Laminar-specific functional connectivity mapping with multi-slice line-scanning fMRI

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ABSTRACT

Laminar BOLD-fMRI has been applied to better depict the neuronal input and output circuitry and functional connectivity across cortical layers by measuring local hemodynamic changes. Despite extensive studies detecting laminar fMRI signals to illustrate the canonical microcircuit, the spatiotemporal characteristics of laminar-specific information flow across different cortical regions remain to be fully investigated in both evoked and resting states. Here, we developed a multi-slice line-scanning fMRI (MS-LS) method to detect laminar fMRI signals in adjacent cortical regions with high spatial (50 µm) and temporal resolution (100 ms) in anesthetized rats. Across different scanning trials, we detected both laminar-specific positive and negative BOLD responses in the surrounding cortical region adjacent to the most activated cortex under evoked condition. Specifically, in contrast to the typical Layer (L) 4 correlation across different regions due to the thalamocortical projections for trials with positive BOLD, a strong correlation pattern specific in L2/3 was detected for the trials with negative BOLD in adjacent regions, which indicate a brain state-dependent laminar-fMRI responses based on corticocortical interaction from different trials. Also, we acquired the laminar-specific rs-fMRI signals across different cortical regions, of which the high spatiotemporal resolution allows us to estimate lag times based on the maximal cross-correlation of laminar-specific rs-fMRI signals. In contrast to the larger variability of lag times in L1 and 6, robust lag time differences in L2/3, 4, and 5 across multiple cortices represented the low-frequency rs-fMRI signal propagation from the caudal to the rostral slice. In summary, our work provides a unique laminar fMRI mapping scheme to better characterize trial-specific intra- and inter-laminar functional connectivity with MS-LS, presenting layer-specific spatiotemporal variation of both evoked and rs-fMRI signals.
INTRODUCTION

Resting-state (rs-) fMRI is widely utilized in clinical and cognitive neuroscience for functional connectivity mapping, attributing to its unique capability to identify low frequency oscillation arised from neuronal oscillation across different brain states (1-5). The conventional method of rs-fMRI is based on echo-planar-imaging (EPI) to detect T2*-weighted blood-oxygen-level-dependent (BOLD) signal low-frequency fluctuation across functionally connected brain regions (6). This mapping method has been increasingly utilized to parcellate functional networks across the whole brain (7-11). Lately, high field fMRI has also been utilized in the mapping scheme to identify dynamic BOLD responses and cerebral blood volume (CBV) signals with layer specificity in bottom-up or top-down tasks (12-18), indicating the possible functional network across different cortical layers. However, the spatial resolution of fMRI images is often limited by the demanding requirement for high temporal resolution, i.e., fast-sampling of rs-fMRI oscillation patterns, at sub-second with sufficient signal-to-noise ratio (SNR) (19-21). In addition, potential aliasing effects from the cardiorespiratory artifacts (22-24) contaminate fMRI signals, leading to spurious results for rs-fMRI studies without sufficient sampling rates. It remains challenging to achieve high spatial resolution with a fast sampling rate to acquire rs-fMRI signals with sufficient SNR for brain functional mapping.

Previously, Yu et al. have developed a line-scanning fMRI method to substantially improve spatiotemporal resolution in rat brains, achieving 50-µm spatial resolution along a line profile which covers different cortical layers within 50-ms TR (25). Line-scanning fMRI has also been used in combination with fiber-based optogenetic stimulation (26) or diffusion-sensitizing gradients (27) to study the fast functional onset with high temporal resolution. Beyond preclinical studies, line-scanning fMRI is also being utilized in human fMRI studies to provide functional maps of cortical layers in a reduced field-of-view (FOV) with high spatiotemporal resolution (28-30). Lately, a similar line-scanning strategy has been used to map diffusion signals in the human brain (31). To date, however, no fMRI measurements have been performed on multiple cortical regions manifesting laminar-specific functional connectivity with high spatiotemporal resolution comparable to the original line-scanning fMRI method.

In this work, we extended the line-scanning fMRI method towards multi-slice acquisition to acquire line profiles from different cortical regions with high spatial (50 µm) and temporal (100 ms) resolution. To investigate functional connectivity driven by the underlying neural network, we analyzed the intra- and inter-laminar correlation features under both evoked and resting-state conditions in anesthetized rats. Upon electrical stimulation of the forepaw, adjacent cortical regions to the forepaw somatosensory cortex (FP-S1) showed either positive or negative BOLD responses with distinct laminar-specific correlation patterns across different fMRI trials. Meanwhile, owing to the fast sampling rate, we were able to detect laminar-specific lag times of rs-fMRI signals with low-frequency fluctuation, showing specific signal propagation (< 0.1 Hz) across different cortices. Our work demonstrates the
feasibility of multi-slice line-scanning fMRI (MS-LS) to identify evoked laminar correlation patterns and resting-state signal propagation across different cortical regions.

RESULTS

Mapping evoked laminar-specific BOLD signals with multi-slice line-scanning fMRI

We first developed the MS-LS method (Fig. 1A) to map laminar-specific BOLD responses from different cortical regions covering FP-S1 and adjacent cortices in one hemisphere (25) (details in Method). Left forepaw stimulation (Fig. 1B) activated contralateral cortical FP-S1, which has been reliably identified by using the conventional echo-planar-imaging (EPI) method (Fig. 1A) (32-34). As shown in Fig. 1C, three different line-scanning profiles from the corresponding cortical regions (i.e., rostral, middle, and caudal slices) were acquired by switching off phase-encoding gradient and defining the field-of-view (FOV) with two saturation slices to avoid aliasing from regions outside the FOV (Fig. 1A). Evoked BOLD-fMRI signals in three slices were simultaneously recorded as a function of time across the cortical depth (0-2 mm), showing the most salient BOLD responses in the caudal slice with decreased BOLD signals from the middle to the rostral slice as shown in Fig. 1D-F.
were processed by band-pass filtering (0.01-0.1 Hz). F. Average BOLD changes of the individual voxel time courses (mean epoch with 20 s) across the cortical depth in the three cortical regions.

**Distinguishing the inter- and intra-laminar functional connectivity across different cortices**

Besides the typical positive BOLD signal detected in FP-S1 and adjacent area upon stimulation (25,26,35), negative BOLD signals were also reported in the adjacent cortex of activated regions (36-40). We applied MS-LS to characterize the laminar-specific correlation patterns of varied BOLD signals in cortical areas adjacent to activated FP-S1. As shown in **Fig. 2A**, highly varied BOLD responses in the rostral slice were observed across all trials with left forepaw stimulation. We sorted individual trials into two groups based on BOLD responses of the rostral slice, showing group 1 with positive and group 2 with negative BOLD signals (**Fig. 2A** and **S1**). **Fig. 2B** shows the laminar-specific BOLD responses across the three different slices for both groups. The spreading negative BOLD responses were observed at the superficial layers in the rostral slice and salient undershoot of the BOLD signal was detected in the middle slice in group 2 in contrast to the monophasic positive BOLD responses across the three slices in group 1. This result demonstrates that distinct BOLD responses in adjacent cortices to activated FP-S1 can be specified by MS-LS with laminar specificity.

Next, the intra-and inter-laminar correlation maps (**Fig. 2C**) were calculated to obtain group-averaged results. In the 3 × 3 matrix (**Fig. 2D** and **2F**), the intra-laminar correlation maps were arranged on the diagonal blocks, while the inter-laminar correlation maps (i.e., rostral-middle, rostral-caudal, and middle-caudal correlation maps) were arranged on the off-diagonal blocks. From the intra-laminar correlation analysis, higher correlation coefficients were observed in superficial layers over deep layers of caudal and middle slices in both groups, but the rostral slice showed stronger correlation at Layer (L) 4 in group 1 versus L2/3 in group 2 (**Fig. 2E** and **2G**). Also, the inter-laminar correlation coefficients in group 1 showed higher values in L4 over other layers, presumably presenting robust BOLD signal correlation of FP-S1 through thalamocortical projections (25,41,42). In contrast, the correlation coefficients for Ro-Mi and Ro-Ca in group 2 showed higher values in L2/3, possibly presenting a negative BOLD signal-dominated correlation through corticocortical projection-mediated lateral inhibition (43-47). These results demonstrate distinct layer-specific correlation features underlying the positive and negative BOLD at the cortical areas adjacent to activated FP-S1, indicating brain state-dependent laminar fMRI responses across different trials.
Figure 2. A. Left: Average BOLD changes (every 20 sec) of the average voxel time series (average of 40 voxels) showing both positive and negative BOLD responses in the rostral region with different trials (n = 18 trials of 4 rats). The individual colors represent individual trials.

Right: Grouping based on either the positive or negative BOLD response in the rostral region. Group 1 (11 trials) has positive BOLD, and group 2 (7 trials) has negative BOLD. B. Three different average BOLD time series across the cortical depth (0 - 2 mm, 40 lines) in the rostral, middle, and caudal regions of group 1 and 2. C. Schematic illustration of intra- and inter-laminar correlation among the three cortical regions. D-G. Group-averaged results representing intra- and inter-laminar correlation maps in group 1 (D and E) and group 2 (F and G). In a 3 × 3 matrix (D and F), diagonal and off-diagonal blocks represent intra- and inter-laminar correlation maps, respectively. L4 in group 1 and L2/3 in group 2 has higher correlation coefficients across the different cortical regions (purple arrows), showing significant difference (E and G) among all the layers. L6 shows significant difference in both groups while some parts of L6 in group 2 have negative correlation (F, black arrow). All statistic tests were performed with one-way ANOVA (post-hoc: p < 0.05, Bonferroni correction).

Mapping the laminar-specific functional connectivity in rs-fMRI

The MS-LS method could also be used to investigate the laminar-specific correlation features of rs-fMRI signals from the different cortical regions. Fig. 3A shows representative Z-score normalized time courses (average of 40 voxels) from the rostral, middle, and caudal slices, as well as the 2D line profile rs-fMRI maps as a function of time across the cortical depth, specifically presenting a highly synchronized slow oscillatory pattern. Fig. 3B shows the inter- and intra-laminar correlation maps of the rs-fMRI signals, presenting the highly correlated low-frequency
signal fluctuation distributed in different cortical layers and across multiple cortical areas. In particular, the power spectral density (PSD) analysis of the rs-fMRI signal fluctuation shows peaked oscillatory powers at 0.01-0.02 Hz across different cortical areas (Fig. 3C), which is consistent with the previous study in anesthetized rats (48). Based on intra-laminar cross-correlation analysis, earlier lag times were detected in the middle layers (L2/3, 4, 5, median: -0.157, -0.207, -0.171 sec), whereas significantly later lag times were detected in the deep layer (L6, median: 0.216 sec) when compared to the mean BOLD signal of all the layers (Fig. 3D). To examine how the rs-fMRI signal propagated across different cortical areas, we calculated inter-laminar lag times by comparing layer-wise BOLD signals between slices of Ro-Mi, Mi-Ca, and Ro-Ca (Fig. 3E). The lag times were not uniform across different layers. For the Ro-Ca case, it shows clear fMRI signal propagation from the caudal to the rostral slice at L2/3 and 4 (-0.806 ± 0.703 sec, -0.577 ± 0.651 sec). Similarly, the Ro-Mi case shows earlier fMRI signal fluctuation at L2/3 to 6 from the middle to the rostral slice, but opposite at L1 (0.223 ± 0.810 sec), which may be caused by the large draining veins distributed across the middle slice (Fig. 3A). For the Mi-Ca case, the different lag time was only observed at L6, showing significantly later fMRI signal fluctuation at the caudal slice (0.777 ± 0.616 sec). These results demonstrate resting-state laminar-specific temporal correlation features across different cortical areas, which can be attributed to underlying either neuromodulation or vascular distribution across different cortical layers.
**DISCUSSION**

In this work, we applied the MS-LS method to identify intra- and inter-laminar correlation patterns of evoked and resting-state fMRI signals with high spatial and temporal resolution across multiple cortical regions. Distinct laminar-specific correlation patterns in the cortex adjacent to the activated FP-S1 were detected based on either positive or negative BOLD responses, which may be regulated by altered brain states across different fMRI trials in anesthetized rats (36,49-51). The MS-LS mapping scheme is also applied to map the laminar correlation pattern of low frequency rs-fMRI signal fluctuation, presenting sub-second lag times of rs-fMRI signal propagation through cortical layers and across different cortical regions given the fast sampling rate.

An interesting observation of evoked laminar fMRI signal using the MS-LS method is the negative BOLD responses detected in the rostral region adjacent to FP-S1. Specifically, this temporal feature of the negative BOLD signal is different from the initial dip of positive BOLD as reported previously (52,53). It remains unclear whether the negative BOLD response has vascular or neuronal origins (54-64). As indicated by extensive studies, the BOLD signal relies on the integrated interactions of cerebral blood flow and volume (i.e., CBF and CBV) changes with metabolic rate of oxygen consumption (CMRO$_2$) which are in principle caused by balanced proportional changes in both excitatory and inhibitory neuronal activity (65-67). Putative mechanisms of negative BOLD related to specific hemodynamic responses suggest two sources: i) the remaining elevation of CBV in contrast to returning to baseline CBF and CMRO$_2$ (54-57), ii) the decoupling between CBF and CMRO$_2$ during or after stimulation (57-59). Also, based on the vascular blood supply, a local blood-stealing effect from adjacent cortices to provide more blood to the most activated region is also proposed (60,61). With direct neuronal activity recording, the suppression of neuronal activity in the adjacent cortical regions due to lateral inhibition has also been reported (62-64). The first two mechanisms (i and ii) for signal decrease below baseline following the BOLD activation profile are likely explained by the prolonged post-stimulus undershoot in activated brain regions. As shown in Fig. 2B, while the...
negative BOLD mainly occurred at the superficial layer where large arteries may contribute to CBV signal changes, robust negative BOLD signal was also detected at the L2/3 (blue color in the color bar). Also, the negative BOLD signal was maintained with longer spreading function than typical positive BOLD responses excluded the only contribution from CBV and CBF effects, suggesting a sustained corticocortical post-synaptic inhibition (Fig. S1 A) (47,68). It is also noteworthy that the negative BOLD group (group 2) shows the salient biphasic HRF of the positive BOLD, of which the post-stimulus undershoot may be also caused by the decreased neuronal activity (43).

Using the MS-LS method, we also detected the robust rs-fMRI slow oscillation pattern across cortical layers of three slices with a peak frequency power at 0.01-0.02 Hz. Previous studies with simultaneous fMRI and optical fiber-based Ca2+ recording in anesthetized rats have reported that neuronal calcium oscillations underlie the low frequency rs-fMRI signal fluctuation near 0.01-0.04 Hz (48). Also, intrinsic astrocytic Ca2+ transients have been reported to mediate global negative BOLD signals contributing to the low-frequency rs-fMRI signal fluctuation (69), suggesting brain state-dependent global neuromodulation of the ultra-slow oscillatory patterns (70-72). Moreover, negative global rs-fMRI during ultra-slow oscillation is also linked with pupil dynamics, showing converged effect of arousal state fluctuation and autonomus regulation (73-77). In contrast to the brain-wide rs-fMRI mapping of previous studies, we revealed laminar-specific signal propagation of the low frequency rs-fMRI signal fluctuation (Fig. 3E). Interestingly, the signal propagation direction varied at the different cortical layers. We observed more uniform lag times from L2/3 to L5 between different cortical regions, but largely varied lag times at L1 and L6. It is plausible that rs-fMRI signal oscillation in L2/3 to L5 is primarily driven by the global neuromodulation through subcortical projections (78), but the signal oscillation in L1 and L6 more likely relies on the different vascular density distribution that contributes to dynamic BOLD responses (79-82).

Technical limitations pertaining to MS-LS acquisition should be considered for future work. As a modification to the conventional line-scanning method (25), two saturation RF pulses were followed by three excitation RF pulses to acquire fMRI signals from three slices using MS-LS method. Given a certain set of sequence parameters (e.g., TE, readout bandwidth, etc), imperfect performances of the saturation RF pulses result in contaminating fMRI signals, due to aliasing artifacts that arise from fast T1 relaxation of tissue signals in outside of the FOV. It can be avoided by applying a region-of-interest selective refocusing RF pulse (83-85) instead of saturation RF pulses. Moreover, in the interleaved acquisition of MS-LS, we have longer TR (100 ms in this work compared to 50 ms TR of the conventional method), thus preserving readout bandwidth due to tradeoff between tSNR enhancement and minimum TR. For the future work, implantable inductive coils (86) or wireless amplified NMR detector (87,88) can be applied to enhance tSNR and to achieve a fast sampling rate simultaneously.

METHODS
**Animal preparation.** The study was performed in accordance with the German Animal Welfare Act (TierSchG) and Animal Welfare Laboratory Animal Ordinance (TierSchVersV), in full compliance with the guidelines of the EU Directive on the protection of animals used for scientific purposes (2010/63/EU). The study was reviewed by the ethics commission (§15 TierSchG) and approved by the state authority (Regierungspräsidium, Tübingen, Baden-Württemberg, Germany). A 12-12 hour on/off lighting cycle was maintained to assure undisturbed circadian rhythm. Food and water were obtainable ad libitum. A total of 4 male Sprague-Dawley rats were used in this study.

Anesthesia was first induced in the animal with 5% isoflurane in the chamber. The anesthetized rat was intubated using a tracheal tube. A mechanical ventilator (SAR-830, CWE, USA) was used to ventilate animals throughout the whole experiment. Femoral arterial and venous catheterization was performed with polyethylene tubing for blood sampling, drug administration, and constant blood pressure measurements. After the surgery, isoflurane was switched off, and a bolus of the anesthetic alpha-chloralose (80 mg/kg) was infused intravenously. After the animal was transferred to the MRI scanner, a mixture of alpha-chloralose (26.5 mg/kg/h) and pancuronium (2 mg/kg/h) was constantly infused to maintain the anesthesia level for reduced motion artifacts.

**EPI fMRI acquisition.** All data sets from rats were acquired using a 14.1T/26 cm (Magnex, Oxford) horizontal bore magnet with an Avance III console (Bruker, Ettingen) and a 12-cm diameter gradient system (100 G/cm, 150 µs rising time). A home-made transceiver surface coil with 6-mm diameter was used on the rat brain in all experiments. For the functional map of BOLD activation (Fig. 1A), a 3D gradient-echo EPI sequence was acquired with the following parameters: TR/TE 1500/11.5 ms, FOV 1.92 × 1.92 × 1.92 cm³, matrix size 48 × 48 × 48, spatial resolution 0.4 × 0.4 × 0.4 mm³. A high order (e.g., 2nd or 3rd order) shimming was applied to reduce the main magnetic field (B0) inhomogeneities at the region-of-interest. For anatomical reference of the activated BOLD map, a RARE sequence was applied to acquire 48 coronal images with the same geometry as that of the EPI images. The fMRI design paradigm for each trial comprised of 200 dummy scans to reach steady-state, 10 pre-stimulation scans, 3 scans during stimulation, and 12 post-stimulation scans with a total of 8 epochs.

**MS-LS acquisition.** GRE-based MS-LS datasets were acquired in anesthetized rats for evoked and rs-fMRI. The MS-LS method was applied by increasing the slice dimension (1 to 3) to record fMRI signals in three different cortical regions covering FP-S1 (i.e., rostral, middle, and caudal cortices) and using two saturation slices to avoid aliasing artifacts along the phase-encoding direction (Fig. 1A). The phase-encoding gradient was turned off to acquire line profiles (Fig. 1C). Laminar-specific fMRI responses from the three cortices were acquired along the frequency-encoding direction with 50-µm spatial resolution. The following acquisition parameters were used: TR/TE 100/9 ms, TA 10 min 40 sec, FA 50°, slice thickness 1.2 mm, slice gap 1.5 mm, FOV 6.4 × 3.2 mm², and matrix 128 × 32. The fMRI design paradigm for each epoch consisted of 1 second pre-stimulation, 4 seconds
stimulation, and 15 seconds post-stimulation for a total of 20 seconds. A total of 6400 lines (i.e., 10 min 40 sec) in each cortex were acquired in every single trial for evoked and rs-fMRI. Evoked BOLD activation was identified by performing electrical stimulation to the left forepaw (300 μs duration at 2.5 mA repeated at 3 Hz for 4 seconds).

**Data Analysis.** All signal processing and analyses were implemented in MATLAB software (Mathworks, Natick, MA) and Analysis of Functional NeuroImages software (AFNI, NIH, USA). For evoked fMRI analysis in Fig. 1A, the hemodynamic response function (HRF) used was the default of the block function of the linear program 3dDeconvolve in AFNI. BLOCK (L, 1) computes a convolution of a square wave of duration L and makes a peak amplitude of block response = 1, with \( g(t) = t^4e^{-4t}/[4^4e^{-4}] \). Each beta weight represents the peak height of the corresponding BLOCK curve for that class. The HRF model is defined as follows:

\[
HRF(t) = \text{int}(g(t - s), s = 0..\text{min}(t, L))
\]

Cortical surfaces were determined based on signal intensities of fMRI line profiles (Fig. 1C). The detailed processing procedure was conducted as described in the previous line-scanning study (25). For Fig. 1D and 3A, demeaned fMRI time courses were used as follows: \( (x - \mu) \), where \( x \) was the original fMRI time courses and \( \mu \) was the mean of the time courses. The line profile map concatenated with multiple line-scanning fMRI profiles was normalized by its maximum intensity. Average BOLD time series and percentage changes were defined as \( (S - S0)/S0 \times 100 \% \), where \( S \) was the BOLD signal and \( S0 \) was the baseline. \( S0 \) was obtained by averaging the fluctuation signal in the 1-second pre-stimulation window in evoked fMRI that was repeated every 20 seconds with the whole time series (640 sec). The BOLD time series in each ROI were detrended and bandpass filtered (0.01-0.1 Hz, FIR filter) before analyzing line profile maps, correlation coefficients, PSDs, and cross-correlation.

Temporal correlation analysis which is generally accepted as an indicator of corticocortical functional interaction, e.g., increased correlation is thought to reflect increased functional connectivity between two brain sites (90,91). In this study, the correlation analysis was employed as an important indicator of laminar-specific functional connectivity across multiple cortical regions. Laminar-specific fMRI time courses were used and converted to the bandpass filtered time courses. The correlation is defined as follows:

\[
\text{Corr}_{xy}(a,b) = \frac{\sum_{i=1}^{n}(x_{a,i} - \bar{x}_a)(y_{b,i} - \bar{y}_b)}{\sqrt{\sum_{i=1}^{n}(x_{a,i} - \bar{x}_a)^2} \sum_{i=1}^{n}(y_{b,i} - \bar{y}_b)^2}
\]

where \( x, y \) indicates filtered fMRI time series (n time points) and \( a, b \) indicates a voxel from one cortical region. The correlation was calculated by ‘corr’ function in MATLAB (Type: Pearson, Rows: pairwise).
For resting-state PSD analysis, fMRI time series was converted to the Z-score normalized time series. Subsequently, the converted time series was used to compare the frequency responses among the different regions avoiding the dependency of the difference in signal amplitudes (Fig. 3C). The Z-score normalized time courses were calculated as follows: (x - µ) / σ, where x was original fMRI time courses and µ, σ were the mean and the standard deviation of the time courses, respectively (zscore function in Matlab). PSDs were calculated by Welch’s estimation method (pwelch function in MATLAB, FFT length: 2000, overlap: 50%).

For lag time calculation in rs-fMRI, voxel-wise lag times were calculated by analyzing cross-correlation coefficients across all cortical layers or across different cortical regions (xcorr function in MATLAB). One laminar-specific lag time was determined as the time point with the maximal cross-correlation coefficient (Fig. 3D and E). The boundaries of different cortical layers were determined as provided in the previous line-scanning study (25).

For statistical analysis in evoked and rs-fMRI, one-way ANOVA was performed to compare laminar-specific values using the post-hoc test and to determine whether there were statistically significant differences among the associated population means in different cortical layers or areas. Student t-test was performed to divide all the trials into two groups (Fig. S1 B). The p-values < 0.05 were considered statistically significant.

**Data availability.** The data that support the findings of this study are available from the corresponding authors upon request.

**Code availability.** The related signal processing codes are available from the corresponding author upon reasonable request.

**Competing interests.** The authors declare no competing interests.

**ACKNOWLEDGEMENTS**

This research was supported by NIH Brain Initiative funding (RF1NS113278-01, R01 MH11438-01), and the S10 instrument grant (S10 MH124733) to Martinos Center, German Research Foundation (DFG) Yu215/3-1, BMBF 01GQ1702, and the internal funding from Max Planck Society. This project has received funding from the European Union Framework Programme for Research and Innovation Horizon 2020 (2014-2020) under the Marie Skłodowska-Curie Grant Agreement No.896245. We thank Dr. R. Pohmann, Dr. J. Engelmann, Dr. N. Avdievitch, and Ms. H. Schulz for technical support, Dr. P. Douay, Ms. R. König, and Ms. M. Pitscheider for animal support, the AFNI team for the software support.

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Figure S1. Evoked average BOLD time courses in the two groups. A. Average BOLD time courses (mean epoch with 20 sec) of average voxel (a total of 40 voxels) representing that group 1 (11 trials) has positive BOLD while group 2 (7 trials) has negative BOLD. B. Student t-test result with all the trials showing group 1 and 2 are significantly different (*\(p = 1.5055 \times 10^{-6}\)).