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## Increased Resistance of SARS-CoV-2 Variant P.1 to Antibody Neutralization

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32 **The relative resistance of SARS-CoV-2 variants B.1.1.7 and B.1.351 to antibody**  
33 **neutralization has been described recently. We now report that another emergent**  
34 **variant from Brazil, P.1, is not only refractory to multiple neutralizing monoclonal**  
35 **antibodies, but also more resistant to neutralization by convalescent plasma (6.5**  
36 **fold) and vaccinee sera (2.2-2.8 fold). The P.1 variant threatens current antibody**  
37 **therapies but less so the protective efficacy of our vaccines.**

38

39 SARS-CoV-2 P.1, emerging from the B.1.1.28 lineage, has become a dominant variant  
40 in Brazil<sup>1,2</sup>. P.1 contains 10 spike mutations<sup>2</sup> in addition to D614G, including K417T,  
41 E484K, and N501Y in the receptor-binding domain (RBD), L18F, T20N, P26S, D138Y  
42 and R190S in the N-terminal domain (NTD), and H655Y near the furin cleavage site  
43 (Supplementary Fig. 1). This new variant could threaten the efficacy of current  
44 monoclonal antibody (mAb) therapies or vaccines, because it shares mutations at the  
45 same three RBD residues with B.1.351, a variant that first emerged from South Africa.  
46 We and others<sup>3-5</sup> have shown that B.1.351 is more resistant to neutralization by some  
47 mAbs, convalescent plasma, and vaccinee sera, largely due to a E484K mutation that  
48 also exists in P.1. We therefore created, as previously described<sup>3,6,7</sup>, a VSV-based  
49 SARS-CoV-2 pseudovirus with all 10 mutations of the P.1 variant (BZΔ10) and assessed  
50 its susceptibility to neutralization by 18 neutralizing mAbs, 20 convalescent plasma, and  
51 22 vaccinee sera as previously reported<sup>3</sup>.

52

53 We first assayed the neutralizing activity of four mAbs with emergency use authorization  
54 (EUA), including REGN10987 (imdevimab), REGN10933 (casirivimab)<sup>8</sup>, LY-CoV555

55 (bamlanivimab)<sup>9,10</sup>, and CB6 (etesevimab)<sup>10,11</sup>. As shown in Fig. 1a (left panel) and  
56 Supplementary Fig. 2a, the neutralizing activities of three of the mAbs with EUA were  
57 markedly or completely abolished against BZΔ10. The only mAb with EUA retaining its  
58 activity was REGN10987. We next tested the neutralizing activity of eight additional RBD  
59 mAbs, including ones from our own collection (2-15, 2-7, 1-57, & 2-36)<sup>6</sup> as well as S309<sup>12</sup>,  
60 COV2-2196 & COV2-2130<sup>13</sup>, and C121<sup>14</sup>. The neutralizing activities of the two potent  
61 mAbs targeting the receptor-binding motif, 2-15 and C121, were completely lost against  
62 BZΔ10 (Fig. 1a, middle panel, and Supplementary Fig. 2a). Other mAbs targeting the  
63 “inner side” or the “outer side” of RBD retained their activities against BZΔ10, however.  
64 Overall, these findings mimic those observed for B.1.351<sup>3</sup>, which should not be surprising  
65 since the triple RBD mutations in P.1 and B.1.351 are largely the same.

66

67 We also assessed the neutralizing activity of six NTD mAbs<sup>6</sup> against BZΔ10 and WT  
68 pseudoviruses (Fig. 1a, right panel; Supplemental Fig. 2b). BZΔ10 was profoundly  
69 resistant to neutralization by four NTD antibodies: 2-17, 4-18, 4-19, and 5-7. Interestingly,  
70 5-24 and 4-8, two mAbs targeting the antigenic supersite in NTD<sup>15</sup> that have completely  
71 lost neutralizing activity against B.1.351<sup>3</sup>, remained active against BZΔ10. To understand  
72 the specific mutations responsible for the observed pattern of neutralization, we then  
73 tested these NTD mAbs against a panel of pseudoviruses, each containing only a single  
74 NTD mutation found in P.1 (Supplementary Fig. 2b). As expected, 5-24 and 4-8 retained  
75 activity against all single-mutation pseudoviruses. P26S only partially accounted for the  
76 loss of activity of 4-18; L18F/T20N/D138Y contributed to the loss of activity of 2-17 and  
77 4-19; and L18F/T20N/D138Y/R190S together resulted in the loss of activity of 5-7.

78 Overall, these neutralization results were consistent with the positions of the P.1  
79 mutations on NTD in relation to the antibody epitopes (Supplemental Fig. 3a). For  
80 antibodies 5-24 and 4-8, the mutated residues on NTD were not part of their epitopes  
81 (Supplemental Fig. 3b). The drop in neutralization potency of 2-17 is explained by L18F  
82 and T20N comprising a part of the epitope, while D138 is proximal to these two residues.  
83 However, the loss of activity of 4-18 and 5-7 is not well explained structurally, because  
84 their inactivity is likely due to the combined effect of different NTD mutations.

85  
86 We also examined a panel of convalescent plasma obtained from 20 SARS-CoV-2  
87 patients infected in the Spring of 2020, as previously reported<sup>3</sup>. Each plasma sample  
88 was assayed for neutralization against BZΔ10 and WT pseudoviruses. As shown in  
89 Supplementary Fig. 4, most (16 of 20) samples lost >2.5-fold neutralizing activity against  
90 BZΔ10. The magnitude of the drop in plasma neutralization ID50 titers is summarized in  
91 Fig. 1b (left panel), showing a 6.5-fold loss of activity against the variant pseudovirus.

92  
93 Lastly, 22 vaccinee sera were obtained, as previously reported<sup>3</sup>, from 12 individuals who  
94 received Moderna SARS-Co-2 mRNA-1273 Vaccine<sup>16</sup> and 10 individuals who received  
95 the Pfizer BNT162b2 Covid-19 Vaccine<sup>17</sup>. Each serum sample was assayed for  
96 neutralization against BZΔ10 and WT pseudoviruses. The extent of the decline in  
97 neutralization activity is summarized in Fig. 1b (middle and right panels), and each  
98 neutralization profile is shown in Supplementary Fig. 5. A loss of activity against BZΔ10  
99 was noted for every sample, but the magnitude of the loss was modest (2.8 fold, Moderna;

100 2.2 fold, Pfizer) and not as striking as was observed against B.1.351 pseudovirus (8.6  
101 fold, Moderna; 6.5 fold, Pfizer).

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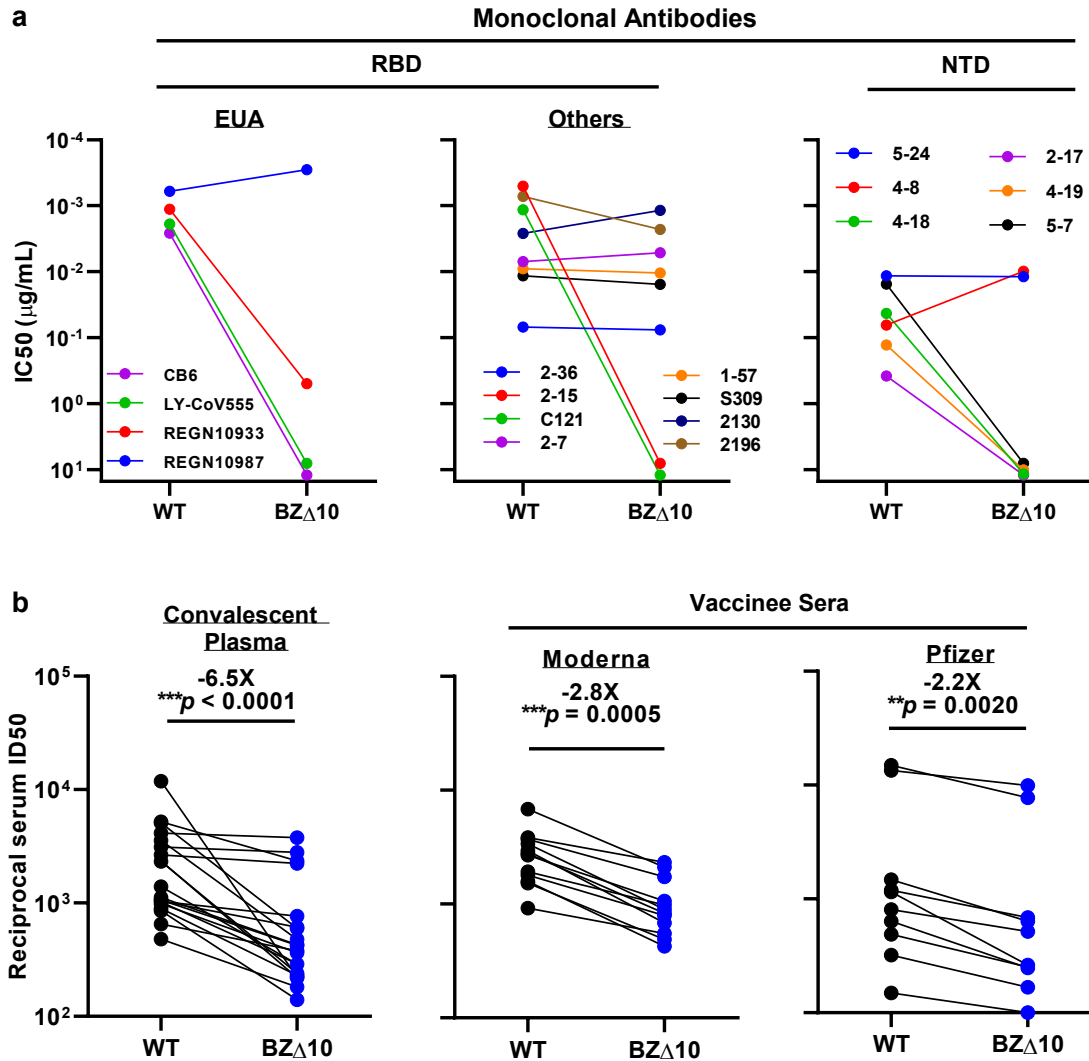
103 Overall, the SARS-CoV-2 P.1 variant is of concern because of its rapid rise to dominance  
104 as well as its extensive spike mutations, which could lead to antigenic changes  
105 detrimental to mAb therapies and vaccine protection. Here we report that P.1 is indeed  
106 resistant to neutralization by several RBD-directed mAbs, including three with EUA. The  
107 major culprit is the shared E484K mutation, which has emerged independently in over 50  
108 lineages, including in B.1.526 that we<sup>18</sup> and others<sup>19</sup> have identified in New York recently.  
109 As for the NTD-directed mAbs, the resistance profiles are markedly different for P.1 and  
110 B.1.351, reflecting their distinct sets of mutations in NTD. Both convalescent plasma and  
111 vaccinee sera show a significant loss of neutralizing activity against P.1, but the  
112 diminution is not as great as that reported against B.1.351<sup>3,20</sup>. Therefore, the threat of  
113 increased re-infection or decreased vaccine protection posed by P.1 may not be as  
114 severe as B.1.351. Finally, given that the RBD mutations are largely the same for these  
115 two variants, the discrepancy in their neutralization susceptibility to polyclonal plasma or  
116 sera suggests that NTD mutations can have a significant effect on the susceptibility of  
117 SARS-CoV-2 to antibody neutralization.

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174

175 **Figure**



176

177 **Fig. 1 | Neutralization of WT and BZ $\Delta$ 10 pseudoviruses by mAbs, convalescent**

178 **plasma, and vaccinee sera. a,** Changes in neutralization IC<sub>50</sub> of select RBD and NTD

179 mAbs. **b,** Changes in reciprocal plasma neutralization ID<sub>50</sub> values of convalescent

180 plasma and reciprocal serum ID<sub>50</sub> values for persons who received Moderna or Pfizer

181 vaccine. Mean fold change in ID<sub>50</sub> relative to the WT is written above the *p* values.



182 Statistical analysis was performed using a Wilcoxon matched-pairs signed rank test. Two-  
183 tailed p-values are reported.

184 **Methods**

185 **Monoclonal antibodies, patients and vaccinees.** Monoclonal antibodies, convalescent  
186 plasma, and vaccinee sera were the same as previously reported<sup>3</sup>.

187 **Pseudovirus neutralization assays.** Plasmids encoding the single-mutation variants  
188 found in P.1 and 10-mutation variant (BZΔ10) were generated by Quikchange II XL site-  
189 directed mutagenesis kit (Agilent). Recombinant Indiana VSV (rVSV) expressing  
190 different SARS-CoV-2 spike variants were generated as previously described<sup>3,6,7</sup>.  
191 Neutralization assays were performed by incubating pseudoviruses with serial dilutions  
192 of mAbs or heat-inactivated plasma or sera, and scored by the reduction in luciferase  
193 gene expression as previously described<sup>3,6,7</sup>.

194 **Data availability.** Materials used in this study will be made available but may require  
195 execution of a material transfer agreement. Source data are provided herein.

196  
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200

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202 principally carried out by P.W., M.W., and J.Y., with assistance from M.S.N., and Y.H.  
203 Structural interpretations were made by G.C., L.S., and P.D.K. The manuscript was  
204 written by P.W. and D.D.H. and reviewed, commented, and approved by all the authors.

205

206 **Competing interests:** P.W., J.Y., M.N., Y.H., and D.D.H. are inventors on a provisional  
207 patent application on mAbs to SARS-CoV-2.