

1 **Title: Limited metacognitive access to one's own facial expressions**

2 **Running head:** Limited metacognition to facial expressions

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29 **Word count:**

30 **Abstract:** 148

31 **Introduction, Results and Discussion (excluding figure captions and tables):** 5228

32 **Methods:** 3103

33 **Abstract**

34 As humans we communicate important information through fine nuances in our facial expressions,
35 but because conscious motor representations are noisy, we might not be able to report these fine
36 but meaningful movements. Here we measured how much explicit metacognitive information
37 young adults have about their own facial expressions. Participants imitated pictures of themselves
38 making facial expressions and triggered a camera to take a picture of them while doing so. They
39 then rated confidence (how well they thought they imitated each expression). We defined
40 metacognitive access to facial expressions as the relationship between objective performance
41 (how well the two pictures matched) and subjective confidence ratings. Metacognitive access to
42 facial expressions was very poor when we considered all face features indiscriminately. Instead,
43 machine learning analyses revealed that participants rated confidence based on idiosyncratic
44 subsets of features. We conclude that metacognitive access to own facial expressions is partial,
45 and surprisingly limited.

46

47 **Introduction**

48 Precise motor planning and execution can occur without the brain having explicit, conscious
49 access to the exact position of our limbs, or the exact degree of contraction of our muscles¹⁻³. For
50 instance, we can simultaneously walk, speak, and gesticulate successfully while concentrating on
51 an argument and not on the movements that enable it, and we are furthermore unable to
52 accurately report the state of each of our muscles. Although explicit access to proprioceptive
53 signals in highly routinary tasks like walking or talking may be unnecessary, it might be beneficial
54 in some other cases. For example, it has been suggested⁴ that metacognitive reasoning plays a
55 central role in developing and improving motor expertise: if an experienced actor has a detailed
56 and sophisticated representation of an ideal facial expression to communicate emotion, they are
57 better able to detect and correct deviations from the ideal, leading in turn to more accurate and
58 consistent performance.

59 Proprioceptive information about our limbs and their movements is thought to originate primarily
60 from muscle spindles, together with skin receptors, Golgi tendon organs, and joint receptors⁵⁻⁷.
61 Artificial vibration of the muscles can lead to activation of the muscle spindles, showing that their
62 activation is sufficient to alter the representation of the body and its position^{8,9}. In addition, position
63 estimates have been found to be more precise following active vs. passive movements,
64 suggesting that efferent motor commands may either affect or inform proprioceptive
65 representations¹⁰⁻¹². Finally, proprioceptive information is combined with visual information, when
66 available, to form a multisensory and integrated representation¹³⁻¹⁷.

67 Facial expressions present a particularly important yet poorly studied instance of motor control.
68 On the one hand, we communicate a great deal of information with small, nuanced facial
69 movements (on the order of 10 mm or less^{18,19}). On the other hand, we hardly ever see ourselves
70 while making them. Perhaps with the exception of actors or public speakers who practice in front
71 of a mirror (or the increased number of video-conferences during the 2020 SARS-CoV-2
72 pandemic), we do not usually have online visual feedback about our facial muscles. If visual
73 feedback information is indeed critical to give rise to precise motor representations, facial
74 movements might be very poorly represented. Together, the combination of the high social
75 relevance of small movements in our facial muscles and the general lack of visual information
76 about them raise the interesting question: How much do we know about how we look when we
77 communicate with others?

78 Previous studies have focused on related questions. One line of research has quantified
79 metacognitive access to *others'* facial expressions^{20–22} and operationalized metacognitive
80 performance as the precision of participants' representations of uncertainty. While our ability to
81 accurately represent both the facial expressions of others and our certainty about them is clearly
82 critical for social interactions, it is equally important to correctly represent and adequately control
83 *one's own* expressions²³. In line with this notion, another line of research has aimed at measuring
84 how accurate the representation of one's own face is (under a neutral facial expression). One
85 study²⁴ found that participants showed a systematic bias to underestimate the length of their faces
86 and slightly overestimate their width, mimicking what has been described for whole bodies²⁵ and
87 hands²⁶. More recently, large inter-individual differences have been described in how accurately
88 healthy young adults can represent their own faces²⁷. These previous studies investigated relaxed
89 faces with neutral expressions and captured, in essence, individuals' ability to accurately describe
90 their face, or to discriminate it from the face of another. Importantly, static features of one's face
91 are irrelevant to social interactions, which instead are based on dynamic information. Here, we
92 focussed instead on metacognitive knowledge about how one's face varies when making different
93 expressions. In a pre-registered experiment, we asked participants to imitate expressions shown
94 in pictures of themselves and to rate how well they thought they had imitated the expression. We
95 then measured participants' metacognitive access to their own facial expressions as the
96 correspondence between subjective ratings and an objective measure of performance.

97 First, participants completed a task to measure their metacognitive access to facial expressions
98 (Figure 1), consisting of three parts. Briefly, in the first part of the task, participants took pictures
99 of themselves imitating different cue images done by actors²⁸ to generate 32 participant-specific
100 target images. In the second part, participants saw each of the target images on the screen and,
101 while still looking directly into the digital camera, imitated themselves (Figure 1.B). In both the first
102 and second parts of the task, participants pressed a keyboard key to trigger the digital camera. In
103 the second part only, they additionally rated how confident they were in their own performance on
104 a continuous confidence scale ranging from "Very unsure" to "Very sure". Finally, in the third part
105 of the task, participants saw the target and response pictures side-by-side and rated them for
106 similarity on a continuous scale with the same labels as for the confidence rating. We quantified
107 the distance between each image pair based on landmarks placed automatically on the pictures.

A. Procedure

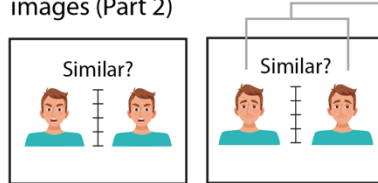
Part 1. Generate participant-specific target images



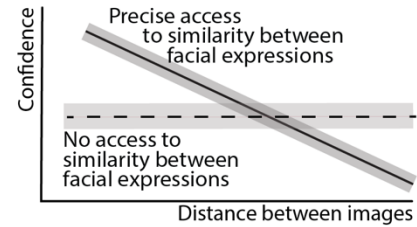
Part 2. Imitate the expressions generated in Part 1 and rate confidence in one's performance



Part 3. Rate similarity between target (Part 1) and response images (Part 2)



B. Predictions



Distance between image pairs

$$distance = \sqrt{\frac{(x_{target}^i, y_{target}^i)(x_{response}^i, y_{response}^i)}{\sum_{i=1}^{68} (x_{target}^i - x_{response}^i)^2 + (y_{target}^i - y_{response}^i)^2}}$$

108

109 **Figure 1: Experimental Design. (A.) Procedure.** Cue stimuli were pictures of facial expressions taken
 110 from the MPI Small Facial Expression Database (Cunningham et al., 2005), but the images were replaced
 111 here with illustrations, to comply with the journal's data privacy regulations. They were performed by actors
 112 and represented non-stereotypical expressions (e.g., "You lose the way in a foreign city", see Methods for
 113 further details). Participants used these images as cues to produce 32 participant-specific target images.
 114 In part 2, each of the 32 target images (of the participants' faces displaying the expression generated in
 115 part 1) was shown eight times (256 trials total). Participants reproduced their own expressions shown in the
 116 target pictures, pressed a key while holding their expression, and subsequently rated confidence in their
 117 own performance. The experiment was self-paced. Squares around the pictures indicate that they were
 118 displayed to participants, whereas pictures without a square frame around them represent pictures collected
 119 but not shown back to participants. (Expression drawing: Freepik.com) **(B.) Predictions.** The correlation
 120 between the two variables indicates the precision of the metacognitive representation. Confidence ratings
 121 were expected to be negatively correlated with the distance between two images if participants have
 122 metacognitive access to the low-level aspects of their facial expressions (solid line). Confidence ratings
 123 were not expected to vary with distance if participants had no metacognitive access to their own facial
 124 expressions (dashed line).

125

126

127

Results

128

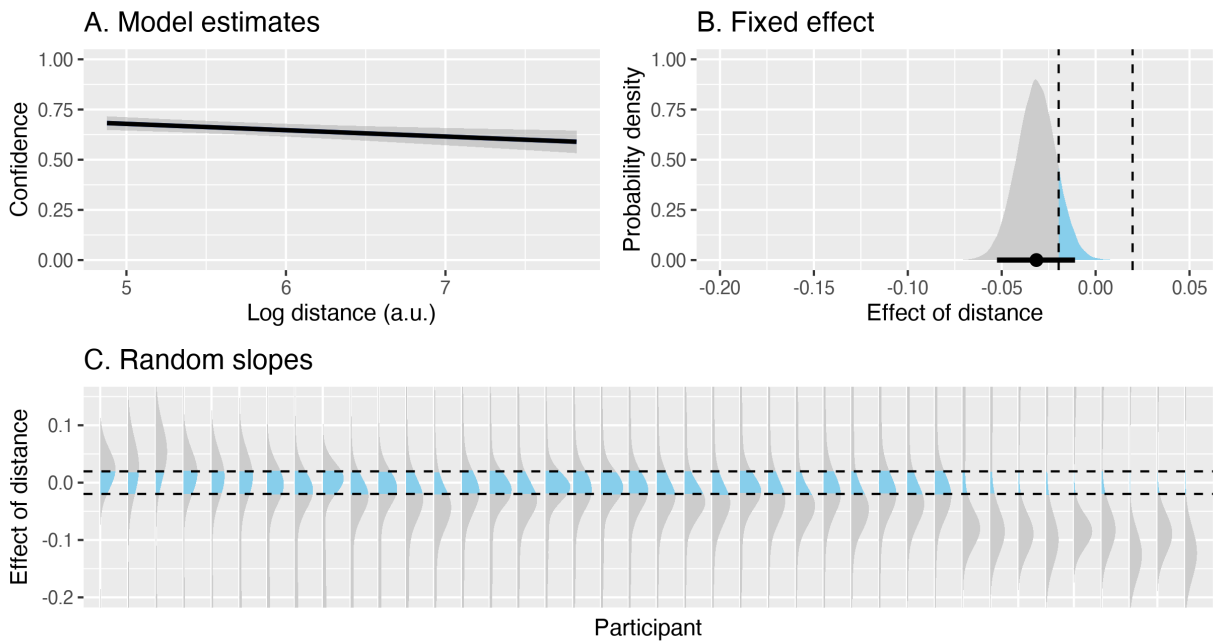
Confirmatory Analyses

129 The distance between any pair of images is an inverse measure of performance in the task, as
130 greater distance corresponds to a poorer match between target and response expressions. Thus,
131 we reasoned that participants with precise metacognitive access to their facial expressions would
132 have a sharp relationship between the distance between two images and the confidence ratings.
133 The estimated regression coefficients from a multilevel model of these data should be negative
134 and clearly different from 0. On the other hand, if a participant had no access to their own
135 performance, their judgments would bear no relationship to the distance between two images,
136 and the regression coefficients would be indistinguishable from 0 (Figure 1B, Predictions).

137 To arbitrate between these two possibilities, we first quantified our participants' metacognitive
138 access to their own facial expressions using a Bayesian linear mixed-effects regression model of
139 participants' confidence ratings. The model included the log-transformed distances as a fixed
140 effect (for all 68 landmarks combined), as well as random intercepts for participant and facial
141 expression. We found that participants' confidence ratings had a small negative relationship to
142 the distance measured (Figure 2.A, $M = -0.03 \pm 0.01$, $CI = [-0.05, -0.01]$, $R^2 = 0.21$, see also
143 Appendix 1-Figure 1 for the participant-wise data). However, when compared to the null model
144 without the effect of distance, we found only anecdotal evidence²⁹ for the relationship between
145 the two ($BF_{10} = 2.20$). Further, a robustness check revealed that, as expected given the proximity
146 of the posterior samples to the region of practical equivalence (ROPE, defined following the
147 default criterion of the region corresponding to a Cohen's d of 0.1, Figure 2.B), the choice of the
148 SD of the prior distribution had a strong effect on the BF_{10} : Widening the prior distribution from
149 0.4 to 0.7 led to a $BF_{10} = 1.02$, and greater SDs also strongly reduced the value of the BF_{10} .
150 Together, these results point to no evidence for a relationship between confidence and distance.
151 For illustration purposes, we plot the participant-wise posterior draws, in relationship to the ROPE
152 (Figure 2.C).

153

Confidence ratings



154

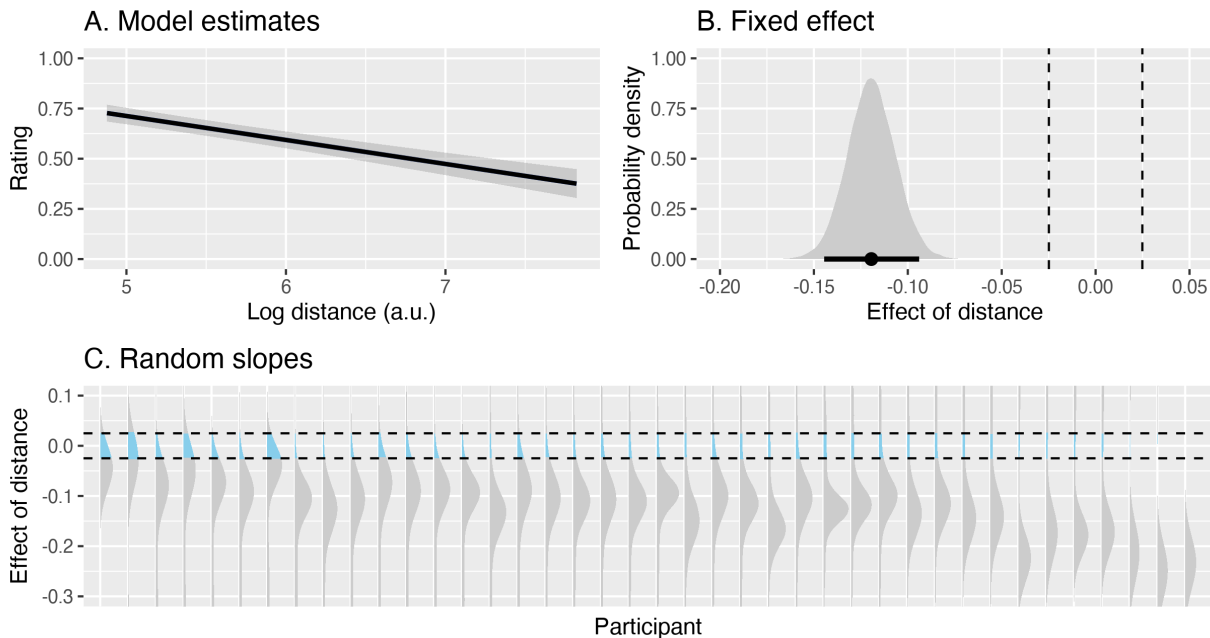
155 **Figure 2. Poor metacognitive access to facial expressions (A.)** Group effects reflecting mean
156 metacognitive access, namely the relationship between confidence ratings and distance between two
157 images (inverse of performance). A small but consistently negative slope suggests that participants had
158 minimal metacognitive access to their own expressions. The solid line represents the mean of the posterior
159 draws, the shaded region represents the 95% credibility interval **(B.)** Posterior draws for the group-level
160 fixed effect of distance, shown in relation to the ROPE, marked with dashed lines. The black horizontal line
161 indicates the mean and 95% HDI. **(C.)** Posterior draws for each participant, shown in relationship to the
162 ROPE. Note that the y-axis is clipped to better display the distributions around the ROPE and therefore
163 excludes the long tails of some of the distributions. Participants are ordered following the mean slope
164 estimate and might not be aligned across figures.

165

166 Then, to quantify the relationship between distance and similarity, we built a regression model of
167 participants' similarity ratings including, as before, the log-transformed landmark distances as a
168 fixed effect (for all 68 landmarks combined), as well as random intercepts for participant and facial
169 expression. Here, similarity ratings did track the distance (Figure 3 and Appendix 1-Figure 2). We
170 found a clear and, as expected, negative relationship between the two ($M = -0.12 \pm 0.01$, $CI = [-$
171 $0.14, -0.09]$, $BF_{10} = 8.01 \times 10^8$, $R^2 = 0.26$). This shows that the distance we measured carried
172 information relevant for similarity ratings and thus the null effect above cannot be simply due to a
173 poor measure of distance. Additionally, because the same participants rated both confidence and
174 similarity, the differences between the two ratings cannot be attributed to trivial effects such as a
175 poor understanding of the confidence scale or task instructions, or simple lack of motivation.

176 We emphasize that an advantage of similarity as compared to confidence ratings is almost trivial,
177 as participants could see the picture pairs side-by-side to rate similarity, but not confidence.
178 Hence, we simply take this result as a positive control to ensure that the landmark distances were
179 at all related to similarity, but make no formal comparisons between the two kinds of ratings.
180

Similarity ratings



181
182 **Figure 3. The distance between two images captures relevant information. (A.)** Group effects
183 reflecting the information contained in the distance between two images, namely the relationship between
184 the similarity ratings provided by participants (when viewing each image pair side-by-side) and distance
185 between two images. The solid line represents the mean of the posterior draws, and the shaded region
186 represents the 95% credibility interval. **(B.)** Posterior draws for the group-level fixed effect of distance,
187 shown in relation to the ROPE, marked with dashed lines. The black horizontal line indicates the mean and
188 95% HDI. **(C.)** Posterior draws for each participant, shown in relation to the ROPE. Note that the y-axis is
189 clipped to better display the distributions around the ROPE and therefore excludes the long tails of some
190 of the distributions. Participants are ordered following the mean slope estimate and might not be aligned
191 across figures.

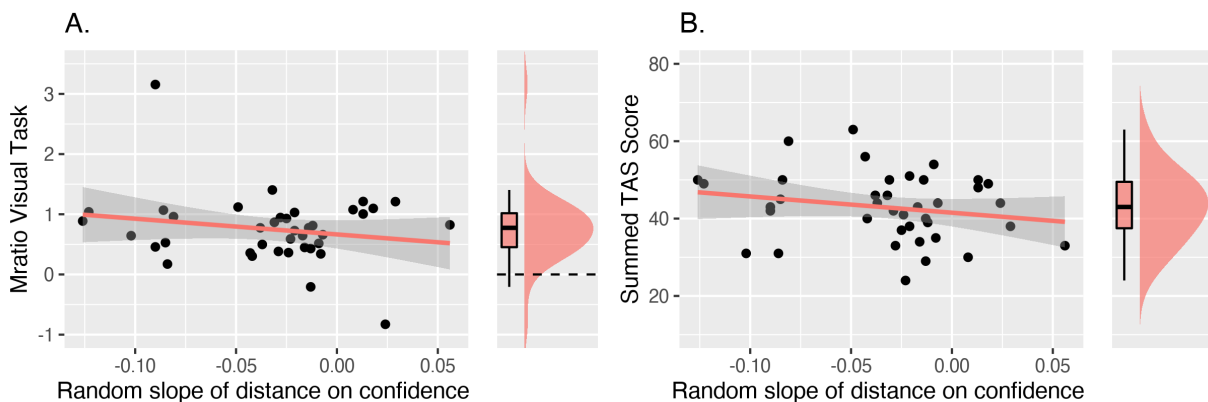
192
193 Finally, following our pre-registered plan, we explored relationships between the participant-wise
194 random slopes with Mratio, a measure of visual metacognitive efficiency³⁰ in a visual task. We
195 found that visual Mratio was consistently above the chance level of 0 ($M = 0.75$, $SD = 0.57$, $t(38)$
196 $= 8.15$, $p < 0.001$, $BF_{10} = 1.54 \times 10^7$, estimated with a default Cauchy prior) but that it did not

197 correlate with participant-wise effects of distance on confidence (Figure 4.A, $r = -0.19$, $p = 0.25$,
198 $BF_{10} = 0.64$, with a default shifted beta prior distribution). While the two measures of metacognitive
199 access are not strictly comparable (the visual Mratio is controlled for first-order performance but
200 the individual effects of distance on confidence are not), this analysis shows that poor
201 metacognitive access to facial expressions cannot be attributed to generally poor domain-general
202 metacognitive insight³¹.

203 Using Pearson correlations, we also measured potential associations between the inter-individual
204 differences in metacognitive access to facial expressions and Alexithymia scores, as an indication
205 of each participant's ability to identify and describe their own feelings. We found no conclusive
206 evidence for or against any relationships between alexithymia score and the participant-wise
207 effect of distance on confidence ($BF_{10} = 0.70$, Figure 4.B) or on similarity ratings ($BF_{10} = 0.43$).

208

Participant-wise metacognitive measures



209

210 **Figure 4: Correlations between participant-wise estimates of metacognitive access to facial**
211 **expressions and other measures of insight.** Each dot corresponds to one participant's performance
212 estimate, and the box- and density plots on the right represent the marginal distribution of the corresponding
213 variable on the y axis. **A. Metacognitive efficiency (Mratio) in a visual task.** Participants' metacognitive
214 efficiency was significantly better than chance performance (marked with the horizontal dashed line). **B.**
215 **Alexithymia score (TAS).** We found no evidence for a correlation between metacognitive estimates and
216 these measures of insight.

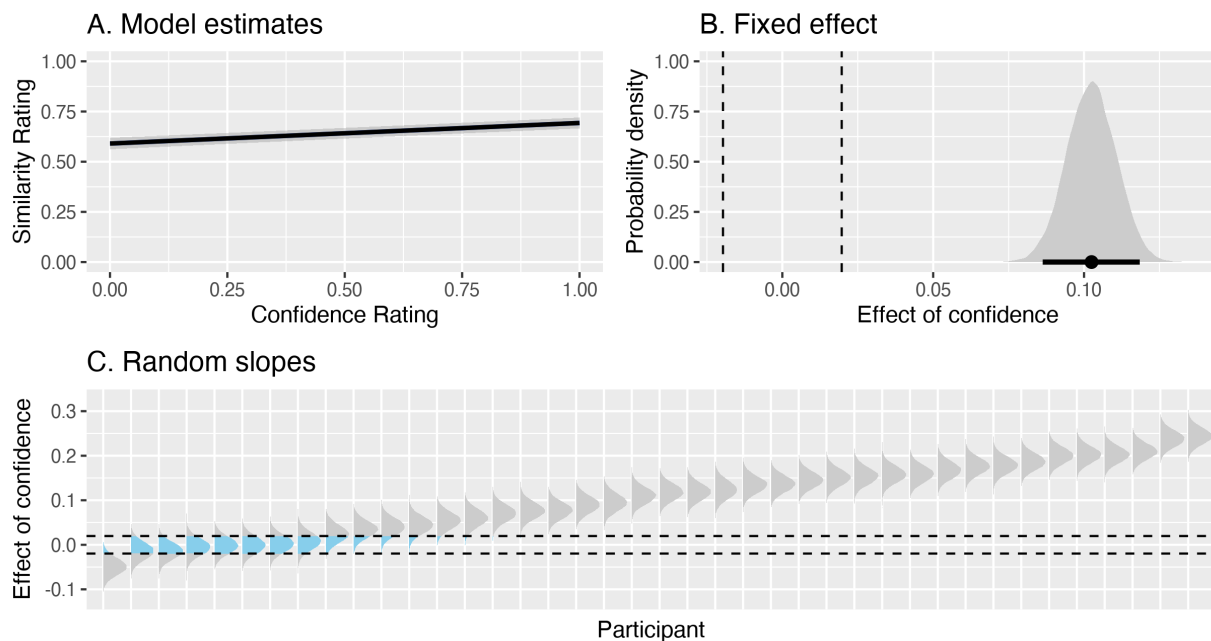
217

218 *Exploratory Analyses*

219 For completeness, we studied the relationship between similarity and confidence ratings. We built
220 a Bayesian linear regression model of participants' confidence ratings, this time including the

221 similarity ratings as a fixed effect and random intercepts for participant and facial expression. We
222 found a clear positive relationship between the two ratings ($M = 0.10 \pm 0.01$, $CI = [0.09, 0.12]$,
223 $BF_{10} = 6.36 \times 10^{31}$, $R^2 = 0.21$, Figure 5 and Appendix 1-Figure 6). This suggests that participants'
224 confidence ratings were not random or noisy but rather that they simply did not reflect the low-
225 level features captured by the distance.
226

Similarity and confidence ratings



227
228 **Figure 5: Similarity ratings vary with confidence ratings. (A.)** Group effects showing the relationship
229 between the two ratings on image pairs provided by participants (similarity vs. confidence). The solid line
230 represents the mean of the posterior draws, and the shaded region represents the 95% credibility interval.
231 **(B.)** Posterior draws for the group-level fixed effect of confidence on similarity, shown in relation to the
232 ROPE, marked with dashed lines. The black horizontal line indicates the mean and 95% HDI. **(C.)** Posterior
233 draws for each participant, shown in relation to the ROPE. Participants are ordered following the mean
234 slope estimate and might not be aligned across figures.

235

236

237 Our results so far suggest that participants' confidence ratings did not reflect performance,
238 calculated as the Euclidean distance over all landmarks. In a final set of exploratory analyses, we

239 therefore aimed at identifying which pieces of information participants may have taken into
240 account when rating confidence.

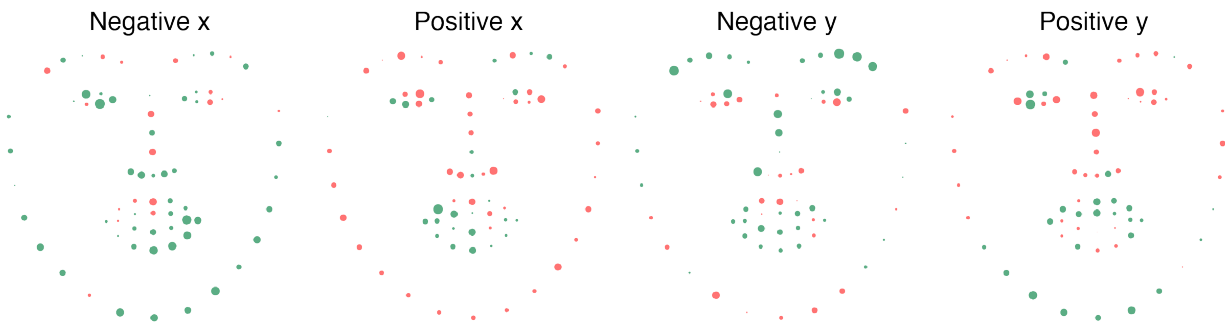
241 The Euclidean distance between image pairs assigns equal weights to the distances of all facial
242 landmarks and is therefore a relatively naive measure of the difference between expressions, in
243 that it does not allow for potential differences between landmarks in their contribution to different
244 individuals' confidence. However, it is in principle possible that participants attended to different
245 parts of their faces to different degrees and, further, that this differential attention was not
246 consistent across participants. For example, one participant may have focused almost exclusively
247 on how well their mouth matched the target image to rate their confidence, and another participant
248 may have focused exclusively on the eyes and ignored the mouth. While this was against the task
249 instructions, it remains a possibility that would undermine the strong claim that most participants
250 did not base their confidence ratings on the landmark distances. To obtain a more fine-grained
251 and flexible measure of performance we used a simple linear regression machine learning (ML)
252 model to predict each participant's confidence ratings using a principal component (PC)
253 decomposition of the distances between corresponding landmarks as features. Building
254 participant-wise models provided the maximum flexibility in feature weight assignment and was
255 therefore the harshest test to the conclusion that metacognitive access to facial expressions is
256 poor. We found that these models could in fact predict confidence ratings (median $r = 0.26 \pm$
257 0.15), suggesting that participants did indeed base their confidence ratings on (specific subsets
258 of) landmark distances. Further, because confidence is known to correlate negatively with
259 response times^{32,33}, we also asked whether RTs could have served as a proxy for distance. We
260 found that the landmark distances could be used to build ML models that predicted confidence
261 ratings above and beyond RT information alone, confirming that participants did use some of the
262 landmark distance information to rate confidence (see Appendix 1-Figure 4).

263 To better understand which information participants used to rate their own performance, we
264 reconstructed the weights of each feature in landmark space (based on the model's weighting of
265 each principal component and each feature's loading on that component, see Methods). We first
266 plotted the resulting landmark weights on their corresponding mean locations to explore potential
267 patterns among participants based on the set of landmarks with the highest weights (both visually
268 and by considering the median weight over all landmarks); however, we could not identify any
269 landmarks or features that were consistently prioritized across participants (Figure 6). Individual
270 participants' ML feature weights can be seen at

271 https://gitlab.com/elisa.filevich/cistonetal_metacognitionoffacialexpressions/). Finally, we
272 estimated the relationship between the new landmark distance (this time considering the
273 participant-specific weights) and confidence ratings using, as before, a linear mixed-effects
274 regression model. In line with the non-zero r values from the ML models, the reconstructed
275 distances did in fact show a significant relationship with confidence ratings ($M = 0.04 \pm 0.004$, CI
276 $= [0.03, 0.04]$, $BF_{10} = 1.34 \times 10^7$, $R^2 = 0.24$). Note that the slope estimate is now positive, because
277 the feature weights must incorporate the negative relationship between landmarks and
278 confidence, in order to predict confidence ratings. Taken together, the results suggest that
279 participants were indeed able to base their confidence ratings on the distances between facial
280 landmarks, but only on a subset of them; and that each participant had access to, or focused on,
281 different aspects of their facial expressions.

282

Average feature weights across all participants



283

284 **Figure 6: Machine Learning analyses. Average feature weights for participant-wise models of**
285 **confidence ratings.** Each dot represents the median feature weight for each landmark in models excluding
286 RTs. Green and red correspond to positive and negative weights, respectively. The size of the dot
287 corresponds to the relative magnitude of the landmark's approximated weight within the model, and their
288 positions correspond to a normalized face. Each landmark is split into the four cardinal directions, to yield
289 four independent features (see Methods for details). We found no consistent pattern over participants where
290 some features are weighted more strongly than others, see
291 https://gitlab.com/elisa.filevich/cistonetal_metacognitionoffacialexpressions for an interactive table with
292 participant-wise weights.

293

294 **Discussion**

295 We asked how much we know about how our faces look when we make expressions. We
296 quantified young, healthy adults' metacognitive access to the low-level details of their own facial
297 expressions. We emphasized to participants that we were focused on the specific shape of the
298 face and activation of the muscles, not on the emotion that the expression conveyed. Surprisingly,
299 our results suggest that participants were only very poorly able to consistently base their
300 confidence ratings on the complete set of facial features. A priori, this can be interpreted in two
301 (non-exclusive) ways: Participants' confidence ratings may not have strongly relied on the
302 distance between a pair of images because they truly had little or no metacognitive access to their
303 own facial expressions. Alternatively, our measured distance based on the whole set of landmarks
304 may have been a very noisy or even invalid measure of performance. In turn, this alternative
305 explanation would mean that it would be invalid to quantify metacognitive access as we did. To
306 ensure that the second alternative could not fully explain our results, we quantified the relationship
307 between ratings of similarity (provided by the participants themselves while viewing image pairs
308 side-by-side) and distance (based on the whole set of landmarks, combined with equal weights).
309 Here, we did find a clear relationship between the two, suggesting that the distance between
310 image pairs does carry information that is — to some extent — relevant for similarity. This result
311 also shows that a poor relationship between confidence and distance cannot be attributed simply
312 to poor use or understanding of the confidence scale. It is important to emphasize that we draw
313 no conclusions from the direct comparison of the strengths of the association between distance
314 and the two kinds of ratings (namely confidence and similarity), as it would not be a valid
315 comparison. Participants had no visual information about the expression they were making when
316 rating confidence, whereas they could do careful comparisons of image pairs using all available
317 visual information to rate similarity. Instead, we make separate inferences based solely on the
318 estimation of the effect size and reliability for each of the associations, and the comparison
319 between each full model including the effect of interest and its null counterpart. Simply put, the
320 analysis of the relationships between confidence and distance suggests that participants could
321 access their performance only poorly. On the other hand, the analysis of the relationships
322 between similarity and distance suggests that we measured performance adequately.

323 Beyond the group-level effects, we found variation between individuals. We aimed at explaining
324 this variation by exploring correlations between these individual estimates of the relationship
325 between distance and confidence and other measures of insight, namely visual metacognitive

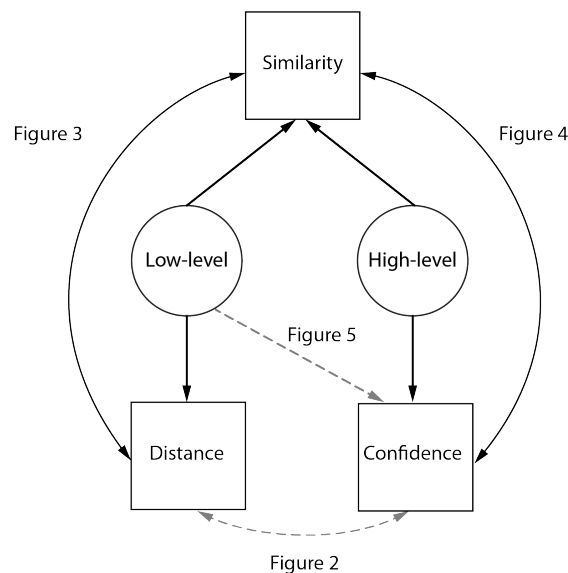
326 efficiency and alexithymia score. No conclusive relationships emerged that could explain the
327 variations between individuals.

328 Further, in another exploratory analysis, we considered that the summary distance measure could
329 not discriminate between landmarks that heavily informed participants' confidence ratings and
330 those that were ignored. In other words, confidence ratings may have depended on performance
331 defined by a subset of landmarks, which may not have been the same for all participants. To
332 examine this possibility, we built linear regression ML models on confidence ratings that included
333 the differences for each landmark as individual features (each of them separated into the four
334 cardinal directions). This analysis revealed that the models built for all participants could predict
335 confidence from the combined features (and could do so with better accuracy than the models
336 relying solely on reaction times, which we expected to be predictive of confidence based on
337 previous literature^{32,33}). This result suggests that participants' confidence ratings do indeed carry
338 information about the landmark distance between target and response expressions. But, unlike
339 what the linear regression analyses assumed, not all landmarks contribute equally. In fact, some
340 landmarks contributed in a way that was contrary to what was expected (i.e. larger distances were
341 associated with higher confidence). Further, the contributions from each landmark were not
342 consistent between participants. In sum, because some variability in facial expressions did not
343 appear to inform confidence ratings, we argue that these findings show that there is a disconnect
344 between participants' ability to control their faces (through their low level features) and their
345 assessment of performance. While some aspects of participants' facial expressions led
346 (idiosyncratically) to higher confidence ratings, these ratings were not indicative of performance.

347 If it is indeed the case that young, healthy volunteers have only partial access to their own facial
348 expressions, the obvious question arises: How do we communicate effectively in society?
349 Drawing from previous literature, we assume that each facial expression carries both low-level
350 information (the specific degree of contraction of each muscle and consequent location of the
351 landmarks) and high-level information (the emotion conveyed) and that these two bits of
352 information are not necessarily correlated. We note that the effects we observed here are valid
353 for the low-level features which we asked participants to concentrate on, but they may not
354 extrapolate to the high-level features of facial expressions.

355 In fact, we suggest a simple model (Figure 7) consistent with our results where these two aspects
356 are dissociated. We obtained the distance using an algorithm that, we assume, has no access to

357 high-level information. Similarity ratings, on the other hand, were made by human observers (the
358 study participants) and therefore were based on both the low-level features (by design, in line with
359 our instructions) and high-level emotional information that is automatically processed³⁴, as we
360 discussed above. On the basis of our results, we contend that confidence ratings may be based
361 chiefly on high-level information, as they can only poorly incorporate low-level information. Then,
362 the shared (high-level) information between similarity and confidence ratings explains why they
363 correlate and the dissociation between low- and high-level information, together with their unequal
364 contribution to different ratings, explains why confidence and distance are in turn dissociated.



365

366 **Figure 7: Suggested model for metacognitive access to facial expressions.** We consider that each
367 facial expression carries both low-level and high-level information (here depicted as circles because they
368 are akin to latent variables in a structural equation model, whereas the measured variables of Distance and
369 Confidence are depicted as squares). We also consider that the distance we measured is solely based on
370 low-level information that the algorithm has access to. Thus, this simple suggested model (where
371 confidence has accurate access to high-level but poor or partial access to low-level information, and where
372 similarity ratings by human judges are informed by both low- and high-level aspects of each image) is
373 sufficient to explain both, on the one hand, the relationships that we observed between distance and
374 similarity and between similarity and confidence, and on the other hand, the dissociations we found between
375 confidence and distance.

376

377 The distinction between metacognitive access to high- and low-level features of facial expressions
378 is compatible with previous literature. It has been shown that the brain regions involved in
379 assigning confidence to the accuracy of purely perceptual decisions (the thickness of a horizontal
380 bar presented above-fixation) were different from those assigning confidence to decisions about

381 emotional faces²⁰. Two recent studies presented participants with two conditions with more
382 closely matched stimuli. In the first one, two groups of participants underwent one of two kinds of
383 perceptual learning²¹. One group trained to discriminate between two faces based either on their
384 identity (high-level features) and the other group trained to discriminate the contrast between two
385 faces (low-level features). The results showed that, while there was perceptual learning (first-
386 order performance remained stable despite increased task difficulty) in both groups,
387 metacognitive accuracy improved for the low-, but not high-level features training group. The
388 authors argued for a dissociation between metacognitive access to these two levels and for a
389 dual-stage model of metacognition whereby perceptual learning reduces noise in the
390 representations for low- (but not high-) level facial features. A second study used a causal
391 intervention²² to show that continuous theta-burst suppression to the lateral prefrontal cortex led
392 to a decrease in metacognitive performance in a task that relied on the low-level aspects of faces
393 (discriminating between the orientation of two faces) but not one that relied on high-level aspects
394 (discriminating the expression they communicated). Together, these results support a distinction
395 between metacognitive access to high- and low-level features of *seen* faces (i.e., others' faces).
396 We extend these results and suggest that this distinction may also apply to the case of one's own
397 face, even when not seen.

398 Facial muscles appear to lack muscle spindles³⁵⁻³⁸, which are the main sensors for skeletal
399 muscle stretching⁵⁻⁷. Instead, other mechanoreceptors have been suggested to replace muscle
400 spindles in their transduction of electric signals elicited by facial muscles³⁹. In contrast to what we
401 described for facial muscles, young, healthy participants have above-chance and precise
402 metacognitive access to movements that are controlled by skeletal muscles⁴⁰. Moreover, unlike
403 the case of metacognition of facial expressions, measures of metacognitive performance in motor
404 control do partially correlate with those from a visual task⁴¹. Speculatively, at least two factors
405 may explain these discrepancies. First, different stretch receptors may lead to different kinds of
406 representations that may be differentially accessible to metacognitive monitoring. Second, visual
407 feedback during development and motor learning might play an important role. Extensive motor
408 learning and concomitant visual information for limbs that are in the field of view may shape and
409 lead to sharper conscious representations in a way that is not possible for facial expressions.

410

411

412 *Relationship to other metacognitive tasks*

413 Many of the recent studies measuring metacognitive performance have capitalized on a relatively
414 rigid operationalization of metacognition that quantifies metacognitive performance as the
415 relationship between subjective confidence ratings (the second-order task) and objective
416 performance in a 2AFC (the first-order task), and especially in whether a participant is able to
417 assign high confidence exclusively to correct trials⁴². Unlike most experiments on metacognition,
418 where experimenters can very easily control the (often visual) stimuli that they present to
419 participants, the study of motor metacognition requires participants to make a movement in the
420 first place, thereby adding another task to the standard operationalization. Participants make a
421 movement (zero-order), then make a (first-order) judgment about it, and finally provide a (second-
422 order) subjective confidence rating. Examples of a zero-order task include moving a finger at a
423 given pace⁴⁰ or throwing a ball to hit a target⁴¹. A different approach, which we took here, consists
424 in operationalizing the metacognitive judgment not as confidence in accuracy of a binary choice,
425 but instead as a judgment of performance^{43–45}. While both operationalizations may be valid, it is
426 important to note the differences between them to prevent assuming unwarranted relationships:
427 The first approach, borrowed from paradigms developed for perceptual tasks, makes a very clear
428 distinction between three different tasks with, in principle, independent performance levels. In a
429 ball-throwing task, a person could miss a target often (poor zero-order performance), be good at
430 discriminating whether the movement they made would hit the target or not (high first-order
431 performance), but assign high and low confidence equally often to correct and incorrect
432 discrimination trials (low second-order performance). This sharp distinction between three
433 cognitive levels is elegant and makes metacognitive motor tasks directly comparable to
434 perceptual ones. On the other hand, the comparison may not be as straightforward as it appears
435 to be⁴⁶. It has been argued that this rigid operationalization ignores a distinctive feature of
436 (sensori)motor performance monitoring: In making a movement, we must monitor our
437 performance in relationship to the intended goal, which includes not only perceptual uncertainty
438 but also motor noise and skill^{43,47}. Thus, the approach of asking participants to rate their own
439 performance allowed us to measure metacognitive access as the relationship between true
440 performance and the (arguably) ecologically relevant estimate of subjective performance.

441

442

443 *Introspective vs. extrospective access*

444 These results contribute with an interesting case to the question of introspective privilege. A
445 classic view has argued that introspection has privileged first-person access to — and is thus the
446 ultimate authority on — mental and emotional states⁴⁸. In the motor domain, this would mean that
447 the agents always have the most precise representation of their movement. This makes intuitive
448 sense, as a precise representation of an ongoing movement is presumably a prerequisite for fine
449 and efficient motor control and execution, as well as for the emergence of a sense of agency^{49,50}.
450 On the other hand, a reading of the empirical literature does not provide a clear answer, perhaps
451 due to the diversity of motor paradigms examined. Some studies have shown that precise access
452 to movements is not always available at an explicit representational level. Participants failed to
453 report large corrections to their ongoing movements⁵¹, and explicit instructions about how to solve
454 a visuomotor rotation task can in fact be detrimental for performance, because explicit control is
455 not a substitute for implicit corrections, which occur without participants' awareness⁵². Healthy
456 participants also appear to have poor access to their own eye movements and a poor (i.e., noisy)
457 representation of their own bodies that can be easily affected by visual cues^{13,14}. On the other
458 hand, almost directly contradicting the results above, other studies have shown that metacognitive
459 representations of movements are as precise as those of exteroceptive signals⁴⁰ and that explicit
460 instructions can sometimes be indeed beneficial for performance by leading to quicker adaptation
461 times and shorter after-effects, as compared to no explicit instructions⁵³. To understand these
462 discrepancies, it may be helpful to measure metacognitive access systematically across different
463 muscle effectors and motor and metacognitive tasks. By examining healthy participants' explicit
464 knowledge of their own facial expressions, then, we explored another — and in our view very
465 important — instance of motor control. We suggest that, perhaps just like eye movements, some
466 parts of motor control might be opaque to explicit introspective access. This contributes to the
467 body of literature questioning the privileges that introspective access has been argued to have as
468 a matter of principle and levels the balance of epistemic access towards the complementary
469 notion of extrospection^{48,54}.

470 *Limitations*

471 One important limitation in our analyses is related to one basic assumption of our approach. In
472 our exploratory analyses, we found a clear relationship between confidence and similarity ratings
473 at the single-participant level. We explicitly relied on the distance estimated by the algorithms as

474 the ‘true’ measure of performance. We argue that this assumption is valid for two main reasons.
475 First, we specifically instructed participants to focus on these low-level aspects. Second, we found
476 very similar results using two completely different algorithms to place facial landmarks (see SI),
477 suggesting that this measure of distance captures true differences in facial features and does not
478 depend heavily on the idiosyncrasies of the algorithm. However, it could be argued that similarity
479 ratings are in fact a better, truer measure of performance because they reflect how similarly two
480 faces are perceived by a person (either a judge or the very same participant) in an ecologically
481 valid setting. Against this intuition, we argue that similarity ratings could have been subject to the
482 same biases and heuristics that confidence may have relied on. As a very simplistic example, a
483 given participant could have consistently rated positive expressions with higher confidence and
484 similarity than negative expressions, leading to a relationship between the two kinds of ratings
485 that needn’t be explained by metacognitive access. We note, however, that this alternative
486 analysis of the data, based on different assumptions, would have led to the cardinally opposite
487 conclusion that participants *do* have precise metacognitive access to their own expressions.

488 A second limitation has to do with the predictive power of our statistical models. Despite robust
489 effects in the Bayesian mixed models, a significant amount of variability is left unexplained (see
490 SI). Better measures of distance, more precise motion tracking technologies (like infrared
491 reflectors placed on the face), or different analysis methods may have reduced this unexplained
492 variance. Additionally, we note that our analyses are based on static images, namely the
493 endpoints of otherwise dynamic expressions. But, important information is conveyed in the
494 dynamic pattern of facial expressions^{55–57}, and a future direction of this work might be to relate
495 confidence to dynamic aspects of facial expressions instead.

496 Finally, while the exploratory machine learning analyses allowed us to identify potential aspects
497 of the face that participants attended to while ignoring others, we might have failed to detect any
498 true effects where the relationship between confidence and distance differed between
499 expressions, or relationships that changed significantly over the course of the experimental
500 session.

501 It could be argued that the use of non-canonical expressions limits the ecological validity of our
502 paradigm. However, we note that in this study we were interested in studying a potential
503 disconnect between (zero-order) motor control and (second-order) metacognitive access to it.
504 Canonical expressions, where a highly trained and stereotypical set of movements correspond,

505 one-to-one, to a specific expression, confound motor control with emotional content and would
506 not have allowed us to make any inferences about which kind of information participants were
507 accessing to make their judgments. For instance, had we asked participants to make a
508 stereotypical “happy” expression and then rated confidence, we would not have been able to
509 determine whether their confidence judgments were well calibrated with the emotional state they
510 recreated, the highly-trained motor program, or the end state of the target expression. In short,
511 canonical expressions would have carried with them a set of confounds that our paradigm
512 avoided.

513 **Conclusion**

514 Our analyses suggest that healthy young volunteers were only able to estimate their performance
515 in producing non-stereotypical facial expressions based on partial information. This indicates that
516 we not only do not have metacognitive access to the low-level details of our facial expressions,
517 but also suggest that we cannot access them, even when explicitly asked to do so under
518 experimental conditions. This is surprising, we argue, because it sets facial movements apart
519 from other body movements (namely those of arms and fingers), for which, as previous studies
520 have shown, we do have precise metacognitive access to lower-level motor information, even
521 when this information is decoupled from the motor goal. We speculate that this distinction might
522 be related to the lack of concurrent visual information during social interactions, but our
523 speculation will need to be examined in future studies.

524

525 **Material and Methods**

526 *Participants*

527 Following our pre-registered plan (<https://osf.io/pnyw3>), 40 healthy participants took part in the
528 study after giving informed consent (21 female, 19 male mean \pm SD: 28.2 \pm 4.6 years). We based
529 the sample size on pilot data from 12 participants (see SI) and previous studies of motor
530 metacognition from our group. Exclusion criteria were a recent history of psychiatric disease or
531 having a heavy beard, as we reasoned that it would occlude the view of part of the face and
532 placing of the landmarks. The local ethics committee approved all procedures (Nr. 2017-23-R),
533 which conformed to the Declaration of Helsinki.

534

535 *Apparatus*

536 The experimental setup consisted of a stimulus computer, a digital camera, a screen, and a half-
537 silvered mirror tilted 45° from the vertical (Figure 8). Participants saw the image displayed on the
538 screen by the stimulus computer indirectly through its reflection on the half-silvered mirror. Behind
539 the mirror, a digital camera (Fire-i, UniBrain, Athens, Greece) connected to the computer took
540 pictures of the participants' facial expressions. This setup allowed participants to look at the
541 pictures displayed while simultaneously looking directly into the camera. As a result, we obtained
542 pictures of participants looking straight ahead and not downwards at the image, as would have
543 been the case if we had used e.g. a simple laptop computer with a digital camera just above the
544 screen.

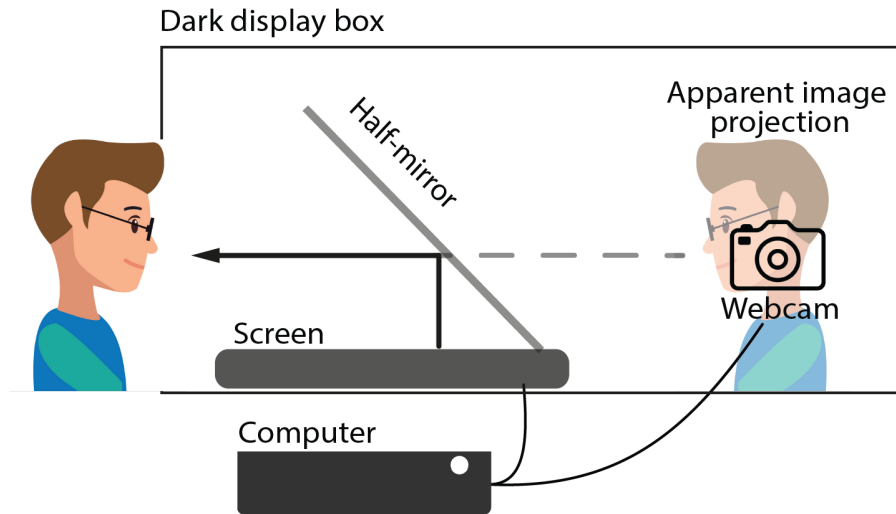
545 Participants sat at approximately 60 cm from the middle-point of the half mirror, which was in turn
546 45 cm away from the display screen. In order to reduce head movements, we held participants'
547 torsos loosely in place with an elastic band tied to the chair. Additionally, at the beginning of the
548 experiment, we showed participants the image collected by the camera in real time and asked
549 them not to make large head movements or rotations. While it would have been desirable to
550 further limit whole-head movements using, e.g., a chin rest, we opted against this as it would have
551 made expressions unnatural and, more importantly, because it would have provided a form of
552 sensory feedback, interfering with the experimental design. We ensured that participants' faces
553 were well-lit and took care that participants did not see any reflections of their own face on the
554 mirror.

555

556

557

Apparatus



558

559 **Figure 8. Experimental Apparatus.** Participants sat in front of a dark display box and saw the pictures
560 projected from a computer screen reflected on a half-plated mirror (tilted 45°). Behind the mirror, positioned
561 directly in front of participants' gaze, a digital camera took pictures of the participants when they pressed
562 the corresponding key. This way, participants could look simultaneously directly at the to-be-imitated picture
563 and into the camera.

564

565

566 *Procedure*

567 All experimental tasks were written on MATLAB (R2016b, The Mathworks, Natick, MA), using
568 Psychtoolbox-3^{58–60} and ran on MacOS. All tasks were self-paced with no time deadlines. All
569 participants (except for one, due to technical problems) completed all tasks in the same order.

570 *Facial Expressions Task*

571 The facial expressions task consisted of three parts. In the first part (Figure 1.A), participants saw
572 32 different pictures of four different actors in pseudorandomized order (see the description of
573 *Cue images*, below) and imitated each expression as best they could. Participants pressed a key
574 (the space bar) once they considered that their expression was as close as possible to the actor's
575 expression. We asked participants to try to match the low-level physical features of the face —
576 the curvature of the lips, the elevation of the eyebrows — rather than the emotion conveyed by
577 the expression. Upon pressing the spacebar, the digital camera behind the half-plated mirror took
578 a picture of the participant's facial expression, and a new trial started. On a separate test, we had
579 determined that there was a minimum delay of approximately 80 ms between the time of key
580 press and the time stamp of the image. Accordingly, we included in our instructions to participants
581 to hold the expression in place after they had pressed the key that would trigger the image
582 acquisition.

583 The 32 pictures of participants generated in this way served as target images for the second part
584 of the paradigm. Here, participants saw the target images and tried to reproduce their own
585 expressions. Once again, we emphasized that the goal was to match the low-level physical
586 features of the face rather than the emotion conveyed. After each trial, participants used a mouse
587 to rate their confidence (on a visual analog scale) regarding how well they thought that they had
588 imitated their own previous expression. Participants saw each of their 32 target expressions
589 repeated 8 times in random order (256 trials in total). We only revealed that they would have to
590 reproduce their own expressions after the first part of the experiment was complete. Parts 1 and
591 2 of the experiment took on average approximately 50 minutes. Before starting part 1, participants
592 completed four practice trials where they simply imitated pictures of famous celebrities and took
593 pictures. They did not see the resulting pictures of themselves.

594 In the third part of the task, participants saw each of the 256 pairs of pictures (target and response)
595 and rated them for similarity on a scale exactly like the one they had used for confidence. This
596 part of the experiment took on average 30 minutes.

597 *Cue images*

598 We used 32 different facial expressions as cue pictures (14 from two different male actors, 18
599 from three different female actors) which would be used to generate participant-specific target
600 expressions. To prevent participants from producing stereotypical target expressions, we sought
601 pictures representing expressions that could not be unambiguously categorized as one of the
602 basic emotions⁶¹. We selected pictures from the MPI Small Facial Expression Database²⁸, which
603 includes video sequences of expressions based on a method acting protocol in which actors
604 produce non-standard expressions by imagining themselves in a situation described by a brief
605 scenario and reacting accordingly. Example descriptions of expressions include: “Somebody
606 suggests to try something. You hesitate at first, then you agree”, or “You have reached a goal and
607 you are happy to have accomplished it”. Additionally, we selected still images from the video
608 sequence that did not correspond to the peak expression, but instead to an intermediate step. As
609 a result, the cue images could not easily be labeled as stereotypical expressions (e.g., “happy”,
610 “sad”) for which participants might have a predefined motor program but could instead be
611 assumed to be the result of an unusual and idiosyncratic combination of gestures. Note that, as
612 the samples in Figure 1.C show, these cue images were not unnatural grimaces and so the
613 paradigm remains ecologically valid. We reasoned that these non-canonical expressions would
614 maximize motor variability, ensuring that confidence ratings could be based only on a true
615 evaluation of trial-by-trial performance and not on a general knowledge of how reproducible a
616 given expression was.

617 *Visual Task*

618 Each participant completed 200 trials of a visual metacognition task
619 (https://github.com/metacoglab/meta_dots). On each trial of this task, two circles enclosing sets
620 of dots appeared for 200 ms on either side of a central fixation cross (each circle with a radius of
621 5 degrees of visual angle, located along the middle of the screen, with an eccentricity from the
622 vertical midline of 5.5 degrees of visual angle). One of the two circles always contained 50 dots
623 while the other varied in dot number, and the position (left/right) of the circles was randomized on
624 each trial. In a 2-alternative forced-choice (2AFC) task, participants discriminated which of the

625 circles contained more dots by pressing the left or right arrow keys on the keyboard. The
626 difference in the number of dots was determined by a pair of interleaved 2-down-1-up adaptive
627 staircases aimed at fixing performance at around 71% accuracy. After each response, participants
628 reported their confidence in the accuracy of their own response using the same vertical visual
629 analog scale that they had used for the two previous tasks rating confidence and similarity for
630 facial expressions.

631 Before the main visual task, we ran 80 trials of a staircase procedure where participants did only
632 the discrimination task without rating confidence. Here we also included two interleaved 2-down-
633 1-up staircases starting from a difference of 3 and 20 dots respectively. One participant
634 (unintentionally) received feedback about the accuracy of the discrimination task while rating
635 confidence, so we excluded their data from the analysis. The visual task took approximately 20
636 minutes. Over all participants, we also excluded 2% of the trials where the reaction times to either
637 the discrimination task or the confidence rating were faster than 300 ms or slower than 5 s. We
638 estimated metacognitive efficiency as M_{ratio}^{30} after scaling and binning confidence into four
639 discrete confidence levels based on uniform intervals.

640 *Toronto Alexithymia Scale*

641 At the end of the experiment we collected responses to a computerized version of the Toronto
642 Alexithymia Scale (TAS⁶²) running on a browser, and the data were stored locally⁶³ (jatos.org).
643 Most participants completed a German version of the scale, except for seven non-German
644 speakers who completed an English version instead. The TAS-20 consists of 20 items that can
645 each be answered on a 5-point Likert scale. We considered three out of the four subscales
646 (Difficulty identifying feelings, Difficulty describing feelings, and Externally-oriented thinking, but
647 excluded the Daydreaming subscale). We calculated Bayes Factors (BF_{10}) for correlations
648 between these covariates and individual slopes from the estimated models using the *BayesFactor*
649 package⁶⁴ in R (version 3.6.2).

650 *Data processing and analysis*

651 Following the pre-registered plan, we excluded trials from the facial expressions task at the single
652 participant level if RTs (time between image onset and key press) were above the 95 percentile
653 for that participant. This cutoff was necessary because we noticed that participants sometimes
654 laughed at their own picture or got otherwise distracted. This resulted in seven trials excluded

655 from the entire dataset where the time to take a picture was below 300 ms, and a mean lower
656 threshold of exclusion of 9.43 s (range: 4.0 - 18.0 s).

657 For each of the pictures taken, we obtained the x,y coordinates of landmarks distributed on the
658 face. In our pre-registered plan we stated that we would estimate the landmark positions using
659 two different toolboxes and choose the best one to estimate distance based on the quality of the
660 relationship to the similarity ratings. Instead, due to technical problems in running one of the
661 toolboxes we opted for the Face Alignment package⁶⁵ alone ([https://github.com/1adrianb/face-](https://github.com/1adrianb/face-alignment)
662 [alignment](#) v.1.0.0), a fully automated deep-learning based face alignment network (FAN) that
663 places landmarks on the pictures. We used the *face-alignment* package together with *scikit-image*
664 *and pytorch* to extract the landmarks from the faces, running on Python v3 in a Jupyter notebook
665 v5. The face-alignment package automatically places 68 landmarks on the face and excludes the
666 forehead and hairline.

667 Using MATLAB (R2020a), we computed the distance (in coordinate space) between each pair of
668 target and response images. Using the x,y coordinates for all landmarks, we ran a Procrustes
669 rigid alignment of each face in a pair to a standardized set of coordinates. We used three minimally
670 variant reference points for this alignment: the outer corners of each eye and a point just below
671 the nose. The transformation allowed for translation, orthogonal rotation, and scaling. Thus, these
672 linear transformations minimized the variance in the distance data that could be accounted for by
673 head rotations and general enlargement or shrinkage due to change in the face position. It did
674 not account for other rotations (yaw and pitch), where the relative distance between some face
675 components can change without the facial expression being different. After rigid transformation,
676 we calculated the total distance for each pair of target and response images as the Euclidean
677 distance (the root of the sum of squares, see equation in Figure 1) over all 68 landmarks between
678 the two images. We refer to this measure simply as the distance between two images. We then
679 log-transformed the obtained distances to ensure that the data were normally distributed before
680 fitting the Bayesian mixed models.

681 *Bayesian mixed models*

682 In our central analysis we computed metacognitive access to facial expressions as the
683 relationship between confidence ratings and performance. We take the distance as an inverse
684 measure of performance: if a response image closely matches the target image, the distance
685 between them will be small. Furthermore, a strong negative relationship between confidence

686 ratings and distance will indicate that participants had metacognitive access to their own facial
687 expressions, as they (correctly) provided low ratings in trials where the two images differed the
688 most. Conversely, no relationship between confidence and distance would indicate that
689 participants had no metacognitive access to their own expressions.

690 Because finding no relationships between variables was a plausible outcome from our analyses,
691 we used Bayesian statistics that, unlike frequentist statistics, provide evidence for the null
692 hypotheses. We analyzed the data using Bayesian mixed models created in Stan ([http://mc-
693 stan.org/](http://mc-stan.org/)) through the *brms* package^{66,67}. In all cases, we ran 4 chains with 15,000 iterations,
694 5,000 burn-in samples each, and no thinning. We checked for convergence by visually examining
695 the MCMC chains and ensured that the scale reduction factor (Rhat) of all models was equal or
696 close to 1. We considered that ratings might vary across participants both in their mean and in
697 their relationship to the landmark distance, and that different facial expressions might vary in their
698 associated difficulty to both reproduce (leading to greater variability in the landmark distance) and
699 to rate (leading to differences in the ratings). Thus, in all models and unless otherwise stated, we
700 included random slopes for both participants and facial expressions (see the explicit model syntax
701 in Table 1). We extracted the participant-wise random slopes using the *mixedup* package
702 (<https://m-clark.github.io/mixedup/>).

703 Because, to the best of our knowledge, there was no existing data to inform our priors, we followed
704 recommendations⁶⁸ to use heuristics to define prior distributions. We built the prior for the slope
705 between ratings and distance based on the ratio-of-scales heuristic: we found that the range of
706 (log-transformed) distances was approximately 3 a.u. (arbitrary units), whereas the range of
707 confidence ratings is 1 point (minimum: 0). Therefore we used a normal prior centered on 0 with
708 an $SD = \frac{1}{3}$ (which corresponds to the ratio between confidence range and distance range) for the
709 slope parameter. To find a prior for the model intercept we followed the logic behind the room-to-
710 move heuristic. Note that raw distances ranged between [131.36 - 2493.78] a.u., hence the
711 expected rating at 0 distance (i.e., perfect performance) can be well approximated by the
712 expected rating at distance = 1, which corresponds to the intercept in a linear model with log-
713 transformed distances. We reasoned that a participant with maximum metacognitive performance
714 would consistently rate their confidence as 1, when the distance between the two images was 0.
715 Because we realistically expect participants to have (at most) less than perfect metacognitive
716 access to their own expressions, we centered the prior at 0.8 with an $SD = 0.5$. Following a similar
717 logic, we set the prior slope between the two ratings to be centered at 0 with $SD = 1$, and an

718 intercept of 0 with an SD = $\frac{1}{2}$. For all models, we report the estimate, its associated error mean,
 719 the 95% credibility interval (CI), and the BF_{10} , estimated using the *bayestestR* package⁶⁹, to
 720 compare each model against its null counterpart, containing the same random effects structure
 721 but not the fixed effect of interest. We also include the posterior draws for each participant in
 722 relation to the region of practical equivalence (ROPE). We set the ROPE to a default range from
 723 -0.1 to 0.1 of a standardized parameter, which corresponds to a negligible effect size^{70,71}. Finally,
 724 we estimated R^2 values as implemented by the *brms* package⁷².

725

726 **Table 1: Formulas for the Bayesian mixed models employed**

Hypothesis	Model Formula	Corresponding Figures
Participants' confidence in their own performance is inversely related to the distance between two images	confidence ~ logDistance + (1 + logDistance participantID) + (1 expressionID)	Figure 2 Appendix 1- Figure 5
The (mean) similarity ratings are inversely related to the distance between two images	meanSimilarity ~ logDistance + (1 + logDistance participantID) + (1 expressionID)	Figure 3 Appendix 1- Figure 7
Confidence and similarity ratings of the same participant are related	confidence ~ similarity + (1 participantID) + (1 expressionID)	Figure 4
Confidence and reaction times are negatively related	confidence ~ RT + (RT participantID) + (1 expressionID)	-
Confidence and ML-weighted distances are related	confidence ~ MLweightDist + (1 + MLweightDist participantID) + (1 expressionID)	-

727

728

729 We computed metacognitive access to faces using only linear regression and estimated the
 730 correlation with visual Mratios, deviating from the pre-registered plan. We initially planned to also
 731 calculate the area under a type-2 ROC curve (AUROC2) by arbitrarily assuming that first-order

732 performance on the Faces task was at 70% accuracy and by classifying trials with distances
733 above the corresponding threshold as “incorrect”. This analysis had the advantage that it would
734 have allowed us to correlate metacognitive performance measured on the same scale for both
735 tasks (Faces and Visual), but we later reasoned that it would make the results less easily
736 interpretable while not adding explanatory power and therefore decided to omit it.

737 *Machine learning models*

738 Using Python v3, and *scikit-learn*, we created a separate model for each subject wherein, first,
739 each landmark distance was determined by (x,y) coordinate differences between the two images.
740 We further decomposed the differences into four zero- or positive features (one for each cardinal
741 direction). This allowed different directions of movement to be weighted differently by the model.
742 We normalized each feature by dividing it by its median. Then, we applied dimensionality
743 reduction using principal component analysis with a set number of principal components (66, or
744 approximately 90% of the variance from all subjects) in order to avoid multicollinearity among the
745 features. Finally, a least squares linear regression model was trained for each participant using
746 trial-wise leave-one-out cross-validation.

747 The resulting ML model weights referred to features in principal component space. We translated
748 the model weights back into landmark space (i.e., x,y coordinates of the facial landmarks). To do
749 so, we approximated the weight w of each feature f using the expression in (1):

$$750 \quad w_f = \sum_{c=1}^{66} \lambda_{f,c} \times \omega_c \quad (1)$$

751 Where $\lambda_{f,c}$ is the loading of feature f on principal component C , and ω_c is the ML model’s
752 weighting of principal component C .

753 To reconstruct the distances weighted by the results of each ML model, we used expression (2):

$$754 \quad RSSQ_{weighted} = \sqrt{\sum_{f=1}^{272} w_f \times f^2} \quad (2)$$

755 Where w_f denotes the weights for each feature f , which is in turn the difference between response
756 and target images for each cardinal direction, for a given landmark, if the difference was positive,
757 and 0 otherwise. The 272 features result from decomposing 68 landmarks into the four cardinal
758 directions. Note that unlike the case for the Euclidean distance, where distances were forced to

759 be positive and each of them had an effective weight of 1, here we allowed the feature weights to
760 be signed. For those cases where the term under the square root was negative, we calculated
761 the root of the absolute value and then reversed the sign. Note that $RSSQ_{weighted}$ is now better
762 interpreted as a measure of performance, and not distance: because the ML-derived weights
763 already account for the negative relationship between distance and confidence, $RSSQ_{weighted}$ is
764 expected to show a positive relationship to confidence.

765 We obtained adjusted R2 for each (participant-specific) model values and compared them using
766 a Bayesian Wilcoxon Signed-Rank test⁷³ as implemented in JASP⁷⁴ v0.14 with 10,000 MCMC
767 samples and 5 chains, and a default Cauchy prior.

768

769

770 **Acknowledgements**

771 We thank student assistants for help in data collection in Experiment 1, and Manuel Zellhöfer for
772 help in programming the experimental paradigm. We thank Soledad Galli for assistance with the
773 ML models and Nathan Faivre for comments on an earlier version of this manuscript. ABC, CF
774 and EF were supported by a Freigeist Fellowship to EF from the Volkswagen Foundation (grant
775 number 91620). This work was supported by the Deutsche Forschungsgemeinschaft (DFG,
776 German Research Foundation) - 337619223 / RTG2386 and the Max-Planck Society. The
777 funders had no role in the conceptualization, design, data collection, analysis, decision to publish,
778 or preparation of the manuscript.

779 **Competing Interests**

780 The authors declare no competing interests.

781 **Data and Code Availability**

782 Raw data (excluding images from participants and any other personally identifiable information)
783 along with reproducible analysis scripts are available under
784 https://gitlab.com/elisa.filevich/cistonetal_metacognitionoffacialexpressions.

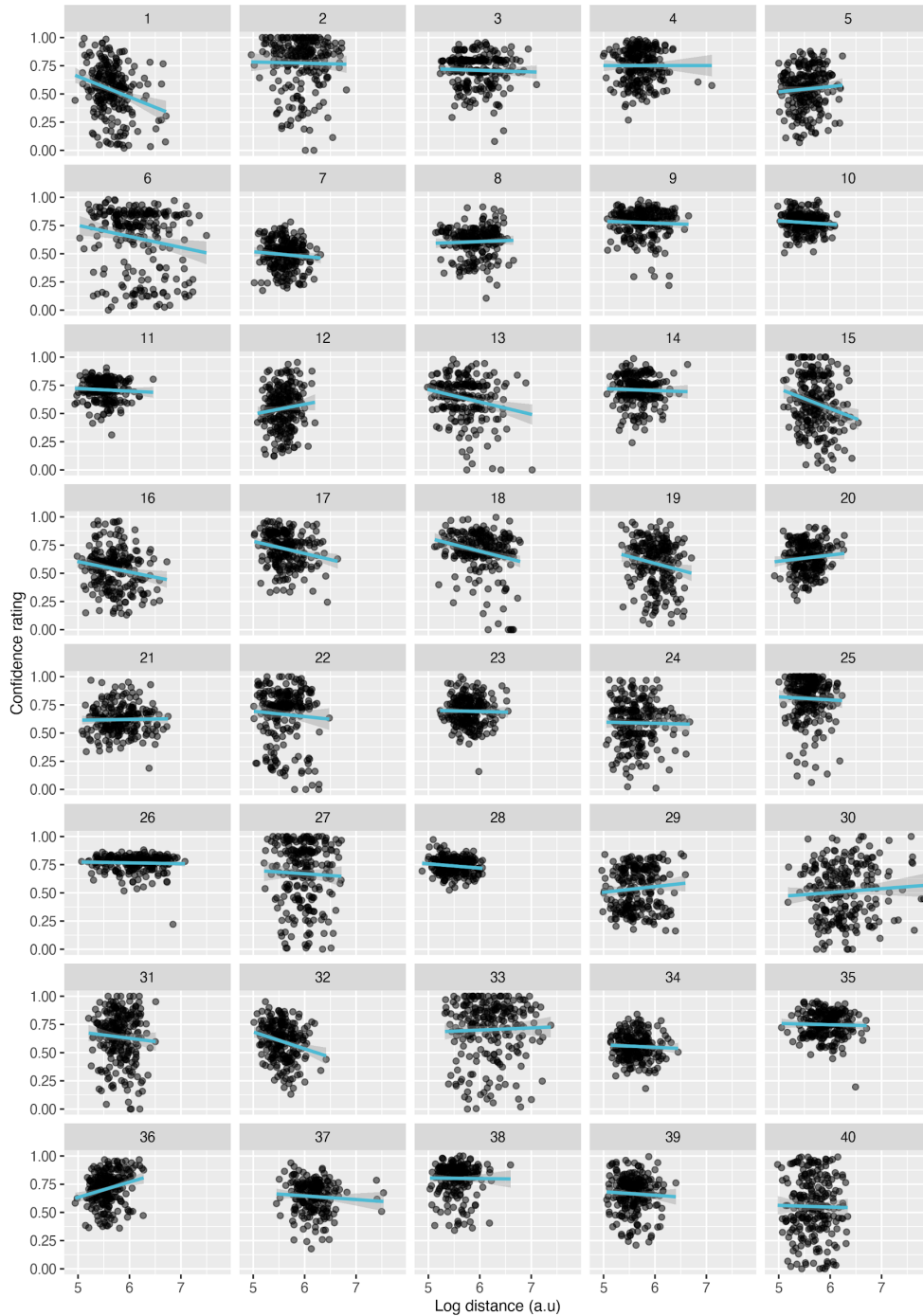
785

786 **Appendix 1**

787 **Supplementary Information**

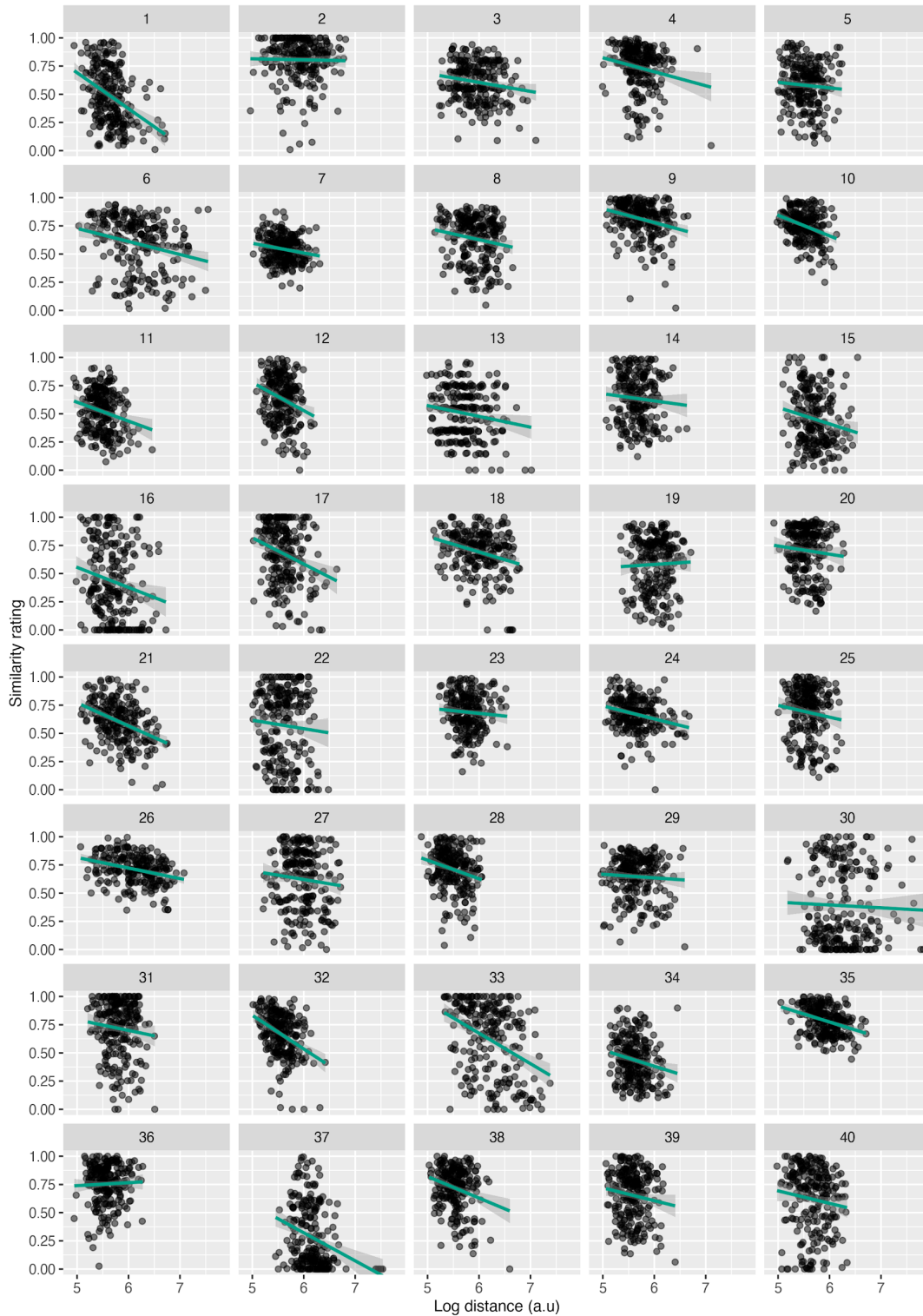
788 Appendix 1-Figures 1-3 show the single-trial data (and linear regressions at the single-participant

789 level) for the data reported in the main text.



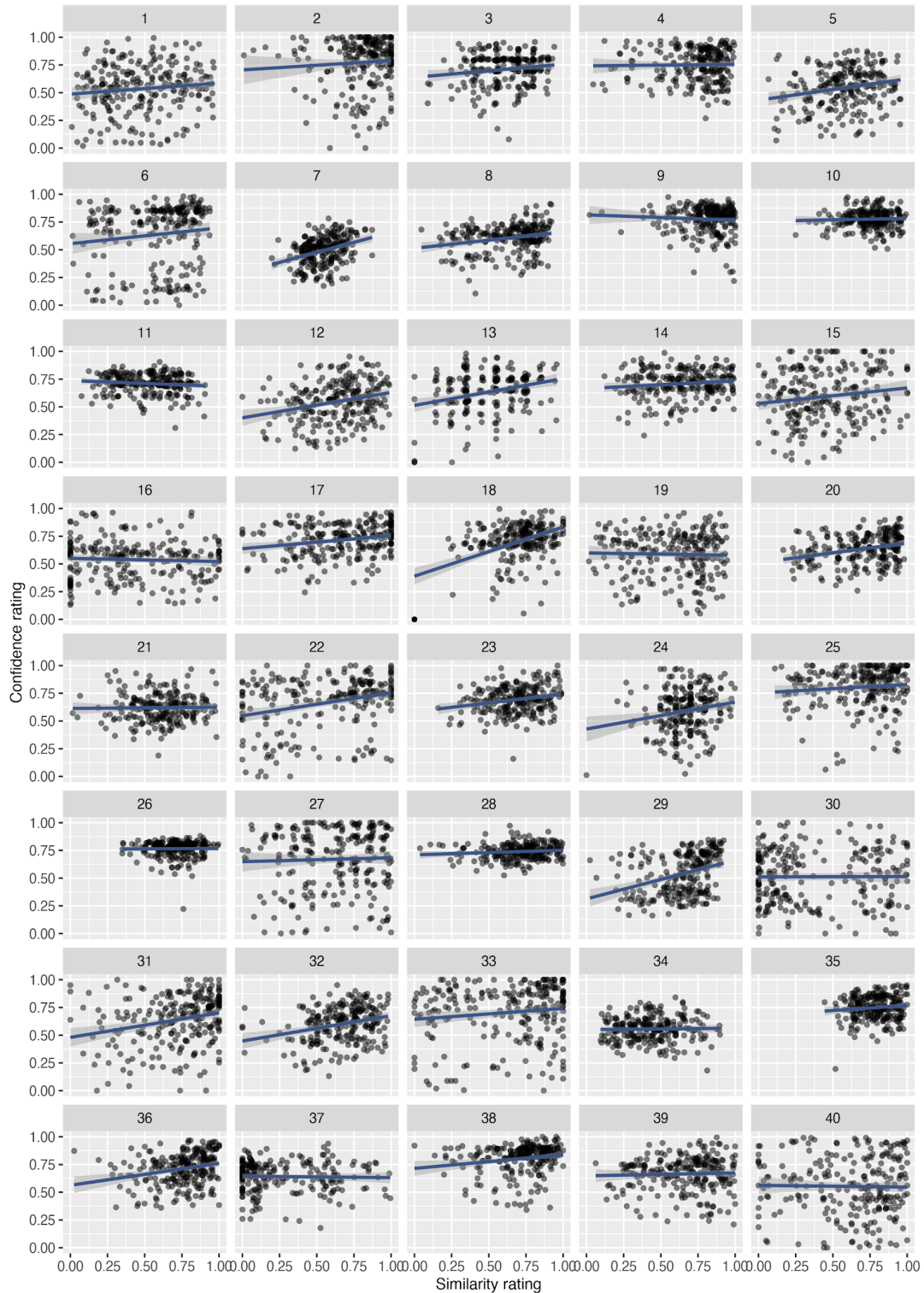
790

791 **Appendix 1-Figure 1: Linear regressions of confidence ratings as a function of distance (at**
792 **the single-participant level, for Experiment 2). Note that all statistical inferences are made on the**
793 **basis of Bayesian linear regressions, and this plot is for illustrative purposes only.**



794

795 **Appendix 1-Figure 2: Linear regressions of similarity ratings as a function of distance** (at
796 the single-participant level, for Experiment 2). Note that all statistical inferences are made on the
797 basis of Bayesian linear regressions, and this plot is for illustrative purposes only.



798

799 **Appendix 1-Figure 3: Linear regressions of confidence vs. similarity ratings** (at the single-
800 participant level, for Experiment 2). Note that all statistical inferences are made on the basis of
801 Bayesian linear regressions, and this plot is for illustrative purposes only.

802

803

804 **Supplementary analyses**

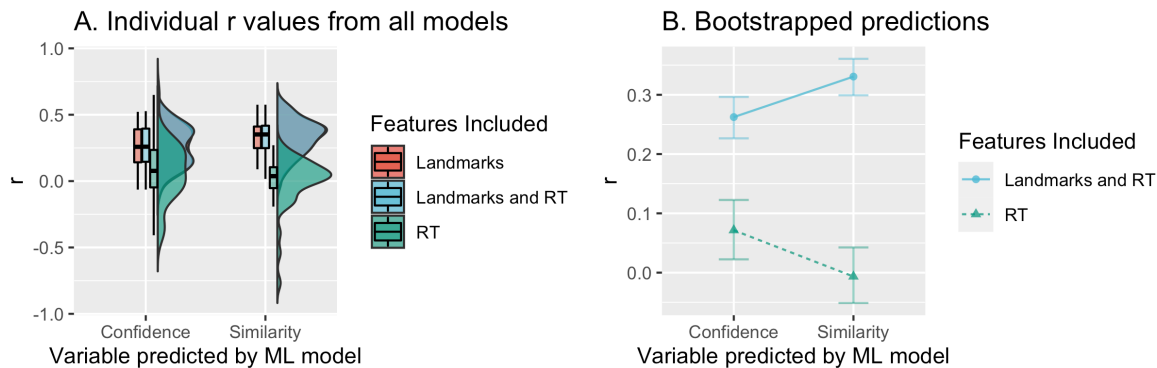
805 *Machine Learning - Effects of RT*

806 Because confidence is known to correlate negatively with response times^{75,76} (RT), we first
807 explored a potential relationship between the two and asked whether RTs could have served as
808 a proxy for performance. We ran a Bayesian linear regression model of participants' confidence
809 ratings including the RT as a fixed effect and random intercepts for participant and facial
810 expression, as well as a per-participant random slope for RT. We based the prior distribution for
811 this analysis on previous data from our group³, and set a wide prior for the intercept centered at
812 around confidence = 0.8 with SD = 0.5, and a prior for the slope centered on 0 with an SD = 0.20,
813 which roughly corresponds to the ratio-of-scales. We confirmed that there was a small but
814 consistent effect of RT on confidence ($M = -0.01 \pm 0.00$, $CI = [-0.02, -0.00]$, $BF_{10} = 5.66 \times 10^{39}$, R^2
815 = 0.20).

816 To evaluate whether the landmarks informed confidence ratings above and beyond RT, we
817 compared the resulting individual r values from the ML models (including both RTs and the x , y
818 positions of the landmarks) to those of a ML model including only RTs as their single feature
819 (Appendix 1-Figure 4). A non-parametric ANOVA computed with the *ez* package for R revealed
820 an interaction effect ($p = 0.001$) on the r values between the variable predicted (confidence or
821 similarity) and the features included in the model. Note that we do not interpret the main effect of
822 the number of features included, as these are known to inflate the r values. Instead, we focus on
823 the interaction effect. In particular, the interaction revealed higher r values for the models of
824 similarity that included both landmarks and RT as compared to confidence (Wilcoxon signed rank
825 test, $p = 0.015$), but lower r values for models including RTs only (Wilcoxon signed rank test, $p =$
826 0.068). This pattern of results is consistent with landmarks being predictive of confidence ratings,
827 above and beyond RTs To understand the contribution of RTs relative to the other features, we
828 obtained the rank of importance of RTs within the ML model. We found that RTs varied in
829 importance with each participant, but ranged between the 5th and the 100th percentile (Mean =

830 71.15, Median = 93.41), suggesting that, for some participants, RTs were the most reliable piece
831 of information for confidence ratings, even if the variance explained by them was very low.

832



833

834 **Appendix 1-Figure 4: r values resulting from the linear regression models built using ML. A.**
835 Distributions of individual r values (summarized with boxplots and violin plots) for models on confidence or
836 similarity ratings, using different sets of features. **B. Bootstrapped predictions from a non-parametric**
837 **ANOVA** for models of confidence and similarity built using RTs alone or also including landmark
838 information.

839

840 **Supplementary Pilot Experiment**

841 Prior to pre-registering and collecting the data reported in the main text, we collected a smaller
842 dataset as a pilot. Because there are some important differences in the experimental details, we
843 report the methods and results as supporting information, that serve as a conceptual replication.

844 **Supplementary Methods - Pilot Experiment**

845 The methods for the pilot experiment were largely similar to those of the main experiment. We
846 only describe here the differences between the two.

847 *Participants*

848 Thirteen healthy participants took part in the experiment after giving informed consent (seven
849 female, mean \pm SD: 24 \pm 3 years). One participant was excluded from the analysis because four
850 external judges agreed (see below) that there was no variability in their facial expressions.
851 Participants had no recent history of psychiatric disease. The local ethics committee approved all
852 procedures, which conformed to the Declaration of Helsinki.

853 *Apparatus*

854 Behind the mirror, a digital camera (Logitech HD C310) connected to the computer captured
855 images of the participants' facial expressions. The apparatus was similar to the one described in
856 the main text, with some minor differences. Unlike in the main experiment, where the screen
857 rested on top of the stimulus box and projected downwards, the screen lay on the table for the
858 pilot experiment and projected upwards. From the point of view of the participants, this did not
859 change the visual display.

860 *Procedure*

861 The task was programmed on GNU Octave and displayed stimuli using Psychtoolbox-3⁵⁸⁻⁶⁰, and
862 ran on a Linux Debian (Gnome 3.4.2) operating system. The task consisted of two parts (not
863 three). Participants saw 30 (not 32) different photos of four different actors and imitated each
864 expression as best they could. The images were presented in one of five possible pre-defined
865 random orders to each participant. As in the main experiment, participants first generated 30
866 participant-specific pictures that then served as target images for the second part of the paradigm.
867 After each trial, participants rated their confidence (on a scale from 1 to 6) regarding how well
868 they thought that they had imitated their own previous expression. To make the task intuitive, we
869 kept the mapping of the scale consistent with the German education system, where the best grade
870 is a 1.0. We then reversed the ratings for further analyses, so that a rating of 6 corresponds to
871 the highest confidence. In all cases, we recorded each picture taken, the response time (RT,
872 measured as the time between image onset and key press) and participants' confidence ratings.
873 Participants saw each of their 30 target expressions repeated 8 times in random order, for a total
874 of 240 trials. We only revealed that they would have to reproduce their own expressions after the
875 first part of the experiment was complete. On average, the experiment took approximately 50
876 minutes.

877 *Data Processing and Analysis*

878 We first used the Face Modeling GUI⁷⁸ to manually position 99 landmarks on their corresponding
879 locations on a small subset of images (3-5) of each participant. The Face Modeling GUI then uses
880 the location of these landmarks to automatically find their optimal locations in the remaining
881 images. After the automatic fit, the landmarks in each of the images were corrected manually. In
882 this way, we reduced the dimensionality of each of the 240 response images along with the 30
883 target images for each of the participants to 99 pairs of (x,y) coordinates. We then did the same

884 Procrustes rigid-alignment as described in the main text, with 5 reference points instead of 3 (the
885 inner and outer corners of each eye and a point just below the nose). We did not use a mean
886 reference face, but instead minimized the distance of each response picture to its corresponding
887 target picture.

888 *Similarity ratings by external judges*

889 Unlike what was the case in the main experiment, here four independent judges (student research
890 assistants) rated the image pairs for similarity on a scale from 1 to 6, exactly like the one the
891 participants had used.

892 *Data processing and analysis*

893 Here as well we followed recommendations⁶⁸ to use heuristics to define prior distributions. We
894 built the prior for the slope based on the ratio-of-scales heuristic: we found that the range of (log-
895 transformed) distances was approximately 4.93 a.u. (arbitrary units), whereas the maximum
896 possible range of ratings is 5 points (maximum: 6, minimum: 1). The ratio between the two is
897 approximately 1, so we used a normal prior centered on 0 with an SD = 1 for the slope parameter.
898 To find a prior for the model intercept (the expected rating at 0 distance, i.e., perfect performance),
899 we followed the logic behind the room-to-move heuristic. We reasoned that a participant with
900 maximum metacognitive performance would consistently rate their confidence as 6, when the
901 distance between the two images was 0. Because we realistically expect participants to have (at
902 most) less than perfect metacognitive access to their own expressions, we centered the prior at
903 4 with an SD = 3.

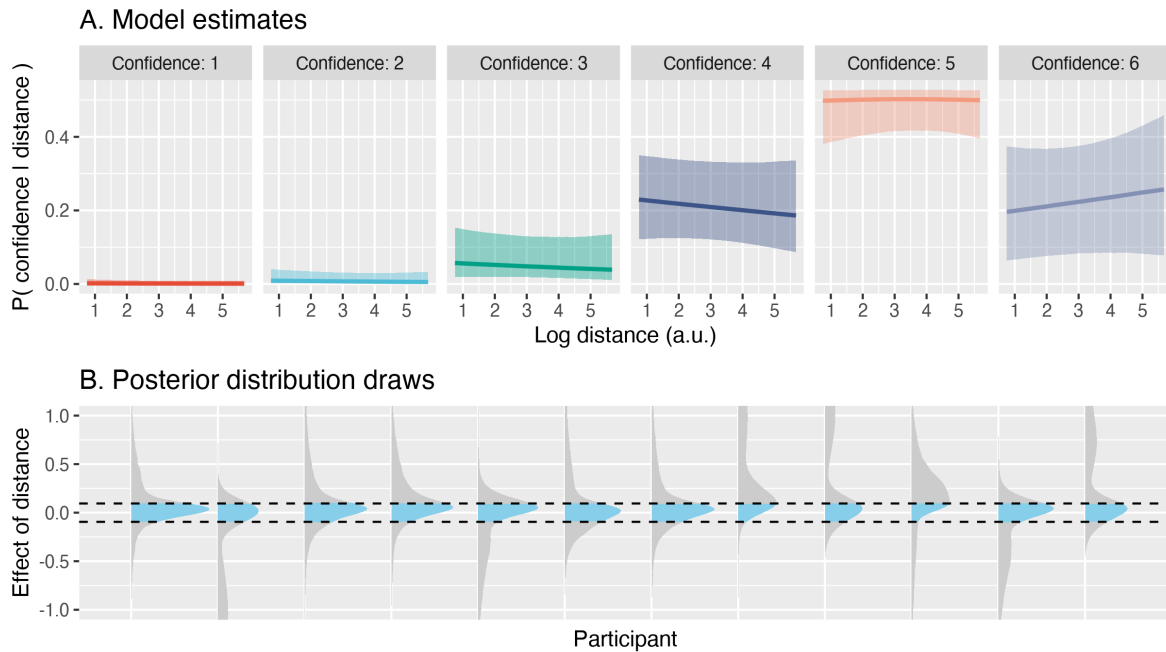
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905 **Supplementary Results - Pilot Experiment**

906 Because ratings were not on a visual analog scale but instead on a Likert scale, we first quantified
907 our participants' metacognitive access to their own facial expressions using an ordinal Bayesian
908 mixed-effects regression model of participants' confidence ratings. The model included the log-
909 transformed landmark distances as a fixed effect (for all 99 landmarks combined) as well as
910 random intercepts for participant and facial expression (See Appendix 1-Table 1). The estimated
911 ordinal regression coefficient was indistinguishable from 0 ($M = 0.04 \pm 0.07$, $CI = [-0.10, 0.16]$)
912 and the evidence ratio favoured the (point) null hypothesis of no relationship between confidence
913 and distance ($BF_{10} = 0.082$). This is illustrated by the flat probability profiles for each rating shown

914 in Appendix 1-Figure 5.A: while there were differences in the overall probability of each confidence
915 rating (e.g. a rating of 5 occurring more often than others), the probability of a participant providing
916 a given confidence rating was similar over all landmarks distances (see also Appendix 1-Figure
917 6 for the single-participant data).

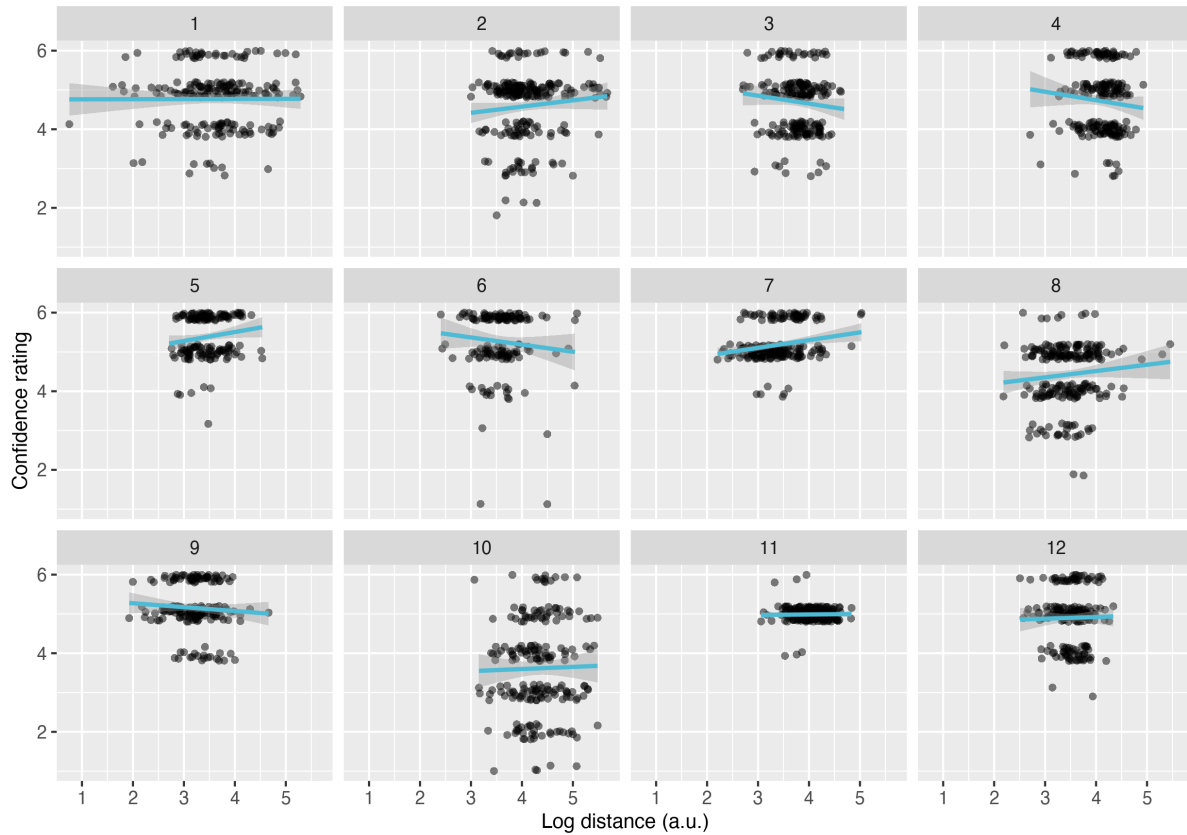
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920 **Appendix 1-Figure 5. No evidence for metacognitive access to facial expressions (A.)** Group effects
921 reflecting mean metacognitive access, namely the relationship between confidence ratings and distance
922 between two images (inverse of performance). While different confidence ratings appear at different
923 frequencies in the data, they do not vary with distance as would be expected if participants had
924 metacognitive access to their own expressions. Solid lines represent the mean of the posterior draws, the
925 shaded regions represent the 95% credibility interval. **(B.)** Posterior draws for each subject, shown in
926 relation to the ROPE. Note that the y-axis is clipped to better display the distributions around the ROPE
927 and therefore excludes the long tails of some of the distributions.

928



929

930 **Appendix 1-Figure 6: Linear regressions of confidence ratings as a function of distance** (at the
931 single-participant level, for Experiment 1). Note that all statistical inferences are made on the basis of
932 Bayesian ordinal regressions, and this plot is for illustrative purposes only.

933

934 That there is no observable relationship between the combined landmark distances and
935 participants' confidence ratings suggests, at face value, that participants did not have access to
936 the details of their face. However, other alternative explanations must be considered. First, it is
937 possible that the landmark distance measure, which is essentially the result of an algorithm
938 placing landmarks based on pixel information plus some rigid transformations, may not capture
939 enough information relevant for the similarity of two faces. If this were true, there should also be
940 no relationship between the landmark distance and the similarity ratings provided by external
941 judges looking at each image pair side by side. In fact, this was not the case. To evaluate this
942 possibility we used a Bayesian linear mixed-effects regression model on the mean of four judges.
943 The model included the same fixed and random effects factors as in the mixed ordinal model
944 above (namely, the log-transformed distance as a fixed effect, intercepts for participant and
945 expression as random effects, and a by-participant random slope for the fixed effect). However,

946 unlike in the mixed-effects regression model on participants' confidence ratings, we did find a
947 consistent negative relationship between the distance and the similarity ratings ($M = -0.54 \pm 0.06$,
948 $CI = [-0.67, -0.42]$, $BF_{10} = 71551.85$). That is, unlike the confidence ratings, the similarity ratings
949 did show a consistent and (as expected) negative relationship to the distance (Appendix 1-Figure
950 7.B and Appendix 1-Figure 8). This suggests that the distance did carry some information about
951 face similarity meaningful to human observers. For illustration purposes only, we repeated the
952 analysis between similarity ratings and distance but this time rounded the mean ratings and ran
953 an ordinal model (Appendix 1-Figure 7.B). We do not make any statistical inferences from this
954 analysis but use it only to illustrate the differences between the probability profiles of the ratings
955 that vary with distance and those who do not (Appendix 1-Figure 5.A).

956 As in the main experiment, here we also found that distance was related to similarity ratings.
957 Neither the procedure to estimate distance nor the similarity ratings were identical between the
958 two experiments (two different algorithms placed 68 or 99 landmarks respectively; and either the
959 participants themselves or external judges rated similarity), which validated our measure of
960 distance by showing that it does not depend on idiosyncratic properties of the algorithm or the
961 rating process.

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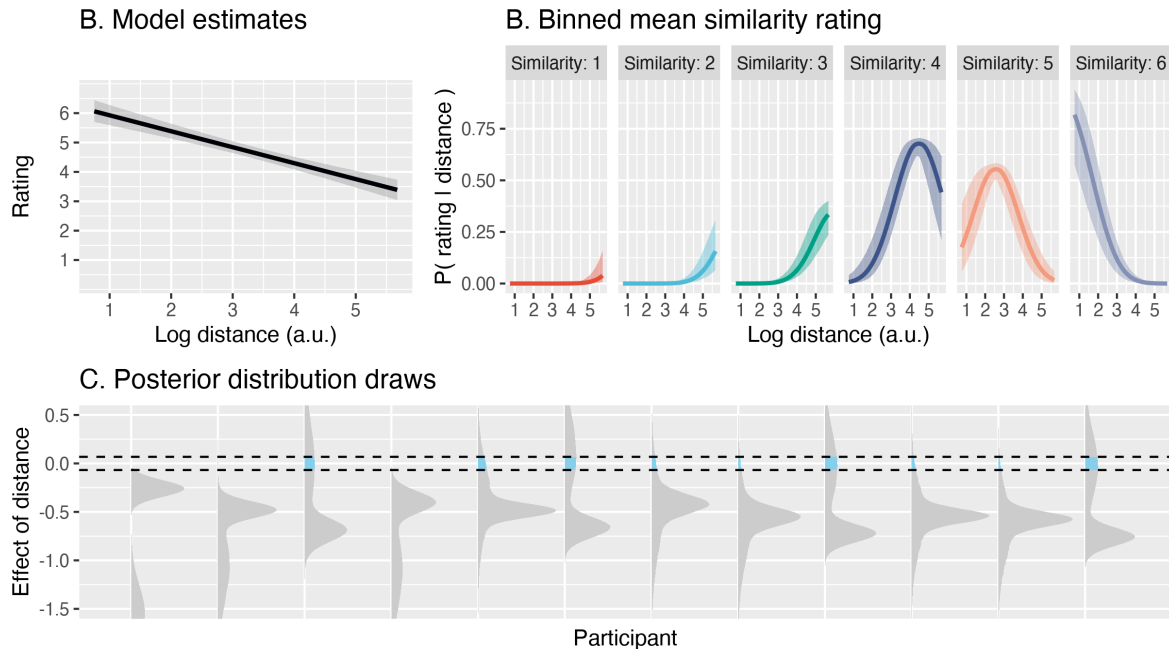
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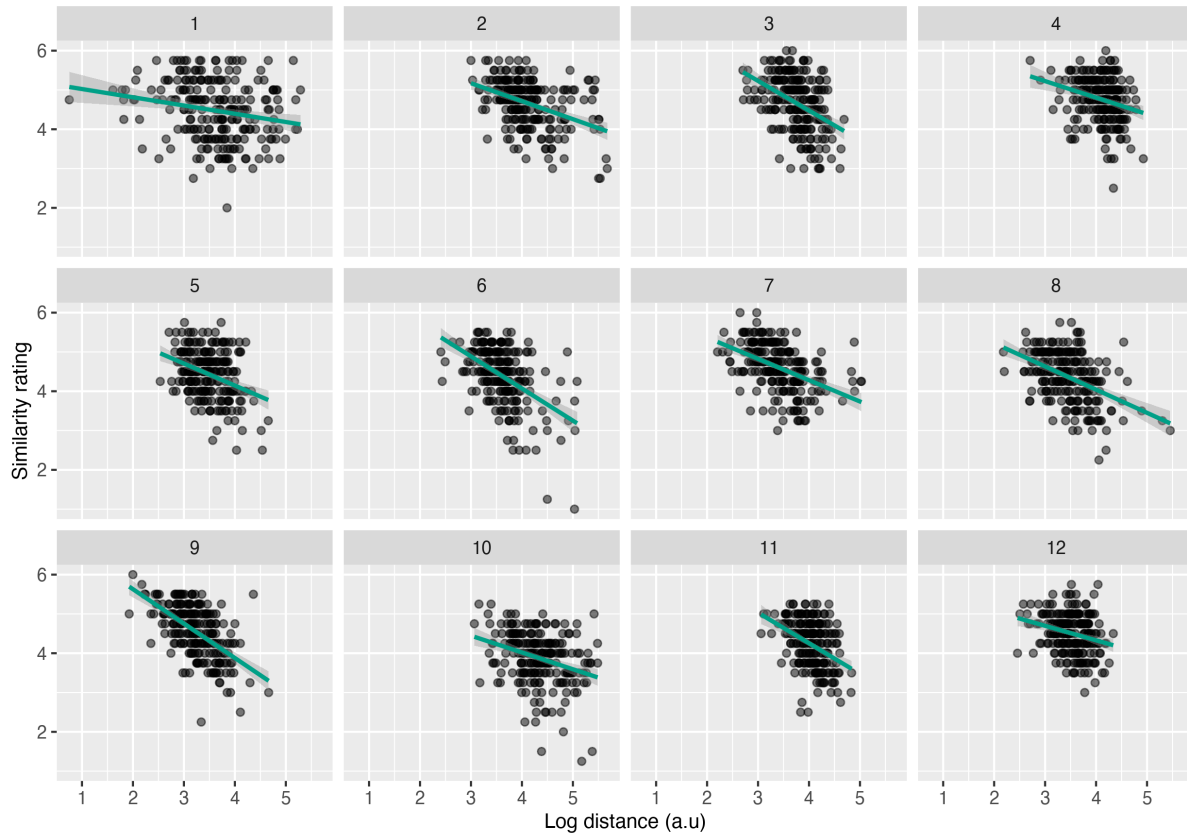


969

970 **Appendix 1-Figure 7. The distance between two images captures relevant information. (A.)** Group
971 effects reflecting the information contained in the distance between two images, namely the relationship
972 between the mean similarity ratings of four judges (who viewed each image pair side-by-side) and distance
973 between two images. There is a clear relationship between mean similarity and distance, suggesting that
974 distance contains meaningful variability. **(B.)** An ordinal version of the model shown in (A.) presented only
975 to illustrate the contrast to Appendix 1-Figure 5. For both panels (A.) and (B.), solid lines represent the
976 mean of the posterior draws, and the shaded regions represent the 95% credibility interval. **(C.)** Posterior
977 draws for each subject, shown in relationship to the region of practical equivalence (ROPE). Note that the
978 y-axis is clipped to better display the distributions around the ROPE and therefore excludes the long tails
979 of some of the distributions.

980

981



982

983 **Appendix 1-Figure 8: Linear regressions of mean similarity ratings as a function of distance.** The y
984 axis represents the mean of all four judges, and each panel represents a single participant, from the pilot
985 experiment).

986

987 Importantly, we note that the relationships shown in Appendix 1-Figure 7, panels B. and C. and
988 Appendix 1-Figure 8 are the result of taking the mean of four judges. Thus, this significant
989 relationship might be accounted for by a Wisdom of the crowds effect, whereby the mean of the
990 estimates of many individuals is better than any single individual's estimate⁷⁹. To evaluate this
991 possibility, we ran Bayesian ordinal mixed regressions for the similarity ratings of each individual
992 judge. In all cases, we found that the estimates were negative, and clearly different from 0 (all
993 mean slope estimates < -0.53 , all $BF_{10} > 554$. See Appendix 1-Table 1 and Appendix 1-Figure 9
994 for the model predictions and single-participant data, respectively).

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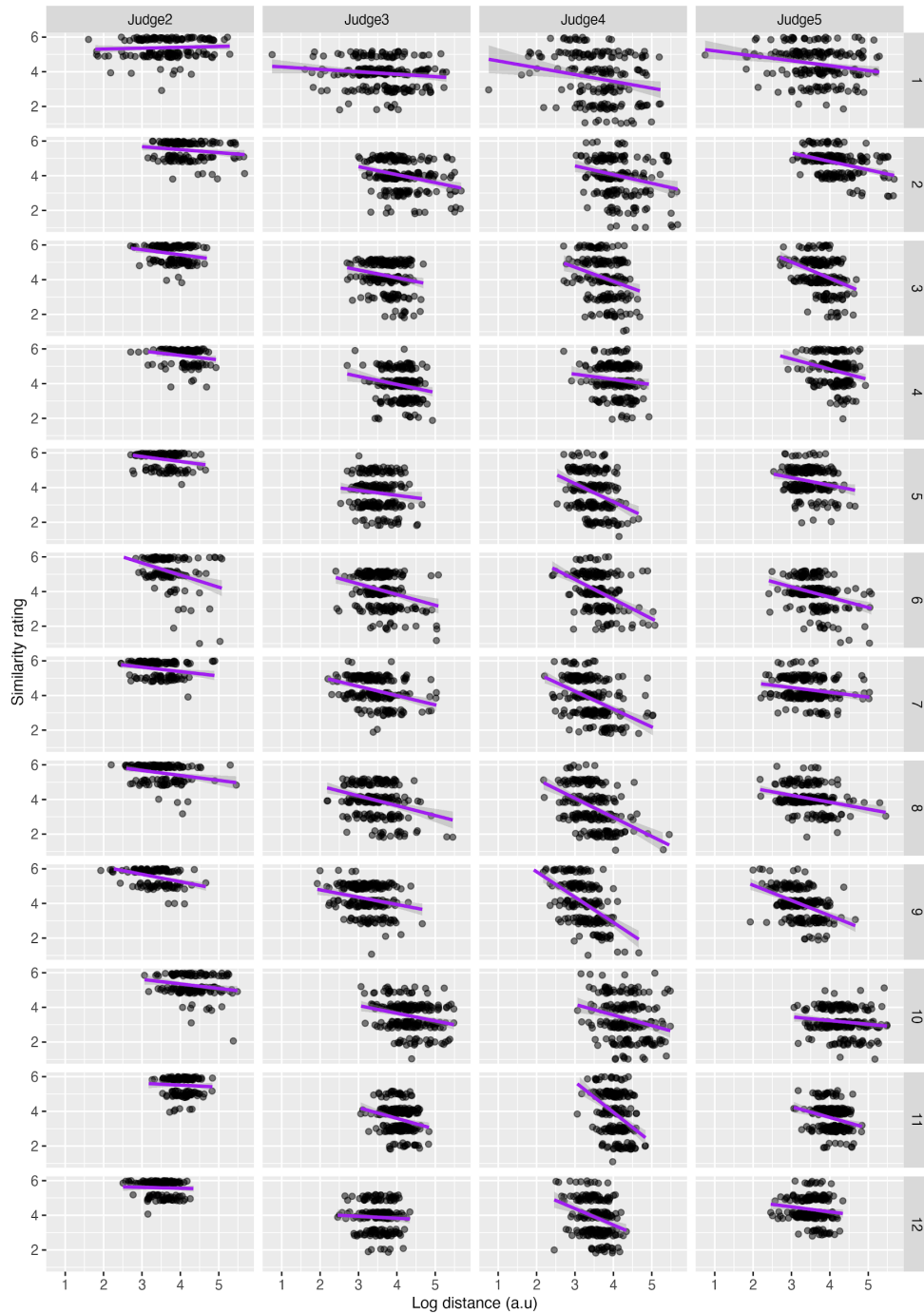
1000 **Appendix 1-Table 1: Bayesian ordinal model estimates for the effect of distance on**
1001 **similarity.** Each row contains the estimates for a single judge (and all participants in the pilot
1002 experiment) and includes the mean, standard deviation, 95% credibility interval and BF_{10} relative
1003 to the null model.

Judge	Effect of distance on similarity rating ($M \pm SD$)	95% CI	BF_{10}
1	-0.53 \pm 0.11	[-0.75, -0.32]	554.91
2	-0.55 \pm 0.07	[-0.70, -0.41]	15175.95
3	-0.89 \pm 0.11	[-1.11, -0.67]	57346.06
4	-0.73 \pm 0.11	[-0.95, -0.51]	7608.01

1004

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1007

1008 **Appendix 1-Figure 9: Linear regressions of similarity ratings from each judge as a function**
1009 **of distance.** Each panel represents a single judge (columns) and participant (rows) from the pilot
1010 experiment.

1011

1012

1013

1014 **Brief Discussion - Pilot Experiment**

1015 Briefly, these results suggest that participants did not have access to the low-level details of their
1016 own facial expressions. This could not be explained by any of the several alternatives we
1017 explored: neither lack of variability in performance or a poor benchmark measure (similarity
1018 ratings from external judges did show a clear relationship to the landmark distances, Appendix 1-
1019 Figure 7) nor the fact that the confidence ratings were from a single person (individual judges'
1020 similarity ratings also showed the same clear relationship, Appendix 1-Table 1) proved to be
1021 sufficient to explain the apparent null relationship between confidence ratings and distance.

1022 Despite these controls, alternative explanations remain in principle possible, which we
1023 incorporated when designing the experiment reported in the main text. First, participants provided
1024 their confidence ratings on a Likert scale from 1-6. Perhaps, a continuous scale would have given
1025 them the opportunity to provide more nuanced and precise ratings. Second, metacognitive ability
1026 — in both the visual⁸⁰ and the motor⁴¹ domains — is known to vary in the normal population.
1027 Perhaps, due to mere chance, participants with poor general metacognitive access to their own
1028 facial expressions were overrepresented in the relatively small sample of 12 participants. Hence,
1029 to exclude the possibility that our conclusions in this pilot experiment resulted from a small (and
1030 potentially biased) sample of 12 participants, we tested a larger sample. Third, we considered the
1031 possibility that the differences we observed in this pilot experiment between the relationships of
1032 distance and confidence and similarity ratings could be attributed to differences in metacognitive
1033 traits between groups of individuals. We therefore did not recruit external judges but asked the
1034 same participants to rate their own performance in the image pairs.

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