Human primary visual cortex shows larger population receptive fields for binocular disparity-defined stimuli

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1 Abstract

The visual perception of 3D depth is underpinned by the brain's ability to combine signals from the left and right eyes to produce a neural representation of binocular disparity for perception and behavior. Electrophysiological studies of binocular disparity over the past two decades have investigated the computational role of neurons in area V1 for binocular combination, while more recent neuroimaging investigations have focused on identifying specific roles for different extrastriate visual areas in depth perception. Here we investigate the population receptive field properties of neural responses to binocular information in striate and extrastriate cortical visual areas using ultra-high field fMRI. We measured BOLD fMRI responses while participants viewed retinotopic-mapping stimuli defined by different visual properties: contrast, luminance, motion, correlated and anti-correlated stereoscopic disparity. By fitting each condition with a population receptive field model, we compared quantitatively the size of the population receptive field for disparity-specific stimulation. We found larger population receptive fields for disparity compared with contrast and luminance in area V1, the first stage of binocular combination, which likely reflects the binocular integration zone, an interpretation supported by modelling of the binocular energy model. A similar pattern was found in region LOC, where it may reflect the role of disparity as a cue for 3D shape. These findings provide insight into the binocular receptive field properties underlying processing for human stereoscopic vision.

2 Keywords

Stereopsis, disparity, V1, visual cortex, fMRI, population receptive field

2

3 Introduction

Binocular stereopsis underlies our perceptual experience of stereoscopic depth and visual three-dimensional structure. Stereopsis is supported by a set of neural mechanisms for disparity selectivity and binocular integration that are distributed across multiple cortical regions in the human visual cortex (Backus et al. 2001; Bridge and Parker 2007; Preston et al. 2008; Ip et al. 2014; Goncalves et al. 2015; Li et al. 2019) and characterized by selective responses to specific stimulus features, such as absolute and relative disparity, surface curvature, slant, or separation in depth (Parker 2007).

To fully understand stereopsis, it is necessary to establish the relevant region of visual space over which binocular disparity is computed. We define this as the binocular integration zone, comprising the coincident retinal spaces of left and right eyes over which the two monocular inputs are pooled to form a unified binocular representation of disparity in cortical processing (Parker et al. 2016). The site of binocular combination can be localized to the primary visual cortex (V1) in the macaque monkey (Cumming and Parker 1999, 2000; Parker and Cumming 2001), with extrastriate areas performing computations relevant to binocular perception on an integrated representation of the binocular signal. Properties of the binocular integration zone could potentially be similar to the receptive field properties of responses driven by luminance contrast. Alternatively the binocular integration zone could differ in its spatial or temporal properties, revealing limits specific to disparity processing (Prince et al. 2002a; Nienborg et al. 2004, 2005; Anzai et al. 2011).

Neighboring binocular neurons display similar disparity selectivity, leading to clusters of cells encoding near or far disparities (Chen et al. 2008, 2017). This is compounded by the retinotopic organization of visual cortex, leading to regions preferentially responding to a particular

67 binocular disparity, at a particular retinal location. This population-level organization makes

68 disparity selectivity amenable to study with fMRI, a technique that samples cortical responses

69 with a spatial resolution in the range of 1-2 millimeters. Neuroimaging studies of binocular

70 disparity have characterized the spatial selectivity for binocular information across human

71 visual cortex (Backus et al. 2001; Neri et al. 2004; Preston et al. 2008; Minini et al. 2010;

72 Cottereau et al. 2011; Ip et al. 2014; Ban and Welchman 2015), within cortical areas (Nasr et al.

73 2016; Tootell and Nasr 2017), as well as the role they play in perceptual judgements of disparity

74 (Backus et al. 2001; Goncalves et al. 2015; Bridge 2016). A previous study by Barendregt et al.

75 (2015) found that a dichoptic bar stimulus presented in spatially offset positions in the two

76 eyes led to larger population receptive fields in V1 compared to extrastriate regions. What

77 remains unclear is how the characteristics of the binocular integration zone in V1 and

78 extrastriate regions perform spatial integration when stimulated with pure binocular disparity,

79 without any monocular cues compared to receptive fields driven by luminance and contrast.

80

81 We analyzed quantitatively the receptive field properties of binocularly driven receptive fields

82 with population receptive field (pRF) methods. Using dynamic random dot stereograms in

83 which sequential retinotopic positions are stimulated by changes in binocular disparity, we

84 derived a pRF spatial model of fMRI signals that are specific to processing of a particular

85 binocular disparity. The output of the pRF model summarizes the spatial extent of retinal

86 locations over which a disparity signal increases cortical responses. This, in turn, is dictated by

87 the properties of the neurons falling within the stimulated population, specifically the disparity

88 selectivity and spatial selectivity of the receptive fields. As the maximum extent of the disparity-

89 defined pRF is limited by the population-level binocular integration zone of binocular neurons

90 in the sampled cortical space, we take the estimated pRF size as a valid estimate of the binocular

91 integration zone. Sampling across multiple cortical visual areas, we show a pattern of larger

92 pRFs for disparity-defined compared to checkerboard and luminance-defined stimuli in the

4

93   primary visual area V1 and also in the lateral occipital complex (LOC), supporting a distinct role

94   for this extrastriate region in disparity processing. Model simulations reveal that the increase

95   in estimated pRF size to disparity-defined stimuli in primary visual cortex is predicted by a

96   standard binocular energy model (Ohzawa et al. 1990; Cumming and Parker 1997; Anzai et al.

97   1999).

98

4   Materials and methods

4.1   Participants

101   Eight healthy participants with normal or corrected-to-normal vision took part in the study

102   (mean age 27.6 yr, age range 19-42, 6 female). They were screened for normal visual acuity

103   (Snellen chart at 6 meters, <20/20 corrected) and stereoscopic vision (TNO test, <60 arcsec

104   correct detection). This study received ethical approval from the University of Oxford Central

105   University Research Ethics Committee (MS-IDREC-C1-2015-040) and was conducted in

106   accordance with the Declaration of Helsinki (2013 revision). One participant was unable to

107   successfully fuse the stereoscopic images, so they were not included in any of the analyses,

108   leaving 7 participants included in the results.

109

4.2   Stimulus presentation

111   Visual stimuli were generated in MATLAB (v8.0, Mathworks Inc., Natick, MA, USA) using

112   Psychtoolbox (v3.0, http://psychtoolbox.org) and displayed through a LCD projector (LC-XL

113   100, Eiki Industrial Company, Japan) via a back-projection screen situated inside the bore of

114   the MRI scanner (peak luminance = 552 cd/m^2). All stimuli were viewed through red and green

115   anaglyph filters (Wratten 2 Optical Filters #29 and #61, Eastman Kodak, Rochester, NY, USA),

116   both to provide stereoscopic display in the case of disparity-containing stimuli, and to ensure

5

117   equal luminance attenuation across conditions. Luminance crosstalk, defined as the percentage

118   of unintended signal to intended signal, was measured for the red and green filters at 0.16%

119   and 0.82%, respectively. The red filter was always placed over the left eye.

120   Stimuli were arranged across five conditions (Figure 1): checkerboard, correlated disparity,

121   motion, luminance, and anti-correlated disparity conditions. All stimuli were presented within

122   the confines of a 'wedge' or 'ring' aperture, similar to that used in a standard retinotopic

123   mapping design (Engel et al. 1994; Sereno et al. 1995). Four configurations were used: two

124   types of wedge, rotating either clockwise or counter-clockwise, and two types of ring, either

125   expanding or contracting. In the following section, we refer to the stimulus content within the

126   aperture as the foreground and stimulus content outside the aperture as the background.

127   The checkerboard condition (Figure 1A) consisted of a foreground of radial contrast-reversing

128   (2Hz) checkerboard (contrast = 100%), while the background was set to 50% luminance,

129   matched to the mean of the foreground stimulus. Stimuli were viewed binocularly through the

130   red-green anaglyph filters.

131   The correlated disparity stimulus (Figure 1B), consisted of a dynamically changing array of

132   randomly placed dots, half of them white and half black on a grey background. Foreground dots

133   were fully correlated in position between left and right eyes and modulated in binocular

134   disparity. Foreground dots were presented at either +0.2° or -0.2° disparity, corresponding to

135   near and far positions relative to the fixation plane, and swapping every 1.45s. Background dots

136   were randomly placed in left and right eyes and were therefore uncorrelated binocularly. Both

137   foreground and background contained black and white dots (50% each), and dots refreshed at

138   a frequency of 60 Hz.

139   The motion stimulus (Figure 1C) consisted of a dynamic random dot array, with dot positions

140   fully correlated binocularly. Background dots were static, while foreground dots moved in

141   either clockwise or counterclockwise motion (50% of dots in each direction) at 7°/s. To ensure

142   dot motion was visible, dots were refreshed at a rate of 0.33 Hz, slower than the 60 Hz refresh

143   rate for the correlated disparity stimulus. Both foreground and background contained black

144   and white dots (50% each).

145

146   The luminance stimulus (Figure 1D) consisted of a dynamic random dot array, with dot

147   positions fully correlated binocularly, refreshing at a rate of 60 Hz, and containing both black

148   and white dots (50% each). Foreground dots were either 100% black or 100% white, with the

149   luminance of foreground dots reversing at a rate of 0.69 Hz.

150

151   The anti-correlated disparity stimulus (Figure 1E) consisted of a dynamic random dot array,

152   which was identical in layout to the correlated disparity stimulus (Figure 1B), except for the

153   arrangement of foreground dot colors. Dots falling inside the aperture always had opposite

154   contrasts in left and right eyes, so that they were presented in matching positions but displayed

155   as either white in the left eye and black in the right eye, or the opposite. This manipulation

156   negates the sensory percept of depth, while retaining binocular disparity information that is

157   registered by V1 neurons (Cumming and Parker 1997). All stimuli were viewed binocularly

158   through the red-green filters, with dynamic random dot arrays presented at a dot density of

159   40%, and a dot radius of 0.12° for 6 participants and 0.15° for 2 participants.

160

161   In addition to the main experimental stimuli, a single full-field radial checkerboard stimulus

162   alternating with a grey background (2.5 s ON, 30 s OFF) was used to estimate the hemodynamic

163   response functions (HRF) of visual cortex individually for each participant.

164

165   In order to control participants' attention, a fixation cross was present throughout stimulation,

166   and participants were required to detect a change of color of this cross from black to red. The

167   fixation cross was presented in a radial 0.5° cut-out for all stimuli, and therefore any

168   reconstructed pRFs with eccentricities <0.5° were discarded, due to overlap with the fixation

7

169 cross. The color change was brief (200 ms) and occurred pseudo-randomly 80-100 times

170 during a single run. Participants responded to this vigilance task via an MRI-compatible button

171 box, and responses were monitored to ensure participant alertness. The average percentage of

172 events detected was 88% ±5% SEM.

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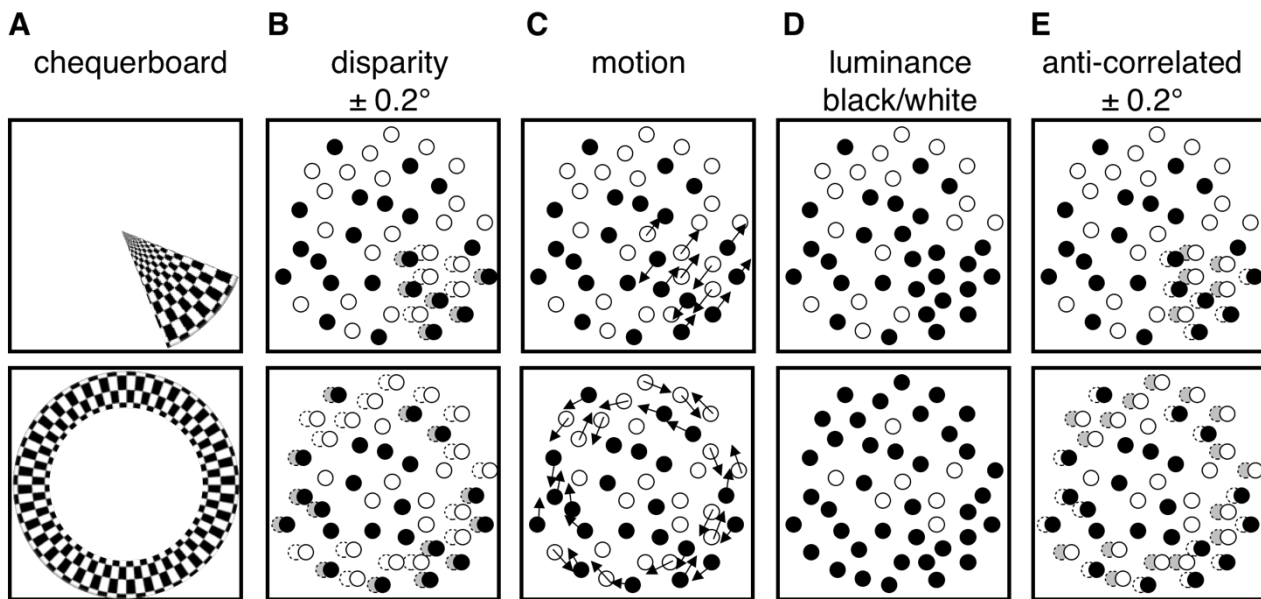
Figure 1. Experimental stimulus cartoon. Stimuli consisted of a contrast-reversing checkerboard (A) or dynamic random dot field (B-E) presented in a dynamically varied aperture of a wedge (upper row) or a ring (lower row). (A) Radial checkerboard reversing in contrast at 2Hz; (B) Disparity with random dot stimuli, dots inside aperture were binocularly correlated and changed in disparity (±0.2° from fixation); (C) Motion with clockwise and counter-clockwise components (dot speed = 7°/s); (D) Luminance reversal between fully black and fully white; (E) Anti-correlated binocular disparity (±0.2° from fixation).

184 In all cases, modulation was displayed first within a wedge-aperture rotating clockwise or

185 counterclockwise around the apex of the wedge, and subsequently within a ring-aperture

8

186 expanding from or contracting into the centre. Dot positions were randomly generated for

187 every frame, with 50% black and 50% white, except for the luminance modulation stimulus

188 (for which dots are illustrated in black for clarity). Periods of no modulation (24/168 volumes

189 per run) with a blank grey screen were used to estimate baseline response.

4.3 MRI acquisition

191 MR images were acquired with an ultra-high field 7T MRI system (Siemens Healthcare,

192 Germany) using a 32-channel head coil (Nova Medical, USA). Functional imaging during visual

193 stimulation was conducted with a gradient echo echo-planar imaging sequence (TR = 2488 ms,

194 TE = 27.8 ms, 64 slices, resolution = 1.2 mm isotropic) with in-plane acceleration using parallel

195 imaging (GRAPPA factor = 2) (Griswold et al. 2002) and through-slice acceleration using

196 multiband imaging (MB factor = 2) (Moeller et al. 2010). Four runs were acquired for each

197 stimulus condition, totaling 672 volumes per condition. The order of conditions and aperture

198 order was randomized within and across sessions. For HRF estimation, three runs were

199 acquired per participant, total 234 volumes. B_0 field maps were acquired in-plane in each run

200 to correct distortions due to field inhomogeneity. (TR = 620 ms, $TE_{1/2}$ = 4.08 / 5.1 ms, resolution

201 = 2 mm isotropic). A T1-weighted (T1w) whole-brain anatomical image was acquired to

202 reconstruct the cortical surface and anatomically localize functional data (MP-RAGE, TR = 2200

203 ms, TE = 2.82 ms, TI = 1050 ms, flip angle = 7°, slices = 176, resolution = 1 mm isotropic).

4.4 MRI pre-processing

205 Functional images for each participant were pre-processed with FSL (FMRIB Software Library

206 v5.0.8; http://www.fmrib.ox.ac.uk/fsl). EPI images were corrected for distortions caused by

207 magnetic field inhomogeneities using FUGUE (Jenkinson et al. 2012), image portions showing

208 brain tissue were isolated, and corrected for participant motion by linear realignment to the

209 middle time point of each run. Low-frequency fluctuations were removed using a high-pass

210 filter with cut-off at 0.02 Hz. Each run was then registered to σ subject-specific T1w structural

211 image using boundary-based registration (Greve and Fischl 2009).

212

213 Cortical surfaces were reconstructed from T1w structural images with FreeSurfer (v5.3.0,

214 http://www.freesurfer.net). Volumes underwent automated segmentation to generate grey

215 and white matter boundaries, and the grey matter surface reconstructed to create a two-

216 dimensional representation of the cortical surface.

4.5 pRF analysis

218 fMRI data were analyzed using a Gaussian population receptive field (pRF) model (Dumoulin

219 and Wandell 2008; Wandell and Winawer 2015). The analysis software was implemented in

220 MATLAB and is described detail in (Alvarez et al. 2015). In brief, the participant-specific

221 hemodynamic response function (HRF) was estimated by averaging 18 trials over the occipital

222 lobes during full field checkerboard stimulation and fitting a double gamma function (Friston

223 et al. 1995). Model predictions were constructed by combining the *a priori* position of the

224 stimulus aperture at each MRI volume acquired and a radially symmetric two-dimensional

225 Gaussian pRF. Predictions were then convolved with the participant-specific HRF and

226 compared to the observed signal in a two-stage procedure. First, the spatially smoothed (full

227 width half maximum = 5 mm, on spherical mesh) BOLD time courses were correlated with

228 signal predictions generated by an exhaustive grid of combinations of the three pRF parameters

229 (X coordinate, Y coordinate, σ size of pRF). The parameters resulting in the highest correlation

230 at each vertex formed the starting point for the second stage, in which the original unsmoothed

231 BOLD time courses were fitted using the Nelder-Mead algorithm for unconstrained nonlinear

232 minimization (Lagarias et al. 1998) to identify parameter combinations for each vertex that

233 maximize the variance explained by the model. Best-fitting model predictions yielded estimates

234 of retinotopic location (X and Y coordinates) and pRF size (σ) for each vertex. Each condition

10

235 (checkerboard, luminance, motion, correlated disparity, anti-correlated disparity) was fitted

236 independently. Regions of interest were delineated for each participant based on polar angle

237 and eccentricity estimates obtained in the checkerboard condition (see Figure 2).

238 Model performance was assessed with the normalized correlation coefficient metric (CCnorm)

239 (Schoppe et al. 2016). Each stimulus run was divided into two, with each half split considered

240 an independent stimulus presentation. Signal reliability was used to normalize the correlation

241 between pRF model prediction and empirically observed BOLD signals. Vertices were

242 thresholded at CCnorm > 0.5, approximately equivalent to 50% of explainable variance

243 explained by the pRF model.

244 **4.6 Regions of interest**

245 Regions of interest V1, V2, V3, V3A/B, V5/MT+, V7, V4, LOC and VOC were identified for each

246 participant in each hemisphere tested. Since precise retinotopic boundaries could not be

247 observed for all participants in some portions of visual cortex, a merged-region definition was

248 adopted for areas LOC, VOC and V5/MT+. Specifically, the lateral occipital complex (LOC)

249 encompassed retinotopic definitions of areas LO-1 and LO-2, the ventral occipital complex

250 (VOC) encompassed areas VO-1 and VO-2 (Larsson and Heeger 2006; Wandell et al. 2007;

251 Winawer and Witthoft 2015), and the region V5/MT+ encompassed the temporal-occipital

252 areas TO-1 and TO-2 (Amano et al. 2009). Further, the region V5/MT+ was compared with an

253 atlas definition of human occipital area 5 (hOc5), a cytoarchitectonic correlate of area V5/MT+,

254 for anatomical agreement (Malikovic et al. 2006). This comparison showed a minimum of 50%

255 overlap between vertices in retinotopically-defined V5/MT+ and the atlas-based

256 cytoarchitectonic definition of hOc5 in all hemispheres tested (Mean overlap = 74%, *SD* = 12%,

257 *N* = 14 hemispheres). The variability in alignment between structural and functional markers

258 of V5/MT+ in human cortex has been noted before (Large et al. 2016).

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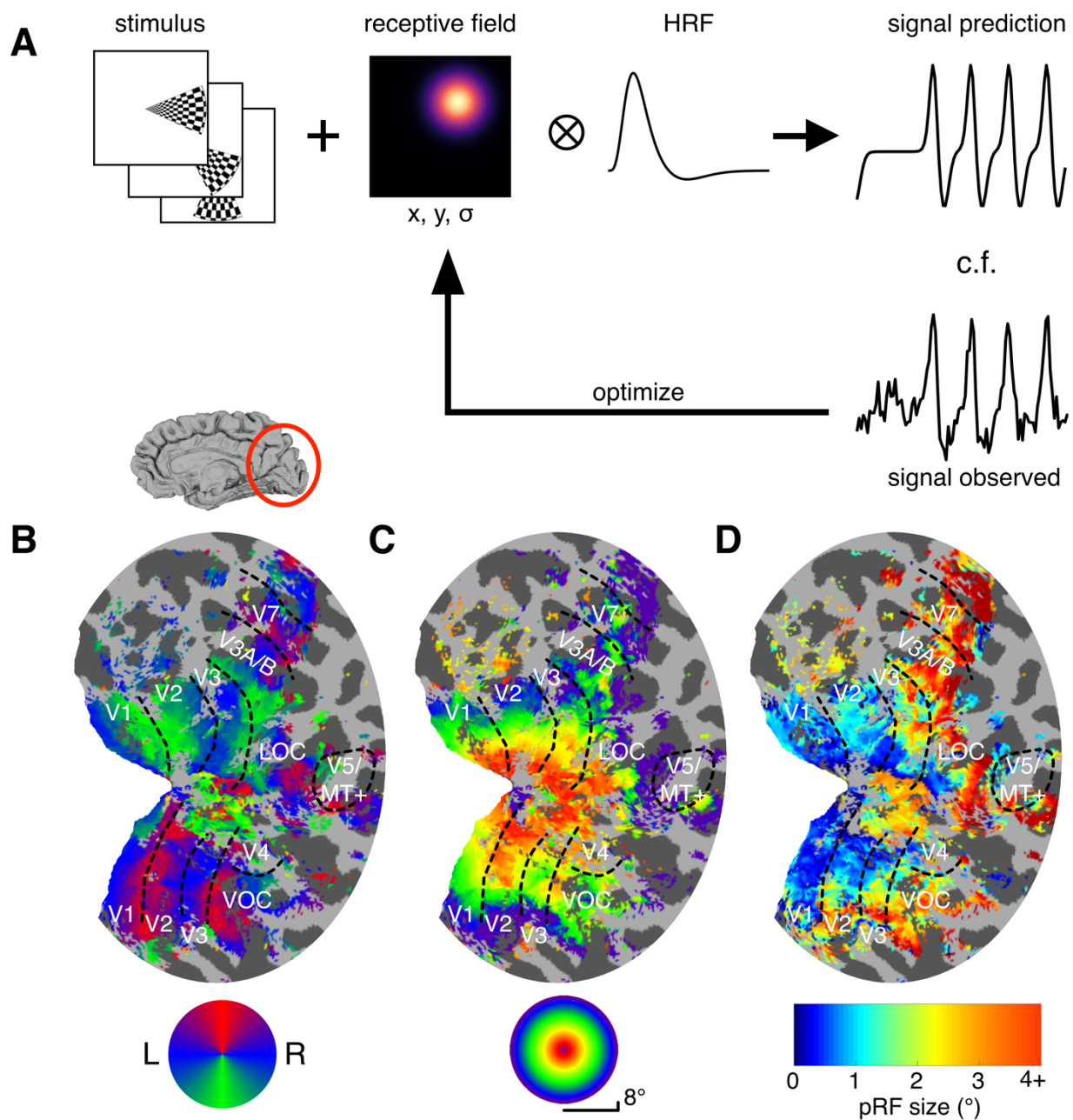
Figure 2. Cortical signals obtained under contrast-reversing checkerboard stimulation fitted with pRF model. (A) Model based on response to spatial and temporal sequence of visual stimulation of a Gaussian RF centered at location (X, Y) with spread (σ). Convolution with the participant-specific hemodynamic response function (HRF) gives a time-course prediction of the BOLD signal, which was in turn compared with the observed signal. Receptive field parameters (X, Y, σ) were then optimized iteratively to find the best-fitting pRF model for the data observed. (B) Polar angle delineation of visual areas;

269   inflated right hemisphere for one participant (S1). Best-fitting model

270   prediction shown. (C) visual field eccentricity of estimated pRFs. (D) pRF size

271   across the visual cortex.

272   **4.7   Experimental design and statistical analysis**

273   Differences in model performance between stimulation conditions were assessed in two ways.

274   First, the distributions of CCnorm values were pairwise-compared between conditions with

275   independent Kolmogorov-Smirnov tests. Note that p-values are unsuitable as measures of

276   distribution similarity in the case of KS statistics (Vermeesch 2013), so only effect sizes are

277   presented. Second, differences in mean CCnorm between conditions were assessed with a

278   repeated measures ANOVA, introducing stimulation condition and region of interest as within-

279   subject variables and participant identity as between-subject variable. Estimates of pRF size

280   were also assessed for each visual area using a mixed effects model implemented in Prism

281   (GraphPad Software, San Diego, California) with stimulus condition and eccentricity bin as

282   within-subject variables and participant identity as between-subject variable. The anti-

283   correlated disparity condition was not included in this analysis as there were too few vertices

284   for which the pRF model could be successfully fit. This mixed-effects model was used rather

285   than a repeated-measures ANOVA to account for vertices where no pRF model could be fit,

286   hereafter labeled as missing values. Across all stimulus conditions (excepting anti-correlated

287   disparity), visual areas, participants and eccentricities, 2.1% of values were missing. The

288   disparity condition had the greatest number of missing values at 4.9%, but no individual visual

289   area was missing more than 10% of values. Geisser-Greenhouse correction was applied where

290   necessary and where random effects were zero, the term was removed and a simpler model fit

291   used. Where there was a significant effect of condition in the main analysis, post-hoc mixed-

292   effect models were conducted to assess the effect of specific condition pairs at each region of

13

293   interest, with eccentricity bin introduced as a nuisance variable. All other statistical tests were

294   implemented in MATLAB or SPSS (v24, IBM Corp., Armonk, NY, USA).

295   **4.8   Binocular energy model**

296   The response of binocular neurons in V1 to disparity information has been previously

297   characterized as a set of canonical computations, formalized in the binocular energy model

298   (Ohzawa et al. 1990; Cumming and Parker 1997; Anzai et al. 1999). In brief, the monocular

299   inputs from left and right eyes arriving at a binocular simple V1 cell are each passed through a

300   an eye-specific, weighting function approximated by a two-dimensional Gabor filter. Such

301   filters are used in paired combination to capture the response to inputs to the left and right

302   eyes, with horizontal displacement between the eye-specific filters conferring sensitivity to

303   binocular disparity. A group of such filter pairs is set in quadrature, that is, with the spatial

304   phase of the sinusoidal element of the Gabor functions offset by $\pi/2$ radians. Paired responses

305   are summed, followed by a half-wave rectification and squaring nonlinearity. The summed

306   output reflects the model binocular complex cell response (Ohzawa et al. 1990).

307

308   A population of 1,000 binocular complex neurons was simulated, with receptive fields

309   positioned in the center of the visual field. A small normally distributed position offset (SD =

310   0.1°) was introduced to eliminate, by averaging, the spatial response of the population to

311   detailed positions of the dots forming the randomly generated RDS patterns. The horizontal

312   size of the Gabor profile, orthogonal to grating orientation, was manipulated to simulate

313   receptive field size increase with eccentricity. Spatial frequency and disparity tuning were

314   similarly manipulated to simulate the experimentally determined range of V1 receptive field

315   properties found in recordings from macaque visual cortex (see below). The vertical size of the

316   filter, parallel to grating orientation, was set to 1.5 times the horizontal size across eccentricity,

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317   also based on V1 recordings in the macaque monkey (Ringach et al. 2003). All filters were

318   vertically oriented.

319

320   The stimuli delivered to the model receptive field consisted of (1) binocularly presented,

321   contrast-reversing checkerboards (2) binocularly correlated dots in the aperture with

322   binocularly uncorrelated dots in the background and (3) opposite polarity zero-disparity dots

323   in the aperture and same polarity, zero-disparity dots in the background, just like the

324   checkerboard, correlated disparity and luminance stimuli respectively viewed by participants.

325   Stimuli were presented through a sweeping bar aperture in 100 steps, to create a timeseries of

326   responses to the transient presence of contrast or disparity information. Random dots were

327   binocularly correlated within the aperture at the programmed binocular disparity, but were

328   uncorrelated in the background, while in the luminance condition dots were opposite polarity

329   within the aperture and matched polarity in the background. For these random dot stimuli,

330   1,000 unique RDS frames were generated at each aperture step, and responses averaged

331   together. Resulting responses were fitted with the Gaussian pRF model described in section 4.5.

332   As estimation of the receptive field location is not of concern here, the location parameters were

333   fixed *a priori* and only the receptive field size was estimated (Figure 3).

334

335   Three manipulations of model receptive field properties were conducted, in order to observe

336   the effects on pRF model fits. First, the horizontal filter size was set to 15 different values

337   between SD = 0.2° and SD = 3° to simulate RF size increase with eccentricity. The spatial

338   frequency of the sinusoidal component of the Gabor was fixed to x0.5 the horizontal size, and

339   all cells were set to be tuned to the stimulus disparity (checkerboard and luminance = 0°,

340   disparity stimulus = 0.2°). Second, the same filter size points were sampled, while allowing

341   spatial frequency of the Gabor filter to vary between x0.5 and x3.5 horizontal size, reflecting

342   the variability in spatial tuning of V1 cells, while constrained by the size-disparity correlations

343 observed in macaque V1 (Prince et al. 2002b). Third, both spatial frequency and disparity

344 tuning were allowed to vary, with the latter allowing horizontal position of filters for left and

345 right eyes to vary by SD ±0.25°. This final manipulation most closely resembles the distribution

346 of receptive field properties reported for V1 cells in electrophysiological studies in macaque

347 visual cortex. These simulations were designed to directly compare model responses generated

348 by disparity-defined stimuli with checkerboard and luminance for the specific case when all

349 model units are tuned to the stimulus disparity. A more comprehensive model would include

350 units tuned to many different disparities, but this is beyond the scope of the current

351 implementation.

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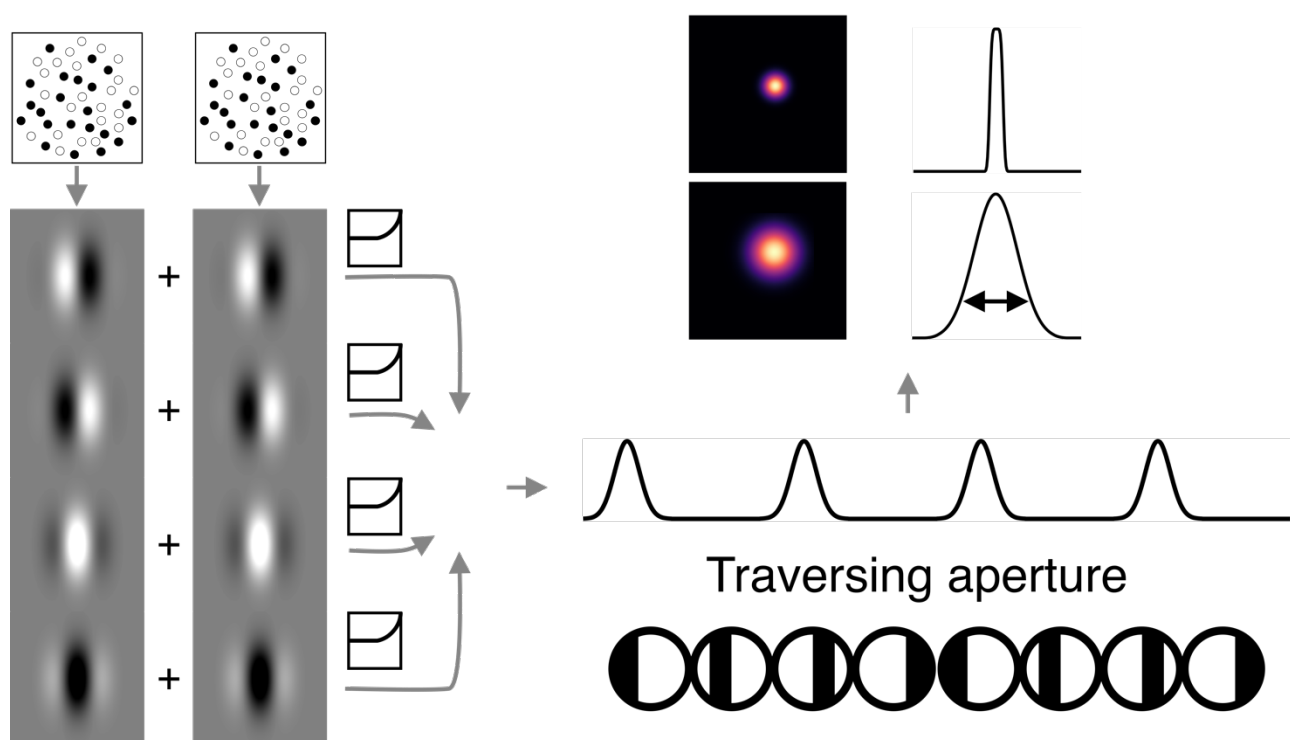
354 **Figure 3.** Binocular energy model implementation. Stimulus frames for the left

355 and right eye were passed through a disparity-tuned Gabor filter bank, from

356 which the monocular responses of each eye were linearly added, passed

357 through a half-squaring non-linearity, and then pooled again by linear addition

358 to produce the model complex cell response. The model cell responds

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359   periodically as the aperture defined by binocular correlation passes across its

360   receptive field. The response timeseries of the population of RFs was fitted

361   with the pRF model described. In the presence of a disparity-defined aperture,

362   the width of the response is directly related to the area over which binocular

363   information is integrated.

5   Results

5.1   Disparity responses are widespread across visual cortex

366 All visual cortical areas and regions of interest gave significant responses to binocular disparity

367 stimulation as well as contrast stimulation. When considering the distributions of CCnorm,

368 negligible effect sizes were detected when comparing the correlated disparity condition with

369 the checkerboard (Kolmogorov-Smirnov distance, $KS = 0.12$, $D = 10^{-4}$), motion ($KS = 0.07$, $D =$

370 10^{-4}), luminance ($KS = 0.07$, $D = 10^{-4}$) or anti-correlated conditions $(KS = 0.15$, $D = 10^{-4})$.

371 Variability in mean CCnorm was assessed with a repeated measure ANOVA, revealing a

372 significant effect of condition ($F(5,1) = 64.56$, $p = 10^{-3}$) and visual area ($F(5,1) = 57.05$, $p = 10^{-3}$).

373 Post-hoc t-tests showed all conditions outperformed the anti-correlated disparity condition

374 (all comparisons $p < 0.05$), with no significant differences between the remaining conditions.

375

376 Figure 4 shows the pRF size averaged across all participants for each type of visual stimulation.

377 The spatial distribution of pRF size estimates follows the expected pattern of small pRFs in

378 areas representing the central visual field and larger in the periphery.

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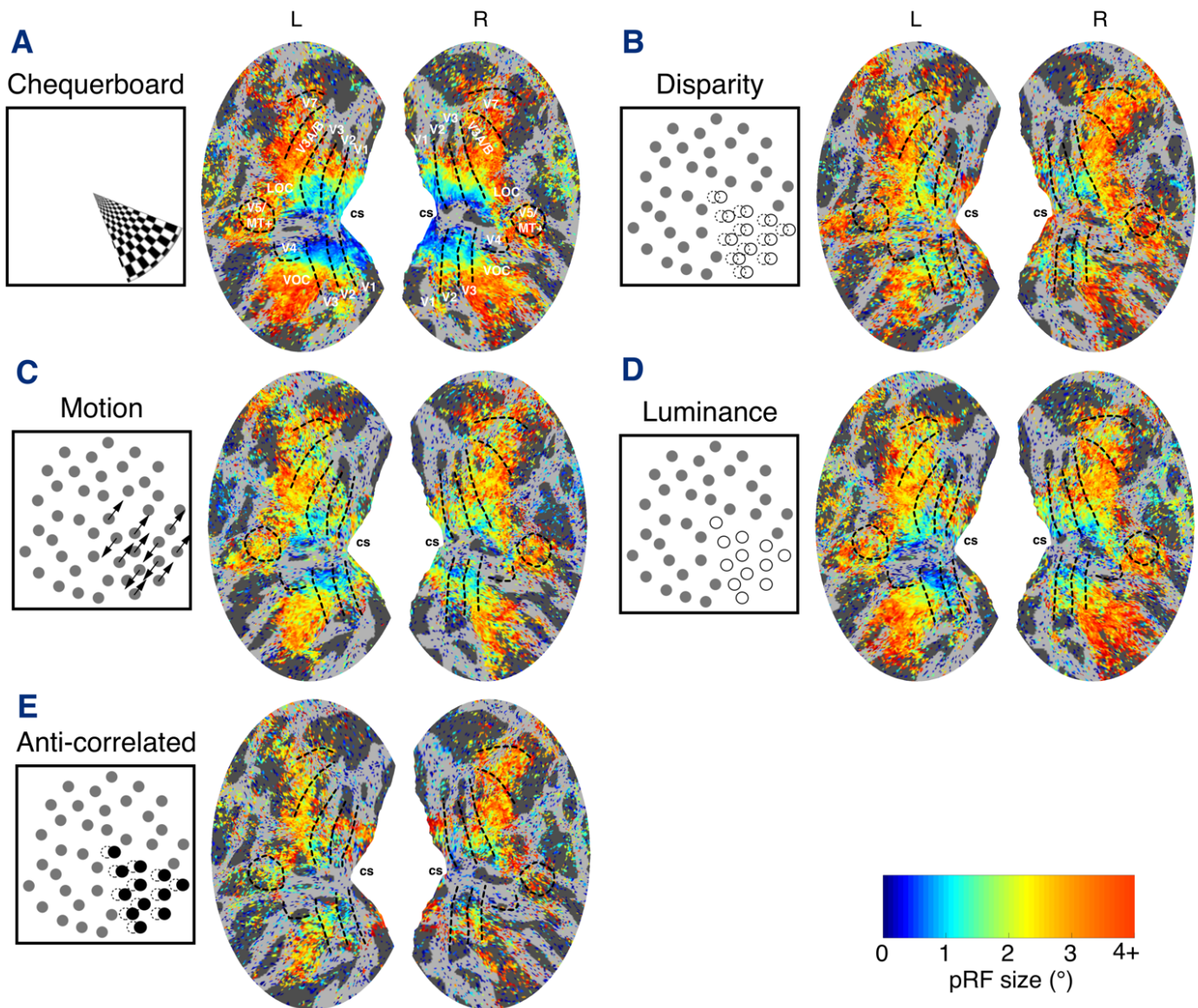
Figure 4. Group average estimates (*N*=7) of pRF size obtained under (A) checkerboard, (B) correlated disparity, (C) motion, (D) luminance and (E) anti-correlated disparity stimulation. pRF size estimates displayed on the normalized and flattened cortical surface, with cortical visual areas demarcated and calcarine sulcus (cs) labelled for reference. Vertices thresholded at CCnorm > 0.5.

5.2 pRF size for disparity varies systematically across the visual hierarchy

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388   Estimates of pRF size obtained under the disparity condition may capture the binocular

389   integration zone (Parker et al. 2016) over which monocular signals are combined, and

390   consequently reflect the role that cortical areas play in the integration mechanism of binocular

391   stereopsis. Examining the spatial distribution of pRF size estimates across the visual cortex

392   reveals systematic variation: in particular, there are locations where pRF size estimates differ

393   between the disparity and other conditions. We observed larger pRFs for correlated disparity

394   in the calcarine sulcus, close to representation of the horizontal meridian, when compared to

395   all other control conditions (Figure 4).

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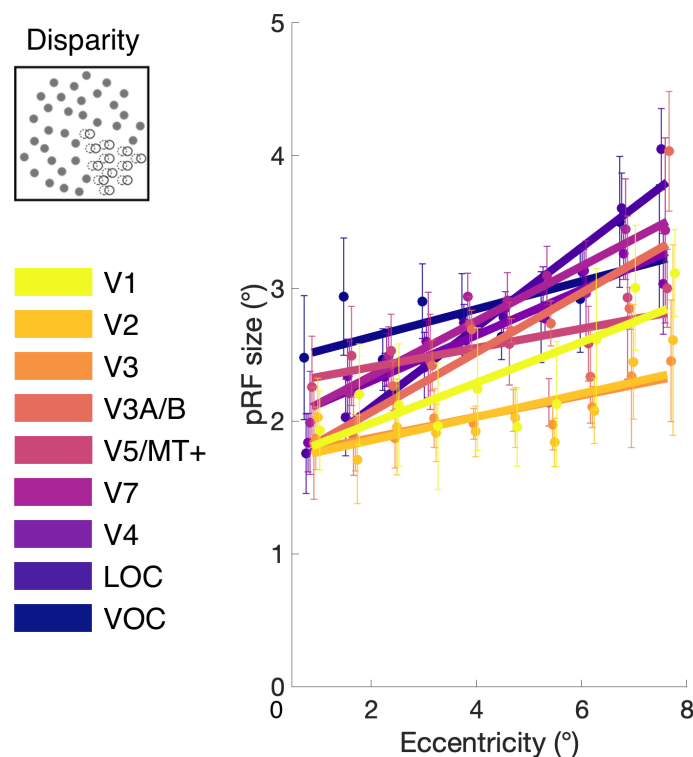
397

398   **Figure 5.** pRF size at different visual field eccentricities under disparity

399   stimulation in the visual areas of interest. Estimates of stereoscopic pRF size

400   were binned in 0.75° steps, with error bars indicating group SEM (*N*=7). pRF

401   size increases both with eccentricity, and through the visual hierarchy.

402   Vertices thresholded at CCnorm > 0.5. Small shift in eccentricity bin positions

403   added to display differences between visual areas.

19

404   Binned estimates of pRF size for disparity across eccentricity are shown in Figure 5. Differences

405   in binned values of pRF size between the correlated disparity condition and other conditions

406   were assessed with a full factorial ANOVA model, introducing eccentricity and region of interest

407   as independent variables. Anti-correlated responses were omitted from this comparison, owing

408   to the low number of vertices successfully fitted by the pRF model under that condition. There

409   was a significant interaction between condition and region of interest (F = 43.45, df = 14, 98, p

410   = 0.001). In order to assess the effect of stimulus condition on a region-by-region basis, we

411   conducted a series of linear mixed models, which are presented in the following section.

5.3   pRF size for disparity differs from non-disparity pRFs in V1

413   *5.3.1   Early visual regions: V1, V2 and V3*

414   Figure 6 shows a summary of the pRF sizes at each eccentricity and for the different stimulus

415   conditions across the early visual areas. In V1, a mixed effects model showed a significant effect

416   of condition ($F(2.1, 119.3)$ = 5; p = 0.007), although there was no effect of eccentricity ($F(9, 60)$

417   = 1.9; p = 0.06) nor a significant interaction ($F(27, 174)$ = 0.9; p = 0.62). Post-hoc paired

418   comparisons showed that mean pRF size across all eccentricities for disparity (2.4°) were

419   significantly greater than checkerboard (1.8°; $F(1, 116)$ = 15; p = 0.0002) and luminance (2.0°;

420      $F(1,56)$ = 5.3; p = 0.02). Disparity pRFs did not differ in size from those defined by motion (2.1°;

421      $F(1,114)$ = 1.3; p = 0.26).

422

423   In comparison, in V2, there was a significant effect of eccentricity on pRF size ($F(9,60)$ = 3.8; p

424   = 0.0008), but no difference according to stimulus condition ($F(2.6,147.9)$ = 2.2; p = 0.11) or

425   interaction ($F(27,174)$ = 0.75; p = 0.81). Finally, in V3 there was a significant effect of

426   eccentricity on pRF size ($F(9,60)$ = 26.9; p < 0.0001), and stimulus condition ($F(2.3, 136.6)$ =

427   5.5; p = 0.003) but no significant interaction ($F(27,177)$ = 1.5; p = 0.06). However, while pRFs

428   with the disparity stimulus (2.1°) were significantly smaller than with the checkerboard (2.5°;

20

429    $F_{(1, 117)} = 16.2$; $p = 0.0001$), they did not differ from either luminance (2.2°; $F_{(1, 117)} = 0.8$; p

430    $= 0.37$) or motion (2.3°; $F_{(1, 57)} = 3.1$; $p = 0.08$).

431

432   Thus, it appears that only in V1 are pRF sizes greater for disparity than both checkerboard and

433   luminance-defined stimuli. It is also the case in V1, and to a lesser extent in V2 that the

434   checkerboard stimulus resulted in smaller pRF sizes at low eccentricities compared to the

435   stimuli defined by dots. This effect may have been driven by spatial integration effects since the

436   aperture boundaries formed by dot-defined stimuli require spatial integration over a larger

437   region of the visual field compared to the stimuli with clear contrast-defined borders, such as
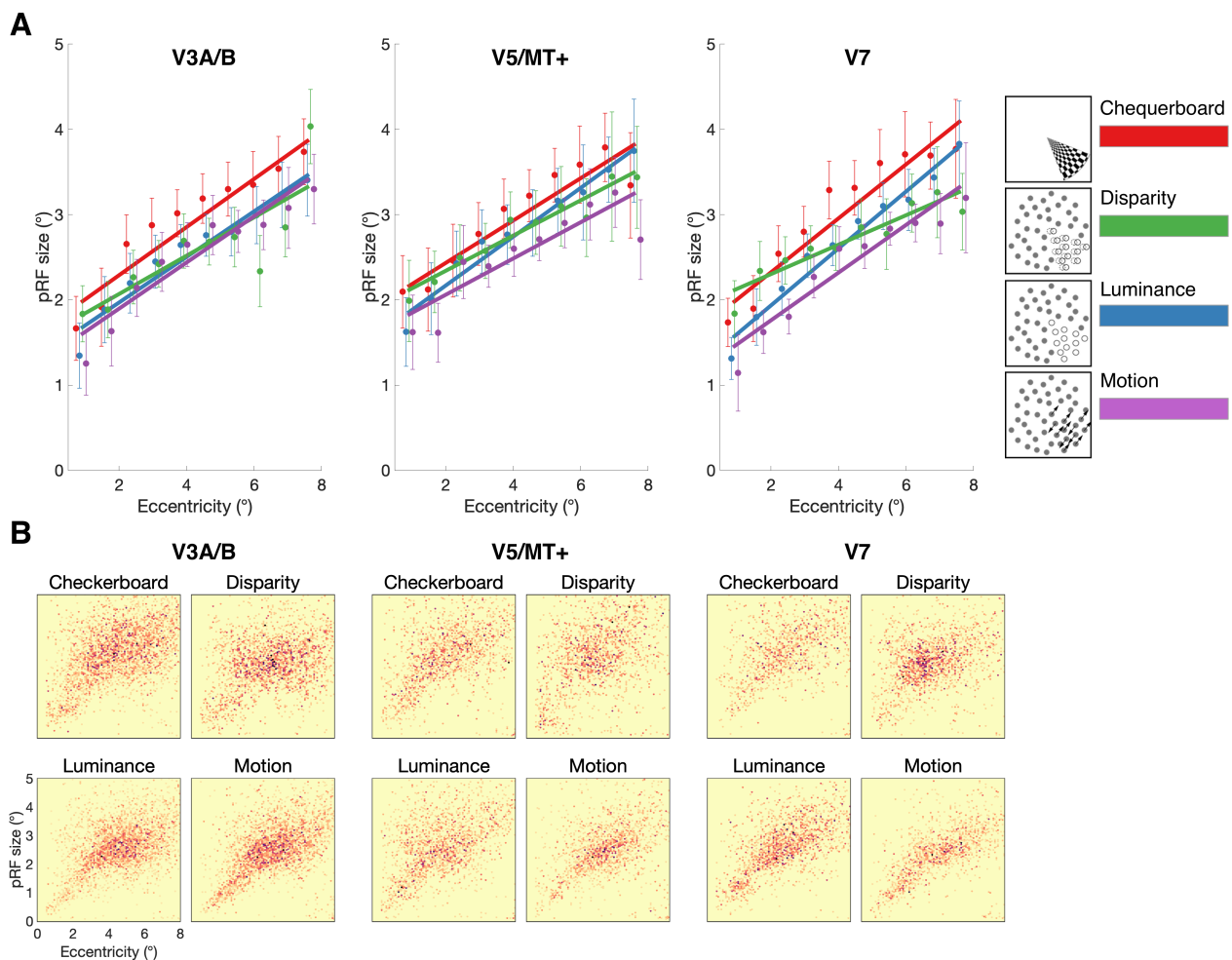
438   the checkerboard stimulus.
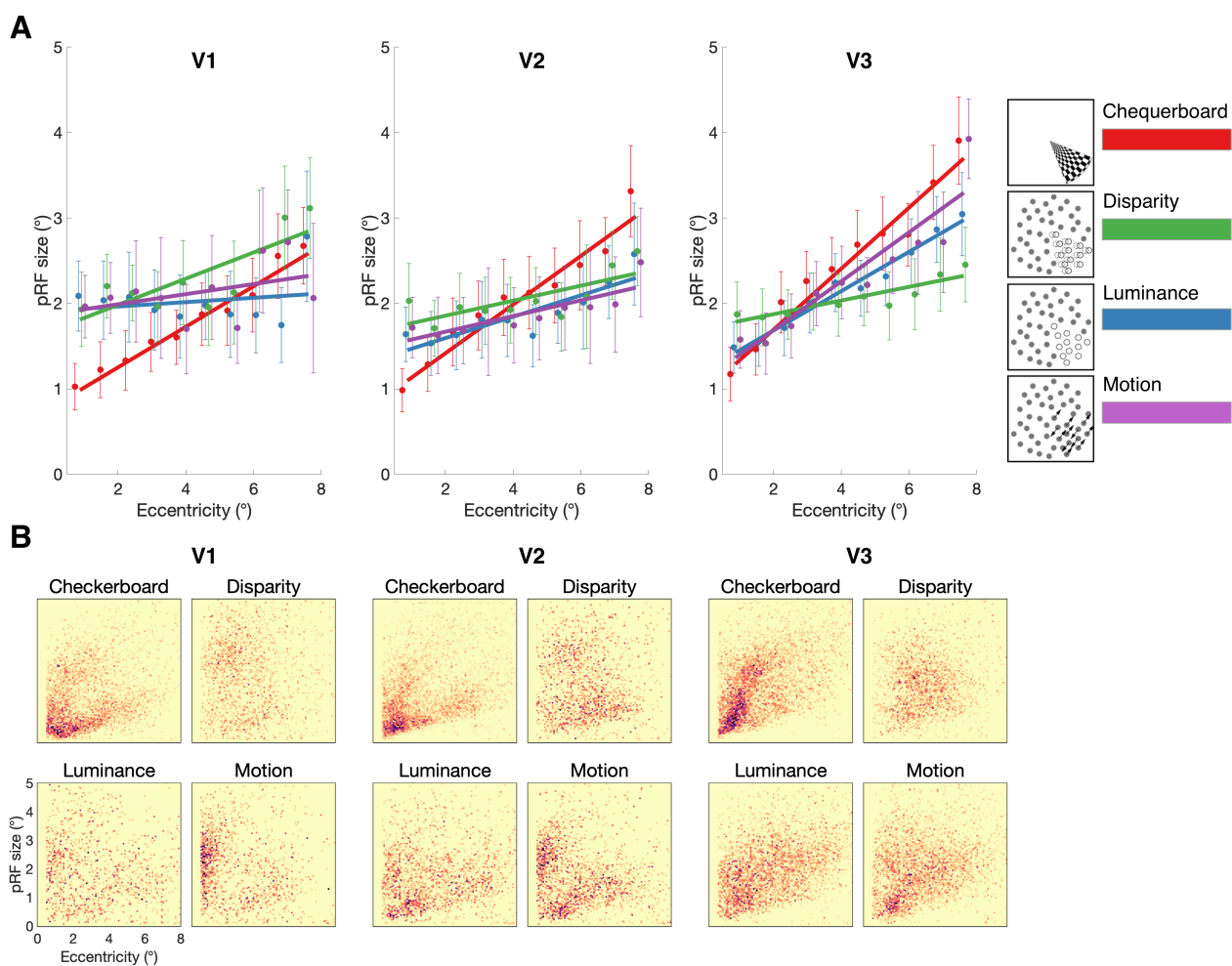
439

440   **Figure 6.** (A) pRF size estimates across visual field eccentricity for checkerboard,

441   disparity, luminance, and motion stimuli, across visual areas V1, V2 and V3.

21

442   pRF size values were binned in 0.75° steps and fitted with a linear regression

443   model. Error bars indicate mean standard errors across participants. (B)

444   Distribution of pRF size against visual field eccentricity across cortical surface

445   points in all (N=7) participants tested. 2D binned histogram with 100x100

446   equal size bins. Darker colors indicate higher density of significant vertices.

447   *5.3.2   Dorsal visual regions: V3A/B, V5/MT+ and V7*

448   The pRFs measured with the checkerboard stimuli were larger than those with the dot-defined

449   stimuli across all dorsal visual areas (Figure 7). As evident from the graphs, there was a highly

450   significant effect of eccentricity in all dorsal areas (V3A/B: $F_{(9, 60)}$ = 32.3; $p < 0.0001$;

451   V5/hMT+: $F_{(9,235)}$ = 19.6; $p < 0.0001$; V7: $F_{(9,236)}$ = 38.6; $p < 0.0001$). Similarly, all areas

452   showed a significant effect of stimulus type (V3A/B: $F_{(2.3,136.3)}$ = 11.1; $p < 0.0001$; V5/hMT+:

453     $F_{(2.0,158.0)}$ = 4.4; $p = 0.01$; V7: $F_{(2.7,214.5)}$ = 16.3; $p < 0.0001$). However, the disparity-defined

454   pRF size only differed from the pRF sizes defined using the checkerboard in V3A/B ($F_{(1, 119)}$

455   = 12.6; $p = 0.0006$) and V7 ($F_{(1, 117)}$ = 13.9; $p = 0.0003$). This suggests that any difference in

456   these areas was more likely related to the use of dot-defined stimuli rather than disparity *per*

457   *se.*

Figure 7. (A) pRF size estimates across visual field eccentricity for checkerboard, disparity, luminance, and motion stimuli, across visual areas V3A/B, V5/MT+, V7. pRF size values were binned in 0.75° steps and fitted with a linear regression model. Error bars indicate mean standard errors across participants. (B) Distribution of pRF size against visual field eccentricity across cortical surface points in all (N=7) participants tested. 2D binned histogram with 100x100 equal size bins. Darker colors indicate higher density of significant vertices.

5.3.3 *Ventral visual regions: V4, LOC and VOC*

Figure 8 shows that in the ventral visual areas, like the dorsal regions, pRF size increased with eccentricity (V4: $F(9, 230) = 16.6$; $p < 0.0001$; VOC: $F(9,60) = 5$; $p < 0.0001$; LOC: $F(9,60) = 50.3$;

23

470 $p < 0.0001$). There was a significant effect of stimulus type in both V4 ($F(2.5, 193.0) = 10.8$; $p <$

471 0.0001) and LOC ($F(2.4, 137.4) = 5.4$; $p < 0.003$) and V4 also showed a significant interaction

472 between eccentricity and stimulus type ($F(27, 230) = 2.4$; $p < 0.0002$).

473 In V4, while there was a significant difference in pRF size when defined by disparity (2.6°) and

474 checkerboard (3.1°; $F(1, 112) = 12.5$; $p = 0.0006$), disparity did not differ from either luminance

475 (2.7°; $F(1, 113) = 1.9$) or motion (2.4°; $F(1, 111) = 1.8$). In contrast, pRF size in LOC was greater

476 when defined by disparity (2.8°) compared to both luminance (2.5°; $F(1, 44) = 10.3$; $p = 0.002$)

477 and motion (2.5°; $F(1, 54) = 9.7$; $p = 0.003$). When compared to checkerboard (2.7°), there was

478 no difference in mean pRF size ($F(1, 115) = 0.7$), but there was a significant interaction ($F(9,$

479 $115) = 2.4$; $p = 0.01$) reflecting the larger pRF sizes for disparity at lower eccentricities, and

480 smaller at the highest eccentricities.

481

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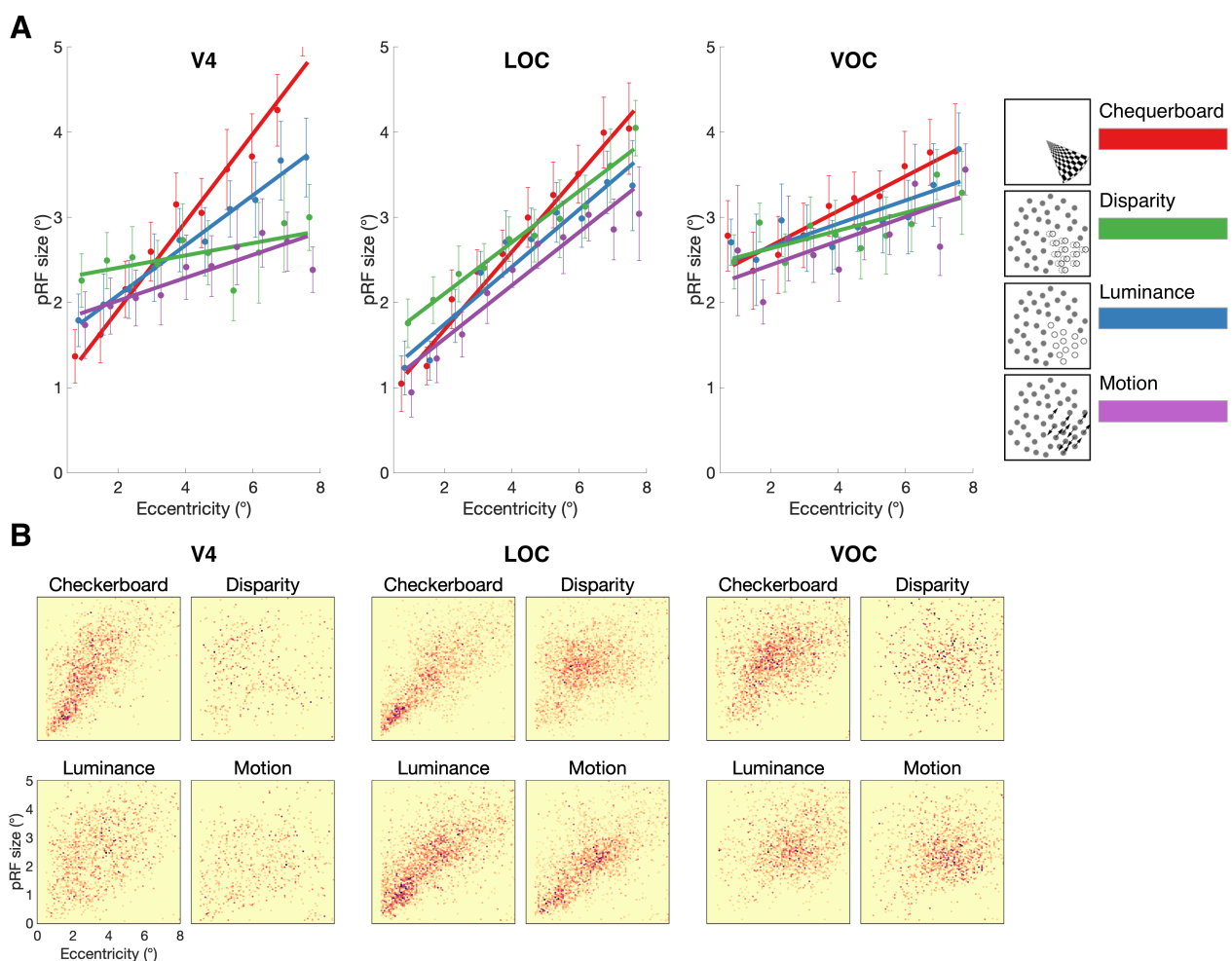
482   **Figure 8.**   (A) pRF size estimates across visual field eccentricity for checkerboard,

483   disparity, luminance, and motion stimuli, across visual areas V4, LOC and VOC.

484   pRF size values were binned in 0.75° steps and fitted with a linear regression

485   model. Error bars indicate SEM. (B) Distribution of pRF size against visual field

486   eccentricity across cortical surface points in all (N=7) participants tested. 2D

487   binned histogram with 100x100 equal size bins. Darker colors indicate higher

488   density of significant vertices.

5.4   Binocular energy model predictions of V1 integration zone

490 The disparity-defined stimulus used in the fMRI experiment contained a single magnitude of

491 disparity (modulating from +0.2° to −0.2°), operating under the assumption that the estimated

492 binocular integration zone would reflect the sub-population of binocular neurons that are

493 tuned to these disparities, irrespective of the cortical territory being examined. However,

494 electrophysiological studies have shown that disparity tuning co-varies with receptive field

495 size, and by extension, with eccentricity (Prince et al. 2002a). This size-disparity correlation

496 means the size of the binocular integration zone is dependent on eccentricity, as well as

497 sensitivity to disparity-defined stimuli.

499 The binocular energy model provides a parsimonious account of the responses of binocular

500 cells in area V1 in the presence of binocular disparity information, building disparity sensitivity

501 from the linear combination of monocular receptive fields (Ohzawa et al. 1990; Cumming and

502 Parker 1997; Anzai et al. 1999). In this model, the monocular receptive field is defined as a

503 Gabor filter, that is, the product of a sinusoidal grating and a Gaussian envelope given by

504
$$M_{(x,y)} = sin[2\pi f x + \theta] * \frac{1}{2\pi\sigma^2} e^{-\frac{x^2+y^2}{2\sigma^2}}$$

505 where x and y are point locations in 2D space, f is the spatial frequency of the sinusoidal grating,

506 θ is the grating phase, and σ is the standard deviation of the Gaussian envelope. In the presence

507 of stimulus image I, the simple cell response is

508
$$Sx = \iint M_{(x,y)} I_{(x,y)} dxdy$$

509 As the monocular receptive fields for the left and right eyes are independent, a position offset

510 is introduced to generate sensitivity to binocular disparity. Summing and squaring the products

511 of the monocular cells, create a linear-nonlinear 'LN' element

512
$$LN = (Sx_L + Sx_R)^2$$

513 encoding disparity information at a particular phase and spatial frequency. A binocular

514 complex cell is constructed by simply summing the LN elements of four pairs of monocular cells,

515 set in phase-offset in quadrature

516
$$Cx = \sum LN_0 + LN_{0.5\pi} + LN_\pi + LN_{1.5\pi}$$

517 where two pairs of LN elements ($\theta = 0$, π and $\theta = 0.5\pi$, 1.5π) are anti-phase with each other.

518 The response of the complex binocular cell can then be examined for the transient effect of

519 stimulation; as matching retinal images overlap (or not) with the receptive fields, the response

520 of the complex cell is modulated. In analogy with the fMRI task described above, this is

521 equivalent to the stimulus aperture transiting across the model receptive field. Let us designate

522 the aperture position A, for an arbitrary number of positions. In the case of a luminance-defined

523 stimulus, the complex cell response is given by

524
$$Sx_L = \iint M_{L(x,y)} I^A_{(x,y)} dxdy$$

525
$$Sx_R = \iint M_{R(x,y)} I^A_{(x,y)} dxdy$$

526
$$Cx_A = \sum_{\theta=1}^{4} (Sx_{L(\theta)} + Sx_{R(\theta)})^2$$

26

527   where the output of the complex cell is dependent on the overlap of the stimulus aperture I^A

528   and the monocular receptive fields, M_L and M_R. In the case of a non-disparity defined stimulus,

529   the binocular receptive field reflects the simple sum of the monocular overlaps between the

530   receptive fields. However, in the presence of stimulus disparity, a disparity-tuned complex cell

531   pools information over an extended region of space, creating an expanded receptive field

532   (Figure 9). In the presence of a transient aperture, this is translated into a wider window of

533   response to disparity-defined stimuli. Thus, the relationship between the monocular receptive

534   field width and the complex cell response to a transient stimulus is a function of disparity

535   tuning.
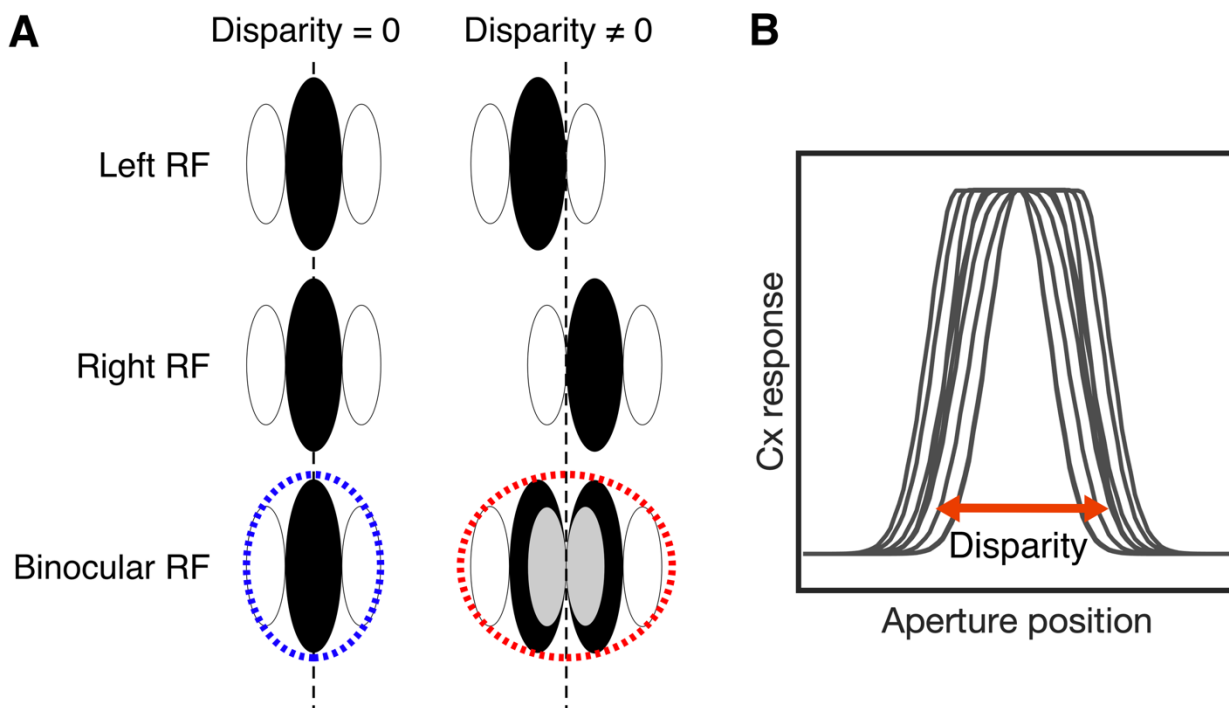
536

537   **Figure 9.**   Binocular energy model construction in the presence of a transient

538   disparity aperture. (A) The monocular receptive fields for the left and right eye

539   are combined to form a binocular receptive field. Tuning to non-zero disparity

540   increases the size of the binocular receptive field, proportional to the

541   horizontal displacement between the monocular receptive fields. (B) In the

542   presence of a disparity-defined transient aperture, the window of response

27

543   from a complex binocular cell is determined by the magnitude of the stimulus

544   disparity, the cell's receptive field size and disparity tuning, i.e. the horizontal

545   displacement between left and right monocular receptive fields. In the

546   implementation presented here, both stimulus and model disparity are fixed

547   at 0.2°

548

549   Implementing the binocular energy model described above, we examined the effect of

550   modulating monocular receptive field sizes of a population of synthetic V1 neurons, and fitted

551   the model responses with the pRF procedure, with results shown in Figure 10. A linear

552   relationship between the model-defined receptive field size and the fitted binocular pRF size

553   was observed, with responses to disparity-defined stimuli exhibiting larger pRFs compared to

554   contrast-defined checkerboard and luminance-defined random dot stimuli. Using populations

555   of model neurons with differing (i) receptive field size, (ii) spatial frequency, and (iii) disparity

556   tuning produced a similar pattern of results similar discrepancies between stimulus content.

557   These discrepancies qualitatively matched the pattern observed in the empirically estimated

558   pRF sizes from BOLD data in area V1, which also displayed larger pRF sizes for disparity-

559   defined stimuli when compared with contrast- and luminance-defined stimuli. Therefore, while

560   size-disparity correlation limits the size of the binocular integration zone, these results support

561   the view that the receptive field size, constrained by eccentricity, is the principal limiting factor

562   on the size of the binocular integration zone.

563
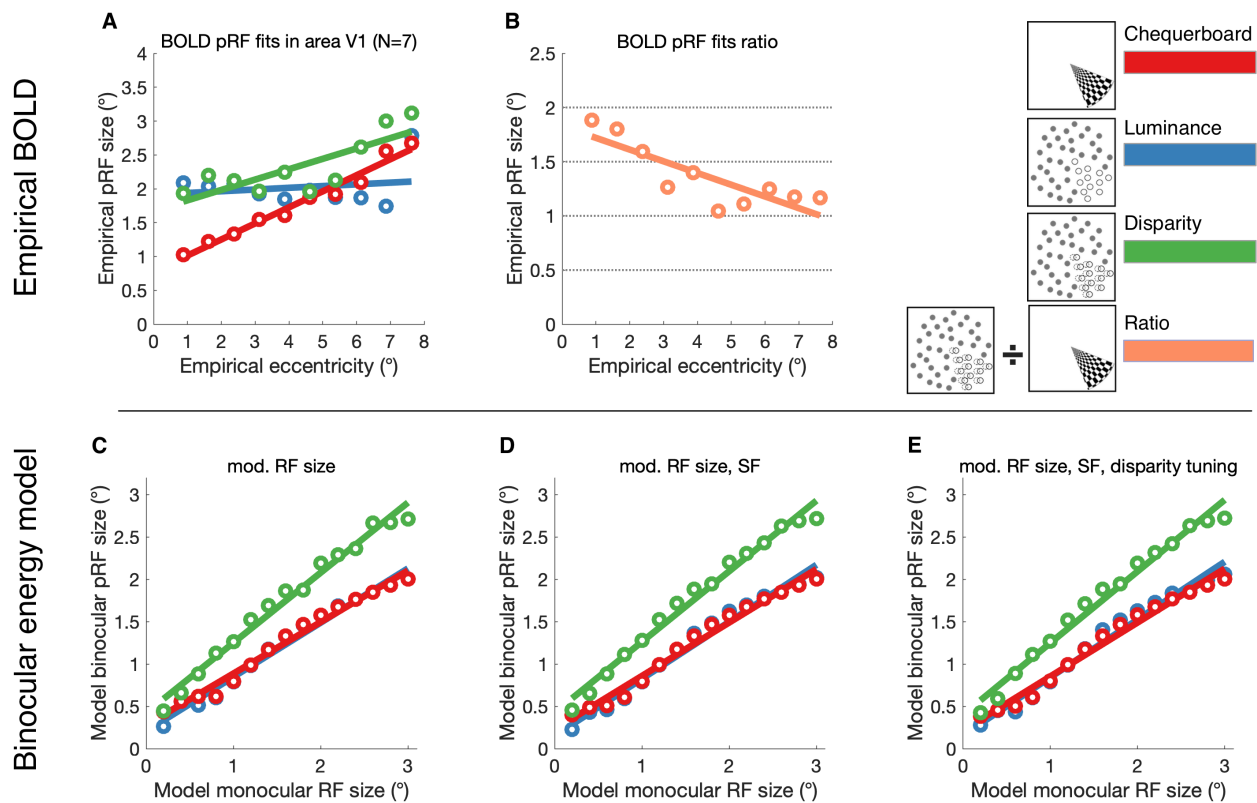
Figure 10. BOLD pRF fits compared to binocular energy model predictions. (A) Empirical BOLD pRF size increases with pRF eccentricity in area V1. pRFs measured under stimulation by disparity-defined RDS are larger than those measured contrast-defined checkerboard stimuli. Error bars omitted for clarity. (B) The ratio of pRF size for disparity over contrast is shown across eccentricities. (C-E) Binocular energy model predictions of simulated V1 cell populations show a similar pattern of pRF sizes, estimated by obtaining the model cell responses under disparity-, luminance- and contrast-defined stimulation and fitting the population-level responses with a pRF model. Varying model cell parameters across the model population in (C) receptive field (RF) size, (D) RF size and spatial frequency (SF) or (E) RF size, SF and disparity tuning, did not affect pRF size significantly under any type of stimulation.

6 Discussion

579   This study provides estimates of pRFs for binocular disparity across human cortical visual

580   areas and compares them to estimates of pRF size for non-disparity defined stimuli. In

581   particular, the derived pRFs obtained under correlated disparity stimulation are proposed to

582   reflect the binocular integration zones of a given cortical site at the population level. Stimuli not

583   defined by disparity, such as the luminance edges of the checkerboard, elicit responses across

584   a wide variety of classical receptive fields, including both monocular and binocular RFs. By

585   comparison, the stereoscopic RDS stimuli that define the wedge or ring aperture used to map

586   the pRFs here only deliver aperture-related information encoded in binocular disparity.

587   Therefore, where pRF estimates diverge between the disparity condition and pRFs estimated

588   under luminance or contrast edges, differences should reflect the role of disparity-specific

589   processing.

590

591   Our findings are consistent with previous fMRI evidence, which report widespread binocular

592   disparity processing across visual cortex (Backus et al. 2001; Bridge and Parker 2007; Preston

593   et al. 2008; Minini et al. 2010; Ip et al. 2014; Goncalves et al. 2015; Ban and Welchman 2015),

594   and a specific role for area V1, as the site of binocular integration (Barendregt et al. 2015). An

595   important point of interpretation for our study is that, unlike studies such as Barendregt et al.,

596   (2015), who compared binocular with monocular stimulation, the current study used binocular

597   viewing in all tested conditions. Therefore, the stereoscopic stimuli used here probe the

598   neuronal mechanisms that are responsible for the extraction of depth from binocular disparity.

599   Second, our study makes direct comparisons of pRF size estimated in stereoscopic viewing-

600   conditions for both disparity- and non disparity-defined stimuli, whereas the paper by

601   Barendregt et al. (2015) compared overall quality of fits of the pRF model to the monocular and

602   binocular stimulation conditions.

603

604 ## 6.1 Estimates of the binocular integration zone in area V1

605 Our results demonstrate a discrepancy between pRFs estimated from disparity and non-

606 disparity information in area V1, with larger receptive fields for disparity in agreement with

607 the electrophysiological literature (Nienborg et al. 2004). This is consistent with the proposal

608 that the binocular combination in disparity-specific neurons of V1 is a fundamental limiting

609 stage in determining the size of the pRF (Cumming and Parker 1999, 2000; Parker and

610 Cumming 2001). The lack of discrepancy of pRF size in areas V2 and V3 suggests little further

611 combination of the retinal inputs in early extrastriate cortex, at least at levels detectable by

612 population-level methods. In this regard, our findings are similar to those of Barendregt et al.

613 (2015).

614

615 The relationship between the sizes of the non-disparity receptive field and the binocular

616 integration zone in V1 is described by the general form of the binocular energy model (Banks

617 et al. 2004; Nienborg et al. 2004). In this model, the ability of disparity-tuned V1 cells to detect

618 changes in binocular disparity is limited by the width of the correlation window over which

619 monocular signals are compared. If the window is too large, binocular matches become

620 ambiguous; if the window is too small, the binocular image will not contain enough information

621 to compute disparity (Banks et al. 2004; Nienborg et al. 2004). Notably, this constraint is

622 independent of depth variation within the window, or limits imposed by optical effects, retinal

623 sampling or stimulus construction (Tyler 1974; Schlesinger and Yeshurun 1998; Banks et al.

624 2004). As the correlation window is defined by the size and location of the paired monocular

625 receptive fields, the latter impose the minimum area over which disparity information may be

626 integrated. Indeed, the disparity energy model predicts a binocular integration zone whose

627 effective receptive field is the half-squared product of the monocular receptive fields over

628 which binocular cross-correlation takes place (Banks et al. 2004; Nienborg et al. 2004, 2005).

629 This prediction is borne out in electrophysiological studies; for example, (Nienborg et al. 2004)

630 showed that for disparity-tuned V1 neurons, the relationship between monocular receptive

631 field size and the width of the correlation window corresponds to a half-squaring output

632 nonlinearity and is approximately linear across eccentricities. Extrapolating this idea to

633 neuronal population level, the binocular integration zone is predicted to display a half-square

634 non-linearity in relation to other conditions, equivalent to a positive slope in the pRF size ratios

635 between disparity and control conditions in Figure 6B.

636

637 **6.2 Comparison of binocular energy model prediction and the empirical**

638   **binocular integration zone**

639 An explicit, but restricted implementation of the binocular energy model allowed us to assess

640 the effects of monocular receptive field parameters on the conjugate signal of a model V1 cell

641 population. By manipulating the model monocular receptive field size and fitting the mean

642 population signal with a pRF model, we confirmed that pRF size for disparity is a linear function

643 of monocular receptive field size. We also confirmed that disparity-defined stimuli resulted in

644 larger pRFs compared to both contrast- and luminance-defined stimuli, reflecting the wider

645 binocular integration zone necessary for integrating horizontal discrepancies in the monocular

646 inputs, absent in the case of contrast information. Deriving the pRF from a population of model

647 cells with different receptive field sizes, SF and disparity tuning produced a relationship

648 between responses to disparity-defined and contrast-defined stimuli that was comparable to

649 the empirically-estimated pRF sizes from BOLD data in area V1.

650 Nonetheless, there were several discrepancies between the empirical data and modeling

651 results. Firstly, when comparing the pRF size for disparity with the checkerboard, in the model

652 the difference between the two conditions appears to include with receptive field size, whereas

653 the empirical data appears to converge. However, the model data indicate that at the largest

654 sizes the curves being to converge. Secondly, the model pRF sizes for contrast and luminance

32

655   stimuli show almost exactly the same pattern. In contrast, the empirical data for these two

656   conditions varied considerably, with smaller pRFs at low eccentricities and larger pRFs at high

657   eccentricities for the checkerboard. Why such a discrepancy exists is not clear, but may reflect

658   salience of the stimulus, which is lower in the luminance condition.

659   Finally, as stated earlier, the current implementation of the energy model uses populations of

660   units, but all have the same disparity tuning, which imposes limits the size of the pRF for the

661   disparity-defined stimulus. As more units are incorporated that are tuned to different

662   disparities, it is likely that the pRF size will increase, but this requires considerably more

663   modeling that is beyond the scope of the current study.

664

665   **6.3   pRF size is comparable for disparity and non-disparity input defined by random**

666   **dots in dorsal visual areas**

667   Dorsal regions V3A/B, V5/MT+ and V7 showed no significant difference in pRF size for

668   disparity when compared to the dot-defined luminance and motion conditions. There was,

669   however, a reduction in pRF size compared to the checkerboard stimulus. This is consistent

670   with previous work indicating that pRF mapping with isolated dot-defined bar stimuli resulted

671   in larger pRF sizes compared to stimuli presented with a contrasting surround, either opposing

672   motion or motion noise (Hughes et al. 2019). Thus, given the lack of difference between

673   disparity-defined stimulus and other dot-defined stimuli, our finding is consistent with the

674   conclusion from that paper that the pRF size in dorsal regions may depend on stimulus salience.

675   While this result is also consistent with significant involvement of dorsal visual areas in

676   disparity processing, most notably V3A/B (Poggio et al. 1988; Adams and Zeki 2001; Neri et al.

677   2004; Minini et al. 2010; Ban and Welchman 2015), it does not indicate a special role for

678   integration of disparity information across space.

679

6.4 Specialized processing for binocular disparity in lateral occipital cortex

In a similar fashion to the results observed in V1, we detected a pattern of larger pRFs for disparity compared to other conditions in area LOC, typically considered a later 'upstream' stage in visual cortical hierarchy processing (Grill-Spector et al. 2001). LOC is involved in the processing of 3D shape (Kourtzi and Kanwisher 2001; Kourtzi et al. 2003; Weigelt et al. 2007; Vernon et al. 2016), motion (Moutoussis et al. 2005; Krekelberg et al. 2005) and binocular depth (Chandrasekaran et al. 2006; Preston et al. 2008; Ban et al. 2012). While responsive to binocular disparity stimulation in isolation (Ip et al. 2014), LOC has been particularly associated with view-invariant representations of 3D shape which incorporate information about binocular depth (Welchman et al. 2005; Preston et al. 2009). The discrepancy between pRF sizes for disparity and other conditions may reflect the computational role for disparity information in LOC, not as the input to a binocular integration zone to generate a fused cyclopean representation, but instead as one component drawn upon to form view-invariant object representations. Preston et al., (2008) suggest that LOC represents depth position in a categorical manner, that is, as a coarse indicator of near vs. far position. As larger binocular disparities require larger receptive fields to capture the relevant retinal matches, it follows that coarseness in disparity tuning in LOC may be matched with a coarse spatial tuning in its pRFs. While the relationship between disparity tuning and receptive field size remains largely unknown in the human, in the macaque, electrophysiological studies have reported a multiplicative relationship between receptive field size and preferred disparity for V1 neurons (Prince et al. 2002b; Nienborg et al. 2004). Therefore, a coarse representation of both spatial and disparity tuning in LOC would be consistent with the tuning properties of disparity-selective cells.

An additional consideration is the source of disparity modulation. The dynamic random dot disparity stimulus presented here contains two sources of disparity information; absolute

34

706   disparity within the aperture field, and relative disparity at the edge between the aperture and

707   the zero-disparity background. Unlike area V1, which is exclusively selective to absolute

708   disparity (Cumming and Parker 1999), either component may drive responses in LOC. While

709   LOC responses can be attributed to relative disparity (Welchman et al. 2005; Chandrasekaran

710   et al. 2006; Preston et al. 2008; Read et al. 2010; Bridge et al. 2013), a direct coding of absolute

711   disparity is possible and consistent with the similarity in tuning properties with area V1.

712

713   **6.5   fMRI estimates of binocular pRFs are in agreement with electrophysiological**

714   **priors**

715   This study presents the novel estimation of binocular receptive fields characteristics across

716   human visual cortical areas, highlighting the discrepancies between disparity and non-

717   disparity driven estimates of population-level receptive fields. While the estimates of pRF size

718   for non-disparity modulated stimuli presented here are in broad agreement with previous fMRI

719   studies (Wandell and Winawer 2015), no such baseline is available for disparity-defined pRFs.

720   Furthermore, although direct comparisons to the electrophysiological literature may be

721   informative, it is important to note the abstraction of these metrics from the behavior of single

722   disparity-tuned cells. First, BOLD fMRI signals are measured from imaging voxels that contain

723   many cells, both tuned and not tuned to disparity, which contribute to the observed signal.

724   Second, imaged voxels encompass a large number of disparity-sensitive neurons that contain a

725   variable distribution of spatial and depth preferences that are aggregated and averaged in the

726   observed signal. Therefore, the BOLD signal reflects a population preference, which

727   nevertheless reveals systematic variation in pRF size for disparity both within and across

728   cortical visual regions.

729   Relating these findings to electrophysiology, we highlight two points. First, pRF size for

730   disparity increased with eccentricity in all visual areas tested. Secondly, the scaling of pRF size

731   with eccentricity under disparity stimulation is consistent with the view of a binocular

732   integration zone that obeys both local physiological constrains imposed by its component

733   receptive fields, and imposing a limit on resolvable disparity (Banks et al. 2004; Nienborg et al.

734   2004). Together, these observations reinforce the hypothesis that fMRI estimates of binocular

735   receptive fields reflect the same mechanisms as those described in electrophysiological studies

736   of disparity processing in animal models and provide the first characterization of the binocular

737   integration zone in humans.

738

7   Declarations

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Conflict of interest

The authors declare no competing financial interests.

Availability of data and material

The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.

Code availability

The population receptive field modelling toolbox is available from https://github.com/samsrf/samsrf. The binocular energy modelling toolbox is available from https://github.com/IvanAlvarez/BinocularEnergyModel.

Authors' contributions

Conceptualization: IA, SAH, AJP, HB. Methodology: IA, SAH, AJP, HB; Formal analysis and investigation: IA. Writing - original draft preparation: IA; Writing - review and editing: IA, SAH, AJP, HB; Funding acquisition: AJP, HB.

Ethics approval

This study received ethical approval from the University of Oxford Central University Research Ethics Committee (MS-IDREC-C1-2015-040) and was conducted in accordance with the Declaration of Helsinki (2013 revision).

Consent to participate

Informed consent was obtained from all individual participants included in the study.

Consent for publication

The authors affirm that human research participants provided informed consent for publication of results based on data collected in this study.

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