagic

and mesopelagic community stratification for each organism group.

Supplementary Figure S2: Epipelagic and mesopelagic group dispersion based on physical-

chemical oceanic properties (Euclidian method). A) First two axes of PCoA. B) Dispersion of

distances from samples to centroids.

Supplementary Figure S3: Occurrence of OTUs classified into different eco-regions by ocean

layers.

Supplementary Figure S4: Normalized CLR abundance of phages and their preferred eco-

region.

Supplementary Figure S5: Normalized CLR abundance of NCLDV and their preferred eco-

region.

Supplementary Figure S6: Normalized CLR abundance of prokaryotes and their preferred

eco-region.

Supplementary Figure S7: Normalized CLR abundance of pico-eukaryotes and their

preferred eco-region.

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure S1

Figure S2

Figure S3

Figure S4

Figure S5

Figure S6

Figure S7

Table 1. ANOVA p-value of the variance explained by environmental variables for global model including all tested environmental variables (Global) and for each explanatory variable individually with all the others used as covariables (independently from their order in the model)

Assemblages	Depth	Global	Temp °C	Salinity	O_2 [μmol/Kg]	NO_3^- [μmol/L]	Chl-a [μmol/m³]	Particle flux
Phages	Epi	0.001	0.048	0.132	0.126	0.001	0.308	0.013
Phages	MES	0.001	0.006	0.151	0.001	0.230	0.306	0.001
NCDLV	Epi	0.001	0.001	0.033	0.036	0.083	0.019	0.197
NCDLV	MES	0.002	0.043	0.186	0.005	0.031	0.001	0.048
Prokaryotes	Epi	0.035	0.062	0.088	0.303	0.152	0.106	0.606
Prokaryotes	MES	0.006	0.410	0.590	0.004	0.039	0.575	0.418
pico-Eukaryotes	Epi	0.025	0.108	0.576	0.373	0.065	0.173	0.807
pico-Eukaryotes	MES	0.001	0.168	0.304	0.033	0.001	0.016	0.009

929 Table 2. Proportion of the assemblages variation explained by water masses using the
930 Permutation multivariate analysis of variance (PERMANOVA)
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Assemblage	DF	Sum of Squares	Mean Squares	F	R^2	Pr
Phages	4	1513.1	378.27	1.36	0.37	0.1
NCDLV	8	3136.6	392.08	1.72	0.45	0.01
Prokaryotes	8	13584	1698.02	2.37	0.53	0.001
pico-Eukaryotes	8	71998	8999.8	1.54	0.35	0.001

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935
936 Table 3. Network topological features derived from global analysis including NCDLV,
937 prokaryotes and pico-Eukaryotes samples in epipelagic and mesopelagic depths

Parameter	Global	Mod 1	Mod 4	Mod 17
Nodes	6154	731	323	175
Positive edges	12193	1236	480	223
Negative edges	742	70	49	9
Av. Degree	4.20	3.57	3.28	2.65
Clustering	0.03	0.03	0.09	0.05
Density	0.00	0.00	0.01	0.02
Average path length	7.28	6.01	6.27	6.30
Betweenness	0.01	0.05	0.10	0.22
Degree Centralization	0.00	0.01	0.02	0.04
Modularity	0.47	0.60	0.67	0.66

Supplementary Material and Methods

The present document details information about the *Tara* Oceans data availability and the pre-processing used for the analyses reported in Rigonato et al. 2021 "**Ocean-wide comparisons of mesopelagic planktonic community structures**". Note that here we standardize the term "OTU" as "operational taxonomic unit" *sensu latu*, independent of clustering technique since taxonomy for the different assemblages was obtained using different methodologies. Also, it should be noted that keeping the original OTU definition datasets allowed direct comparison with previous work within the TARA Consortium.

Data Processing

Phage populations

Viral-phage contigs were assembled and identified from the 0.22 µm-filtered seawater viromes from the *Tara* Oceans expedition 2009-2013 and Malaspina 2010 global circumnavigation expedition using the methods described in (Gregory et al. *2019*). Prodigal 2.6.1 (Hyatt et al. 2010) was used to call ORFs (genes and proteins) using the 'meta' setting. The resulting proteins were clustered based on blast-defined sequence similarities and granularity of '2' with MCL (Enright et al. 2002). The resulting genes were searched for four different primer sets for *gp23* (Table S2), the major capsid protein found in T4-related members of *Myoviridae*, and 10 different primer sets for *polA* (Table S2), the DNA polymerase found in *Podoviridae* (Adriaenssens et al. 2014). Because the genes are not always complete in metagenomic datasets, exact primer hits (100% nucleotide identity) of only the forward or the reverse primer were considered hits. Genes that hit these primers were translated into proteins and the MCL-defined protein clusters (PCs) that contained >=1 hit were extracted. PCs were annotated by running a combination of the reciprocal best blast hit analyses against the KEGG database (Kanehisa 2002) and blast against the UniProt Reference Clusters database (Suzek et al. 2007), searching for matches against the InterPro protein signature database using InterProScan (Zdobnov et al. 2001), and run hmmsearch against Pfam entries (Bateman et al. 2004) and then manually curated for *gp23* and *polA* genes, respectively. The *gp23* and *polA* proteins were matched to their gene sequences and dereplicated at 95% nucleotide identity

across 100% the length into clusters using CD-HIT-EST (Li and Godzik 2006). The abundances of the *gp23* and *polA* clusters were calculated by pooling the abundances of the viral contigs containing the *gp23* or *polA* genes, respectively, per station.

In total, 848,507 viral contigs were identified. Viral contigs were grouped into populations if they shared ≥ 95% nucleotide identity across ≥ 80% of the genome (sensu) (Brum et al., 2015) using nucmer (Kurtz et al., 2004). With this, 488,130 total viral populations, here called OTUs, were obtained by the authors. For the present work, we used only *pol*B and *gp23* populations (9,048 OTUs).

Table S2. The *gp23* and *polA* primer sets used to search viral gene sequences

Signature Gene	Primers (5'-3')
gp23	MZIA1: TGT TATIGGTATGGTICGICGTGCTAT CAP8: TGAAGTTACCTTCACCACGACCGG MZIA1bis: GATATTTGIGGIGTTCAGCCIATGA MZIA6: CGCGGTTGATTTCCAGCATGATTTC T4superF1: [TET]GAYHTIKSIGGIGTICARCCIATG T4superR1: [6FAM]GCIYKIARRTCYTGIGCIARYTC G23-For: ACWGGWCTKATYTTCGCAATG G23-Rev: AYTTYTCAACWGACCADCKACC
polA	T7DPol230F: ARGARMRIAAYGGIT T7DPol510R: GTRTGDATRTCICC HECTORPol19F: GCAAGCAACTTTACTGTGG HECTORPol711R: CGAGAGATACACCAACGAA HECTORPol563F: CTTCTCAGTTTTCTGTT HECTORPol800R: GCAAGCAACTTTACTGT PARISPol25F: ATACTACACGCTACTCTGG PARISPol701R: GAGTGGCAAGAGGAGTTAT PARISPol480F: AAGTTGTGCTTCTGGTA PARISPol786R: ATACTACACGCTACTCT Podo-F: GACACHCTYRTVHTGTCWMGWYTG Podo-R2: MCKACCRTCYARDCCYTTMAK CP-DNAP-349F: CCAAAYCTYGCMCARGT CP-DNAP-533Ra: CTCGTCRTGSACRAASGC CP-DNAP-533Rb: CTCGTCRTGDATRAASGC DPOL-341Fd: CCNAAYYTNGSNCARGTNCC DPOL-534Rd: TGNWRYTCRTCRTGNAYRAA DPOL-349Fd: CCNAAYYTNGSNCARGT DPOL533Rd: TCRTCRTGNAYRAANGC

Nucleo-Cytoplasmic Large DNA Virus - NCLDV

NCLDV sequence data was obtained from Endo et al., 2020. First, to assess the community composition of NCLDVs, *polB* was used as a marker gene for NCLDVs. 29,315 PolB sequences were obtained from the OM-RGC.v2 by using an in-house profile hidden Markov model of NCLDV *polB* sequences using the software HMMER, hmmsearch (version 3.1) with a threshold e-value of $< 1 \times 10^{-5}$.

To filter NCLDV derived sequences from those of other domains of life a phylogenetic tree was built based on 211 PolB reference protein sequences (eukaryotes, bacteria, archaea, phages, and NCLDVs). This tree included sequences from eight proposed families of NCLDVs: *Mimiviridae* (synonymous with *Megaviridae*), *Phycodnaviridae*, *Pithoviridae*, *Marseilleviridae*, *Ascoviridae*, *Iridoviridae*, *Asfarviridae*, and *Poxviridae*, and a sequence from *Medusavirus*, a novel NCLDV clade. The MAFFT-linsi (Kato and Standley 2013) was used for alignment and RAxML for maximum likelihood tree inference (Stamatakis 2006). NCLDV sequences derived from OM-RGC.v2 were aligned against the reference alignment using the MAFFT 'addfragments' option and then placed in the tree using the pplacer software (Matsen et al. 2010).

Next, NCLDV genes from the OM-RGC.v2 were used to obtain abundance profiles. Only samples from the pico- (0.22–1.6 μm or 0.22–3.0 μm) and femto- (<0.22 μm) size fractions were included. The sum of length-normalized *pol*B abundances ranged from 5.3 to 22,847.5 across samples, so the samples for which the sum of length-normalized *pol*B abundance was less than 50 (set as a proxy for low NCLDV frequency) were removed from the analysis. The abundance matrix was then standardized by the sample with the lowest sum of length-normalized *polB* abundance values.

16S rRNA gene mitags

The 16S rRNA gene dataset used in this manuscript was derived from environmental metagenomes following the approach of Logares et al. 2014, named "mitags", and thoroughly described in Sunagawa et al. 2015 and Salazar et al. 2019. This approach is considered a powerful alternative to 16S rRNA gene amplicons as it overcomes PCR biases related to amplification and primer mismatch (Logares et al., 2014).

Briefly, 16S rRNA gene reference sequences from the SILVA database (Quast et al., 2013) were clustered at 97% sequence identity to balance the unequal taxa representation and define OTUs at the genus level. Then, the recruited mitags were mapped to cluster centroids of taxonomically annotated SILVA 16S reference sequences using USEARCH v9.2.64 (Edgar, 2010). Only the mitags mapping to a unique reference sequence were used to compute abundances at the OTU level. Mitags that mapped to more than one reference (OTU) were processed at a higher taxonomic level (domain, phylum, class, order, family or genus) common to all mapped OTUs. The abundance OTU table was generated by counting the number of mitags assigned to each taxon in each sample and the number of unassigned mitags. In total, 23,986 mitags were identified.

18S rRNA gene Metabarcoding

For 18S rRNA gene OTUs, conventional PCR marker amplification was used to investigate the eukaryotic diversity in Tara Ocean samples from de Vargas et al. 2015 and Ibarbalz et al. 2019. To obtain these OTUs, the authors applied a fast clustering method called *swarm* (Mahé et al., 2015), which can deliver high-resolution clusters, down to single-nucleotide differences with some additional post-processing, satisfying the ASV definition of Callahan et al. 2017. The *swarm* approach is free of arbitrary global clustering thresholds and input-order dependency that are the two main fundamental problems observed in traditional methods for clustering OTUs (Mahé et al., 2015).

The number of sequencing reads for each OTU was used as a proxy for abundance. OTUs were annotated using an in-house version of the PR2 database (Guillou et al. 2012, del Campo et al. 2018). In total, 474,303 OTUs were obtained.

A detailed explanation of read processing is available at http://taraoceans.sb-roscoff.fr/EukDiv/.

Epipelagic Data Merging

Given the focus of the present study, we reclassified the samples as "Epipelagic" (SRF + DCM) and "Mesopelagic" (MES).

Here, we present results that support this decision, showing that SRF and DCM deviations are minor compared to MES samples. To combine both SRF and DCM samples,

reads from the same OTUs at the same station were summed and then normalized by the total number of reads.

Figure 1 shows that the beta-diversity of the merged samples (EPI mod) do not differ from those obtained initially (*orig* SRF and DCM separately). The similarity between EPI and SRF or DCM was statistically confirmed by performing the ANOVA-like hypothesis test ANOSIM (Table S3; Clarke 1993). ANOSIM ranks the dissimilarity matrix to values between 0 and 1 (R values close to 0 suggest an even distribution and R values close to 1 suggest dissimilarity between groups) to compare the mean of ranked dissimilarities between groups to the mean of ranked dissimilarities within groups.

Figure 2 shows the dispersion of measures of the environmental samples recovered in situ during the biological sampling. We can observe that SFR and DCM are not statistically different.

Figure 1. NMDS plots using the original datasets (*orig*, containing SRF, DCM, and MES layers) and the modified (*mod*, containing EPI and MES) datasets. MES samples are equal in

both *mod* and *orig* datasets. Stress: 0.17 (virus), 0.14 (girus), 0.086 (Prokaryotes), 0.17

(Eukaryotes).

Figure 2. Environmental Parameters of Samples. An outlier in the particle flux (~3428) was removed from the plot. Y-axis is in original parameter units from PANGEA (https://doi.pangaea.de/10.1594/PANGAEA.875582).

Table S3 ANOSIM statistics supporting the SRF and DCM data merging into EPI

Assemblage	Depth1	Depth2	R	adj.p.val
Phages	EPI	MES	0,901	0,001
Phages	EPI	DCM	0,024	0,257
Phages	EPI	SRF	0,075	0,084
Phages	MES	DCM	0,883	0,001
Phages	MES	SRF	0,877	0,001
Phages	DCM	SRF	0,209	0,004
NCLDV	EPI	MES	0,772	0,001
NCLDV	EPI	DCM	0,045	0,118
NCLDV	EPI	SRF	0,028	0,136
NCLDV	MES	DCM	0,716	0,001
NCLDV	MES	SRF	0,815	0,001
NCLDV	DCM	SRF	0,096	0,020
Prokaryotes	EPI	MES	0,933	0,001
Prokaryotes	EPI	DCM	0,087	0,025
Prokaryotes	EPI	SRF	0,127	0,006
Prokaryotes	MES	DCM	0,855	0,001
Prokaryotes	MES	SRF	0,953	0,001
Prokaryotes	DCM	SRF	0,172	0,001
pico-Eukaryotes	EPI	MES	0,731	0,001
pico-Eukaryotes	EPI	DCM	0,140	0,002
pico-Eukaryotes	EPI	SRF	0,038	0,038
pico-Eukaryotes	MES	DCM	0,525	0,001
pico-Eukaryotes	MES	SRF	0,773	0,001
pico-Eukaryotes	DCM	SRF	0,179	0,001

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